

## Dynamic aperture (Section 11.2)

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In this example we follow particles in two transverse planes and iterate Equation 11.4 in order to find the dynamic aperture, shown in Figure 11.2, in cases where the non-linearity, a single sextupole, is well centered in the beam pipe and when it is misaligned.

We determine the dynamic aperture by testing whether the particle survives  $NN=1000$  iterations. Then we select the horizontal and vertical tunes,  $Q_x$  and  $Q_y$ , respectively, and define the transfer matrix  $R$ , which is a simple rotation matrix in this case.

```
clear all; close all
NN=1000;      % maximum number of turns
Qx=0.31; Qy=0.28;
R1D=@(mu)[cos(mu),sin(mu);-sin(mu),cos(mu)];
R=[R1D(2*pi*Qx),zeros(2);zeros(2),R1D(2*pi*Qy)];
hold on
```

### Sextupole not misaligned

First we consider the system without misalignment and probe the dynamic aperture along a line in the transverse  $(x, y)$  space defined by  $x = r \cos \phi$  and  $y = r \sin \phi$ .

```
for phi=0:pi/100:pi    % loop over angles phi
```

We start by choosing  $r$  very large and then iteratively increase it by  $dr = r/2$  depending on whether the particle with starting coordinates  $x_0 = r \cos \phi$  and  $y_0 = r \sin \phi$  survived  $NN$  turns or not. We repeat this procedure by halving the size of the interval  $n_{\max}=12$  times.

```
nmax=12; dr=10; r=dr;
while (nmax)      % scan radially
    dr=0.5*dr;
    x0=r*cos(phi); y0=r*sin(phi); % new starting coordinates
```

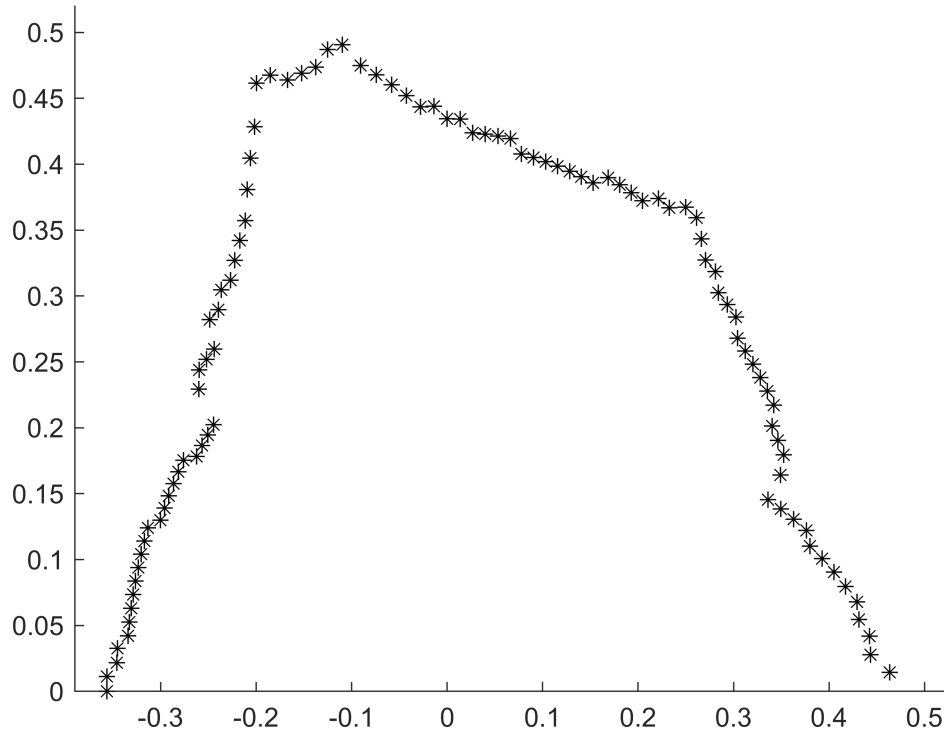
The function `survived_turns()`, defined in the Appendix, iterates Equation 11.4 for a maximum on  $NN$  turns and returns the maximum number of turns that the particle survived.

```
if (survived_turns(NN,R,x0,y0,0,0)==NN), r=r+dr; else, r=r-dr; end
nmax=nmax-1;
end
```

