

# EE910: Digital Communication Systems-I

Adrish Banerjee

Department of Electrical Engineering  
Indian Institute of Technology Kanpur  
Kanpur, Uttar Pradesh  
India

May 23, 2022



## Lecture #7A: Noncoherent detection of carrier modulated signals



## Noncoherent Detection

- In the detection schemes we have studied so far, we made the implicit assumption that the signals  $\{s_m(t), 1 \leq m \leq M\}$  are available at the receiver.
- This assumption was in the form of either the availability of the signals themselves or the availability of an orthonormal basis  $\{\phi_j(t), 1 \leq j \leq N\}$ .
- There are many cases where cannot make such an assumption
  - One of the cases in which such an assumption is invalid occurs when transmission over the channel introduces random changes to the signal as either a random attenuation or a random phase shift.
  - Another situation that results in imperfect knowledge of the signals at the receiver arises when the transmitter and the receiver are not perfectly synchronized.

Navigation icons: back, forward, search, etc.

## Noncoherent Detection

- In this case, although the receiver knows the general shape of  $\{s_m(t)\}$ , due to imperfect synchronization with the transmitter, it can use only signals in the form of  $\{s_m(t - t_d)\}$ , where  $t_d$  represents the time slip between the transmitter and the receiver clocks.
- This time slip can be modelled as a random variable.
- To study the effect of random parameters of this type on the optimal receiver design and performance, we consider the transmission of a set of signals over the AWGN channel with some random parameter denoted by the random vector  $\theta$ .
- We assume that signals  $\{s_m(t), 1 \leq m \leq M\}$  are transmitted, and the received signal  $r(t)$  can be written as

$$\mathbf{r} = s_m(t; \theta) + n(t) \quad (1)$$

where  $\theta$  is in general a vector-valued random variable.

Navigation icons: back, forward, search, etc.

## Noncoherent Detection

- We can find an orthonormal basis for expansion of the random process  $s_m(t; \theta)$  and the same orthonormal basis can be used for expansion of the white Gaussian noise process  $n(t)$ .
- By using this basis, the waveform channel given in Equation (1).5-1 becomes equivalent to the vector channel

$$\mathbf{r} = s_{m,\theta} + n \quad (2)$$

for which the optimal detection rule is given by

$$\begin{aligned}\hat{m} &= \underset{1 \leq m \leq M}{\operatorname{argmax}} P_m p(\mathbf{r}|m) \\ &= \underset{1 \leq m \leq M}{\operatorname{argmax}} P_m \int p(\mathbf{r}|m, \theta) p(\theta) d\theta \\ &= \underset{1 \leq m \leq M}{\operatorname{argmax}} P_m \int p_n(\mathbf{r} - \mathbf{s}_{m, \theta}) p(\theta) d\theta\end{aligned}\quad (3)$$

## Noncoherent Detection

- Equation (3) represents the optimal decision rule and the resulting decision regions.
- The minimum error probability, when the optimal detection rule of Equation (3) is employed, is given by

$$\begin{aligned}
P_e &= \sum_{m=1}^M P_m \int_{D_m^c} \left( \int p(\mathbf{r}|m, \theta) p(\theta) d\theta \right) d\mathbf{r} \\
&= \sum_{m=1}^M P_m \sum_{m'=1, m' \neq m}^M \int_{D_m^c} \left( \int p(\mathbf{r}|m, \theta) p(\theta) d\theta \right) d\mathbf{r}
\end{aligned} \tag{4}$$

- Equations (3) and (4) are quite general and can be used for all types of uncertainties in channel parameters.

## Noncoherent Detection

- Consider a binary antipodal signalling system where equiprobable signals  $s_1(t) = s(t)$  and  $s_2(t) = -s(t)$  are used on an AWGN channel with noise power spectral density of  $\frac{N_0}{2}$ .
- Consider a channel that introduces a random gain of  $A$  which can take only nonnegative values.
- This channel can be modelled as

$$r(t) = As_m(t) + n(t) \quad (5)$$

where  $A$  is a random gain with PDF  $p(A)$  and  $p(A) = 0$  for  $A < 0$ .

Navigation icons: back, forward, search, etc.

## Noncoherent Detection

- Using Equation (3), and noting that  $p(r|m, A) = p_n(r - As_m), D_1$ , the optimal decision region for  $s_1(t)$  is given by

$$D_1 = r : \int_0^\infty e^{-\frac{(r - A\sqrt{\mathcal{E}_b})^2}{N_0}} p(A) dA > \int_0^\infty e^{-\frac{(r + A\sqrt{\mathcal{E}_b})^2}{N_0}} p(A) dA \quad (6)$$

- Equation (6) simplifies to

$$D_1 = r : \int_0^\infty e^{-\frac{A^2 \mathcal{E}_b}{N_0}} \left( e^{\frac{2rA\sqrt{\mathcal{E}_b}}{N_0}} - e^{\frac{2rA\sqrt{\mathcal{E}_b}}{N_0}} \right) p(A) dA > 0 \quad (7)$$

- Since  $A$  takes only positive values, the expression inside the paranthesis is positive if and only if  $r > 0$ . Therefore,

$$D_1 = \{r : r > 0\} \quad (8)$$

Navigation icons: back, forward, search, etc.

