

## Chapter 3 The Data Link Layer

3.1 Data Link Layer Issues

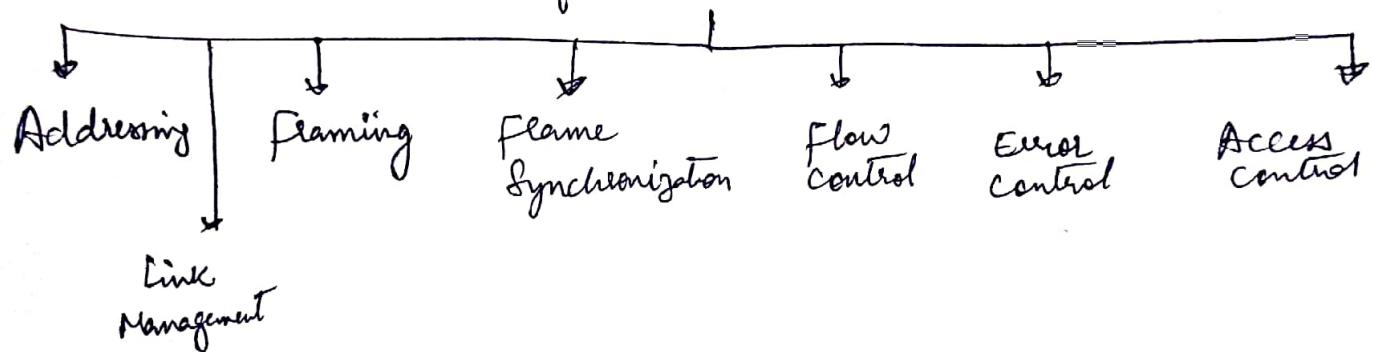
3.2 Error Detection and correction (Revise numericals from DCOM)

3.3 Elementary Data Link Protocols, Sliding Window protocols

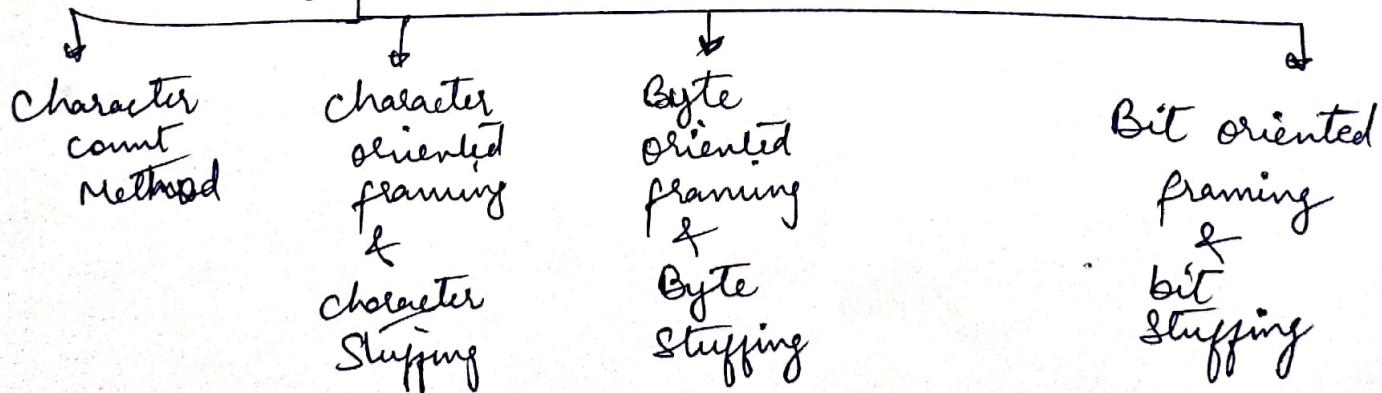
Example Data Link Protocols: HDLC : High-Level Data Link control, The Data Link Layer is the Internet

### Data Link Layer Design Issues

(Functions of Data Link Layer)



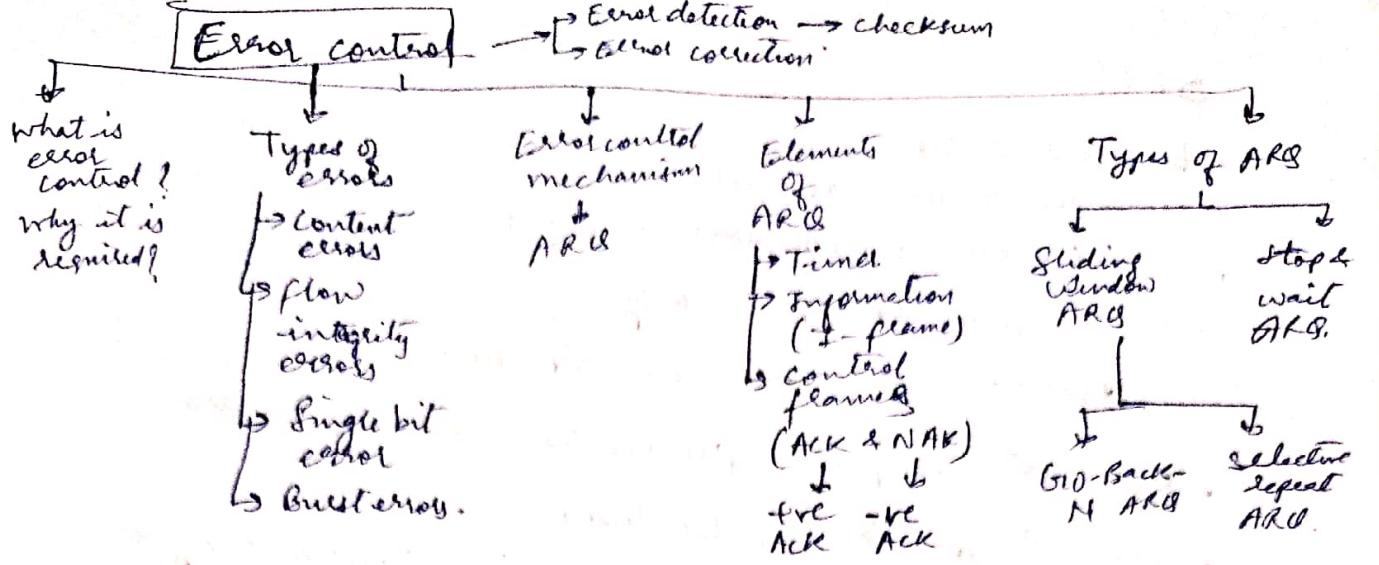
### Framing Methods



### Frame Synchronization

Asynchronous frame format

Synchronous frame format.



## Flow control

Sliding window flow control

## Piggybacking ?

- Advantages?
- Drawbacks?

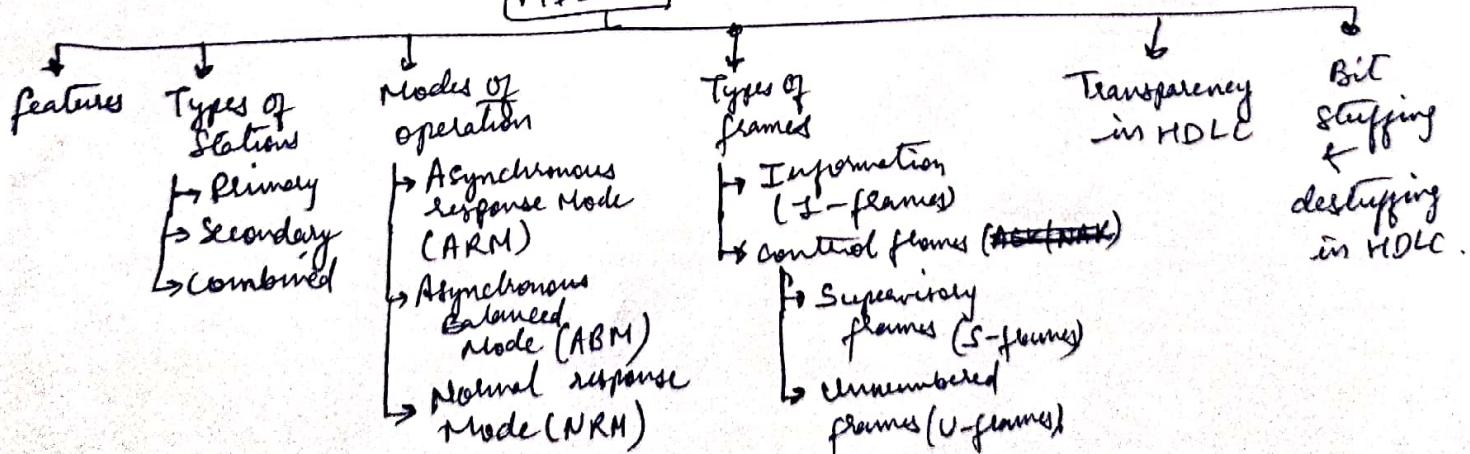
- Transmission efficiencies
  - of Stop & wait ARQ
  - of Gro-Barker N ARQ
  - of Selective repeat ARQ.

- Numericals on ARQ schemes.

## Data link layer protocols

- HDLC (High Level Data Link control)
- SLIP (Serial Line IP)
- PPP (Point to point)

## HDLC



- Numericals of HDLC frame formats

- Data Link Layer in the Internet

## SLIP

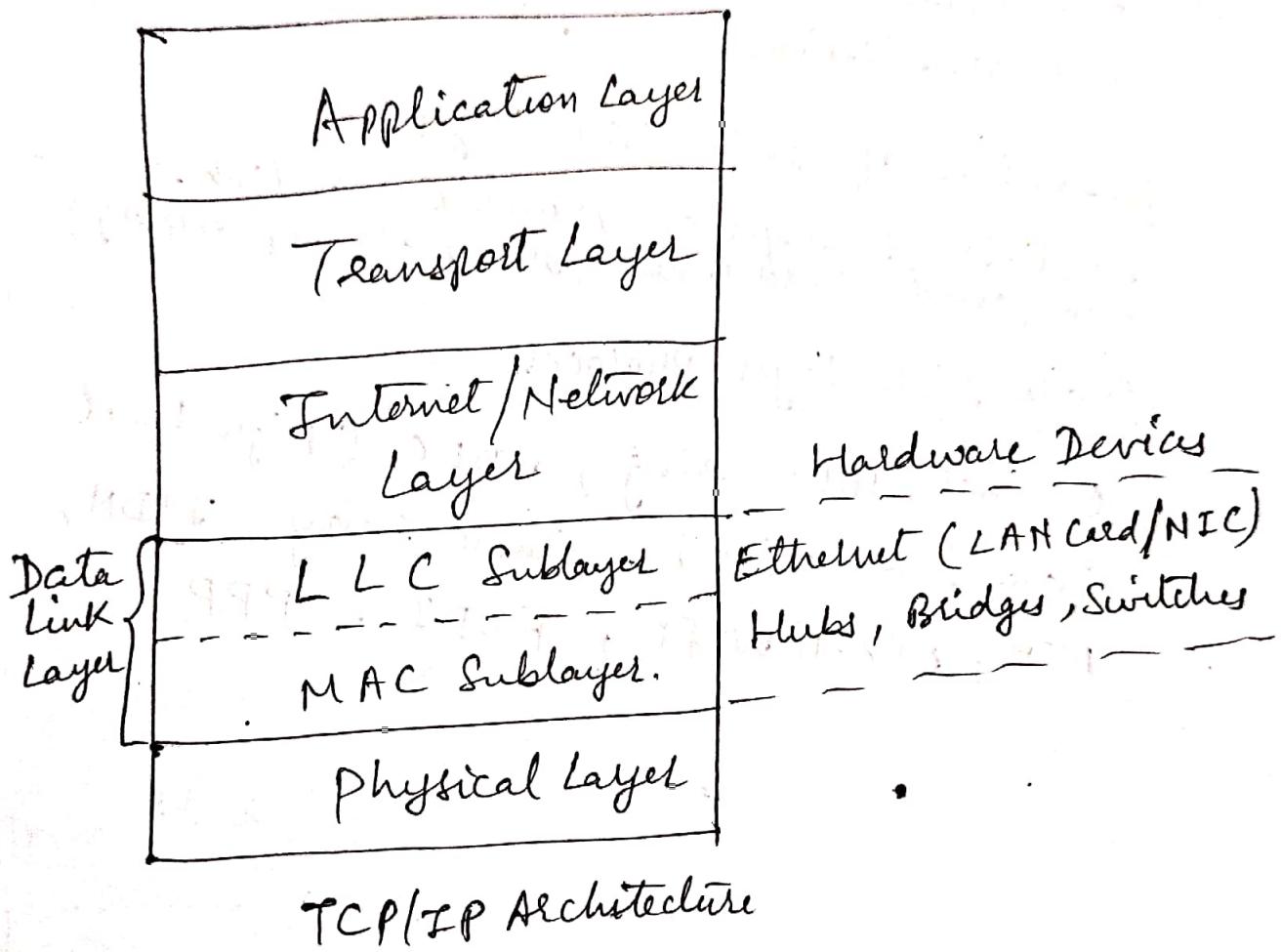
- Serial Line IP
- used for Internet connection
- over dial up connection using MODEM
- works with IP Netw's only  
(does not work with non IP Netw's)
- Does not support flow control & error control
- Does not provide authentication.  
(users does not at understand with whom they are communicating.)
- It is not an approved Internet protocol.

# Chapter 3 Data Link Layer Services and Protocols

Chapter Note

3.1: Link Layer and its Services, Ethernet, hubs, bridges and switches.

Link Layer and its Services:



## Data Link Layer:

- Layer 2 (L2) of OSI model & TCP/IP Stack.
- It has two sublayers LLC and MAC sublayer.
- LLC provides access between upper & lower layers.
- MAC sublayer provides access to various media (Ethernet, FDDI, ATM etc)
- Unit of communication is frame • Supports Node to Node or Hop by Hop communication

## Functions of Data Link Layer

- Framing
- Flow control and Error control
- Access control
- Hardware addressing (MAC address) <sup>Physical address</sup>
- Switching
- Retransmission

## Services of Data Link Layer:

- Reliable transfer of frames over a link.
- To provide node to node (or hop-to-hop delivery)

## Data Link Layer Protocols

Ethernet, Token ring, HDLC (High Level Data Link Control), frame relay, ISDN, ATM, 802.11 WiFi, FDDI, PPP

## Wired LANs: Ethernet

LAN (Local Area Network)

- computer Network restricted by geographic area such as a building or a campus (radius upto 4 Km)
- used for resource sharing
- LANs can be connected to WANs (wide Area Networks) or the Internet.
- LAN Technologies are:
  - Ethernet
  - Token Ring
  - Token Bus
  - FDDI
  - ATM LAN.

\* Ethernet is the most dominant Technology.

\* Ethernet belongs to IEEE 802 standard.

## Data Link Layer

LLC Sublayer

- provides access between higher & lower layers in TCP/IP or OSI Stack
- provides framing
- provides flow control, error control

MAC Sublayer

- provides access to various media using various protocols

## LLC Sublayer PDU (Protocol Data Unit)

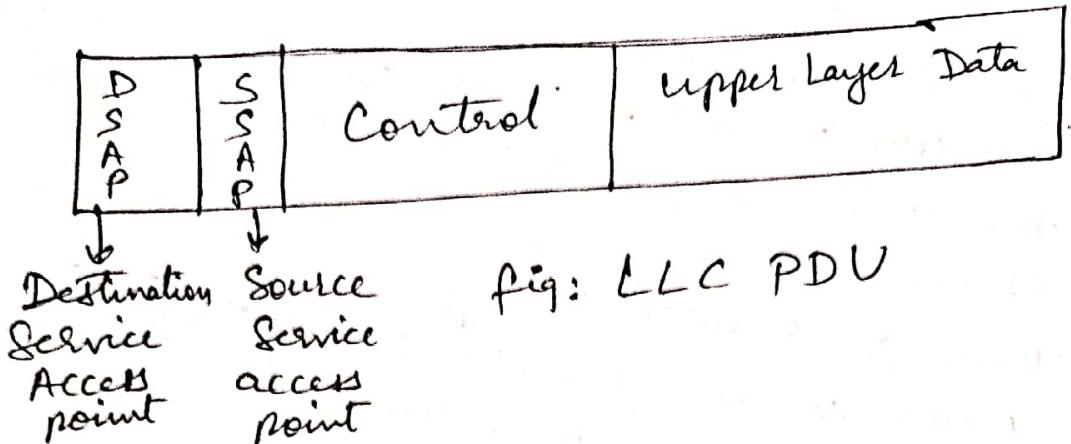


fig: LLC PDU

## MAC Sublayer PDU

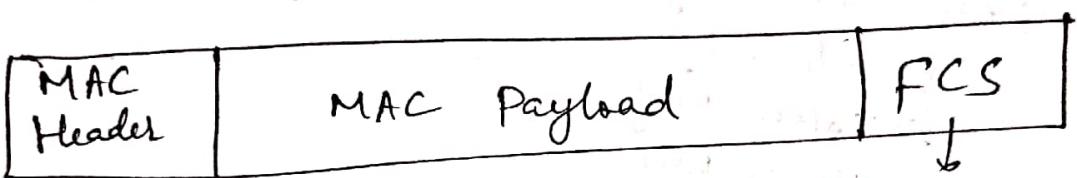
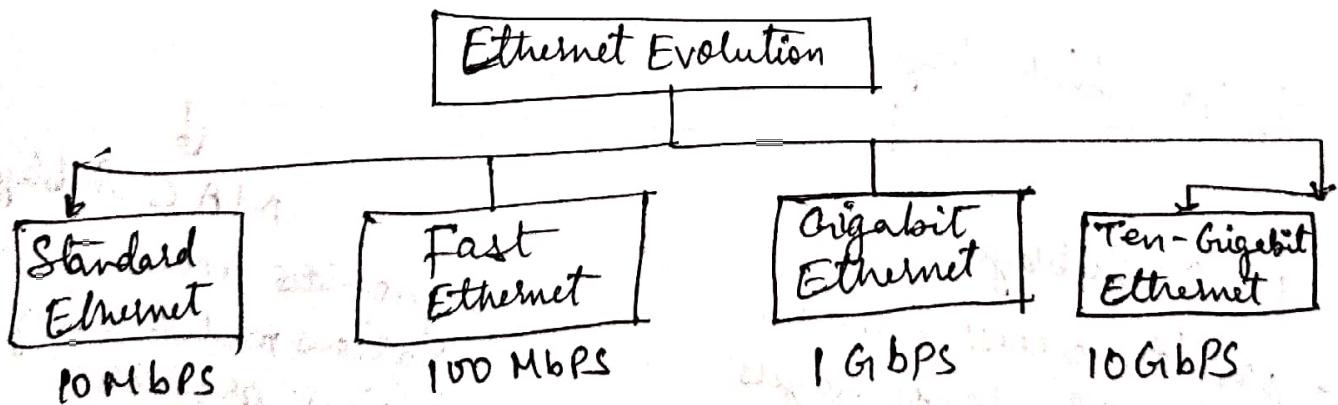


fig: MAC frame

## Standard Ethernet

Ethernet Evolution through four generations

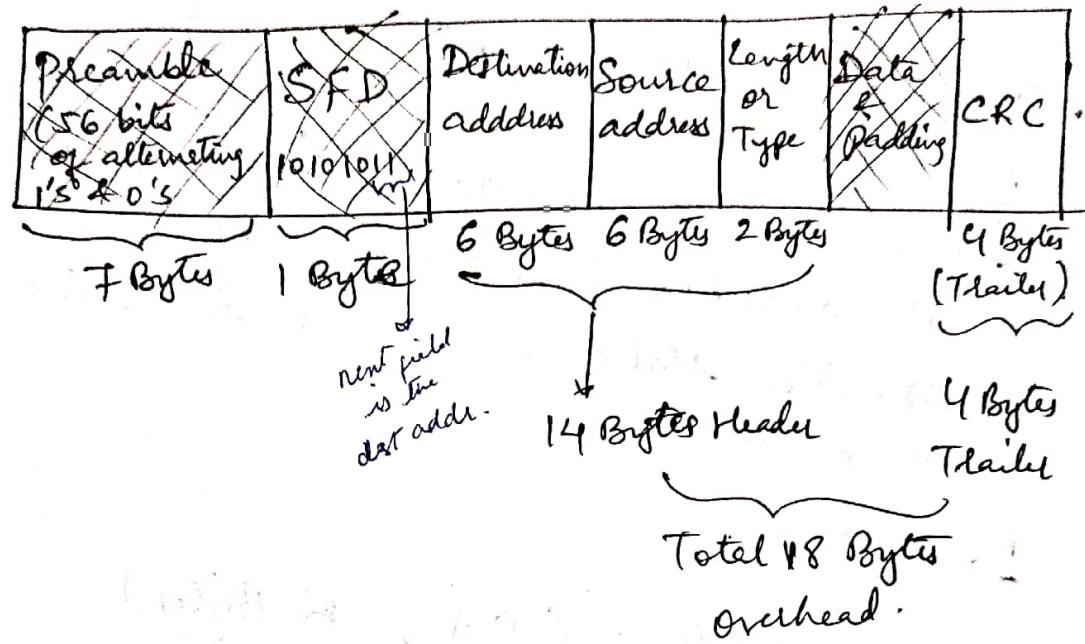


## Mac Sublayer

- MAC sublayer governs the operation of the access method.
- It also frames data received from the upper layer and passes them to the physical layer.

## Frame Format

802. MAC frame



### Ethernet:

unreliable medium as it does not have any mechanisms for acknowledging received frames.

- Preamble
  - not part of a frame, added at physical layer.
  - 7 bytes (56 Bits)
  - Added to synchronize frames.
- Start Frame Delimiter (SFD)
  - 1 Byte (10101011); signals the beginning of a frame.

- SFD warns the station (device) that this is the last chance for synchronization.
- Last Two bits are 11 and alerts the receiver that the next field is the destination address.
- Destination Address (DA, 6 Bytes)

→ 6 Bytes  
 → Contains physical address (MAC addl, H/w addl) or link address of the destination station or stations to receive the packet.  
 → Source.

- Source Address (SA, 6 Bytes)

→ 6 Bytes  
 → Contains physical address of the sender of the packet.  
 → Length or Type

- Length or Type

→ used as Type by Ethernet to specify the upper layer protocol using the MAC frame  
 → used as Length to define number of bytes in the data frame.

- Data → carries data encapsulated from the

upper layer protocols.

→ min 6 Bytes & maximum 1500 bytes of data.

- CRC

CRC-32 is used for error detection & correction.

## Ethernet Addressing

- Each station (computer or device) on an Ethernet net (such as PC, workstation or printer) has its own network interface card (NIC).
- The NIC fits inside the station and provides the station with a 6-byte physical address.
- The Ethernet address is 6 bytes (48 bits) normally written in hexadecimal notation, with a colon between the bytes.  
e.g. Ethernet address is

AB:12:C4:F1:23:E5

6 Bytes = 12 Hexadecimal digits  
= 48 bits.

## Unicast, Multicast and Broadcast addresses

- Source (sender) address is always unicast
- Destination (receiver) address can be unicast, multicast or broadcast.
- If the LSB of the first byte in a destination address is 0, the address is unicast; otherwise, it is multicast.

→ If all bits in a destination address are 1 then it is a broadcast address

Unicast → one to one

Multicast → one to a group of computers (stations) in LAN.

Broadcast → one to all stations (computers) in LAN.

Q. Define the type of the following destination addresses:

Ⓐ 4A:30:10:21:10:1A

Ans: Ans Binary

consider first Byte; 4A

4A  
↳ 1010 ↳ Last bit is '0'  
so the address is unicast

Ⓑ 47:20:1B:2E:08:EE

Ans consider first Byte; 47

47  
↳ 0111 ↳ Last bit is '1'

so the address is multicast

Ⓒ FF:FF:FF:FF:FF:FF

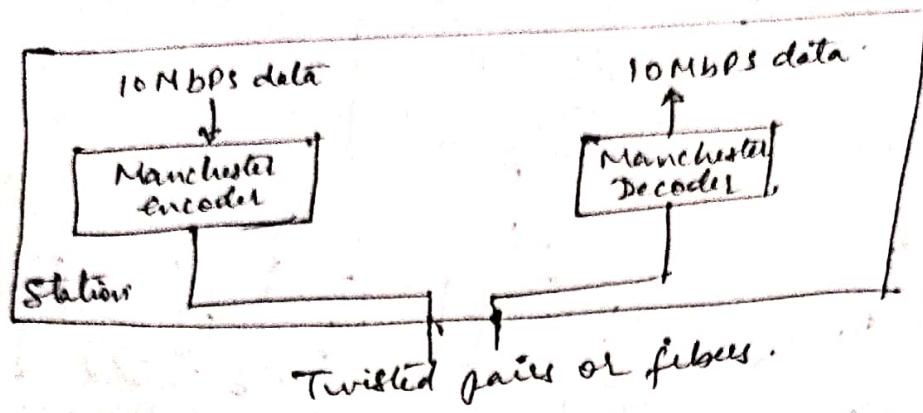
↳ All Bits are 1's

∴ the address is Broadcast.

