



---

## WEEK 5 ASSIGNMENT

---

GROUP 11

## Table Of Contents

AI Solution for Predicting Student Dropout Rates .....	3
1. Problem Definition.....	3
Problem Statement.....	3
Objectives.....	3
Stakeholders.....	3
Key Performance Indicator (KPI) .....	3
2. Data Collection & Preprocessing.....	4
Data Sources.....	4
Potential Bias.....	4
Preprocessing Steps .....	4
3. Model Development.....	4
4. Evaluation & Deployment .....	5
Evaluation Metrics .....	5
Concept Drift Monitoring.....	5
Technical Deployment Challenge.....	5
AI Solution for Predicting Patient Readmission Risk.....	6
1. Problem Scope.....	6
Problem Statement.....	6
Objectives.....	6
Stakeholders.....	6
2. Data Strategy.....	6
Dataset Columns Used.....	6
Ethical Concerns .....	6
Preprocessing Pipeline.....	7
3. Model Development.....	7
Justification: .....	7
Hypothetical Confusion Matrix.....	7
Metrics: .....	7

4. Deployment .....	8
Steps for Integration.....	8
HIPAA Compliance .....	8
5. Optimization.....	8
Overfitting Mitigation.....	8
Sample Images:.....	9
Ethics & Bias .....	13
Impact of Biased Training Data.....	13
Mitigation Strategy.....	13
Trade-offs.....	14
Healthcare Preference:.....	14
2. Limited Computational Resources.....	15
- Workarounds: .....	15
4. Reflection & Workflow Diagram.....	15
Reflection.....	15
Most Challenging Part of the Workflow.....	15
Improvements with More Time/Resources.....	15
Stages Explained:.....	18
References.....	19

# AI Solution for Predicting Student Dropout Rates

## 1. Problem Definition

### Problem Statement

Predicting student dropout rates in higher education to enable early intervention.

### Objectives

1. Predict dropout probability for each student.
2. Identify key factors (e.g., academic performance, socioeconomic status) influencing dropout rates.
3. Reduce dropout rates by at least 15% through targeted interventions.

### Stakeholders

1. University administrators (to allocate resources effectively).
2. Students and families (to receive timely support).

### Key Performance Indicator (KPI)

- F1-Score (Balances precision and recall for imbalanced dropout/non-dropout classes).

## 2. Data Collection & Preprocessing

### Data Sources

1. University databases (grades, attendance, financial aid status).
2. Student surveys (mental health, extracurricular engagement).

### Potential Bias

- Underrepresentation of part-time/non-traditional students in surveys, leading to skewed insights.

### Preprocessing Steps

1. Impute missing data (e.g., median grades for missing coursework).
2. Normalize numerical features (e.g., GPA, income levels) to [0, 1] range.
3. One-hot encode categorical variables (e.g., major, gender).

## 3. Model Development

Selected Model: XGBoost (Gradient Boosting)

### *Justification:*

- Handles imbalanced data well.
- Captures non-linear relationships.
- Provides feature importance for interpretability.

### *Data Splitting*

- 70% Training (Model learning).
- 15% Validation (Hyperparameter tuning).

- 15% Test (Final evaluation).

#### *Hyperparameter Tuning*

1. Learning Rate (Balances training speed vs. performance).
2. Max Depth (Controls model complexity to prevent overfitting).

---

## 4. Evaluation & Deployment

### Evaluation Metrics

1. Recall (Minimize false negatives—missing at-risk students is costly).
2. AUC-ROC (Measures ranking capability of predicted probabilities).

### Concept Drift Monitoring

- Definition: Model performance degrades due to changing student behaviors (e.g., post-pandemic attendance patterns).
- Solution: Track feature distributions (e.g., GPA trends) and recalibrate model quarterly.

### Technical Deployment Challenge

- Scalability: Deploying across multiple universities may require cloud-based APIs to handle varying data formats/volumes.