The value of  $(x_0, y_0)$  thus determined show the largest starting coordinates along a line, defined by the angle  $\phi$  for which a particles survives  $NN$  turns. We show this point by a black asterisk in transverse  $(x, y)$  space.

```
plot(x0,y0,'k*');
end
```

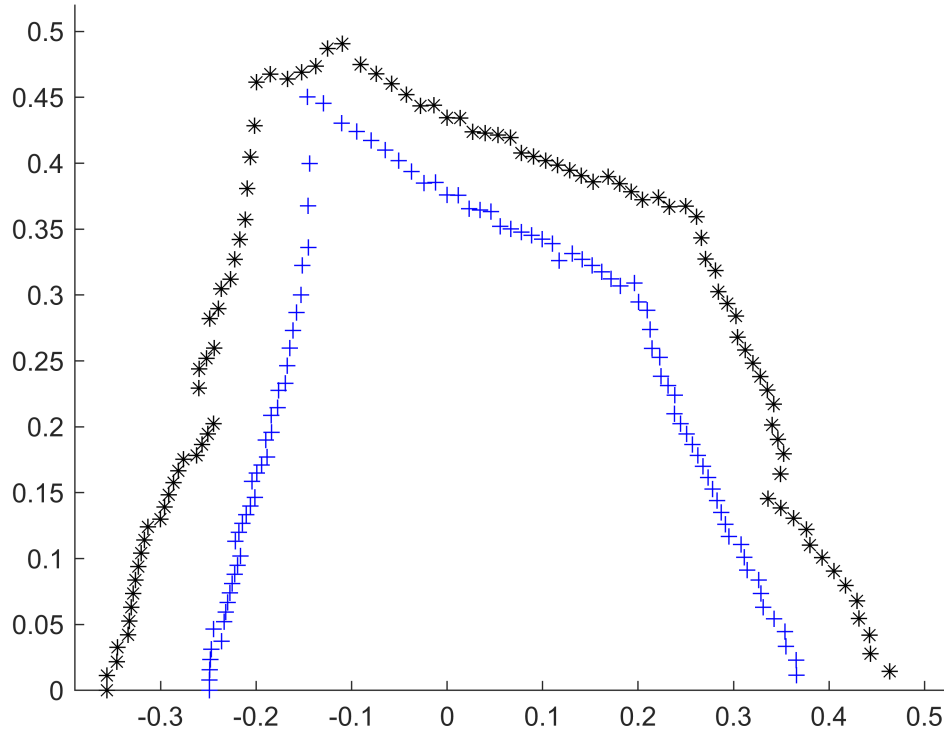
```
ylim([0,0.52]); xlim([-0.39,0.52])
```



### Sextupole misaligned by $dx=-0.05$

Now we repeat the same procedure, but horizontally displace the sextupole by  $dx=-0.05$ , which we pass as an argument to the function `survived_turns()`. In this way we find the dynamic aperture for the configuration with the misaligned sextupole, which plot as a blue plus sign. We find that the dynamic aperture, which defines the boundary between particle trajectories that are stable and those that are unstable, has become smaller.

```
for phi=0:pi/100:pi           % loop over angles phi
    nmax=12; dr=10; r=dr;
    while (nmax)               % scan radially
        dr=0.5*dr;
        x0=r*cos(phi); y0=r*sin(phi);
        if (survived_turns(NN,R,x0,y0,-0.05,0)==NN), r=r+dr; else, r=r-dr; end
        nmax=nmax-1;
    end
    plot(x0,y0,'b+');
end
```



