Chapter 7 - Tree

- ➤ Basic tree concepts
- ➤ Binary trees
- ➤ Binary Search Tree (BST)

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Basic Tree Concepts

A tree consists of:

- nodes: finite set of elements
- branches: directed lines connecting the nodes

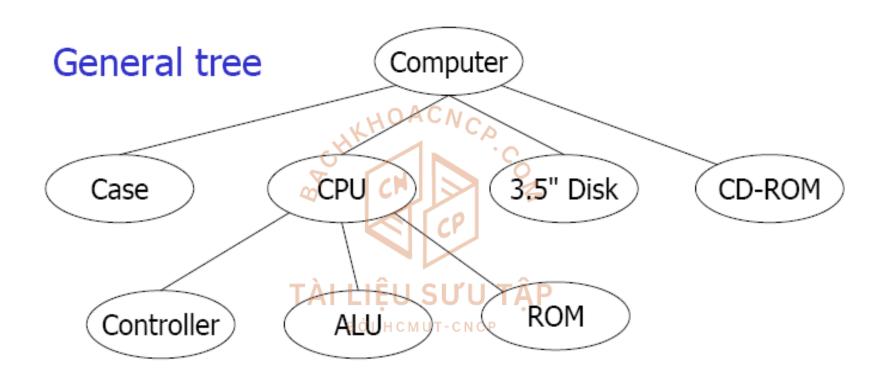
For a node:

- degree: number of branches associated with the node
- indegree: number of branches towards the node
- outdegree: number of branches away from the node

For a tree:

- root: node with indegree 0
- nodes different from the root must have indegree 1

Tree Representation



Terminology

- Leaf: node with outdegree 0
- Internal node: not a root or a leaf
- Parent: node with outdegree greater than 0
- Child: node with indegree greater than 0
- Siblings: nodes with the same parent
- Path: sequence of adjacent nodes

Terminology

- Ancestor: node in the path from the root to the node
- Descendent: node in a path from the node to a leaf
- Level: the node's distance from the root (at level 0)
- Height (Depth): the level of the leaf in the longest path from the root plus 1_{SUTUTÂP}
- Sub-tree: connected structure below the root

Tree Representation

Indented list

```
Case
CPU

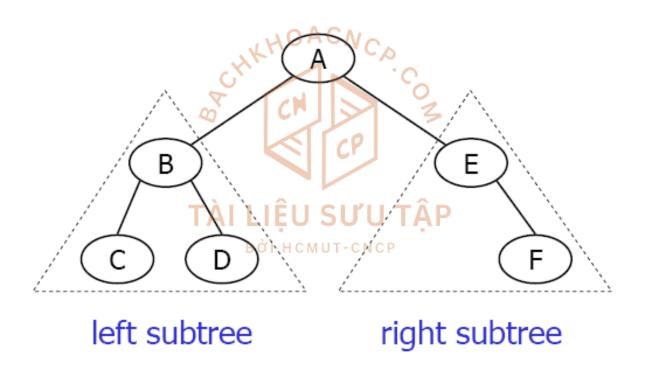
Controller
ALU
ROM
...
3.5" Disk
CD-ROM
```

Parenthetical listing

Computer (Case CPU (Controller ALU ROM ...) 3.5" Disk CD-ROM)

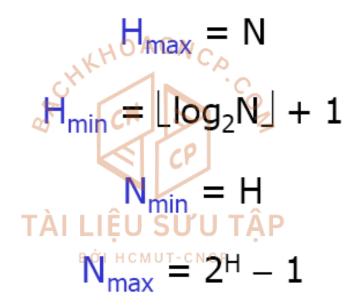
Binary Trees

A node cannot have more than two sub-trees:



Binary Tree Properties

Height of binary trees:



Binary Tree Properties

Balance:

```
- Balance factor: B = H_L - H_R
```

- Balanced tree: balance factor is 0, -1, or 1

sub-trees are balanced



Binary Tree Properties

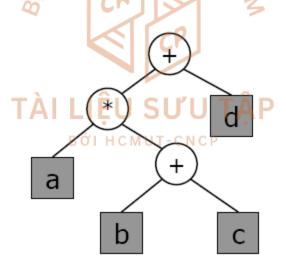
Completeness:



- Nearly complete tree: SUU TÂP $H = H_{min} = \lfloor log_2 N \rfloor^{B) + 1}$ nodes in the last level are on the left

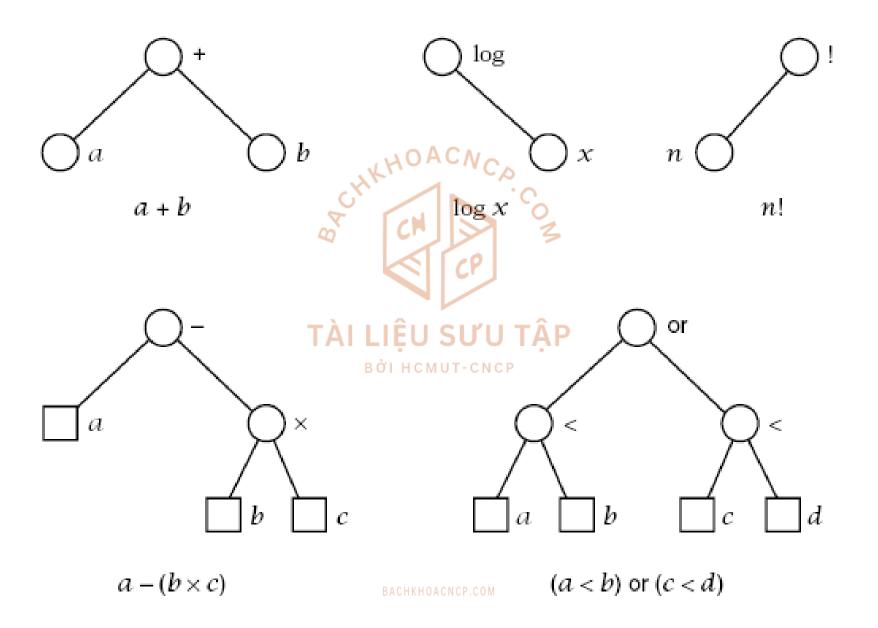
Expression Trees

- Each leaf is an operand
- The root and internal nodes are operators
- Sub-trees are sub-expressions



$$a * (b + c) + d$$

Expression Trees



Binary Tree ADT

DEFINITION: A binary tree ADT is either empty, or it consists of a node called root together with two binary trees called the left and the right subtree of the root.

Basic operations:

- Construct a tree, leaving it empty.
- Insert an element. TÀI LIỆU SƯU TẬP
 - BOI HCMUT-CN
- Remove an element.
- Search an element.
- Retrieve an element.
- Traverse the tree, performing a given operation on each element.

