

Friday, March 22, 2019

#### **SBG Meetup - Bioinformatics**



Hosted by Marija H. and Andjelka From Seven Bridges Meetups Public group



#### **Details**

Hello and welcome to our first meetup in 2019 where we will cover some interesting topics in the field of bioinformatics. Feel free to join us and our guest Vladimir Perovic from Vinca Institute for a vibrant talk on Friday 22nd of March! Please read on for more information about our agenda and talkers.

#### Agenda:

18:00h - Prediction of protein functions and interactions using machine learning algorithms Vladimir Perovic; Computer Scientist, Research Associate at Vinča Institute

18:40h - Personalized cancer therapy: Discovery of neoantigen cancer markers in the era of NGS data

Vladimir Kovacevic; Bioinformatics Engineer at Seven Bridges

19:20h - Networking, snacks and @

https://www.meetup.com/seven-bridges/events/259527619/



The Cancer Genomics Cloud (CGC), powered by Seven Bridges, is one of three systems funded by the National Cancer

Institute to explore the paradigm of colocalizing massive public datasets, like The Cancer Genomics Atlas (TCGA),
alongside secure and scalable computational resources to analyze them. The CGC makes more than two petabytes of multidimensional data available immediately to authorized researchers. You can add your own data to analyze alongside the
public datasets using predefined analytical workflows or your own tools. Every execution is fully reproducible, and
collaborating with your team is simple and secure.

| Institute to explore the National Cancer | Institute to explore the National Ca

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Last Modified

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In [1]: print("Hello!")

In [2]: 4\*\*3
Out[2]: 64
In []:

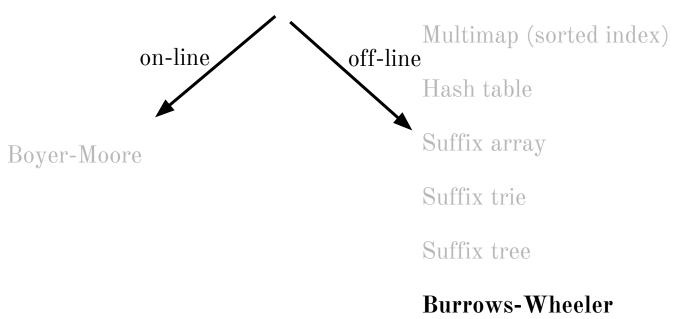


# Burrows-Wheeler Transform and FM Index

Lesson 04

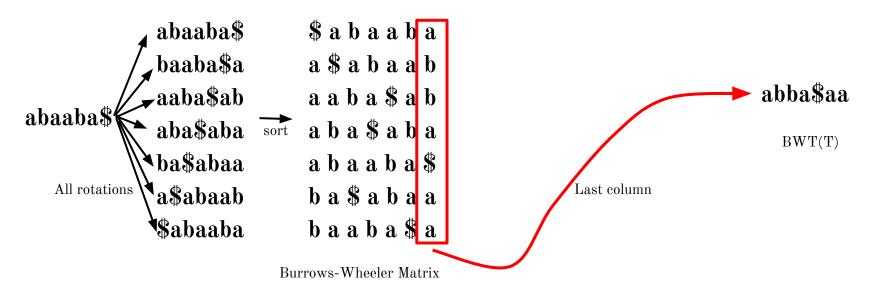
## Recapitulation

Exact string matching algorithms



transform + FM index





How is it useful for compression?

How is it reversible?

How is it an index?

```
def rotations(t):
    """ Return list of rotations of input string t """
    tt = t * 2
    return [tt[i:i+len(t)] for i in range(0, len(t))]

def bwm(t):
    """ Return lexicographically sorted list of t's rotations """
    return sorted(rotations(t))

def bwtViaBwm(t):
    """ Given T, returns BWT(T) by creating BWM """
    return ''.join(map(lambda x: x[-1], bwm(t)))
Take last column
```

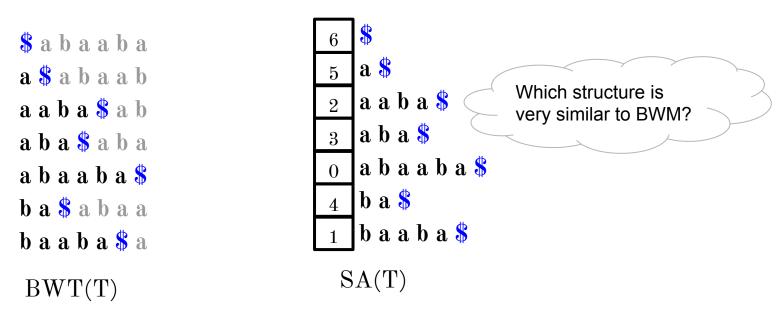
```
>>> bwtViaBwm("Tomorrow_and_tomorrow_and_tomorrow$")
'w$wwdd__nnoooaattTmmmrrrrrooo__ooo'
>>> bwtViaBwm("It_was_the_best_of_times_it_was_the_worst_of_times$")
's$esttssfftteww_hhmmbootttt_ii__woeeaaressIi____'
>>> bwtViaBwm('in_the_jingle_jangle_morning_Ill_come_following_you$')
'u_gleeeengj_mlhl_nnnnt$nwj__lggIolo_iiiiarfcmylo_oo_'
```

