Guidelines for Implementation: Ad Insertion in DASH

September 1, 2014

DASH Industry Forum

Version 0.9 (Community Review)

Until November 1st, 2014

Please submit comments

https://gitreports.com/issue/Dash-Industry-Forum/AdInsertion



Note: This document is a recommendation rather than a specification.

Scope

- 2 This document provides recommendations for implementing ad insertion in DASH. Second edition
- 3 of DASH (ISO/IEC 23009-1:2014 2nd edition, including COR1) is the baseline for this document.
- 4 Furthermore, this document defines several interoperability points.

Disclaimer

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- 2 This document is not yet final. It is provided for public review until November 1st, 2014. If you
- 3 have comments on the document, please submit comments at the following URL:
 - https://gitreports.com/issue/Dash-Industry-Forum/AdInsertion
- 5 DASH-IF members may alternatively
- 6 submit comments at the github repository at https://github.com/Dash-Industry-Fo-rum/AdInsertion/issues
 - or dashif+iop@groupspaces.com with a subject tag [AdInsertion]
- 9 Please add a detailed description of the problem and the comment. Based on the received comments a final document will be published by December 15-th, 2014.
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Acronyms, abbreviations and definitions

- 2 Ad Break: A location or point in time where one or more ads may be scheduled for delivery; same as
- 3 avail and placement opportunity.
- 4 Ad Decision Service: functional entity that decides which ad(s) will be shown to the user. It in-
- 5 terfaces deployment-specific and are out of scope for this document.
- 6 Ad Management Module: logical service that, given cue data, communicates with the ad decision
- 7 service and determines which advertisement content (if at all) should be presented during the ad
- 8 break described in the cue data.
- 9 Cue: indication of time and parameters of the upcoming ad break. Note that cues can indicate a
- pending switch to and ad break, pending switch to a next ad within an ad break, and pending switch
- from an ad break to the main content.
- 12 **CDN node:** functional entity returning a segment on request from DASH client. There are no
- assumptions on location of the node.
- 14 Packager: functional entity that processes conditioned content and produces media segments suit-
- able for consumption by a DASH client. This entity is also known as fragmentor, encapsulator, or
- segmentor. Packager does not communicate directly with the server its output is written to the
- 17 origin.

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- Origin: functional entity that contains all media segments indicated in the MPD, and is the fallback
- 19 if CDN nodes are unable to provide a cached version of the segment on client request.
- 20 **Splice Point**: point in media content where
- 21 **MPD Generator**: functional entity returning an MPD on request from DASH client. It may be
- generating an MPD on the fly or returning a cached one.
- 23 **XLink resolver:** functional entity which returns one or more remote elements on request from
- 24 DASH client.

1

2 1 Introduction

3 1.1 General

- 4 This document specifies the reference architecture and interoperability points for a DASH-based
- 5 ad insertion solution.
- 6 The baseline reference architecture addresses both server-based and app-based scenarios. The for-
- 7 mer approach is what is typically used for Apple HLS, while the latter is typically used with Mi-
- 8 crosoft SmoothStreaming and Adobe HDS.

9 **1.2 DASH Concepts**

- 10 DASH ad insertion relies heavily on several DASH tools, which are introduced in this section. The
- 11 correspondence between these tools and ad insertion concepts will be established later. Remote
- 12 elements

13 **1.2.1 Remote Elements**

- 14 Remote elements are elements that are not fully contained in the MPD document but are referenced
- in the MPD with an HTTP-URL using a simplified profile of XLink.
- A remote element has two attributes, @xlink:href and @xlink:actuate. @xlink:href contains the
- 17 URL for the complete element, while <code>@xlink:actuate</code> specifies the resolution model. The value
- 18 "onLoad" requires immediate resolution at MPD parse time, while "onRequest" allows deferred res-
- olution at a time when an XML parser accesses the remote element. In this text we assume deferred
- 20 resolution of remote elements, unless explicitly stated otherwise. While there is no explicit timing
- 21 model for earliest time when deferred resolution can occur, the spec strongly suggests it should be
- 22 close to the expected playout time of the corresponding period.

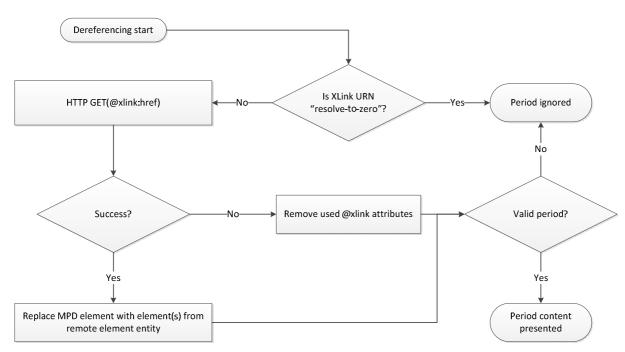


Figure 1: XLink resolution

1 2

- 3 Resolution (a.k.a. dereferencing) consists of two steps. Firstly, a DASH client issues an HTTP
- 4 GET request to the URL contained in the <code>@xlink:href</code>, attribute of the *in-MPD element*, and the
- 5 XLink resolver responds with a remote element entity in the response content. In case of error
- 6 response or syntactically invalid remote element entity, the @xlink:href and @xlink:actuate at-
- 7 tributes are removed from the *in-MPD element*.
- 8 If the value of the <code>@xlink:href</code> attribute is <code>urn:mpeg:dash:resolve-to-zero:2013</code>, HTTP GET re-
- 9 quest is not issued, and the in-MPD element is removed from the MPD. This special case is used
- when a remote element can be accessed (and resolved) only once during the time at which a given
- 11 version of MPD is valid.
- 12 If syntactically valid remote element entity was received, the DASH client will replace in-MPD
- 13 element with remote period entity.
- Once a remote element entity is resolved into a fully specified element, it may contain an
- 15 @xlink:href attribute, which contains a new XLink URL allowing repeated resolution.
- Note that the only information passed from the DASH client to the XLink resolver is encoded
- 17 within the URL. Hence there may be a need to incorporate parameters into it, such as splice time
- 18 (i.e., PeriodStart for the remote period) or cue message.
- 19 A specification of XLink behavior in DASH is provided in 0 sec. 5.5.3.

20 **1.2.2 Periods**

21 **1.2.2.1** Timing

- 22 Periods are time-delimited parts of a media presentation, starting at offset *PeriodStart* from its
- start. PeriodStart can be explicitly stated using the Period@start attribute or indirectly com-
- 24 puted using Period@duration of the previous periods.

