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## Homework 4

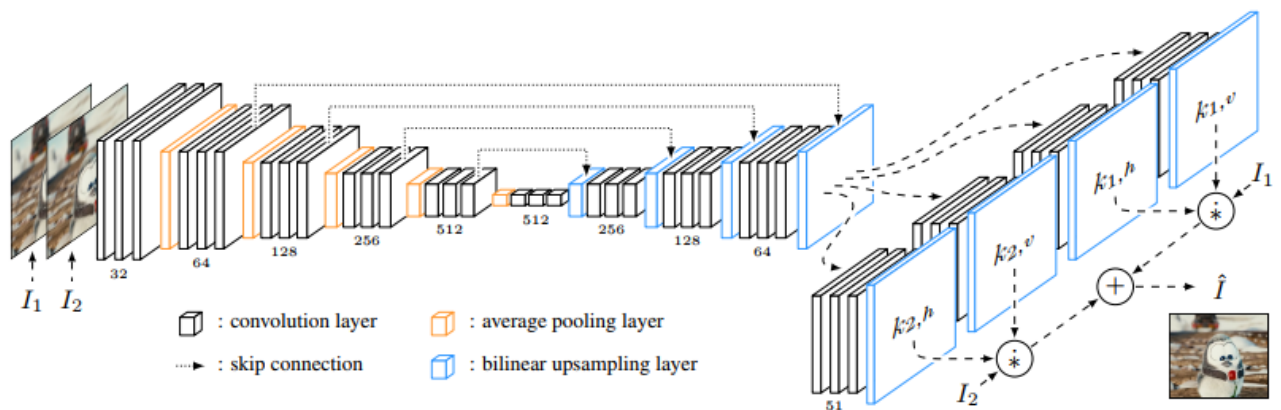
### 1. Dataset

Because the given dataset consists 5 videos in the MPEG-4 format, these videos must be split into frames. After that, not all of frames are used, the frames, which are transition between two adjacent scenes or containing many artefacts, are discarded. Then, each video is divided into scenes and each scene includes frames having reasonable quality with noticeable motion.

All above process is operated in Matlab with 2 files: `ExtractFrame.m` and `SceneSeparation.m`. The frames at the beginning and ending of each scene in each video is written in 5 files: `video1.csv`, `video2.csv`, `video3.csv`, `video4.csv`, `video5.csv` in the folder `data`.

### 2. Network Architecture

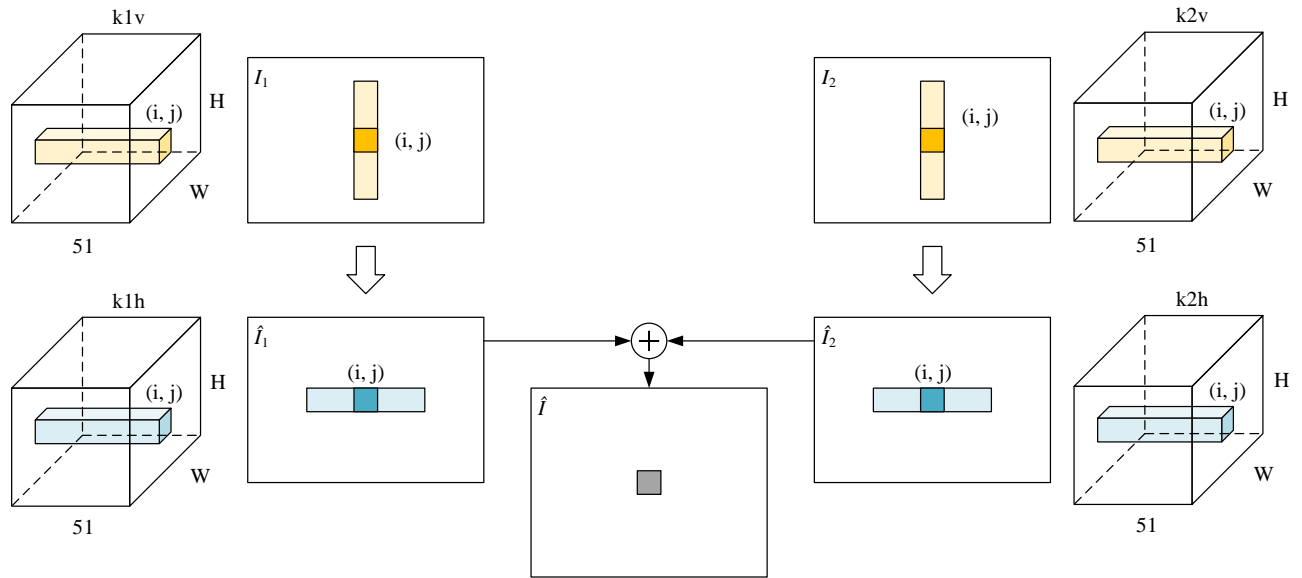
The structure of network is shown in Figure 2.1. It can be seen that the backbone of the network is based on U-net with 4 skip connection between encoder and decoder.



