Final Project Report

Integrating Analysis of Dimension Reduction and GMM Model with Gaussian Support Vector Machine Classification

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Prepared for CS 5582 Computer Vision

GitHub Link:

https://github.com/vladi7/ComputerVision/tree/main/TermProject

Option A: Use pretrained VGG16 network final conv pooling features before fc_1 , in this case 512 7x7 feature maps, and use Fisher Vector aggregation to do the classification :

1) [25pts] Compute the PCA and GMM models for the 49-dimension final conv features for kd=[16, 24] and number of components nc=[32, 64, 128] {Hint, refer to HW-2 for solutions}, show your code and results here.

Analysis of the VGG16 Network

The following was used for the reference on how to get the gmm model in matlab: https://www.mathworks.com/help/stats/fitgmdist.html

Other link from documentation were also utilized, but the above one was the main inspiration on how to complete this term project.

First, let me describe the analysis of the vgg16 pretrained network. As the task described, I had to utilize 7x7x512 **pooling feature maps.** The vgg16 description giving in the task is overly simplified, lets take a look at the actual layers of the network that interest us via Matlab Deep Learning Network Analyzer:

conv3_3	18	pool3 2×2 max pooling with stride [2 2] and padding [0 0 0 0]	Max Pooling	28×28×256	-	
relu3_3	19	conv4_1 512 3×3×256 convolutions with stride [1 1] and padding [1 1 1 1]	Convolution	28×28×512	Weights Bias	3×3×256×512 1×1×512
pool3	20	relu4_1 Ret.U	ReLU	28×28×512	-	
conv4_1		conv4_2 512 3x3x512 convolutions with stride [1 1] and padding [1 1 1 1]	Convolution	28×28×512	Weights	3×3×512×512 1×1×512
elu4_1	22	relu4_2 Ret.U	ReLU	28×28×512	-	
conv4_2	23	conv4_3 512 3x3x512 convolutions with stride [1 1] and padding [1 1 1 1]	Convolution	28×28×512	Weights Bias	3×3×512×512 1×1×512
relu4_2 conv4_3	24	relu4_3 RetU	ReLU	28×28×512	-	
relu4_3	25	pool4 2×2 max pooling with stride [2 2] and padding [0 0 0 0]	Max Pooling	14×14×512	-	
pool4	26	conv5_1 512 3x3x512 convolutions with stride [1 1] and padding [1 1 1 1]	Convolution	14×14×512	Weights Bias	3×3×512×512 1×1×512
conv5_1	27	relu5_1 Ret.U	ReLU	14×14×512	-	
relu5_1	28	conv5_2 512 3x3x512 convolutions with stride [1 1] and padding [1 1 1 1]	Convolution	14×14×512	Weights	3×3×512×512 1×1×512
conv5_2	29	relu5_2 ReLU	ReLU	14×14×512	-	
relu5_2	30	conv5_3	Convolution	14×14×512		3×3×512×512
conv5_3		512 3×3×512 convolutions with stride [1 1] and padding [1 1 1 1]			Bias	1×1×512
elu5_3	31	relu5_3 ReLU	ReLU	14×14×512	-	
pool5	32	pool5 2×2 max pooling with stride [2 2] and padding [0 0 0 0]	Max Pooling	7×7×512		
c6	33	fc6 fc96 fully connected layer	Fully Connected	1×1×4096	Weights Bias	4096×25088 4096×1
relu6	34	relu6 Ret.U	ReLU	1×1×4096	-	
	35	drop6	Dropout	1x1x4096	-	
drop6		50% dropout	Dropout.			
	36		Fully Connected	1×1×4096	Weights Bias	4096×4096 4096×1
c7		50% dropout fc7		1×1×4096 1×1×4096		
Tc7	36	50% dropout fc7 4096 fully connected layer relu7	Fully Connected			
fc7 drop7 fc8	36	50% dropout fc7 4095 fully connected layer relu7 Ret.U drop7	Fully Connected	1×1×4096	Bias	
drop6 fc7 relu7 drop7 fc8	36 37 38	50% dropout 167 4696 fully connected layer relu7 ReLU drop7 50% dropout 168	Fully Connected ReLU Dropout	1×1×4096 1×1×4096	Bias - - Weights	4096×1 1000×4096