# Physical Layer of Standard Ethernet

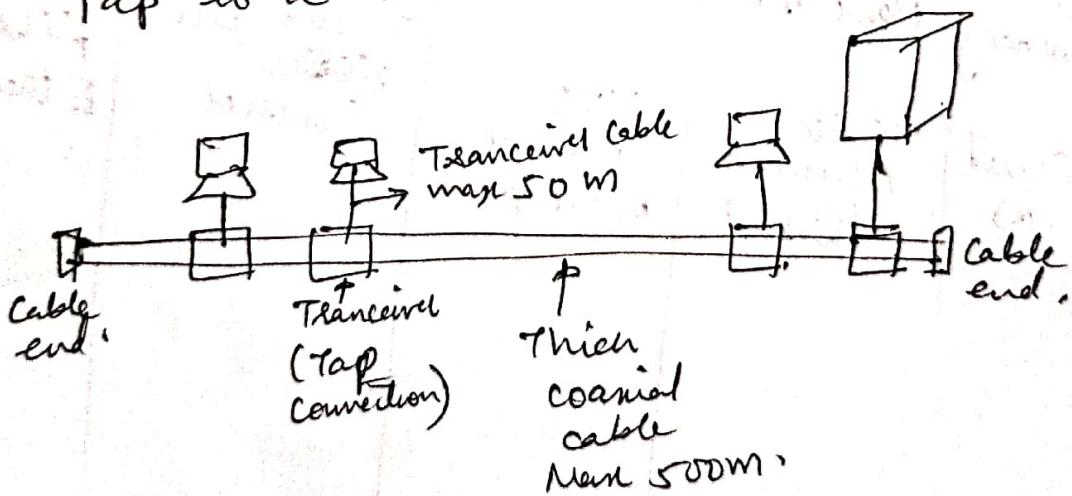
Standard Ethernet Common Implementations				
10 Base-5	500m Baseband signalling 10Mbps	10 Base-2 Baseband 10Mbps	10 Base-T Twisted pair Baseband 10Mbps	10 Base-F Baseband Fiber 10Mbps
Bus	Bus	Star	Star	
Thick coaxial	Thin coaxial 185m	UTP (2 pairs) 100m	Fiber (in number) 2000m	
Maximum length 500 m		Manchester	Manchester	
Line encoding Manchester	Manchester	Twisted pair <del>Manchester</del>	Fiber	
Called as Thick Ethernet or Thicknet	Thin Ethernet or cheapernet	Ethernet	Ethernet	

## Encoding in Standard Ethernet



## 10 Base 5: Thick Ethernet

- 10 Base 5, thick Ethernet or Thicknet
  - <sup>Cable</sup> size of Garden hose & too stiff to bend with hands.
  - uses Bus topology; wherein devices are connected via transceivers (TX/RX) using Tap to a thick coaxial cable.



10 Base 5  
↓      ↓      → 500M.  
10Mbps Baseband  
(digital)

## LAN Design

- As a Network Engineer, You may be required to select and produce hardware for the network.
- Improper nw device <sup>(equipment)</sup> may lead to slow LAN & decreased user productivity.
- A Hierarchical Designed LAN is designed into different functional layers.

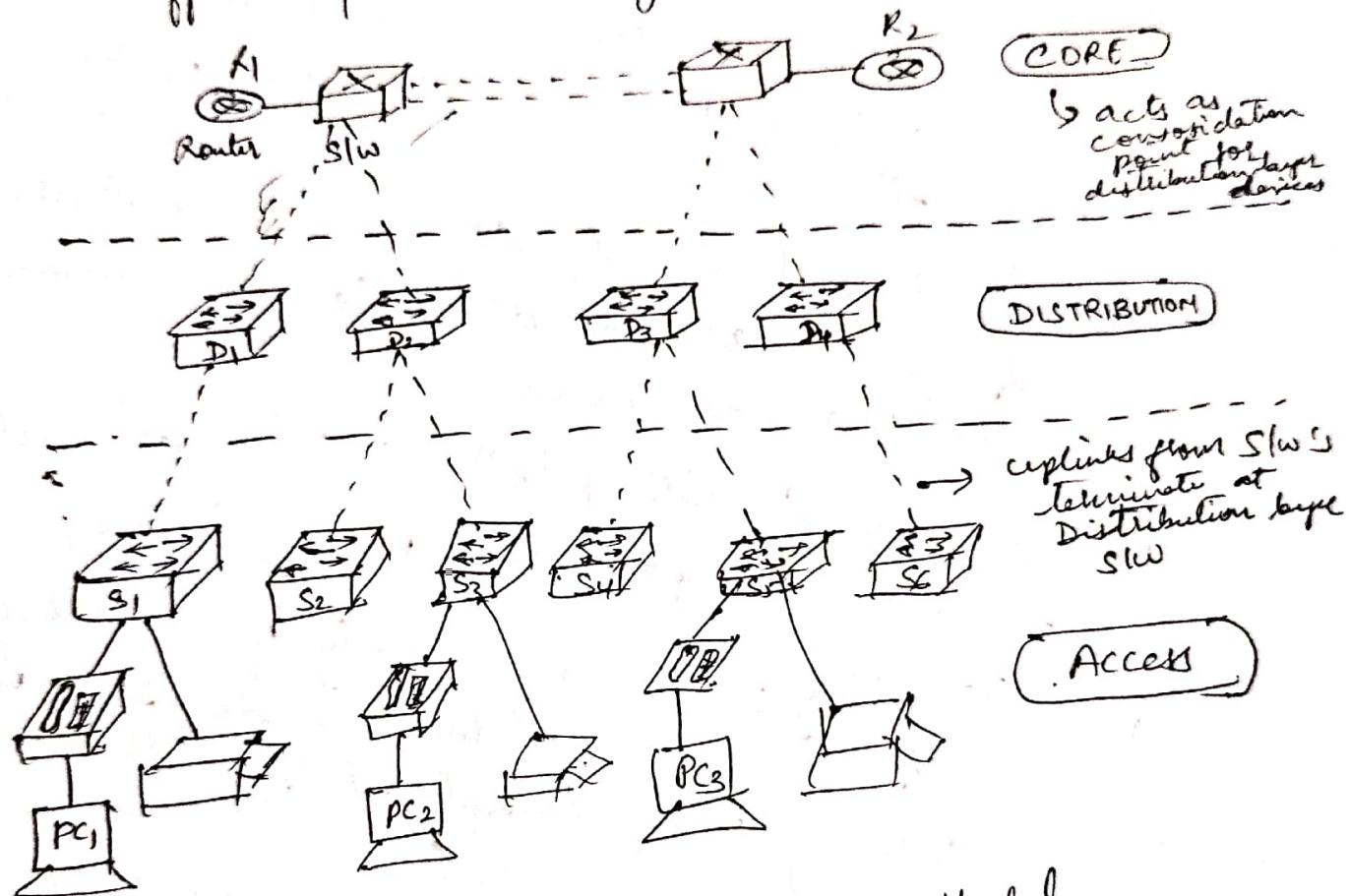


fig: The Hierarchical Network Model.

Access Layer: It enables users to access the nw.  
Extends from user work area to the intermediate distribution facility (or IDF).

IDF: Includes switching, the horizontal cabling is avoided

Distribution: It serves as the consolidation point for the access layer switches.  
Responsible for power enforcement in VLAN routing.

CORE: Gets a high speed redundant n/w backbone  
• Ties together multiple distribution layer components

### Benefits of a Hierarchical Network

Scalability: Hierarchical n/w's can be expanded easily

Redundancy: Redundancy at the core & distribution level ensure path availability

Performance: Link aggregation between levels & high performance core & distribution level switches allow for near wire speed throughout the n/w.

Security: Port security at the access level & policies at the distribution level make the n/w more secure

Manageability: Consistency between switches at each level makes management more simple.

Maintainability: The modularity of hierarchical design allows for the n/w to scale w/o becoming overly complicated.

### Switch Performance

- Port Density  $\Rightarrow$  no of ports available on a single switch
- Forwarding Rates  $\Rightarrow$  Amount of data a switch can process per second.
- Link Aggregation

Port Density  $\Rightarrow$  24 port S/w, 48 port S/w, modular S/w upto to 1000+ ports

Forwarding rates  $\Rightarrow$  The amount of data a switch can process per second.

How will You Select Switch for Access Layer, Distribution Layer & Core Layer.

### Access Layer Switch features [How to select Access layer SW]

- 1) Check whether PoE is required or not to power IP phone or WAP
- 2) QoS should be maintained if IP Telephony is used.
- 3) Access layer switches should include VLAN capability
- 4) Switches should have sufficient port density as users are directly connected to the access layer.
- 5) Link aggregation should be considered if N/w has consistently high volume of traffic.

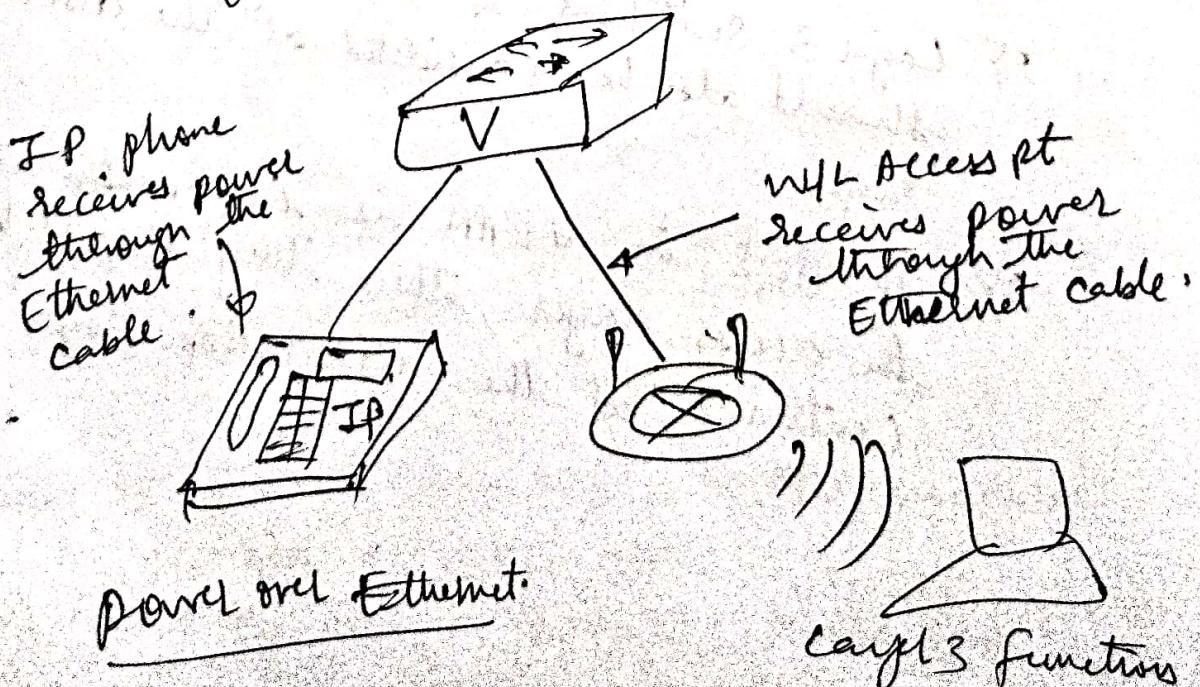
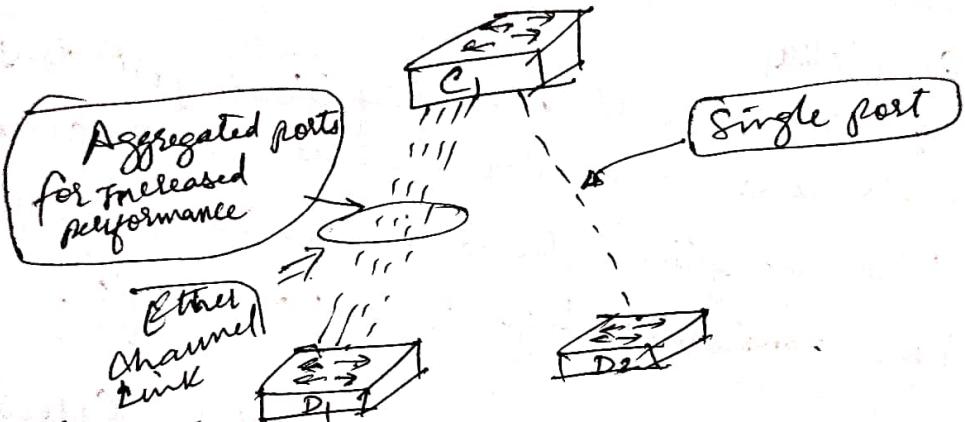
### Distribution Layer Switch Features [How to Select Distribution Layer SW]

- 1) Port Density requirement at distribution layer is not high as much that reqd at Access layer.
- 2) Layer 3 switching & link aggregation should also be considered at the distribution layer.
- 3) If QoS in VLAN is implemented at the access layer, then they should be continued at the distribution layer.

Wire Speed → Data rate of each port on the Switch (100 Mbps or 1000 Mbps)  
 ↗  
 ↗ Gigaabit  
 ↗ Ethernet

As Bandwidth requirements increase, switches with higher forwarding rates are required at Distribution & core layers & thus cost of SW increases.

### Link Aggregation



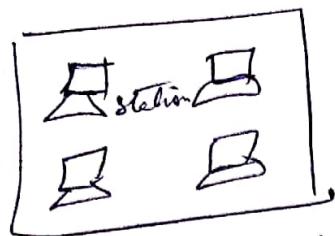
## Core Layer Switch features

- 1) CL SW should include 1 Gbps or even 10 Gbps to accomodate higher volumes of traffic coming towards the backbone.
- 2) Layer 3 support, link aggregation capability, redundant components & very high forwarding rate should also be implemented at the Core layer.

Cisco provides all 3 types of switches (with access, distribution or core capabilities).

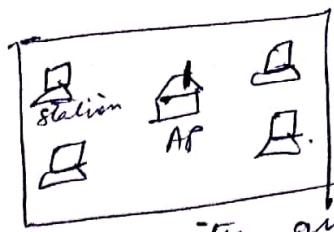
## Wireless LANs

IEEE 802.11 → DLL → Phy Layer



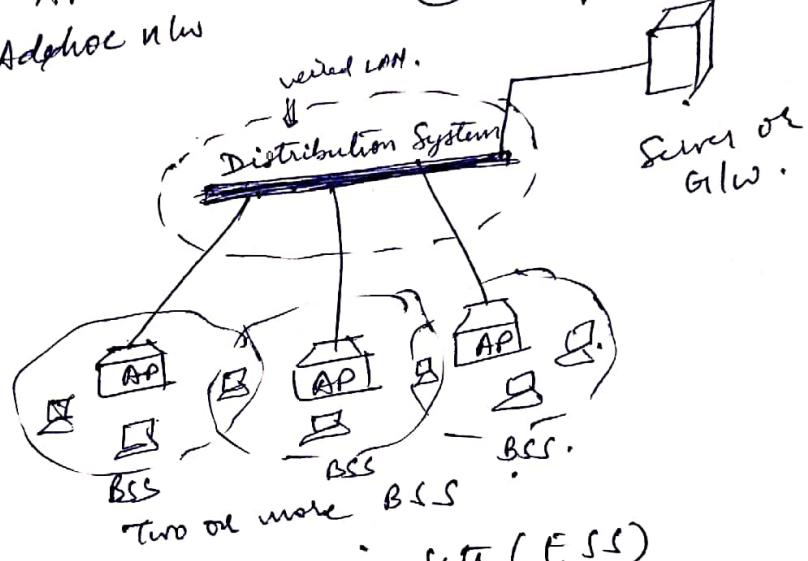
BSS w/o an AP

(a) Adhoc netw.



BSS with an AP

(b) Infrastructure netw.



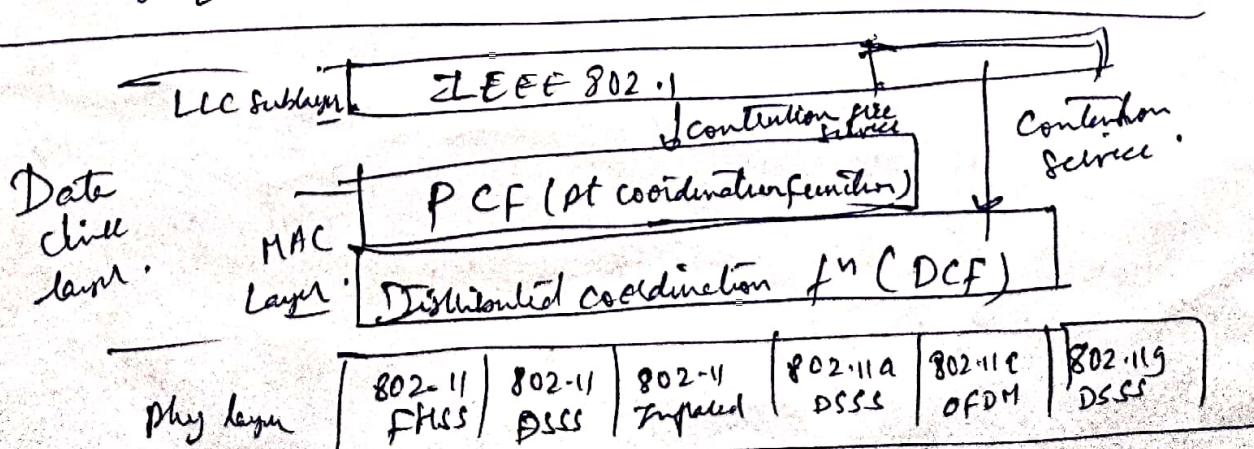
(c) Extended service sets (ESS)

stations → mobile & stationary stations  
within BSS

e.g. AP connected to LAN.

## IEEE 802.11 Station

- no transition
- BSS - transition
- ESS - transition mobility .



MU Question

## DATA LINK CONTROL

Primary responsibility of DLC protocols is to manage & control the flow of frames between two stations.

## HIGH-LEVEL (HDLC)

## DATA LINK CONTROL

MU Question

↳ Bit stuffing

### HDLC

- Bit oriented protocol
- Supports both half duplex and full duplex communications.
- Bit oriented means protocol treats frames as bit streams.
- It implements the ARQ mechanism.
- Three types of stations run the HDLC protocol.
  - Primary station
  - Secondary station
  - Combined station
- Stations running HDLC communicate in one of three modes:
  - Normal response mode (NRM)
  - Asynchronous response mode (ARM)
  - Asynchronous balanced mode (ABM).  
(Used today commonly)

No. of bits

8	8 or 16	8 or 16	Variable	16 or 32	8
Flag	Address	Control	--- Data ---	FCS	Flag

Fig: HDLC frame format.

one Byte address ends with 1  
27 = 128 address  
8<sup>th</sup> bit used for some other purpose.  
Address more than 1 byte, all bytes end with 1, except last byte ends with 0.

Standard form

Extended form

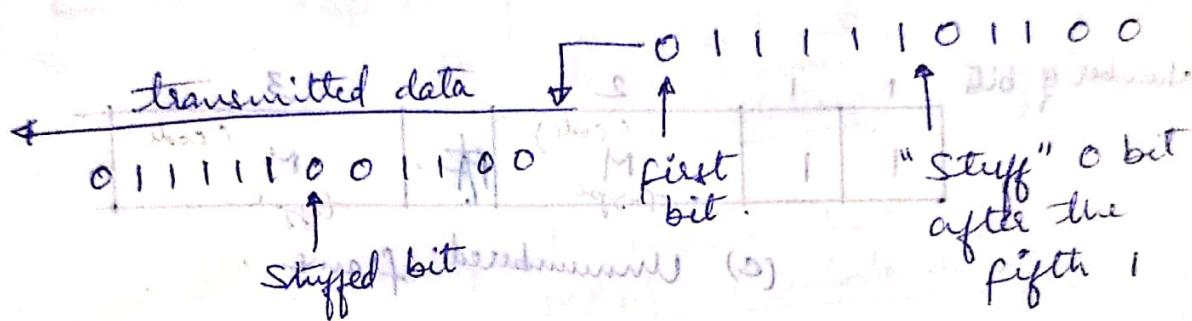
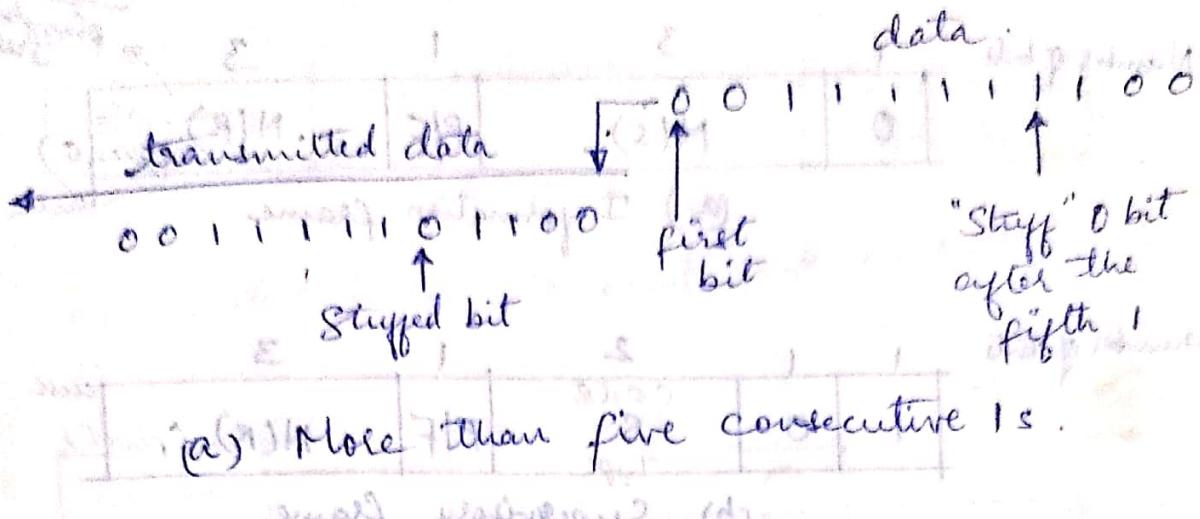


fig: Bit stuffing ~~MCQ question~~

Type of frame	00	unnumbered frames
(with address)	10	
(with both address)	11	
Information frames	Supervisory frames	unnumbered frames.

[Flag] Address | control | user information | FCS | Flag] I-frame.

[Flag] Address | control | FCS | Flag] S-frame.

[Flag] Address | control | user information | FCS | Flag] U-frame.

fig HDLC frames

ack req  
when  
frame  
arrive

Number of bits	1	3	1	3	
	0	N(S)	P/F	N(R)	(control)

(a) Information frame

ack req. 32

Number of bits	1	1	2	1	3		
	1	0	S	code	P/F	N(R)	(control)

(b) Supervisory frame

ack req. 32

Number of bits	1	1	2	3		
	1	1	S (code)	P/F	M (code)	

(c) Unnumbered frame.

fig: control fields for HDLC frames.

~~numbered frame~~ S field of Supervisory frame.

00	RR (Receive Ready)
01	REJ (Reject) (NACK)
10	RNR (Receive not ready)
11	SREJ (Selective Reject)

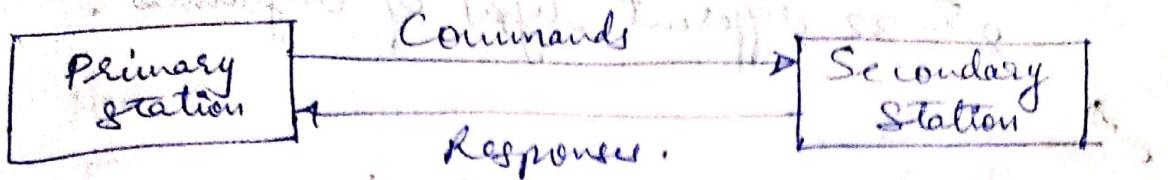
00 → Next frame even

01 → N(R) frame be discarded

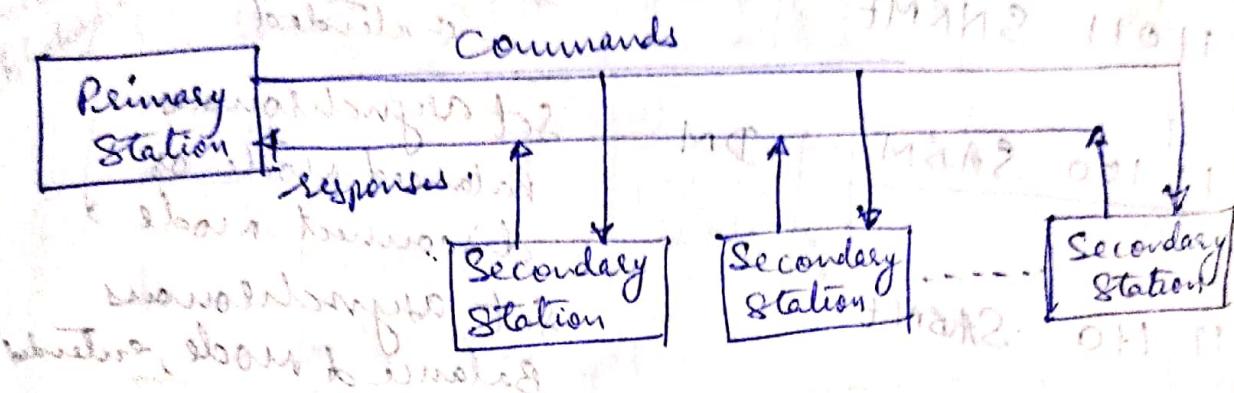
10 → Temp problem to link

11 → Return of only specific frames

11 → Return of all frames

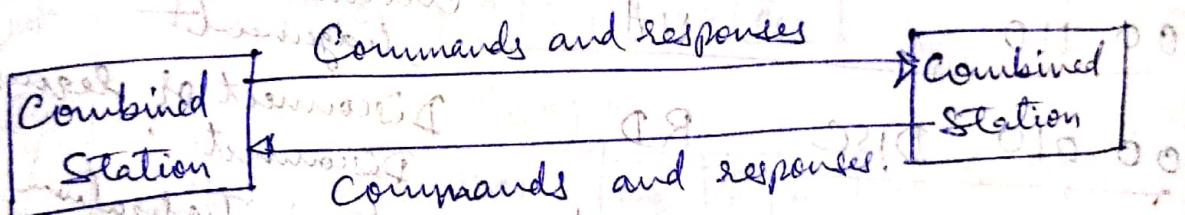


(a) Point-to-Point link. (NRM)



(b) Multipoint link. ARM

NRM (Unbalanced).



(c) Point-to-point link between two combined stations. ABM.