Binary Tree ADT

Extended operations:

- Determine whether the tree is empty or not.
- Find the size of the tree.
- Clear the tree to make it empty.



Specifications for Binary Tree

```
<void> Create()
<boolean> isFull()
<boolean> isEmpty()
<integer> Size()
<void> Clear()
<ErrorCode> Search (ref DataOut < DataType>)
<ErrorCode> Insert (val DataIn <DataType>)
<ErrorCode> Remove (val key <KeyType>)
<ErrorCode> Retrieve (ref DataOut <DataType>)
```

Depend on various types of binary trees (BST, AVL, 2d-tree)

Specifications for Binary Tree

 Binary Tree Traversal: Each node is processed once and only once in a predetermined sequence.

```
• Depth-First Traverse:

<void> preOrderTraverse (ref<void>Operation(ref Data <DataType>))

<void> inOrderTraverse (ref<void>Operation(ref Data <DataType>))

<void> postOrderTraverse (ref<void>Operation(ref Data <DataType>))
```

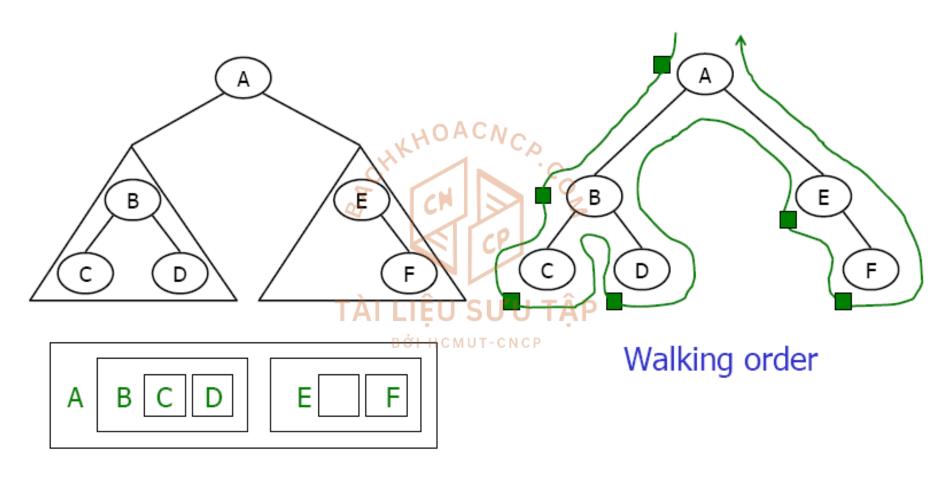
Breadth-First Traverse:

<void> BreadthFirstTraverse (ref<void>Operation(ref Data <DataType>))