I marked the three layers of our interests, conv5_3, relu5_3, and pool5. My first intention was to use conv5_3, but after careful analysis, I noticed that this layer has 14x14x512 features maps, which are not pooling with any stride or padding. I specifically do not account for the pool4 layer that happened before the 3 convolution layers, as this pooling is not applicable for the activations of conv5_3. The next layer, ReLU (relu5_3), cannot be used for the same reasons. The next layer (pool5), provides pooling with stride [2 2] and padding [0 0 0 0], as well as feature maps of 7x7x512, which is exactly what the task asked for. Therefore, I am using pool5 and extracting the features from it. The following Matlab commands were used to load the pretrained VGG16 model and analyze the network:

```
net = vgg16('Weights','imagenet');
analyzeNetwork(net);
```

Data Splitting and Feature Extraction

I first removed all the classes that were not required and left only 15 first classes described in the task.

The following command was used to load the images into the datastore:

imds =

Student Name: Vladislav Dubrovenski, Student ID: 16281273

```
imageDatastore('NWPU-RESISC45','IncludeSubfolders',tr
ue, 'LabelSource', 'foldernames');
The above command is very useful since I could also use the folder names to extract labels from
the image folders.
In order to split the as requested in the task, the following command can be utilized:
[training, validation, testing] = splitEachLabel(imds,0.714285,0.142857);
However, as I am going to utilize the Gaussian Classifier from Classification Learned App, I could
set the validation size directly from that app. Therefore, I include the validation set in the training
set that I further load in aforementioned app. The following is used for the actual data splitting:
[training, testing] = splitEachLabel(imds, 0.714285);
I am able to use Deep Learning toolbox from the toolbox, and, therefore, I first augmented the
image data store, and then extract the features with activations command:
augimdsTrain =
augmentedImageDatastore(inputSize(1:2),training);
augimdsTest =
augmentedImageDatastore(inputSize(1:2), testing);
layer = 'pool5';
featuresTestConv5 3 =
activations (net, augimdsTest, layer, 'OutputAs', 'rows', '
MiniBatchSize', 32);
featuresTrainConv5 3 =
activations (net, augimdsTrain, layer, 'OutputAs', 'rows',
'MiniBatchSize', 32);
In the above command, I have to set MiniBatchSize in order to avoid out of memory error as I
only have 6GB in my Discrete Video Card.
I also extract labels from training and testing sets using the following simple commands:
TrainLabels = training.Labels;
TestLabels = testing.Labels;
```

PCA with GMM Models

First, I compute the scores with PCA for 16 dimensions as well as 24 dimensions:

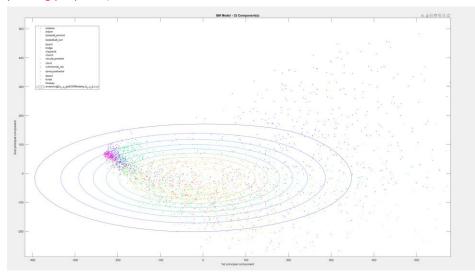
```
[~,score] = pca(featuresTrainConv5_3,'NumComponents',16);
[~,score] = pca(featuresTrainConv5_3,'NumComponents',24);
```

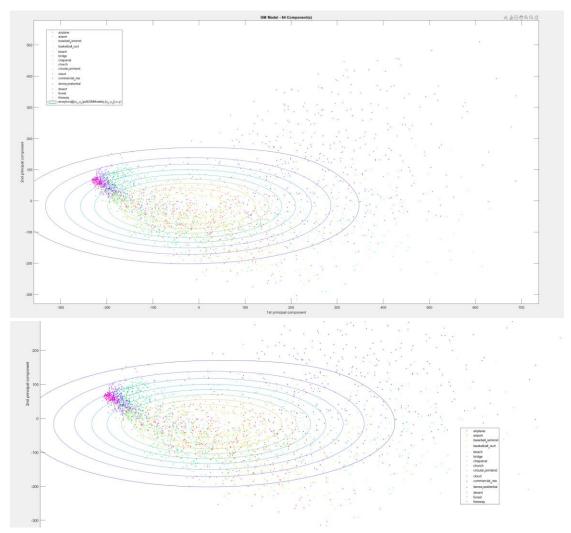
Please note that I do everything in a loop with the number of clusters, and therefore, store the scores and GMMModels in the array.

