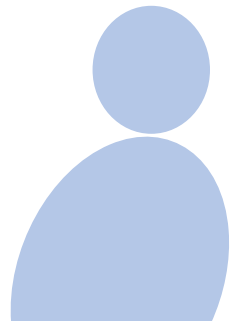


# MIMO-OFDM

- Combines the benefits of **MIMO + OFDM** SPATIAL MUX  
FREQ. MUX
- Exploits **Space + Frequency** division Multiplexing  
4G LTE ~ 100 Mbps  
5G NR ~ 1 Gbps.
- This leads to **ultra high** data rates!!!



# MIMO-OFDM Channel Model

- **Channel taps** between Receive antenna  $i$  and transmit antenna  $j$

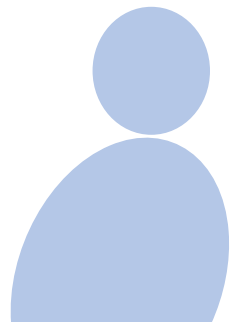
MIMO —  $r = \# \text{ Receive Antennas.}$   
 $t = \# \text{ Transmit Antennas.}$

$$h_{ij}(0), h_{ij}(1), \dots, h_{ij}(L-1)$$

$L$  Taps

Total #taps =  $r \times t \times L$

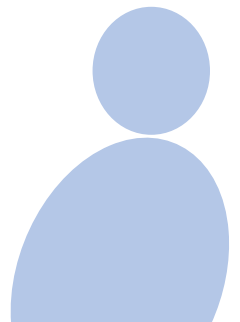
$h_{ij}(l) = l^{\text{th}}$  channel tap  
Between  $i^{\text{th}}$  Rx Antenna  
 $j^{\text{th}}$  TX Antenna,



# MIMO-OFDM

*in MIMO FDM.*

- Transmission can be done as follows



# MIMO-OFDM Transmission perform IFFT

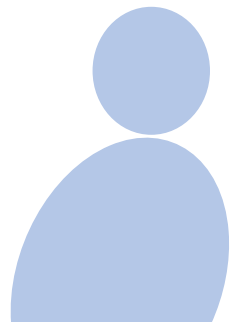
- On each transmit antenna  $j$  **load the subcarriers** as shown below

SYMBOLS LOADED ON SUBCARRIERS FOR TRANSMIT ANTENNA  $j$

$$X_j(0), X_j(1), \dots, X_j(N-1)$$

$$X_j(k) = \text{Symbol Loaded on Subcarrier } k \text{ @ Transmit-antenna } j$$

$$\begin{aligned} \text{Total \# Symbols} \\ &= NT \end{aligned}$$



# MIMO-OFDM Transmission

- **IFFT** can be performed as shown below

$X_j(0), X_j(1), \dots, X_j(N-1)$

$\downarrow$  IFFT

$x_j(0), x_j(1), \dots, x_j(N-1)$

N SAMPLES.

FREQ DOMAIN  
SAMPLES.

TIME DOMAIN  
SAMPLES ON  
TRANSMIT ANTENNA  $j$

# MIMO-OFDM Transmission

- How many IFFTs?

(  
ONE for each Transmit Antenna  
 $\Rightarrow$   $N$  IFFTs.



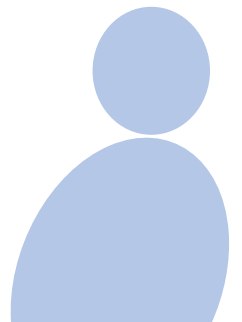
# MIMO-OFDM Transmission

- Add the cyclic prefix as shown below

$$\underbrace{x_j(N - \tilde{L}), \dots, x_j(N - 2), x_j(N - 1)}_{\text{CP}}, \underbrace{x_j(0), x_j(1), \dots, x_j(N - 1)}_{\text{Original samples}}$$