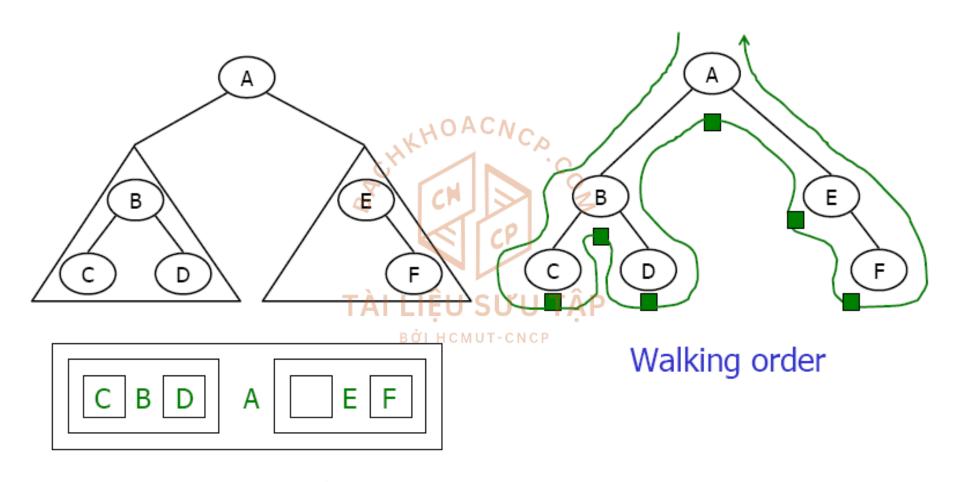
Depth-First Traversal



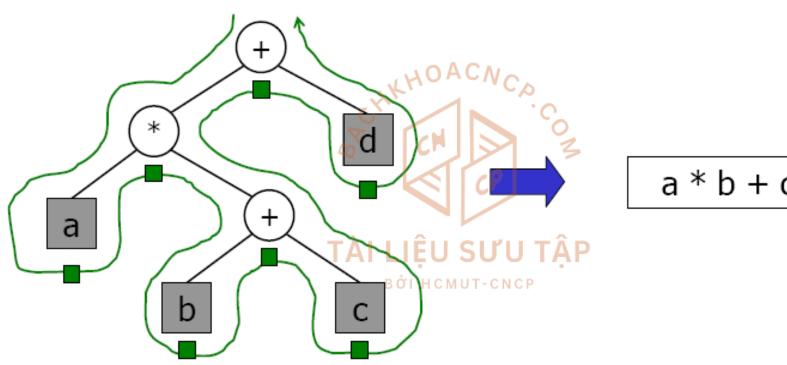
PreOrder Traversal



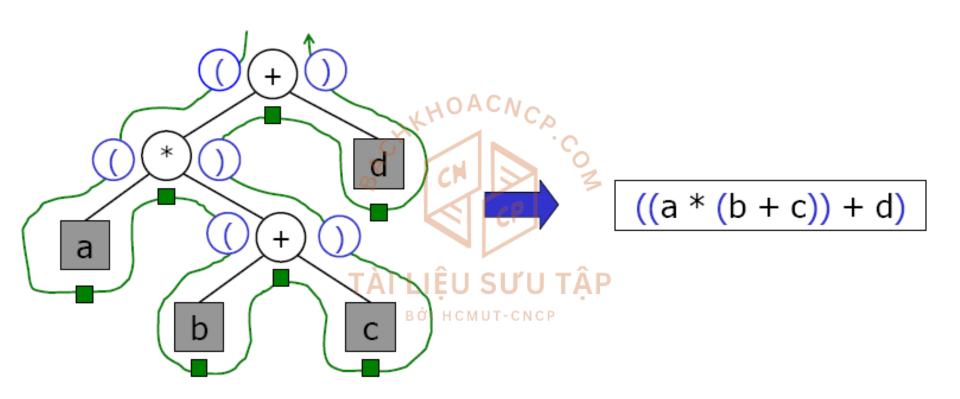
Processing order



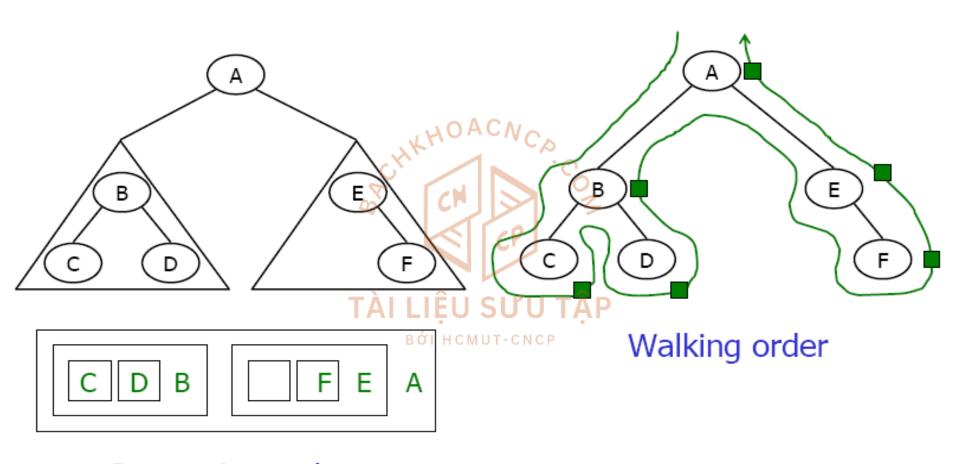
Processing order



a*b+c+d

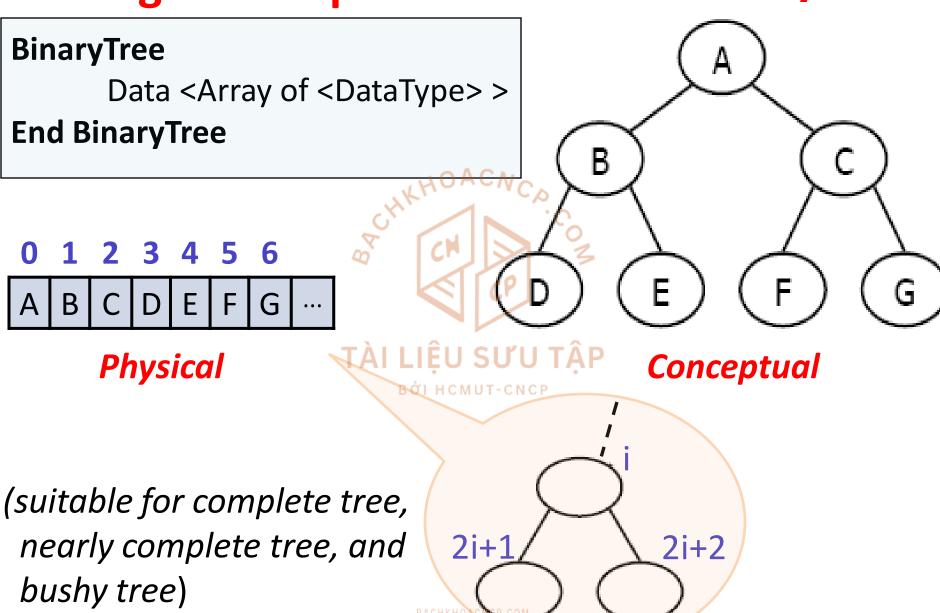


PostOrder Traversal

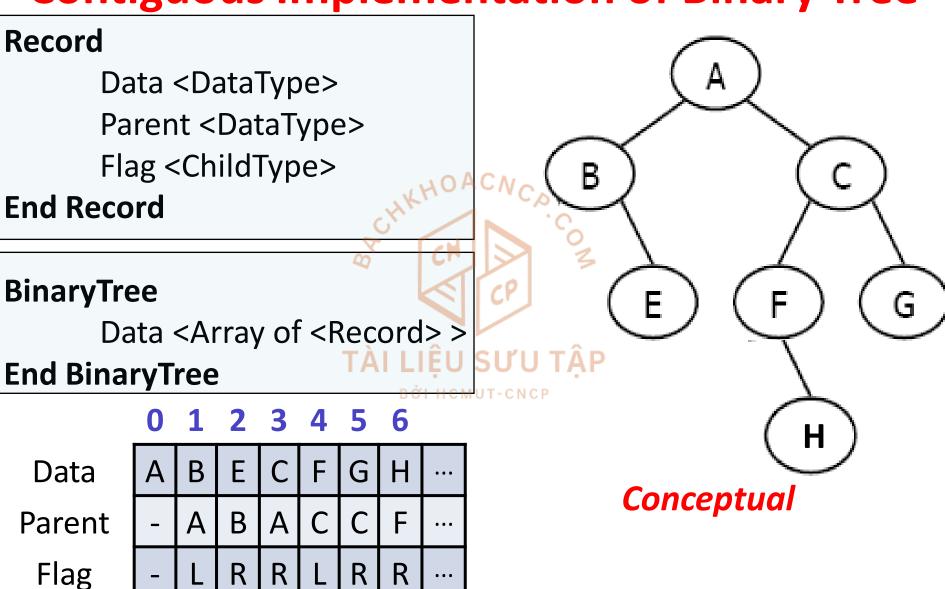


Processing order

Contiguous Implementation of Binary Tree

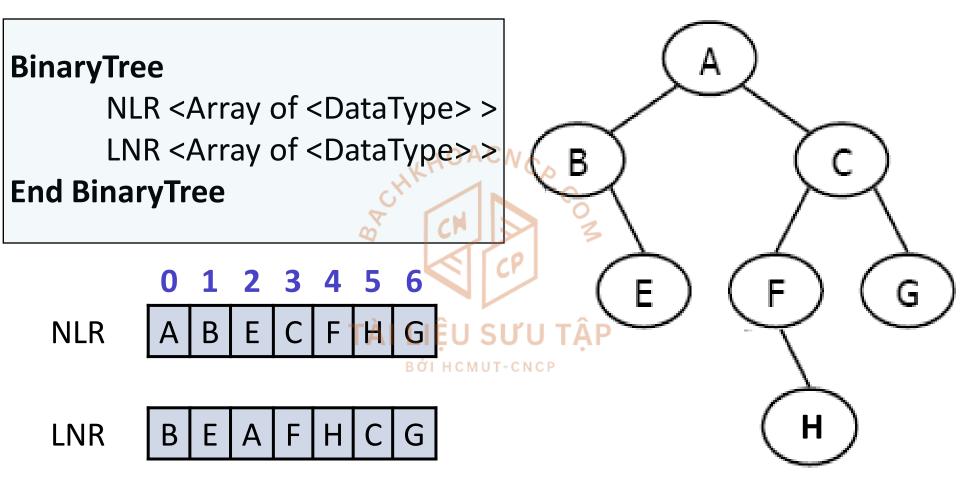


Contiguous Implementation of Binary Tree



Physical (suitable for sparse tree)