**Figure 2.1.** The network architecture [1]

The most unique and importance component in the architecture is local convolution ( $*$ ), presented in Figure 2.2. After the last bilinear upsampling layer, there are 4 kernels having size of  $H \times W \times 51$ , where  $H \times W$  is the size of input network  $I_1$  and  $I_2$ . Each pixel is

corresponding with a vertical kernel and a horizontal kernel, which are taken from  $k1v$ ,  $k1h$  if the pixel is in frame  $I_1$  and from  $k2v$ ,  $k2h$  if the pixel is in frame  $I_2$ . The local convolution, actually not proper name, is summation of element-wise product between the kernel and the corresponding pixels in the frame. When calculating the next pixel, the new horizontal and vertical kernel are applied, there is not any sliding window, so that is why this separable convolution not usual convolution.



**Figure 2.2.** The local convolution

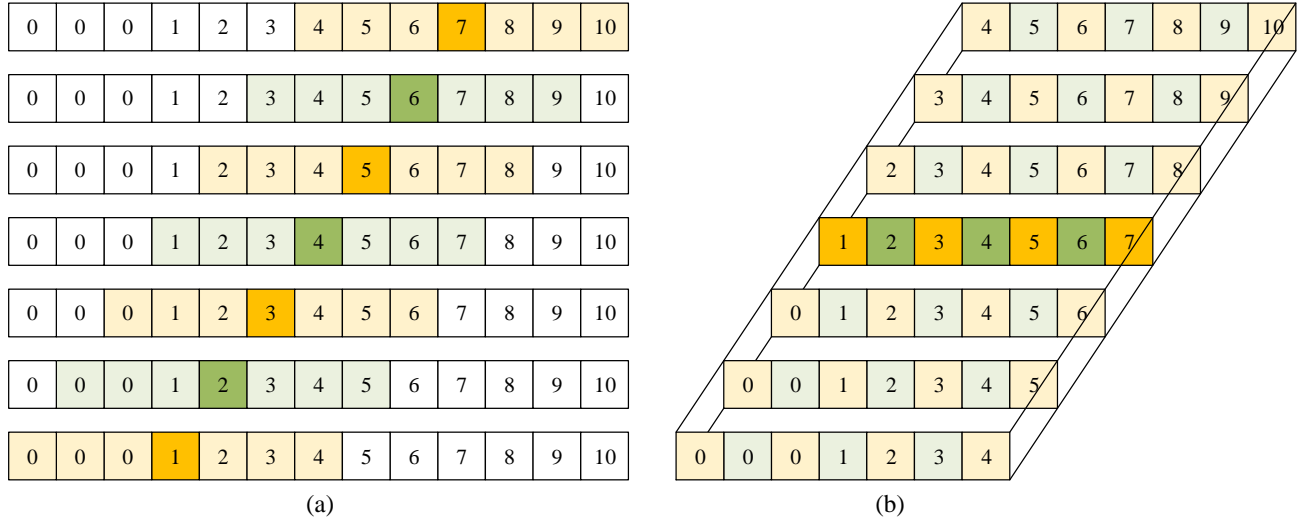
When implementing in Python, the zero-padding and parallel programming must be considered because this separable convolution consumes most of computation time due to high resolution of the input frames. For the sake of convenience explanation, let assume that the kernel length is 7 instead of 51, and 7 continuous pixels in one line must be determined horizontally.