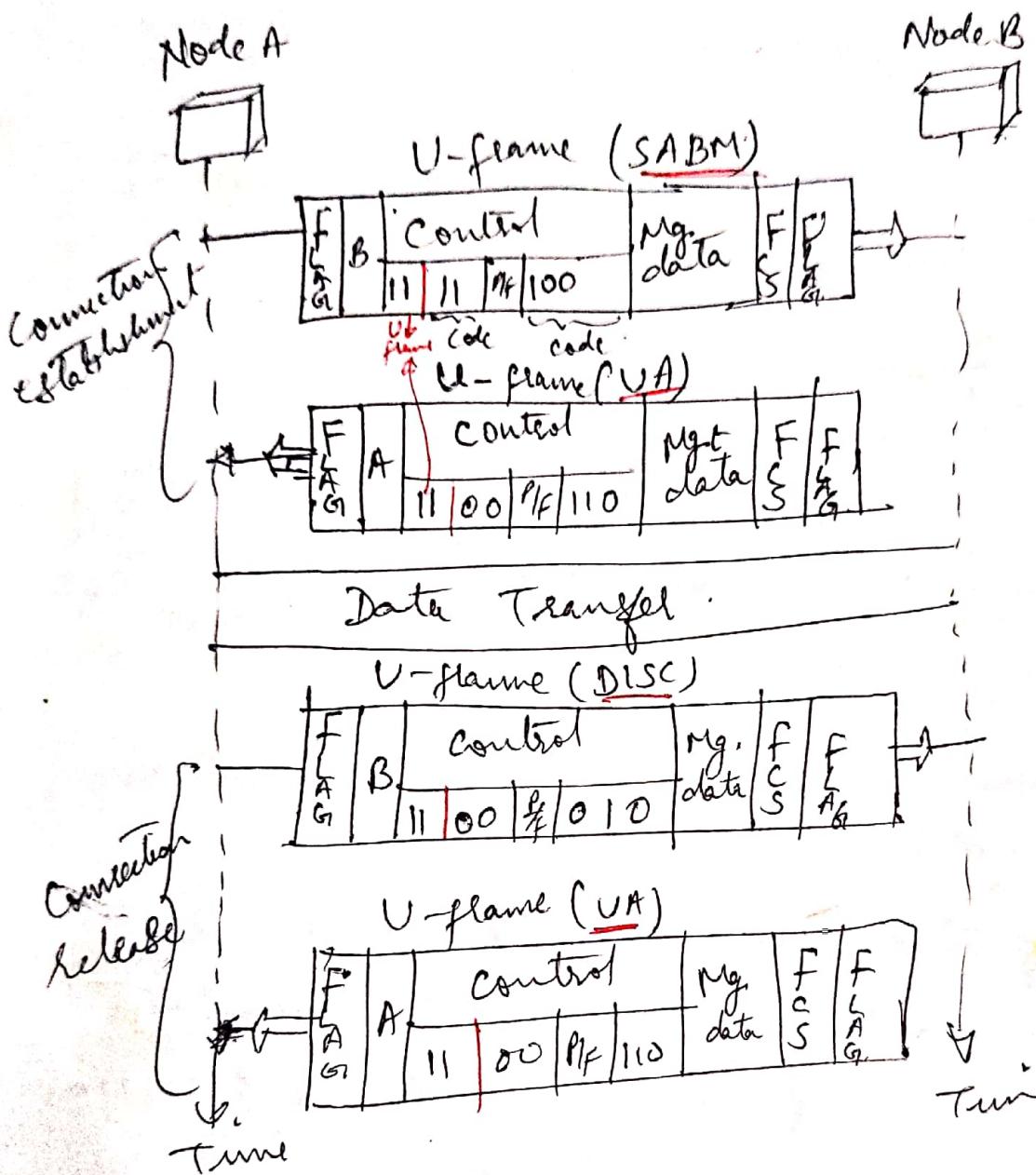
AT generic  
high level  
through I/O mapping interface

$2^5 = 32$  different types of U-frames.

Code	Command Response	Meaning
00001	SNRM	Set normal response mode.
11011	SNRME	Set normal response mode extended
11100	<u>SABM</u>	Set asynchronous balanced mode or disconnect mode
11110	SABME	Set asynchronous balanced mode, extended
00000	U/I	Unnumbered information
00110	<del>U/I</del>	Unnumbered acknowledgement
00010	<del>DISC</del> RD	Disconnect or request
10000	<del>DISC</del> RIM	Disconnect, set initialization
10000	<del>DISC</del> RIM	Set initialization mode or request information mode
00100	UP	Unnumbered poll
11001	RSET	Reset
11101	XID XID	Exchange ID
10001	FRMR FRMR	Frame reject

Table: U-frame Control Command & response.

fig e.g. Connection / Disconnection



## POINT TO POINT PROTOCOL (PPP)

Shubham's Notes

Ref: Behrouz A

Fakhruzzaman.

- PPP operates only at the physical and data link layers.
- At the data link layer, PPP employs a version of HDLC.

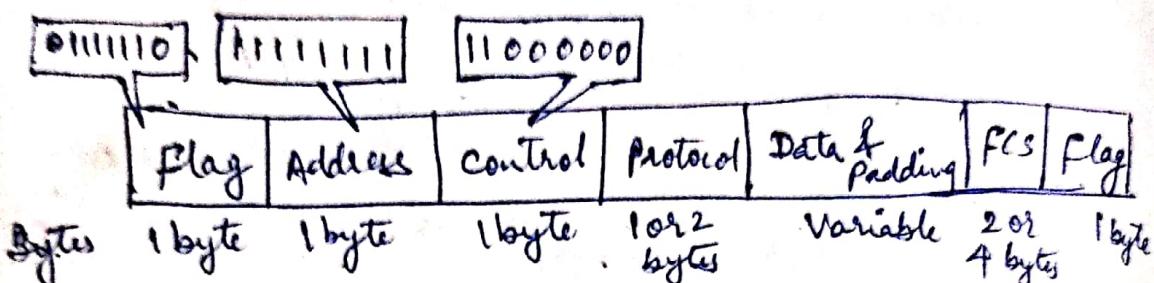


Fig: PPP frame.

The descriptions of the fields are as follows:

- Flag field: The flag field, like the one in HDLC, identifies the boundaries of a PPP frame. Its value is 0111110.
- Address field: Because PPP is used for a point-to-point connection, it uses the broadcast address of HDLC, 1111111, to avoid a data link address in the protocol.
- Control field: The control field uses the format of the Unnumbered frame (U-frame) in HDLC. The value is 11000000 to show that the frame does not contain

any sequence numbers and that there is no flow and error control.

- Protocol field: The protocol field defines what is being carried in the data field. user data or other information.
- Data field: This field carries either the user data or other information.
- FCS: The frame Check sequence field, again HDLC, is simply a two-byte or four-byte CRC.

## POINT TO POINT PROTOCOL - PPP def: Tanenbaum

The Internet needs a point-to-point Protocol for a variety of purposes, including router-to-router traffic, home user-to ISP traffic, etc.

### Functions of PPP

- PPP handles error detection.
- Supports multiple protocols.
- Allows IP addresses to be negotiated at connection time.
- Permits authentication.

## PPP Provides three features:

- 1) A Framing method that unambiguously delineates the end of one frame and the start of the next. The frame format also handles error detection.
- 2) A Link Control Protocol (LCP) for bringing lines up, testing them, negotiating options and bringing them down gracefully when they are no longer needed.  
LCP supports synchronous and asynchronous circuits and byte oriented and bit-oriented encodings.
- 3) A way to negotiate network-layer options in a way that is independent of the network layer protocol to be used. The method chosen is to have a different NCP (Network Control Protocol) for each network layer supported.

To see how these pieces work together, let's consider the typical scenario of a home user calling up an Internet service provider to make a home PC a temporary Internet host.

# Shubhangi Kharche

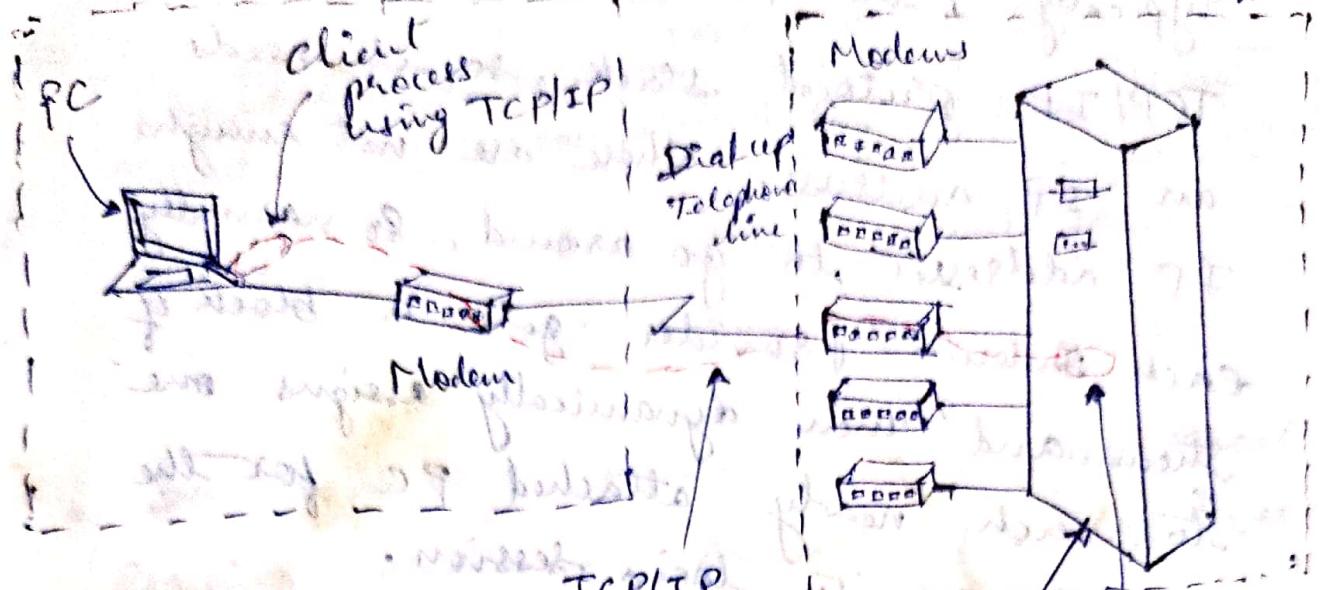


Fig : At home personal computer ~~connected~~ acting as an Internet host.

When in ~~it~~ the PC first calls the provider's number via a ~~modem~~ and the phone and Modem has answered ~~by~~ the physical connection, the PC sends ~~to~~ the router a series of ~~payload~~ packets with the payload field of one or more PPP frames. These packets and their responses select the PPP parameters to be used.

Once the parameters have been agreed upon, a series of LCP packets are sent to configure the network layer.

Shubhangi Kharche

## Data Link Control

Ref: Behrouz A  
Forouzan

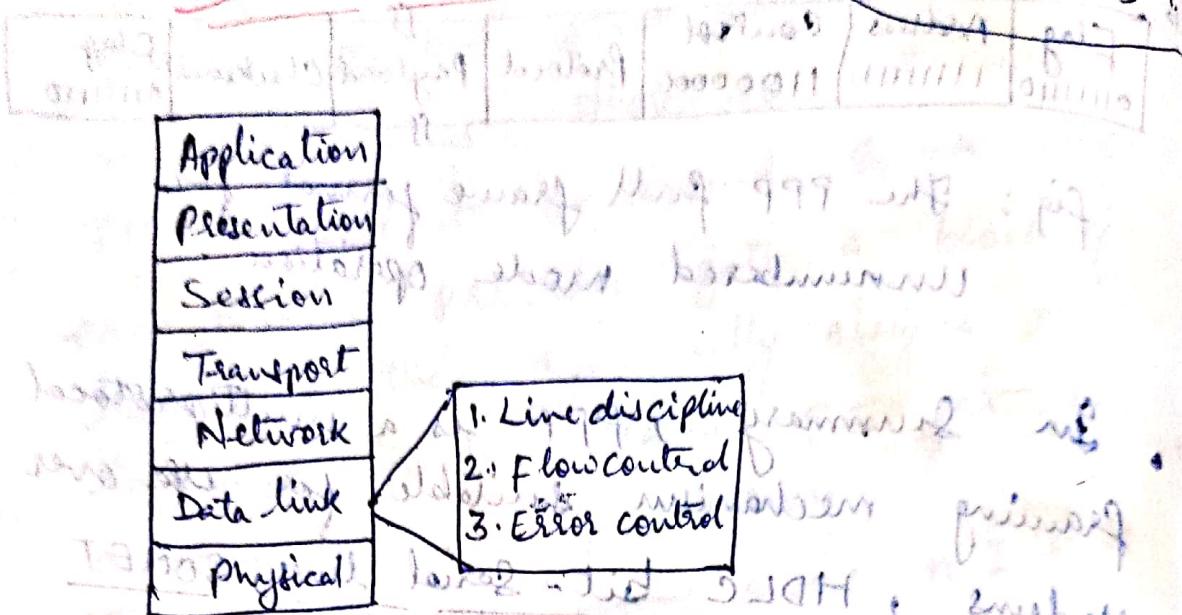


fig 1: Data link layer

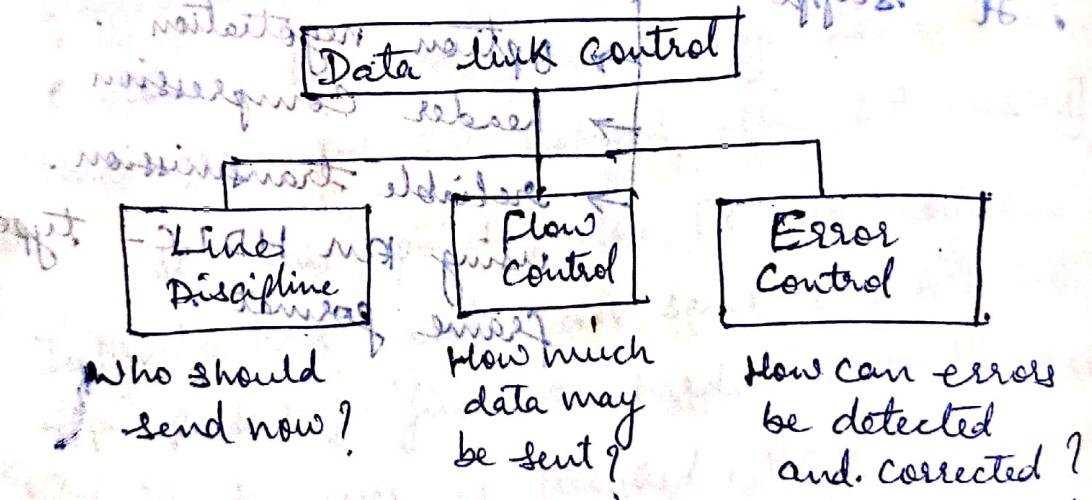


fig 2: Data link layer functions.

### FLOW CONTROL:

- Flow control is a set of procedures that tells the sender how much data it can transmit before it must wait for an acknowledgment from the receiver. The flow of data must not overwhelm the receiver.

## Flow Control

### Stop-and-wait

Send one frame at a time

### Sliding window

Send several frames at a time

Fig 3: Categories of flow control.

#### Stop-and-Wait:

In a Stop-and-wait method of flow control, the sender waits for an acknowledgement after every frame it sends. Only when an acknowledgement has been received is the next frame sent. This process of alternately sending and waiting repeats until the sender transmits an end-of-transmission (EOT) frame.

Stop-and-wait can be compared to a picky executive giving dictation: She says a word, her assistant says "OK", she says another word, her assistant says "OK", and so on.

In the Stop-and-wait method of flow control, the sender sends one frame and waits for an acknowledgement before sending the next frame.

Frames may be acknowledged at many points without waiting for the window to fill up and may be transmitted as long as the window is not yet full.

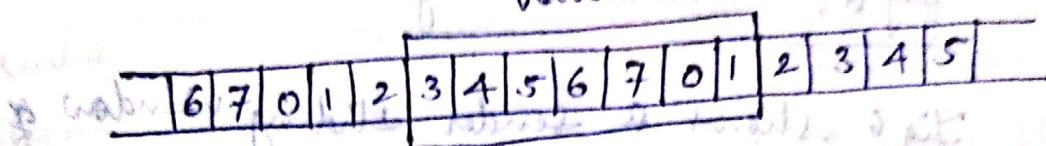
To keep track of which frames have been transmitted and which received, Sliding Window introduces an identification scheme based on the size of the window.

The frames are numbered modulo  $n$ , which means they are numbered from 0 to  $n-1$ . For example, if  $n=8$ , the frames are numbered 0, 1, 2, 3, 4, 5, 6, 7, 0, 1, 2, 3, 4, 5, 6, 7, 0, ... The size of the window is  $n-k$  (in this case, 7). In other words, the window cannot cover ~~more than~~ the whole module (8 frames); it covers one frame less.

When the receiver sends an ACK, it includes the number of the next frame it expects to receive. In other words, to acknowledge the receipt of a string of frames ending in frame 4, the receiver sends an ACK containing the number 5. When the sender sees an ACK with the number 5, it knows that all frames up through number 4 have been received.

The window can hold  $n-1$  frames at either end, therefore, a maximum of  $n-1$  frames may be sent before an acknowledgement is required. fig 5 shows the relationship of a window to the main buffer.

Fig 5: Sliding Window



as fig 5: Sliding Window

Sender Window will slide over transmission. At the beginning of a transmission, the sender's window contains  $n-1$  frames. The frames are sent out, the left boundary of the window moves inward, shrinking the size of the window. Given a window size  $W$ , if three frames have been transmitted since the last acknowledgement, then the number of frames left in the window expands.

Once an ACK arrives, the window expands to allow in a number of new frames equal to the number of frames acknowledged by that ACK plus one. It is suspended until more frames arrive.

### Sender Window

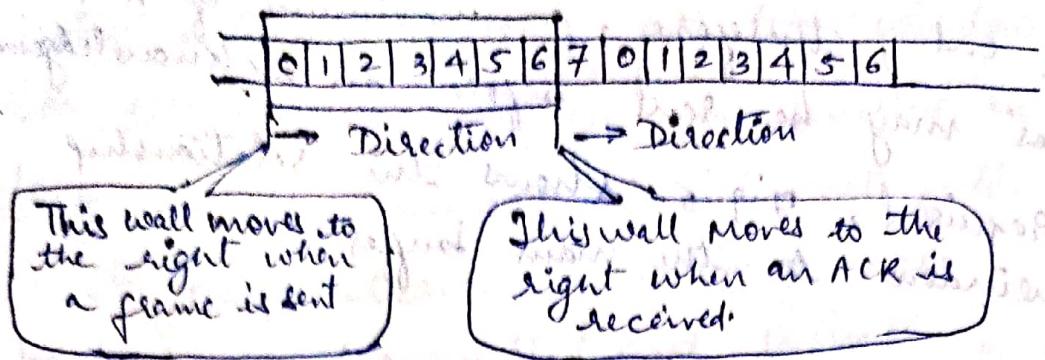


fig 6: Sender Sliding Window

Fig 6 shows a sender sliding window of size 7. Given a window of size 7, if frames 0 through 4 have been sent and no acknowledgement has been received, the sender's window contains two frames (numbers 5 & 6). Now, if an ACK numbered 4 is received, four frames (0 through 3) are known to have arrived undamaged and the sender's window expands to include the next four frames in its buffer. At this point, the sender's window contains six frames (numbers 5, 6, 7, 0, 1, 2). If the received ACK had been numbered 2, the sender's window would have expanded by only two frames, to contain a total of four.

Conceptually, the sliding window of the sender shrinks from the left when frames of data are sent. The sliding window of the sender expands to the right.

when acknowledgements are received.

### Receiver Window

At the beginning of transmission, the receiver window does not contain  $n-1$  frames but  $n-1$  spaces for frames. As new frames come in, the size of the receiver window

shrinks. The receiver window therefore represents not the number of frames received but the number of frames that may still be received before an ACK must be sent.

Given a window of size  $W$ , if three frames are received without an acknowledgement being returned, the number of spaces in the window is  $W-3$ . As soon as an acknowledgement is sent, the window expands to include places for a number of frames equal to the number of frames acknowledged.

### Receiver Window

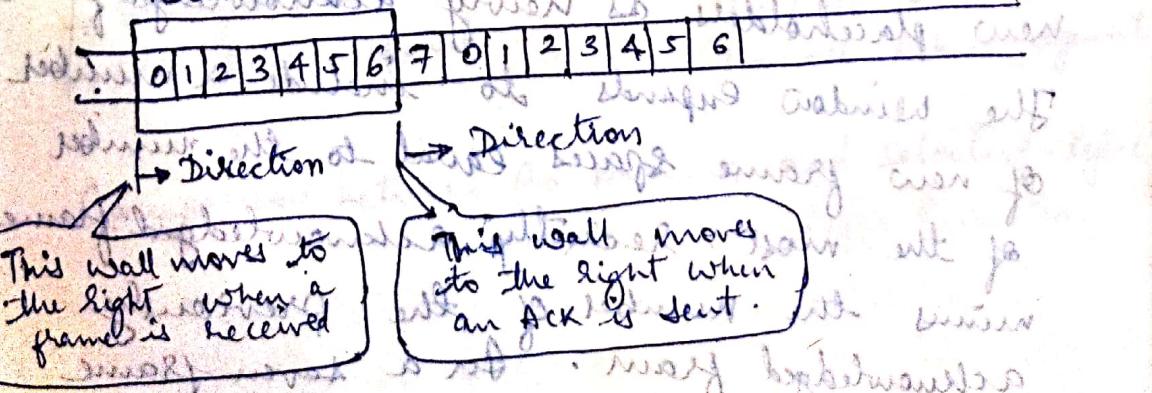


fig 7: Received Sliding Windows

Fig 7 shows a receiving window of size 7. In the figure, the window contains spaces for seven frames, meaning that seven frames may be received before an ACK need be sent. With the arrival of the first frame, the receiving window shrinks, moving the boundary from space 0 to 1. The receiver may be shrunk by one, so the receiver may now accept six frames before it is required to send an ACK. If frames 0 through 3 have arrived but have not been acknowledged, the window will contain three frame spaces.

Conceptually, the sliding window of the receiver shrinks from the left when frames of data are received. The sliding window of the receiver expands to the right when acknowledgements are sent.

As each ACK is sent out, the receiving window expands to include as many new placeholders as newly acknowledged frames.

The window expands to include a number of new frame spaces equal to the number of the most recently acknowledged frame minus the number of the previously acknowledged frame. In a seven-frame window, if the prior ACK was for frame 2 & the current ACK is for

frame 5, the window expands by three (5-2).  
If the first ACK was for frame 3 & the  
subsequent ACK is for frame 1, the window  
expands by size of (1+8+3). It is summarized as  
the window size is increased by 3 for each ACK received.

## ERROR CONTROL

### Error control

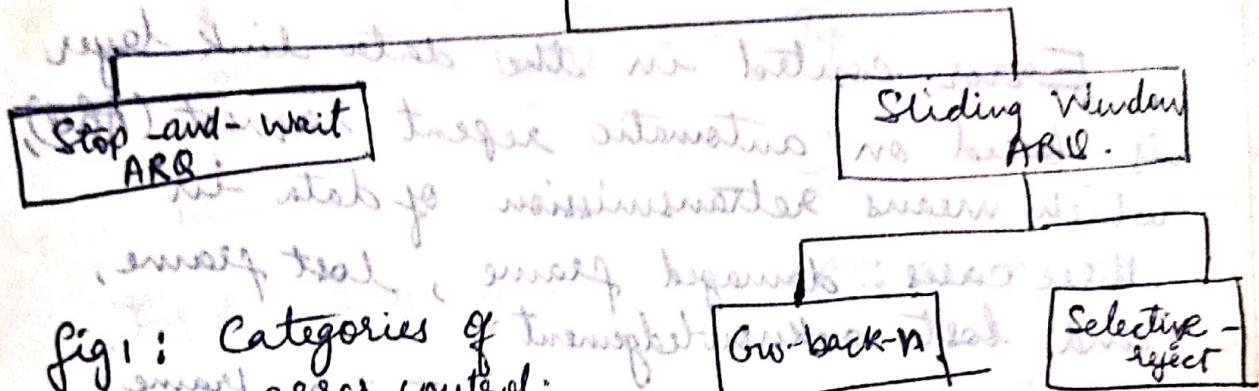


fig: Categories of transmission error control.

- ARQ → It is implemented for damaged frames or the lost frames with lost data frame.
- Stop-and-wait ARQ →
  - Lost Acknowledgement.
  - Lost Data Frame.
  - Lost frame with lost acknowledgement.
- Sliding Window ARQ (MU Question)
  - Selective - Reject ARQ.
  - Damaged frames
  - Lost frames
  - Lost acknowledgement.
- Comparison between Go-Back-n and Selective-reject (MU Question)

## Automatic Repeat Request (ARQ)

Error correction in the data link layer is implemented simply: anytime an error is detected in an exchange, a negative acknowledgement (NAK) is returned and the specified frames are retransmitted. This process is called automatic repeat request (ARQ).

Error control in the data link layer is based on automatic repeat request (ARQ), which means retransmission of data in three cases: damaged frame, lost frame, and lost acknowledgement.

It sometimes happens that a frame is so damaged by noise during transmission that the receiver does not recognize it as a frame at all. In those cases, ARQ allows us to say that the frame has been lost. A second function of ARQ is the automatic retransmission of lost frames, including lost ACK and NAK frames (where the loss is detected by the sender instead of the receiver).

Stop-and-wait ARQ:

This protocol is used for reliable data transfer over a noisy channel.

The sender sends one frame at a time. If the receiver receives the frame correctly, it sends an ACK back to the sender. If the receiver receives the frame incorrectly, it sends a NAK back to the sender.