- Precise period duration of period i is given by PeriodStart(i+1) PeriodStart(i). This can accom-
- 2 modate the case where media duration of period i is slightly longer than the period itself, in which
- 3 case a client will schedule the start of media presentation for period i+1 at time PeriodStart(i+1).
- 4 Period@presentationTimeOffset specifies the offset of the earliest presentation time of
- 5 the first segment of period i from PeriodStart(i).

6 1.2.2.2 Segment Availability

- 7 In case of dynamic MPDs, Period-level Baseurl@availabilityTimeOffset allow earlier availability
- 8 start times. A shorthand notation @availabilityTimeOffset="INF" at a Period-level BaseURL in-
- 9 dicates that the segments within this period are available at least as long as the current MPD is
- valid. This is the case with stored ad content. Note that DASH also allows specification of @avail-
- 11 abilityTimeOffset at AdaptationSet and Representation level.

12 1.2.2.3 Seamless transition

- 13 The DASH specification says nothing about period transitions i.e., there are no guarantees seam-
- less continuation of playout across the period boundaries. Content conditioning and receiver capa-
- bility requirements should be defined for applications relying on this functionality.

16 1.2.2.4 Period labeling

- 17 Period-level **AssetIdentifier** descriptors identify the asset to which a given period belongs.
- 18 Beyond identification, this can be used for implementation of client functionality that depends on
- distinguishing between ads and main content (e.g. progress bar and random access).

20 1.2.3 DASH events

- 21 DASH events are messages having type, timing and optional payload. They can appear either in
- MPD (as period-level event stream) or inband, as ISO-BMFF boxes of type 'emsg'. The 'emsg'
- boxes appear at the very beginning of the segment, so that DASH client will need a minimal
- amount of parsing to detect them.
- 25 DASH defines three events that are processed directly by a DASH client: MPD Validity Expira-
- 26 tion, MPD Patch and MPD Update. All signal to the client that the MPD needs to be updated by
- 27 providing the publish time of the MPD that should be used, by providing an XML patch that can
- be applied to the client's in-memory representation of MPD, or by providing a complete new MPD.
- 29 User-defined events are also possible. The DASH client does not deal with them directly they
- are passed to an application, or discarded if there is no application willing to process these events.
- 31 A possible client API would allow an application to register callbacks for specific event types.
- 32 Such callback will be triggered when the DASH client parses the 'emsg' box in a segment, or when
- it parses the Event element in the MPD.
- In the ad insertion context, user-defined events can be used to signal information, such as cue
- 35 messages (e.g. SCTE 35, see 4.1.2)

1.2.4 MPD Updates

- 37 If MPD@type='dynamic', it can be periodically updated. These updates can be synchronous,
- 38 in which case their frequency is limited by MPD@minimumUpdatePeriod. In the asynchro-
- 39 nous case MPD updates are triggered by DASH events (see above). An 'emsg' event box for the

- 1 MPD Validity Expiration event specifies the time after which current MPD is invalid and a new
- 2 MPD (with a newer @publishTime) must be obtained to continue playout. Use of MPD Patch
- 3 events provides an optimization that allows not requesting an MPD, and rather specifying the dif-
- 4 ference between the new and the old MPD. This is convenient when changes are relatively small
- 5 e.g., addition of a remote period. In case of more extensive changes, MPD Update message can
- 6 be used to carry the complete MPD inband.
- When new period containing stored ads is inserted into a linear program, and there is a need to
- 8 unexpectedly alter this period the inserted media will not carry the 'emsg' boxes these will need
- 9 to be inserted on-the-fly by proxies. In this case use of synchronous MPD updates may prove
- 10 simpler.
- 11 MPD@publishTime provides versioning functionality: MPD with later publication times in-
- 12 clude all information that was included all MPDs with earlier publication times.

13 **1.2.5 Session information**

- 14 In order to allow fine-grain targeting and personalization, we should be able to know the identity
- of the viewer, i.e. maintain a notion of a session.
- 16 HTTP is a stateless protocol, however state can be preserved by the client and communicated to
- 17 the server.
- 18 The simplest way of achieving this is use of cookies. According to RFC 6265, cookies set via 2xx,
- 4xx, and 5xx responses must be processed and have explicit timing and security model.

20 **1.2.6** Tracking and reporting

- 21 The simplest tracking mechanism is server-side logging of HTTP GET requests. Knowing request
- 22 times and correspondence of segment names to content constitutes an indication that a certain part
- 23 of the content was requested. If MPDs (or remote element entities) are generated on the fly and
- 24 identity of the requester is known, it is possible to provide more precise logging. Unfortunately
- 25 this is a non-trivial operation, as same user may be requesting parts of content from different CDN
- 26 nodes (or even different CDNs), hence log aggregation and processing will be needed.
- 27 Another approach is communicating with existing tracking server infrastructure using existing ex-
- ternal standards. A VAST-based implementation is shown in 3.2.6 below.

2 Architectures

- 30 The possible architectures can be classified based on the location of component that communicates
- 31 with the ad decision service: a server-based approach assumes a generic DASH client and all com-
- 32 munication with ad decision services done at the server side (even if this communication is trig-
- gered by a client request for a segment, remote element, or an MPD. The app-based approach
- 34 assumes an application running on the UE and controlling one or more generic DASH clients.
- Yet another classification dimension is amount of media engines needed for a presentation i.e.,
- 36 whether parallel decoding needs to be done to allow seamless transition between the main and the
- inserted content, or content is conditioned well enough to make such transition possible with a
- 38 single decoder.

Workflows can be roughly classified into *linear* and *elastic*. Linear workflows (e.g., live feed from an event) has ad breaks of known durations which have to be taken: main content will only resume after the end of the break and the programmer / operator needs to fill them with some inserted content. Elastic workflows assume that the duration of an ad break at a given cue location not fixed, thus the effective break length can vary (and can be zero if a break is not taken).

3 Server-based Architecture

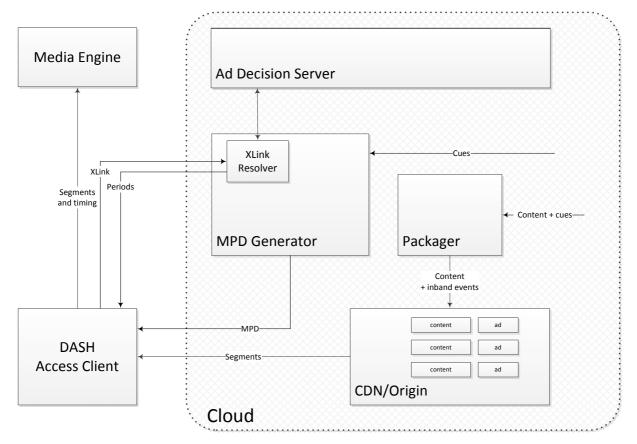


Figure 2: Server-based architecture

In the server-based model, all ad-related information is expressed via MPD and segments, and ad decisions are triggered by client requests for MPDs and for resources described in them (segments, remote periods).