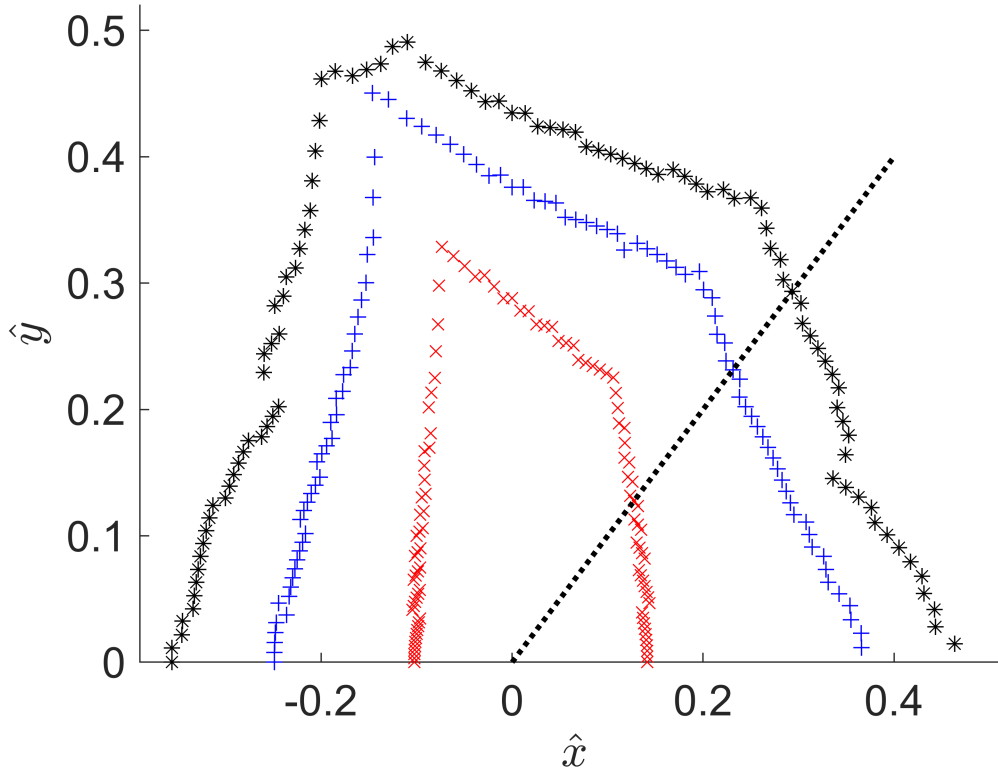
### Sextupole misaligned by $dx=-0.1$

And in a third pass we increase the misalignment to  $dx=-0.1$ , repeat the procedure, and plot the dynamic aperture as red crosses. We observe that it has become even smaller.

```
for phi=0:pi/100:pi % dx=-0.1, dy=0
    nmax=12; dr=10; r=dr;
    while (nmax)
        dr=0.5*dr;
        x0=r*cos(phi); y0=r*sin(phi);
        if (survived_turns(NN,R,x0,y0,-0.1,0)==NN), r=r+dr; else, r=r-dr; end
        nmax=nmax-1;
    end
    plot(x0,y0,'rx');
end
```

After the three dynamic apertures for  $dx=0$ ,  $-0.05$ , and  $-0.1$  are displayed, we annotate the axes.

```
plot([0,0.4],[0,0.4],'k:','LineWidth',2)
xlabel('$\hat{x}$','interpreter','latex');
ylabel('$\hat{y}$','interpreter','latex');
set(gca,'FontSize',16);
```



### Survived turns along $\phi = 45^\circ$

Now we want to determine the number of turns that a particle survives as a function of its starting coordinates located on a line with coordinates  $x_0 = r \cos \phi$  and  $y_0 = r \sin \phi$  where  $\phi = 45^\circ$ . The starting positions are indicated on the previous plot by the diagonal dotted line. Here we therefore define the angle `phi` and the starting values for `r` and allocate an array `turns` in which we store the survived turns for each starting coordinate. We do so for the same misalignments `dx` considered above.

```
phi=45*pi/180;
r=2:-0.0001:0.1;
turns=zeros(3,length(r));
```