1	2	3	4	5	6	7
---	---	---	---	---	---	---

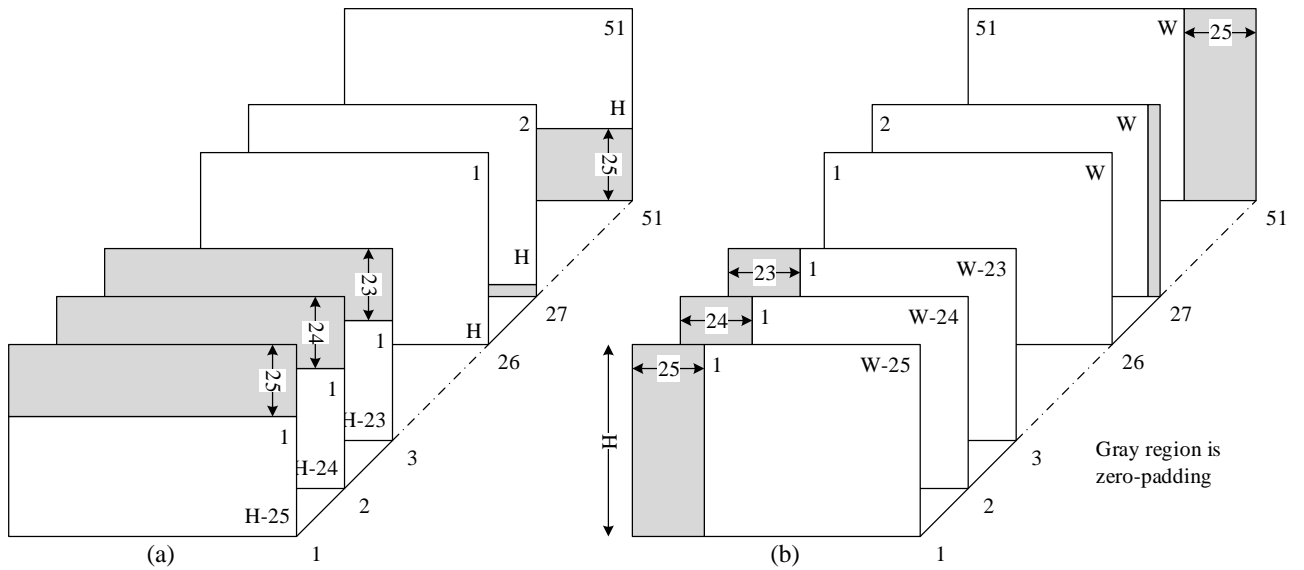
0	0	0	1	2	3	4	5	6	7
---	---	---	---	---	---	---	---	---	---

First, 3 zero-pixels are padded in the left of pixel 1. To calculate the pixel from 1 to 7, the pixels can be re-arranged from space to depth, displayed in Figure 2.3. It is consequently that the image need to be shifted 7 times with stride 1, then concatenate together to get the shape in Figure 2.3b. This process is repeated with the vertical direction.



**Figure 2.3.** The example of parallel programming for local convolution

In order to expand for kernel length 51, and 2-D images, the frame must be zero-padding with 25 pixels in the top for vertical and 25 pixels in the left for horizontal by using `tf.pad()` function. The shifted frames are generated by `tf.manip.roll()` function. Figure 2.4 presents the shifted images for local convolution.



**Figure 2.4.** The example of creating shift images for horizontal direction

The implementation of the local separable convolution is written from line 65-110 in `model.py` file which also presented in Figure 2.5 below.

```

65     b, h, w, c = I1.shape
66     pv = tf.constant([[0,0], [25,0], [0,0], [0,0]])
67     ph = tf.constant([[0,0], [0,0], [25,0], [0,0]])
68     F1v = tf.pad(I1, pv, "CONSTANT")
69     F2v = tf.pad(I2, pv, "CONSTANT")
70
71     F1v_list = []
72     F2v_list = []
73     for i in range(51):
74         F1v_ = tf.manip.roll(F1v, -i, axis=1)
75         F1v_list.append(F1v_)
76         F2v_ = tf.manip.roll(F2v, -i, axis=1)
77         F2v_list.append(F2v_)
78
79     I1v = tf.stack(F1v_list, axis=3)
80     I2v = tf.stack(F2v_list, axis=3)
81     I1v = I1v[:,0:h,:,:,:]
82     I2v = I2v[:,0:h,:,:,:]
83
84     k1v = tf.expand_dims(k1v, axis=4)
85     k1h = tf.expand_dims(k1h, axis=4)
86     k2v = tf.expand_dims(k2v, axis=4)
87     k2h = tf.expand_dims(k2h, axis=4)
88
89     I1_ = tf.reduce_sum(tf.multiply(k1v, I1v), axis=3)
90     I2_ = tf.reduce_sum(tf.multiply(k2v, I2v), axis=3)
91
92     F1h = tf.pad(I1_, ph, "CONSTANT")
93     F2h = tf.pad(I2_, ph, "CONSTANT")
94
95     F1h_list = []
96     F2h_list = []
97     for i in range(51):
98         F1h_ = tf.manip.roll(F1h, -i, axis=2)
99         F1h_list.append(F1h_)
100        F2h_ = tf.manip.roll(F2h, -i, axis=2)
101        F2h_list.append(F2h_)
102
103        I1h = tf.stack(F1h_list, axis=3)
104        I2h = tf.stack(F2h_list, axis=3)
105        I1h = I1h[:, :, 0:w, :, :]
106        I2h = I2h[:, :, 0:w, :, :]
107
108        I1_ = tf.reduce_sum(tf.multiply(k1h, I1h), axis=3)
109        I2_ = tf.reduce_sum(tf.multiply(k2h, I2h), axis=3)
110        I = I1_ + I2_

