

## MULTICRITERIA ANALYSIS OF AN $L_1$ ADAPTIVE FLIGHT CONTROL SYSTEM

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This paper presents an overview of the application of the Parameter Space Investigation method for the multi-criteria design optimization of the  $L_1$  adaptive flight control system implemented on the two turbine-powered dynamically-scaled GTM AirSTAR aircraft. In particular, the study addresses the improvement of a nominal *prototype* solution, obtained using basic design guidelines of  $L_1$  adaptive control theory. The results validate the theoretical claims of  $L_1$  adaptive control in terms of closed-loop performance and robustness, and illustrate the systematic character of its design procedure. Furthermore, the paper shows the suitability of the Parameter Space Investigation method for the multi-criteria design optimization over a multi-dimensional design variable space of a flight control system subject to desired control specifications. The use of this particular method is of special interest, as it provides invaluable information about the behavior of the closed-loop system in an extended space of design parameters and performance criteria. The results and conclusions of this paper have led to a deeper understanding of the characteristics of the

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closed-loop adaptive system, and have contributed to the improvement of the flying qualities and the robustness margins of the adaptive  $\mathcal{L}_1$ -augmented aircraft, which has been recently flight tested by NASA.

**Key Words:**  $\mathcal{L}_1$  adaptive control, flying qualities, multi-criteria optimization, Pareto space, quasi-random sequences.

## I. Introduction

Adaptive control has long been seen as an appealing technology to improve aircraft performance with reduced pilot compensation in adverse flight conditions or in the event of control surface failures and vehicle damage. Under these conditions, which are characterized by a high degree of uncertainty with respect to a nominal aircraft, the achievable levels of performance and flying qualities (FQ) that a non-adaptive flight control system (FCS) can provide might be limited. However, several limitations of the conventional adaptive systems have prevented this technology from being widely used in safety-critical aerospace applications [1–3]. In particular, the key deficiencies of conventional adaptive (flight) control systems can be summarized as: (i) the lack of transient characterization of the closed-loop response; (ii) the limited framework for analysis of the robustness and performance properties of closed-loop adaptive systems; and (iii) the lack of systematic design guidelines to solve the tradeoff between adaptation, performance, and robustness.

The theory of  $\mathcal{L}_1$  adaptive control overcomes the limitations of conventional adaptive control architectures described above, and enables the design of robust adaptive control architectures using fast adaptation schemes [4]. The key feature of  $\mathcal{L}_1$  adaptive control is the decoupling of the adaptation loop from the control loop, which enables fast adaptation without sacrificing robustness. In fact, in  $\mathcal{L}_1$  adaptive control architectures, the rate of the adaptation loop can be set arbitrarily high, subject only to hardware limitations (computational power and high-frequency sensor noise), while the tradeoff between performance and robustness can be addressed via conventional methods from classical and robust control. Fast adaptation enables compensation for the undesirable effects of rapidly varying uncertainties and

significant changes in system dynamics, and is thus critical towards achieving guaranteed transient performance without enforcing persistency of excitation or resorting to high-gain feedback. Moreover, the  $\mathcal{L}_1$  adaptive control theory provides ~~systematic~~<sup>basic</sup> design guidelines that significantly reduce the tuning effort of the adaptive controller.

The main challenge for the design of  $\mathcal{L}_1$  FCSs is the optimal tuning of its elements to provide desired FQ with satisfactory robustness margins over large operational envelopes and uncertainty scenarios. While the theory of  $\mathcal{L}_1$  adaptive control provides ~~systematic~~<sup>basic</sup> design guidelines to address the tradeoff between performance and robustness, optimization of the design of  $\mathcal{L}_1$  adaptive controllers is still largely open and hard to address. The main difficulty is the non-convex and non-smooth nature of the underlying optimization problem that involves the  $\mathcal{L}_1$ -norm of cascaded linear systems. Randomized parametric algorithms have been proven to be effective in control-related non-convex optimization problems, and therefore they seem attractive for the optimal design of  $\mathcal{L}_1$  adaptive controllers [4],[5]. In particular, one of the approaches that can help to solve this multi-objective optimization problem is the Parameter Space Investigation (PSI) method [6], [7]. This method explicitly addresses the issues associated with high dimensionality of the criteria and the functional constraint spaces. It takes into account the complexity and the computational expenses of sampling the design space of high dimensionality by employing the quasi-random sampling (LP-tau sequences, see [6], [7] and references ~~herein~~<sup>therein</sup>) which yield converging results with by a factor of 4-8 smaller sample sizes compared to the other methods. The method is implemented in a user-friendly and “model agnostic” software package called Multicriteria Optimization and Vector Identification (MOVI) [8].

In this paper, we take advantage of the ~~systematic~~<sup>basic</sup> design guidelines of  $\mathcal{L}_1$  adaptive control for the design optimization of the  $\mathcal{L}_1$  FCS implemented on the Generic Transport Model (GTM), which is part of the Airborne Subscale Transport Aircraft Research (AirSTAR) system at the NASA Langley Research Center [9], [10]. In particular, the study addresses the application of the PSI method for the construction of

the feasible solution set and for the subsequent improvement of a nominal prototype design. The paper demonstrates that the consistent application of the systematic design guidelines of  $\mathcal{L}_1$  adaptive control becomes particularly beneficial for the construction of the feasible solution set. In fact, the ability to systematically adjust the control parameters in  $\mathcal{L}_1$  adaptive architectures considerably simplifies the identification of a nominal feasible solution from which to start the search for other feasible solutions and the subsequent extension of the feasible solution set. The availability of an initial feasible solution may narrow the design variable space over which the search for feasible solutions should be performed. Furthermore, considering the benefits of sampling the multidimensional design variables space by the LP-tau quasi-random sequences, the number of trials required for the construction of the feasible set may be significantly reduced.

The paper also illustrates the suitability of the PSI method (and the MOVI software package) as a tool for formulating and solving multicriteria optimization problems for design of adaptive flight control systems. This work is not intended to compare the effectiveness of the various optimization methods; it instead, rather provides a sensible perspective on a complexity of the multicriteria analysis and suggests a viable approach to the solution of safety-critical control problems typical in aerospace engineering. A reader who is interested in explicit comparison of various multicriteria analysis methods is referred to the monograph [7] that provides an essential overview of modern approaches in multicriteria decision making.

The paper is organized as follows. Section II provides an overview of the NASA AirSTAR facility and the  $\mathcal{L}_1$  flight control law developed for the GTM aircraft; in addition, we present the nominal prototype design, with its main performance and robustness properties. Section III formulates the FCS design optimization problem, and provides a brief discussion of the PSI method and the workflow of the optimization process. The design optimization of the  $\mathcal{L}_1$  FCS for the GTM aircraft is addressed in Section IV. In particular, this section provides a detailed discussion of the different steps of the optimization process, including the construction of the feasible solution set as well as the improvement of the prototype design. Finally, Section V summarizes the key results and contains the main conclusions.

## II.NASA AirSTAR and $\mathcal{L}_1$ Flight Control Law

### II.A. AirSTAR Facility

During 2007-2010, the NASA Aviation Safety Program created the Integrated Resilient Aircraft Control (IRAC) Project with its primary objectives to advance and transition adaptive flight control technologies as ~~to increase a means of increasing~~ <sup>\*</sup> aviation safety. The IRAC Project had special interest in piloted flight under adverse flight conditions such as unusual attitudes, control surface failures, icing, and structural damage. As part of the project, NASA developed AirSTAR, a state-of-the-art facility designed for the purpose of investigating and validating high-payoff technologies aimed at the loss-of-control problem using remotely-piloted subscale models without excessive risk [9], [10]. The ~~current~~ <sup>\*</sup> primary flight test vehicle of AirSTAR is the Generic Transport Model (GTM) tail number T2, which is shown in Figure 1. The T2 is a twin-engine jet-powered and dynamically-scaled (5.5%) civil transport aircraft that was designed and instrumented to perform control law evaluation, experiment design and modeling research, in-flight failure emulation, and flight in upset conditions.



Figure 1. GTM AirSTAR unmanned aircraft and its full-scale prototype.

