

UBS FINANCIAL SERVICES INC. 1000 Harbor Boulevard 6th Floor Weehawken NJ 07086

2017 Consolidated Form 1099

Account Number: NX G1652

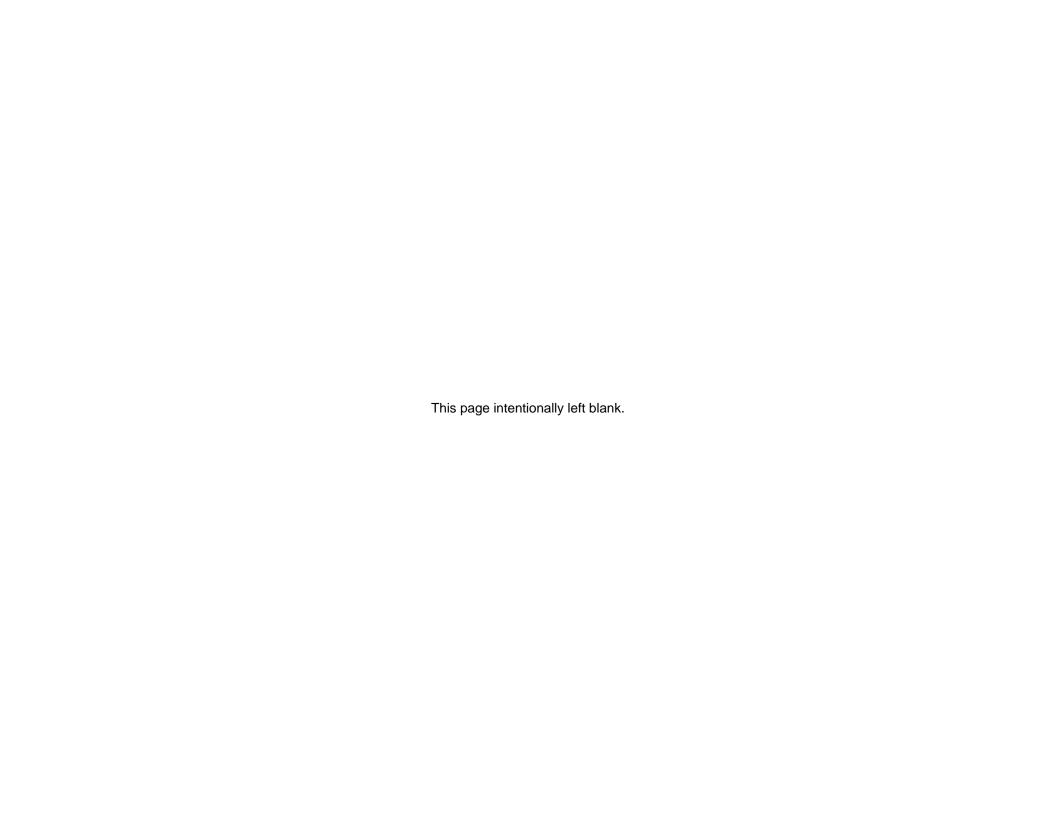
Your Financial Advisor: INTC TX 1-866-785-4682

Phone:

Reporting for: VIKAS K RAO

VIKAS K RAO #1069 1ST FLOOR REAR PORTION 35TH D CROSS JAYANAGAR 4TH T BLOCK KA 560041 BANGALORE INDIA INDIA

Our 1099 Guide at www.ubs.com/1099information provides access to supplemental tax information you may find useful when filing your income tax return.





| Tax Information Account NX G1652 0000376928 | Statement Date: 02/14/2018 Document ID: 4S48 81C 23S9 | 2017 |
|---|--|------|
| BAILURU KOWDOOR VILLAGE, UDUPI DISTRICT, INDIA | Your Financial Advisor: INTC TX 1-866-785-4682 Office Code: NX Rep Code: NXYZ | |

PAYER'S Federal ID No: 13-2638166 | RECIPIENT'S ID No: XXX-XX-2884

| [] FATCA filing requirement (see instructions) | Summary Inf | formation | |
|--|------------------------------|--|-----------------------------|
| DIVIDENDS AND DISTRIBUTIONS 2017 1099-DIV* | OMB No. 1545-0110 | MISCELLANEOUS INCOME 2017 1099-MISC* | OMB No. 1545-0115 |
| 1a- Total ordinary dividends (includes line 1b)1b- Qualified dividends2a- Total capital gain distributions (includes lines 2b, 2c, 2d) | 155.87 155.87 0.00 | 2- Royalties 3- Other income 4- Federal income tax withheld | 0.00 0.00 0.00 |
| 2b- Unrecaptured Section 1250 gain 2c- Section 1202 gain | 0.00 0.00 | 8- Substitute payments in lieu of dividends or interest | 0.00 |
| 2d- Collectibles (28%) gain 3- Nondividend distributions 4- Federal income tax withheld | 0.00 0.00 0.00 | REGULATED FUTURES CONTRACTS 2017 1099-B* 8- Profit or (loss) realized in 2017 on closed contracts | OMB No. 1545-0715 0.00 |
| 5- Investment expenses 7- Foreign country or US possession: 6- Foreign tax paid: | 0.00 0.00 | 9- Unrealized profit or (loss) on open contracts-12/31/2016 10- Unrealized profit or (loss) on open contracts-12/31/2017 11- Aggregate profit or (loss) on contracts | 0.00 0.00 0.00 |
| 8- Cash liquidation distributions9- Noncash liquidation distributions10- Exempt-interest dividends (includes line 11)11- Specified private activity bond interest dividends (AMT) | 0.00 0.00 0.00 0.00 | If applicable, proceeds from sale transactions appear summarized be detailed in subsequent sections of this document. | |