```

**Figure 2.5.** The implementation of the local separable convolution in tensorflow

### 3. Training

Before training, two consecutive frames are read from disk then directly random crop to take 2 patches 128×128. After that, the patches are random flip horizontally and vertically

for data augmentation. The pixel values are re-scale from 0 to 1 while the pixel data type is converted from uint8 to float32. This training preprocess is implemented in line 54-77 in `train.py` file, which also is displayed in Figure 3.1.

```

54 def train_parse(fr1_dir, fr2_dir, gt_dir):
55     fr1_str = tf.read_file(fr1_dir)
56     fr2_str = tf.read_file(fr2_dir)
57     gt_str = tf.read_file(gt_dir)
58
59     fr1 = tf.image.decode_png(fr1_str, channels=3)
60     fr2 = tf.image.decode_png(fr2_str, channels=3)
61     gt = tf.image.decode_png(gt_str, channels=3)
62
63     images = tf.concat([fr1, fr2, gt], axis=2)
64     return images
65
66 def train_preprocess(images):
67     patches = tf.random_crop(images, [patch_size, patch_size, 9])
68     patches = tf.image.random_flip_left_right(patches)
69     patches = tf.image.random_flip_up_down(patches)
70
71     fr1, fr2, gt = tf.split(patches, 3, axis=2)
72
73     fr1 = tf.image.convert_image_dtype(fr1, tf.float32)
74     fr2 = tf.image.convert_image_dtype(fr2, tf.float32)
75     gt = tf.image.convert_image_dtype(gt, tf.float32)
76
77     return fr1, fr2, gt

```

**Figure 3.1.** The training preprocess

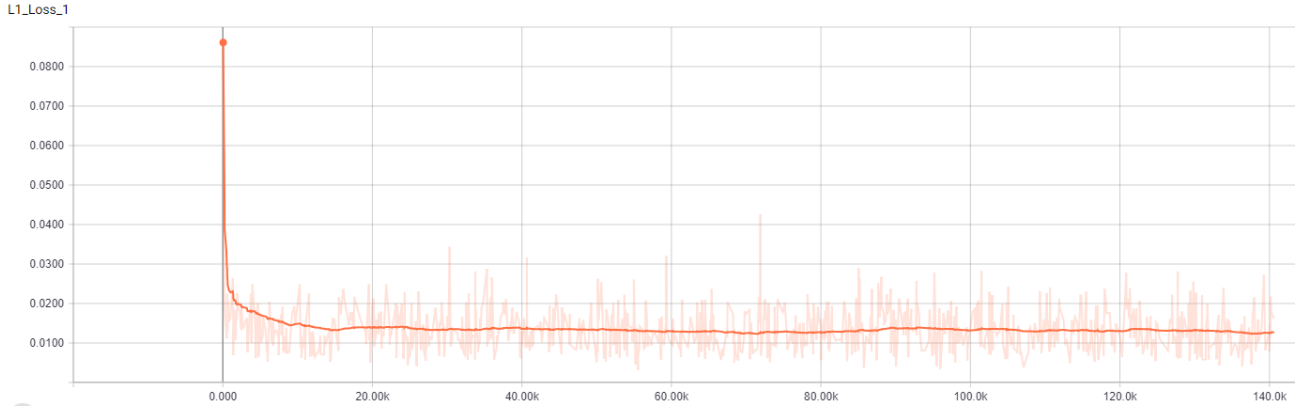
The training process was operated in a computer with an Intel(R) Core(TM) i7 CPU @ 4.20 GHz and a GPU NVIDIA GTX GeForce 960Ti. The total number of epochs is 50 and the batch size equals to 16. The initial learning rate is 0.001 during the first 20 epochs, then the learning rate is decreased by half after every 10 epochs. In order to see the impact of perceptual loss, from scratch, the network was trained with only L1 loss and L1 loss combining with perceptual loss separately with the same above configuration.