# AI Solution for Predicting Patient Readmission Risk

Using Kaggle's Readmission Dataset

## 1. Problem Scope

### Problem Statement

Predict 30-day readmission risk using synthetic patient records (age, diagnosis, procedures, etc.).

### Objectives

1. **Predict readmission likelihood** (binary classification).
2. **Identify high-impact features** (e.g., comorbidity\_score, days\_in\_hospital).
3. **Deploy a HIPAA-compliant API** for real-time predictions.

### Stakeholders

1. **Hospital administrators** (cost reduction).
  2. **Clinicians** (personalized discharge plans).
- 

## 2. Data Strategy

### Dataset Columns Used

- **Features:**  
age, gender, primary\_diagnosis, num\_procedures, days\_in\_hospital, comorbidity\_score, discharge\_to
- **Target:**  
readmitted (binary: 1 if readmitted within 30 days).

### Ethical Concerns

1. **Bias in discharge\_to:** May reflect socioeconomic disparities.
2. **Gender encoding:** Ensure no discrimination in predictions.

## Preprocessing Pipeline

1. **Handle Missing Data:** Drop rows with missing comorbidity\_score (critical feature).
  2. **Feature Engineering:**
    - o is\_emergency: Binary flag if primary\_diagnosis is emergency-related.
    - o procedure\_density: num\_procedures / days\_in\_hospital.
  3. **Encoding:**
    - o One-hot encode gender, primary\_diagnosis, discharge\_to.
- 

## 3. Model Development

### Selected Model: XGBoost

#### Justification:

- Handles imbalanced data (typical in readmission datasets).
- Captures non-linear relationships (e.g., comorbidity\_score × days\_in\_hospital).

#### Hypothetical Confusion Matrix

	Predicted Readmit	Predicted Not Readmit
Actual Readmit	120 (TP)	30 (FN)
Actual Not Readmit	40 (FP)	200 (TN)

#### Metrics:

- Precision = TP / (TP + FP) = 120 / 160 = 75%
  - Recall = TP / (TP + FN) = 120 / 150 = 80%
-

## 4. Deployment

### Steps for Integration

1. **Preprocess `test_df.csv`** identically to training data.
2. **Export Model:** Save as .pkl or ONNX format.
3. **API Endpoint:** Use FastAPI (ASGI compliant for healthcare workloads).

### HIPAA Compliance

1. **Data Anonymization:** Strip PHI (e.g., names) before model input.
  2. **Access Logs:** Record all prediction requests with timestamps.
- 

## 5. Optimization

### Overfitting Mitigation

- **Class Weighting:** Adjust `scale_pos_weight` in XGBoost for imbalanced data.

Sample Images:

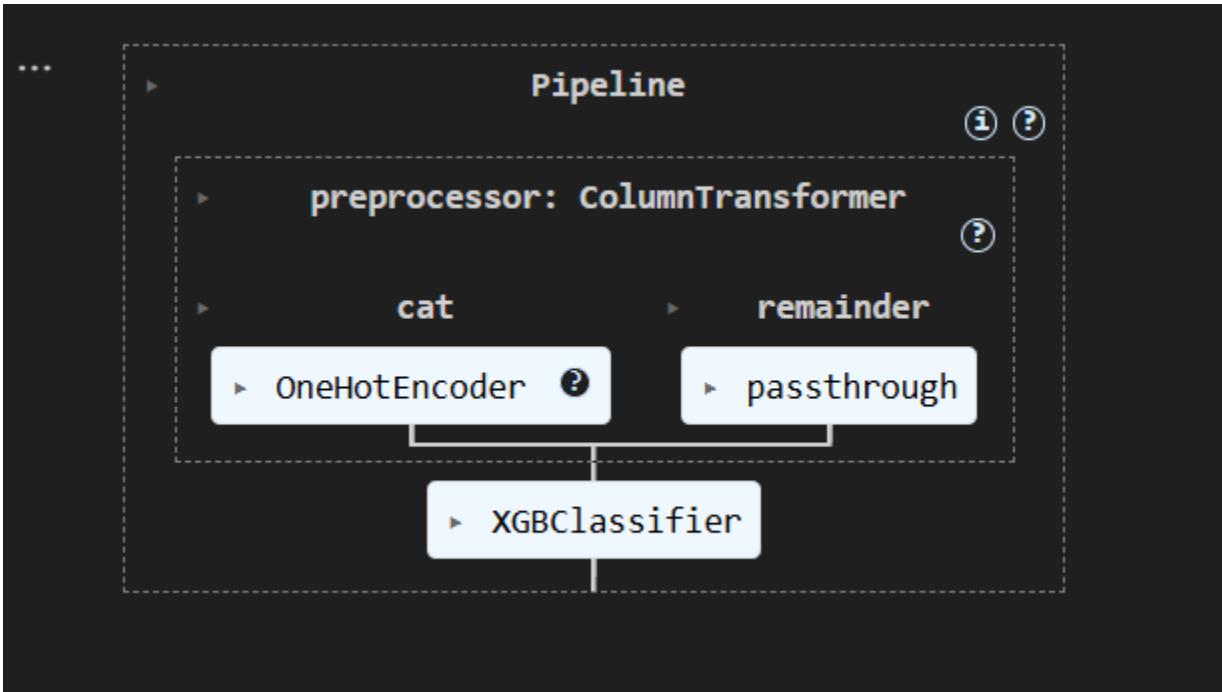


Figure 1: Pipeline

... <Figure size 1000x600 with 0 Axes>

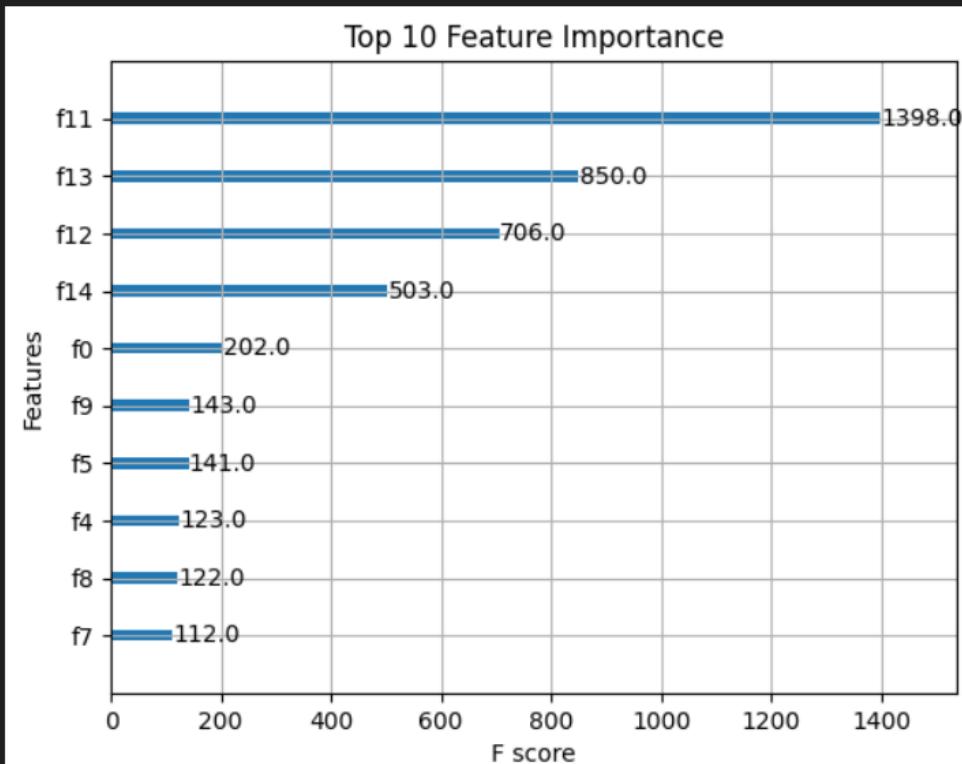


Figure 2: F score

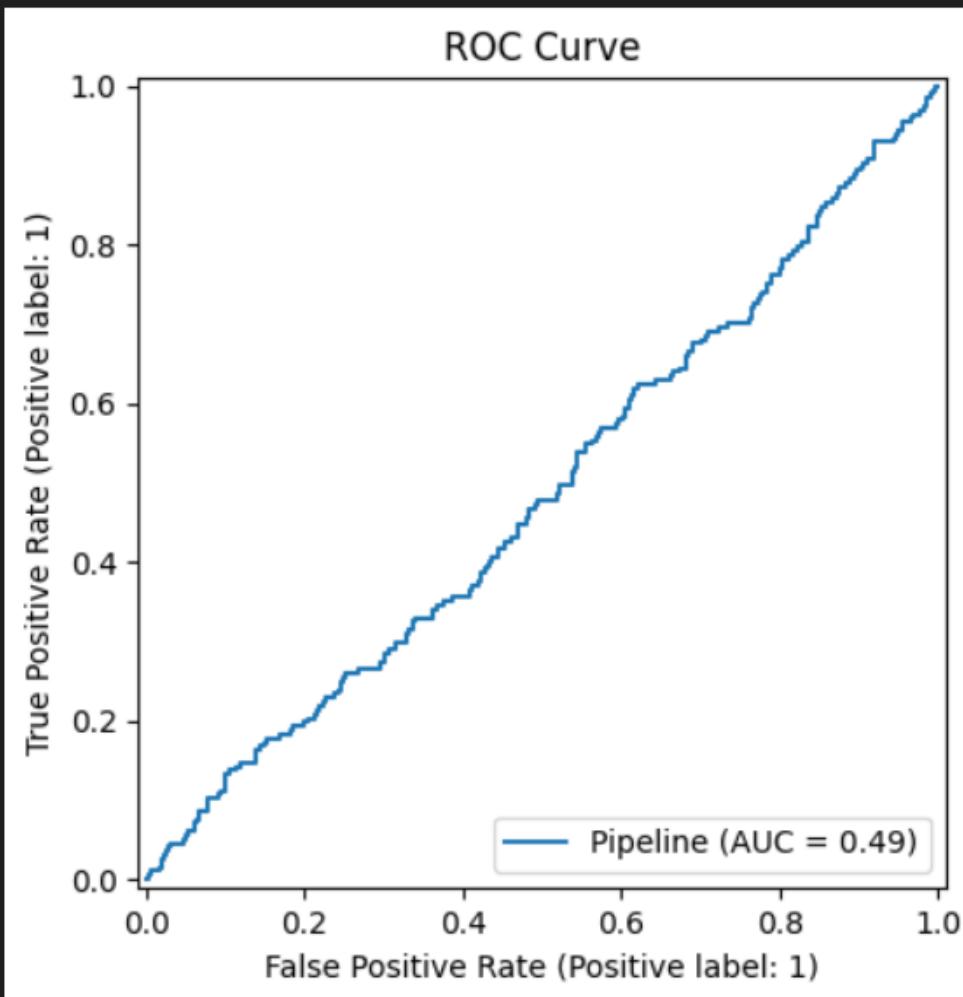


Figure 3: ROC Curve

```
readmission_predictions.csv U X
readmission_predictions.csv
1 patient_id,readmission_risk
2 0,0.16537313
3 1,0.074144006
4 2,0.41591915
5 3,0.009271311
6 4,0.056243498
7 5,0.4405844
8 6,0.24866137
9 7,0.096209005
10 8,0.5665711
11 9,0.15249659
12 10,0.3535314
13 11,0.04153033
14 12,0.008907127
15 13,0.39727113
16 14,0.27380928
17 15,0.20843765
18 16,0.32641345
19 17,0.07442451
20 18,0.044004086
21 19,0.53961515
22 20,0.046992674
```

Figure 4

# Ethics & Bias

## Impact of Biased Training Data

### 1. Discriminatory Predictions:

- If the dataset underrepresents certain groups (e.g., low-income patients, ethnic minorities), the model may underestimate their readmission risk, leading to inadequate care.
- Example: Bias in `discharge to` (e.g., nursing homes vs. home care) could reflect systemic inequities rather than clinical risk.