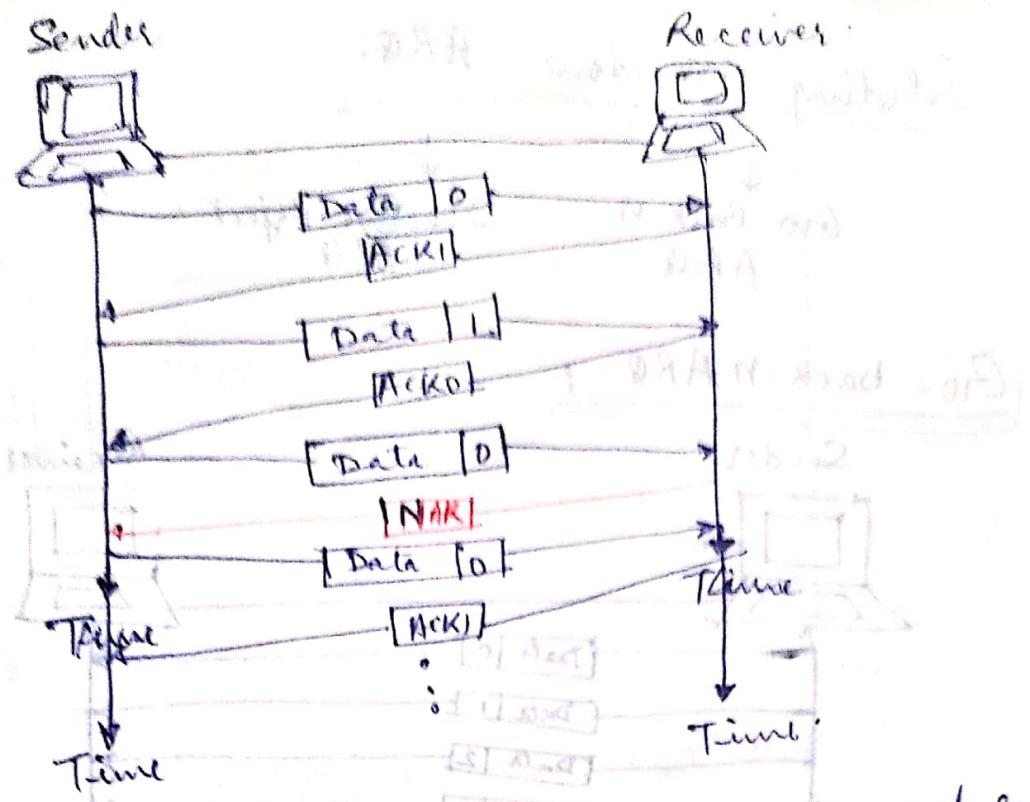


fig 2: stop-and-wait ARQ, damaged frame.

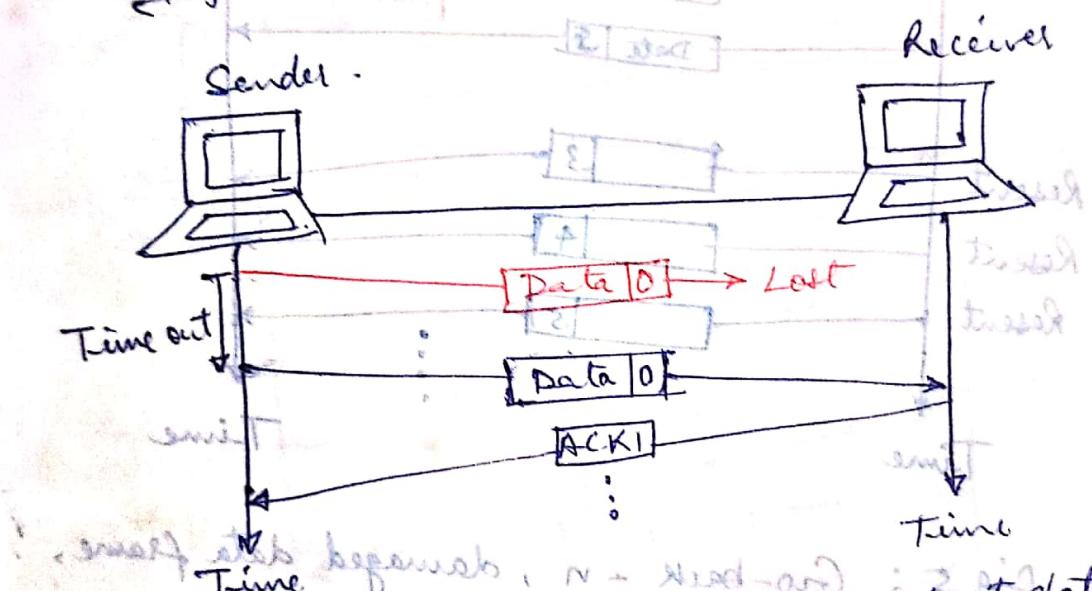


fig 3: stop-and-wait ARQ, lost data frame.

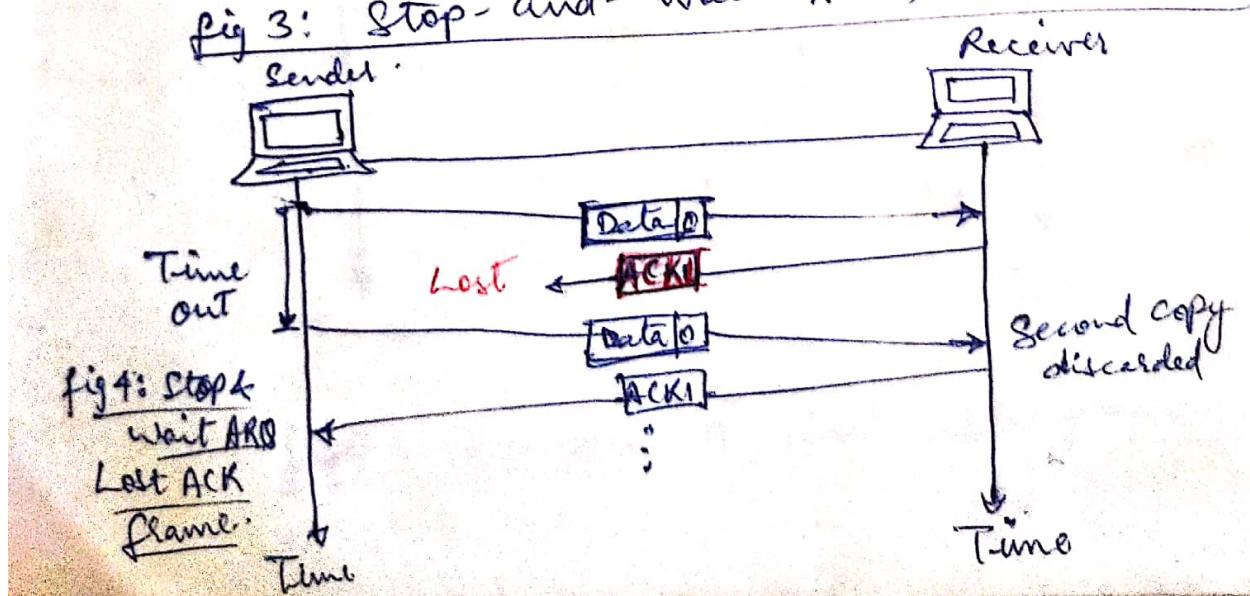


fig 4: stop-and-wait ARQ  
Lost ACK  
frame.

# Sliding Window ARQ.

↓  
Go-Back-n  
ARQ

↓  
Selective Reject  
ARQ

## Go-back-n ARQ.

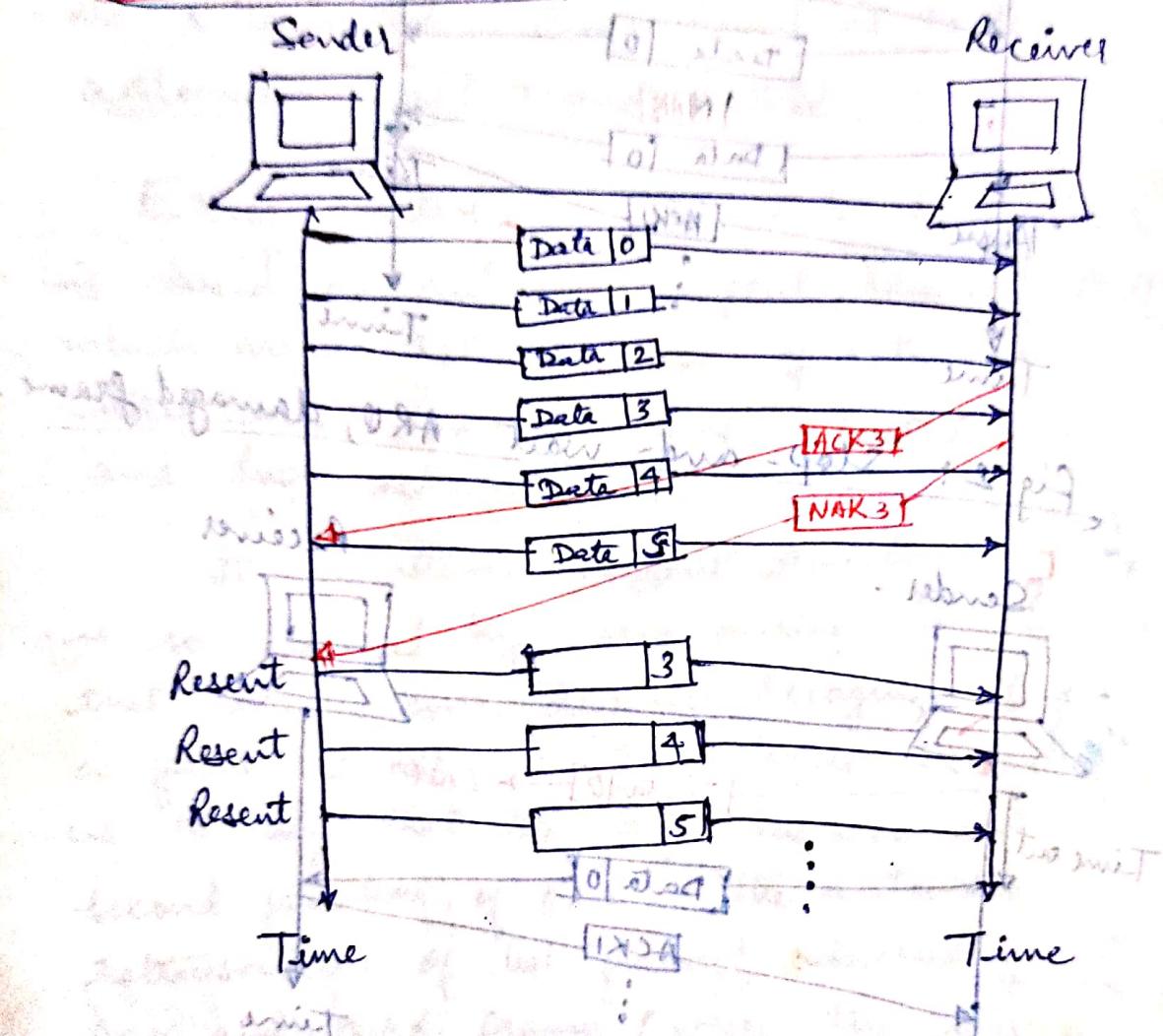
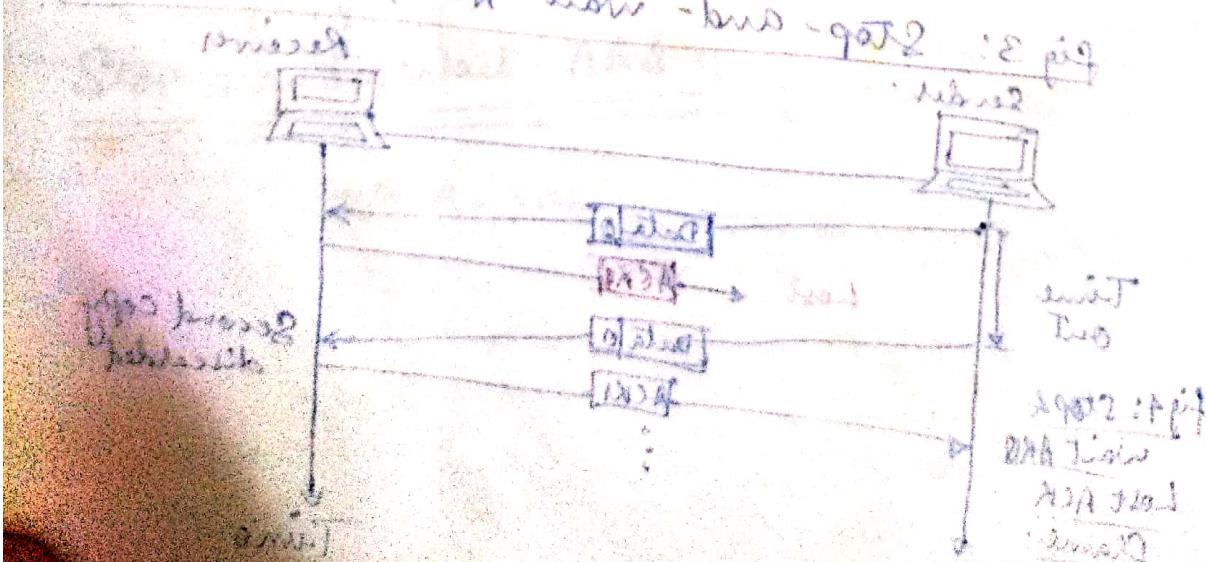


fig 5 : Go-back-n , damaged data frame.



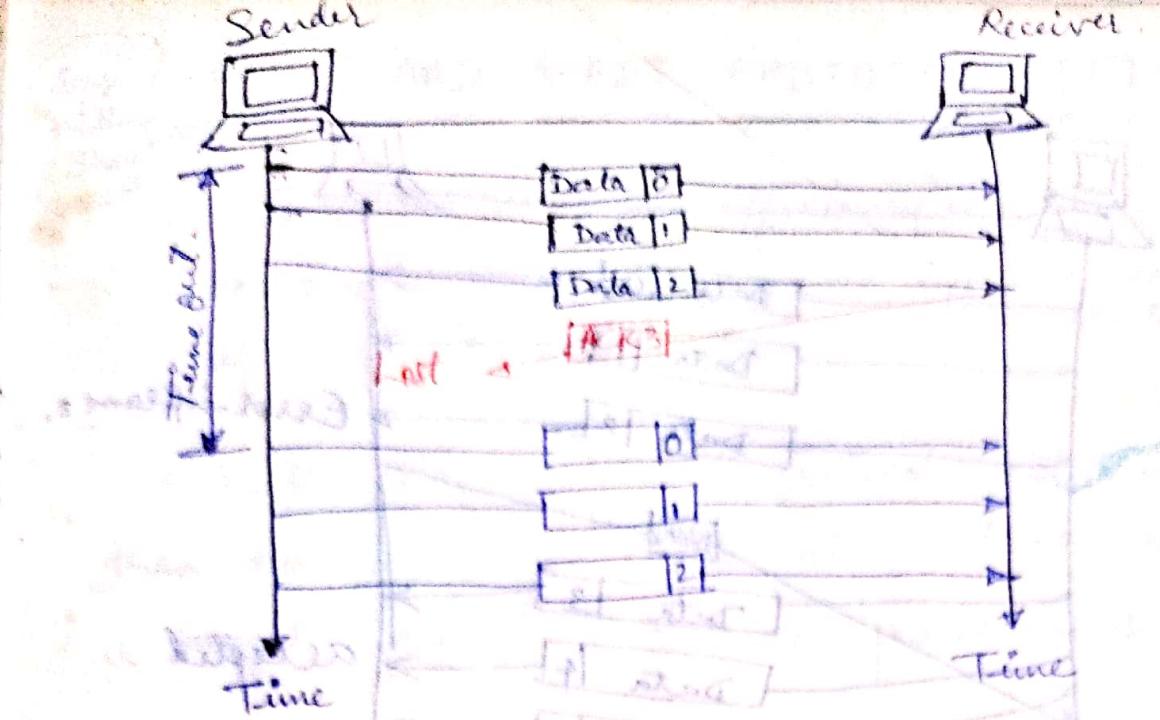


fig 6: Go-back-n, lost ACK.

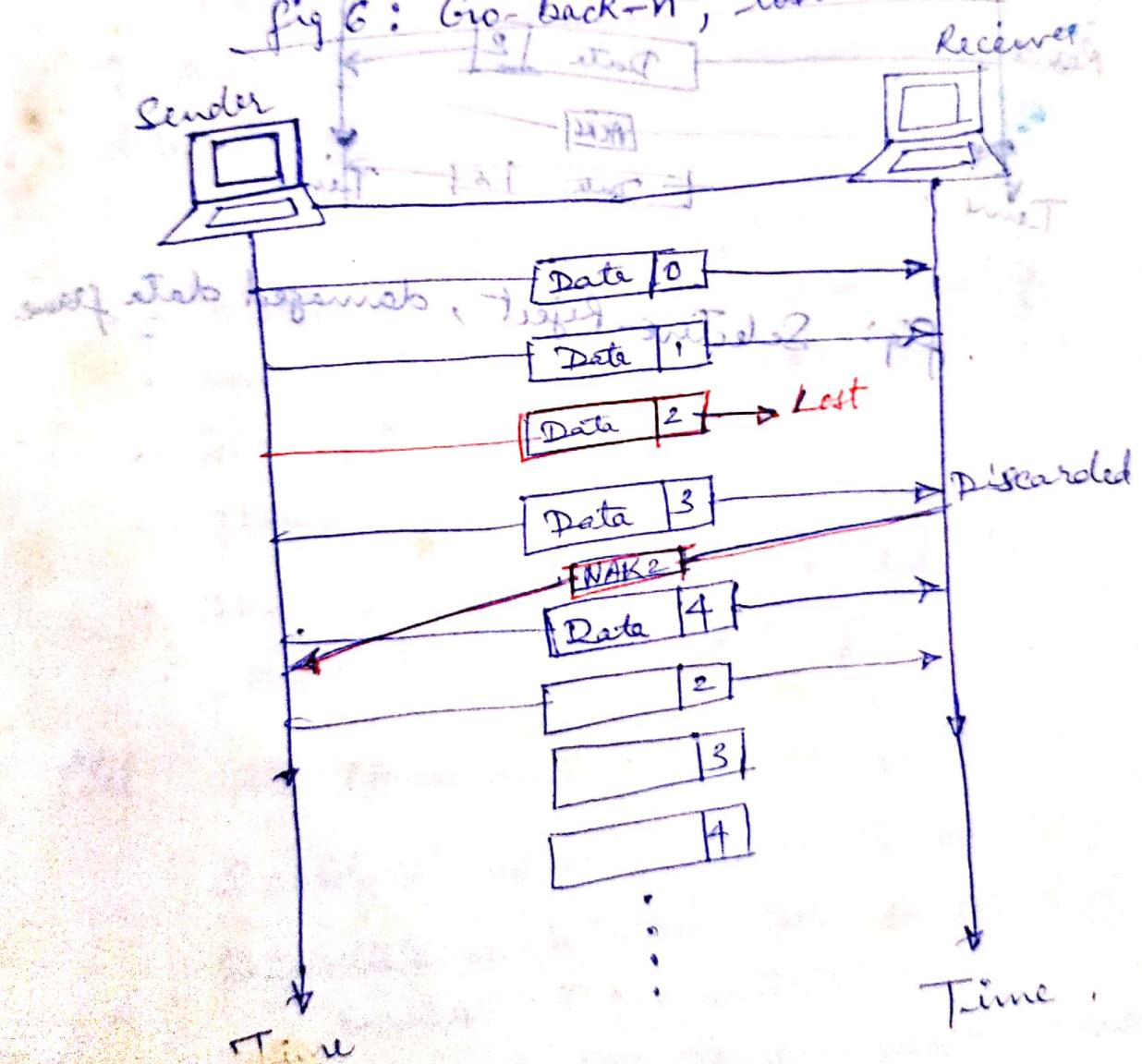


fig 7: Go-back-n, lost data frame.

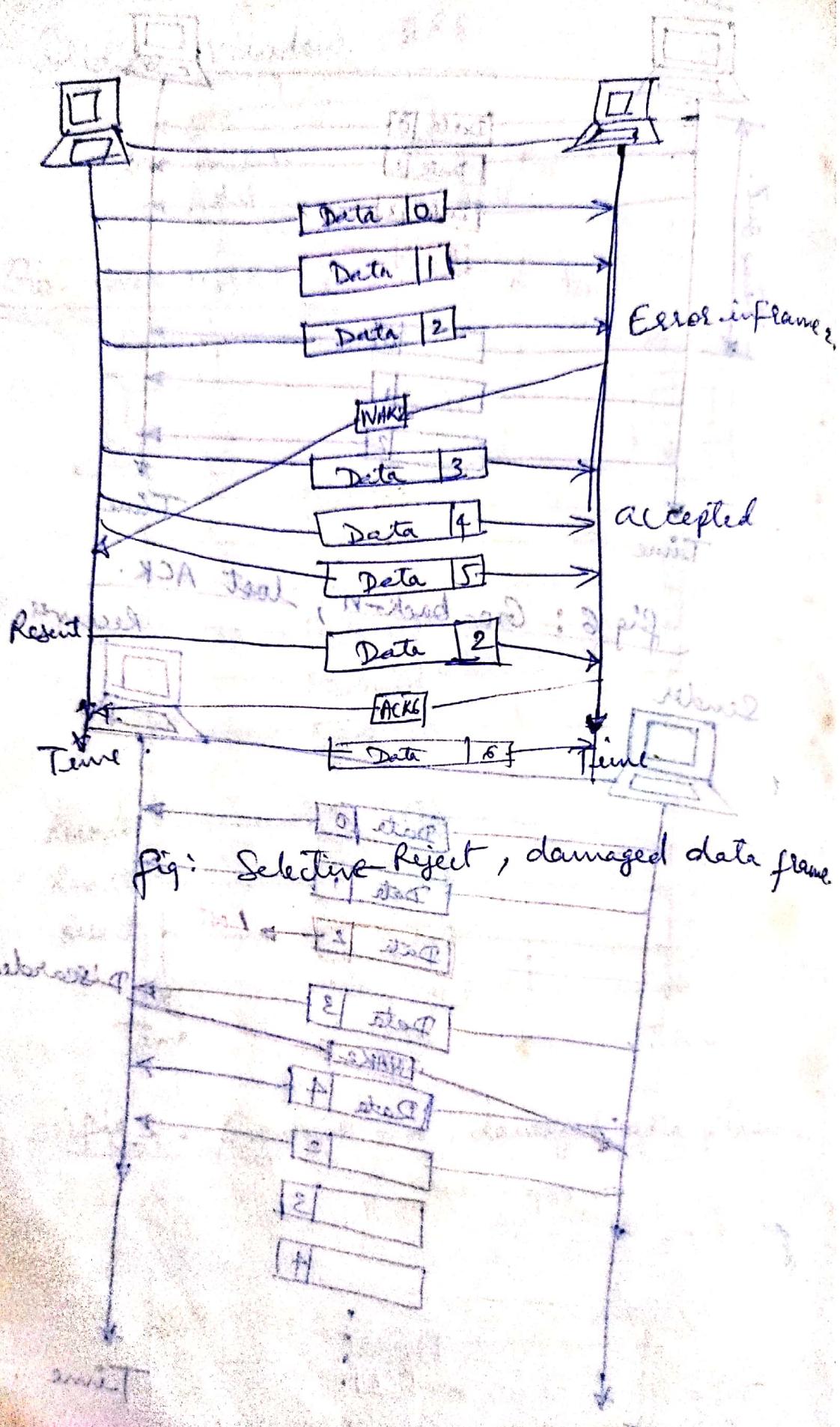


fig: Selective Reject, damaged data frame

# STOP AND WAIT PROTOCOL EFFICIENCY

Dept.  
William  
Shay

Three measures of efficiency are : EMV Question

- 1) Buffer space.
- 2) Channel utilization.
- 3) Effective data rate.

With Stop & wait protocol not more than one frame is sent at a time, so a buffer capacity of one frame is sufficient.

Channel utilization means the percentage of time for which the channel transfers data frames.

Channel utilization depends on:

- Distance between the sender and receiver
- Signal Speed over the channel
- The bit rate
- Frame size &
- The amount of time needed to construct and send a frame

Let,  $R$  = transmission rate (10 Mbps or 10 bits per  $\mu\text{sec}$ )

$S$  = signal speed (200 meters per  $\mu\text{sec}$ ).

$D$  = distance between the sender and receiver (200 meters)

$T$  = time to create one frame (1  $\mu\text{sec}$ ).

$F$  = number of bits in a frame (200)

$N$  = Number of data bits in a frame (60)

$A = \text{Number of bits in acknowledgement}$  (P<sub>10</sub>)

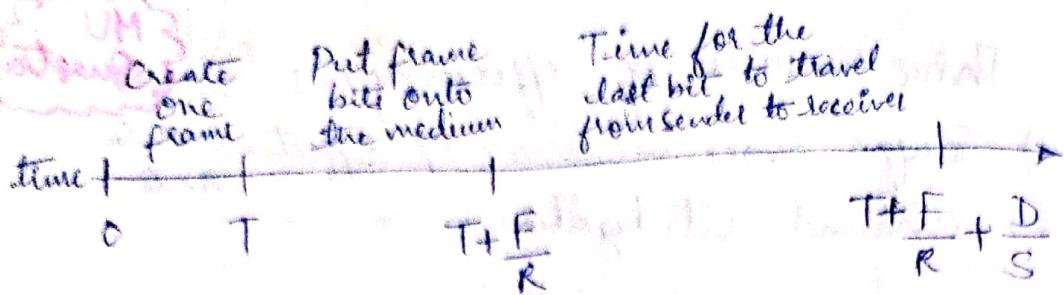


fig: Time required to send a frame to a receiver.

Time required to send a frame to a receiver is calculated in following steps:

① Determine the time needed to create one frame ( $T$ )

② Determine the time needed to put frame bits onto the medium ( $F/R$ )

③ Determine the time needed for the bits to travel from sender to receiver ( $D/S$ )

Thus, the time required to send a frame to a receiver

$$\text{Time required} = T + \frac{F}{R} + \frac{D}{S}$$

Similarly, Time required to send an acknowledgement to the sender

$$= T + \frac{A}{R} + \frac{D}{S}$$

Now, Time elapsed between sending two consecutive frames is

$$\text{time} = \left( T + \frac{F}{R} + \frac{D}{S} \right) + \left( T + \frac{A}{R} + \frac{D}{S} \right)$$

$$\text{Time} = 2\left(T + \frac{D}{S}\right) + \frac{F+A}{R}$$

The amount of time a data frame is actually in transit is  $F/R + D/S$ .