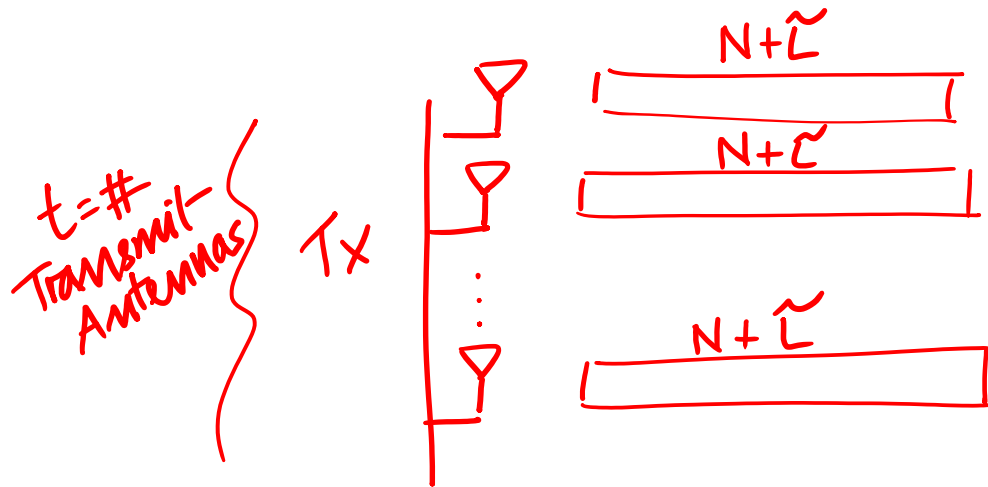


$N + \tilde{L}$  samples on  
each Tx antenna.



# MIMO-OFDM Transmission

- What is the size of the total transmission block?



in one OFDM BLOCK.

$$\Rightarrow \begin{aligned} \text{Total \# Samples} &= (N + \tilde{L})L \\ &= (N + N_{cp})L \end{aligned}$$



# MIMO-OFDM Channel

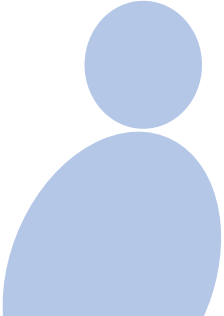
- After removal of CP, **Linear Convolution** becomes **Circular Convolution**- Why?

$$y_i(k) = \sum_{j=1}^t h_{ij} \otimes x_j + w_i(k)$$

Channel between  
RX antenna  $i$   
TX antenna  $j$

Samples on  
TX antenna  $j$

Circular  
convolution



# MIMO-OFDM Receiver *Fast Fourier Transform*

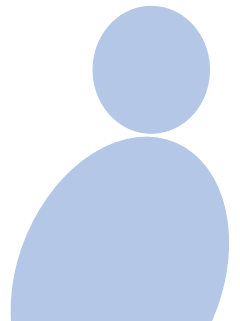
- Performing **FFT** at each receive antenna yields *SAMPLES ON RX antenna i after CP removal.*

$$y_i(0), y_i(1), \dots, y_i(N-1)$$

$\downarrow$  *FFT*

$$Y_i(0), Y_i(1), \dots, Y_i(N-1)$$

$$Y_i(k) = \underline{\text{OUTPUT ON SUBCARRIER } k.}$$



# MIMO-OFDM Receiver

- How many FFTs?

$r = \#$  Receive antennas -

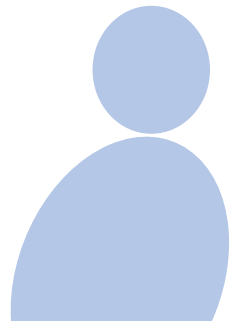
One FFT @ each RX antenna  
 $\Rightarrow r$  FFTs -



# MIMO-OFDM

- The net MIMO-OFDM system model is *For subcarrier  $k$ .*

$$\begin{bmatrix} Y_1(k) \\ Y_2(k) \\ \vdots \\ Y_r(k) \end{bmatrix} = \underbrace{\begin{bmatrix} H_{11}(k) & H_{12}(k) & \dots & \dots \\ H_{21}(k) & \dots & \dots & \dots \\ \vdots & & \ddots & \\ \vdots & & & \dots \end{bmatrix}}_{r \times t \text{ matrix on SUBCARRIER } k.} \begin{bmatrix} X_1(k) \\ X_2(k) \\ \vdots \\ X_t(k) \end{bmatrix} + \begin{bmatrix} W_1(k) \\ W_2(k) \\ \vdots \\ W_r(k) \end{bmatrix}$$



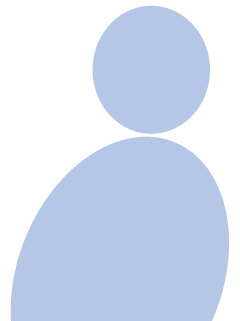
# MIMO-OFDM

- The net MIMO-OFDM system model is

$$\underbrace{\begin{bmatrix} Y_1(k) \\ Y_2(k) \\ \vdots \\ Y_r(k) \end{bmatrix}}_{\mathbf{Y}(k)} = \underbrace{\begin{bmatrix} H_{11}(k) & H_{12}(k) & \dots & H_{1t}(k) \\ H_{21}(k) & H_{22}(k) & \dots & H_{2t}(k) \\ \vdots & \vdots & \ddots & \vdots \\ H_{r1}(k) & H_{r2}(k) & \dots & H_{rt}(k) \end{bmatrix}}_{\mathbf{H}(k)} \underbrace{\begin{bmatrix} X_1(k) \\ X_2(k) \\ \vdots \\ X_t(k) \end{bmatrix}}_{\mathbf{X}(k)} + \underbrace{\begin{bmatrix} W_1(k) \\ W_2(k) \\ \vdots \\ W_r(k) \end{bmatrix}}_{\mathbf{W}(k)}$$

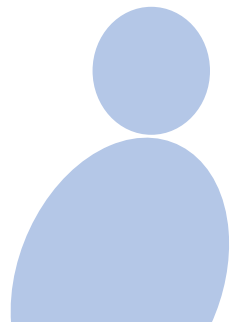
txl vector of  
symbols loaded on  
subcarrier k for all  
Transmit antennas.

rxl Noise vector  
for sub carrier k.

