#### A 2D Bin-packing and Knapsack problem

Algorithmic Methods for Mathematical Models

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#### Intro

#### Problem description

Dimensions  $x \times y$  (in millimeters)

Capacity c (in grams)

Products n

Price  $p_i$  (in euros)

Weight  $w_i$  (in grams)

Side  $s_i$  (in millimeters)

## Methodology

#### Methodology

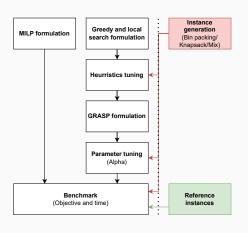


Figure 1: Proposed methdology

#### **Instance Generation**

We consider three types of generated instances

- Bin packing (designed with optimal objective  $2n^2$ )
- · Knapsack
- Mix (mimics reference instances)

# Mixed Integer Linear Programming Approaches

#### Plan-of-attack

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Two ideas on how to express the non-overlapping constraint

- If we select products  $i, j \in P$  then, product i is to the left or below product j or vice verse.
- · Or, each suitcase cell can at most be occupied by one product

#### MILP I - Plan-of-attack

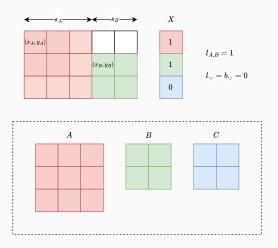


Figure 2: Non-overlapping constraint using a geometric interpretation

#### MILP I - Formulation

- $X_i$ , binary, is one if product  $i \in P$  is chosen, otherwise zero.
- $(x_i, y_i)$ , integers, coordinate of the bottom left corner of product  $i \in P$

#### MILP I - Formulation (continued)

max 
$$\sum_{k \in P} p_k \cdot X_k$$
 (1)
s.t. 
$$\sum_{(i,j) \in S, \ k \in P} w_k \cdot X_i \le c$$
 (2)
$$X_k \ge 1 \qquad \forall k \in P \quad (3)$$

$$y_k \ge 1 \qquad \forall k \in P \quad (4)$$

$$X_k + S_k \le X \qquad \forall k \in P \quad (5)$$

$$y_k + S_k \le y \qquad \forall k \in P \quad (6)$$

$$X_i = X_j = 1 \implies (X_i + S_i \le X_j) \qquad \forall i \in P, \forall j \in P : i < j \quad (7)$$

$$\vee (X_j + S_j \le X_i) \qquad \forall (y_i + S_i \le y_j) \qquad \forall (y_j + S_j \le y_i)$$

#### MILP I - Linearization

$$x_{i} + s_{i} \leq x_{j} + M \cdot (1 - l_{i,j})$$

$$x_{j} + s_{j} \leq x_{i} + M \cdot (1 - l_{j,i})$$

$$y_{i} + s_{i} \leq y_{j} + M \cdot (1 - b_{i,j})$$

$$y_{j} + s_{j} \leq y_{i} + M \cdot (1 - b_{j,i})$$

$$l_{i,j} + b_{i,j} + l_{j,i} + b_{j,i} \geq X_{i} + X_{j} - 1$$

$$\forall i \in P, \forall j \in P : i < j$$

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#### MILP II - Plan-of-attack

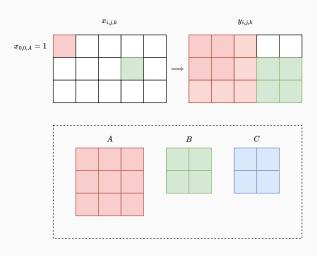


Figure 3: Non-overlapping constraint using an assignment interpretation

#### MILP II - Formulation

- $x_{i,j,k}$ , binary, is one if product  $k \in P$  has the bottom left corner in position (i,j), zero otherwise.
- $y_{i,j,k}$ , binary, is one if product  $k \in P$  occupies position (i,j).