Thus, if we define P as the percentage of time during which frame bits occupy the channel, we have

$$P(\text{stop & wait protocol}) = 100 \times$$

Transit time  
Time elapsed  
between sending  
two consecutive  
frames.

$$= 100 \times \frac{\frac{F}{R} + \frac{D}{S}}{2\left(T + \frac{D}{S}\right) + \frac{F+A}{R}}$$

$$= 100 \times \frac{\frac{200 \text{ bits}}{10 \text{ bits/msec}} + \frac{200 \text{ msec}}{200 \text{ msec/msec}}}{2\left(1 \text{ msec} + \frac{200 \text{ msec}}{200 \text{ msec/msec}}\right)}$$

$$= 100 \times \frac{\frac{200 \text{ bits} + 40 \text{ bits}}{10 \text{ bits/msec}}}{2\left(1 \text{ msec} + \frac{200 \text{ msec}}{200 \text{ msec/msec}}\right)}$$

$$\underline{\underline{= 75\%}}$$

Thus, if we measure efficiency solely by channel utilization, then efficiency of Stop and Wait Protocol is 75%.

Effective data rate is the actual number of data bits sent per unit of time.

To calculate the effective data rate, we divide the number of data bits ( $N$ ) sent by the elapsed time between sending two frames.

$$\text{Effective data rate} = \frac{N}{2(T + \frac{D}{S}) + F + A}$$

(Stop-and-Wait protocol)

$$= \frac{160 \text{ bits}}{2 \left[ 1 \mu\text{sec} + \frac{200 \text{ meters}}{200 \text{ meters}/\mu\text{sec}} \right] + }$$

$$= \frac{200 \text{ bits} + 40 \text{ bits}}{10 \text{ bits}/\mu\text{sec}}$$

$$= 5.7 \text{ bits}/\mu\text{sec.}$$

$$= 5.7 \text{ Mbps.}$$

These measures provide only part of the total picture. Other factors to consider are the user the protocol serves, the amount of data to transfer, and the fact that the channel will be used by others.

## SLIDING WINDOW      PROTOCOL EFFICIENCY:

MV  
Question

Let  $R$  = transmission rate (10 Mbps or 10 bits/msec).

Let  $s$  = signal Speed (200 mts/sec)

$D$  = Distance between the sender and receiver (200 metres)

$T$  = Time to create one frame (1 msec)

$F$  = number of bits in a frame (160)

$N$  = number of data bits in a frame (160)

$A$  = number of bits in an acknowledgement (40)

$w$  = window size (4 frames).

Consider that the protocol sends  $w$  frames, waits for the first acknowledgement, sends  $w$  more frames, waits for an acknowledgement and so on (as in fig below).

This protocol now resembles Stop and wait. However, instead of sending and waiting for individual frames, it sends and waits for a window full of frames.

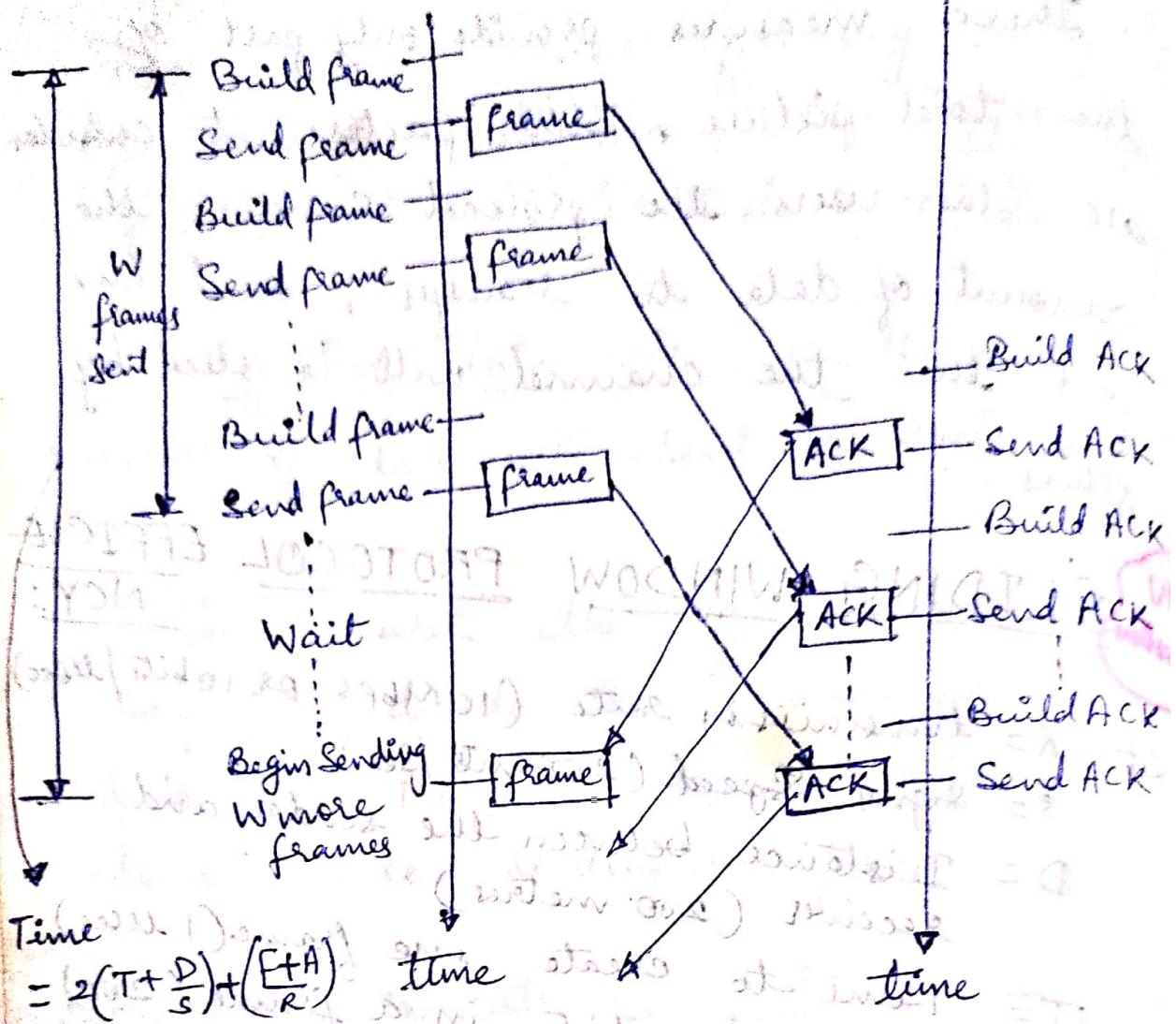


fig : Sending All Windowed frames  
and waiting

Mathematically, these two cases can be distinguished by comparing the time to send  $w$  frames with the time to send one frame and receive an acknowledgement.

The time to build and send one frame is  $T + F/R$ . Thus, the time to build and send  $w$  frames is  $w \times (T + F/R)$ .

Time to send a frame and receive an acknowledgment is

$$2(T + \frac{D}{S}) + \left(\frac{F+A}{R}\right)$$

Let  $F = A$  because acknowledgments arrive piggybacked on data frames (of size  $F$ ) instead of via separate ACK frames (of size  $A$ )

$\therefore$  Time to send a frame & receive an acknowledgment is

$$2\left(T + \frac{D}{S} + \frac{F}{R}\right).$$

Since  $w$  frames are sent in the same amount of time,

$$\text{Effective data rate} = \frac{w \times N}{2\left(T + \frac{D}{S}\right) + \frac{2F}{R}}$$

(window-oriented  
stop & wait version)

$$= \frac{4 \times 160 \text{ bits}}{2\left(1 \mu\text{sec} + \frac{5000 \mu\text{sec}}{200 \mu\text{sec}}\right) + \frac{2 \times 200 \text{ bits}}{10 \text{ bits}/\mu\text{sec}}$$

Here  $D = 5000 \mu\text{sec}$ .

$$= 0.615 \times 10^6 \text{ bits/sec.}$$

## # Point-To-Point Protocol (Leon Garcia).

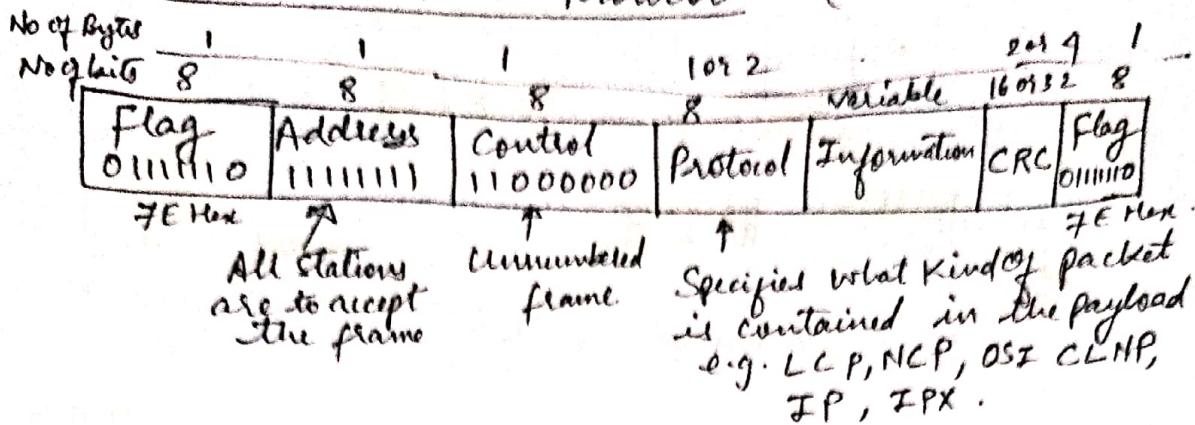
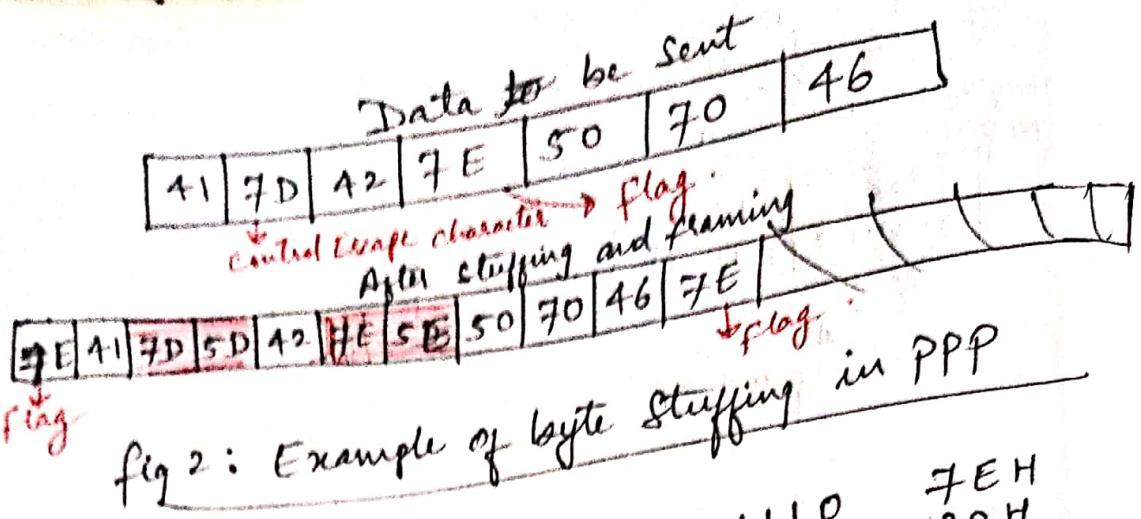


fig 1: Frame structure of PPP frame: PPP uses same flag as HDLC and inserts a protocol field that specifies the type of packet contained in the payload.

- PPP is byte oriented
- Flag field is used to indicate beginning and end of a frame.
- Byte Stopping is used to remove the ambiguity whenever there is occurrence of flag in other fields of PPP frame.
- A Control Escape character (octet) is defined as binary 0111101 ie 7D hex.
- Any occurrence of flag or escape character in the PPP frame is replaced by a two character sequence consisting of control escape character followed by the original octet exclusive-ORed with 20 Hex.
- After the exclusive-OR operation the characters 7E and 7D are replaced by 7B, 5E and 7D, 5D respectively.



$$\begin{array}{r}
 \text{XORed} \quad 0111101 \quad 7DH \\
 \underline{00100000} \quad 20H \\
 \hline
 01011101 = 5DH
 \end{array}
 \quad
 \begin{array}{r}
 \text{XORed} \quad 0111110 \quad 7EH \\
 \underline{00100000} \quad 20H \\
 \hline
 01011110 = 5EH
 \end{array}$$

- The receiver ~~removes~~ unstuff the control escape characters & then computes the CRC.
- while destuffing it exclusive OR's the character following the Control escape character with 20H.

$$\begin{array}{r}
 01011101 \quad 5DH \\
 \underline{00100000} \quad 20H \\
 \hline
 0111101 = 7DH
 \end{array}
 \quad
 \begin{array}{r}
 0101110 \quad 5EH \\
 \underline{00100000} \quad 20H \\
 \hline
 0111110 = 7EH
 \end{array}$$

- if the XORing results are 7DH or 7EH then the receiver removes those characters.

### Applications of PPP:

- PPP framing can be used over asynchronous, bit synchronous, or octet synchronous transmission systems.
- PPP is used extensively in dialup Modems.
- PPP also provides the framing in Packet-over-SONET (POS) to carry packet streams over high-speed SONET digital transmission systems.

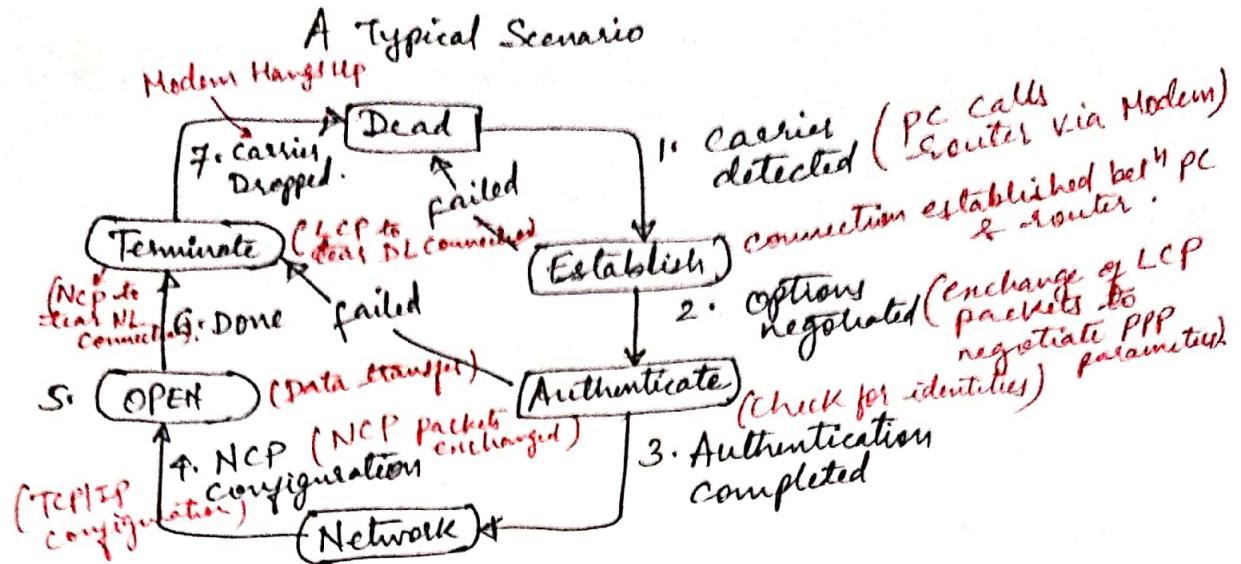


fig 3: PPP phase diagram

Home PC to Internet Service provider

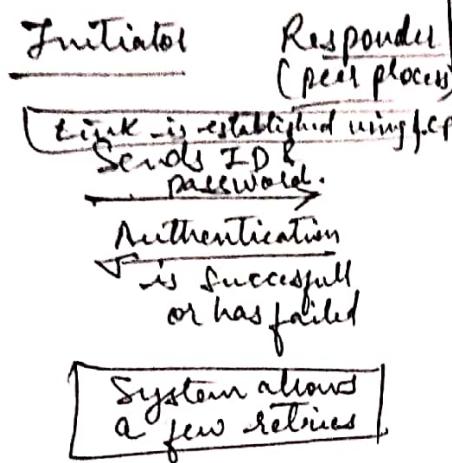
1. PC calls router via Modem
2. PC and router exchange LCP packets to negotiate PPP parameters
3. Check of identities
4. NCP packets exchanged to configure the network layer, for example, TCP/IP (requires IP address assignment)
5. Data transport, for example, send/receive IP packets.
6. NCP used to tear down the network layer connection (free up IP address); LCP used to Shut down data link connection.
7. Modem hangs up.

- Home Telephone to Telephone Exchange
- Subscriber lifts the H/S
  - Exchange sends dial tone
  - Subscriber dials the no.
  - Exchange verifies identities
  - Connection is established
  - Conversation starts
  - connection released after conversation ends.

## Authentication protocols

### PAP

password  
Authentication  
protocol

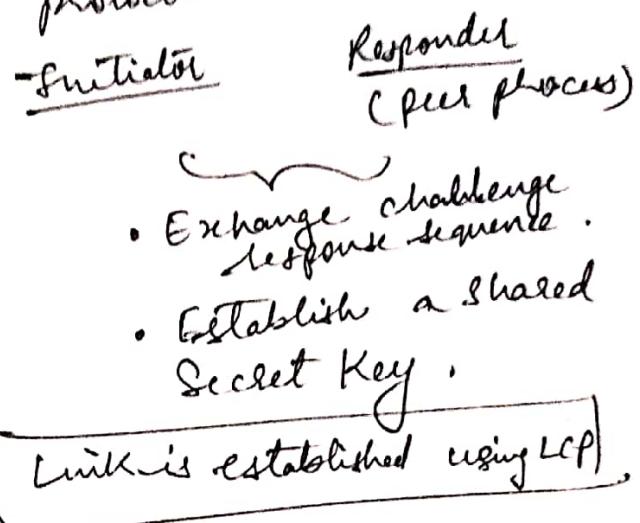


If the request has failed, PAP instructs LCP to terminate the link.

- PAP is vulnerable to many different types of security attacks

### CHAP (provides greater security)

Challenge  
Handshake  
Authentication  
Protocol



Challenge →  
(Random number and own ID)

- Cryptographic
- Checksum of the Challenge Value that makes use of shared secret key.
- The authenticator verifies the cryptographic checksum by using the shared secret key.
  - If the two checksums agree, then the authenticator sends an authentication msg.
  - The CHAP allows an authenticator to receive periodically the challenge to be authenticate the process.

## # Peer-to-Peer protocols

2

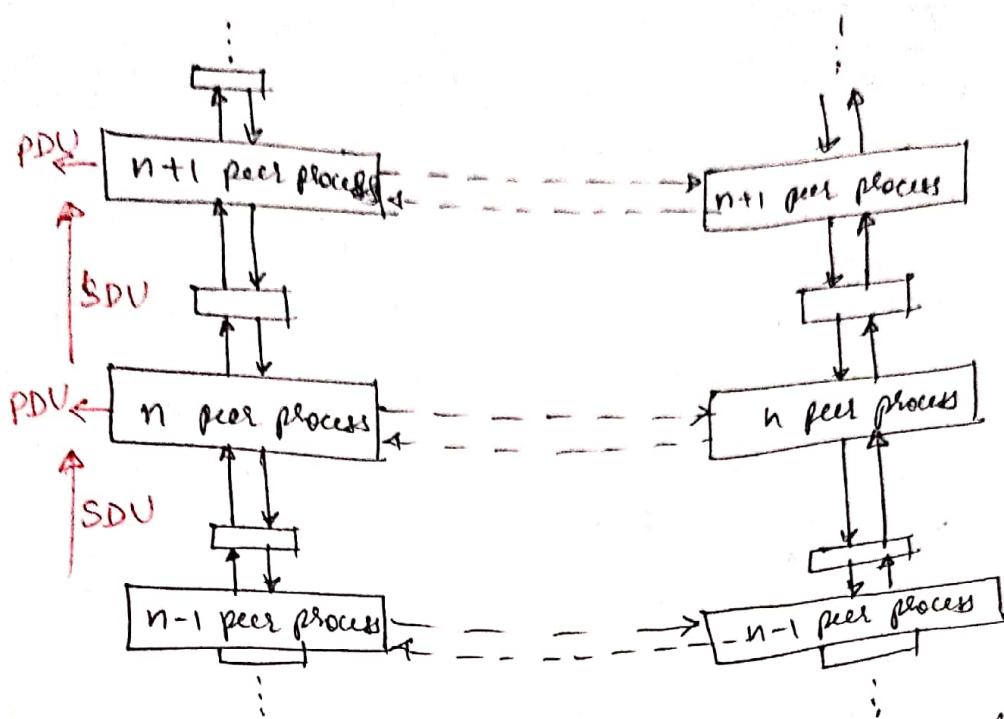


fig: Layer  $n$  peer processes carry out a protocol to provide Service to layer  $n+1$ . Layer  $n$  protocol uses services of layer  $n-1$

## # Service Models

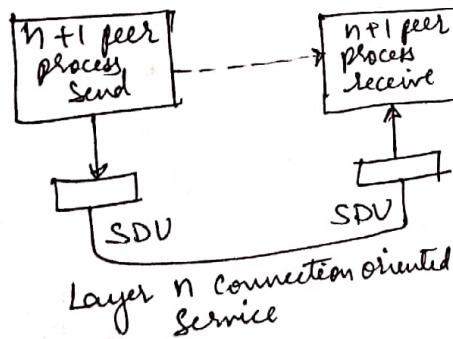


fig: Connection-oriented transfer service

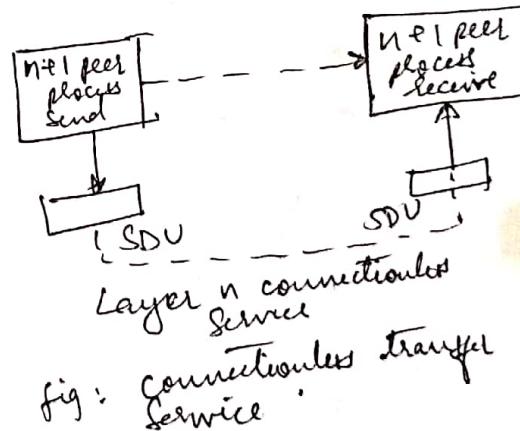


fig: Connectionless transfer service

# Examples of Services: Arbitrary Message size or structure  
 $1\text{call} = 1\text{ message} = \text{entire sequence of speech samples}$   $\Rightarrow \textcircled{A} \text{ Message}$

$1\text{call} = \text{Sequence of } 1\text{ byte messages}$

$\Rightarrow \textcircled{B} \text{ Stream}$

Large block (1 long msg)  
 2 or more blocks

2 or more short msgs  
 1 block

$\Rightarrow \textcircled{C} \text{ Sequence of blocks}$

## # End to End Versus Hop by Hop

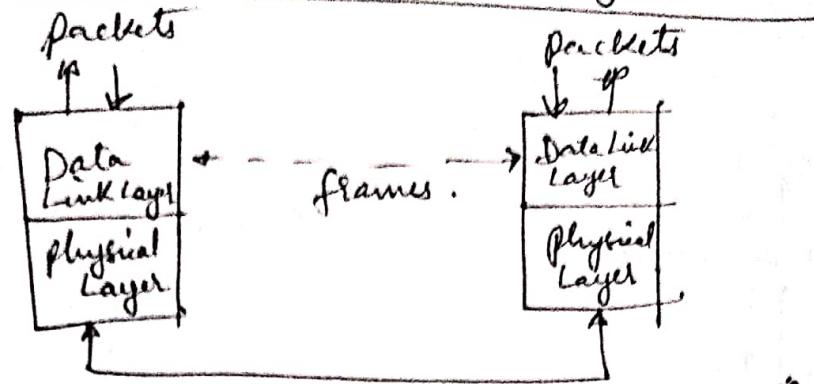


fig: peer - to-peer protocol across a single hop .

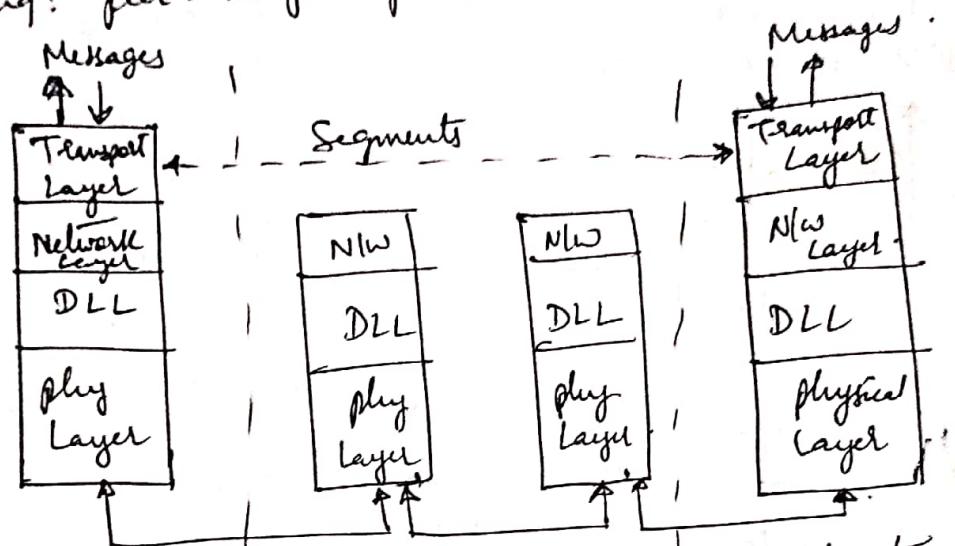


fig: peer to peer protocols operating end - to - end across a nbs - protocol stack view .