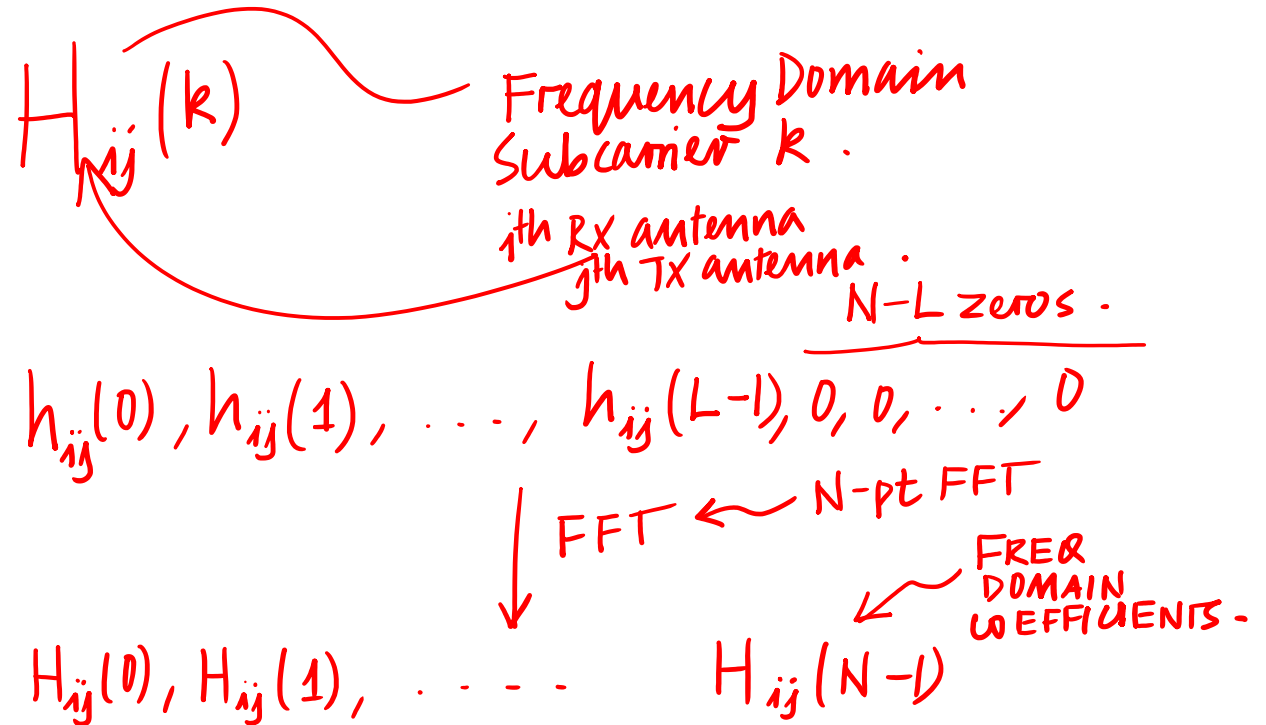


# MIMO-OFDM

- $\mathbf{Y}(k)$ :  $r \times 1$  OUTPUT VECTOR SUBCARRIER  $k$
- $\mathbf{H}(k)$ :  $r \times t$  CHANNEL MATRIX SUBCARRIER  $k$
- $\mathbf{X}(k)$ :  $t \times 1$  Transmit vector subcarrier  $k$ .
- $\mathbf{W}(k)$ :  $r \times 1$  Noise vector subcarrier  $k$ .