Server-based model is inherently MPD-centric – all data needed to trigger ad decision is concentrated in the MPD. In case where ad break location (i.e., its start time) is unknown at the MPD generation time, it is necessary to rely on MPD update functionality. The two possible ways of achieving these are described in 1.2.4.

In the live case, packager receives feed containing inband cues, such as MPEG-2 TS with SCTE 35 cue messages 0. Packager ingests content segments into the CDN, passing manifest and cue data to the ad management module. In the on demand case, cues can be provided out of band.

Ad management is located at the server side (i.e., in the cloud), thus all manifest and content conditioning is done at the server side.

3.1 Mapping into DASH

3.1.1 Period elements

- 3 A single ad is expressed as a single **Period** element.
- 4 Periods with content that is expected to be interrupted as a result of ad insertion should contain
- 5 explicit start times (**Period**@start), rather than durations. This allows insertion of new periods
- 6 without modifying the existing periods. If a period has media duration longer then the distance
- between the start of this period and the start of next period, use of start times implies that a client
- 8 will start the playout of the next period at the time stated in the MPD, rather than after finishing
- 9 the playout of the last segment.
- 10 An upcoming ad break is expressed as a single Period element, possibly remote.
- Remote Period will be resolved on demand into one or more than one Period elements. It is possi-
- 12 ble to embed parameters from the cue message into the XLink URL of the corresponding remote
- period, in order to have them passed to the ad decision system via XLink resolver at resolution
- 14 time.

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- 15 In an elastic workflow, when an ad break is not taken, the remote period will be resolved into a
- period with zero duration. This period element will contain no adaptation sets.
- 17 If a just-in-time remote Period dereferencing is required by use of @xlink:actuate="onRequest",
- MPD update containing a remote period should be triggered close enough to the intended splice
- 19 time. This can be achieved using MPD Validity events and full-fledged MPD update, or using
- 20 MPD Patch and MPD Update events (see sec. 1.2.4 and 1.2.3).
- 21 It may be operationally simpler to avoid use of onRequest dereferencing in case of linear content.

22 **3.1.2** Asset Identifiers

- 23 AssetIdentifier descriptors iden-
- 24 tify the asset to which a period belongs.
- 25 This can be used for implementation of
- 26 client functionality that depends on distin-
- 27 guishing between ads and main content
- 28 (e.g. progress bar).
- 29 Periods with same AssetIdentifier
- 30 should have identical adaptation sets, ini-
- 31 tialization segments and same DRM in-
- 32 formation (i.e., DRM systems, licenses).
- 33 This allows reuse of at least some initiali-
- 34 zation data across periods of the same as-
- set, and ensures seamless continuation of
- 36 playback if inserted periods have zero du-
- 37 ration

13

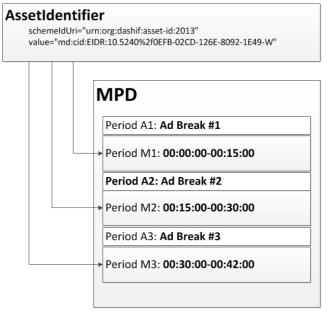


Figure 3: Using an asset identifier

3.1.3 MPD updates

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- 2 MPD updates are used to implement dynamic behavior. An updated MPD may have additional
- 3 (possibly remote) periods. Hence, MPD update should be triggered by the arrival of the first cue
- 4 message for an upcoming ad break. Ad breaks can also be canceled prior to their start, and such
- 5 cancellation will also trigger an MPD update.
- 6 Frequent regular MPD updates are sufficient for implementing dynamic ad insertion. Unfortu-
- 7 nately they create an overhead of unnecessary MPD traffic ad breaks are rare events, while MPD
- 8 updates need to be frequent enough if a cue message is expected to arrive only several seconds
- 9 before the splice point. Use of HTTP conditional GET requests (i.e., allowing the server to respond
- with "304 Not Modified" if MPD is unchanged) is helpful in reducing this overhead, but asynchro-
- 11 nous MPD updates avoid this overhead entirely.
- 12 DASH events with scheme "urn:mpeg:dash:event:2013" are used to trigger asynchronous
- 13 MPD updates.
- 14 The simple mapping of live inband cues in live content into DASH events is translating a single
- cue into an MPD Validity expiration event (which will cause an MPD update prior to the splice
- time). MPD Validity expiration events need to be sent early enough to allow the client request a
- 17 new MPD, resolve XLink (which may entail communication between the resolver and ADS), and,
- 18 finally, download the first segment of the upcoming ad in time to prevent disruption of service at
- 19 the splice point.

20 3.1.4 MPD events

- In addition to tracking events (ad starts, quartile tracking, etc.) the server may also need to signal
- 22 additional metadata to the video application. For example, an ad unit may contain not only inline
- 23 linear ad content (that is to be played before, during, or after the main presentation), it may also
- contain a companion display ad that is to be shown at the same time as the video ad. It is important
- 25 that the server be able to signal both the presence of the companion ad and the additional tracking
- and click-through metadata associated with the companion.
- 27 With that said, there is no need to have a generic DASH client implement this functionality it is
- 28 enough to provide opaque information that the client would pass to an external module. Event
- 29 schemeIdUri provides us with such addressing functionality, while MPD events allow us to put
- 30 opaque payloads into the MPD.

3.2 Workflows

- 33 In the workflows below we assume that our inputs are MPEG-2 transport streams with embedded
- 34 SCTE 35 cue messages. In our opinion this will be a frequently encountered deployment, however
- any other in-band or out-of-band method of getting cue messages and any other input format lend
- 36 themselves into the same model.

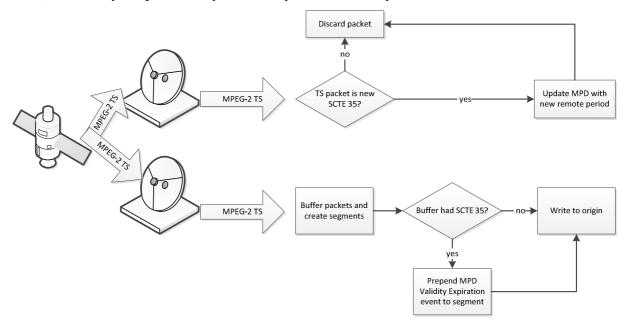
3.2.1 Linear

- 38 A real-time MPEG-2 TS feed arrives at both packager and MPD generator. While real-time mul-
- 39 ticast feeds are a very frequently encountered case, the same workflow can apply to cases such as
- ad replacement in a pre-recorded content (e.g., in time-shifting or PVR scenarios).