Contiguous Implementation of Binary Tree



Physical

Conceptual

(A binary tree can be restored from two array of LNR and NLR traverse)

Linked Implementation of Binary Tree

BinaryNode

data < Data Type >

left <pointer>

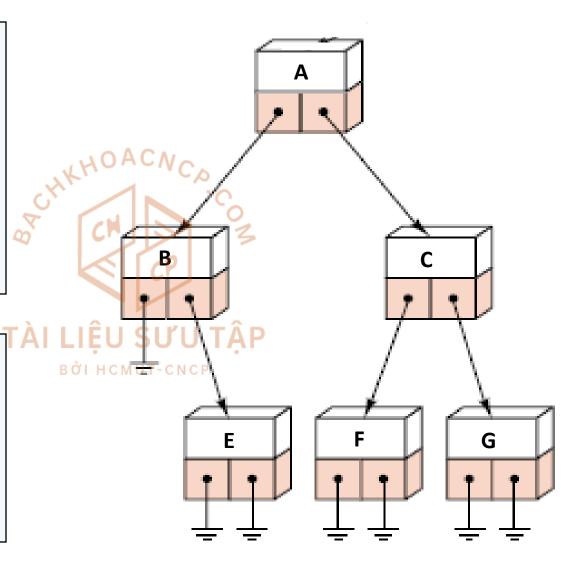
right <pointer>

End BinaryNode

BinaryTree

root <pointer>

End BinaryTree



Physical

Depth-First Traversal

Auxiliary functions for Depth_First Traversal:

```
recursive_preOrder
recursive_inOrder

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recursive_postOrder
```

PreOrder Traversal

Algorithm recursive_preOrder (val subroot <pointer>,
ref<void>Operation(ref Data <DataType>))

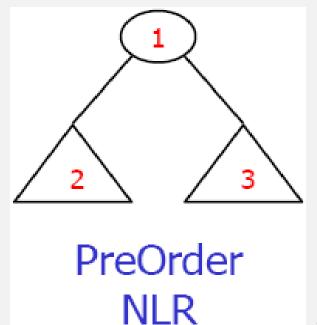
Traverses a binary tree in *node-left-right* sequence.

Pre subroot points to the root of a tree/ subtree.

Post each node has been processed in order.

- 1. if (subroot is not NULL) ÀI LIỆU SƯU TẬP
 - Operation(subroot->data)
 - 2. recursive_preOrder(subroot->left)
 - recursive_preOrder(subroot->right)

End recursive_preOrder



Algorithm recursive_inOrder (val subroot <pointer>,
ref<void>Operation(ref Data <DataType>))

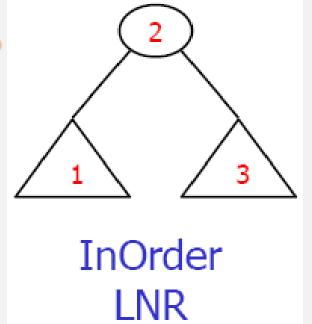
Traverses a binary tree in *left-node-right* sequence

Pre subroot points to the root of a tree/subtree

Post each node has been processed in order

- 1. if (subroot is not NULL) ÀI LIỆU SƯU TẬP
 - recursive_inOrder(subroot->left)
 - Operation(subroot->data)
 - recursive_inOrder(subroot->right)

End recursive_inOrder



PostOrder Traversal

Algorithm recursive_postOrder (val subroot <pointer>,
ref<void>Operation(ref Data <DataType>))

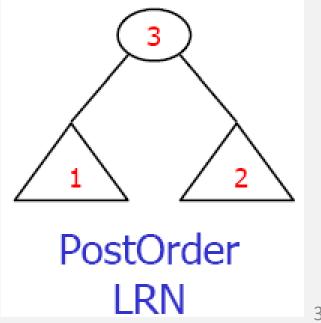
Traverses a binary tree in *left-right-node* sequence

Pre subroot points to the root of a tree/subtree

Post each node has been processed in order

- 1. if (subroot is not NULL) ÀI LIỆU SƯU TẬP
 - recursive_postOrder(subroot->left)
 - recursive_postOrder(subroot->right)
 - Operation(subroot->data)

End recursive_postOrder



Depth-First Traversal

```
<void> preOrderTraverse (ref<void>Operation(ref Data <DataType>))
```

recursive_preOrder(root, Operation)

End preOrderTraverse

```
<void> inOrderTraverse (ref<void>Operation(ref Data <DataType>))
```