The AirSTAR facility also incorporates a high-fidelity nonlinear simulation of the GTM aircraft. The GTM vehicle has been extensively tested in the NASA Langley wind tunnels with particular emphasis on modeling nonlinear regions of the extended flight envelope well beyond nominal flight as well as developing a database for a number of structural damage scenarios [11],[12]. With this capability, AirSTAR provides a common research environment between simulation and flight. In this paper, we take advantage of the high-fidelity nonlinear model for the design of the  $\mathcal{L}_1$  flight control law.

### II.B. $\mathcal{L}_1$ Flight Control Law for the GTM Aircraft

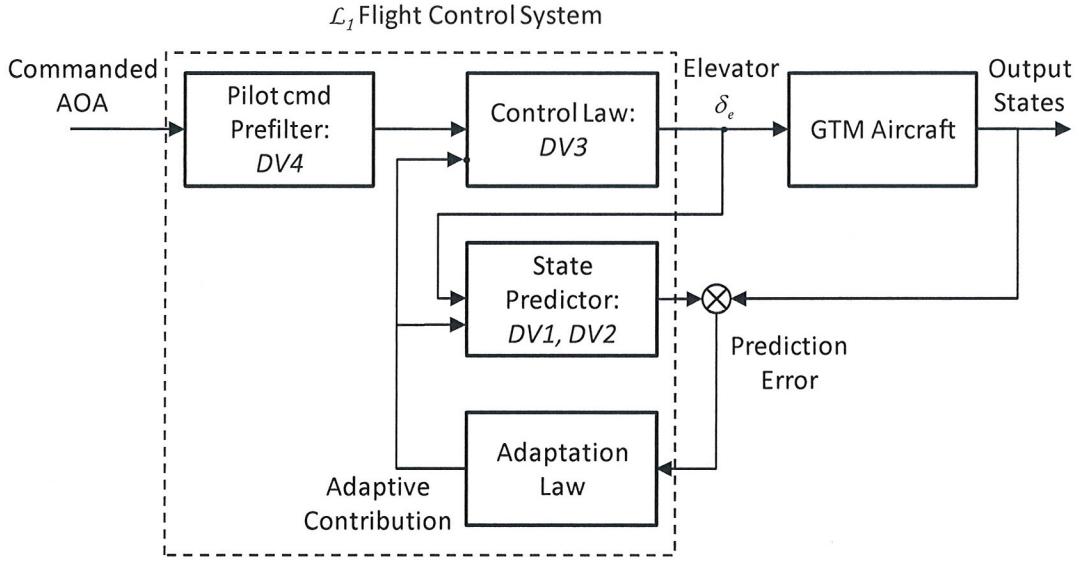
The  $\mathcal{L}_1$  flight control law developed for the AirSTAR flight test vehicle has as its primary objective achieving reliable tracking for a variety of tasks with guaranteed stability and robustness in the presence of uncertain dynamics, such as changes due to rapidly varying flight conditions during standard maneuvers, and unexpected failures. All of these requirements are expected to be reached while providing Level 1FQ [13], [14] under nominal flight conditions, with a graceful degradation under significant adversity.

The  $\mathcal{L}_1$  FCS designed for this application consists of a non-adaptive stability augmentation system (SAS) for pitch and roll, and a three-axes angle-of-attack (AOA,  $\alpha$ ), roll-rate ( $p$ ), and angle-of-sideslip (AOSS,  $\beta$ ) adaptive control augmentation system (CAS). The  $\mathcal{L}_1$  adaptive controller provides thus command-tracking capabilities in both nominal and off-nominal conditions as there is no non-adaptive CAS baseline to assist it. The  $\mathcal{L}_1$  CAS consists of two decoupled  $\mathcal{L}_1$  controllers, one for the longitudinal channel, and another one for control of the lateral-directional dynamics. The implemented longitudinal  $\mathcal{L}_1$  controller utilizes feedback in AOA and pitch rate to generate an elevator control signal in order to track AOA reference signals. The lateral/directional  $\mathcal{L}_1$  controller uses feedback in AOSS, roll rate, and yaw rate to generate aileron and rudder commands in order to track sideslip-angle and roll-rate reference signals with reduced coupling. In the current  $\mathcal{L}_1$  FCS, the pilot adjusts directly the thrust level using the throttle lever.

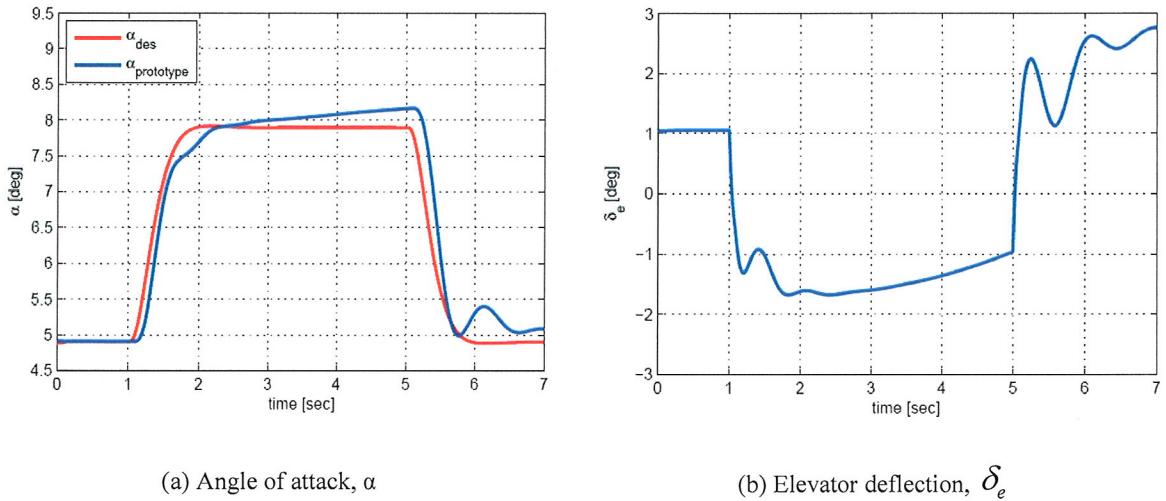
An interested reader is referred to [15,16] for a more detailed explanation of the  $\mathcal{L}_1$  FCS implemented on the NASA AirSTAR flight test vehicle.

The design of the longitudinal  $\mathcal{L}_1$  FCS is based on the linearized short-period dynamics of the GTM at the reference flight condition (80 kt, 1000 ft). Since the airplane is Level 1 FQ at this flight condition, the desired dynamics of the *state predictor* are chosen to be close to those of the actual aircraft. For the nominal prototype design, the natural frequency of the poles of the system is reduced from  $6 \text{ rad/sec}$  to  $5.5 \text{ rad/sec}$ , while the damping ratio is increased from 0.47 to 0.85. A first-order low-pass filter with DC gain 1 and a bandwidth of  $20 \text{ rad/sec}$  was used in the matched contribution to the elevator command, while two cascaded first-order low-pass filters were used in the unmatched channel, both having DC gain equal to 1 and bandwidths of  $5 \text{ rad/sec}$  and  $7 \text{ rad/sec}$  respectively. Finally, the adaptation sampling time was set to 600Hz, which corresponds to the fastest integration cycle allowed in the AirSTAR flight control computer. A first-order prefilter with  $20 \text{ rad/sec}$  of bandwidth was added to shape the pilot command. The  $\mathcal{L}_1$  FCS, with its main elements as well as the design variables, is represented in Figure 2. This *prototype* design of the state predictor, the low-pass filters, the adaptation sampling rate, and the prefilter, delivers an AOA response similar to the desired one ( $\alpha_{des}$ ); see Figure 3 illustrating the well-damped phugoid mode of the AOA. This nominal design ensures a time-delay margin of the inner-loop of approximately 85 msec and a gain margin of 7.2 dB, in wings-level flight at the reference flight condition. At this flight condition, the FQ are predicted to be Level 1 and the FCS design has no predicted pilot-induced oscillations (PIO) tendencies (for an acquisition time of 1.5 sec). Naturally, these metrics correspond to specific performance criteria, and these initial nominal values will be used as reference values for the definition of the criteria constraints during the optimization process.