31

32

- 1 MPD generator generates dynamic MPDs. Packager creates DASH segments out of the arriving
- 2 feed and writes them into the origin server. Client periodically requests the MPDs so that it has
- 3 enough time to transition seamlessly into the ad period.
- 4 Packager and MPD generator may be tightly coupled (e.g. co-located on the same physical ma-
- 5 chine), or loosely coupled as they both are synchronized only to the clock of the feed.



8 Figure 4: Live workflow

6 7

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3.2.1.1 Cue Interpretation by the MPD generator

- When an SCTE 35 cue message indicating an upcoming splice point is encountered by the MPD generator, the latter creates a new MPD for the same program, adding a remote period to it.
- 12 The **Period**@start attribute of the inserted period has splice time() translated into the
- presentation timeline. Parameters derived from the cue message are inserted into the Pe-
- 14 riod@xlink:href attribute of the inserted period. Examples below show architectures that
- 15 allow finer targeting.

3.2.1.1.1 Example 1: Immediate ad decision

- MPD generator keeps an up-to-date template of an MPD. At each cue message arrival, the gener-
- ator updates its template. At each MPD request, the generator customizes the request based on the
- information known to it about the requesting client. The generator contacts ad decision server and
- 20 produces one or more non-remote ad periods. In this case XLink is not needed.

3.2.1.1.2 Example 2: Stateful cue translation

- MPD generator keeps an up-to-date template of an MPD. At each cue message arrival, the gener-
- 23 ator updates its template. At each MPD request, the generator customizes the request based on the
- information known to it about the requesting client.

- 1 The operator targets separately male and female audiences. Hence, the generator derives this from
- 2 the information it has regarding the requesting client (see 1.2.5), and inserts an XLink URL with
- 3 the query parameter ?sex=male for male viewers, and ?sex=female for the female viewers.

4 3.2.1.1.3 Example 3: Stateless cue translation

- 5 At cue message arrival, the MPD generator extracts the entire SCTE 35 splice_info_sec-
- 6 tion (starting at the table_id and ending with the CRC_32) into a buffer. The buffer is then
- 7 encoded into URL-safe base64url format [RFC 4648], and inserted into the XLink URL of a new
- 8 remote Period element. splice time is translated into **Period**@start attribute. The new
- 9 MPD is pushed to the origin.
- Note: this example follows the technique defined for HLS in [OATC], but uses slightly different
- encoding as the section is included in a URI.

12 3.2.1.2 Cue Interpretation by the packager

- 13 Cue interpretation by the packager is optional and is an optimization, rather than core functionality.
- On reception of an SCTE 35 cue message signaling an upcoming splice, an `emsg` with MPD
- Validity Expiration event is inserted into the first available segment. This event triggers an MPD
- update, and not an ad decision, hence the sum of the earliest presentation time of the 'emsg'-
- bearing segment and the `emsg`.presentation_time_delta should be sufficiently earlier than
- 18 the splice time. This provides the client with sufficient time to both fetch the MPD and resolve
- 19 XLink.
- 20 splice_time() of the cue message is translated into the media timeline, and last segment be-
- 21 fore the splice point is identified. If needed, the packager can also finish the segment at the splice
- 22 point and thus having a segment shorter than its target duration.

23 3.2.1.3 Multiple cue messages

- 24 There is a practice of sending several SCTE 35 cue messages for the same splice point (e.g., the
- 25 first message announces a splice in 6 seconds, the second arrives 2 seconds later and warns about
- 26 the same splice in 4 seconds, etc.). Both the packager and the MPD generator react on the same
- 27 first message (the 6-sec warning in the example above), and do nothing about the following mes-
- 28 sages.

29 **3.2.1.4** Cancelation

- 30 It is possible that the upcoming (and announced) insertion will be canceled (e.g., ad break needed
- 31 to be postponed due to overtime). Cancelation is announced in a SCTE 35 cue message.
- When cancelation is announced, the packager will insert the corresponding 'emsg' event and the
- 33 MPD generator will create a newer version of the MPD that does not contain the inserted period
- or sets its duration to zero. This implementation maintains a simpler less-coupled server side sys-
- 35 tem at the price of an increase in traffic.

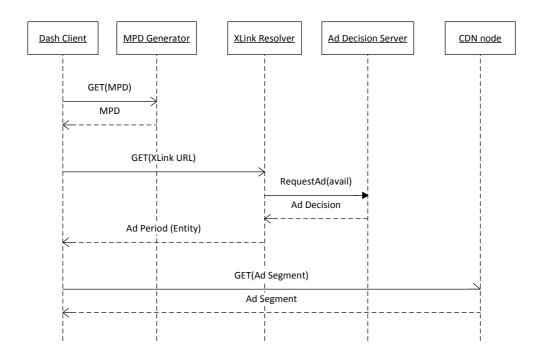
36 3.2.1.5 Early termination

- 37 It is also possible that a planned ad break will need to be cut short e.g., an ad will be cut short
- and there will be a switch to breaking news. The DASH translation of this would be creating an

- 1 `emsg` at the packager and updating the MPD appropriately. Treatment of early termination here
- would be same as treatment of a switch from main content to an ad break.
- 3 It is easier to manipulate durations when Period@duration is absent and only Period@start is used
- 4 this way attributes already known to the DASH client don't change.

3.2.1.6 Ad decision

5



7 Figure 5: Ad Decision

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- 8 A client will attempt to dereference a remote period element by issuing an HTTP GET for the URL
- 9 that appears in Period@xlink:href. The HTTP server responding to this request (XLink re-
- solver) will contact the ad decision service, possibly passing it parameters known from the request
- URL and from client information available to it from the connection context. In case described in
- 12 3.2.1.1.3, the XLink resolver has access to a complete SCTE 35 message that triggered the splice.
- 13 The ad decision service response identifies the content that needs to be presented, and given this
- information the XLink resolver can generate one or more Period elements that would be then re-
- turned to the requesting DASH client.
- 16 A possible optimization is that resolved periods are cached e.g. in case of 3.2.1.1.1 "male" and
- 17 "female" versions of the content are only generated once in T seconds, with HTTP caching used
- 18 to expire the cached periods after *T* seconds.

3.2.2 On Demand

- 20 In a VoD scenario, cue locations are known ahead of time. They may be available multiplexed into
- 21 the mezzanine file as SCTE 35 or SCTE 104, or may be provided via an out-of-band EDL.