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

| Term | Form 8949 type | Proceeds | Cost basis | Market discount | Wash sale loss disallowed | Net gain or loss(-) |
|-----------------------------|--|----------|------------|-----------------|---------------------------|---------------------|
| Short | A (basis reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Short | B (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Short | C (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Short-term | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long | D (basis reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long | E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long | F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Long-term | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Undetermined | B or E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Undetermined | C or F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Undetermined-term | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Grand total | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Withholding | | Amount | | | | |
| Federal income tax withheld | | 0.00 | | | | |

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UBS FINANCIAL SERVICES INC.

Summary Information

Account NX G1652 0000376928

2017 (continued) 02/14/2018

INTEREST INCOME

2017 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

| 1- Interest income (not included in line 3)2- Early withdrawal penalty3- Interest on US Savings Bonds & Treasury obligations | 0.00 0.00 0.00 |
|--|----------------------|
| 4- Federal income tax withheld | 0.00 |
| 5- Investment expenses | 0.00 |
| 7- Foreign country or US possession: 6- Foreign tax paid: | 0.00 |
| 8- Tax-exempt interest (includes line 9) | 0.00 |
| 9- Specified private activity bond interest (AMT) | 0.00 |
| 10- Market discount (covered lots) | 0.00 |
| 11- Bond premium (covered lots) | 0.00 |
| 12- Bond premium on Treasury obligations (covered lots) | 0.00 |
| 13- Bond premium on tax-exempt bonds (categorized below) | 0.00 |
| Tax-exempt obligations (covered lots) | 0.00 |
| Tax-exempt private activity obligations (AMT, covered lots) | 0.00 |
| 14- Tax-exempt and tax credit bond CUSIP number | See detail |

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

| Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid Tax-exempt accrued interest paid (AMT) Taxable accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid (AMT) Nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest (AMT) Interest shortfall on contingent payment debt Bond premium- Non Treasury obligations (noncovered lots) Bond premium- Tax-exempt obligations (noncovered lots) Bond premium- Tax-exempt obligations (AMT, noncovered lots) Market discount (noncovered lots) | 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0 |
|--|---|
|--|---|

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

| 1099-DIV total withheld | 0.00 |
|--------------------------|------|
| 1099-INT total withheld | 0.00 |
| 1099-OID total withheld | 0.00 |
| 1099-MISC total withheld | 0.00 |
| 1099-B total withheld | 0.00 |

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

| Original issue discount for 2017 | 0.00 |
|--|------|
| Acquisition premium (covered lots) | 0.00 |
| Acquisition premium (noncovered lots) | 0.00 |
| Original issue discount on Treasury obligations | 0.00 |
| Acquisition premium, Treasury obligations (covered lots) | 0.00 |
| Acquisition premium, Treasury obligations (noncovered lots) | 0.00 |
| Tax-exempt OID | 0.00 |
| Tax-exempt OID (lots not reported) | 0.00 |
| Acquisition premium | 0.00 |
| Acquisition premium (lots not reported) | 0.00 |
| Tax-exempt OID on private activity bonds | 0.00 |
| Tax-exempt OID on private activity bonds (lots not reported) | 0.00 |
| Acquisition premium (AMT) | 0.00 |
| Acquisition premium (AMT, lots not reported) | 0.00 |
| Market discount (all lots) | 0.00 |
| Early withdrawal penalty | 0.00 |
| Investment expenses | 0.00 |