## Noncoherent Detection

- To compute the error probability we have

$$\begin{aligned} P_b &= \int_0^\infty \left( \int_0^\infty \frac{1}{\sqrt{\pi N_0}} e^{-\frac{r+A\sqrt{\mathcal{E}_b}}{N_0}} dr \right) p(A) dA \\ &= \int_0^\infty Q\left(A\sqrt{\frac{2\mathcal{E}_b}{N_0}}\right) p(A) dA \\ &= E\left(Q\left(A\sqrt{\frac{2\mathcal{E}_b}{N_0}}\right)\right) \end{aligned} \quad (9)$$

where the expectation is taken with respect to A.

Navigation icons: back, forward, search, etc.

## Noncoherent Detection

- For instance, if A takes values  $\frac{1}{2}$  and 1 with equal probability, then

$$P_b = \frac{1}{2} Q\left(\sqrt{\frac{2\mathcal{E}_b}{N_0}}\right) + \frac{1}{2} Q\left(\sqrt{\frac{\mathcal{E}_b}{2N_0}}\right) \quad (10)$$

- It is important to note that in this case the average received energy per bit is  $\mathcal{E}_{bavg} = \frac{1}{2}\mathcal{E}_b + \frac{1}{2}\left(\frac{1}{4}\mathcal{E}_b\right) = \frac{5}{8}\mathcal{E}_b$

Navigation icons: back, forward, search, etc.

## Noncoherent detection of carrier modulated signals

- For carrier modulated signals,  $\{s_m(t), 1 \leq m \leq M\}$  are bandpass signals with lowpass equivalents  $\{s_{ml}(t), 1 \leq m \leq M\}$  where

$$s_m(t) = \text{Re}[s_{ml}(t)e^{j2\pi f_c t}] \quad (11)$$

- Received signal in the AWGN channel is given by

$$r(t) = s_m(t - t_d) + n(t) \quad (12)$$

where  $t_d$  indicates the random time asynchronism between the clocks of the transmitter and receiver.



## Noncoherent detection of carrier modulated signals

- We can see that the received random process  $r(t)$  is a function of three random phenomena,
  - The message  $m$ , which is selected with probability  $P_m$ ,
  - The random variable  $t_d$ , and
  - The random process  $n(t)$ .
- From equations (11) and (12) we have

$$\begin{aligned} r(t) &= \text{Re}[s_{ml}(t - t_d)e^{j2\pi f_c(t - t_d)}] + n(t) \\ &= \text{Re}[s_{ml}(t - t_d)e^{-j2\pi f_c t_d}e^{j2\pi f_c t}] + n(t) \end{aligned} \quad (13)$$

- Therefore, the lowpass equivalent of  $s_m(t - t_d)$  is equal to  $s_{ml}(t - t_d)e^{-j2\pi f_c t_d}$



## Noncoherent detection of carrier modulated signals

- In practice  $t_d \ll T_s$ , where  $T_s$  is the symbol duration.
- Thus the effect of a time shift of size  $t_d$  on  $s_{ml}(t)$  is negligible.
- However the term  $e^{-j2\pi f_c t_d}$  can introduce a large phase shift  $\phi = -2\pi f_c t_d$ .
- Since  $t_d$  is random and even small values of  $t_d$  can cause large phase shifts that are folded modulo  $2\pi$ .
- We can model  $\phi$  as a random variable uniformly distributed between 0 and  $2\pi$ .
- This model of the channel and detection of signals under this assumption is called noncoherent detection



## Noncoherent detection of carrier modulated signals

- In the noncoherent case

$$\text{Re}[r_I(t)e^{j2\pi f_c t}] = \text{Re}[(e^{j\phi} s_{ml}(t) + n_I(t))e^{j2\pi f_c t}] \quad (14)$$

or, in the baseband, we have

$$r_I(t) = e^{j\phi} s_{ml}(t) + n_I(t) \quad (15)$$

- Since the lowpass noise process  $n_I(t)$  is circular and its statistics are independent of any rotation; hence we can ignore the effect of phase rotation on the noise component.



## Noncoherent detection of carrier modulated signals

- For the phase coherent case where the receiver knows  $\phi$ , it can compensate for it, and the lowpass equivalent channel will have the familiar form of

$$r_I(t) = s_{mI}(t) + n_I(t) \quad (16)$$

- In the noncoherent case, the vector equivalent of equation (16) is given by

$$\mathbf{r}_I = e^{j\phi} \mathbf{s}_{mI} + \mathbf{n}_I \quad (17)$$

Navigation icons: back, forward, search, etc.