- 1 In VoD workflows both cue locations and break durations are known, hence there is no need for a
- 2 dynamic MPD. Thus cue interpretation (which is same as in 3.2.1) can occur only once and result
- 3 in a static MPD that contains all remote elements with all Period elements having Pe-
- 4 **riod**@start attribute present in the MPD.
- 5 In elastic workflows ad durations are unknown, thus despite our knowledge of cue locations within
- 6 the main content it is impossible to build a complete presentation timeline. **Period**@duration
- 7 needs to be used. Remote periods should be dereferenced only when needed for playout. In case
- 8 of a "jump" random access into an arbitrary point in the asset it is a better practice not to
- 9 dereference Period elements when it is possible to determine the period from which the playout
- starts using Period@duration and asset identifiers. The functionality described in 3.2.1 is
- sufficient to address on-demand cases, with the only difference that a client should be able to
- handle zero-duration periods that are a result of avails that are not taken.

3.2.3 Capture to VoD

- 14 Capture to VoD use case is a hybrid between pure linear and on demand scenarios: linear content
- is recorded as it is broadcast, and is then accessible on demand. A typical requirement is to have
- the content available with the original ad for some time, after which ads can be replaced
- 17 There are two possible ways of implementing the capture-to-VoD workflow.
- 18 The simplest is treating capture-to-VoD content as plain VoD, and having the replacement policy
- implemented on the XLink resolver side. This way the same Period element(s) will be always
- 20 returned to the same requester within the window where ad replacement is disallowed; while after
- 21 this window the behavior will be same as for any on-demand content. An alternative implementa-
- 22 tion is described in 3.2.4 below.
- 23 [[Ed.: address blackout use cases]]

24 3.2.4 Slates and ad replacement

- A content provider (e.g., OTT) provides content with ad breaks filled with its own ads. An ISP is
- allowed to replace some of these with their own ads. Conceptually there is content with slates in
- 27 place of ads, but all slates can be shown and only some can be replaced.
- An ad break with a slate can be implemented as a valid in-MPD Period element that also has XLink
- 29 attributes. If a slate is replaceable, XLink resolution will result in new Period element(s), if not –
- 30 the slate is played out.

31 3.2.5 Blackouts and Alternative content

- 32 In many cases broadcast content cannot be shown to a part of the audience due to contractual
- 33 limitations (e.g., viewers located close to an MLB game will not be allowed to watch it, and will
- 34 be shown some alternative content). While unrelated to ad insertion per se, this use case can be
- 35 solved using the same "default content" approach, where the in-MPD content is the game and the
- 36 alternative content will be returned by the XLink resolver if the latter determines (in some unspec-
- ified way) that the requester is in the blackout zone.

3.2.6 Tracking and reporting

- 2 A Period, either local or a remote entity, may contain an EventStream element with an event con-
- 3 taining IAB VAST 3.0 Ad element. DASH client does not need to parse the information and act
- 4 accordingly if there is a listener to events of this type, this listener can use the VAST 3.0 Ad
- 5 element to implement reporting, tracking and companion ads. The processing done by this listener
- 6 does not have any influence on the DASH client, and same content would be presented to both
- 7 "vanilla" DASH client and the player in which a VAST module registers with a DASH client a
- 8 listener to the VAST 3.0 events.

9 3.3 Examples

3.3.1 MPD with mid-roll ad breaks and default content

- In this example, a movie ("Top Gun") is shown on a linear channel and has two mid-roll ad breaks.
- Both breaks have default content that will be played if the XLink resolver chooses not to return
- 13 new Period element(s) or fails.
- 14 In case of the first ad break, SCTE 35 cue message is passed completely to the XLink resolver,
- 15 together with the corresponding presentation time. The syntax in this case is similar to what is
- proposed in 0 for HLS.
- In case of the second ad break, proprietary parameters u and z describe the main content and the publishing site.

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```
<MPD xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"</pre>
   xmlns="urn:mpeg:dash:schema:mpd:2011"
   xsi:schemaLocation="urn:mpeg:dash:schema:mpd:2011 DASH-MPD.xsd"
   type="dynamic"
   minimumUpdatePeriod="PT2S"
   timeShiftBufferDepth="PT600S"
   minBufferTime="PT2S"
   profiles="urn:mpeg:dash:profile:isoff-live:2011"
    availabilityStartTime="2012-12-25T15:17:50">
    <BaseURL>http://cdn1.example.com/</BaseURL>
    <BaseURL>http://cdn2.example.com/</BaseURL>
    <Period start="PT0.00S" duration="PT600.6S" id="movie period #1">
        <AssetIdentifier schemeIdUri="urn:org:dashif:asset-id:2013"</pre>
            value="md:cid:EIDR:10.5240%2f0EFB-02CD-126E-8092-1E49-W">
        <AdaptationSet mimeType="video/mp4" codecs="avc1.640828"</pre>
            frameRate="24000/1001" segmentAlignment="true" startWithSAP="1">
            <BaseURL>video_1/</BaseURL>
            <SegmentTemplate timescale="90000" initialization="$Band-</pre>
width%/init.mp4v"
               media="$Bandwidth$/$Number%05d$.mp4v"/>
            <Representation id="v0" width="320" height="240" bandwidth="250000"/>
            <Representation id="v1" width="640" height="480" bandwidth="500000"/>
            <Representation id="v2" width="960" height="720" bandwidth="1000000"/>
        </AdaptationSet>
    </Period>
    <!-- Mid-roll advertisement, passing base64url-coded SCTE 35 to XLink resolver
```