- Characters of the BWT are sorted by their right-context

  Right-context from 'a'
- This lends additional structure to BWT(T), tending to make it more compressible

Burrows-Wheeler Matrix

BWM bears a resemblance to the suffix array



Sort order is the same whether rows are rotations or suffixes

$$BWT[i] = \begin{cases} T[SA[i] - 1] \text{ if } SA[i] > 0\\ \$ \text{ if } SA[i] = 0 \end{cases}$$

BWT(T)

6 \$
5 a \$
2 a a b a \$
3 a b a \$
0 a b a a b a \$
4 b a \$
1 b a a b a \$

SA(T)

BWT = characters just to the left of the suffixes in the suffix array

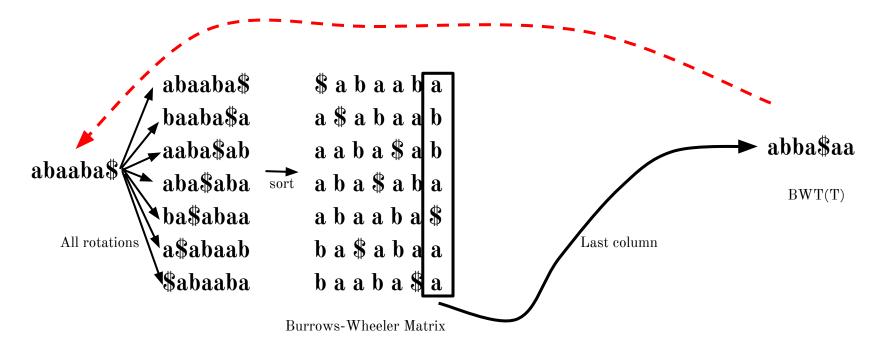
```
def suffixArray(s):
    """ Given T return suffix array SA(T). We use Python's sorted
        function here for simplicity, but we can do better. """
    satups = sorted([(s[i:], i) for i in range(len(s))])
    # Extract and return just the offsets
    return map(lambda x: x[1], satups)

def bwtViaSa(t):
    """ Given T, returns BWT(T) by way of the suffix array. """
    bw = []
    for si in suffixArray(t):
        if si == 0: bw.append('$')
        else: bw.append(t[si-1])
    return ''.join(bw) # return string-ized version of list bw
```

Make suffix array

Take characters just to the left of the sorted suffixes

```
>>> bwtViaSa("Tomorrow_and_tomorrow_and_tomorrow$")
'w$wwdd__nnoooaattTmmmrrrrrrooo__ooo'
>>> bwtViaSa("It_was_the_best_of_times_it_was_the_worst_of_times$")
's$esttssfftteww_hhmmbootttt_ii__woeeaaressIi_____'
>>> bwtViaSa('in_the_jingle_jangle_morning_Ill_come_following_you$')
'u_gleeeengj_mlhl_nnnnt$nwj__lggIolo_iiiiarfcmylo_oo_'
```



How to reverse the BWT?

BWM has a key property called the LF Mapping

## Burrows-Wheeler Transform: T-ranking

T-ranking: Give each character in T a rank, equal to # times the character occurred previously in T.

Now let's re-write the BWM including ranks....

## Burrows-Wheeler Transform: T-ranking

BWT with T-raking: \$ a\_0 b\_0 a\_1 a\_2 b\_1 a\_3 \$ a\_0 b\_0 a\_1 a\_2 b\_1 a\_2 b\_1 a\_3 \$ a\_0 b\_0 a\_1 a\_2 b\_1 a\_3 \$ a\_0 b\_0 a\_1 a\_2 b\_1 a\_2 b\_1 a\_3 \$ a\_0 b\_0 a\_1 a\_2 b\_1 a\_2 b\_1 a\_2 b\_1 a\_3 \$ a\_0 b\_0 a\_1 a\_2 b\_1 a\_2 b\_1 a\_3 \$ a\_0 b\_0 a\_1 a\_2 b\_1 a\_2 b\_1 a\_2 b\_1 a\_3 \$ a\_0 b\_0 a\_1 a\_2 b\_1 a\_2 b\_1