## Noncoherent detection of carrier modulated signals

- The optimal detector for the baseband vector channel of equation (17) is given by

$$\hat{m} = \arg \max_{1 \leq m \leq M} \frac{P_m}{2\pi} \int_0^{2\pi} p_{n_I}(\mathbf{r}_I - e^{j\phi} \mathbf{s}_{mI}) d\phi \quad (18)$$

- Note that  $n_I(t)$  is a complex baseband random process with power spectral density of  $2N_0$  in the  $[-W, W]$  frequency band.
- The projections of this process on an orthonormal basis will have complex i.i.d. zero-mean Gaussian components with variance  $2N_0$  (variance  $N_0$  per real and imaginary components).
- Therefore we can write

$$\hat{m} = \arg \max_{1 \leq m \leq M} \frac{P_m}{2\pi} \frac{1}{(4\pi N_0)^N} \int_0^{2\pi} e^{-\frac{\|\mathbf{r}_I - e^{j\phi} \mathbf{s}_{mI}\|^2}{4N_0}} d\phi \quad (19)$$

Navigation icons: back, forward, search, etc.



## Noncoherent detection of carrier modulated signals

- Expanding the exponent, and dropping terms that do not depend on  $m$ , and noting that  $\|\mathbf{s}_{ml}\|^2 = 2\mathcal{E}_m$ , we obtain

$$\begin{aligned}
 \hat{m} &= \arg \max_{1 \leq m \leq M} \frac{P_m}{2\pi} e^{-\frac{\mathcal{E}_m}{2N_0}} \int_0^{2\pi} e^{\frac{1}{2N_0} \text{Re}[r_l \cdot e^{j\phi} \mathbf{s}_{ml}]} d\phi \\
 &= \arg \max_{1 \leq m \leq M} \frac{P_m}{2\pi} e^{-\frac{\mathcal{E}_m}{2N_0}} \int_0^{2\pi} e^{\frac{1}{2N_0} \text{Re}[(r_l \cdot \mathbf{s}_{ml}) e^{-j\phi}]} d\phi \\
 &= \arg \max_{1 \leq m \leq M} \frac{P_m}{2\pi} e^{-\frac{\mathcal{E}_m}{2N_0}} \int_0^{2\pi} e^{\frac{1}{2N_0} \text{Re}[|r_l \cdot \mathbf{s}_{ml}| e^{-j(\phi-\theta)}]} d\phi \\
 &= \arg \max_{1 \leq m \leq M} \frac{P_m}{2\pi} e^{-\frac{\mathcal{E}_m}{2N_0}} \int_0^{2\pi} e^{\frac{1}{2N_0} |r_l \cdot \mathbf{s}_{ml}| \cos(\phi-\theta)} d\phi
 \end{aligned} \tag{20}$$

where  $\theta$  denotes the phase of  $\mathbf{r}_l \cdot \mathbf{s}_{ml}$

Navigation icons: back, forward, search, etc.

## Noncoherent detection of carrier modulated signals

- Note that the integrand in equation (20) is a periodic function of  $\phi$  with period  $2\pi$ , and we are integrating over a complete period; therefore  $\theta$  has no effect on the result.
- Using the relation

$$I_0(x) = \frac{1}{2\pi} \int_0^{2\pi} e^{x \cos \phi} d\phi \tag{21}$$

where  $I_0(x)$  is the modified Bessel function of the first kind and order zero, we obtain

$$\hat{m} = \arg \max_{1 \leq m \leq M} P_m e^{-\frac{\mathcal{E}_m}{2N_0}} I_0\left(\frac{|\mathbf{r}_l \cdot \mathbf{s}_{ml}|}{2N_0}\right) \tag{22}$$

Navigation icons: back, forward, search, etc.

## Noncoherent detection of carrier modulated signals

- In general, the decision rule is given in equation (22) cannot be made simpler.
- However, in the case of equiprobable and equal-energy signals, the terms  $P_m$  and  $\mathcal{E}_m$  can be ignored, and the optimal detection rule becomes

$$\hat{m} = \arg \max_{1 \leq m \leq M} I_0 \left( \frac{|\mathbf{r}_I \cdot \mathbf{s}_{ml}|}{2N_0} \right) \quad (23)$$

- Since for  $x > 0$ ,  $I_0(x)$  is an increasing function of  $x$ , the decision rule in this case reduces to

$$\hat{m} = \arg \max_{1 \leq m \leq M} |\mathbf{r}_I \cdot \mathbf{s}_{ml}| \quad (24)$$

Navigation icons: back, forward, search, etc.

## Noncoherent detection of carrier modulated signals

- From equation (24) it is clear that an optimal noncoherent detector first demodulates the received signal, using its nonsynchronized local oscillator, to obtain  $r_I(t)$ , the lowpass equivalent of the received signal.
- It then correlates  $r_I(t)$  with all  $s_{ml}(t)$ 's and chooses the one that has the maximum absolute value, or envelope.
- This detector is called envelope detector.
- Note that equation (24) can also be written as

$$\hat{m} = \arg \max_{1 \leq m \leq M} \left| \int_{-\infty}^{\infty} r_I(t) s_{ml}^*(t) dt \right| \quad (25)$$

Navigation icons: back, forward, search, etc.

# Envelope Detector