```
<Period duration="PT60.6S" id="ad break #1"</pre>
            xlink:href="https://adserv.com/avail.mpd?time=54054000&id=1234567&
                  cue=DAIAAAAAAAAAAAAAAAZ_IOVniQAQAgBDVUVJQAAAAH+cAAAAAA=="
            xlink:actuate="onRequest" >
        <!-- Default content, replaced by elements from remote entity -->
        <AdaptationSet mimeType="video/mp4" codecs="avc1.640828"</pre>
                        frameRate="30000/1001"
            segmentAlignment="true" startWithSAP="1">
            <BaseURL availabilityTimeOffset="INF">default_ad/</baseURL>
            <SegmentTemplate timescale="90000" initialization="$Band-</pre>
width%/init.mp4v'
                media="$Bandwidth%/$Time$.mp4v"/>
            <Representation id="v0" width="320" height="240" bandwidth="250000"/>
            <Representation id="v1" width="640" height="480" bandwidth="500000"/>
            <Representation id="v2" width="960" height="720" bandwidth="1000000"/>
        </AdaptationSet>
    </Period>
    <!-Movie, cont'd -->
    <Period duration="PT600.6S" id="movie period #2">
        <AssetIdentifier schemeIdUri="urn:org:dashif:asset-id:2013"</pre>
            value="md:cid:EIDR:10.5240%2f0EFB-02CD-126E-8092-1E49-W">
        <AdaptationSet mimeType="video/mp4" codecs="avc1.640828"</pre>
                        frameRate="24000/1001"
            segmentAlignment="true" startWithSAP="1">
            <BaseURL>video_2/</BaseURL>
            <SegmentTemplate timescale="90000" initialization="$Band-</pre>
width%/init.mp4v"
                media="$Bandwidth%/$Time$.mp4v"/>
            <Representation id="v0" width="320" height="240" bandwidth="250000"/>
            <Representation id="v1" width="640" height="480" bandwidth="500000"/>
            <Representation id="v2" width="960" height="720" bandwidth="1000000"/>
        </AdaptationSet>
    </Period>
    <!-- Mid-roll advertisement, using proprietary parameters -->
    <Period start="PT60.6S" id="ad break #2"
        xlink:href="https://adserv.com/avail.mpd?u=0EFB-02CD-126E-8092-1E49-
W&z=spam"
        xlink:actuate="onRequest" >
        <!-- Default content, replaced by elements from remote entity -->
        <AdaptationSet mimeType="video/mp4" codecs="avc1.640828"</pre>
                        frameRate="30000/1001"
            segmentAlignment="true" startWithSAP="1">
            <BaseURL availabilityTimeOffset="INF">default_ad2/</baseURL>
            <SegmentTemplate timescale="90000" initialization="$Band-</pre>
width%/init.mp4v"
                media="$Bandwidth%/$Time$.mp4v"/>
            <Representation id="v0" width="320" height="240" bandwidth="250000"/>
<Representation id="v1" width="640" height="480" bandwidth="500000"/>
            <Representation id="v2" width="960" height="720" bandwidth="1000000"/>
        </AdaptationSet>
    </Period>
</MPD>
```

Figure 6: Example of MPD for "Top Gun" movie

4 App-based Architecture

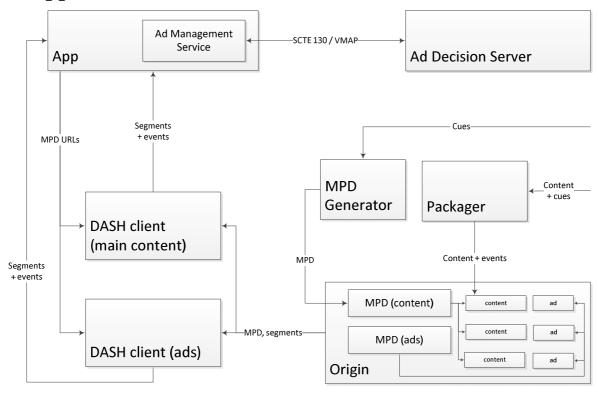


Figure 7: App-based architecture

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- Inputs in this use case are same as the ones described in sec. 3. At the packaging stage, cues are translated into a format readable by the app or/and DASH client and are embedded into media segments or/and into the manifest
- Ad management module is located at the client side. The DASH client receives manifest and segments, with cues embedded in either one of them or in both.
- Cue data is passed to the ad management module, which contacts the ad decision service and receives information on content to be played. This results in an MPD for an inserted content and a splice time at which presentation of main content is paused and presentation of the inserted content starts.
- Note that this architecture does not assume multiple decoders with careful conditioning it is possible to do traditional splicing where inserted content is passed to the same decoder. In this case it is necessary to keep a player state and be able to initialize a player into this state.

4.1 Mapping into DASH

This section details mapping of elements of the reference architecture into DASH concepts per the 2nd edition of the specification (i.e., ISO/IEC 23009-1:2014).

4.1.1 MPD

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- 2 Each ad decision results in a separate MPD. A single MPD contains either main content or inserted
- 3 content; existence of multiple periods or/and remote periods is possible but not essential.

4 4.1.2 DASH events

- 5 Cue messages are mapped into DASH events, using inband 'emsg' boxes and/or in-MPD events.
- 6 Note that SCTE 35 cue message may not be sufficient by itself.
- 7 The examples below show use of SCTE 35 in user-defined events, and presentation time indicates
- 8 the timing in within the Period.
- 9 Figure 8 below shows the content of an 'emsg' box at the beginning of a segment with earliest
- presentation time T. There is a 6-sec warning of an upcoming splice delta to splice time is indi-
- cated as 6 seconds and duration is given as 1 minute. This means that an ad will start playing at
- 12 time T + 6 till T + 66. This example follows a practice illustrated in 0.

```
scheme_id_uri="urn:scte:scte35:2013:xml"
                              value=1001
                            timescale=90000
                     presentation time delta=540000
                            duration=5400000
                                 id=0
                            message_data[]=
<SpliceInfoSection ptsAdjustment="0" scte35:tier="22">
   <SpliceInsert</pre>
       spliceEventId="111" spliceEventCancelIndicator="false"
       outOfNetworkIndicator="true" uniqueProgramId="65535"
       availNum="1" availsExpected="2" spliceImmediateFlag="false">
       <Program><SpliceTime ptsTime="122342"/></Program>
       <BreakDuration autoReturn="false" duration="5400000"/>
   </SpliceInsert>
   <AvailDescriptor scte35:providerAvailId="332"/>
</SpliceInfoSection>
```

17 Figure 8: Inband carriage of SCTE 35 cue message

- Figure 9 below shows the same example with an in-MPD SCTE35 cue message. The difference is in the in-MPD event the splice time is relative to the Period start, rather than to the start of the
 - event-carrying segment. This figure shows a one-minute ad break 10 minutes into the period.