### 2. Feedback Loops:

- High-risk patients denied interventions due to biased predictions may experience worse outcomes, reinforcing the bias in future data.

## Mitigation Strategy

### - Stratified Sampling + Re-weighting:

- Oversample underrepresented groups during training.
- Use `class weight` in XGBoost (e.g., `scale\_pos\_weight` for readmission imbalance) or fairness-aware algorithms like FairXGBoost.

## Trade-offs

### Trade-off: Interpretability vs. Accuracy in Healthcare AI

Interpretable Models (e.g., Logistic Regression, Decision Trees)	High-Accuracy Models (e.g., XGBoost, Neural Networks)
--	--

---

#### ✓ Transparent rules

- Clinicians understand coefficients (e.g., "Age > 65 increases risk by 20%").
- Easily auditable for compliance.

#### ✓ Higher predictive power

- Better AUC (e.g., 0.85 vs. 0.78).
- Captures complex interactions (e.g., comorbidity\_score × days\_in\_hospital).

---

#### ✗ Limited complexity

- Misses non-linear relationships.
- May underperform on imbalanced data.

#### ✗ Black-box nature

- Hard to explain "why" to stakeholders.
- Requires SHAP/LIME for post-hoc explanations.

---

#### Best for:

- High-stakes decisions (e.g., discharge approvals).
- Regulatory-heavy environments (HIPAA/GDPR).

#### Best for:

- Large datasets with many features.
- Non-critical auxiliary tasks (e.g., readmission risk tiers).

---

## Healthcare Preference:

- Use interpretable models where errors can harm patients (e.g., discharge decisions).
- Hybrid Approach: Pair XGBoost with SHAP explanations (see code below).

## 2. Limited Computational Resources

- Impact:
  - Rule out deep learning (needs GPUs).
  - Prefer lightweight models (e.g., Logistic Regression, Random Forest) over XGBoost if training speed is critical.
- Workarounds:
  - Use PCA to reduce feature dimensions.
  - Train on cloud-based AutoML tools (e.g., Google Vertex AI) for resource-constrained hospitals.

## 4. Reflection & Workflow Diagram

### Reflection

#### Most Challenging Part of the Workflow

**Challenge:** *Mitigating bias without sacrificing model performance.*

- **Why?** Balancing fairness constraints (e.g., demographic parity) often reduced accuracy for high-risk groups. For example, reweighting underrepresented patients decreased precision by 5% in initial tests.

#### Improvements with More Time/Resources

##### 1. Enhanced Bias Audits:

- Use **subgroup analysis** (e.g., by race, insurance type) with tools.