#### MILP II - Formulation (continued)

max 
$$\sum_{(i,j)\in S,k\in P} p_k \cdot x_{i,j,k}$$
(8)
s.t. 
$$\sum_{(i,j)\in S,k\in P} w_k \cdot x_{i,j,k} \le c$$
(9)
$$\sum_{k\in P} x_{i,j,k} \le 1 \qquad \forall (i,j)\in S$$
(10)
$$\sum_{k\in P} y_{i,j,k} \le 1 \qquad \forall (i,j)\in S$$
(11)
$$\sum_{k\in P} x_{i,j,k} \le 1 \qquad \forall k\in P$$
(12)
$$x_{i,j,k} \le 0 \qquad \forall k\in P, \forall (i,j)\in O_k$$
(13)
$$x_{i,j,k} \le y_{i',j',k} \qquad \forall (i,j)\in S, \forall k\in P$$
(14)
$$\forall (i',j')\in S'_{i,i,k}$$

#### MILP II - Formulation (continued)

- $S = \{1, ..., x\} \times \{1, ..., y\}$  are the suitcase cell indices
- $S_{i,j,k} = S \cap \{i,...,i+s_k-1\} \times \{j,...,j+s_k-1\}$  are the cells occupied by product  $k \in P$ , when placed at (i,j).
- $O_k = \{(i,j) \mid i+s_k-1 > x \lor j+s_k-1 > y\}$  are the corner placements for product  $k \in P$ , such that product k would be out-of-bounds.

### \_\_\_\_

Metaheuristics

#### **Greedy search**

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#### Selecting the greedy function

- Generate all product and placement pairs. Select the one that maximizes q (depends on position).
- Select product that maximizes q (independent of position). Place it according to MaxRect algorithm[2].

#### **Greedy Search**

```
function CANDIDATESET(products, suitcase)
   candidates \leftarrow \{\}
   for product ∈ products do
       if product ∉ suitcase then
           if suitcase.weight + product.weight \leq c then
              if CANFIT(product, suitcase) then 
▷ Try to add square w.
MaxRect algo.
                  candidate \leftarrow ADDPRODUCT(suitcase, product)
                  candidates \leftarrow candidates \cup \{candidate\}
              end if
           end if
       end if
   end for
   return candidates
end function
```

#### **Greedy Search**

```
function GREEDYSEARCH(products, q)
    suitcase \leftarrow EmptySuitcase(x, y)
    for product in SORT(products, q) do
                                                                   \triangleright Sort by q(\cdot, \cdot)
       if suitcase.weight + product.weight < c \text{ then}  \triangleright Check weight limit
           if CanFit(product, suitcase) then
                                                              suitcase \leftarrow AddProduct(suitcase, product)
           end if
       end if
    end for
    return suitcase
end function
```

#### Local Search - Plan of Attack

- 1. We remove a product from the suitcase
- 2. Optionally, we repack the suitcase
- 3. We consider new suitcase, with each product that is not in the suitcase added.

#### Local Search - Pseudocode

```
function LocalSearch(products, suitcase, repack)
   improved \leftarrow false
   while ¬improved do
       improved \leftarrow false
       for neighbour ∈ NEIGHBORHOODSOLUTIONS(products, suitcase) do
           if neighbour.value > suitcase.value then
              suitcase \leftarrow neighbour
              improved \leftarrow true
              break
           end if
       end for
   end while
   return suitcase
end function
```

#### Local Search - Pseudocode (continued)

```
function NEIGHBORHOODSOLUTIONS(products, suitcase, repack)
   N \leftarrow \{\}
   for productToRemove ∈ products do
neighborhoodSuitcase \leftarrow RemoveProduct(suitcase, productToRemove)
       if repack then
          neighborhoodSuitcase \leftarrow Repack(neighborhoodSuitcase)
       end if
       N \leftarrow N \cup CANDIDATESET(products, neighborhoodSuitcase)
   end for
   return N
end function
```