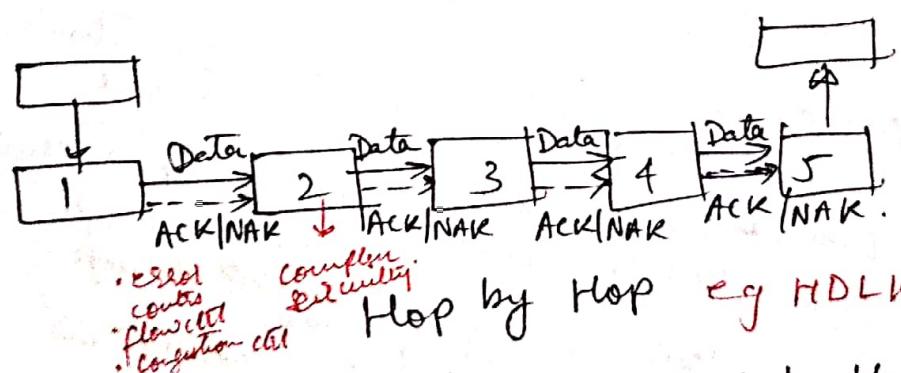
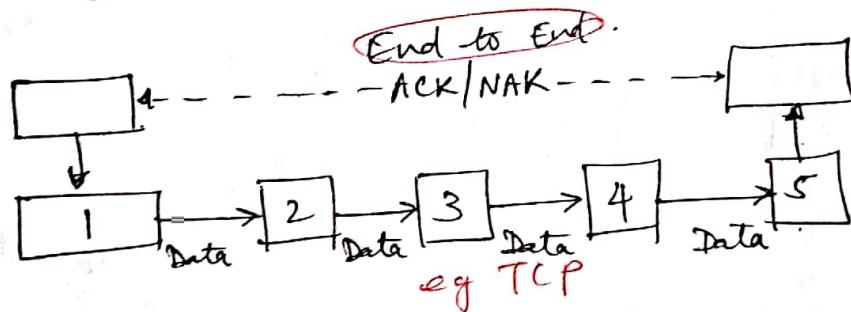


fig: End to End Versus Hop by Hop approaches .

## Shubhangi

## ARQ Protocols and Reliable Data Transfer Service.

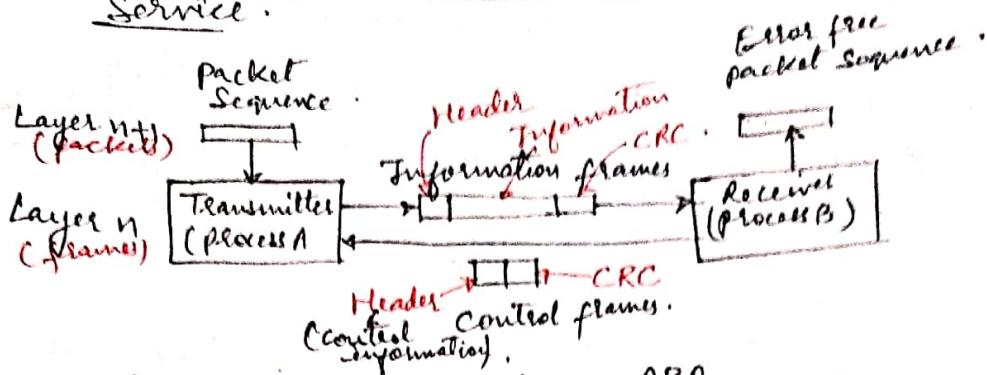


fig: Basic elements of ARQ.

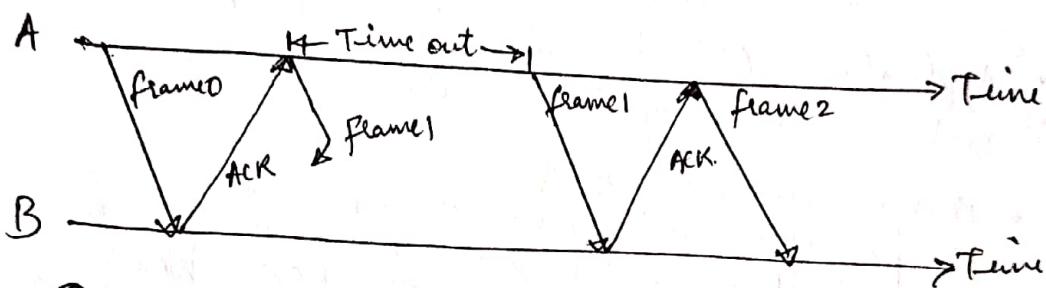
- What is ARQ? ARQ combines error detection & retransmission to ensure that data is delivered accurately.
- ARQ → Stop & Wait to the next despite errors that occur
  - Go-Back-N ARQ during transmission.
  - Selective Repeat ARQ.
- Application of ARQ in Data link controls that operate over a single noisy communication channel.
- Basic elements of ARQ
  - Information frame (carries information packets)
  - Control frames → consists control info in header + CRC
  - Timeout mechanisms → particular frame based correct receipt of a frame or Group of frames
- Header has a field which tells whether the frame is Information, control, ACK or NAK
- Timeout mechanisms are required to prompt certain actions to maintain the flow of frames.

### Basic operation of the packet transfer protocol:

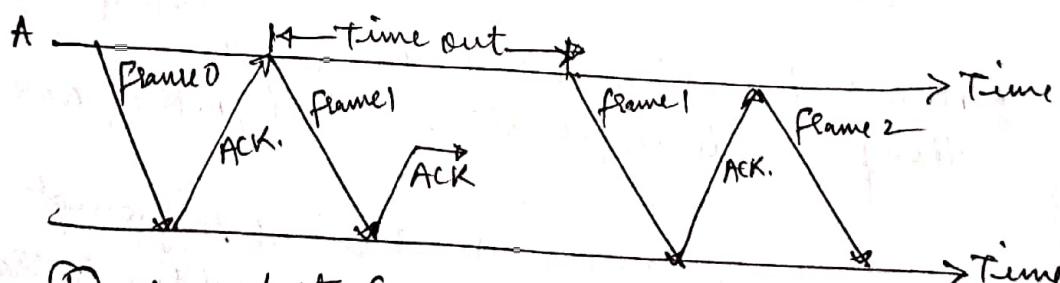
- Layer (n+1) prepares layer (n+1) PDU with the help of layer (n+1) protocol & asks for layer n SDU.
- layer n prepares layer n PDU with the help of layer n protocol & asks for layer (n-1) SDU
  - At some point
- Reverse at Destination.

## # Stop & Wait ARQ

- One frame is delivered at a time.
- A sends <sup>info</sup> frame to B, wait for an ACK, if A does not receive ACK within a particular time then it resends the info frame to B & again waits for an ACK.
- A sends I-frame to B
  - Sets I-frame timer which expires after a certain time out - period
  - Time out period is selected such that it is larger than the time required to receive ACK frame from B.



② frame 1 lost (A does not know what has happened)



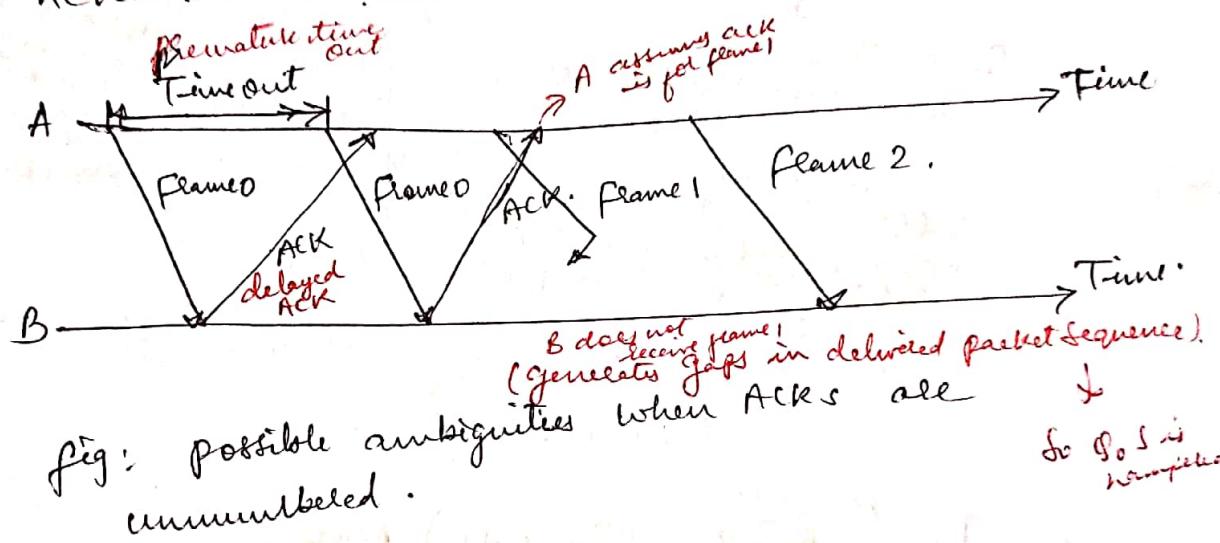
⑥ ACK lost (B receives frame 1 twice)  
(Delivery of duplicate packet)

• Explanation of fig ② & ⑥:

In above both cases A transmits frame 1 twice. A is ambiguous about what has happened. B receives frame 1 twice when ACK is missed & thus there is duplication of frame.

## Need for Sequence Numbers

- Above ambiguity can be removed by including sequence number in the header of each I-frame.
- Process B would then recognize that the second transmission of frame 1 was a duplicate, discard the frame & resend the ACK for frame 1.
- A second type of ambiguity arises if the ACKs do not contain a sequence number.



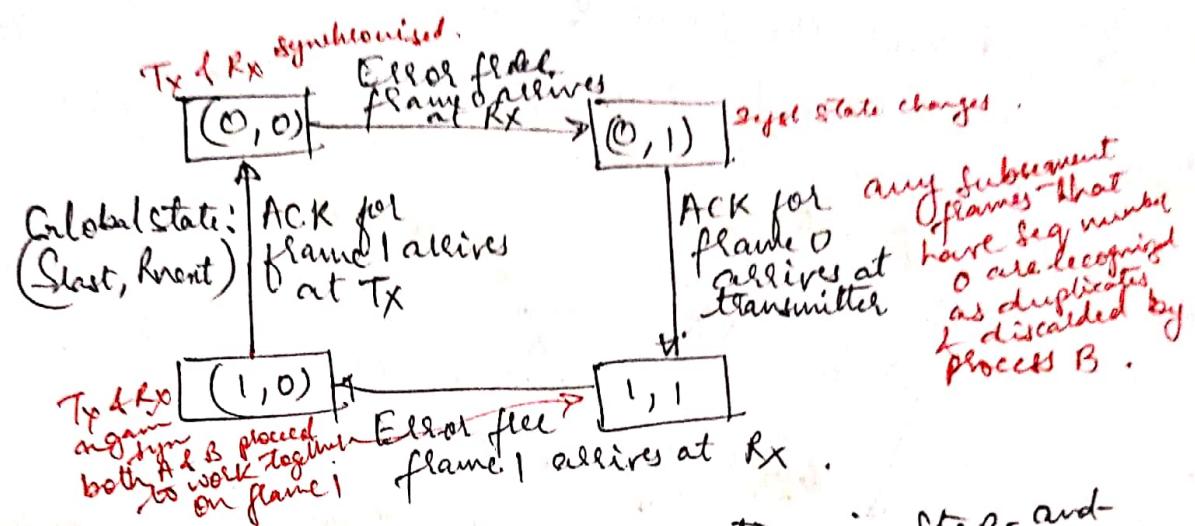
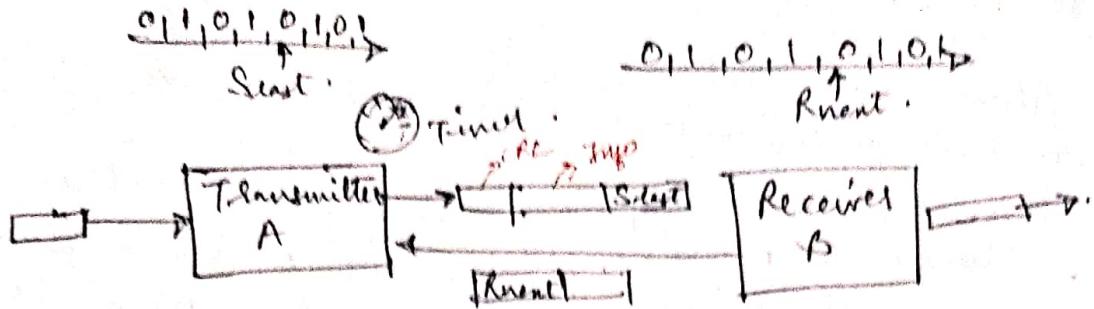


fig: System State Information in Stop-and-wait ARQ .

$$Tx: \text{Ack with } R_{start} = (S_{start} + 1) \bmod 2$$

$R_x \rightarrow$  Received seq no  $S_{start} = R_{start}$ .

Received seq no updated to

$$\boxed{S_{start} = (R_{start} + 1) \bmod 2}$$

- ★ Frame Sequence Number as well as ack frame Number both are one-bit & included in the header field.

# Performance Issue (stop-and-wait ARQ)  $\rightarrow$  Efficiency  
Stop-and-wait ARQ  $\rightarrow$  Delay BW product.

Efficient  
when  
channels  
have  
low  
propagation  
delay

Inefficient  
when  
channels  
have  
much greater  
propagation  
delay than  
the time  
to transmit  
the frame.

5

Let frame size = 1000 bits  
~~(prop delay)~~ Channel Speed = 1.5 Megabits/sec.

Time to transmit a frame & receive an acknowledgement = 40 ms. (<sup>propagation</sup>~~delay~~)

Thus, No of bits that can be transmitted over this channel =  $40 \text{ ms} \times 1.5 \text{ Mbit/s}$ .  
= 60000 bits

However, stop-and-wait ARQ can transmit only 1000 bits in 40ms period.  
Thus the efficiency is only  $\frac{1000}{60000} = \underline{\underline{1.6\%}}$ .

Delay-bandwidth product

Delay-bandwidth product = Bit rate  $\times$  delay.

$$\begin{aligned} \text{Delay-bandwidth product} &= 1.5 \text{ Mbit/sec} \times 40 \text{ ms} \\ &= \underline{\underline{60,000 \text{ bits}}} \end{aligned}$$

If we visualize the communication channel as a pipe, then the delay-bandwidth product can be interpreted as the size of the pipe, that is, the maximum number of bits that can be in transit at any given time. On Stop-and-wait ARQ the delay-bandwidth product can be viewed as a measure of lost opportunity in terms of transmitted bits.

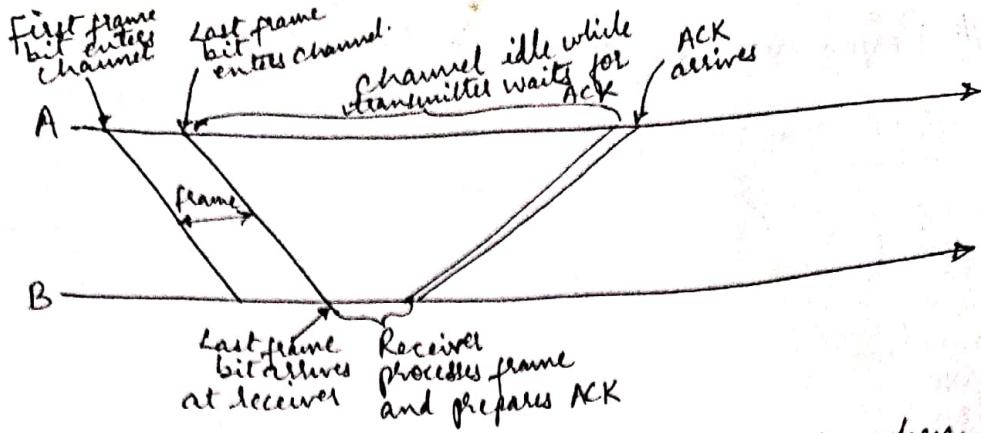


fig: Stop-and-wait ARQ is inefficient when time to receive an ACK (propagation delay) is large compared to the frame transmission time.

### Transmission Efficiency of Stop-and-wait ARQ.

Suppose that all information frames have the same length and that the transmitter has frames to transmit to the receiver.

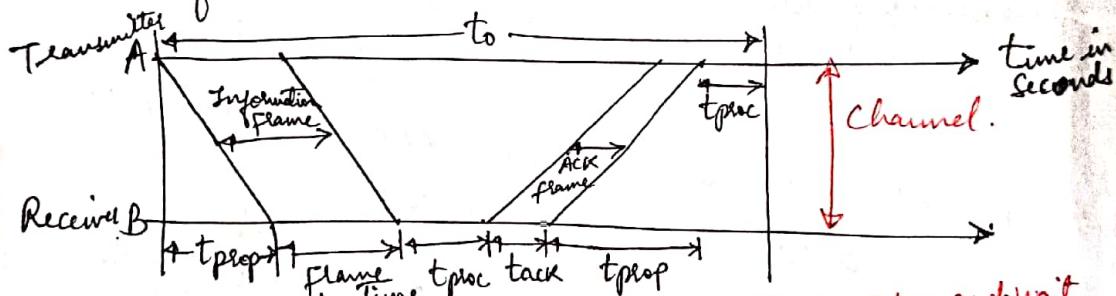


fig: Delay components in Stop-and-wait ARQ.

Let  $t_{\text{total}}$  be the total time delay incurred from the instant when I-frame is transmitted & acknowledgement is received.

Referring above fig from left to right;  
 $t_{\text{prop}}$  be the time taken by the first bit of I-frame to get thro' the channel & reach at receiver B.

$t_f$  be the I-frame transmission time.  
 $t_{\text{proc}}$  be the time taken by the receiver to prepare an acknowledgement.

$t_{\text{ack}}$  be the ack-frame transmission time.

$t_{\text{prop}}$  be the time taken by the last bit of ack frame to get thro' the channel & reach at transmitter A.

$t_{proc}$  be the time taken by CRC check.

Then, the basic time to send a frame and receive an ACK, in the absence of errors, is given by

$$t_0 = t_{prop} + t_f + t_{proc} + t_{ACK} + t_{prop} + t_{proc}$$

$$\boxed{t_0 = 2t_{prop} + 2t_{proc} + t_f + t_{ACK}}.$$

Now, let  $n_f$  = number of bits in information frame

$n_a$  = number of bits in acknowledgement frame (or NAK frame)

&  $R$  = bit rate of transmission channel

$$\text{Then } R = \frac{n_f}{t_f} = \frac{n_a}{t_{ACK}}$$

$$\therefore t_f = \frac{n_f}{R} \text{ & } t_{ACK} = \frac{n_a}{R}$$

$$\boxed{t_0 = 2t_{prop} + 2t_{proc} + \frac{n_f}{R} + \frac{n_a}{R}}$$

Let  $n_o$  be the number of overhead bits in a frame

i.e.  $n_o$  = number of bits in header (Control bits, sequence no bits, addl bits etc.) + number of bits in CRC check.

Then, number of information bits delivered to destination =  $\underline{n_f - n_o}$  (Total no of bits in I-frame - overhead bits)

Thus, the effective transmission rate of the protocol in the absence of errors is,

$R_{eff} = \frac{\text{No of information bits delivered to the destination}}{\text{Total time required to deliver the information bits}}$

$$R_{eff} = \frac{n_f - n_o}{t_0}$$

Let  $\eta_0$  be the transmission efficiency of stop-and-wait ARQ. It is given by

$$\eta_0 = \frac{\text{O/P efficiency}}{\text{T/P efficiency}} = \frac{R_{\text{eff}}}{R}$$

(effective efficiency)  
(channel efficiency)

$$\therefore \eta_0 = \frac{n_f - n_0}{R}$$

$$= \frac{n_f - n_0}{2t_{\text{prop}} + 2t_{\text{proc}} + \frac{n_a}{R} + \frac{n_0}{R}}$$

; Substitute for  $t_0$

$$= \frac{1}{R} \left( \frac{n_f - n_0}{n_f + n_a + \frac{2(t_{\text{prop}} + t_{\text{proc}})R}{n_f}} \right)$$

; take LCM

$$\boxed{\eta_0 = \frac{1 - \frac{n_0}{n_f}}{1 + \frac{n_a}{n_f} + \frac{2(t_{\text{prop}} + t_{\text{proc}})R}{n_f}}}$$

; Divide  $n^2$   
+  $D^2$  by  $n_f^2$

$\frac{n_0}{n_f} \Rightarrow$  loss in transmission efficiency due to the need of headers & CRC.

$\frac{n_a}{n_f} \Rightarrow$  loss in efficiency due to the time needed to prepare ACK/NACK frame.

$2(t_{\text{prop}} + t_{\text{proc}})R$   $\Rightarrow$  Delay-Bandwidth product (<sup>reaction time</sup><sub>time</sub>)  
(Delay time  $\times$  Bit rate)  
unit is  $= \text{sec} \times \text{bits/sec} = \text{bit}$   
Thus unit is bit

## # Effect of Delay-Bandwidth Product

Calculate the transmission efficiencies of the Stop-and-wait ARQ in a system that transmits 8-frames of 1250 bytes including 25 bytes of overhead, ack frames of 25 bytes, transmission rate of 1Mbps & reaction times of 1ms, 10ms, 100ms & 1sec. Repeat the same example for transmission rate of 1Gbps. Comment on the effect of Delay-BW product as well as the effect of transmission rate on transmission efficiency.

Solution:

Given that:

$$\textcircled{i} \quad n_f = 1250 \text{ bytes} = 1250 \times 8 = 10,000 \text{ bits}$$

$$n_o = 25 \text{ bytes} = 25 \times 8 = 200 \text{ bits}$$

$$n_a = 25 \text{ bytes} = 25 \times 8 = 200 \text{ bits}$$

$$R = 1 \text{ Mbps} = 10^6 \text{ bps}$$

$$2(t_{prop} + t_{proc}) = 1 \text{ ms}, 10 \text{ ms}, 100 \text{ ms} \& 1 \text{ s}$$

$$= 10^{-3} \text{ s}, 10^{-2} \text{ s}, 10^{-1} \text{ s} \& 1 \text{ s}$$

$$\textcircled{ii} \quad R = 1 \text{ Gbps} = 10^9 \text{ bps}$$

$$\text{We have, } \eta_o = \frac{1 - \frac{n_o}{n_f}}{1 + \frac{n_a}{n_f} + 2 \frac{(t_{prop} + t_{proc})R}{n_f}}$$

$$\textcircled{i} \quad \textcircled{a} \quad n_o = \frac{1 - \frac{200}{10000}}{1 + \frac{200}{10000} + \frac{10^{-3} \times 10^6}{10000}} = \frac{0.98}{1.020102} \\ = 0.9598 \quad \cancel{9.598 \times 10^{-4}} = 0.0009779$$

$$\therefore \boxed{\eta_o = 95.98\%}$$

$$\eta_o = \frac{1 - 0.02}{1 + 0.02 + 0.01} = \frac{0.98}{1.02} = 0.95 = 0.875$$

$$\boxed{\eta_o = 87.5\% \approx 88\%}$$

$$\begin{aligned}
 \textcircled{b} \quad \eta_p &= \frac{1 - \frac{n_0}{n_f}}{1 + \frac{n_0}{n_f} + 2 \frac{(t_{prop} + t_{proc}) R}{n_f}} \\
 &= \frac{1 - 0.02}{1 + 0.02 + \frac{10 \times 10^{-3} \times 10^6}{10^4}} \\
 &= \frac{0.98}{1 + 0.02 + 1} = \frac{0.98}{2.02} \\
 n_0 &= 48.51\% \approx 49\%
 \end{aligned}$$

$$\begin{aligned}
 \textcircled{c} \quad n_0 &= \frac{1 - 0.02}{1 + 0.02 + \frac{100 \times 10^{-3} \times 10^6}{10^4}} \\
 &= \frac{0.98}{1 + 0.02 + 10} = \frac{0.98}{11.02} \\
 n_0 &= 8.89\% \approx 9\%
 \end{aligned}$$

$$\begin{aligned}
 \textcircled{d} \quad n_0 &= \frac{1 - 0.02}{1 + 0.02 + \frac{1 \times 10^6}{10^4}} \\
 &= \frac{0.98}{1 + 0.02 + 100} = \frac{0.98}{101.02} \\
 n_0 &= 0.97\% \approx 1\%
 \end{aligned}$$

Thus it is clear that as prop delay  $\uparrow$  i.e. delay BW product  $\uparrow$  the transmission efficiency decreases.

$$\begin{aligned}
 \textcircled{i} @ \eta_0 &= \frac{\frac{1-\eta_0}{\eta_f}}{1+\frac{\eta_0}{\eta_f} + \frac{2(t_{prop}+t_{proc})R}{\eta_f}} \\
 &= \frac{1-0.02}{1+0.02 + \frac{10^{-3} \times 10^9}{10000}} \\
 &= \frac{0.98}{1+0.02 + 100} = \frac{0.98}{101.02} \\
 \boxed{\eta_0 = 0.97\% \approx 1\%}
 \end{aligned}$$

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$$\begin{aligned}
 \textcircled{b} \quad \eta_0 &= \frac{1-0.02}{1+0.02 + \frac{10 \times 10^{-3} \times 10^9}{10^4}} \\
 &= \frac{0.98}{1+0.02 + 10000} = \frac{0.98}{1001.02} \\
 \boxed{\eta_0 = 0.097\% \approx 0.1\%}
 \end{aligned}$$

Thus, transmission efficiency decreases with the increase in Delay-Bandwidth product as well as with the increase in transmission rate.

$$\begin{aligned}
 \textcircled{c} \quad \eta_0 &= \frac{1-0.02}{1+0.02 + \frac{100 \times 10^{-3} \times 10^9}{10^4}} \\
 &= \frac{0.98}{1+0.02 + 10000} = \frac{0.98}{10001.02} \\
 \boxed{\eta_0 = 0.0097\% \approx 0.01\%}
 \end{aligned}$$

$$\begin{aligned}
 \textcircled{d} \quad \eta_0 &= \frac{1-0.02}{1+0.02 + \frac{1 \times 10^9}{10^4}} \\
 &= \frac{0.98}{1+0.02 + 100000} = \frac{0.98}{100001.02} \\
 \boxed{\eta_0 = 0.000979\% \approx 0.001\%}
 \end{aligned}$$

Thus, when transmission rate is increased to  $10^9$  bits/s (i.e. 1 Gbit/s) the transmission efficiency drops down to the range from 1% to 0.001% for the corresponding propagation delays of 1ms to 1s.

## Effect of transmission Errors on the efficiency of Stop-and-wait ARQ:

- If a frame incurs errors during transmission, the time-out mechanism will cause retransmission of the frame.

Each transmission or retransmission will take upto 2 seconds.

Let  $P_f$  be the probability that a frame transmission has errors & needs to be retransmitted.