④ Footnote: The deviation of the AOA response from the commanded step is due to the phugoid mode of the aircraft, which is stable, oscillatory, and slow. This slow deviation appears when designing AOA and pitch-rate CASS, and can be easily compensated for by the pilot (or autopilot).



**Figure 2.** Longitudinal channel of the  $\mathcal{L}_1$  flight control architecture.



**Figure 3.** Prototype design. 3 deg-AOA step response.

Next we outline the key steps of the application of the PSI method for the design optimization of the  $\mathcal{L}_1$  FCS for the NASA GTM aircraft. In particular, the objective of the optimization task is to minimize the

difference between the desired and actual responses, while ensuring satisfactory FQ, desired robustness margins, and reasonable actuator activity. The optimization methodology proposed in this work contains two steps. First, taking the prototype solution as a reference design, the PSI method is used for the construction of the *feasible solution set* and for determining a direction of improvement for the design of the FCS. This first step is based on a reduced and relaxed set of criteria and constraints. Then, at a second stage, the PSI method is again used to determine an *optimal design* that satisfies an extended set of performance and robustness constraints and improves the initial reference prototype.

We note that, although both the PSI and the software package MOVI have been developed to address problems with high dimensionality of both the design and the criteria spaces, for the sake of clarity, we keep the design problem within a reasonable complexity. Thus, the design procedure is only applied to the design of the longitudinal channel. Finally, we also note that all of the results included in this study are obtained by the MOVI software package combined with the MatLab environment [17], and are based on the full nonlinear simulation model of the two-engine-powered dynamically-scaled GTM AirSTAR system, which was released by NASA in December 2009.

### **III. Formulation of the Optimization Problem**

This section presents the formulation of the  $L_1$  FCS design optimization problem, indicating the sets of design variables and criteria considered in the study, as well as the criteria constraints to be satisfied.

#### **III.A. Design Variables and Criteria**

##### **Design Variables**

Since the primary objective is to improve the FQ of the prototype design while guaranteeing satisfactory robustness margins, we include the natural frequency and the damping ratio of the eigenvalues of the state-predictor dynamics (which can speed up or slow down the response of the augmented aircraft), and the bandwidth of the low-pass filter in the matched channel (which can be used to adjust the time-delay margin of the ~~inner-loop~~ control loop) as design variables ( $DV$ ); see Figure 2. Furthermore, we also consider the optimization of the bandwidth of the pilot-command prefilter, which can be used to shape the pilot command as to prevent elevator rate limiting and avoid structural mode-flight interaction. The following list summarizes the set of optimization parameters that define the design variable space:

- DV1. Natural frequency of the state-predictor eigenvalues (rad/sec)
- DV2. Damping ratio of the state-predictor eigenvalues (dimensionless)
- DV3. Bandwidth of the “matched” low-pass filter (rad/sec)
- DV4. Bandwidth of the pilot-command prefilter (rad/sec)

### Criteria and Pseudo-Criteria

The set of design criteria considered in this study is chosen to evaluate performance and robustness properties of the GTM aircraft augmented with the  $\mathcal{L}_1$  FCS. To provide an adequate assessment of the performance characteristics and FQ of the  $\mathcal{L}_1$ -augmented aircraft, both pilot-off-the-loop and pilot-in-the-loop performance metrics are included in the design procedure. The metrics considered can thus be classified in three categories:

- (i) Pilot-off-the-loop performance metrics;
- (ii) Robustness metrics;
- (iii) Flying qualities and PIO metrics.

Because the present material addresses only the design of the longitudinal channel of the  $\mathcal{L}_1$  FCS, the set of metrics used in this study are mainly based on the (time-domain) longitudinal response of the GTM with the  $\mathcal{L}_1$  FCS closing the inner-loop. We note that some of the metrics used in this study were also proposed in [18] for the evaluation of aircraft augmented with an adaptive FCS.

**Pilot-off-the-Loop Performance Metrics.** This first set of metrics evaluates the performance of the augmented aircraft by characterizing its response to step inputs. In particular, the pilot-off-the-loop performance metrics are based on the time-domain response to a step command of 3 deg held for 4 sec in ~~an AOA~~ (see Figure 3), starting from a wings-level flight condition. The metrics capture the deviation of the actual response of the aircraft from a given desired response, which is defined to provide satisfactory flying qualities without reaching the physical limits of the platform, as well as different measures of control

activity, load factor, and cross-coupling. We note that, in this study, all metrics are normalized to the amplitude of the step command considered ( $3 \text{ deg}$ ).

Next we provide a description of the metrics included in the study. First, however, we need to introduce key notations to facilitate the definition of these metrics. Along with the previously defined AOA ( $\alpha$ ) and AOA desired response ( $\alpha_{des}$ ),  $\alpha_{cmd}$  is used here to denote the angle-of-attack pilot command;  $\beta_{des}$  is the AOSS desired response;  $p_{des}$  is the roll-rate desired response;  $A_z$  is the vertical acceleration; while  $\delta_e$  is the elevator deflection command. We let  $t_0$  be the time instant at which the step command is applied, and define  $t_f$  as the final time instance  ~~$t$~~  considered for the performance evaluation ( $t_f = t_0 + 4 \text{ sec}$ ). With the above notations, the metrics are formally defined as follows:

*P1. Final deviation:* this metric captures the final deviation of the actual AOA response from the desired AOA response at  $4 \text{ sec}$  after the application of the step command. This metric is set to zero if the actual response reaches the AOA reference command before the end of the 4-second step:

$$P1 = \begin{cases} |\alpha(t_f) - \alpha_{des}(t_f)| & \text{if } \alpha(t) < \alpha_{cmd}, \forall t \in [t_0, t_f] \\ 0 & \text{otherwise} \end{cases}$$

This metric penalizes or excludes sluggish responses.

*P2. Maximum deviation from desired AOA response:* this metric captures the maximum deviation (in absolute value) of the actual AOA response from the desired AOA response:

$$P2 = \max_{t \in [t_0, t_f]} |\alpha(t) - \alpha_{des}(t)|$$

*P3. Integral deviation from desired AOA response:* this metric is defined as the (truncated)  $L_1$ -norm of the deviation of the actual AOA response from the desired AOA response:

$$P3 = \int_{t_0}^{t_f} |\alpha(t) - \alpha_{des}(t)| dt$$

*P4. Overshoot in AOA response:* this metric captures possible overshoots and low-damping characteristics in the AOA response:

$$P4 = \begin{cases} \max_{t \in [t_0, t_f]} |\alpha(t)| & \text{if } \alpha(t) > \alpha_{cmd}, \text{ for some } t \in [t_0, t_f] \\ \alpha_{cmd} & \text{otherwise} \end{cases}$$

*P5. Maximum deviation from desired AOA rate response:* this metric captures the maximum rate deviation (in absolute value) of the actual AOA response from the desired AOA response:

$$P5 = \max_{t \in [t_0, t_f]} |\dot{\alpha}(t) - \dot{\alpha}_{des}(t)|$$

*P6. Integral deviation from desired AOA rate response:* this metric is defined as the (truncated)  $L_1$ -norm of the rate deviation of the actual AOA response from the desired AOA response:

$$P6 = \int_{t_0}^{t_f} |\dot{\alpha}(t) - \dot{\alpha}_{des}(t)| dt$$

The metrics *P1* to *P6* provide a good characterization of the transient response of the augmented aircraft when compared to a given desired response. Next, we present a set of metrics that can be extracted from the same step response and complement the AOA metrics defined above.

*P7. Maximum vertical acceleration:* load factor (and passenger comfort) requirements can be captured by the maximum vertical acceleration during the step response:

$$P7 = \max_{t \in [t_0, t_f]} |A_z(t)|$$

*P8. Control effort:* this metric is defined as the (truncated)  $L_1$ -norm of the elevator deflection command:

$$P8 = \int_{t_0}^{t_f} |\delta_e(t)| dt$$

This metric penalizes ~~the~~ flight control designs that require a high control activity to achieve a desired control objective. It is important to note, however, that a high control effort might just be the result of a faster AOA response, and therefore a large  $P8$  might not always be an undesirable response characteristic.