### 3.1. The L1 Loss

The training with only L1 loss consumed more than 32 hours to converge. The L1 loss is defined in the following equation.

$$\mathcal{L}_1 = \|\hat{I} - I_{gt}\|_1$$

Where,  $\hat{I}$  and  $I_{gt}$  are the interpolation frame and the ground truth respectively. Figure 3.2 below show the L1 loss value during the training process.



**Figure 3.2.** The L1 loss during training with only L1

### 3.2. The Combined Loss

The training process with L1 loss and perceptual loss consumed more than 38 hours to converge longer than just using L1 loss. The perceptual loss and combined loss are defined in the following equation.

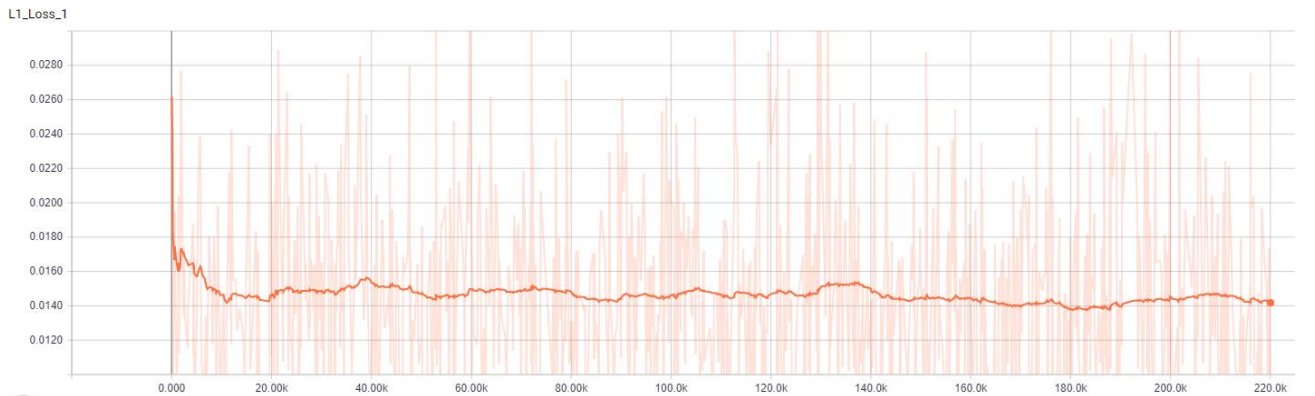
$$\mathcal{L}_F = \|\phi(\hat{I}) - \phi(I_{gt})\|_2^2$$

$$\mathcal{L}_C = \mathcal{L}_1 + \gamma \mathcal{L}_F$$

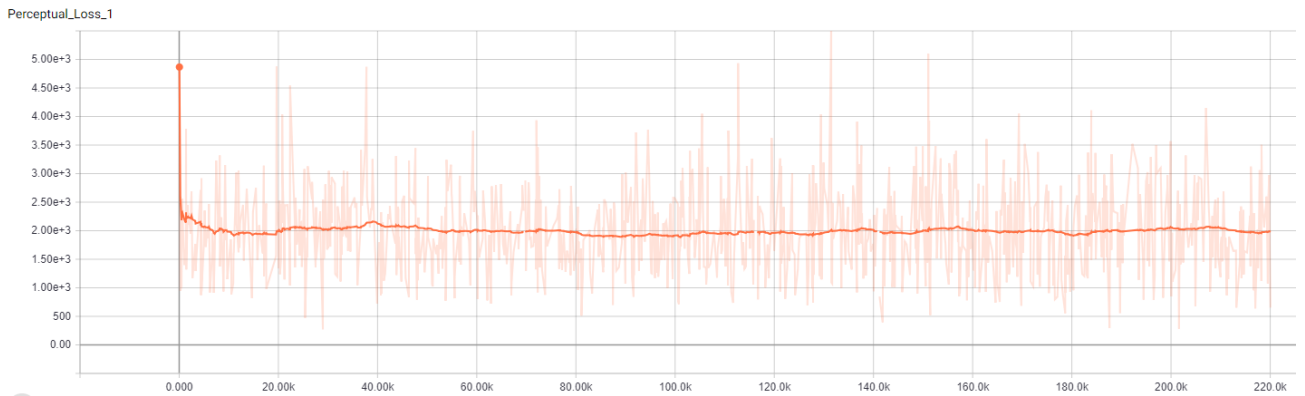
Where:

- $\phi()$  is the extracted features of the image from relu4\_4 layer in VGG-19 network
- $\mathcal{L}_C$  is the combined loss with  $\gamma = 10^{-4}$

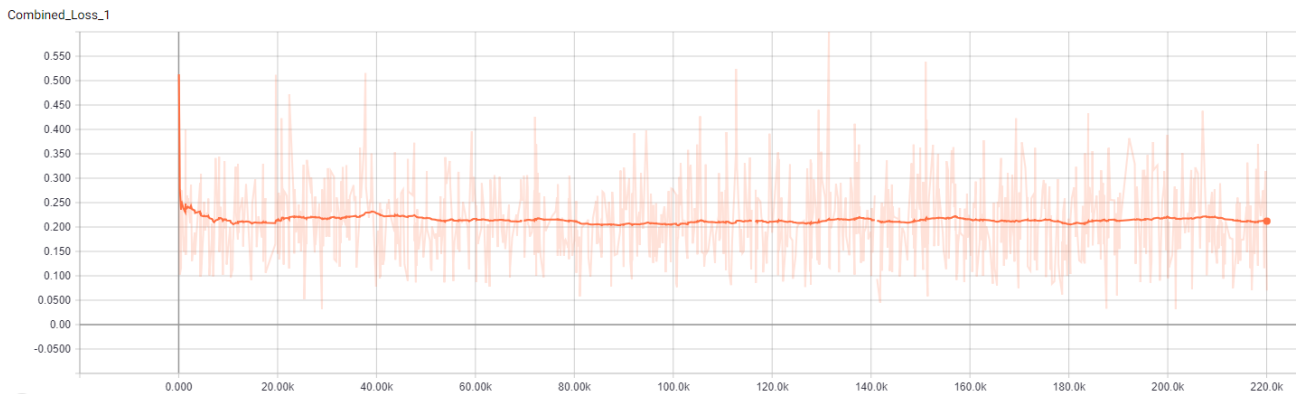
Figure 3.3, 3.4 and 3.5 shows the value of 3 different loss function during the training process.



**Figure 3.3.** The L1 loss during training with the combined loss



**Figure 3.4.** The perceptual loss during training with the combined loss



**Figure 3.5.** The combined loss during training

The implementation of 3 loss functions above is presented from line 106 to 123 in `train.py` file and shown in Figure 3.6 below.

```

106 # Loss Functions
107 with tf.name_scope('L1_Loss'):
108     L1 = tf.losses.absolute_difference(Igt, I)
109     sum_l1_op = tf.summary.scalar("L1_Loss", L1)
110
111     vgg19_gt = vgg19.Vgg19()
112     vgg19_gt.build(Igt)
113     vgg19_intp = vgg19.Vgg19()
114     vgg19_intp.build(I_)
115     with tf.name_scope('Perceptual_Loss'):
116         Lf = tf.losses.mean_squared_error(vgg19_gt.conv4_4, vgg19_intp.conv4_4)
117         sum_lf_op = tf.summary.scalar("Perceptual_Loss", Lf)
118
119     with tf.name_scope('Combined_Loss'):
120         Lc = L1 + gamma*Lf
121         sum_lc_op = tf.summary.scalar("Combined_Loss", Lc)
122
123     sum_loss_op = tf.summary.merge([sum_l1_op, sum_lf_op, sum_lc_op])

```

**Figure 3.6.** The implementation of the loss functions

## 4. Validation



The validation frames are read from disk then converted from uint8 to float32 while pixel values are scaled from 0 to 1. These frames are push to the model to generate the intermediate frames. All validation process is written in `valid.py` file. Table 4.1 and 4.2 shows the validation results of two models.