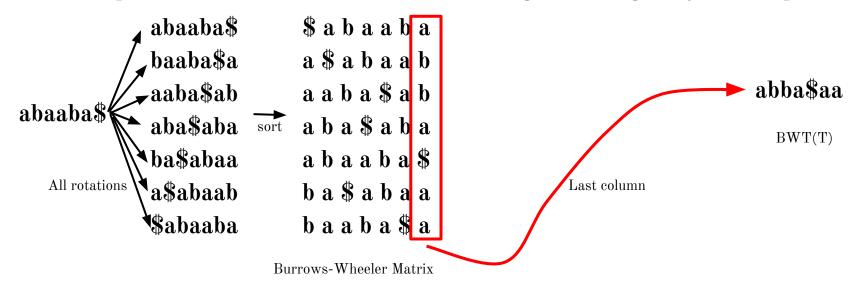
Look at first and last columns, called F and L
"a" occur in the same order in F and L
As we look down columns, in both cases we see: a<sub>3</sub>, a<sub>1</sub>, a<sub>2</sub>, a<sub>0</sub>

## Burrows-Wheeler Transform: T-ranking

BWT with T-raking: \$

Same is with "b"

Reversible permutation of the characters of a string, used originally for compression



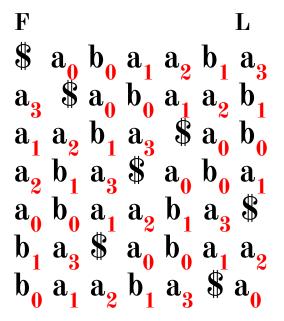
How is it useful for compression?

How is it reversible?

How is it an index?

# Burrows-Wheeler Transform: LF Mapping

BWT with T-raking:



Order of ranks in L is preserved in F!

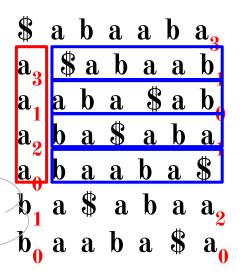
LF Mapping: The i-th occurrence of a character c in L and the i th occurrence of c in F correspond to the same occurrence in T

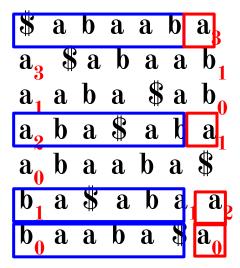
However we rank occurrences of c, ranks appear in the same order in F and L

## Burrows-Wheeler Transform: LF Mapping

Why does the LF Mapping hold? Why are these "a" in this order relative to each other?

They're sorted by right-context!!!





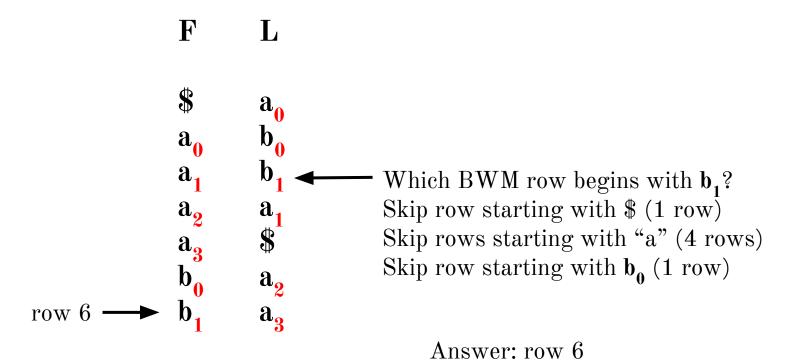
Occurrences of c in F are sorted by right-context. Same for L! Whatever ranking we give to characters in T, rank orders in F and L will match

## Burrows-Wheeler Transform: LF Mapping



Ascending rank

F now has very simple structure: a \$, a block of "a" with ascending ranks, a block of "b" with ascending ranks (we do not have to store its ranks)



Say T has 300 As, 400 Cs, 250 Gs and 700 Ts and \$ < A < C < G < T Which BWM row (0-based) begins with  $G_{100}$ ? (Ranks are B-ranks.)

- Skip row starting with \$ (1 row)
- Skip rows starting with A (300 rows)
- Skip rows starting with C (400 rows)
- Skip first 100 rows starting with G (100 rows)
- Answer: row 1 + 300 + 400 + 100 = row 801

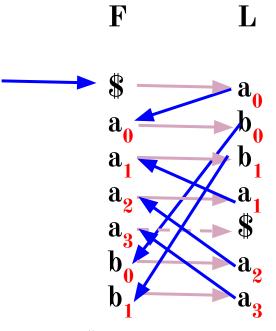
## Burrows-Wheeler Transform: reversing

Reverse BWT(T) starting at right-hand-side of T and moving left

Start in first row. F must have \$.