The following is used for computing the GMModels:

```
GMModels{j} =
fitgmdist(score,nc(j),'Options',options,'SharedCov',true,'CovT
ype','diagonal', 'ProbabilityTolerance',0.0000003)
```

The complete code for the loop is going to be included in the next question, as it also contains the code for finding the optimal combination of number of dimensions and number of clusters. However, for the demonstration purposes, I include the plots of probability density functions(pdf) for number of clusters described in the task and number of dimensions reduced to 2 (only for plotting purposes):





As we can see, the clustering looks similar, since I preserve only two dimensions in each case. I argue, however, that with the number of dimensions larger than 2, the GMM will be proved an efficient solution for the model fitting of this data. The following commands were used to compute the pdfs for each graph:

```
%% Plot 2 Dimensions
 [~, score] = pca(featuresTestConv5 3, 'NumComponents', 2);
 options = statset('MaxIter',1000);
rng(1); % For reproducibility
nc = [32, 64, 128, 32, 64, 128];
 kd = [16,24];
for j = 1:length(nc)
     [~, score] = pca(featuresTestConv5 3, 'NumComponents', 2);
     GMModels[j] = fitgmdist(score,2,'Options',options,'SharedCov',true,'CovType','diagonal', 'ProbabilityTolerance',0.0000003);
     fprintf('\n GM Mean for %i Component(s) and 2 Dimensions\n',nc(j));
     Mu = GMModels{j}.mu;
     AIC(j) = GMModels{j}.AIC;
     if (j == 3)
     break
     end
 [minAIC, numComponents] = min(AIC)
 BestModel = GMModels {numComponents}
for j = 1:length(nc)
     figure
     %subplot(4,4,j)
     h1 = gscatter(score(:,1),score(:,2),TestLabels);
     h = gca;
     hold on
     gmPDF = @(x,y) arrayfun(@(x0,y0) pdf(GMModels{j},[x0 y0]),x,y);
     fcontour(gmPDF,'MeshDensity',100)
     title(sprintf('GM Model - %i Component(s)',nc(j)));
xlabel('1st principal component');
     ylabel('2nd principal component');
     hold off
     if(j==3)
         break
     end
 g = legend(h1);
g.Position = [0.7 0.25 0.1 0.1];
```

2) [75pts] For each of the 15 categories, determine the optimal subset of dimensions, and components to use in 1-vs-rest classification, e.g, for an kd=16, nc=64 FV, we can choose to turn off certain components in GMM to maximize the classification accuracy, similar to the SCFV case covered in class (Lec 9). The basic idea is to examine the class specific component prior, and turn off ones that are too small. Plot your results.

Akaike's Information Criterion(AIC)

The Matlab implementation of GMM model usefully computes AIC by utilization of prior probabilities. AIC further analyzes the amount of information that can be lost by the model, and that what this criterion actually represents, so, the lower the value the better the model.

The following is the complete code for creating six models (combination of number of dimensions and number of clusters described in the task), as well as finding the best model, which occurred to be 128 components with 16 dimensions (which is actually correct since we have only 15 classes, and there is a direct correlation, and 24 is not suitable for our data).

Let me describe the most important code lines below:

The following is used to compute the scores from pca and store them in the score vector. As it can be seen from the above screenshot of the whole code, I did similar thing for 16 dimensions as well.

```
[~,score] =
pca(featuresTrainConv5_3,'NumComponents',24);
scoreArray{j} = score;
```

After that, I compute GMM model with the following command:

```
GMModels{j} =
fitgmdist(score,nc(j),'Options',options,'SharedCov',true,
'CovType','diagonal', 'ProbabilityTolerance',0.0000003)
```

Please note the ProbabilityTolerance option that I pass to the fitter of the GMM model. I found out that 0.0000003 Prior Probability tolerance is the optimal for every class and that is how I turn of the prior probabilities that are too small to consider.