*P9. Maximum elevator rate:* excessive control rate can be identified by the following metric:

$$P9 = \max_{t \in [t_0, t_f]} |\dot{\delta}_e(t)|$$

This metric penalizes designs with high elevator rates in order to prevent undesirable effects from rate limiting.

*P10. Maximum elevator acceleration:* high-order derivatives of the control commands are coupled to the flexible modes of the aircraft. The following metric, based on the second derivative of the elevator command, captures excessive accelerations and oscillations in the control command that could potentially lead to unwanted structural mode interactions:

$$P10 = \max_{t \in [t_0, t_f]} |\ddot{\delta}_e(t)|$$

*P11. Maximum of  $L_1$  prediction error:* this metric captures the maximum error between the actual system state and the state of the  $L_1$  state predictor, usually denoted by  $\tilde{x}(t)$ :

$$P11 = \max_{t \in [t_0, t_f]} \|\tilde{x}(t)\|_\infty$$

In  $L_1$  adaptive control architectures, the accurate estimation of system uncertainties and the performance guarantees rely on the (small) “size” of the prediction error  $\tilde{x}(t)$ . This metric is used to monitor the correct functioning of the  $L_1$  adaptive controller.

*P12. Maximum deviation in cross-coupling dynamics:* this metric captures the lateral-directional coupling induced by a command in the longitudinal channel:

$$P12 = \max_{t \in [t_0, t_f]} \left[ |\delta_e(t)| ((\beta(t) - \beta_{des}(t))^2 + (p(t) - p_{des}(t))^2) \right]$$

This metric primarily provides valuable information for the design of the lateral-directional FCS.

*P13. Integral deviation in cross-coupling dynamics:* this metric is the integral version of the previous cross-coupling metric and is defined as follows:

$$P13 = \int_{t_0}^{t_f} |\delta_e(t)| ((\beta(t) - \beta_{des}(t))^2 + (p(t) - p_{des}(t))^2) dt$$

Similar to *P12*, this metric would be more adequate for the design of the lateral-directional control system, and both are included in this study only to illustrate a set of additional metrics that can be derived from the response of the augmented aircraft to a command in the longitudinal channel.

**Robustness Margins.** In this preliminary study, the only robustness metric considered for optimization is the *time-delay margin* of the closed-loop adaptive system. It is defined at the input of the aircraft (time delay inserted at the elevator deflection command), and it is derived from the time-domain response of the augmented aircraft. For a given wings-level flight condition and with the pilot-off-the-loop, a small perturbation in the trim (initial) condition is introduced. The time-delay margin is determined as the minimum time delay that produces sustained oscillations in the AOA response as the  $\mathcal{L}_1$  FCS tries to stabilize the aircraft at the given trim condition. In this study, this robustness metric will be denoted by *RI*. Note that the time delay introduced in the elevator control channel is in addition to the 25 msec that is already modeled in the AirSTAR simulation environment.

**Criteria addressing FQ and PIO characteristics.** Finally, predictions for both FQ and PIO tendencies have also been included in order to complement the pilot-off-the-loop performance metrics presented above. For this study, we consider the Time-Domain Neal-Smith (TDNS) FQ and PIO criteria, which was specifically developed for nonlinear aircraft dynamics and nonlinear FCS. For a detailed description of this criterion, an interested reader is referred to [19]. The reader can also find in [16] a study on the prediction of flying qualities and adverse pilot interactions in the GTM augmented with the  $\mathcal{L}_1$  FCS. We use four

choe, R., Xargay, E., Havakiunyan, N., and Gregory, I.M., "L1 Adaptive Control under Anomaly: Flying Qualities and Adverse Pilot Interaction," AIAA GNC Conference Toronto, Canada, August 2010.

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different metrics, extracting all of them from the TDNS criterion for an acquisition time of 1.5 sec, to characterize the FQ and PIO tendencies of the augmented aircraft:

*FQ1. Tracking performance:* In the TDNS criterion, the root-mean-squared tracking error is used to evaluate the closed-loop performance with the pilot-in-the-loop. A value of zero means that the pilot is able to perfectly track (with zero error) the reference command after the specified acquisition time.

*FQ2. Pilot workload:* In the TDNS criterion, the pilot workload is given by the pilot compensation phase angle (in degrees), which is derived from the optimal pilot model obtained from the criterion. A value of zero means that there is no need for either pilot lead or lag compensation.

*FQ3. FQ level:* The two metrics above, *FQ1* and *FQ2*, are used to determine the predicted FQ level based on the FQ boundaries proposed in the criterion. *FQ3* is a discrete metric, and it only admits the values 1, 2, and 3, which correspond to Level 1, Level 2, and Level 3 FQ, respectively.

*FQ4. PIO tendency:* The TDNS criterion also provides a prediction for the susceptibility of the augmented aircraft to PIO. This PIO-susceptibility metric is used to complement the flying qualities metrics discussed above. According to the TDNS criterion, a value above 100 implies that the augmented aircraft is PIO-prone, whereas a value below 100 indicates a PIO-immune configuration.

The set of metrics described above will be used ~~is used~~ in Section IV to improve a prototype design of the longitudinal channel of the  $\mathcal{L}_1$  FCS. For the first stage of the design –extension of the feasibility set–, only a subset of these metrics will be used. The complete set of metrics will be used in the second stage to optimize the design of the adaptive control system. Based on the objectives of the task and previous flight control design expertise, the following vectors of criteria  $\{P1, P2, P3, P4, P5, P6, FQ1, FQ2, FQ3, FQ4, RI\}$  and pseudo-criteria  $\{P7, P8, P9, P10, P11, P12, P13\}$  are defined.

### III.B. Criteria Constraints

Based on the metrics defined, the final design of the  $\mathcal{L}_1$  FCS should *ideally* verify the set of control objectives at the reference flight condition of 80 kt of (equivalent) airspeed and 1000 ft of altitude. Corresponding to this flight conditions a set of three criteria constraints were defined a priori:

$$P1 \leq 0.1, FQ3=1, \text{ and } P4 \leq 1.2.$$

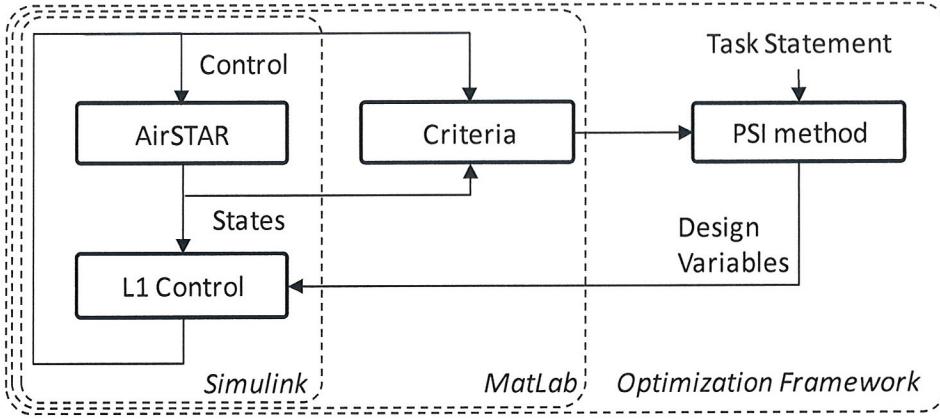
The first and second conditions address directly the control specifications, namely the final value of the step response within 10% of the desired, and the predicted Level 1 FQ. The third inequality imposes a 20% constraint on the overshoot in the step response, establishing thus a (loose) bound on the acceptable transient performance characteristics of the actual AOA response. Due to significant difficulty of defining all criteria constraints consistent with feasibility of the solution, the rest of the constraints will be identified interactively while analyzing the test tables.

#### IV. Solutions and Analysis

This section presents the two steps of iterative application of the PSI method to the design improvement of the longitudinal channel of the  $L_1$  FCS. As mentioned earlier, the first iteration uses a reduced set of the control metrics. Numerical implementation of this first step is relatively efficient with the “computational price” of one solution measured in minutes. At the second step, when using the extended set of criteria, the efficiency of numerical implementation becomes critical because the “computational price” of one solution is measured in ~~tenths~~ <sup>tenths</sup> of minutes.