Then  $(1-P_f)$  will be the probability that a frame gets thro' without any errors

Suppose that 1 in 10 frame transmissions get through without error.

Therefore,  $1 - P_f = \frac{1}{10} = 0.1$

In general,  $\frac{1}{(1-P_f)}$  frame transmissions are required for general values of  $P_f$  if frame transmissions are independent.

Let  $t_{sw}$  be the time in seconds required in Stop-and-wait ARQ to transmit ~~the frames~~.

Then  $t_{sw} = t_0 \cdot \frac{1}{1 - P_f}$

$$\eta_{sw} = \frac{R_{eff}}{R} = \frac{n_f \cdot n_o}{t_{sw}} \cdot \frac{1}{R}$$

$\& t_{sw} = t_0 \cdot \frac{1}{1 - P_f}$

Thus, the transmission efficiency of stop-and-wait ARQ in case of errors;

$$\eta_{sw} = \frac{n_f \cdot n_o}{t_{sw}} \cdot \frac{1}{R}$$

$$\therefore \eta_{SW} = \frac{\frac{n_f - n_o}{t_0}}{\frac{(1-p_f)}{R}}$$

$$= \frac{\frac{n_f - n_o}{t_0} \cdot (1-p_f)}{R}$$

$$\boxed{\eta_{SW} = \left( \frac{1 - \frac{n_o}{n_f}}{1 + \frac{n_a}{n_f} + \frac{2(t_{prop} + t_{proc})R}{n_f}} \right) (1-p_f)}$$

\*  $\eta_{SW} = \eta_0 (1-p_f)$

## # Effect of Bit Error Rate (P)

Calculate the transmission efficiencies of Stop-and-Wait ARQ in a system that transmits I-frames of 1250 bytes including overhead of 25 bytes, ACK frames of 25 bytes, transmission rate of 1 Mbps & reaction time of 1ms for channels with a bit error rate of  $10^{-6}$ ,  $10^{-5}$  and  $10^{-4}$ . Comment on the effect of bit error rate on transmission efficiencies.

### Solution:

Given that

$$n_f = 1250 \text{ bytes} = 1250 \times 8 = 10,000 \text{ bits}$$

$$n_a = 25 \text{ bytes} = 25 \times 8 = 200 \text{ bits}$$

$$n_o = 25 \text{ bytes} = 25 \times 8 = 200 \text{ bits}$$

$$R = 1 \text{ Mbps} = 10^6 \text{ bps}$$

$$2(t_{prop} + t_{proc}) = 1 \text{ ms} = 10^{-3} \text{ s}$$

$$\textcircled{A} P = 10^{-6} \quad \textcircled{B} P = 10^{-5} \quad \textcircled{C} P = 10^{-4}$$

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Let  $P$  = Bit error rate transmission of error.  
 = probability of single bit error.

Then  $(1-P)$  = probability of transmission of single bit without error.

We have  $P_f$  = probability that a frame transmission has errors  
 $\therefore 1-P_f$  = probability that a frame transmission has no errors.

Since  $n_f$  is the no. of bits in I-frame,  
 the relation between  $(1-P_f)$  &  $(1-P)$  is given by;

$$(1-P_f) = (1-P)^{n_f}$$

↓

Probability that a frame transmission is without errors =  $(\text{probability of transmission of single bit without error})^{n_f}$

no of bits in I-frame.

We also have,

$$\eta_{sw} = \eta_0 (1-P_f)$$

Now let us calculate transmission efficiencies  $\eta_{sw}$  for different bit error rates,  $P$  when

$$\begin{aligned}\eta_0 &= \frac{1 - \frac{n_f}{n_f}}{1 + \frac{n_f}{n_f} + 2 \left( t_{prop} + t_{proc} \right) R} \\ &= \frac{1 - \frac{900}{10000}}{1 + \frac{200}{10000} + \frac{10^{-3} \times 10^6}{10^4}} \\ &= \frac{1 - 0.02}{1 + 0.02 + 0.1} = \frac{0.98}{1.12} = 0.875\end{aligned}$$

$$\boxed{\eta_0 = 87.5\%}$$

$$\textcircled{a} \quad P = 10^{-6}, \eta_0 = 87.5\% = 0.875$$

$$(1-P_f) = (1-P)^{\frac{n}{t}} = (1-10^{-6})^{10000}$$

$$\therefore [1-P_f = 0.99]$$

$$\begin{aligned} \& \eta_{SW} = \eta_0 (1-P_f) \\ &= 0.875 (0.99) \\ &= 0.866 \end{aligned}$$

$$\boxed{\eta_{SW} = 86.6\%}$$

$$\textcircled{b} \quad P = 10^{-5}, \eta_0 = 87.5\% = 0.875$$

$$(1-P_f) = (1-P)^{\frac{n}{t}} = (1-10^{-5})^{10000}$$

$$\boxed{1-P_f = 0.905}$$

$$\begin{aligned} \& \eta_{SW} = \eta_0 (1-P_f) \\ &= 0.875 (0.905) \\ \therefore &= 0.7917 \end{aligned}$$

$$\boxed{\eta_{SW} = 79.2\%}$$

$$\textcircled{c} \quad P = 10^{-4}, \eta_0 = 87.5\% = 0.875$$

$$(1-P_f) = (1-P)^{\frac{n}{t}} = (1-10^{-4})^{10000}$$

$$\boxed{1-P_f = 0.3678}$$

$$\begin{aligned} \& \eta_{SW} = \eta_0 (1-P_f) \\ &= 0.875 (0.3678) \\ &= 0.3218 \end{aligned}$$

$$\boxed{\eta_{SW} = 32.2\%}$$

\* Thus, it is clear that as bit error rate increases the efficiency of stop-and-wait ARQ decreases.

## SUMMARY (Stop & Wait ARQ)

- Stop & wait ARQ transmits a single frame at a time.
- The frame types are I-frame, Control frame, ACK/NAK frames
- I-frame has header followed by information followed by CRC field.
- Control frame has header followed by CRC field
- Stop & wait ARQ is ambiguous when I-frames & ACK/NAK frames are not given sequence numbers.  
in header
- To remove this ambiguity one bit is used as sequence number from each of I-frame (Seq no Start) and ACK/NAK frame (Seq no Reset)
- When the ambiguity exists there may be duplication of I-frame or ACK/NAK frame or there may be gaps generated in the transmission.
- Basic components of ARQ are I-frames, control frames, ACK/NAK frames & timeout mechanism.
- Advantage: Stop & wait ARQ is simple.  
• Bandwidth is wasted since most of the time channel is idle.
- Disadvantage: Stop & wait ARQ is less efficient
- Stop-and-Wait ARQ is efficient when channel offers low propagation delay.
- Stop-and-Wait ARQ is less efficient when channel offers much greater propagation delay than the time to transmit a frame.

## Transmission

- Efficiency of stop-and-wait ARQ in the absence of errors is given by

$$\eta_0 = \frac{1 - \frac{n_0}{n_f}}{1 + \frac{n_0}{n_f} + \frac{2(t_{\text{prop}} + t_{\text{proc}})R}{n_f}}$$

where  $2(t_{\text{prop}} + t_{\text{proc}})$  = reaction time  
= propagation delay

$R$  = transmission rate

&  $2(t_{\text{prop}} + t_{\text{proc}}) \times R$  = delay Bandwidth product.

Thus as propagation delay increases transmission efficiency decreases ; as transmission rate increases transmission efficiency decreases & thus as Delay-Bandwidth product increases transmission efficiency decreases .

- Transmission efficiency of stop-and-wait ARQ with errors is given by

$$\eta_{sw} = \eta_0 (1 - P_f)$$

where  $P_f$  = probability that frame has transmission errors.  
 $1 - P_f$  = probability that frame has no transmission errors.

$$(1 - P_f) = (1 - P)^{n_f}$$

where  $P$  = probability that single bit is in error  
= bit error rate . single bit

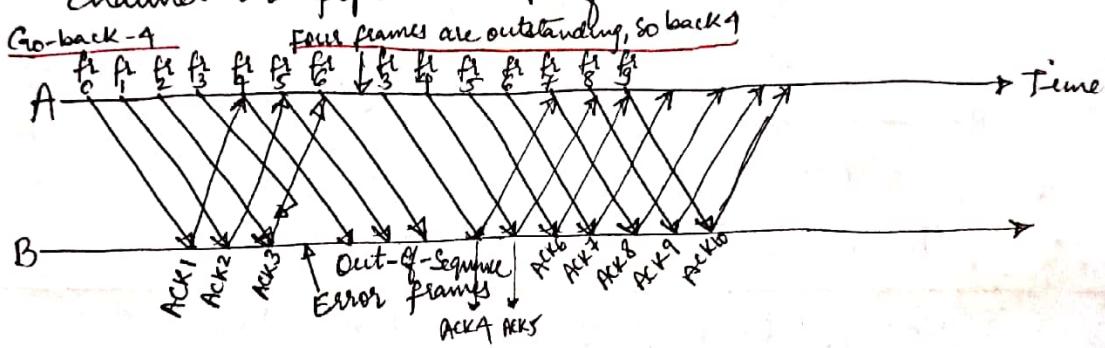
$1 - P$  = probability that transmission has no errors.

$n_f$  = no of bits in  $F$ -frame .

- As bit error rate increases the efficiency of stop-and-wait ARQ decreases .

Gro-Back-N ARQ. [ Sliding Window ARQ]

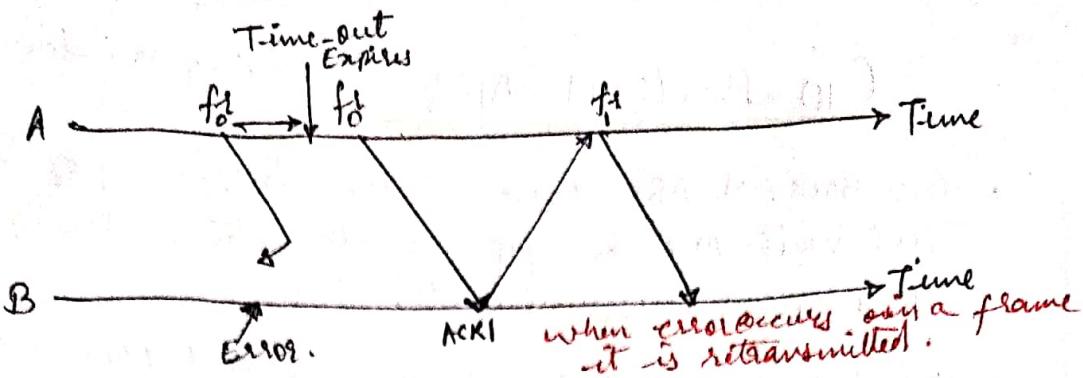
- Gro-Back-N ARQ overcomes the inefficiency of Stop-and-Wait ARQ by pipelining the frames thereby keeping the channel busy most of the time.
- Gro-Back-N ARQ sends frames numbered from 0 to  $W_s - 1$  i.e. if  $W_s = 8$  then it sends frames from 0 to 7.
- $W_s$  is the window size which is chosen larger than the delay Bandwidth product to ensure that the channel or pipe is kept full.

fig: Basic Gro-Back-N ARQ.

Refer above fig;

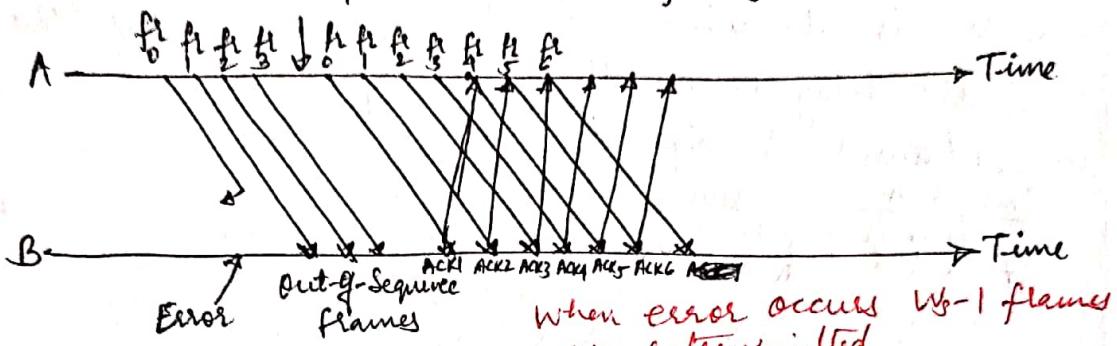
Transmitter A transmits frame 0 & continues transmission of subsequent frames till  $W_s$  i.e. transmitter A pipelines the transmission of frames. Meanwhile receiver sends ACK frames for correctly received frames. In the process receiver B does not receive frame 3 i.e. fr<sub>3</sub> is in error. But Tx A does not receive frame 3 i.e. fr<sub>3</sub> is in error. But Tx A already sends frames till fr<sub>6</sub> & does not receive ACK 4. Thus transmitter A has to Gro-Back-4 & starts retransmitting frames from fr<sub>3</sub> to  $W_s$ .

Since Gro-Back-N ARQ pipelines the frames to be transmitted the channel is busy most of the time, therefore the bandwidth is not wasted.

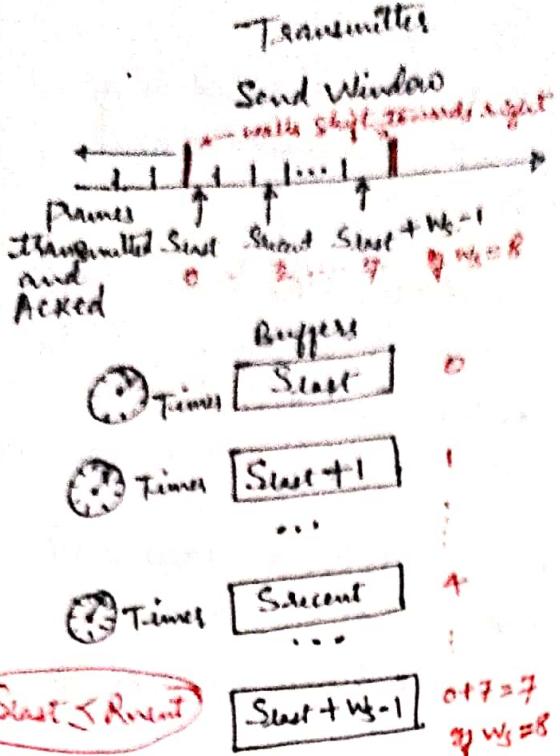


### ① Stop-and-Wait ARQ.

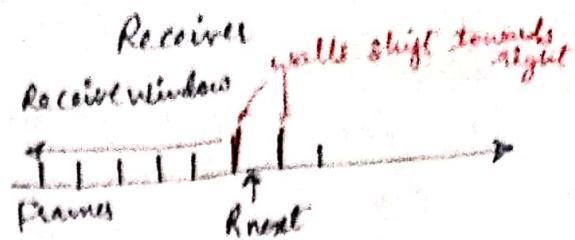
four frames are outstanding, so go back  $\frac{1}{4}$



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Send Window Size is  $W_s$ 

Received Window Size is 1



The receiver will only accept a frame that is error free and that has sequence number **Recent**.

**Recent & Sequence**

fig 3: Go-Back-N ARQ [Modified since timer is associated with each frame]

- **Transmitter:**
  - The transmitter maintains a list of the frames it is processing.
  - $Start = \text{no}$  of the last transmitted frame that is unacknowledged
  - $Recent = \text{no}$  of the most recently transmitted frames.
  - Transmitter maintains a timer for each transmitted frame. It also buffers all frames that have been transmitted but have not yet been acknowledged.
  - The transmitter has a Send Window of available sequence numbers [i.e. Send window size is  $W_s$ ]
    - i.e. Sequence no's 0 to  $W_s - 1$
  - The lower end of the window is given by  $Start$ , and the upper limit of the transmitter window is  $Start + W_s - 1$  [i.e. If  $W_s = 8$  then  $Start = 0$  &  $Start + W_s - 1 = 7$ ]
  - Whenever the transmitter receives an acknowledgement for the transmitted frame, the walls of the window shift towards right.
  - (Slides)

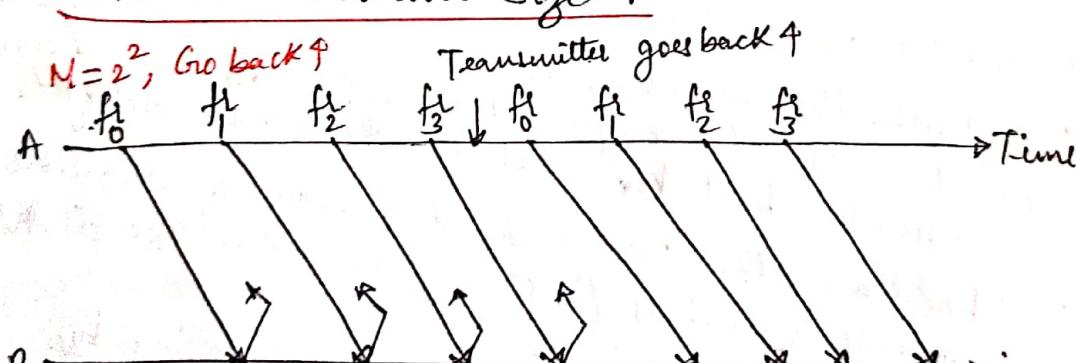
### Receive:

- The receiver maintains a receive window of size  $1$  that consists of sequence number  $R_{next}$  which it expects to receive next.
- If an arriving frame undergoes the CRC check and has the correct sequence number, that is,  $R_{next}$ , then it is accepted and  $R_{next}$  is incremented & the receive window slides forward.
- The receiver then sends an acknowledgement containing the incremented sequence number  $R_{next}$ , which implicitly acknowledges receipt of all frames prior to  $R_{next}$ .

Note:  $S_{last} \leq R_{next}$

$R_{next} \leq S_{recent}$

### Maximum Window Size :



Receiver cannot distinguish between old & new frame.

$$\boxed{\text{Window Size} = 2^m}$$

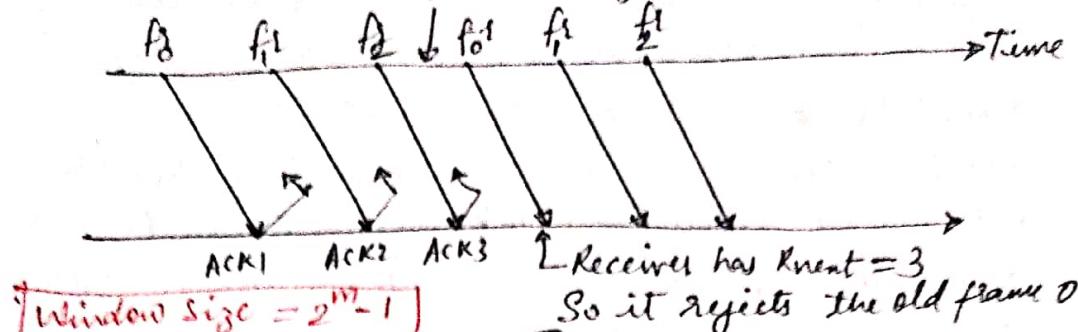
Receiver has  $R_{next} = 0$ , but it does not know whether its ACK for frame 0 was received, so it does not know whether this is the old frame 0 or a new frame.

↳ Results in duplication of frames

fig. 4 (a)

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$$M = 2^3 = 8, \text{ Go-back-3} \quad \text{Transmitter goes back 3}$$



~~No Duplication of frames~~ (b)

fig 4: The window size should be less than  $2^m$ .

- m-bits are reserved for sequence numbers within a header
- thus, No of sequence numbers =  $2^m$
- If  $m=3$  then  $2^3=8$  sequence numbers are available i.e. 0, 1, 2, 3, 4, 5, 6, 7, 0, 1, 2, 3, ... This implies that the sequence numbers wrap around when the count reaches  $2^m$ .
- Since the sequence numbers wrap around the receiver must be able to unambiguously determine which frame has been received.
- \* The receiver can determine the correct frame if the window size is less than  $2^m$ . [i.e.  $W_S < 2^m$ ]
- Fig 4 above shows the ambiguities that arise when the window size is not less than  $2^m$ .

In fig 4@ the example uses  $2^m = 2^2 = 4$  sequence numbers i.e. 0, 1, 2, 3. The transmitter transmits four frames  $f_0, f_1, f_2, f_3$ . The receiver receives the frames and sends the acknowledgements. But they are obliterated (lost) in the medium (channel). So the transmitter sends again all frames. This time receiver has updated the ack no to Rnext to 0. Thus it receives again same  $f_0$  & cannot distinguish between old and new  $f_0$ . Thus there is duplication of frames.

In fig ⑦ ⑧ the example uses  $2^m - 2^2 = 4$  sequence numbers i.e. 0, 1, 2, 3 and window size  $W_s = 3$ . The transmitter sends three frames  $f_{10}$ ,  $f_{11}$ ,  $f_{12}$ . Receiver receives the frames and sends acknowledgements. But they are obliterated (lost) in reverse channel. Thus transmitter goes back 3 and resends the three frames. This time receiver updates ACK NO to  $R_{next} = 3$ . Thus when it receives  $f_{10}$  again it can clearly distinguish between old & new  $f_{10}$  are same & discards the old frame. Thus there is no duplication of data when window size is  $W_s = 2^m - 1$

### Stop-and-Wait ARQ | Go-Back-N ARQ

- |   |   |
|---|---|
| <ul style="list-style-type: none"> <li>Transmits one frame at a time</li> <li>If a frame is in error then it is retransmitted</li> <li>Sequence number is one bit both for I-frame &amp; ack frame.</li> <li>No of Sequence numbers = <math>2^1 = 2</math> (i.e. 0, 1)</li> </ul> | <ul style="list-style-type: none"> <li>Transmits number of frames equal to the window size <math>W_s</math></li> <li>If a frame is in error then all frames are retransmitted</li> <li>Sequence numbers are <del>one</del> <math>m</math> bits for I-frame &amp; <del>one</del> <math>m</math> bit for ack frame.</li> <li>No of Sequence numbers = <math>2^m</math><br/>If <math>m=3</math> then No of Sequence numbers = <math>2^3 = 8</math><br/>i.e. 0, 1, 2, 3; 4, 5, 6, 7.</li> </ul> |
|---|---|

## Bidirectional Links [Modified Go Back-N]

- Here information flow is bidirectional.
- Both Tx & Rx can transmit as well as receive the frame.
- The acknowledgements are piggybacked in the headers of the F-frames.
- Piggybacking increases efficiency of bandwidth.

### Usage:

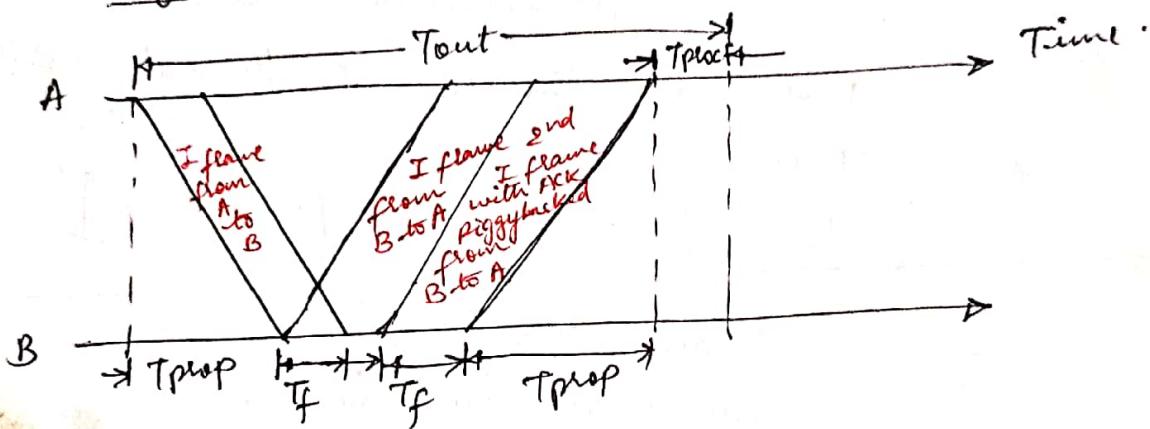


fig 5: Calculation of Timeout Values.

- Here Timeout value,  $T_{out}$  is greater than the Time to transmit & receive an acknowledgement (similar as in Stop-and-Wait ARQ)
- Since links are bidirectional, here both A & B can transmit as well as receive the F-frames.
- A sends F-frame to B which takes propagation time  $T_{prop}$ .
- B begins its F-frame transmission before receiving the F-frame from A. Thus  $T_f$ , time is required to transmit F-frames from A to B & B to A.
- B transmits 2<sup>nd</sup> F-frame by piggybacking the ACK for received F-frame in the header of 2<sup>nd</sup> F-frame.
- 2<sup>nd</sup> F-frame from B to A takes another  $T_{prop}$  time.
- Thus the total round trip propagation is  $2T_{prop}$ .

Thus the time-out period is

$$T_{out} = 2T_{prop} + 2T_f + T_{proc}.$$

## Performance Issues

Let  $P_f$  = Probability that frames are transmitted with errors.

Then  $1-P_f$  = Probability that frames are transmitted without errors.

If 1 in 10 frames get through without error then

$$1-P_f = \frac{1}{10} = 0.1 \Rightarrow P_f = 0.9$$

If  $R$  = Channel transmission rate

$n_f$  = no of bits in 1-frame.

then first transmission takes,

$$t_f = \frac{n_f}{R} \text{ seconds.}$$

With probability  $P_f(0.9)$  the first frame is in error, and so additional retransmissions are required.

Each time a transmission is required, Go-Back-N transmits  $W_s$  frames, each of duration  $t_f$ , and the average no of retransmissions is  $\frac{1}{(1-P_f)}$ , i.e., 10 retransmissions.

Therefore the total average time required to transmit a frame in Go-Back-N is 15 frames each requiring  $t_f$  seconds.

$$t_{GBN} = t_f + P_f \frac{W_s t_f}{1-P_f}$$

$\uparrow$   
probability  
that first  
frame is  
in error.

$\rightarrow$   $t_f$  is no of transmissions.  
 $\rightarrow$  Considering retransmission in case of errors.

