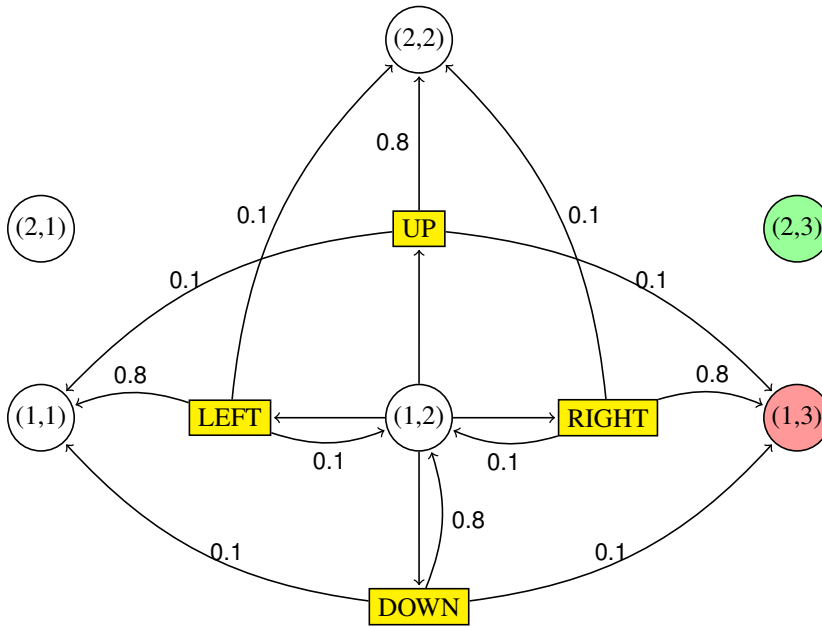


## EE698V Mid-Semester Exam Solutions February 2022

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**1 Question 1****1.1 (a)****1.2 (b)**For  $\gamma = 1$ , the optimal policy is:

S	(1, 1)	(1, 2)	(1, 3)	(2, 1)	(2, 2)	(2, 3)
$\pi^*$	UP	LEFT	NA	RIGHT	RIGHT	NA

The optimal policy depends on  $\gamma$ .For low values of  $\gamma$ , UP might be the best action in state (1, 2).**1.3 (c)**

$$V^*(s) = +5 \forall s \notin \{(1, 3), (2, 3)\}$$

$$V_1(1, 3) = V_1(2, 3) = 0. \text{ Since those are terminal states}$$

## 1.4 (d)

$$V_0(s) = 0 \forall s \in S.$$

S	(1, 1)	(1, 2)	(1, 3)	(2, 1)	(2, 2)	(2, 3)
$V_1$	0	0	0	0	4	0
$V_2$	0	2.38	0	2.88	4.36	0

$$V_1(2, 2) = 0.8 * 5 = 4$$

$$V_2(1, 2) = 0.8(0 + 0.9 * 4) + 0.1(-5 + 0) = 2.38$$

$$V_2(2, 1) = 0.8(0 + 0.9 * 4) = 2.88$$

$$V_2(2, 2) = 0.8 * 5 + 0.1(0 + 0.9 * 4) = 4.36$$

## 1.5 (e)

$$V(1, 1) = (-5 + 5 + 5)/3 = 5/3$$

$$V(2, 2) = (5 + 5)/2 = 5$$

## 1.6 (f)

$$V(s) = V(s) + \alpha * (r + \gamma * V(s') - V(s))$$

Trail 1

$$V(1, 2) = 0 + 0.1(-5 + 0.9 * 0 - 0) = -0.5$$

No other updates.

Trail 2

$$V(1, 1) = 0 + 0.1(0 + 0.9 * -0.5 - 0) = -0.045$$

$$V(1, 2) = -0.5 + 0.1(0 + 0.9 * 0 + 0.5) = -0.45$$

$$V(2, 2) = 0 + 0.1(5 + 0.9 * 0 - 0) = 0.5$$

## 2 Question 2

### 2.1 (a)

$$G_t = R_{t+1} + \gamma * R_{t+2} + \dots = \sum_{k=0}^{\infty} \gamma^k * R_{t+k+1}$$

Adding c to each reward

$$\tilde{G}_t = R_{t+1} + \gamma * R_{t+2} + \dots + c + \gamma * c + \dots$$

$$\tilde{G}_t = G_t + c * \sum_{k=0}^{\infty} \gamma^k$$

$$\tilde{G}_t = G_t + c/(1 - \gamma)$$

$$V_{\pi}(s) = E[G_t | S_t = s]$$

$$\tilde{V}_\pi(s) = E[\tilde{G}_t | S_t = s]$$

$$\tilde{V}_\pi(s) = E[G_t | S_t = s] + c/(1 - \gamma)$$

$$\tilde{V}_\pi(s) = V_\pi(s) + V_c$$

$\implies$   $c$  doesn't affect the relative difference among states.