The architecture of the optimization framework is presented ~~next~~ in Figure 4. The framework integrates the AirSTAR model and the  $L_1$  adaptive controller (both implemented in Simulink), the criteria ~~calculating~~ calculating scripts (implemented in MatLab), and the PSI method (implemented by the MOVI software). Convenience of the environment enables utilizing the capabilities of the high-fidelity nonlinear simulation, ease of control design and implementation and the vast set of features of the MOVI package implementing the PSI method.

This ~~environment~~ <sup>setup</sup> allows thus to couple the capabilities of a high-fidelity ~~nonlinear~~ simulation environment with the vast set of features of the MOVI package.



**Figure 4. Optimization framework.**

As it was mentioned above, the PSI method does not alter the optimization task by “mapping” a set of multiple criteria to just one scalar functional. To support this multicriteria approach, the MOVI package provides a rich set of analysis tools. Besides numerical results organized as a test table, it provides a number of visual tools. In particular, histograms of design variables, criterion versus design variable plots, and the criterion versus criterion plots are the most intuitive and effective tools used during the interactive analysis. A comprehensive introduction to the effective use of the MOVI package can be found in [6], [7].

#### IV.A. First iteration: Construction of the Feasible Solution Set

The construction of the feasible solution set starts by defining test intervals for the design variables. These test intervals have been identified with respect to the nominal prototype solution obtained from the  $\mathcal{L}_1$  design procedure (see Table 1).

Table 1. Initial intervals of design variables

Design variable	$\mathcal{L}_1$ Prototype	Initial intervals of variation of design variables	
		min	max
DV1	5.50E+00	4.00E+00	8.00E+00
DV2	8.50E-01	5.00E-01	1.10E+00
DV3	2.00E+01	5.00E+00	3.00E+01

DV4	2.00E+01	1.00E+01	5.00E+01
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The objective of the first step is to find a direction of improvement for the nominal design. More precisely, we aim here at determining tight intervals for the design variables characterizing the state predictor ( $DV1$  and  $DV2$ ) that would provide Level 1 FQ and would not deviate from the desired response defined previously. To this end, the design is to be minimized with respect to the following reduced number of criteria  $\{P1, P2, P3, P4, P5, P6, FQ1, FQ2\}$ .

The robustness metric  $R1$  and the PIO metric  $FQ4$  are not included in this first step because their evaluation is computationally expensive; these metrics will be considered in the next step of the optimization process when the domain of the design variables becomes significantly refined. The metrics  $P7$  through  $P10$  are not included in the set of criteria because improved flying qualities may require “high” values of these metrics. Nevertheless, they are included in the optimization process as pseudo-criteria<sup>7</sup> thus providing useful ~~insights into~~ insight onto the dynamics of the augmented aircraft. Similarly, the metric  $P11$ , which can be used to monitor the correct operation of the  $\mathcal{L}_1$  adaptive controller, does not need to be minimized as long as it remains a couple of orders of magnitude below the system state (truncated)  $\mathcal{L}_\infty$ -norm. Finally, the metrics  $P12$  and  $P13$  are included for the sake of completeness and should be considered only for the design of the lateral-directional control system.

The MOVI package performs a predefined set of numerical trials and then forms a test table. Interactive work with the test table consists of sequential tightening of the DV constraints and is well supported by a number of graphical instruments implemented in MOVI. The final result achieved in this first iteration of the optimization process, is based on 1024 tests. Out of these 1024 tests, 427 vectors did not satisfy the a priori given criteria constraints. The solutions that did not satisfy the constraints entered the *table of criteria failures*; every entry of this table is available for a detailed analysis. The remaining 597 vectors which did satisfy a priori given criteria constraints were used to construct the test table. While tightening the criteria

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<sup>7</sup> Pseudo-criterion differs from a criterion by the fact that it is not included in the calculation of the Pareto front; for more details see [6].

constraints in the test table, the following new criteria constraints were formulated, see Table 2. Note that while analyzing the test table, the constraint of  $P4$  was significantly tightened to the value of 1.02. Furthermore, the response on criteria  $P1$  is not presented in the table because all solutions provided identical response,  $P1=0$ . Only 20 solutions were found to be feasible according to these criteria constraints, all of them contributing to the Pareto optimal solutions. A fragment of the criteria table is given in Table 3.

Table 2. Criteria constraints

$P2 \leq 0.2$	(min)	$P9 \leq 15$	(pseudo)
$P3 \leq 0.2$	(min)	$P10 \leq 300$	(pseudo)
$P4 \leq 1.02$	(min)	$P11 \leq 0.25$	(pseudo)
$P5 \leq 1$	(min)	$P12 \leq 0.01$	(pseudo)
$P6 \leq 0.3$	(min)	$P13 \leq 0.01$	(pseudo)
$P7 \leq 0.25$	(pseudo)	$FQ1 \leq 0.1$	(min)
$P8 \leq 5$	(pseudo)	$FQ2 \leq 45$	(min)

Table 3. Fragment of Criteria Table

Criteria	Prototype	Pareto optimal solutions						
		#241	#281	#329	#409	#649	#825	#993
$P2$ (min)	1.30E-01	1.04E-01	8.40E-02	9.14E-02	8.97E-02	6.03E-02	7.44E-02	5.39E-02
$P3$ (min)	1.54E-01	1.16E-01	1.03E-01	1.06E-01	1.04E-01	8.72E-02	9.51E-02	8.84E-02
$P4$ (min)	1.0E+00	1.00E+00	1.00E+00	1.00E+00	1.00E+00	1.01E+00	1.00E+00	1.00E+00
$P5$ (min)	3.15E-01	5.37E-01	9.36E-01	9.68E-01	6.29E-01	8.63E-01	8.58E-01	6.89E-01
$P6$ (min)	1.49E-01	1.97E-01	2.21E-01	2.58E-01	2.03E-01	1.74E-01	1.94E-01	1.32E-01
$P7$ (pseudo)	1.51E-01	1.65E-01	1.74E-01	1.84E-01	1.72E-01	1.83E-01	1.78E-01	1.75E-01
$P8$ (pseudo)	3.24E+00	3.3E+00	3.29E+00	3.31E+00	3.30E+00	3.31E+00	3.30E+00	3.31E+00
$P9$ (pseudo)	5.96E+00	1.17E+01	7.55E+00	9.1E+00	1.09E+01	1.11E+01	9.36E+00	1.16E+01
$P10$ (pseudo)	1.07E+02	2.42E+02	1.34E+02	1.72E+02	2.16E+02	2.24E+02	1.76E+02	2.44E+02
$P11$ (pseudo)	7.45E-02	7.79E-02	6.02E-02	6.82E-02	8.10E-02	7.72E-02	7.22E-02	7.77E-02

$P12$	(pseudo)	1.01E-04	1.84E-04	1.87E-04	2.08E-04	2.06E-04	2.29E-04	2.14E-04	2.09E-04
$P13$	(pseudo)	3.16E-05	6.18E-05	6.87E-05	8.01E-05	7.00E-05	8.18E-05	7.58E-05	7.03E-05
$FQ1$	(min)	1.23E-02	6.73E-02	9.29E-02	9.74E-02	6.86E-02	9.05E-02	7.80E-02	8.85E-02
$FQ2$	(min)	5.36E+01	4.42E+01	4.35E+01	4.03E+01	4.22E+01	3.79E+01	4.10E+01	4.00E+01

Analysis of the criteria table shows that solution #993 is the most preferable one. This solution is equivalent to others with respect to criterion  $P4$ , it is superior to others over a set of 5 criteria  $\{P2, P3, P6, FQ1, FQ2\}$  and is weaker than the prototype only with respect to the criterion  $P5$ . Furthermore, the other 19 solutions are better than the prototype with respect to four criteria  $\{P2, P3, FQ1, FQ2\}$ . However, none of the solutions are superior to the prototype with respect to criterion  $P5$ . In particular, this observation implies that, if the prototype design vector had been sampled by the system, then it would belong to the Pareto set. On the other hand, this result confirms that the solution obtained following the basic  $L_1$  design guidelines are near optimal.