Another important piece of the code is AIC extraction and storage in AIC vector:

```
AIC(j) = GMModels\{j\}.AIC;
```

After the execution of the loop is done, I find the model where AIC value is minimal: [minAIC, numComponents] = min(AIC);

After that, I extract the best model with the following command and print it to the output:

BestModel = GMModels {numComponents}

```
BestModel =

Gaussian mixture distribution with 128 components in 16 dimensions
```

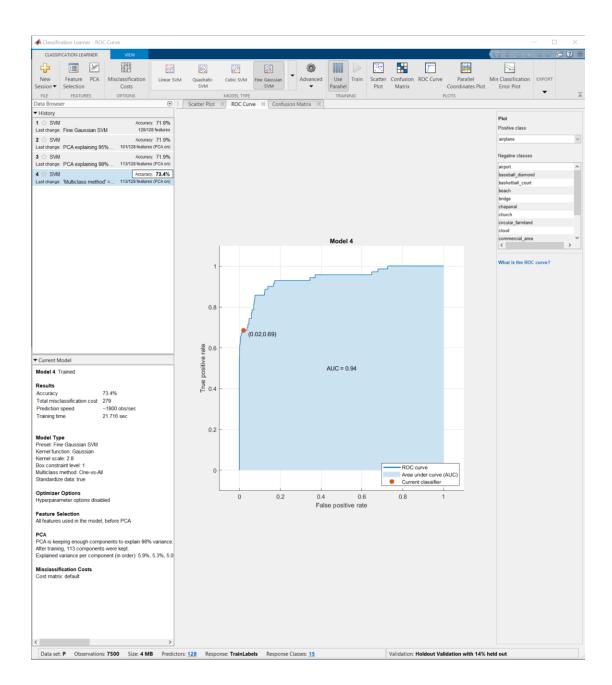
Furthermore, I extract the posterior probabilities for this model and pca scores that are used in the Gaussian SVM classifier:

```
%% Extract Posterior Probabilities for 128 components and 16 dimensions
P = posterior(GMModels{3}, scoreArray{3})
```

Let me note that I was not able to compute the baseline model performance (of the pooling layer) without the dimension reduction due to the limitations of my software. It was not required in the task, however, I did note that when I attempted to compute such baseline model, it took 2 hours to attempt to train it and it was not successful. The training time for the model with Dimension/Feature reductions is just 21.7 seconds, while the prediction speed is around 1800 observations/second (7500 observations total of course).

To further improve the classification, I use the PCA again for Gaussian SVM 1 vs rest to further filter the components. I only used components that explain 98% of the variance. I achieve **73.4%** of accuracy with the above optimizations. I argue that is an excellent result as the training time and inference time is extremely low. This technique can be useful for the computers with the limited computational power (e.g. cell phones).

The below is ROC curve for the best model as well as other important info about the model:



The confusion matrix is as follows:

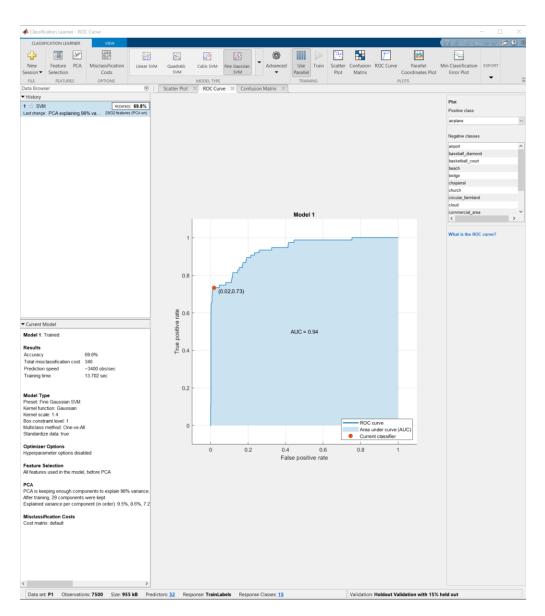
								Model 4							£,
airplane	48	6	6	5	2			2			1				
airport	3	55	2	1		3		1		1	3				1
baseball_diamond	2	2	42	6		3		3	1		4	4		1	2
basketball_court	3	1	9	45				6			2	2			2
beach		1	4		49	1				13			1		1
bridge	5	2	3		2	48			1	1		1			7
chaparral			1							1			2	4	
True Class	2	3	8	6		1		28	1	1	18	2			
circular_farmland			2		3		3								
cloud	1	1	1	1	13				1	47			2	2	1
commercial_area	2	3	1	1				9	1		46	7			
dense_residential			4					6	1		2				
desert					1		1			5					
forest														70	
freeway	1		3	6		6		1				1		3	49
	airplane	airport haseball	diamond	pall_court	beach	pridge	chaparral	church circular	farmland	davd	rcial_area	esidential	desert	forest.	treeway
							F	Predicted Clas	s						

As it can be seen from the above confusion matrix, the worst predicted classes were church, cloud, and beach. It is understandable, as the features of beach and clouds are very similar (misclassification of both therefore), and church with commercial area have similar features as well. The turning of the specific priors did not yield good results as the other classes were misclassified after. Therefore, I further argue that my model is the best model possible for the model described in the tasks.