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

| Other Receipts & Reconciliations- Foreign tax paid- partnership Other Receipts & Reconciliations- Return of principal Other Receipts & Reconciliations- Deferred income payment Other Receipts & Reconciliations- Deemed premium Other Receipts & Reconciliations- Income accrual- UIT Other Receipts & Reconciliations- Income accrual- UIT Other Receipts & Reconciliations- Basis adjustments Other Receipts & Reconciliations- Foreign tax pd beyond treaty Other Receipts & Reconciliations- Foreign tax pd beyond treaty Other Receipts & Reconciliations- Foreign tax pd beyond treaty Other Receipts & Reconciliations- Foreign tax pd beyond treaty Other Receipts & Reconciliations- Foreign tax pd beyond treaty Other Receipts & Interest Intere | Other Receipts & Reconciliations- Return of principal 0.00 Other Receipts & Reconciliations- Deferred income payment 0.00 Other Receipts & Reconciliations- Deemed premium 0.00 Other Receipts & Reconciliations- Income accrual- UIT 0.00 Other Receipts & Reconciliations- Basis adjustments 0.00 Other Receipts & Reconciliations- Foreign tax pd beyond treaty 0.00 Other Receipts & Reconciliations- Foreign tax pd beyond treaty 0.00 Fees & Expenses- Dividends paid on short position 0.00 Fees & Expenses- Interest paid on short position 0.00 Fees & Expenses- Non reportable distribution expense 0.00 | |
|--|--|---|
| Other Receipts & Reconciliations- Deferred income payment 0.00 Other Receipts & Reconciliations- Deemed premium 0.00 Other Receipts & Reconciliations- Income accrual- UIT 0.00 Other Receipts & Reconciliations- Basis adjustments 0.00 Other Receipts & Reconciliations- Basis adjustments 0.00 Fees & Expenses- Margin interest 0.00 Fees & Expenses- Dividends paid on short position 0.00 Fees & Expenses- Interest paid on short position 0.00 Fees & Expenses- Non reportable distribution expense 0.00 Fees & Expenses- Other expenses 0.00 Fees & Expenses- Severance tax 0.00 Fees & Expenses- Organizational expense 0.00 Fees & Expenses- Miscellaneous fees 0.00 Fees & Expenses- Tax-exempt investment expense 0.00 | Other Receipts & Reconciliations- Deferred income payment Other Receipts & Reconciliations- Deemed premium Other Receipts & Reconciliations- Income accrual- UIT Other Receipts & Reconciliations- Basis adjustments Other Receipts & Reconciliations- Foreign tax pd beyond treaty Fees & Expenses- Margin interest Fees & Expenses- Dividends paid on short position Fees & Expenses- Interest paid on short position Fees & Expenses- Non reportable distribution expense O.00 | |
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| Fees & Expenses- Non reportable distribution expense0.00Fees & Expenses- Other expenses0.00Fees & Expenses- Severance tax0.00Fees & Expenses- Organizational expense0.00Fees & Expenses- Miscellaneous fees0.00Fees & Expenses- Tax-exempt investment expense0.00 | Fees & Expenses- Non reportable distribution expense 0.00 |) |
| Fees & Expenses- Other expenses0.00Fees & Expenses- Severance tax0.00Fees & Expenses- Organizational expense0.00Fees & Expenses- Miscellaneous fees0.00Fees & Expenses- Tax-exempt investment expense0.00 | |) |
| Fees & Expenses- Other expenses0.00Fees & Expenses- Severance tax0.00Fees & Expenses- Organizational expense0.00Fees & Expenses- Miscellaneous fees0.00Fees & Expenses- Tax-exempt investment expense0.00 | |) |
| Fees & Expenses- Organizational expense0.00Fees & Expenses- Miscellaneous fees0.00Fees & Expenses- Tax-exempt investment expense0.00 | |) |
| Fees & Expenses- Miscellaneous fees 0.00 Fees & Expenses- Tax-exempt investment expense 0.00 | Fees & Expenses- Severance tax 0.00 |) |
| Fees & Expenses- Tax-exempt investment expense 0.00 | Fees & Expenses- Organizational expense 0.00 |) |
| | Fees & Expenses- Miscellaneous fees 0.00 |) |
| | Fees & Expenses- Tax-exempt investment expense 0.00 |) |
| Foreign Exchange Gains & Losses- Foreign currency gain/loss 0.00 | Foreign Exchange Gains & Losses- Foreign currency gain/loss 0.00 |) |

Page 3 of 4

UBS FINANCIAL SERVICES INC. Detail for Dividends and Distributions 2017 Account NX G1652 0000376928 02/14/2018

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. We include, where available, the ex date of the distribution to assist with your determination of whether the taxpayer holding period requirement has been satisfied.

| Security description | CUSIP and/or symbol | State | Date | Amount | Transaction type | Notes | Ex-Date |
|-------------------------|---------------------|-------|----------|--------|---------------------------------|-------|------------|
| INTEL CORP COMMON STOCK | 458140100 INTC | | 12/01/17 | 155.87 | Qualified dividend | | 11/06/2017 |
| | | | | | | | |
| | | | _ | 155.87 | Total Dividends & distributions | | |

Common Instructions for Recipient

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2017 General Instructions for Certain Information Returns

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (1040A or 1040), if required. Line 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown on line 2a on Schedule D (Form 1040), line 13. But, if no amount is shown on line 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown on line 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

Line 2b. Shows the portion of the amount on line 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet--Line 19 in the Schedule D instructions (Form 1040).

Line 2c. Shows the portion of the amount on line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet--Line 18 in the instructions for Schedule D (Form 1040).

Line 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550.

Line 4. See "Backup Withholding" section.

Line 5. Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included on line 1a.

Line 6. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Line 7. This line should be left blank if a regulated investment company reported the foreign tax shown in line 6.

Lines 8 and 9. Shows cash and noncash liquidation distributions.

Line 10. Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest. This amount may be subject to backup withholding. See line 4.

Line 11. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 10. See the instructions for Form 6251.

Lines 12-14. State income tax withheld reporting lines.

Instructions for Recipient

Page 4 of