This analysis allows defining the direction of further search. In particular, the results provide tight intervals for the design variables  $DV1$  and  $DV2$  characterizing the state-predictor dynamics, and expose the necessity of extending the initial intervals of variation of the design variables  $DV3$  and  $DV4$ ; see for example Fig. 4. Based on these results and their analysis, a new experiment is carried out to, first, improve the feasible solution set and, second, determine an optimal solution of the  $L_1$  FCS design that improves the prototype with respect to an extended set of criteria.

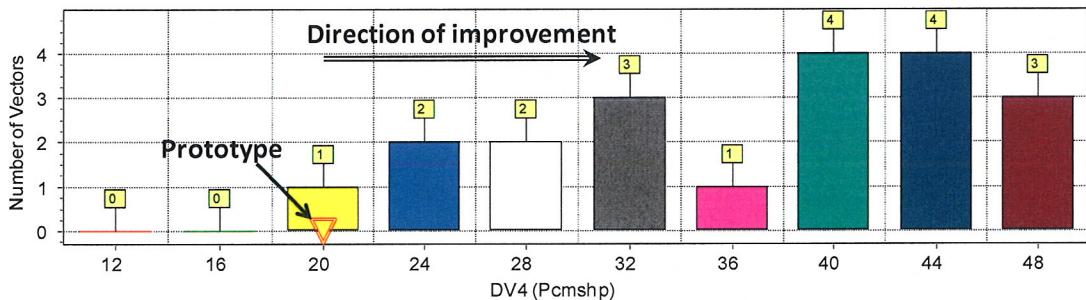


Figure 4. A histogram of  $DV4$  distribution along with the prototype solution and the direction of improvement.

## IV.B. Second iteration: Design Improvement

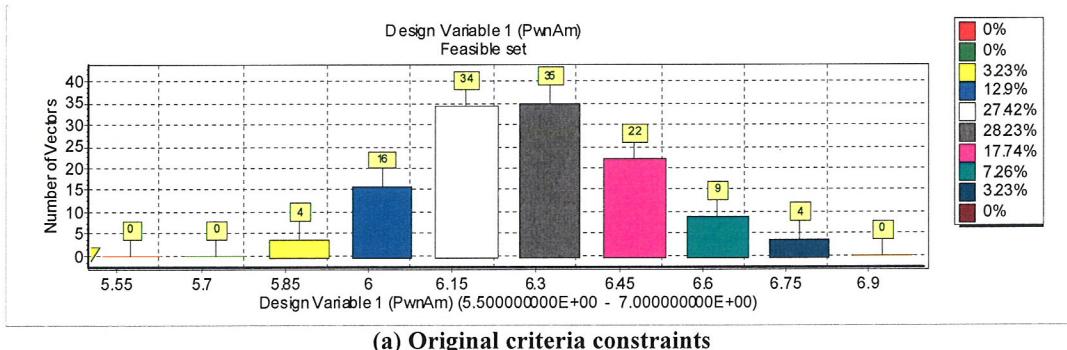
Based on the analysis of the histograms and criteria table just presented, we adjust the intervals of variation of the design variables as given in Table 4.

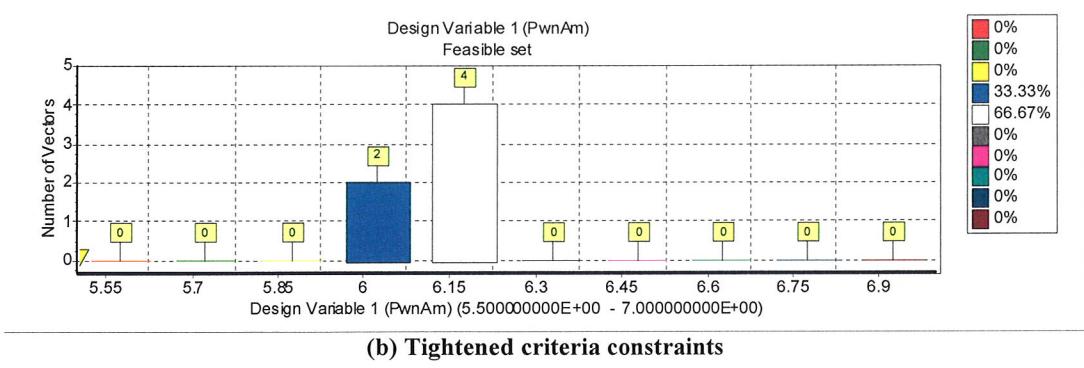
Table 4. Refined intervals of design variables

Design variable	Prototype	Initial intervals of variation of design variables	
		min	max
DV1	5.50E+00	5.50E+00	7.00E+00
DV2	8.50E-01	6.50E-01	0.90E+00
DV3	2.00E+01	9.80E+00	4.00E+01
DV4	2.00E+01	1.80E+01	6.50E+01

The criteria constraints remain unchanged, whereas the design is now to be optimized with respect to the extended set of criteria  $\{P_2, P_3, P_4, P_5, P_6, FQ1, FQ2, FQ4, RI\}$ . All of these criteria are to be minimized except for  $RI$ , which is to be maximized.

The results of the second iteration are based on 512 tests producing 124 feasible solutions. All these solutions are Pareto optimal. The histograms in this second iteration have stronger distributions of the feasible solutions than in the first iteration. Figure 5a represents the distribution of 124 solutions for DV1.





(b) Tightened criteria constraints

**Figure 5. PSI Iteration 2. Distribution of feasible solutions of DV1 with the original (top) and with tightened criteria constraints (bottom).**

As a result, analysis of the test table and histograms leads to a stronger set of criteria and pseudo-criteria constraints, presented in Table 5. According to these new constraints, only 6 solutions are feasible, and all of them are Pareto optimal. The values of the design variables and criteria of the Pareto optimal solutions are given in Tables 6 and 7, respectively. The new distribution of the feasible solutions for these criteria and pseudo-criteria constraints is significantly tighter; see Fig. 5. The new histograms clearly identify tight intervals for all of the design variables in which the optimal solutions lie.

Table 5. Second iteration, refined criteria constraints

$P2 \leq 0.1$	(min)	$P10 \leq 200$	(pseudo)
$P3 \leq 0.15$	(min)	$P11 \leq 0.1$	(pseudo)
$P4 \leq 1.02$	(min)	$P12 \leq 0.01$	(pseudo)
$P5 \leq 1$	(min)	$P13 \leq 0.01$	(pseudo)
$P6 \leq 0.25$	(min)	$FQ1 \leq 0.1$	(min)
$P7 \leq 0.2$	(pseudo)	$FQ2 \leq 45$	(min)
$P8 \leq 5$	(pseudo)	$FQ4 \leq 5$	(min)
$P9 \leq 10$	(pseudo)	$RI \geq 80$	(max)

Table 6. Second iteration. Table of design variables

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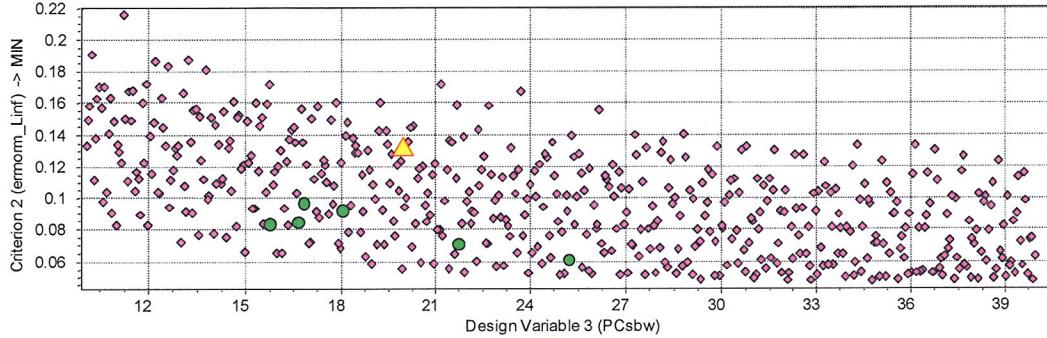
Design variable	Prototype	#993, First Iteration	Pareto optimal solutions					
			#106	#202	#254	#318	#358	#462
DV1	5.50E+00	6.12E+00	6.00E+00	5.99E+00	6.24E+00	6.23E+00	6.10E+00	6.18E+00
DV2	8.50E+00	7.09E-01	7.34E-01	7.49E-01	7.76E-01	7.33E-01	7.81E-01	7.18E-01
DV3	2.0E+01	2.70E+01	2.52E+01	1.67E+01	1.81E+01	2.18E+01	1.69E+01	1.58E+01
DV4	2.0E+01	4.93E+01	3.16E+01	3.20E+01	2.10E+01	2.72E+01	2.57E+01	3.11E+01