## 2.2 (b)

In episodic tasks adding a constant could change the goal.

For example in the shortest path grid problem, if we increase the reward of '-1' per step to '1' and terminal reward to '2', the agent will not reach the goal state.

## 3 Question 3

### 3.1 Dynamic programming

DP Backup Diagram

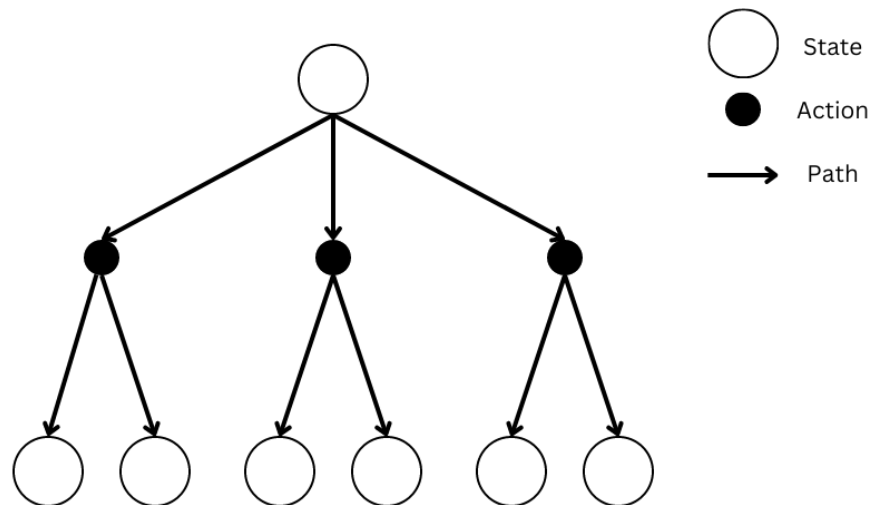


Figure 1: DP Backup Diagram

### 3.2 Monte-Carlo

Monte Carlo Backup Diagram

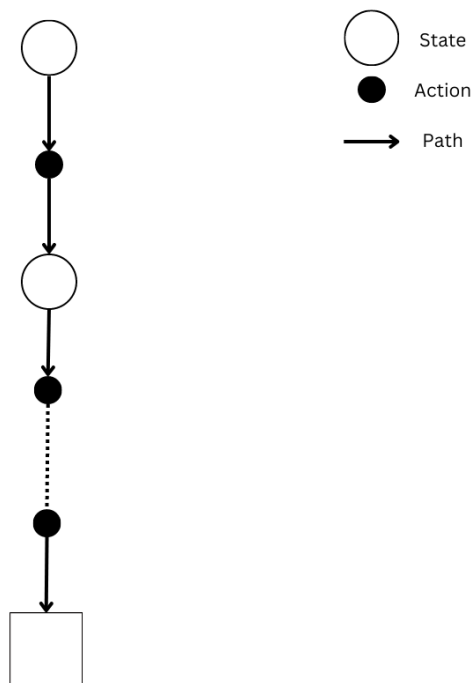


Figure 2: Monte Carlo Backup Diagram

### 3.3 TD

TD Backup Diagram

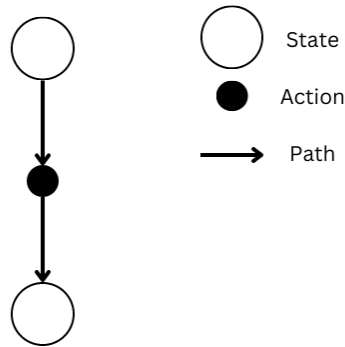


Figure 3: TD Backup Diagram

## 4 Question 4

### 4.1 (a)

States :  $2^n$  states (Binary vector of length  $n$ ), 1/0 - chair occupied or not.

Action : Picking a chair to occupy from a set of available chairs.

Transition : When picking a chair, the status of the chair goes from unoccupied to occupied.

Upon taking action.

Reward :

- +1 if nobody is sitting on the chair and adjacent chairs.
- -100 if only one of the neighbouring chairs is filled.
- -200 if both the neighbouring chairs are filled.

### 4.2 (b)

There are  $2^6$  states.

Only 18 of those states are valid:

- no occupied chair.

- 6 cases of 1 occupied chair.
- 9 cases of 2 occupied chairs.
- 2 cases of 3 occupied chairs.

Terminal states:

- Chairs 1, 3, and 5 are occupied.
- Chairs 2, 4, and 6 are occupied.
- Chairs 1 and 4 are occupied.
- Chairs 2 and 5 are occupied.
- Chairs 2 and 6 are occupied.

### **4.3 (c)**

The given state is a terminal state.