recursive_inOrder(root, Operation)_____

End inOrderTraverse

```
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```

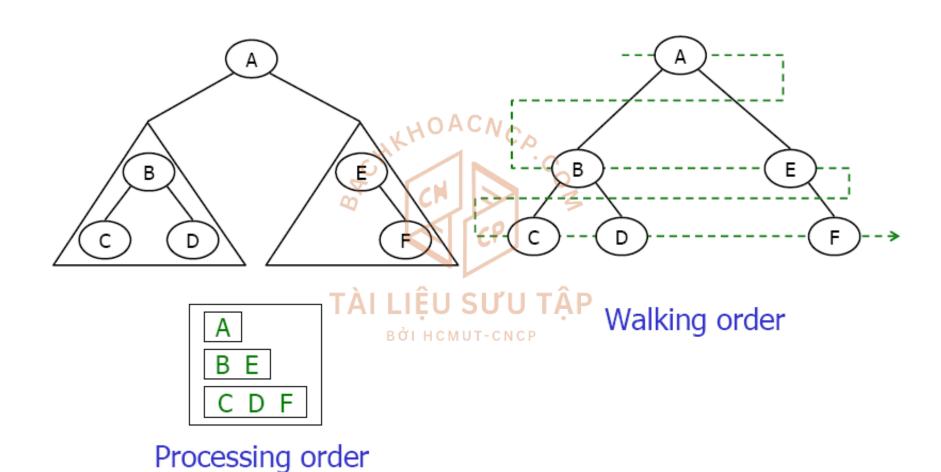
```
<void> postOrderTraverse (ref<void>Operation(ref Data <DataType>))
```

recursive postOrder(root, Operation)

End postOrderTraverse

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Breadth-First Traversal



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Breadth-First Traversal

Algorithm BreadthFirstTraverse

(ref<void>Operation(ref Data < DataType>))

Traverses a binary tree in sequence from lowest level to highest level, in each level traverses from left to right.

Post each node has been processed in order

Uses Queue ADT

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Breadth-First Traversal

Algorithm BreadthFirstTraverse

(ref<void>Operation(ref Data < DataType>))

- 1. if (root is not NULL)
 - queueObj <Queue>
 - queueObj.EnQueue(root)
 - loop (not queueObj.isEmpty())
 - queueObj.QueueFront(pNode)
 - 2. queueObj.DeQueue() FU SUU TAF
 - Operation(pNode->data)
 - 4. if (pNode->left is not NULL)
 - queueObj.EnQueue(pNode->left)
 - if (pNode->right is not NULL)
 - queueObj.EnQueue(pNode->right)

Binary Search Tree (BST)

- All items in the left subtree < the root.
- All items in the right subtree >= the root.
- Each subtree is itself a binary search tree.



Binary Search Tree (BST)

- BST is one of implementations for ordered list.
- In BST we can search quickly (as with binary search on a contiguous list).
- In BST we can make insertions and deletions quickly (as with a linked list).

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- When a BST is traversed in *inorder*, the keys will come out in sorted order.

Binary Search Tree (BST)

Auxiliary functions for Search:



Searches target in the subtree.

Pre subroot points to the root of a tree/ subtree.

Post If target is not in the subtree, NULL is returned. Otherwise, a pointer to the node containing the target is returned.

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1. if (subroot is NULL) OR (subroot->data = target) return subroot else if (target < subroot->data) return recursive Search(subroot->left, target) else return recursive Search(subroot->right, target) End recursive Search subroot **B**ổI HCMUT-CNCP Target = 22

1. if (subroot is NULL) OR (subroot->data = target) return subroot else if (target < subroot->data) return recursive Search(subroot->left, target) else return recursive Search(subroot->right, target) End recursive Search TÀI LIÊU SƯU TẬP BởI HCM STID POOT Target = 22

1. if (subroot is NULL) OR (subroot->data = target) return subroot 2. else if (target < subroot->data) return recursive Search(subroot->left, target) else return recursive Search(subroot->right, target) End recursive Search TÀI LIÊU SƯU TẬP **B**ổI HCMUT-CNCP subroot Target = 22

1. if (subroot is NULL) OR (subroot->data = target) return subroot else if (target < subroot->data) return recursive Search(subroot->left, target) else return recursive Search(subroot->right, target) End recursive Search TÀI LIÊU SƯU TẬP **B**ỞI HCMUT-CNCP subroot Target = 22

Searches target in the subtree.

Pre subroot points to the root of a tree/ subtree.

Post If target is not in the subtree, NULL is returned. Otherwise, a pointer to the node containing the target is returned.

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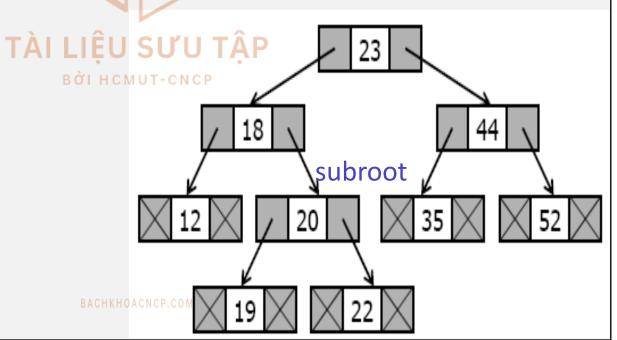
while (subroot is not NULL) AND (subroot->data.key <> target) if (target < subroot->data.key) subroot = subroot->left 2. else 1. subroot = subroot->right return subroot End iterative Search subroot TÀI LIÊU SƯU TẬP BỞI HCMUT-CNCP Target = 22

while (subroot is not NULL) AND (subroot->data.key <> target) if (target < subroot->data.key) subroot = subroot->left 2. else 1. subroot = subroot->right return subroot End iterative Search TÀI LIÊU SƯU TẬP BởI HCMU SƯ Broot

Target = 22

- while (subroot is not NULL) AND (subroot->data.key <> target)
 if (target < subroot->data.key)
 - 1. subroot = subroot->left
 - 2. else
 - 1. subroot = subroot->right
- return subroot

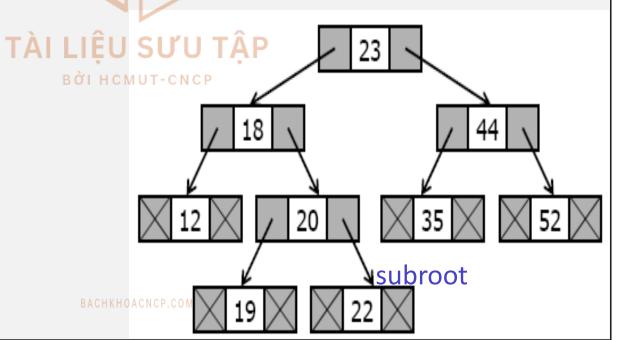
End iterative_Search



Target = 22

- while (subroot is not NULL) AND (subroot->data.key <> target)
 - 1. if (target < subroot->data.key)
 - 1. subroot = subroot->left
 - 2. else
 - 1. subroot = subroot->right
- return subroot

End iterative_Search



Target = 22

Search node in BST

<ErrorCode> Search (ref DataOut <DataType>)

Searches target in the subtree.

Pre DataOut contains value needs to be found in key field.

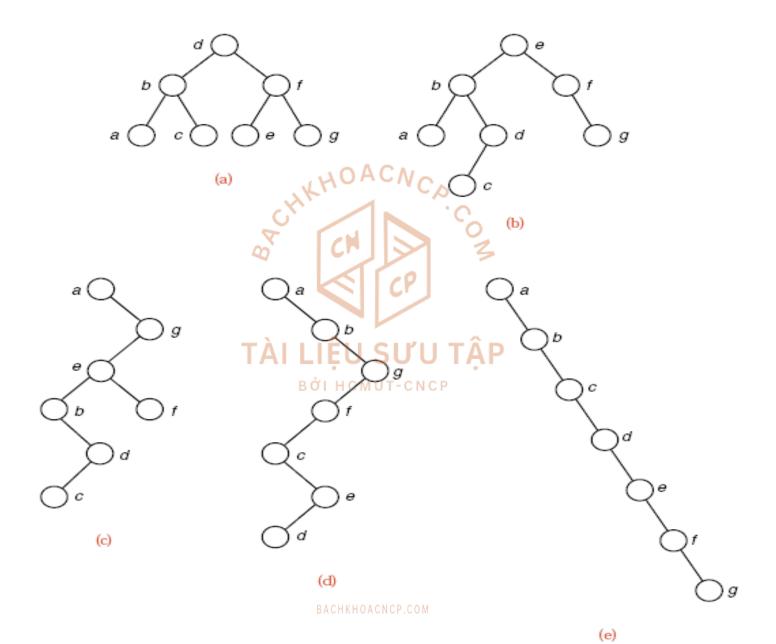
Post DataOut will reveive all other values in other fields if that key is found.

Return success or notPresent

Uses Auxiliary function recursive_Search or iterative_Search

- pNode = recursive_Search(root, DataOut.key)
- 2. if (pNode is NULL)
 - 1. return *notPresent*
- 3. dataOut = pNode->data
- 4. return success

Binary Search Trees with the Same Keys



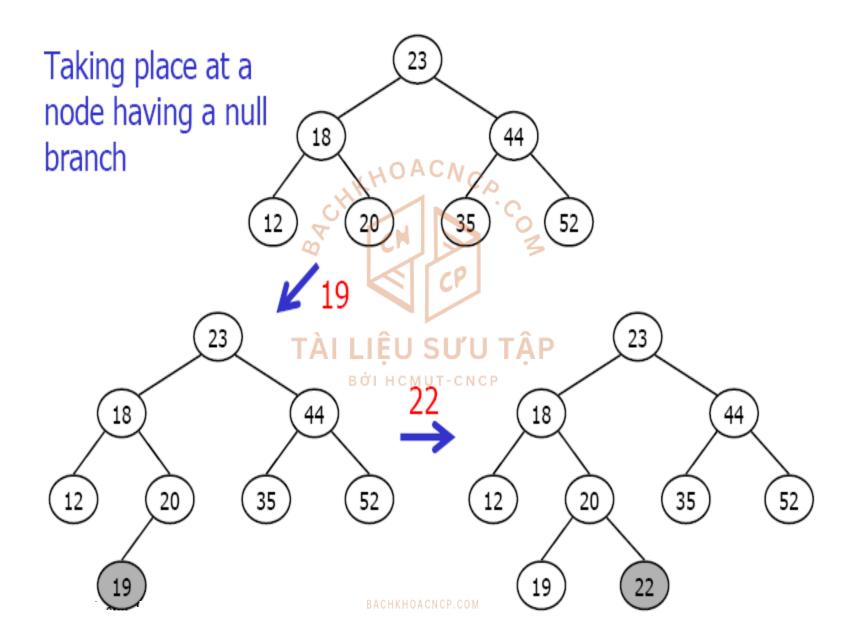
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Search node in BST

The same keys may be built into BST of many different shapes.

 Search in bushy BST with n nodes will do O(log n) comparisons of keys

- If the tree degenerates into a long chain, search will do ⊖(n) comparisons on n vertices.
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- The bushier the tree, the smaller the number of comparisons of keys need to be done.





Question:

Can Insert method use recursive_Search or iterative_Search instead of recursive_Insert like that:

ErrorCode Insert (val DataIn < DataType>)

- pNode = recursive_Search (root, Dataln.key)
- 2. if (pNode is NULL) TÀI LIÊU SƯU TÂP
 - Allocate pNode
- BỞI HCMUT-CNCP
- 2. pNode->data = DataIn
- 3. return success
- 3. else