Table 7. Second iteration. Table of criteria

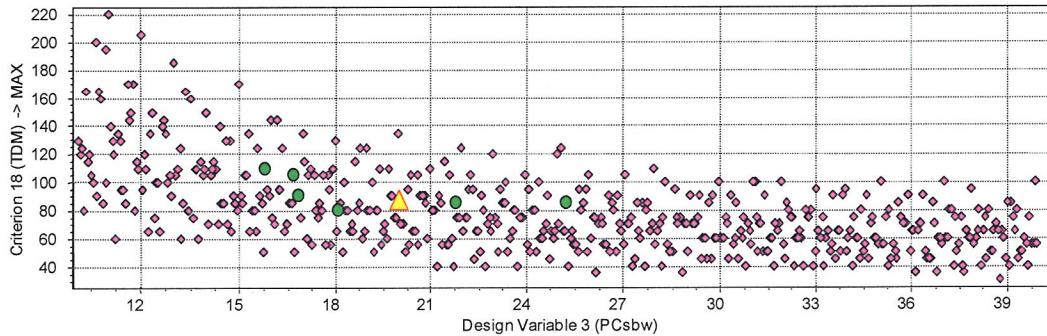
Criteria	Prototype	Pareto optimal solutions					
		#106	#202	#254	#318	#358	#462
P2 (min)	1.30E-001	6.01E-01	8.45E-02	9.17E-02	7.04E-02	9.63E-02	8.28E-02
P3 (min)	1.54E-01	9.60E-02	1.13E-01	1.11E-01	9.66E-02	1.18E-01	1.04E-01
P4 (min)	1.0E+00	1.00E+00	1.00E+00	1.00E+00	1.00E+00	1.01E+00	1.00E+00
P5 (min)	3.15E-01	6.13E-01	6.81E-01	8.85E-01	8.43E-01	7.71E-01	8.63E-01
P6 (min)	1.49E-01	1.28E-01	1.67E-01	2.16E-01	1.78E-01	1.94E-01	2.11E-01
P7 (pseudo)	1.51E-01	1.68E-01	1.67E-01	1.72E-01	1.76E-01	1.68E-01	1.78E-01
P8 (pseudo)	3.24E+00	3.29E+00	3.29E+00	3.29E+00	3.30E+00	3.29E+00	3.31E+00
P9 (pseudo)	5.96E+00	9.09E+00	9.1E+00	7.9E+00	9.05E+00	8.43E+00	9.54E+00
P10 (pseudo)	1.07E+02	1.77E+02	1.78E+02	1.43E+02	1.72E+02	1.59E+02	1.85E+02
P11 (pseudo)	7.45E-02	6.62E-02	6.60E-02	6.09E-02	6.74E-02	6.31E-02	6.95E-02
P12 (pseudo)	1.01E-04	1.81E-04	1.70E-04	1.80E-04	2.01E-04	1.69E-04	1.98E-04
P13 (pseudo)	3.16E-05	6.02E-05	5.89E-05	6.58E-05	7.13E-05	6.01E-05	7.27E-05
FQ1 (min)	1.23E-02	9.93E-02	9.81E-02	9.34E-02	9.26E-02	9.20E-02	9.64E-02
FQ2 (min)	5.36E+01	4.33E+01	4.41E+01	4.38E+01	4.14E+01	4.46E+01	4.10E+01
FQ4 (min)	4.68E+00	4.08E+00	4.19E+00	3.87E+00	3.88E+00	3.84E+00	3.97E+00
RI (max)	8.50E+01	8.50E+01	1.05E+02	8.00E+01	8.50E+01	9.00E+01	1.00E+02

Further analysis of Table 7 shows that all solutions of second iteration, as well as the #993 from the first iteration, belong to the very tight intervals of the first and second design variables. The first three

parameters ( $DV1$  to  $DV3$ ) of #993 and #106 are almost identical. However, one can see that #993, while providing good response of many criteria, does not satisfy the new constraints on criteria  $P9$  and  $P10$  (elevator workload). Moreover, #993 also fails to satisfy the constraint on the criterion  $FQ3$ . design criterion FQ3.



(a) Criterion  $P2$  (max AOA deviation) vs. design variable  $DV3$ .



(b) Criterion  $R1$  (time delay margin) vs. design variable  $DV3$

**Figure 6. PSI Iteration 2. Dependencies of criteria  $P2$  and  $R1$  on the design variable  $DV3$ .**

The analysis of the test tables, dependencies of criteria on design variables, and dependencies between criteria, allows determining the most preferable solutions. In particular:

- Figure 6 shows the influence of the bandwidth of the “matched” low-pass filter ( $DV3$ ) on the (pilot-off-the-loop) tradeoff between performance criterion  $P2$  ( $P3$  shows the same trend) and robustness ( $R1$ ) of the augmented aircraft. From this observation we conclude that criteria  $P2$  ( $P3$ ) and  $R1$  are contradictory with respect to the design variable  $DV3$ . This means that improvement of the tracking performance requires an increase in the bandwidth of the low-pass filter, which in turn results in degradation of the time-delay

margin of the augmented aircraft. This tradeoff is consistent with the predictions of the  $L_1$  adaptive control theory.

- Figure 7 shows the dependencies of the flying qualities criterion  $FQ1$  ( ~~$FQ2$  is similar~~) on the design variable  $DV2$ . While in the first PSI iteration the dependency of the criterion  $FQ2$  on the design variable  $DV2$  was not obvious, now it is clear that reducing the  $DV2$  from 0.85 ~~new~~ (prototype design) to about 0.75 ~~new~~ (optimal solutions) reduces the tracking error captured by the criterion  $FQ1$  (~~pilot workload for  $FQ2$~~ ) by about 20%. Thus, it becomes apparent that a smaller damping ratio of the state predictor results in reduced (lead) pilot compensation. A similar trend is observed for  $FQ2$ .

The dependency of flying qualities criteria  $FQ1$  and  $FQ2$  obtained in this iteration is also similar to those obtained in the first iteration, thus demonstrating significant improvement of predicted flying qualities over the prototype design, but now in the extended criteria space.

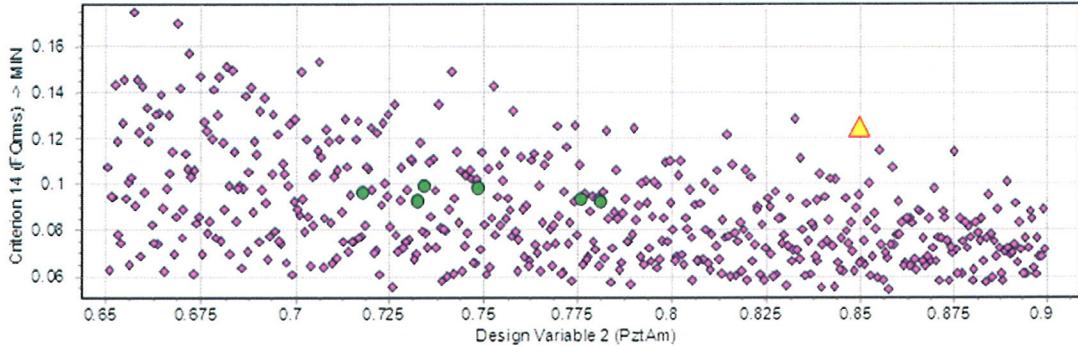
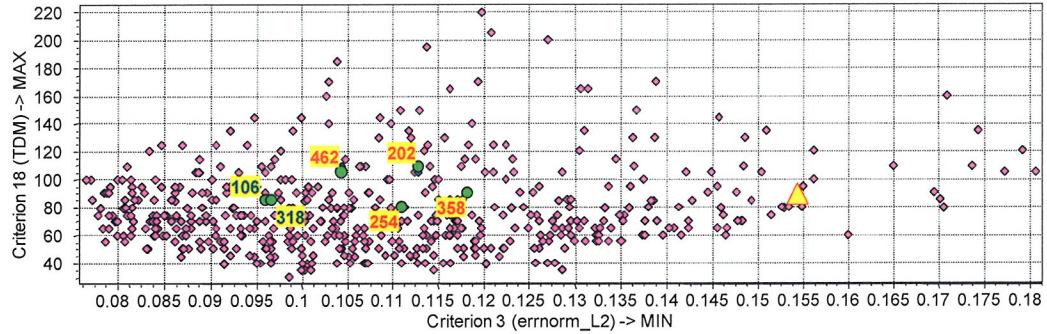