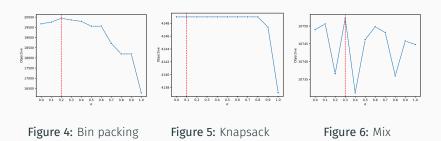
```
function GRASP(products, q, alpha)
      suitcase \leftarrow EmptySuitcase(x, y)
      while true do
           candidates \leftarrow CANDIDATESET(products, suitcase)
           if EMPTY(candidates) then
               break
           end if
           q_{min} \leftarrow \min\{q(p, suitcase) \mid p \in candidates\}
           q_{max} \leftarrow \max\{q(p, suitcase) \mid p \in candidates\}
           RCL_{max} \leftarrow \{p \in candidates \mid q(p, suitcase) \geq q_{max} - \alpha(q_{max} - q_{min})\}
           product \leftarrow ChooseRandom(RCL_{max})
           suitcase \leftarrow AddSquare(suitcase, square)
      end while
      suitcase \leftarrow LocalSearch(products, suitcase)
      return suitcase
  end function
[1]
```

#### Results

#### Heuristics tuning

- For bin-packing, s<sub>i</sub> was optimal
- For knapsack,  $\frac{p_i}{w_i}$  was optimal
- For mix,  $p_i$  was optimal. (On the reference instances,  $\frac{p_i}{s_i}$  was optimal)

#### **GRASP tuning**



• Mean performance of various  $\alpha$  values, using GRASP across generated instances (n=50).

#### Comparisons of Algorithms - Bin Packing i

Table 1: Bin Packing: Success and Failure

	50	100	200
Greedy	V	<b>V</b>	<b>V</b>
Greedy w. local search	<b>V</b>	<b>V</b>	<b>V</b>
GRASP	<b>V</b>	<b>V</b>	
MILP I	Z	Z	Z
MILP II	Z		

#### Comparisons of Algorithms - Bin Packing ii

Table 2: Bin Packing: Best Performance

	50	100	200
Greedy	<u> </u>	ŏ.	0
Greedy w. local search	2	2	2
GRASP	8	8	8
MILP I	4	4	4
MILP II	[5]	5	5

• The bin packing problem was designed so that the objective value of the optimal solution was  $2n^2$ . The objective value of the GRASP solutions is within 99.36 %, 99.40% and 99.60% of the optimal solution for bin packing with n=50, n=100 and n=200 respectively.

#### Comparisons of Algorithms - Knapsack

Table 3: Knapsack: Success and Failure

	50	100	200
Greedy	V	<b>V</b>	<b>V</b>
Greedy w. local search	<b>V</b>	<b>V</b>	<b>V</b>
GRASP	V	<b>V</b>	V
MILP I	V	<b>V</b>	V
MILP II	<b>V</b>	V	<b>V</b>

#### Comparisons of Algorithms - Knapsack (continued)

Table 4: Knapsack: Best Performance

	50	100	200
Greedy	3	4	4
Greedy w. local search	3	4	4
GRASP	3	8	*
MILP I	8	3	8
MILP II	**	8	8

#### Comparisons of Algorithms - Mix

Table 5: Mix: Success and Failure

	50	100	200
Greedy	<b>V</b>	<b>V</b>	<b>V</b>
Greedy w. local search	V	<b>V</b>	<b>V</b>
GRASP	V	<b>V</b>	V
MILP I	Z	Z	Z
MILP II	<b>)</b>		

#### Comparisons of Algorithms - Mix (continued)

Table 6: Mix: Best Performance

	50	100	200
Greedy	3	8	8
Greedy w. local search	3	8	<u>*</u>
GRASP	2	8	8
MILP I	<u>*</u>	<u>*</u>	4
MILP II	[5]	[5]	[5]

• The MILP formulations exhibited different performance.

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- It is essential to tune metaheuristic techniques to the specific problem.
- Faster GRASP implementation had better performance than clever heuristics.

#### References i



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