```
<EventStream schemeIdUri="urn:scte:scte35:2013:xml">
    <Event timescale="90000" presentationTime="54054000" duration="5400000" id="1">
        <scte35:SpliceInfoSection scte35:ptsAdjustment="0" scte35:tier="22">
             <scte35:SpliceInsert</pre>
                  scte35:spliceEventId="111"
                  scte35:spliceEventCancelIndicator="false"
                  scte35:outOfNetworkIndicator="true"
                  scte35:uniqueProgramId="65535"
                  scte35:availNum="1"
                  scte35:availsExpected="2"
                  scte35:spliceImmediateFlag="false">
                  <scte35:Program>
                      <!-- Event timing is given by Event@presentationTime, -->
                      <!-- splice_time() processing is up to the application -->
                      <scte35:SpliceTime scte35:ptsTime="122342"/>
                  </scte35:Program>
                  <scte35:BreakDuration
                          scte35:autoReturn="false" scte35:duration="5400000"/>
             </scte35:SpliceInsert>
             <scte35:AvailDescriptor scte35:providerAvailId="332"/>
         </scte35:SpliceInfoSection>
    </Event>
</EventStream>
```

4 Figure 9: In-MPD carriage of SCTE 35 cue message

6 4.1.3 Asset Identifiers

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7 See sec. 3.1.2 for details.

4.2 Workflows

4.2.1 Linear

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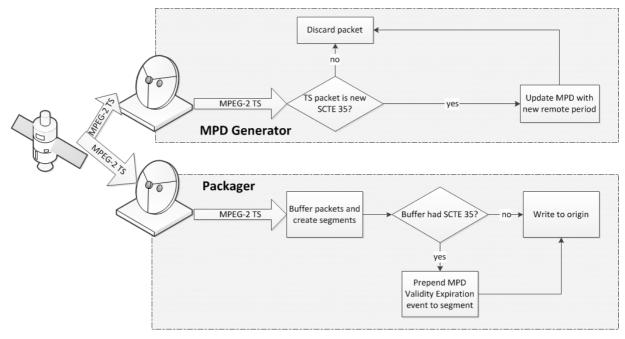


Figure 10: Linear workflow for app-driven architecture

- A real-time MPEG-2 TS feed arrives at a packager. While real-time multicast feeds are a very frequently encountered case, the same workflow can apply to cases such as ad replacement in a pre-recorded content (e.g., in time-shifting or PVR scenarios).
- 8 Packager creates DASH segments out of the arriving feed and writes them into the origin server.
- 9 The packager translates SCTE 35 cue messages into inband DASH events, which are inserted into media segments.
- MPD generator is unaware of ad insertion functionality and the packager does the translation of
- 12 SCTE 35 cue messages into inband user-defined DASH events. On reception of an SCTE 35 cue
- message signaling an upcoming splice, a `emsg` with a translation of the cue message in its
- 14 `emsq`.message_data[] field is inserted into the most recent segment. This event triggers client
- interaction with an ad decision server, hence the sum of the earliest presentation time of the
- increation with an ad decision server, hence the sum of the carnest presentation time of the
- 16 `emsg`-bearing segment and the `emsg`.presentation_time_delta should be a translation of
- 17 splice_time() into the media timeline.
- 18 An alternative implementation which is more compatible with server-based architecture in sec. 3:
- an MPD generator can generate separate MPDs for both server-based and app-based architectures
- creating remote periods for server-based and in-MPD SCTE 35 events for app-based architectures,
- 21 while a packager can insert inband MPD Validity Expiration
- A DASH client will pass the event to the app controlling it (e.g., via a callback registered by the
- 23 app). The app will interpret the event and communicate with the ad decision server using some
- interface (e.g., VAST). This interface is out of the scope of this document.

- 1 The communication with ad decision service will result in an MPD URL. An app will pause the
- 2 presentation of the main content and start presentation of the inserted content. After presenting the
- 3 inserted content the client will resume presentation of the main content. This assumes either proper
- 4 conditioning of the main and inserted content or existence of separate client and decoder for in-
- 5 serted content. The way pause/resume is implemented is internal to the API of the DASH client.
- 6 Interoperability can be achieved by using the DASH MPD fragment interface 0.

7 4.2.2 On Demand

- 8 As in the server-based case, functionality defined for the live case is sufficient. Moreover, the fact
- 9 that that app-based implementation relies heavily on app's ability to pause and resume the DASH
- 10 client, support for elastic workflows is provided out of the box.
- In the on demand case, as cue locations are well-known, it is advantageous to provide a static MPD
- with SCTE 35 events than run a dynamic service that relies on inband events.

5 Interoperability points

- 14 Signaling of ad insertion interoperability point should be done using MPD. SupplementalProperty
- descriptor with @schemeIdUri="urn:org:dashif:iop:adin". The @value attribute shall always
- be present, and shall carry the IOP identifier values defined in this section.

5.1 Server-driven interoperability points

18 **5.1.1 Multiperiod**

- 19 **5.1.1.1** General
- 20 This interoperability point assumes multi-period DASH content, with each Period corresponding
- 21 either to inserted content or a single ad. This IOP assumes all elements are non-remote, i.e. no
- 22 XLink implementation is needed. The downside is that ad decisions need to be made by the time
- 23 MPD is generated or updated.
- 24 In order to facilitate asynchronous MPD updates in a dynamic service the content may contain
- 25 DASH MPD Validity Expiration events.
- 26 Inband MPD Validity Expiration events are expected to occur in some of the media segments.
- 27 Alternatively, MPD Validity Expiration events may appear as MPD events. Either one of the
- 28 mechanisms MPD events or inband events may be used in a single period.
- NOTE: additional user-defined events (either inband or MPD) may be present, but the client is not
- 30 expected to process them
- 31 The compliance to Server-Driven Multiperiod IOP may be signaled by a @profiles attribute
- 32 with the value http://dashif.org/guidelines/adin/multiperiod
- 33 5.1.1.2 Guidelines for Content Authoring
- 34 5.1.1.2.1 Multi-period content
- 35 The DASH-IF DASH-AVC/264 Live Services document sec. 4.3.3 provides guidelines for multi-
- period content. These guidelines should be followed.

1 5.1.1.2.2 Asset Identifiers

- 2 AssetIdentifier descriptor shall be used for distinguishing parts of the same asset within a
- 3 multi-period MPD, hence it shall be used for main content.
- 4 In order to enable better tracking and reporting, unique IDs should be used for different assets.
- 5 Hence it is recommended to use **AssetIdentifier** descriptors in inserted content as well.
- 6 1.) The value of @schemeIdUri shall be "urn:org:dashif:asset-id:2014"
 - 2.) The value of @value attribute descriptor shall be a MovieLabs ContentID URN (0, 2.2.1) for the content. It shall be the same for all parts of an asset. Preferred scheme is EIDR.
 - 3.) If the period is the last period of a multi-period asset, the author may add "one-off" self-contained period (e.g., an advertisement), the value of the **AssetIdentifier**@id attribute shall be a UUID.
- NOTE: if (3) above is not done, the random access logic for a repeated ad will consider every repeated appearance of the ad as a continuation of its previous appearance(s). This way, a second appearance of an ad will have playout bar start at 50%.