 - 1. return *duplicate_error*

End Insert

Auxiliary functions for Insert:



Recursive Insert

<ErrorCode> recursive_Insert (ref subroot <pointer>,
 val DataIn <DataType>)

Inserts a new node into a BST.

Pre subroot points to the root of a tree/ subtree.

DataIn contains data to be inserted into the subtree.

Post If the key of Dataln already belongs to the subtree, duplicate_error is returned. Otherwise, Dataln is inserted into the subtree in such a way that the properties of a BST are preserved.

Return duplicate_error or success.

Uses recursive_Insert function.

ErrorCode recursive_Insert (ref subroot <pointer>, val DataIn < DataType>/ subroot if (subroot is NULL) Allocate subroot 20 subroot->data = DataIn return *success*

- else if (DataIn.key < subroot->data.key)
 - return recursive_Insert(subroot->left, DataIn)
- else if (DataIn.key > subroot->data.key)
 - return recursive_Insert(subroot->right, DataIn)
- else
 - return *duplicate error*

End recursive Insert

- 2. else if (DataIn.key < subroot->data.key)
 - return recursive_Insert(subroot->left, DataIn)
- 3. else if (DataIn.key > subroot->data.key)
 - 1. return recursive_Insert(subroot->right, DataIn)
- 4. else
 - return duplicate_error

return *success*

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5. End recursive Insert

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ErrorCode recursive_Insert (ref subroot <pointer>, val DataIn <DataType>) if (subroot is NULL) subroot Allocate subroot 20

- else if (DataIn.key < subroot->data.key)
- return recursive_Insert(subroot->left, DataIn)
- else if (DataIn.key > subroot->data.key)

subroot->data = DataIn

return *success*

- return recursive_Insert(subroot->right, DataIn)
- else
 - return *duplicate error*

End recursive Insert

ErrorCode recursive_Insert (ref subroot <pointer>, val DataIn <DataType>) 18 if (subroot is NULL) Allocate subroot 20 subroot->data = DataIn subroot return success

- else if (DataIn.key < subroot->data.key)
 - return recursive_Insert(subroot->left, DataIn)
- else if (DataIn.key > subroot->data.key)
 - return recursive_Insert(subroot->right, DataIn)
- else
 - return *duplicate error*

End recursive Insert

ErrorCode recursive_Insert (ref subroot <pointer>,
val DataIn <DataType>)

1. if (subroot is NULL)
1. Allocate subroot
2. subroot->data = DataIn

1. if (subroot is NULL)
1. subroot is NULL)
1. subroot 1. if (subroot is NULL)
1. subroot 1. if (subroot is NULL)
1. subroot 1.

- 2. else if (DataIn.key < subroot->data.key)
 - return recursive_Insert(subroot->left, DataIn)
- 3. else if (DataIn.key > subroot->data.key)
 - return recursive_Insert(subroot->right, DataIn)
- 4. else
 - return duplicate_error

return *success*

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End recursive Insert

Iterative Insert

ErrorCode iterative_Insert (ref subroot <pointer>, val DataIn <DataType>)

Inserts a new node into a BST.

Pre subroot is NULL or points to the root of a subtree. DataIn contains data to be inserted into the subtree.

Post If the key of DataIn already belongs to the subtree,

duplicate_error is returned. Otherwise, DataIn is inserted into
the subtree in such a way that the properties of a BST are
preserved.

Return *duplicate_error* or *success*.

ErrorCode iterative_Insert (ref subroot <pointer>, val DataIn <DataType>) if (subroot is NULL) Allocate subroot subroot subroot->data = DataIn return *success* else TÀI LIÊU SƯU TẬP **B**ổI HCMUT-CNCP DataIn.key = 22

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ErrorCode iterative_Insert (ref subroot <pointer>, val DataIn <DataType>) if (subroot is NULL) Allocate subroot subroot subroot->data = DataIn return *success* else TÀI LIÊU SƯU TẬP **B**ổI HCMUT-CNCP DataIn.key = 22



- 1. pCurr = subroot
- **2. loop** (pCurr is not NULL)
 - 1. if (pCurr->data.key = DataIn.key)
 - 1. return duplicate_error
 - 2. parent = pCurr
 - 3. if (DataIn.key < parent->data.key)
 - pCurr = parent -> left
 - 4. else
 - 1. pCurr = parent -> right
- 3. if (DataIn.key < parent->data.key) MUT-CNCP
 - 1. Allocate parent->left
 - 2. parent->left.data = DataIn
- 4. else
 - 1. Allocate parent->right
 - 2. parent->right.data = DataIn
- 5. return *success*



subroot /

- 2. else

 1. pCurr = subroot

 2. loop (pCurr is not NULb)

 1. if (pCurr->data.key = DataIn.key)

 1. return duplicate_error
 - 3. if (DataIn.key < parent->data.key)
 - 1. pCurr = parent -> left
 - . else

parent = pCurr

- pCurr = parent -> right
- 3. if (DataIn.key < parent->data.key) MUT-CNCP
 - 1. Allocate parent->left
 - 2. parent->left.data = DataIn
- 4. else
 - 1. Allocate parent->right
 - 2. parent->right.data = DataIn
- 5. return *success*

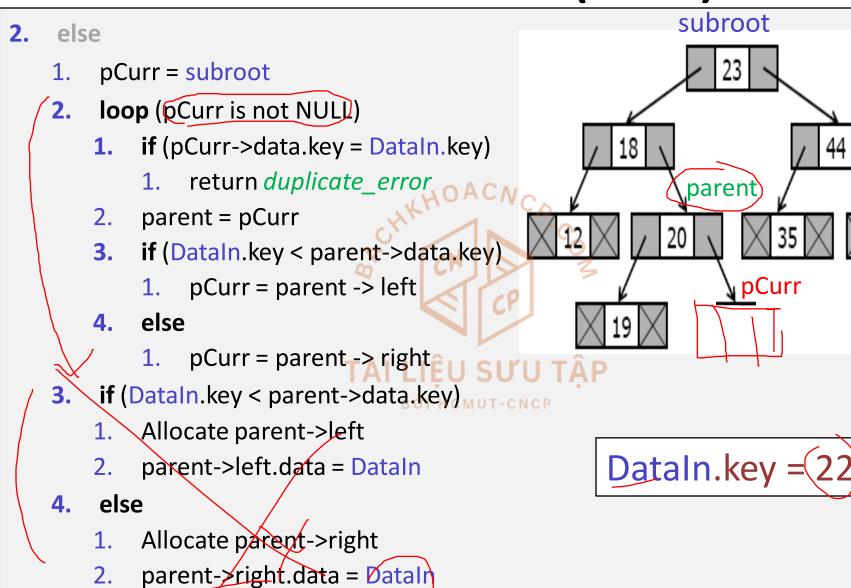
parent

- 2. else
 - pCurr = subroot
 - 2. loop (pCurr is not NULL)
 - 1. if (pCurr->data.key = DataIn.key)
 - return duplicate_error
 - 2. parent = pCurr
 - 3. if (DataIn.key < parent->data.key)
 - 1. pCurr = parent -> left
 - 4. else
 - 1. pCurr = parent -> right
 - 3. if (DataIn.key < parent->data.key) MUT-CNCP
 - 1. Allocate parent->left
 - 2. parent->left.data = DataIn
 - 4. else
 - 1. Allocate parent->right
 - 2. parent->right.data = DataIn
 - 5. return *success*

DataIn.key = 22

subroot

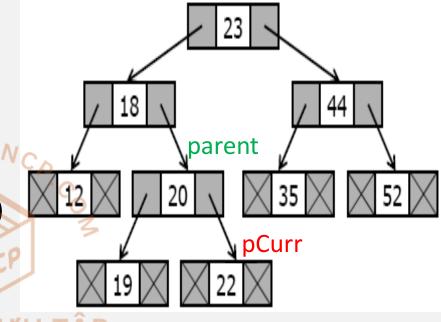
End Iterative Insert



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return *syccess*

- else
 - pCurr = subroot
 - **loop** (pCurr is not NULL)
 - if (pCurr->data.key = DataIn.key)
 - return duplicate_error
 - parent = pCurr 2.
 - if (DataIn.key < parent->data.key)
 - pCurr = parent -> left
 - else
 - pCurr = parent -> right
 - if (DataIn.key < parent->data.key) MUT-CNCP
 - Allocate parent->left
 - parent->left.data = DataIn
 - 4. else
 - Allocate parent->right
 - parent->right.data = DataIn
 - return *success*



subroot