L contains character just prior to \$:  $\mathbf{a}_0$ 

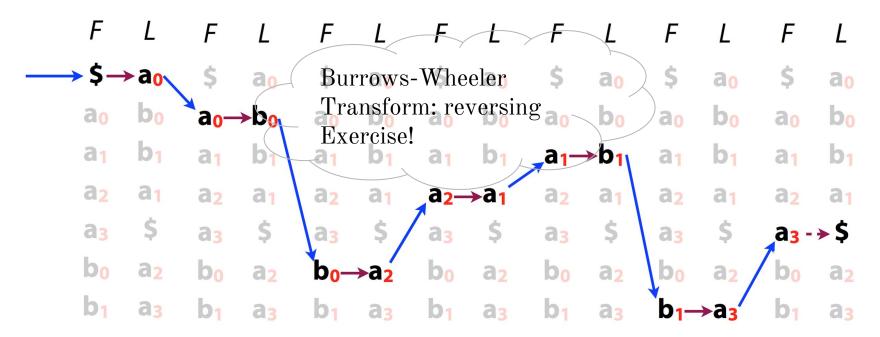
• • •



Reverse of chars we visited  $= a_3 b_1 a_1 a_2 b_0 a_0$  \$ = T

## Burrows-Wheeler Transform: reversing

Another way of visualizing Reverse BWT(T)



## Burrows-Wheeler Transform: reversing

We've seen how BWT is useful for compression:

Sorts characters by right-context, making a more compressible string

And how it's reversible:

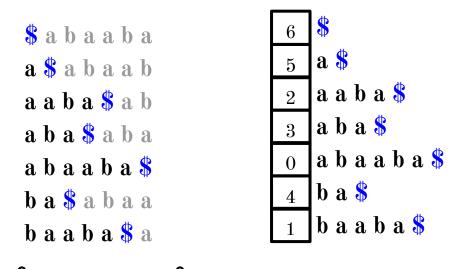
Repeated applications of LF Mapping, recreating T from right to left

How is it used as an index? How to query?

#### FM index

- An index combining the BWT with a few small auxiliary data structures "FM" supposedly stands for "Full-text Minute-space." (But inventors are named Ferragina and Manzini)
  - Core of index consists of F and L from BWM:
    - F can be represented very simply (1 integer per alphabet character)
    - And L is compressible
    - Potentially very space-economical!

Though BWM is related to suffix array, we can't query it the same way



We don't have these columns; binary search isn't possible

Look for range of rows of BWM(T) with P as prefix Do this for P's shortest suffix, then extend to successively longer suffixes until range becomes empty or we've exhausted P

Easy to find all the rows beginning with a, thanks to F's simple structure \$ a b a a b a<sub>0</sub>

a<sub>0</sub> \$ a b a a b a<sub>0</sub>

a<sub>1</sub> a b a \$ a b<sub>1</sub>

a<sub>2</sub> b a \$ a b a \$

b<sub>0</sub> a a b a \$ a<sub>2</sub>

b<sub>1</sub> a \$ a b a a<sub>3</sub>

P = aba

Look for range of rows of BWM(T) with P as prefix Do this for P's shortest suffix, then extend to successively longer suffixes until range becomes empty or we've exhausted P

$$P = aba$$

Look at those rows in L.

 $b_0$ ,  $b_1$  are b-s occurring just to left.

Use LF Mapping. Let new range delimit those b-s b<sub>1</sub> a a b a b a a<sub>2</sub>

$$P = aba$$

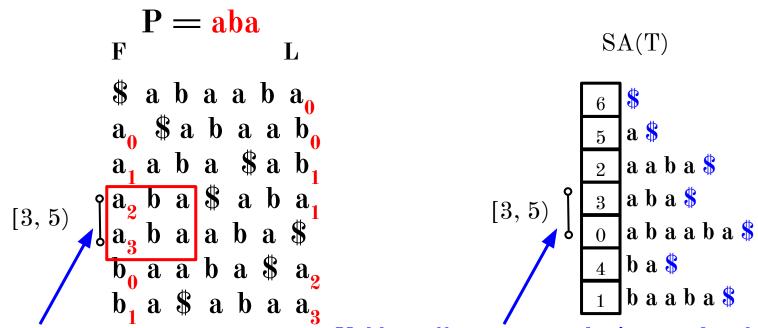
\$ a b a a b a<sub>0</sub>
a<sub>0</sub> \$ a b a a b<sub>0</sub>
a<sub>1</sub> a b a \$ a b<sub>1</sub>
a<sub>2</sub> b a \$ a b a
a<sub>3</sub> b a a b a \$
b<sub>0</sub>
a a b a \$ a<sub>2</sub>
b
a \$ a b a \$
a<sub>3</sub>

We have rows beginning with ba, now we seek rows beginning with aba

Now we have the rows with prefix aba

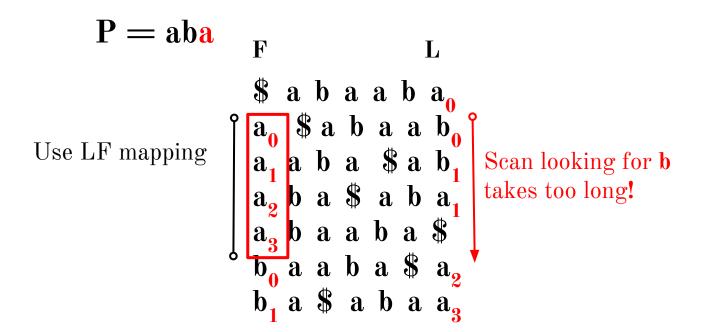
When P does not occur in T, we will eventually fail to find the next character in L:

We have rows beginning with ba, now we seek rows beginning with aba



Where are these?