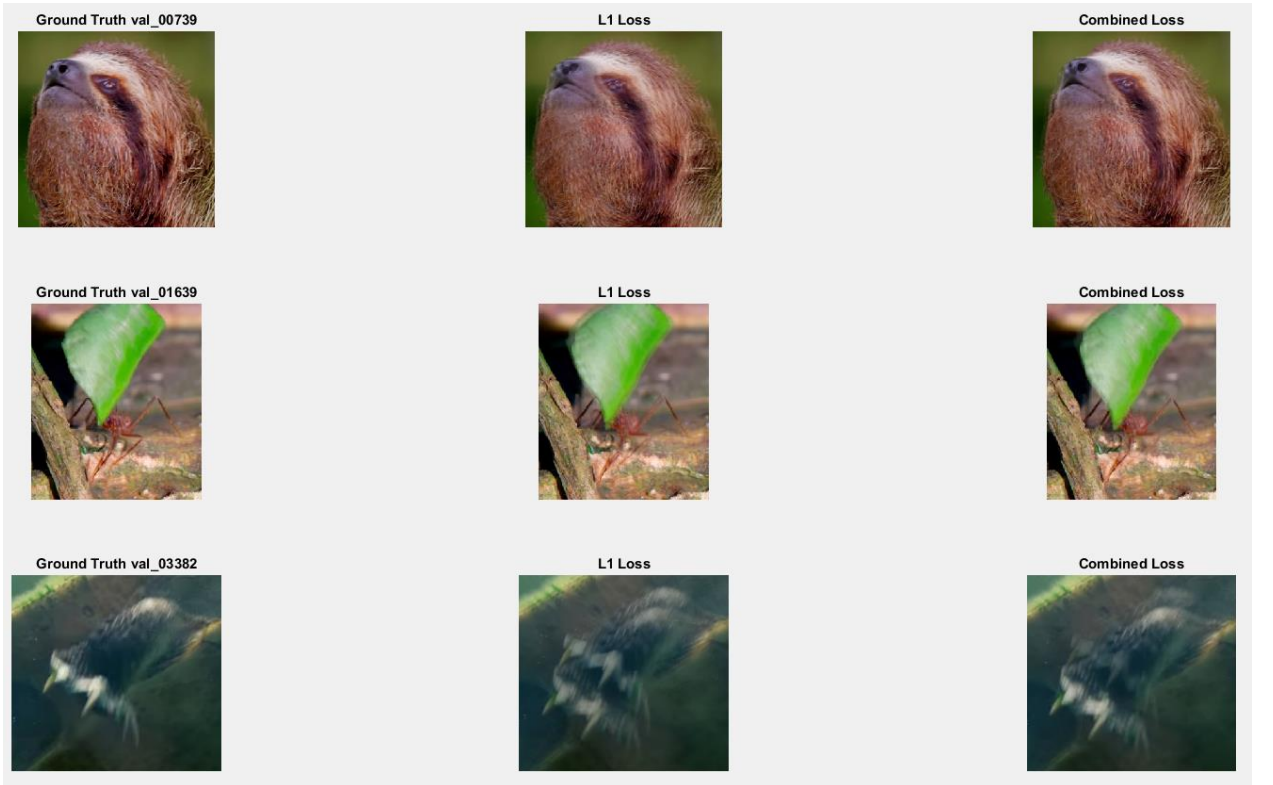
**Table 4.1.** The experimental results of the model trained with only L1 loss

Images	L1 Loss	PSNR (dB)	SSIM	MS-SSIM
<b>val_00739</b>	0.0207	26.98	0.7975	0.9131
<b>val_01639</b>	0.0022	38.07	0.9859	0.9934
<b>val_03382</b>	0.0120	30.32	0.8994	0.9327
<b>Average</b>	0.0115	31.87	0.8944	0.9460