# MIMO-OFDM Channel Coefficients



# MIMO-OFDM

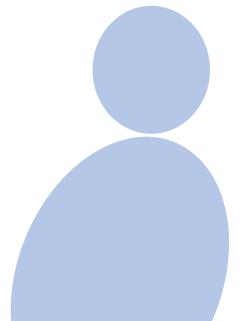
- $\mathbf{Y}(k)$ : Output symbol vector for subcarrier  $k$   $r \times 1$
- $\mathbf{H}(k)$ : Channel matrix for subcarrier  $k$   $r \times t$
- $\mathbf{X}(k)$ : Symbol vector for subcarrier  $k$   $t \times 1$
- $\mathbf{W}(k)$ : Noise vector for subcarrier  $k$   $r \times 1$

FLAT MIMO CHANNEL

$$\mathbf{Y}(k) = \mathbf{H}(k) \mathbf{X}(k) + \mathbf{W}(k)$$

$$k = 0, 1, \dots, N-1$$

N PARALLEL MIMO CHANNELS -





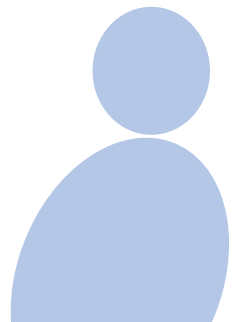
# MIMO-OFDM

- **MIMO-OFDM** Model for Subcarrier  $k$  is

$$Y(k) = H(k)X(k) + W(k).$$

---

FREQUENCY. DOMAIN MODEL



# MIMO-OFDM

- **MIMO-OFDM** Model for Subcarrier  $k$  is

$$\mathbf{Y}(k) = \mathbf{H}(k)\mathbf{X}(k) + \mathbf{W}(k)$$



# MIMO-OFDM

- How many such parallel MIMO systems are there?

ONE FOR EACH SUBCARRIER  
 $\Rightarrow$  N. PARALLEL MIMO CHANNELS.



# MIMO-OFDM Parallel Channels

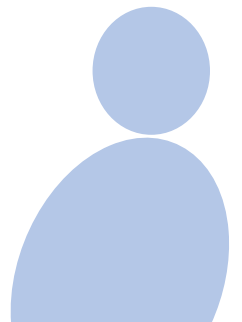
$$\mathbf{Y}(0) = \mathbf{H}(0)\mathbf{X}(0) + \mathbf{W}(0)$$

$$\mathbf{Y}(1) = \mathbf{H}(1)\mathbf{X}(1) + \mathbf{W}(1)$$

$\vdots$

$$\mathbf{Y}(N-1) = \mathbf{H}(N-1)\mathbf{X}(N-1) + \mathbf{W}(N-1)$$

$\left. \begin{array}{l} \mathbf{Y}(0) \\ \mathbf{Y}(1) \\ \vdots \\ \mathbf{Y}(N-1) \end{array} \right\} \text{N parallel} \\ \text{MIMO} \\ \text{channels -}$



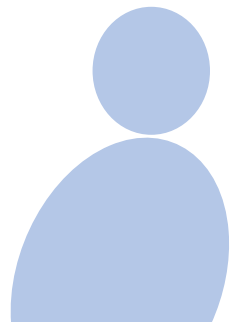
# MIMO-OFDM Parallel Channels

$$\mathbf{Y}(0) = \mathbf{H}(0)\mathbf{X}(0) + \mathbf{W}(0)$$

$$\mathbf{Y}(1) = \mathbf{H}(1)\mathbf{X}(1) + \mathbf{W}(1)$$

$\vdots$

$$\mathbf{Y}(N - 1) = \mathbf{H}(N - 1)\mathbf{X}(N - 1) + \mathbf{W}(N - 1)$$



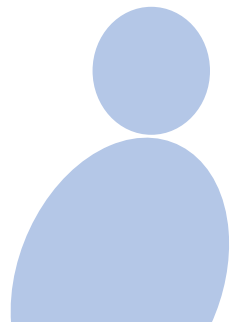
# MIMO-OFDM

$$\underline{Y(k) = H(k)X(k) + W(k) .}$$

- How to recover  $\underline{X(k)}$ ? ZF Receiver!

$$\hat{\underline{X}}(k) = \underline{H}^{\dagger}(k) \underline{Y}(k)$$

$$\hat{\underline{X}}(k) = \underbrace{\left( \underline{H}^H(k) \underline{H}(k) \right)^{-1} \underline{H}^H(k)}_{\substack{\text{pseudo inverse} \\ \text{ZF Receiver}}} \underline{Y}(k) .$$



# MIMO-OFDM

Linear Minimum Mean Squared Error

- One can also use the **LMMSE Receiver**

$$\hat{\mathbf{X}}(k) = \underbrace{\left( \mathbf{H}^H(k) \mathbf{H}(k) + \frac{1}{\text{SNR}} \mathbf{I} \right)^{-1} \mathbf{H}^H(k) \mathbf{Y}(k)}_{\text{LMMSE Receiver}} .$$



Instructors may use this white area (14.5 cm / 25.4 cm) for the text.  
Three options provided below for the font size.

Font: Avenir (Book), Size: 32, Colour: Dark Grey

Font: Avenir (Book), Size: 28, Colour: Dark Grey

Font: Avenir (Book), Size: 24, Colour: Dark Grey

Do not use the space below.