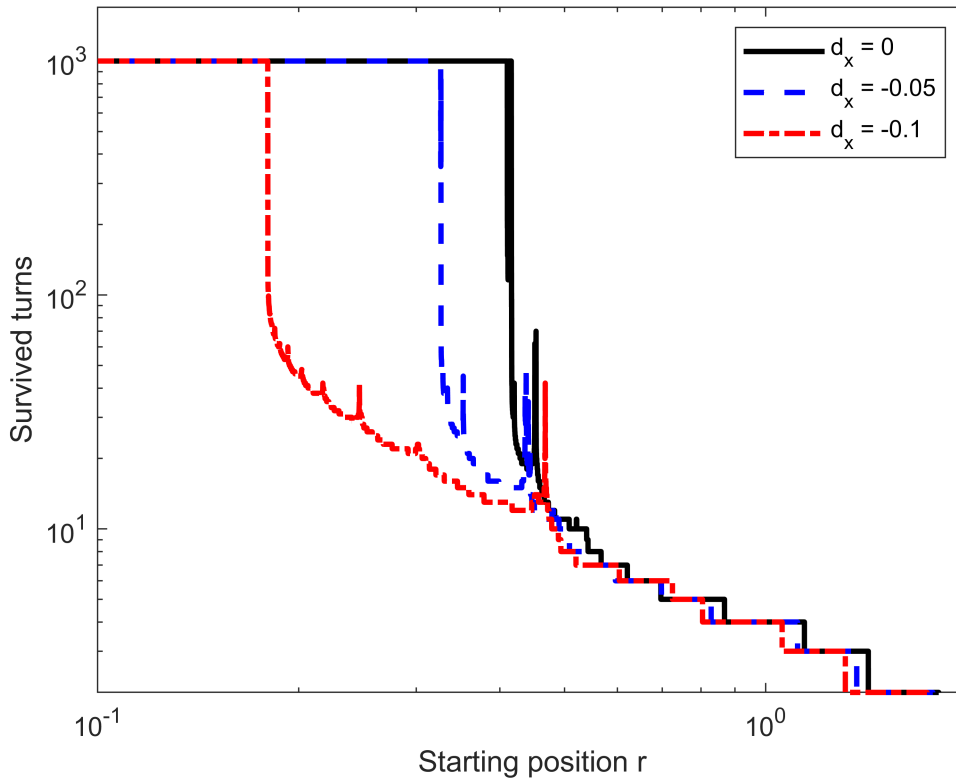
Now we loop over the radial positions `r`, define starting coordinates `x0` and `y0` and use the function `survived_turns()` to store the found value in the array `turns`.

```
for k=1:length(r)
    x0=r(k)*cos(phi); y0=r(k)*sin(phi);
    turns(1,k)=survived_turns(NN,R,x0,y0,0,0); % dx=0
    turns(2,k)=survived_turns(NN,R,x0,y0,-0.05,0); % dx=-0.05
    turns(3,k)=survived_turns(NN,R,x0,y0,-0.1,0); % dx=-0.1
end
```

Once the loop completes, we open a new figure, plot the number of survived turns for the three cases on double-logarithmic scales, and annotate the axes. Note that the radial coordinate  $r$  where the respective curves

start to deviate from  $10^3$  correspond to the intersection of the dotted black line on the previous figure with the three dynamic apertures.

```
figure(2)
loglog(r,turns(1,:), 'k',r,turns(2,:), 'b--',r,turns(3,:), 'r-.', 'LineWidth',2)
axis([0.1,2,2,1700])
legend('d_x = 0','d_x = -0.05','d_x = -0.1')
xlabel('Starting position r'); ylabel('Survived turns')
```



Note how fast the survived number of turns drops once the dynamic aperture is exceeded.

## Appendix

The function `survived_turns()` receives the maximum number of turns to probe  $N$ , the transfer matrix  $R$ , the starting coordinates  $x_0$  and  $y_0$ , as well as the misalignments  $dx$  and  $dy$  of the sextupole as input and returns the survived number of turns as `out`. Inside the function the starting coordinates and the default return value `out` are initialized. Then we calculate the sextupole kick angles `thetax` and `thetay` from Equation 11.4, but with misalignment added.

```
function out=survived_turns(N,R,x0,y0,dx,dy)
x=[x0;0;y0;0]; out=N;
for k=1:N
    thetax=(x(1)-dx)^2-(x(3)-dy)^2; % sextupole kick, horizontal
    thetay=-2*(x(1)-dx)*(x(3)-dy); % sextupole kick, vertical
```

In the next line we implement Equation 11.4 and update the particle coordinates by adding the kicks and transporting with the transfer matrix  $R$ .

```
x=R*[x(1);x(2)-thetax;x(3);x(4)-thetay];
```

Finally, we test whether one coordinate exceeds a large value, here we chose 3. If that happens we set the return value `out` to the present turn number `k` and exit the function.

```
if ((abs(x(1))>3) || (abs(x(3))>3)), out=k; return; end  
end  
end
```