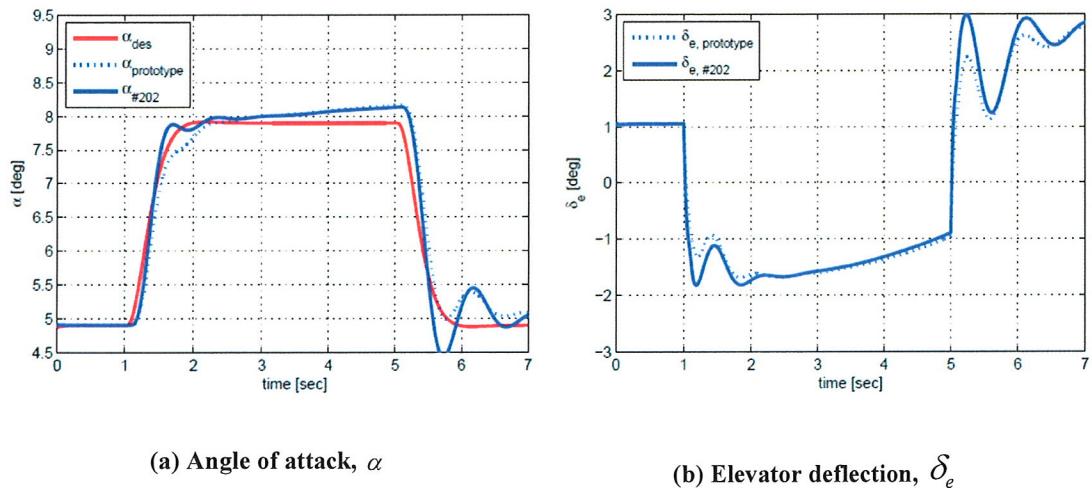
Figure 7. PSI Iteration 2. Dependencies of criteria  $FQ1$  (tracking performance) on the design variable  $DV2$ .

- Finally, Figure 8 shows the dependency between criteria  $P3$  and  $R1$ , which illustrates the fundamental tradeoff between performance and robustness of the closed-loop adaptive system with the pilot off the loop. While all of the optimal solutions reduce the deviations from the desired response with respect to the prototype design, only three of these solutions exhibit a better time-delay margin than the prototype design (#202, #462), and two exhibit a similar margin (#106, #318).



**Figure 8. PSI Iteration 2. Relation between criteria  $R1$  (time delay margin) and  $P3$  (integral deviation of AOA).**

As a result of iterative two-step correction of initial constraints, six Pareto optimal solutions have been found. Analysis of these solutions shows that ~~designs~~ #106, #202, #358, and #462 improved the prototype design by six criteria simultaneously. Although all 6 solutions are practically equivalent, preference is given to the design vector #202, as it provides better tradeoff between the (predicted) flying qualities ( $FQ1$ ,  $FQ2$ ) and the time-delay margin ( $R1$ ), while minimizing the difference with the desired response; see Figure 5 ~~illustrating a well-shaped phugoid response of the airplane~~. We notice that, when compared to the time response of the prototype design, the AOA response and the elevator workload of the *optimal* solution #202 provides a faster response (smaller rise time) with a minimal increase in the elevator workload.

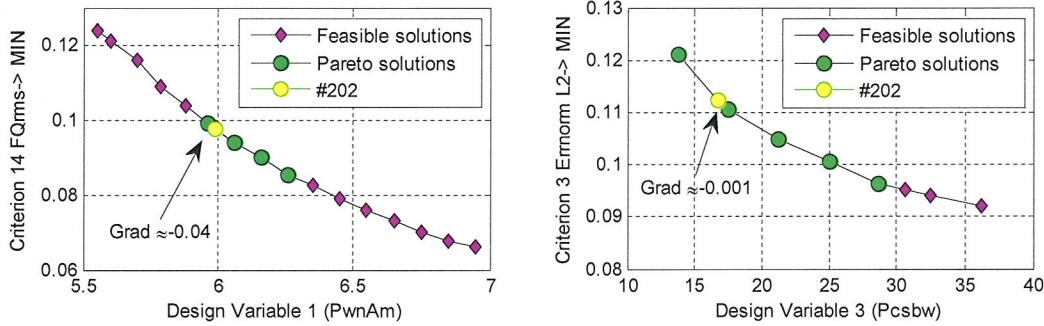


As a last step, we verify the robustness of design #202 to small variations of the design variables by performing a sensitivity analysis. This analysis calculates criteria response in the direction defined by a particular design variable in the neighborhood of the optimal solution (in our case, design #202).

Figure 5. Optimal Design #202. 3 deg-AOA step response.

As the last step in verifying the proximity to optimal design and to ensure robustness of the solution to small variations of the design variables in the vicinity of the design #202 the sensitivity analysis was performed. The idea of this step is to calculate a criterion response in the direction defined by a design variable in the neighborhood of the optimal solution (#202).

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of this sensitivity analysis

Figure 6. Sensitivity plots.

criterion FQ1

As an example, Figure 6 shows the dependency of flying qualities (FQ1) and desired-model tracking performance (P2) criteria on the design variables DV1 and DV3, each figure represents the case where only one design variable is modified while all the remaining design variables are kept at the optimal value (#202). Compact distribution of the Pareto solutions, the smooth behavior of the criteria and their negligible gradient confirm the robustness and proximity to optimal design of the vector #202.

## V. Conclusion.

This paper presented results of the application of the PSI method and the MOVI software package for the design optimization of the  $\mathcal{L}_1$  flight control system implemented on the AirSTAR GTM aircraft. In particular, the study has addressed the construction of the feasible solution as well as the improvement of a nominal prototype design. For this purpose, we have formulated and solved an optimization problem with 4 design variables –defining the eigenstructure of the state-predictor state matrix, the bandwidth of the low-pass filter, and the bandwidth of the pilot-command prefilter– and 18 criteria –characterizing pilot-off-the-loop performance metrics, robustness metrics, as well as flying qualities and PIO metrics. This study demonstrates that consistent application of the systematic design guidelines of  $\mathcal{L}_1$  adaptive control becomes

particularly beneficial for the construction of the feasible solution set. Additionally, the results of the study are consistent with the theoretical claims of the theory of  $\mathcal{L}_1$  adaptive control in terms of robustness and performance. Moreover, the developed procedure and the obtained results confirm the suitability of the PSI method for the multi-criteria optimization of an adaptive flight control law subject to desired control specifications.

Finally, we want to emphasize that the insights gained during the optimization process with the MOVI software contributed to the successful flight verification and validation [16] of the designed  $\mathcal{L}_1$  adaptive control law at NASA LARC.

### Acknowledgments

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### Nomenclature

$\alpha$	angle of attack
$\beta$	angle of sideslip
$p$	roll rate
$A_z$	vertical acceleration
$\delta_e$	elevator deflection command
$\alpha_{cmd}$	angle of attack pilot command

$\alpha_{des}$	angle of attack desired response
$\beta_{des}$	side slip angle desired response
$p_{des}$	roll rate desired response

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