Put  $P_f = 0.1$ ,  $1-P_f = 0.9$ ,  $\frac{1}{1-P_f} = 10$

$$\therefore t_{GBN} = t_f + \frac{0.9}{0.1} \cdot W_s t_f$$

$$\therefore t_{GBN} = t_f + 9 W_s t_f$$

Let  $n_o$  = no of overhead bits in I-frame.

Let  $R^o$  = effective channel transmission rate

then  $R_{eff} = \frac{n_f - n_o}{t_{GBN}}$

Thus, efficiency of Go-Back-N is given

by:

$$\eta_{GBN} = \frac{R^o}{R} = \frac{\frac{n_f - n_o}{t_{GBN}}}{R}$$

$$\therefore \eta_{GBN} = \frac{\frac{n_f - n_o}{t_f + 9 W_s t_f}}{R} = \frac{\frac{n_f - n_o}{1 + 9 W_s}}{R}$$

$$= \frac{n_f}{1 + 9 W_s}$$

$$\eta_{GBN} = \frac{\eta_f - n_0}{t_{GBN}} R$$

put  $t_{GBN} = t_f + P_f \frac{W_s t_f}{1-P_f}$

$$\eta_f - n_0 \\ \underline{t_f + P_f \cdot \frac{W_s t_f}{1-P_f}} \quad \therefore R = \frac{\eta_f}{t_f}$$

$$= \frac{\eta_f - n_0}{\eta_f \left( 1 + P_f \frac{W_s}{1-P_f} \right)} \\ = \frac{1 - \frac{n_0}{\eta_f}}{(1-P_f) + P_f W_s}$$

$$\boxed{\eta_{GBN} = \frac{1 - \frac{n_0}{\eta_f}}{1 + (W_s - 1) P_f} (1-P_f)}$$

## Effect of Delay Bandwidth Product

Calculate the transmission Efficiencies of Go-Back-N ARQ in a system that transmits I-frames of 1250 bytes including 25 bytes of overhead, ACK frames of 25 bytes, reaction time of 100ms, transmission rate of 1Mbps & bit error rates of  $10^{-6}$ ,  $10^{-5}$ , and  $10^{-4}$ . Comment on the Effect of delay Bandwidth product and Bit error rate on the transmission efficiencies. Also compare the efficiencies with those of stop-and-wait ARQ.

Solution:

Given that

$$\begin{aligned} \eta_f &= 1250 \text{ bytes} \\ &= 1250 \times 8 \text{ bits} \\ &= 10000 \text{ bits} \end{aligned} \quad \begin{array}{l} (\text{i}) P = 10^{-6} \\ (\text{ii}) P = 10^{-5} \\ (\text{iii}) P = 10^{-4} \end{array}$$

$$\begin{aligned} m &= 2.5 \text{ bytes} \\ &= 2.5 \times 8 \text{ bits} \\ &= 200 \text{ bits} \\ n_0 &= 2.5 \text{ bytes} \\ &= 200 \text{ bits} \end{aligned}$$

$$2(t_{\text{prop}} + t_{\text{proc}}) = 100 \text{ ms}$$

$$= 0.1 \text{ s}$$

$$R = 1 \text{ Mbps} = 10^6 \text{ bps.}$$

(i) G.B-N ARQ:

$$\begin{aligned} \text{delay-BW product} &= 0.1 \times 10^6 \\ &= 10^5 \text{ bits} \\ &= 100,000 \text{ bits} \end{aligned}$$

$$1 \text{ frame} = 10000 \text{ bits}$$

$\therefore$  No of frames accommodated in delay-BW product of 100000 bits

$$n_f = \frac{100000}{10000} = 10 \text{ frames}$$

for G.B-N ARQ

frame size  $W_s$  must be greater than the delay-BW product.

$$\therefore W_s = 11 \text{ frames}$$

We have,

$$\eta_{\text{GBN}} = \frac{1 - \frac{n_0}{\eta_f}}{1 + (W_s - 1)P_f} (1 - P_f)$$

$$(\text{i}) P = 10^{-6}$$

$$(1 - P_f) = (1 - P)^{\eta_f} = (1 - 10^{-6})^{10000}$$

$$1 - P_f = 0.99 \Rightarrow P_f = 0.01$$

$$\begin{aligned} \therefore \eta_{\text{GBN}} &= \frac{1 - \frac{200}{10000}}{1 + (11 - 1)0.01} (0.99) \\ &= \frac{(0.98)(0.99)}{1.1} \end{aligned}$$

$$\boxed{\eta_{\text{GBN}} = 88.2 \%}$$

$$\begin{aligned} (\text{ii}) P &= 10^{-5} \\ (1 - P_f) &= (1 - P)^{\eta_f} = (1 - 10^{-5})^{10000} \\ 1 - P_f &= 0.905 \Rightarrow P_f = 0.095 \end{aligned}$$

$$\therefore \eta_{\text{GBN}} = \frac{1 - 0.02}{1 + (11 - 1)0.095} (0.905)$$

$$\boxed{\eta_{\text{GBN}} = 45.5 \%}$$

$$(\text{iii}) P = 10^{-4}$$

$$\begin{aligned} (1 - P_f) &= (1 - P)^{\eta_f} = (1 - 10^{-4})^{10000} = 0.3678 \\ 1 - P_f &\Rightarrow P_f = 0.63 \end{aligned}$$

$$\therefore \eta_{\text{GBN}} = \frac{1 - 0.02}{1 + (11 - 1)0.63} (0.3678)$$

$$\boxed{\eta_{\text{GBN}} = 4.9 \%}$$

Thus, it is clear that in G.B-N ARQ as delay-BW product & Bit error rate increases the transmission efficiency decreases.

(ii) Stop & Wait ARQ

We have,

$$\eta_{\text{SW}} = \eta_0 (1 - P_f)$$

$$\text{&} \eta_0 = \frac{1 - \frac{n_0}{\eta_f}}{1 + \frac{n_0}{\eta_f} + 2(t_{\text{prop}} + t_{\text{proc}})R}$$

$$(\text{i}) \eta_0 = \frac{1 - 0.02}{1 + 0.02 + 10^5/10^6} = \frac{0.98}{11.02} = 0.0889$$

$$\text{&} 1 - P_f = 0.99$$

$$\therefore \boxed{\eta_{\text{SW}} = 8.8 \%}$$

$$(\text{ii}) \eta_0 = \frac{1 - 0.02}{1 + 0.02 + 1} = \frac{0.98}{11.02} = 0.0889$$

$$\text{&} 1 - P_f = 0.905$$

$$\therefore \boxed{\eta_{\text{SW}} = 0.0889 \times 0.905 = 8 \%}$$

$$(\text{iii}) \eta_0 = 0.0889 \text{ &} 1 - P_f = 0.3678$$

$$\therefore \boxed{\eta_{\text{SW}} = 3.3 \%}$$

Thus, it is also clear that there is substantial improvement in transmission efficiencies of G.B-N ARQ relative to Stop & Wait ARQ for the first two bit error rates. For the third bit error rate there is marginal improvement.

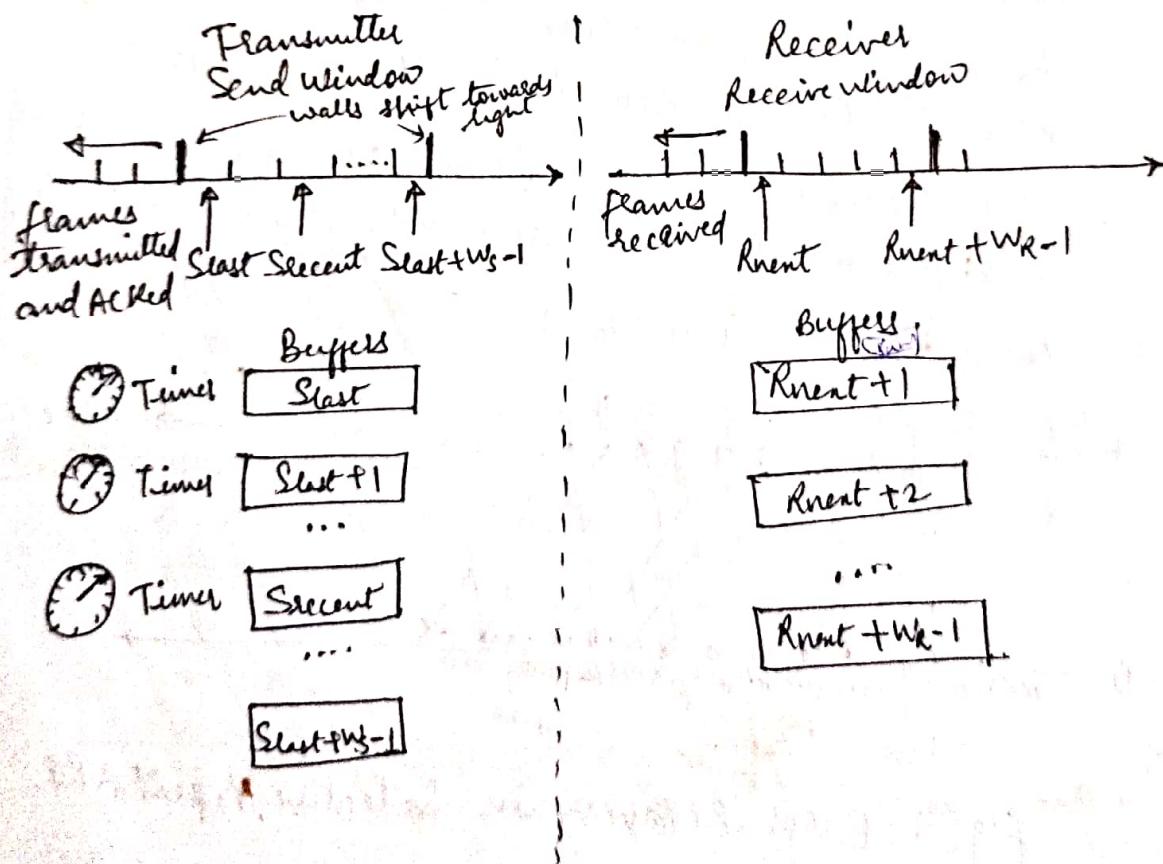
## Selective Repeat ARQ

Disadvantage of Go-Back-N ARQ:

- In channels that have high error rates, the Go-Back-N ARQ protocol is inefficient because of the need to retransmit the frame in error and all the subsequent frames.

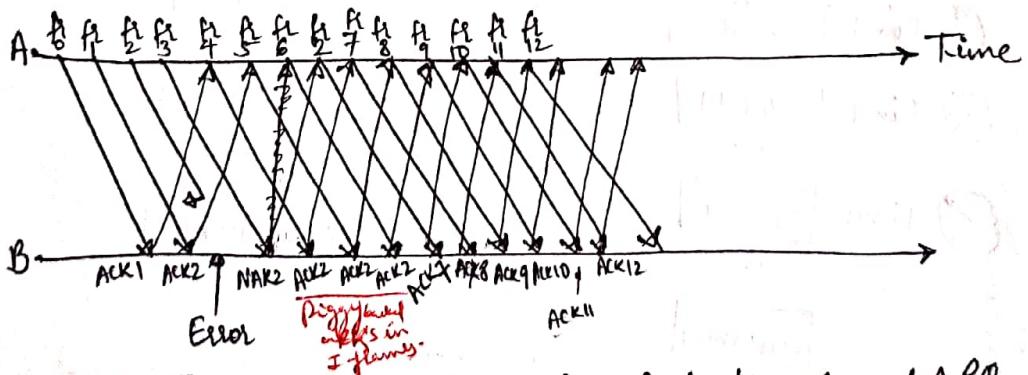
Selective Repeat ARQ is made of more efficient than Go-Back-N ARQ by adding two features:

- 1) The receive window is made larger than one frame so that receiver can accept frames that are out of order & error free.
- 2) The retransmission mechanism is modified so that only individual frames are retransmitted.



fig①: Selective repeat ARQ.

- In selective repeat ARQ, the transmitter window size is  $W_s$ .
- The frames are transmitted with sequence numbers from 0 to  $W_s - 1$ .
- whenever the frames are transmitted & acknowledged the walls of the window shift towards right.
- The Send Window advances the sequence numbers of the transmitted frames from  $S_{start}$  to  $S_{start} + W_s - 1$ .
- The receive window size is  $W_R$ .
- the error free frames are received & buffered with sequence numbers from ~~R<sub>start</sub>~~ 0 to  $W_R - 1$ .
- whenever the frames are received the walls of the window shift towards right.
- The receive window advances the ack frames sequence numbers from  $R_{start}$  to  $R_{start} + W_R - 1$ .



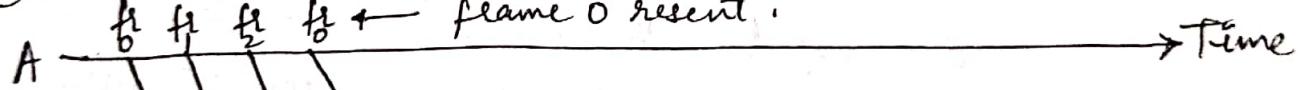
fig(2): Error recovery in Selective Repeat ARQ.

In Selective Repeat ARQ, whenever a frame is in error & the timer expires even only the frame which is in error is retransmitted. whenever an out-of-sequence frame arrives at the receiver, a NAK frame is sent with sequence number  $R_{sent}$ . when the transmitter receives such a NAK frame, it retransmits the specific frame, namely,  $R_{sent}$ . the piggybacked acknowledgement in the information frames continues to carry  $R_{sent}$ .

As in fig, when the frame with sequence number 2 finally arrives correctly at the receiver, frames 3, 4, 5 & 6 have already been received correctly. Consequently, the receipt of frame 2 results in sliding of the window forward by five frames.

### Maximum Window Size

①  $m=2^2=4$ , Selective Repeat: Send Window = Receive Window =  $3 = 2^m-1$ ;  $m=2$



② Send window = receive window =  $2 = 2^{m-1}$ ;  $m=2$

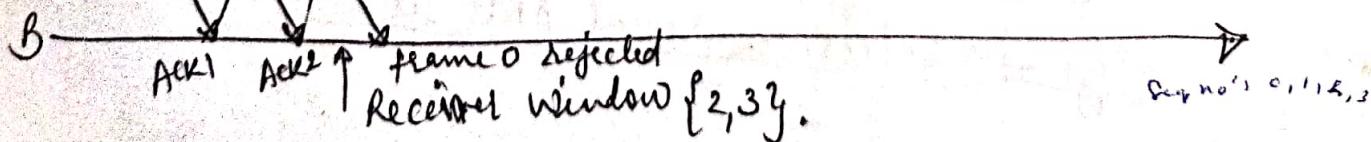
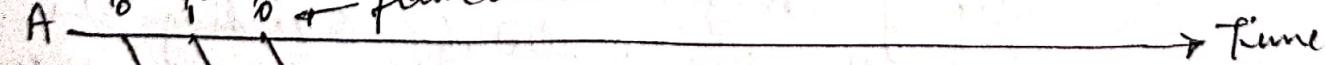
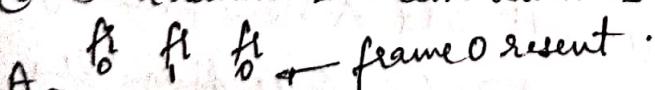
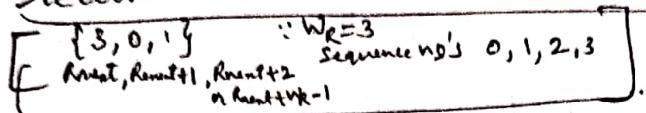


fig ③: Maximum Window Size in Selective Repeat ARQ.

In Fig ③(a) illustrates that there are 4 sequence numbers since  $m=2$  bits are reserved in header for sequence numbering ( $2^m = 2^2 = 4$ ). Hence the send window size is  $2^m - 1 = 3$ . Here receive window size is also 3. Station A transmits three frames  $f_{10}, f_{11} \& f_{12}$ . Station B receives all the three frames correctly. Unfortunately all the three acknowledgements are lost. Thus station A resends. After sending the ACK's the receive window is advanced to  $\{3, 0, 1\}$ .



Since station A does not receive any acknowledgement it retransmits  $f_{10}$  & thus there is duplication of  $f_{10}$ . On receiving  $f_{10}$ , B cannot distinguish between old frame 0 & new frame 0. Thus send & receive window size of  $2^m - 1$  is too large.

In Fig ③(b) illustrates that for  $m=2$ ; sequence no's =  $2^m = 2^2 = 4$  (i.e. 0, 1, 2, 3). The send window & receive window size is  $2^{m-1} = 2^{2-1} = 2 = 2$ .

Station A sends  $f_{10} \& f_{11}$ . B receives them correctly & sends ACK1 & ACK2. After sending ACKs receive window is advanced. Unfortunately the ACK's are lost. So A resends  $f_{10}$  {2, 3}.

But B expects to receive  $f_{12}$ . So it

can clearly distinguish bet<sup>n</sup> old & new frame 0 & discards the new  $f_{10}$  thereby avoiding the duplication of data. Thus the maximum window size in Selective repeat ARQ is  $2^{m-1}$ .

## Performance Issues :

- Selective repeat ARQ is the most efficient of the ARQ protocols as it uses the minimum number of retransmissions.

Let  $P_f$  = probability of transmission with error.  
then  $1-P_f$  = probability of transmission without error.

Avg No of retransmissions required =  $\frac{1}{1-P_f}$ .

If  $t_f$  is the time in seconds to transmit the frame,

Then  $\frac{t_f}{n_f}$  time to transmit the frame in selective repeat ARQ is

$$t_{SR} = \frac{t_f}{1-P_f}$$

Now let  $n_f$  = no of bits in I-frame

$n_o$  = no of overhead bits due to header & CRC.

$$\text{then } R_{eff} = \frac{n_f - n_o}{t_{SR}} = \frac{n_f - n_o}{\frac{t_f}{1-P_f}}$$

Let  $R$  be the channel transmission rate  $f R = \frac{n_f}{t_f}$

∴ Efficiency of Selective repeat ARQ is

$$\eta_{SR} = \frac{R_{eff}}{R} = \frac{\frac{n_f - n_o}{\frac{t_f}{1-P_f}}}{\frac{n_f}{t_f}}$$

$$\eta_{SR} = \frac{n_f - n_o}{n_f} \cdot (1-P_f)$$

$$\eta_{SR} = \left(1 - \frac{n_o}{n_f}\right) (1-P_f)$$

If  $P_f = 0$  i.e. probability of transmission with error is zero

$$\text{then } \eta_{SR} = 1 - \frac{n_o}{n_f}$$

# Effect of Bit error Rate and Delay Bandwidth Product.

- Calculate the transmission efficiencies of Selective repeat ARQ in a system that transmits I-frames of 1250 bytes including overhead of 25 bytes, ACK frames of 25 bytes, channel transmission rate of 1Mbps with reaction time of 100ms for the bit error rates of  $10^{-6}$ ,  $10^{-5}$  and  $10^{-4}$ . Comment on the effect of bit error rate & delay BW product on the efficiency of Selective repeat ARQ. Also compare the efficiencies with those of Go-Back-N ARQ & Stop-and-wait ARQ.

[Ans (i)  $\eta_{SR} = 97\%$ , (ii)  $\eta_{SR} = 89\%$ , and (iii)  $\eta_{SR} = 36\%$ .]

## Solution:

Given that

$$\eta_f = 1250 \text{ bytes} \\ = 10000 \text{ bits}$$

$$n_o = 25 \text{ bytes} \\ = 200 \text{ bits}$$

$$n_a = 25 \text{ bytes} \\ = 200 \text{ bits}$$

$$(i) p = 10^{-6} \quad (ii) p = 10^{-5} \quad (iii) p = 10^{-4}$$

$$(i) (1-p_f) = (1-p)^{n_f} \\ = (1-10^{-6})^{10000} \\ = 0.99$$

$$\eta_{SR} = \left(1 - \frac{n_o}{n_f}\right)(1-p_f) \\ = \left(1 - \frac{200}{10000}\right)(0.99) \\ = (0.98)(0.99)$$

$$\boxed{\eta_{SR} = 97.02\%}$$

$$(ii) (1-p_f) = (1-p)^{n_f} \\ = (1-10^{-5})^{10000} \\ = 0.905$$

$$\eta_{SR} = (0.98)(0.905) \\ \boxed{\eta_{SR} = 88.67\%}$$

$$(iii) \eta_{SR} = (0.98)(1-10^{-4})^{10000} \\ = (0.98)(0.3678)$$

$$\boxed{\eta_{SR} = 36.05\%}$$

Thus, as bit error rate increases efficiency of Selective repeat ARQ decreases.

## Comparison of Efficiencies:

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ARQ	$P = 10^{-6}$	$P = 10^{-5}$	$P = 10^{-4}$
Stop-and-wait ARQ	8.8%	8%	3.3%
Go-Back-N ARQ	88.2%	95.5%	4.9%
Selective Repeat ARQ	97.02%	88.67%	36.05%

	Stop-and-wait ARQ	Go-Back-N ARQ	Selective Repeat ARQ
Transmission Efficiency	$\eta_{SW} = \eta_0 \cdot (1 - P_f)$ $= \frac{(1 - \eta_0)}{\eta_f} \cdot (1 - P_f)$ $1 + \frac{m}{\eta_f} + 2 \frac{(t_{pup} + t_{pac})R}{\eta_f}$	$\eta_{GBN} = \frac{1 - \frac{\eta_0}{\eta_f}}{1 + (W_s - 1)P_f} (1 - P_f)$	$\eta_{SR} = (1 - \frac{\eta_0}{\eta_f})(1 - P_f)$
Neglecting header & CRC overhead	Neglecting header & CRC overhead	Neglecting header & CRC overhead	
$\eta_{SW} = \frac{(1 - P_f)}{1 + 2 \frac{(t_{pup} + t_{pac})R}{\eta_f}}$  Let $L = 2 \frac{(t_{pup} + t_{pac})R}{\eta_f}$ = size of the pipe = multiply of frames = delay BW product	$\eta_{GBN} = \frac{(1 - P_f)}{1 + (W_s - 1)P_f}$	$\eta_{SR} = (1 - P_f)$	
then,	$\eta_{GBN} = \frac{1 - P_f}{1 + (L + 1 - 1)P_f}$ $\eta_{GBN} = \frac{1 - P_f}{1 + L P_f}$	$\eta_{SR} = 1 - P_f$	

- Comments:
- 1) Go-Back-N ARQ is worse than Selective repeat ARQ by a factor of  $(1 + LP_f)$  & Stop-and-wait is worse than Selective repeat ARQ by a factor of  $(1 + L)$
  - 2) If  $LP_f \ll 1$  then  $\eta_{GBN} = \eta_{SR}$
  - 3) If  $P_f$  approaches 1 then  $LP_f$  becomes  $L$  &  $\eta_{GBN} = \eta_{SW}$

## ARQ Efficiency Comparison

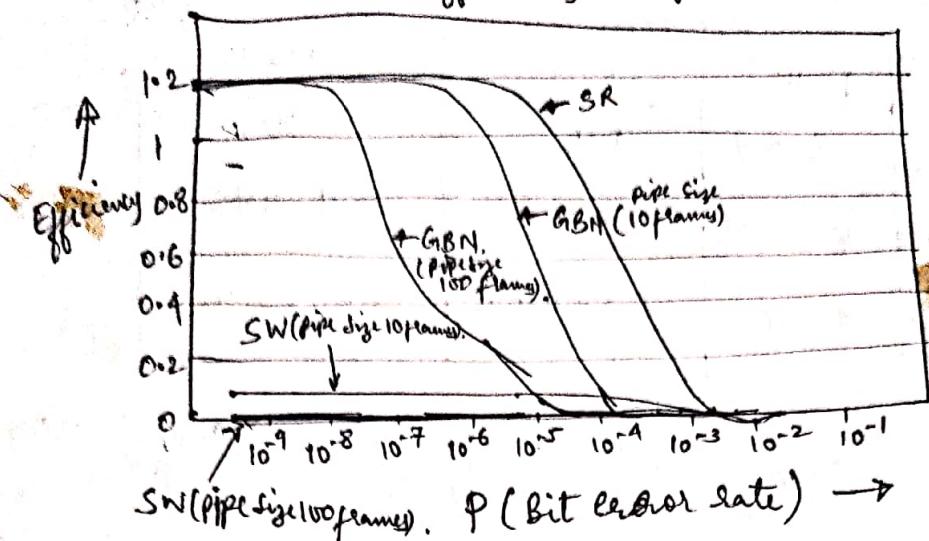


fig: Efficiency Performance of ARQ protocols as a function of bit error rate for a frame size of 1250 bytes and for channels with delay-BW product of 10 & 100 frames.

# Comparison of Stop-and-Wait, Go-Back-N and Selective repeat ARQ's.

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<u>Stop-and-Wait ARQ</u>	<u>Go-Back-N ARQ</u>	<u>Selective Repeat ARQ.</u>
1) only one frame is transmitted at a time.	Number of frames transmitted depend on the window size.	Number of frames transmitted depend on the window size.
2) one bit is reserved in the header of I-frame <sup>for frame</sup> <del>for sequence</del> for sequence numbering.	m-bits are reserved in the header of I-frame <sup>for frame</sup> <del>for sequence</del> for sequence numbering.	m-bits are reserved in the header of I-frame <sup>for frame</sup> for sequence numbering.
3) Not an example of Sliding Window protocol.	<ul style="list-style-type: none"> <li>Example of Sliding Window protocol.</li> <li>Maximum Window size is <math>W_S = 2^m - 1</math></li> <li>Transmitter Window size is <math>W_S</math> and receiver Window size is only one frame.</li> </ul>	<ul style="list-style-type: none"> <li>Example of Sliding Window protocol.</li> <li>Maximum Window size is <math>W_S = 2^{m-1}</math></li> <li>Transmitter Window size is <math>W_S</math> and receiver Window size is <math>W_R</math> &amp; they are equal.  <math display="block">W_S = W_R</math> </li> </ul>
4) whenever a frame is in error it is retransmitted.	if whenever a frame is in error, that frame & all subsequent frames are retransmitted	if whenever a frame is in error only that frame is retransmitted.
	$W_S > \text{Delay BW product}$	$W_S > \text{Delay BW product}$