Unlike suffix array, we don't immediately know where the matches are in T...



### FM Index: Current issues

(1) Scanning for preceding character is slow

```
$ a b a a b a<sub>0</sub>

a<sub>0</sub> $ a b a a b<sub>0</sub>
a<sub>1</sub> a b a $ a b<sub>1</sub>
a<sub>2</sub> b a $ a b a $
b<sub>0</sub> a a b a $ a<sub>2</sub>
b<sub>1</sub> a $ a b a a<sub>2</sub>
```

```
(2) Storing ranks takes too much space
```

```
def reverseBwt(bw):
    ''' Make T from BWT(T) '''
    ranks, tots = rankBwt(bw)
    first = firstCol(tots)
    rowi = 0 # start in first row
    t = '$' # start with rightmost character
    while bw[rowi] != '$':
        c = bw[rowi]
        t = c + t # prepend to answer
        # jump to row that starts with c of same rank
        rowi = first[c][0] + ranks[rowi]
    return t
```

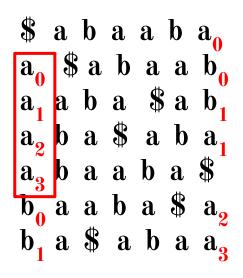
(3) Need way to find where  $a_0$  \$ a b a a  $b_0$  matches occur in T:  $a_1$  a b a \$ a b\_1

[3, 5)
Where are these?

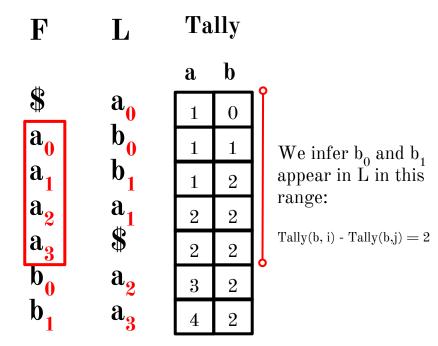
\$ a b a a b a<sub>0</sub>
re a<sub>0</sub> \$ a b a a b<sub>0</sub>
a<sub>1</sub> a b a \$ a b<sub>1</sub>
a<sub>2</sub> b a \$ a b a<sub>1</sub>
a<sub>3</sub> b a a b a \$
b<sub>0</sub> a a b a \$ a<sub>2</sub>
b a \$ a b a \$

## FM Index: fast rank calculations

Is there an O(1) way to determine which **b** precede the **a** in our range?



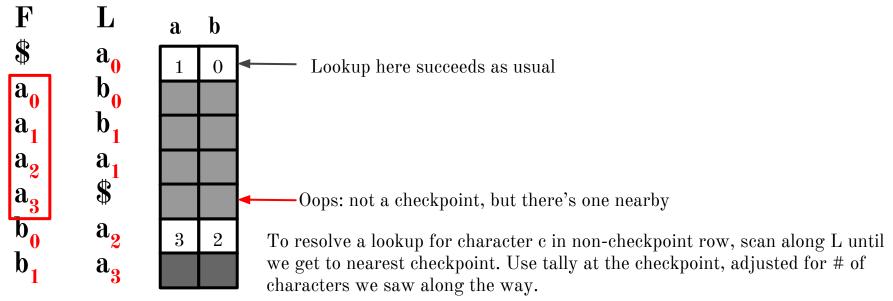
Idea: pre-calculate # a-s, b-s in L up to every row:



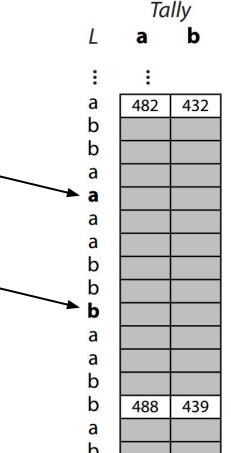
O(1) time, but requires m  $\times |\Sigma|$  integers

## FM Index: fast rank calculations

Another idea: pre-calculate # as, bs in L up to some rows, e.g. every 5th row. Call pre-calculated rows checkpoints.



## FM Index: fast rank calculations



What's my rank?

$$482 + 2 - 1 = 483$$

checkpoint + as along the way - tally->rank

What's my rank?

$$439 - 2 - 1 = 436$$

checkpoint + as along the way - tally->rank

What's my rank?