The following screenshots describe the statistics information for all other cases with the exact same parameters:

KD = 16 NC= 32(Accuracy = 69.8%):

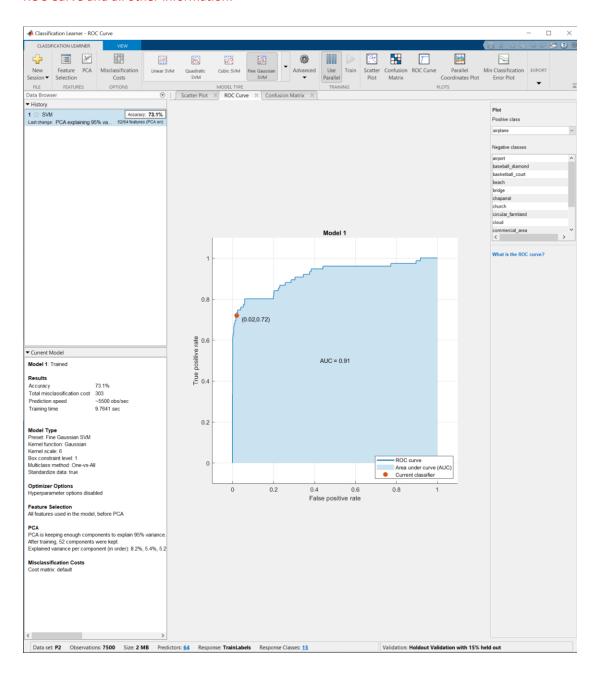
ROC Curve and all other information:



							N	lodel	1				I		
airplane	55	4	2	8				2			3				1
airport	10	57	1	1					1	3	2				
baseball_diamond	1	3	36	16	1	4		6	1		1	3			3
basketball_court	4	4	9	40	1	1		13			1				2
beach		1	1	2	53		2		1	13			2		
bridge		1	5	3	2	52		1		2	1				8
chaparral							65						4	6	
church	1	1	1	5		1		35	1	1	21	7			1
circular_farmland	1	2			1	1	1		65	4					
cloud	2	1			21	1			1	45			2	1	1
commercial_area		6	2	1	1			17			33	15			
dense_residential		5	5			1		7	1		1	53		2	
desert					7					5			63		
forest				1		1				1			1	71	
freeway			2	4		3			1					3	62
gir	plane ai	pask hort pask	nond atball_	court by	sach br	Qhab idge	arral ch circula Predi	urch farm cted	comm	Joud Jercial Jense	area reside	intial de	esert fr	treest	_{3W3Y}

KD = 16 NC= 64(Accuracy = 73.1%):

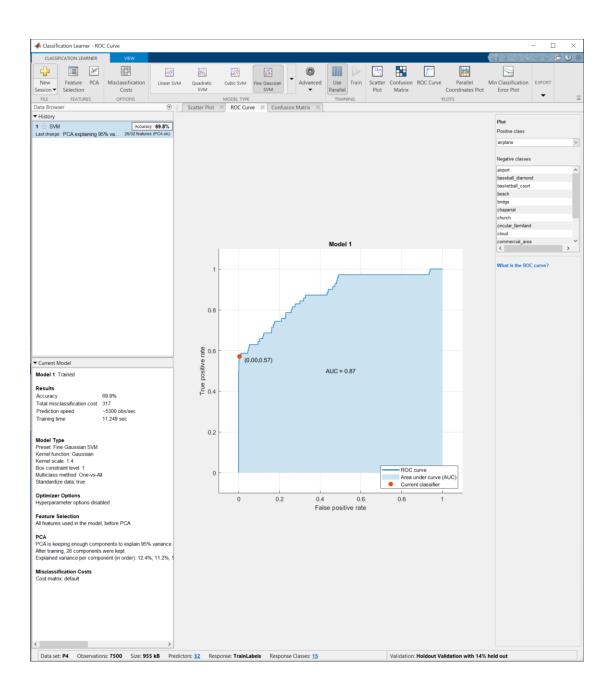
ROC Curve and all other information:



							N	lodel	1						
airplane	54	10	2	4		1		1		1		1			1
airport	4	62	2			3				1	1	1			1
baseball_diamond	4	3	47	5		3		5			3	3		1	1
basketball_court	5	2	11	44		1		8		1	1	1			1
beach			2	2	53	2				14			1		1
bridge	2	6	1	1	2	49				1					13
chaparral			1				63			1			6	4	
church	1	3	10	6		3		28	1	1	16	4			2
circular_farmland			4		2		3		66						
cloud		1	1		13				1	51	1		4	3	
commercial_area	2	6				1		8			51	6	1		
dense_residential		2	2					6			8				1
desert					1					2			72		
forest													1	74	
freeway	3		4	4	1	4				1	1	1		4	52
airs	paseba	rport dian bask	nond .	ourt b	sach br	idge chap		urch farm	County	dense	area reside	intial de	esert f	orest free	KEWE
								icted	Class						

KD = 24 NC= 32(Accuracy = 69.8%):

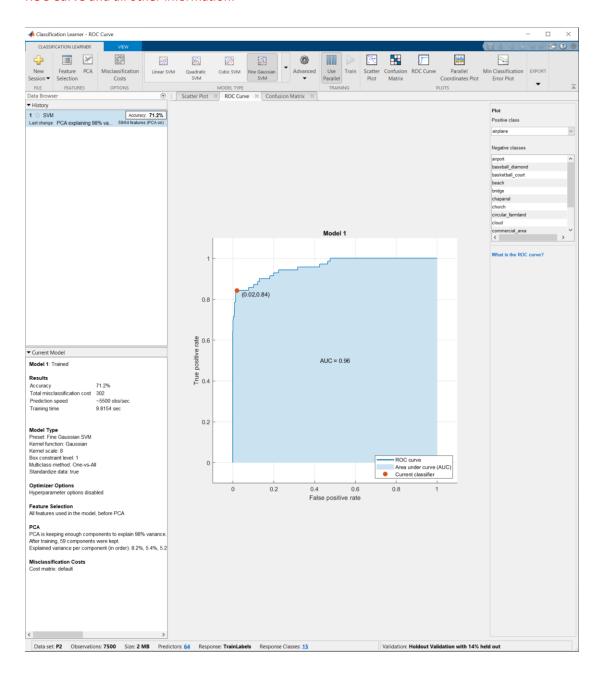
ROC Curve and all other information:



							N	lodel	1						
airplane	40	16	1	5		1		4	1		1				1
airport	1	56	2	2		3		1	1		1			1	2
baseball_diamond		4	48	4		1				1	4	4		4	
basketball_court	2	7	7	34		2		11	1	1	3				2
beach		1	2		60	1				1			5		
bridge		3	1	1	3	52		1			1	1			7
chaparral							48			1			17	4	
church		2	3	6	1	2		43		1	10	1			1
circular_farmland		2		1		1			64	1			1		
cloud		4			50					13			1	2	
commercial_area		8	1					9			39	13			
dense_residential		2	5	1	1			8		1	1	48	1	1	1
desert					1		5						64		
forest										2				68	
freeway		4		2		3		1				1	1	2	56
air	baseba	rport dian bask	nond .	court by	each br	chap			land comm		area	intial de	gsert fo	orest free	_{eway}

KD = 24 NC= 64(Accuracy = 71.2%):

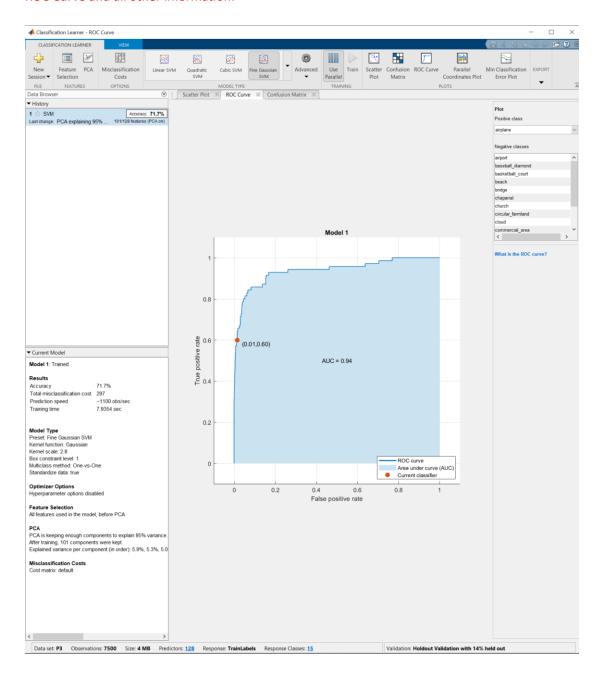
ROC Curve and all other information:



5 49 1 1 1	1 4 35 11	1 13 39	1	3	M	1	1	3	2				1
1 1 1	35	1 13	1	3		1		3	2				1
1 1 1	35	13	1					3	2				
1				3									
1	11	39				5	1	1	2	2		4	1
				2		9			1			1	2
3			49					15		3	2		
	3		2	48				2		1			10
			1		56						9	4	
2	7	6				36			8	5		2	2
1			4				64	1					
			9				1	55			2	2	
9	1					10			42	6	1		1
4	8	1		1		7			9	38		2	
			4		1			8			57		
	1							1			2	65	1
	1	1		5						1		4	56
airbort	nond etball_c	court by	sach br			urch r_farm	comm	loud ercial dense	reside	ntial de	isert fo	rest free	Ken
2	9 4	9 1 4 8	9 1 4 8 1	2 7 6 1 4 9 9 1 4 8 1 1 1	2 7 6 1 4 9 9 1 4 8 1 1 1 1 5 inport amond court beach bridge that basketball court beach bridge that	2 7 6	2 7 6 36 1 4 9 9 1 10 4 8 1 1 7 7 1 1 1 1 1 1 5 1 1 1 1 1 1 1 1 1	2 7 6 36 1 4 64 9 1 10 4 8 1 1 7 4 1 1	2 7 6 36 1 4 64 1 9 1 55 9 1 7 4 1 7 4 1 8 1 1 1 1 1 5	2 7 6 36 8 1 4 64 1 9 1 55 9 10 42 4 1 7 9 4 1 8 1 1 1 1 1 1 5 1	2 7 6 36 8 5 1 4 64 1 1 9 1 55 42 6 4 1 7 9 38 4 1 7 9 38 1 4 1 8 1 1 1 5 1 1	2 7 6 36 8 5 1 4 64 1 4 2 9 1 55 2 2 9 1 10 42 6 1 4 1 7 9 38 1 4 1 8 57 1 1 5 1 1	2 7 6 36 8 5 2 1 4 64 1 4 2 9 1 55 2 2 9 1 10 42 6 1 4 1 7 9 38 2 1 4 1 8 57 1 1 5 1 4

KD = 24 NC= 128(Accuracy = 71.7%):

ROC Curve and all other information:



							N	lodel	1						È
airplane	42	6	6	3		1		11							1
airport	3	54	6		1	2			1		3				
baseball_diamond	2	1	40	1	1	3		9			4	6		2	1
basketball_court	3	1	14	40	1			5	1						5
beach	1	1	1		51	1	1			12			2		
bridge	2	4	3		2	51		4		1					3
chaparral			1				65			1			2	1	
church	2	2	6	5		2		38			13	1			1
circular_farmland		3	3					2	60	2					
cloud		6			6					54			1	3	
commercial_area		5	7	2				10			39	7			
dense_residential		1	7					11				51			
desert					4		2			3			61		
forest			1				1			2				66	
freeway	1	3	5	2	3	7		8							41
airs	paseba	port dian	nond atball_	court by	each br	chap		urch ar farm	land comm	Joud Jercial Jense	area reside	intial de	asert fo	orest free	Kemes

The following running instructions were slightly modified from HW4 as the set up did not change and every feature of Matlab that was used in the HW4 were used in this term project:

Requirements: Matlab 2020b, Deep Learning license, Statistics license, and discrete video card is preferable.

- 1. Run the splitter.
- 2. Import vgg16 pretrained. Most likely, you don't have that model downloaded, so just use the link from the error message. After that, just click on it and follow downloading instruction. You might need an account, it doesn't have to be attached to a license (gmail worked for me). You may see the architecture to make sure it is the correct model.
- 3. Extract the features (need some toolbox license, not sure which one, most likely DL again).
- 4. Use Classification learner with the same parameters as on the screenshots above with P1, P2, P3, P4, P5, P6