```
ErrorCode Insert (val DataIn < DataType>)
```

Inserts a new node into a BST.

Post If the key of DataIn already belongs to the BST, duplicate_error is returned. Otherwise, DataIn is inserted into the tree in such a way that the properties of a BST are preserved.

Return duplicate_error or success.

Uses recursive_Insert or iterative_Insert function.

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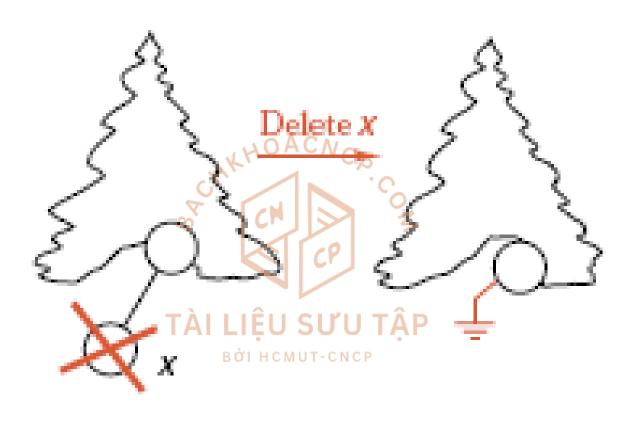
return recursive_Insert (root, DataIn)

End Insert

- Insertion a new node into a random BST with n nodes takes O(log n) steps.
- Insertion may take n steps when BST degenerates to a chain.
- If the keys are inserted in sorted order into an empty tree,

 BST becomes a chain.

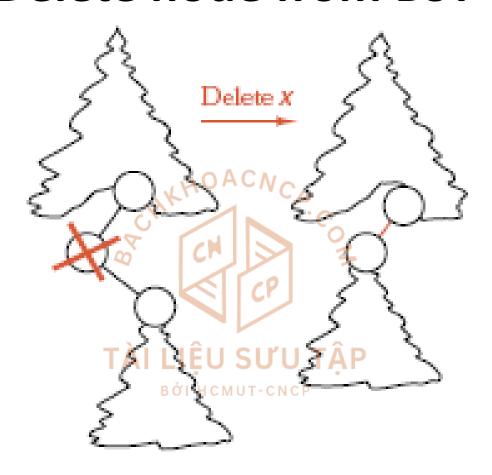
Delete node from BST



Deletion of a leaf:

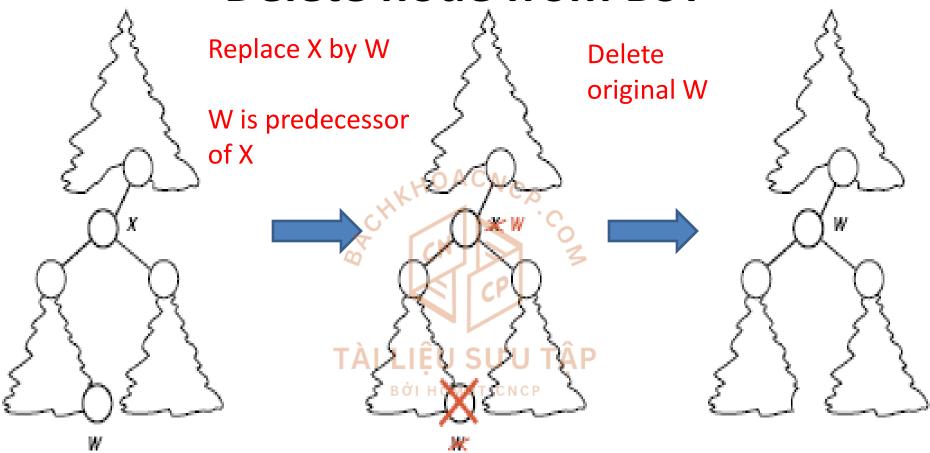
Set the deleted node's parent link to NULL.

Delete node from BST



Deletion of a node having only right subtree or left subtree:
 Attach the subtree to the deleted node's parent.

Delete node from BST

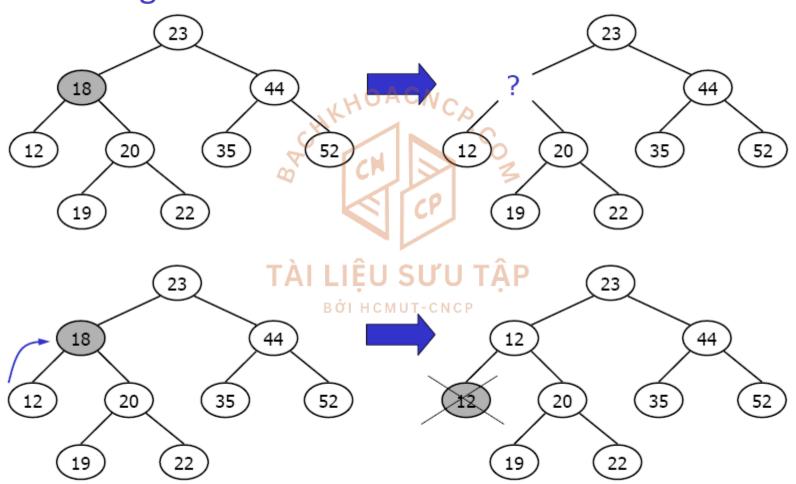


Deletion of a node having both subtrees:

Replace the deleted node by its predecessor or by its successor, recycle this node instead.

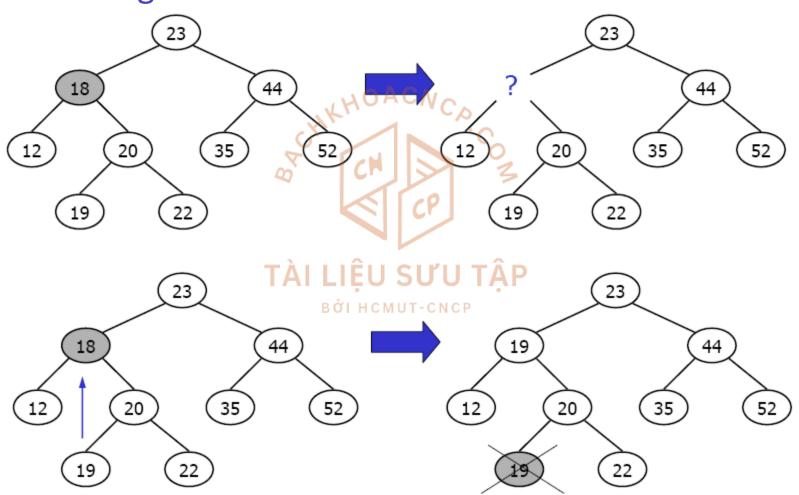
Delete node from BST

Node having both subtrees



Delete node from BST

Node having both subtrees



Delete node from BST

Auxiliary functions for Insert:



Recursive Delete

Deletes a node from a BST.

Pre subroot is NULL or points to the root of a subtree. Key contains value needs to be removed from BST.

Post If key is found, it will be removed from BST.

Return notFound or success LIÊU SƯU TẬP

Uses recursive_Delete and RemoveNode functions.

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Recursive Delete (cont.)