439 - 2 - 1 = 436

Assuming checkpoints are spaced  $\mathrm{O}(1)$  distance apart, lookups are  $\mathrm{O}(1)$ 

#### FM Index: Current issues

(1) Scanning for preceding character is slow

```
$ a b a a b a<sub>0</sub>

a<sub>0</sub> $ a b a a b<sub>0</sub>
a<sub>1</sub> a b a $ a b<sub>1</sub>
a<sub>2</sub> b a $ a b a<sub>1</sub>
a<sub>3</sub> b a a b a $
b<sub>0</sub> a a b a $ a<sub>2</sub>
b<sub>1</sub> a $ a b a a<sub>3</sub>
```

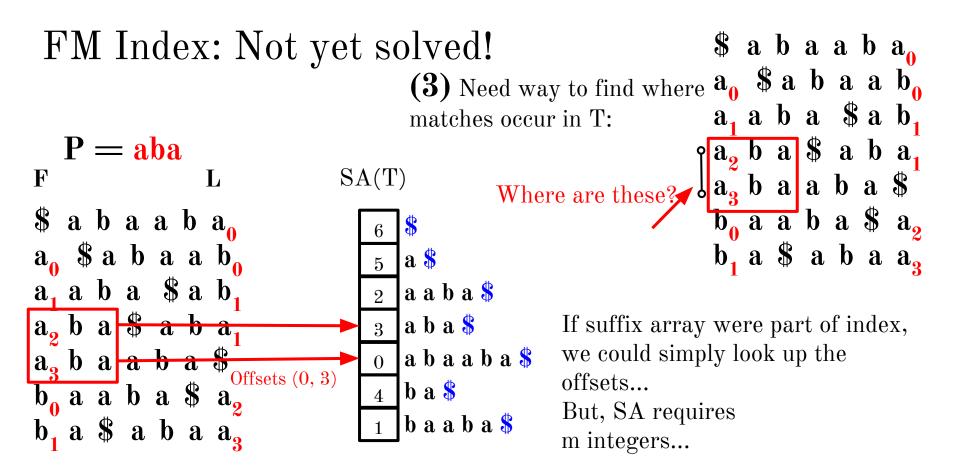
With checkpoints it's O(1)

(2) Storing ranks takes too much space

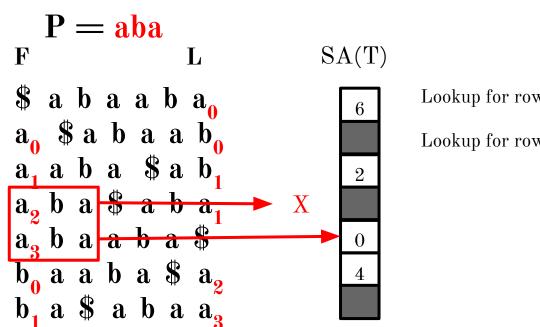
```
def reverseBwt(bw):
    ''' Make T from BWT(T) '''
    ranks, tots = rankBwt(bw)
    first = firstCol(tots)
    rowi = 0 # start in first row
    t = '$' # start with rightmost character
    while bw[rowi] != '$':
        c = bw[rowi]
        t = c + t # prepend to answer
        # jump to row that starts with c of same rank
        rowi = first[c][0] + ranks[rowi]
    return t
```

m integers**'** 

With checkpoints, we greatly reduce # integers needed for ranks - but it's still O(m) space - there's literature on how to improve this space bound



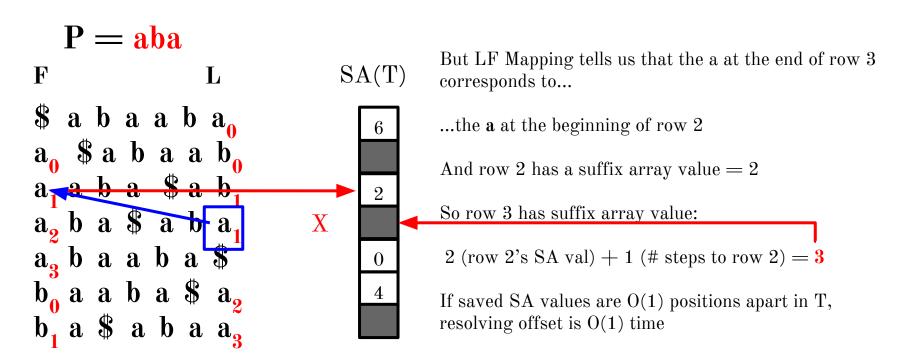
## FM Index: resolving offsets



Lookup for row 4 succeeds - we kept that entry of SA

Lookup for row 3 fails - we discarded that entry of SA

## FM Index: resolving offsets

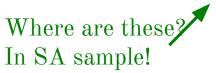


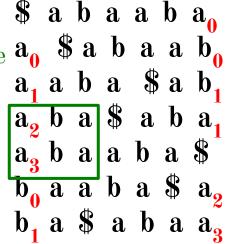
## FM Index: resolving offsets

SA sample(T)



(3) Need way to find where  $\mathbf{a_0}$  \$ a b a a  $\mathbf{b_0}$  matches occur in T  $\mathbf{a_1}$  a b a \$ a b.