**Table 4.2.** The experimental results of the model trained with the combined loss

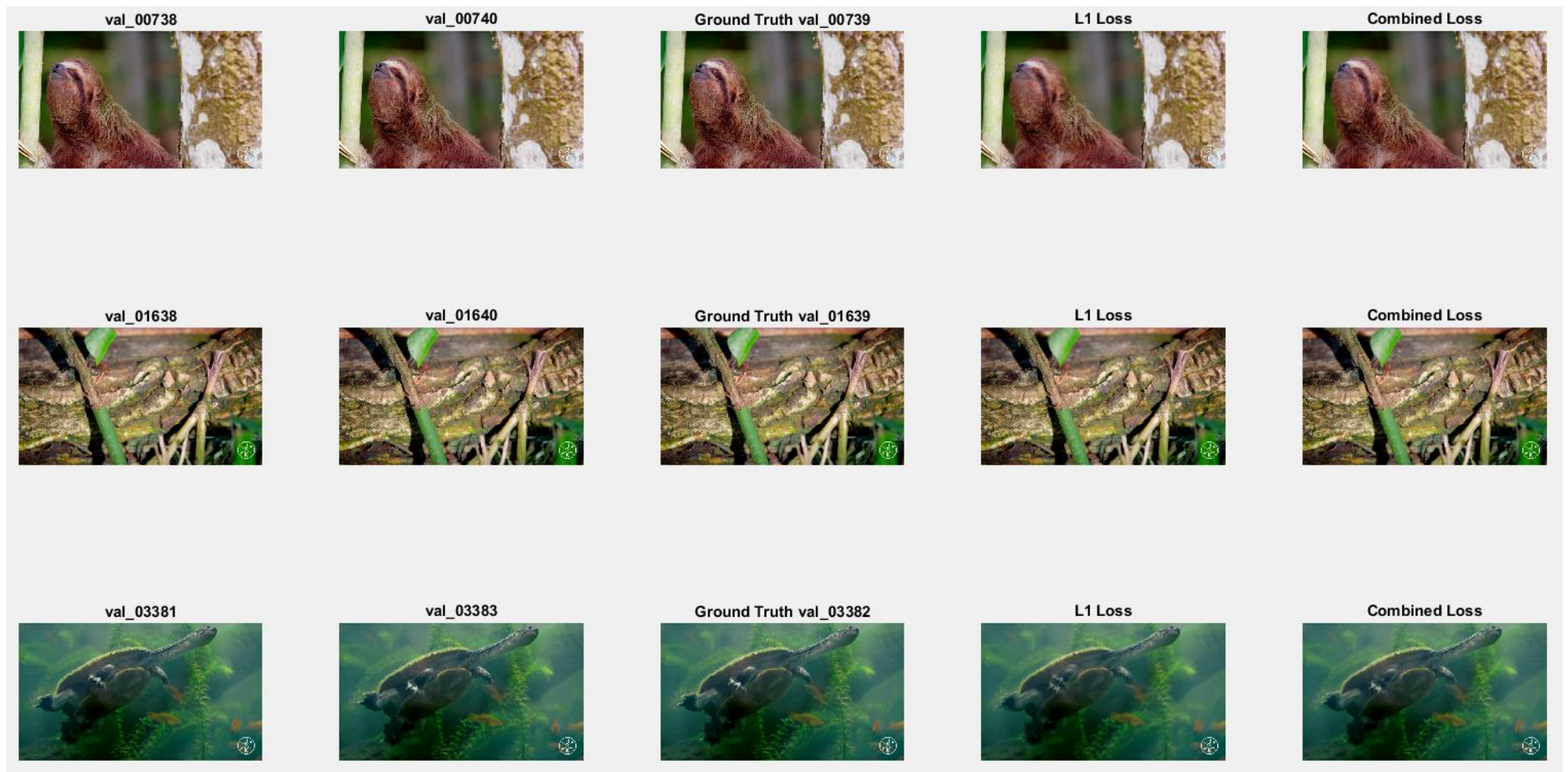
Images	L1 Loss	Perceptual Loss	Combined Loss	PSNR (dB)	SSIM	MS-SSIM
<b>val_00739</b>	0.0235	1346.32	0.1581	26.33	0.7864	0.9040
<b>val_01639</b>	0.0024	379.48	0.0404	37.03	0.9851	0.9923
<b>val_03382</b>	0.0139	1633.18	0.1772	29.45	0.8868	0.9192
<b>Average</b>	0.0132	1122.97	0.1255	31.02	0.8867	0.9374

It is noticeable to see that four qualified criterions L1 loss, PSNR, SSIM and MS-SSIM of the combined loss model is lower than L1 loss model. However, the difference of these criteria between two models are not significant and obviously, it does not mean that the combined loss function is not better than L1 loss function. Looking at Figure 4.1 and 4.2 below, the combined loss model generated the intermediate frames less blurred than the L1 loss model.



**Figure 4.1.** The comparison perceptual quality of three required examples





**Figure 4.2.** Three required validation examples

## 5. Test

In the real application, there is not any ground truth so PSNR, SSIM, MS-SSIM cannot be calculated. Therefore, in `test.py` file, only generation code is implemented.

## Reference

[1] Simon, Niklaus, Long Mai and Feng Liu, “Video Frame Interpolation via Adaptive Separable Convolution”, *IEEE International Conference on Computer Vision (ICCV)*, October 2017.