```
<ErrorCode> recursive Delete (ref subroot <pointer>,
                                   val key <KeyType>)
   if (subroot is NULL)
   1. return notFound
  else if (key < subroot->data.key)

    return recursive_Delete(subroot->left, key)

  else if (key > subroot->data.key)
   1. return recursive Delete(subroot->right, key)
  else
      RemoveNode(subroot)
       return success
End recursive Delete
```

Delete Node from BST

<ErrorCode> Delete (val key <KeyType>)

Deletes a node from a BST.

Pre subroot is NULL or points to the root of a subtree. Key contains value

needs to be removed from BST.

Post If key is found, it will be removed from BST.

Return notFound or success.

Uses recursive_Delete and RemoveNode functions.

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return recursive_Delete (root, key)

End Delete

```
<void> RemoveNode (ref subroot <pointer>, val key <KeyType>)
                   // remember node to delete at end.
    pDel = subroot
   if (subroot ->left is NULL) // leaf node or node having only right subtree.
   1. subroot = subroot->right
                               \sim \langle (a) and (b)
  else if (subroot->right is NULL) // node having only left subtree.
   1. subroot = subroot->left
                                      subroo
 subroot
pDel
                                     pDel
                                                 20
               (a)
key needs to be deleted = 18
                                                                (b)
```

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                   // remember node to delete at end.
    pDel = subroot
   if (subroot ->left is NULL) // leaf node or node having only right subtree.
   1. subroot = subroot->right
                               \mathcal{A}(A(a)) and (b)
  else if (subroot->right is NULL) // node having only left subtree.
   1. subroot = subroot->left
 subroot
                                     subroot
                                     pDel
pDe
                                                 20
               (a)
key needs to be deleted = 18
                                                                (b)
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   1. subroot = subroot->left
                          TÀI LIỆU SƯU TẬP
                                                               subroot
                               BỞI HCMUT-CNCP
                                                                    pDel
                                                20
key needs to be deleted = 44 H HOACNCP.C
                                                                   (c)
```

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                          TÀI LIÊU SƯU TẬP
                                                                subroot
                               BỞI HCMUT-CNCP
                                                20
key needs to be deleted = 44 H HOACNCP.C
                                                                   (c)
```