- 16 The **AssetIdentifier** descriptor should be used for all multi-period assets.
- 17 If a period has single-period semantics (i.e., an asset is completely contained in a single period,
- and its continuation is not expected in the future), the author shall not use asset identifier on these
- 19 assets.

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- 20 Periods that do not contain non-remote **AdaptationSet** elements, as well as zero-length peri-
- ods shall not contain the **AssetIdentifier** descriptor.
- 22 **5.1.1.2.3** On Demand content
- 23 In case the main content complies with ISO-BMFF On Demand profile, we can assume it is stored
- as a single file. There is no need to create per-period files if this content is offered as multi-period.
- All periods for this asset will have same BaseURL values and different SegmentBase@presen-
- 26 tationTimeOffset values, each corresponding to the media time equivalent to *PeriodStart*. The
- 27 file will contain 'sidx' box(es), and the client will read the index information to calculate the seg-
- 28 ment offsets taking the value of SegmentBase@presentationTimeOffset into account. Note: as
- 29 of August 2014, this is insufficiently clear from the standard.
- 30 **5.1.1.2.4** Events
- 31 **5.1.1.2.4.1** Signaling
- 32 Presence of inband MPD Validity Expiration events shall always be signaled using Adapta-
- 33 tionSet.InbandEventStream element with @schemeIdUri="
- 34 urn:mpeg:dash:event:2012".
- 35 Inband MPD Validity expiration events shall be present in video. If these events are used, all video
- adaptation sets shall carry them.
- 37 **5.1.1.2.4.2** Placement

- 1 'emsg' boxes shall be aligned: if segment $S_{RI}(i)$ is the i^{th} segment of a representation RI within an
- 2 adaptation set containing N representations, then if $S_{R0}(i)$ contains an 'emsg' box, identical 'emsg'
- box will be carried in segments $S_{R2}(i)$..., $S_{RN}(i)$. This means that irrespective of representation
- 4 selected, all 'emsg' boxes will be read if media from an adaptation set is played out.
- Note: this definition will be superseded by the event alignment definition in ISO/IEC 23009-1:2014 AMD1 when published by ISO.
- If several `emsg` boxes are present in a segment and one of them is the MPD Validity Expiration event, `emsg` carrying it shall always appear first.

9

10 **5.1.2 Static just-in-time**

- 11 **5.1.2.1** General
- 12 This interoperability point assumes multi-period DASH content, MPD that contains Pe-
- 13 riod@xlink:href and Period@xlink:actuate. It is a superset of Static Multiperiod IOP (see
- 14 5.1.1), with the addition of XLink.
- 15 The compliance to Static Just-in-time IOP may be signaled by a @profiles attribute with the
- value "http://dashif.org/guidelines/adin/static#jit"
- 17 5.1.2.2 Guidelines for Content Authoring
- 18 **5.1.2.2.1** General
- 19 Guidelines in 5.1.1.2 above apply
- **20 5.1.2.2.2 Remote Periods**
- MPD contains remote periods, some of which may have default content. Some of which are re-
- solved into multiple Period elements.
- 23 After dereferencing MPD may contain zero-length periods or/and remote Periods.
- In case of Period@xlink:actuate="onRequest", MPD update and XLink resolution should be
- done sufficiently early to ensure that there are no artefacts due to insufficient time given to down-
- load the inserted content.
- 27 If deterministic XLink resolution timing is used, the in-MPD remote element can contain a sup-
- 28 plementalProperty descriptor at the Period level (note: this is a COR1 addition). The @schemeI-
- 29 duri attribute value shall be "urn:org:dashif:dereferencing-offset" and @value shall be
- 30 always present and contain an offset, in seconds, from *PeriodStart* till the preferred XLink resolu-
- 31 tion time. For @value=t, XLink dereferencing should be done at time *PeriodStart-t* or later.
- 32 If a Period element is contained in a remote element entity (i.e., contained within the XLink re-
- 33 sponse, which is one or more Period elements) it shall contain Period@start
- 34 **5.1.3 Dynamic just-in-time**
- 35 **5.1.3.1** General
- 36 This interoperability point is a combination of Dynamic Multiperiod IOP and Static Just-in-time
- 37 IOP (see 5.1.2 above). MPD may contain remote Period elements (i.e., Period@xlink:href and

- 1 Period@xlink:actuate may be present) and MPD updates may be triggered by MPD Validity
- 2 Expiration events.
- 3 The compliance to Dynamic Just-in-time IOP may be signaled by a @profiles attribute with
- 4 the value "http://dashif.org/guidelines/adin/dynamic#jit"
- 5 5.1.3.2 Guidelines for Content Authoring
- **5.1.3.2.1** Timing aspects
- Recommendations 5.1.2.2.2 applies. Care needs to be taken so that the client is given a sufficient
- 8 amount of time to (a) request and receive MPD update, and (b) dereference the upcoming remote
- 9 period.

10 **5.2 App-driven interoperability point**

- 11 **5.2.1 General**
- 12 This interoperability point assumes separate MPDs for main and inserted content.
- 13 Thus DASH is only used as means of transport for cue messages. This profile further relies on
- 14 SCTE 35 cue messages embedded in the MPD or in media segments. While there is no dependency
- on ISO-BMFF Live profile, we expect inband events to be used in conjunction with it.
- 16 The compliance to App-driven IOP may be signaled by a @profiles attribute with the value
- 17 "http://dashif.org/guidelines/adin/app"
- 18 **5.2.2 Guidelines for Content Authoring**
- 19 **5.2.2.1** Events
- 20 **5.2.2.1.1** MPD Events
- 21 Period elements may contain an EventStream element with EventStream@schemeI-
- duri="urn:scte:scte35:2013:xml". Event elements contain XML representation of an SCTE
- 23 35 command. This XML representation is defined in 0. Note that SCTE 35 2014 schema allows
- both more verbose detailed representation of SCTE 35 cue messages and a more compact base64-
- coded one. The latter can be used if verbosity is a concern.
- 26 Sum of Event@presentationTime and Event@duration shall never exceed period duration.
- **27 5.2.2.1.2 Inband** Events
- Media segments contain inband events with scheme "urn:scte:scte35:2013:bin" a complete
- 29 SCTE 35 section as a payload. Sum of the earliest presentation time of the 'emsg'-bearing segment
- and `emsg`.presentation_time_delta is a translation of the splice time into the media time-
- 31 line.
- 32 Presence of inband SCTE 35 events shall always be signaled using AdaptationSet.In-
- 33 bandEventStream element with @schemeIdUri="urn:scte:scte35:2013:xml".
- Event message boxes shall be aligned: if segment $S_{RI}(i)$ is the i^{th} segment of a representation R1
- within an adaptation set containing N representations, then if $S_{R0}(i)$ contains an 'emsg' box, iden-
- 36 tical 'emsg' box will be carried in segments $S_{R2}(i)$..., $S_{RN}(i)$. This means that irrespective of rep-
- 37 resentation selected, all `emsg` boxes will be read if media from an adaptation set is played out.

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