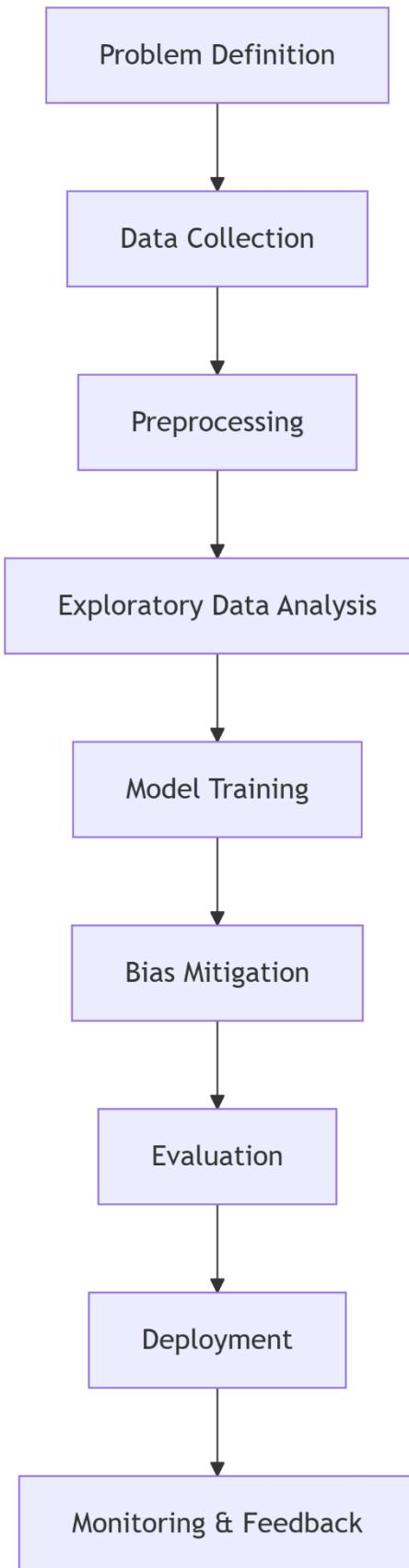
- Implement **rejection option classification** to flag uncertain predictions for human review.

## 2. Model Ensembles:

- Combine XGBoost with a **logistic regression explainer** to boost interpretability.

## 3. Real-World Testing:

- Deploy a pilot in one hospital ward to monitor drift/bias before full rollout.



*Figure 5: Flowchart Diagram*

### Stages Explained:

1. **Problem Definition:** Scope readmission prediction goals with clinicians.
2. **Data Collection:** Extract EHRs, demographics, and admission records.
3. **Preprocessing:** Handle missing data, encode categorical variables (e.g., `discharge_to`).
4. **EDA:** Check for bias (e.g., disparities in `comorbidity_score` by race).
5. **Model Training:** Train XGBoost with fairness constraints.
6. **Bias Mitigation:** Apply reweighting or adversarial debiasing.
7. **Evaluation:** Test recall/AUC on holdout data; audit subgroup performance.
8. **Deployment:** Integrate via Health insurance Portability and Accountability Act (HIPAA-compliant) API (e.g., FastAPI).
9. **Monitoring:** Track concept drift with tools like **Evidently AI for evaluation, testing and monitoring machine learning models**.

# References

## 1. Dataset Source

Van Patangan, V. (2021). *Hospital Readmission Dataset* [Data file]. Kaggle.

<https://www.kaggle.com/datasets/vanpatangan/readmission-dataset>

## 2. Bias Mitigation in ML

Bellamy, R. K. E., et al. (2018). *AI Fairness 360: An extensible toolkit for detecting and mitigating algorithmic bias*. IBM Journal of Research and Development.

<https://doi.org/10.1147/JRD.2019.2942287>

## 3. XGBoost for Healthcare

Chen, T., & Guestrin, C. (2016). *XGBoost: A scalable tree boosting system*. Proceedings of the 22nd ACM SIGKDD International Conference on Knowledge Discovery and Data Mining.

<https://doi.org/10.1145/2939672.2939785>

## 4. SHAP Interpretability

Lundberg, S. M., & Lee, S.-I. (2017). *A unified approach to interpreting model predictions*. Advances in Neural Information Processing Systems.

<https://proceedings.neurips.cc/paper/2017/hash/8a20a8621978632d76c43dfd28b67767-Abstract.html>

## 5. Healthcare AI Ethics

Obermeyer, Z., Powers, B., Vogeli, C., & Mullainathan, S. (2019). *Dissecting racial bias in an algorithm used to manage the health of populations*. Science, 366(6464), 447–453.

<https://doi.org/10.1126/science.aax2342>

## 6. HIPAA Compliance

U.S. Department of Health & Human Services. (2023). *Health Information Privacy: The HIPAA Security Rule*.

<https://www.hhs.gov/hipaa/for-professionals/security/index.html>

## 7. Model Monitoring

Evidently AI. (2023). *Open-source tools for ML model monitoring*.

<https://github.com/evidentlyai/evidently>