With SA sample we can do this in O(1) time per occurrence

## FM Index: small memory footprint

Components of the FM Index:

First column (F):  $\sim |\Sigma|$  integers

Last column (L): m characters

SA sample:  $m \cdot a$  integers, where a is fraction of rows kept

Checkpoints:  $m \times |\Sigma| \cdot b$  integers, where b is fraction of

rows checkpointed

Example: DNA alphabet (2 bits per nucleotide), T = human genome,

a = 1/32, b = 1/128

First column (F): 16 bytes

Last column (*L*): 2 bits \* 3 billion chars = 750 MB

SA sample: 3 billion chars \* 4 bytes/char /  $32 = \sim 400 \text{ MB}$ 

Checkpoints: 3 billion \* 4 bytes/char / 128 = ~ 100 MB

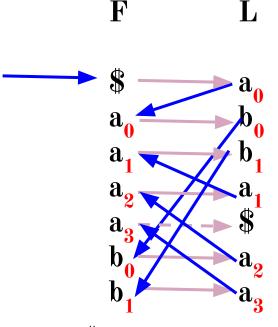
Total < 1.5 GB

## One more time: BWT reversing

Reverse BWT(T) starting at right-hand-side of T and moving left

Start in first row. F must have \$. L contains character just prior to \$: a<sub>0</sub>

. . .



Reverse of chars we visited  $= a_3^{\phantom{\dagger}} b_1^{\phantom{\dagger}} a_1^{\phantom{\dagger}} a_2^{\phantom{\dagger}} b_0^{\phantom{\dagger}} a_0^{\phantom{\dagger}} \$ = T$ 

# One more time: BWT FM Index - querying

Look for range of rows of BWM(T) with P as prefix Do this for P's shortest suffix, then extend to successively longer suffixes until range becomes empty or we've exhausted P

$$P = aba$$

Look at those rows in L.  $b_0$ ,  $b_1$  are b-s occurring

just to left.

Use LF Mapping. Let new range delimit those b-s b<sub>1</sub> a a b a b a a<sub>2</sub>

P = aba

\$ a b a a b a<sub>0</sub>
a<sub>0</sub> \$ a b a a b<sub>0</sub>
a<sub>1</sub> a b a \$ a b<sub>1</sub>
a<sub>2</sub> b a \$ a b a
a<sub>3</sub> b a a b a \$
b<sub>0</sub>
a a b a \$ a<sub>2</sub>
b
a \$ a b a \$
a<sub>3</sub>

# One more time: BWT FM Index - querying

We have rows beginning with ba, now we seek rows beginning with aba

Now we have the rows with prefix aba

#### FM Index

- 1. L = BWT(T)
- 2. First column (number of appearances of each character)
- 3. Suffix Array (or SA Sample)
- 4. Tally (rank, occurrences) matrix

#### FM Index: Example

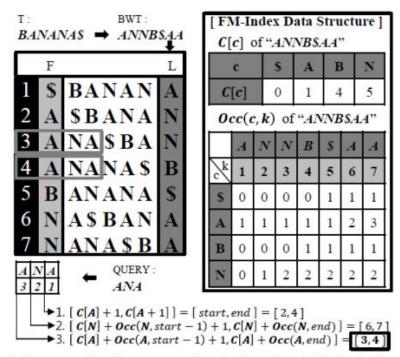


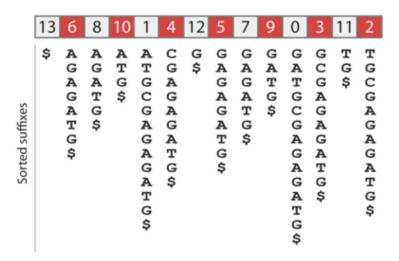
Fig. 3. An example of query search using BWT and FM-index for text T=BANANA\$. The \$ is 'EOF' character.

## FM Index: Example

Search for:

GAGA

**BWT** Occ C[A]+Occ(A,1-1) C[A]+Occ(A,13)-1 A C[G]+Occ(G,4)-1 G C[G]+Occ(G,1-1)C[A]+Occ(A,7-1) A C[A]+Occ(A,10)-1 C[G]+Occ(G,2)-1 G C[G]+Occ(G,1-1)





#### FASTQ

```
@SEQ_ID
GATTTGGGGTTCAAAGCAGTATCGATCAAATAGTAAATCCATTTGTTCAACTCACAGTTT
+
!''*((((***+))%%%++)(%%%%).1***-+*''))**55CCF>>>>>CCCCCCC65
```

A FASTQ (FQ) file normally uses four lines per sequence.

- Line 1 begins with a '@' character and is followed by a sequence identifier and an optional description.
- Line 2 is the raw sequence letters.
- Line 3 begins with a '+' character and is optionally followed by the same sequence identifier (and any description) again.
- Line 4 encodes the quality values for the sequence in Line 2, and must contain the same number of symbols as letters in the sequence.

#### FASTA

#### > CONTIG NAME

GATTTGGGGTTCAAAGCAGTATCGATCAAATAGTAAATCCATTTGTTCAACTCACAGTTT
GATTTGGGGTTCAAAGCAGTAATTTGGGGTTCAAAGCAGTATCGACAAATAGTAAATCCA
TTTGTTCATTCAAAGCAGTAATTTGGGGTTATTTGGGGTTCAAAGCAGTATCGATCAAAT
AGTAAATCCATTTGTTCAACTCACAGTTT
GATT

FASTA is used for storing the sequence of nucleotides or amino acids

#### What is CIGAR string?

• Describes similarity between sequences

```
RefPos: 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 Reference: C C A T A C T G A A C T G A C T A A C Read: A C T A G A A T G G C T
```

POS: 5

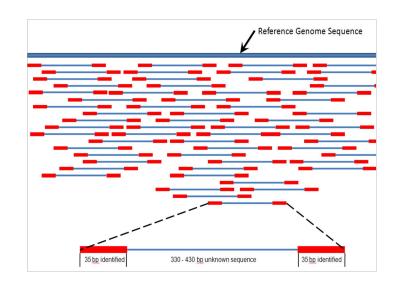
CIGAR: 3M1I3M1D5M

D - delete, I - insert, M - match/mismatch, H - hard clip, S - soft clip, N - skipped from the sequence, P - padding silent

#### BWA-MEM

bwa mem ref.fa read1.fq read2.fq > aln.sam

- http://bio-bwa.sourceforge.net/
- Reference genome index must exist
- Paired-end reads
- Primary and secondary alignment (random)



# BWA-MEM output

Line from SAM file:

>AAA=>?AA>@@@@?AABAB?AABAB?AAB@A?A>A@A?AAAAB??ABAB?79A?AAB;B?@?@<=8:8 XT:A:M XN:i:2 SM:i:37 AM:i:37 XM:i:0 XO:i:0 XG:i:0 RG:Z:SRR035022 NM:i:2 

QNAME	SRR035022.2621862		
FLAG	163		
RNAME	16		
POS	59999		
MAQ	37		
CIGAR	22S54M		
MRNM	=		
MPOS	60102	_	
ISIZE	179	1	the read is paired in sequencing, no m
SEQ	CCAACCCAACCCTAACCCTAACCCTAACCCTAACCCTAACCCTAACCCTAACCCTAACCGACCCTCACCC	1	the read is mapped in a proper pair
QUAL	>AAA=>?AA>@@B@B?AABAB?AABAB?AAC@B?@AB@A?A>A@A?AAAAB??ABAB?79A?AAB;B?@?@<=8:8	0	not unmapped
TAG	XT:A:M	0	mate is not unmapped
TAG	XN:i:2	0	forward strand
TAG	SM:i:37	1	mate strand is negative
TAG	AM:i:37	0	the read is not the first read in a pair
TAG	XM:i:0	1	the read is the second read in a pair
TAG	XO:i:0	_	·
TAG	XG:i:0		
TAG	RG:Z:SRR035022		
TAG	NM:i:2		
TAG	MD:Z:0N0N52		
TAG	OQ:Z:CCCCCCCCCCCCCCCCCCCCCCCCCCCCCCCCCCC		

1	the read is paired in sequencing, no matter whether it is mapped in a pair
1	the read is mapped in a proper pair
0	not unmapped
0	mate is not unmapped
0	forward strand
1	mate strand is negative
0	the read is not the first read in a pair

#### BWA-MEM aligned reads

```
5678901234567890123456789012345
               coor
                       12345678901234
               ref
                       AGCATGTTAGATAA**GATAGCTGTGCTAGTAGGCAGTCAGCGCCAT
Paired-end-
               r001+
                             TTAGATAAAGGATA*CTG
               r002+
                            aaaAGATAA*GGATA
               r003+
                          gcctaAGCTAA
               r004+
                                         ATAGCT
                                                              TCAGC
 Multipart
               r003-
                                                 ttagct TAGGC
               r001-
                                                                CAGCGCCAT
```

Ins & padding Soft clipping

Splicing Hard clipping

```
@SQ SN:ref LN:45
r001 163 ref
                                     39 TTAGATAAAGGATACTA
                30.8M2T4M1D3M =
                                 37
r002
       0 ref
              9 30 356M1P1I4M
                                      Ø AAAAGATAAGGATA
                                  0
r003
                                                     NM:1:1
       0 ref
              9 30 5H6M
                                      0 AGCTAA
r004
       0 ref 16 30 6M14N5M
                                      0 ATAGCTTCAGC
r003
      16 ref 29 30 6H5M
                                      0 TAGGC
                                                     NM:i:0
      83 ref 37 30 9M
r001
                                    -39 CAGCGCCAT
```

# BWA-MEM performance on real data

T	otal reads size [Gb]	Instance	Execution time
	13.6	C3.2xlarge (8CPUs, 15GB)	2h,11min
	23.8	C3.2xlarge (8CPUs, 15GB)	2h, 45min
	100	C3.8xlarge (32CPUs, 60GB)	5h, 30min

#### References

Burrows M, Wheeler DJ: A block sorting lossless data compression algorithm. Digital Equipment Corporation, Palo Alto, CA 1994, Technical Report 124; 1994



