

# DIFFERENTIATION

## FIRST PRINCIPLES (II)

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Contents include:

- Notation of Differentiation
- Differentiating Through First Principles

- The Notation of Differentiation

Differentiating a function is the process of **finding its instantaneous rate of change** based on the independent variable, usually  $x$ . This may not make much sense now, but will be discussed in greater depth later so don't worry about it too much for now!

When asked to differentiate a function,  $y = f(x)$ , the result is what we call **the derivative**. The derivative may be expressed in numerous ways:

$$\text{The Derivative} = f'(x) = \frac{dy}{dx} = y'$$

**Note:** The notation  $\frac{dy}{dx}$  means to 'differentiate  $y$  with respect to  $x$ '. The variable in the denominator is the independent variable (in this case ' $x$ ') and the variable in the numerator is the dependent variable (in this case ' $y$ '). We always differentiate the numerator (dependent variable) with respect to the denominator (independent variable). E.g., If it was  $\frac{dv}{dt}$  instead, we say that we are differentiating  $v$  with respect to  $t$  instead.

- Differentiating Through First Principles

Differentiating through first principles is the method that we will learn first to differentiate a function  $f(x)$ . The formula we follow is:

$$f'(x) = \frac{dy}{dx} = \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h}$$

The general steps for completing exam questions are:

**Step 1: Find the expanded form of  $f(x+h)$**

This is done through replacing every " $x$ " that you see with " $x+h$ " instead

**Step 2: Use the formula and simplify**

By the end of this step, you should be able to factorise out a  $h$  from the numerator, and cancel it with the bottom

**Step 3: Apply your limit**

And then that's it!

How we apply this is best learnt through examples:

**Example 1:** Differentiate  $x^2 + 2x + 2$  from first principles

Recall from first principles that:

$$f'(x) = \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h}$$

We know that:

$$\begin{aligned} f(x) &= x^2 + 2x + 2 \\ f(x+h) &= (x+h)^2 + 2(x+h) + 2 \end{aligned}$$

Hence, substituting these into the first principles equation:

$$\begin{aligned} \therefore f'(x) &= \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h} \\ &= \lim_{h \rightarrow 0} \frac{(x+h)^2 + 2(x+h) + 2 - (x^2 + 2x + 2)}{h} \\ &= \lim_{h \rightarrow 0} \frac{x^2 + 2xh + h^2 + 2x + 2h + 2 - x^2 - 2x - 2}{h} \\ &= \lim_{h \rightarrow 0} \frac{2xh + h^2 + 2h}{h} \\ &= \lim_{h \rightarrow 0} 2x + h + 2 \\ &= 2x + 2 \end{aligned}$$

**Example 2:** Differentiate  $\frac{1}{x}$  from first principles

Recall from first principles that:

$$f'(x) = \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h}$$

We know that:

$$\begin{aligned} f(x) &= \frac{1}{x} \\ f(x+h) &= \frac{1}{x+h} \end{aligned}$$

Hence, substituting these into the first principles equation:

$$\begin{aligned} \therefore f'(x) &= \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h} \\ &= \lim_{h \rightarrow 0} \frac{\frac{1}{x+h} - \frac{1}{x}}{h} \\ &= \lim_{h \rightarrow 0} \frac{\frac{x - x - h}{x(x+h)}}{h} \end{aligned}$$

$$\begin{aligned}
&= \lim_{h \rightarrow 0} -\frac{h}{x^2h + xh^2} \\
&= \lim_{h \rightarrow 0} -\frac{1}{x^2 + xh} \\
&= -\frac{1}{x^2}
\end{aligned}$$

**Example 3:** Differentiate  $f(x) = x^2$  using first principles

Recall from first principles that:

$$f'(x) = \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h}$$

We know that:

$$f(x) = x^2$$

$$f(x+h) = (x+h)^2$$

Hence, substituting these into the first principles equation:

$$\begin{aligned}
f'(x) &= \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h} \\
&= \lim_{h \rightarrow 0} \frac{(x+h)^2 - x^2}{h} \\
&= \lim_{h \rightarrow 0} \frac{x^2 + 2xh + h^2 - x^2}{h} \\
&= \lim_{h \rightarrow 0} \frac{h(2x + h)}{h} \\
&= \lim_{h \rightarrow 0} (2x + h) \\
&= 2x
\end{aligned}$$

**Exercise 4:** Find from first principles the derivative of  $3 - 2x + 4x^2$

Recall from first principles that:

$$f'(x) = \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h}$$

We know that:

$$\begin{aligned}
f(x) &= 3 - 2x + 4x^2 \\
f(x+h) &= 3 - 2(x+h) + 4(x+h)^2 \\
&= 3 - 2x - 2h + 4(x^2 + 2xh + h^2)
\end{aligned}$$

Hence, substituting these into the first principles equation:

$$\begin{aligned}
f'(x) &= \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h} \\
&= \lim_{h \rightarrow 0} \frac{(3 - 2x - 2h + 4(x^2 + 2xh + h^2)) - (3 - 2x + 4x^2)}{h}
\end{aligned}$$

$$\begin{aligned}
&= \lim_{h \rightarrow 0} \frac{3 - 2x - 2h + 4x^2 + 8xh + 4h^2 - 3 + 2x - 4x^2}{h} \\
&= \lim_{h \rightarrow 0} \frac{-2h + 8xh + 4h^2}{h} \\
&= \lim_{h \rightarrow 0} -2 + 8x + 4h \\
&= 8x - 2
\end{aligned}$$

**Exercise 5:** For the graph of the equation  $f(x) = x^3 - 5x$ , write down the value of:

- a)  $f'(x)$  using first principles
- b)  $f'(2)$
- c)  $f'(4)$

Solution:

- a) Recall from first principles that:

$$f'(x) = \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h}$$

We know that:

$$\begin{aligned}
f(x) &= x^3 - 5x \\
f(x+h) &= (x+h)^3 - 5(x+h) \\
&= x^3 + 3x^2h + 3xh^2 + h^3 - 5x - 5h
\end{aligned}$$

Hence, substituting these into the first principles equation:

$$\begin{aligned}
\therefore f'(x) &= \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h} \\
&= \lim_{h \rightarrow 0} \frac{(x^3 + 3x^2h + 3xh^2 + h^3 - 5x - 5h) - (x^3 - 5x)}{h} \\
&= \lim_{h \rightarrow 0} \frac{3x^2h + 3xh^2 + h^3 - 5h}{h} \\
&= \lim_{h \rightarrow 0} 3x^2 + 3xh + h^2 - 5 \\
&= 3x^2 - 5
\end{aligned}$$

- b) from part a),  $f'(x) = 3x^2 - 5$

$$\begin{aligned}
\therefore f'(2) &= 3(2)^2 - 5 \\
&= 12 - 5 \\
&= 7
\end{aligned}$$

- c) from part a),  $f'(x) = 3x^2 - 5$

$$\begin{aligned}
\therefore f'(4) &= 3(4)^2 - 5 \\
&= 48 - 5 \\
&= 43
\end{aligned}$$

## First Principles Exercises

1. Find from first principles the derivative of  $(x - 2)(x + 1)$
2. Find from first principles the derivative of  $3x(x + 4)$
3. Find from first principles the derivative of  $x^3$
4. For the function  $f(x) = 2x^2 - 3x + 6$ :
  - a) Find  $f'(x)$  using first principles
  - b) Find the value of  $x$  for which  $f'(x) = 0$

## First Principle Exercise Answers

1.  $f(x) = (x - 2)(x + 1) = x^2 - x - 2$ 
$$\begin{aligned}f(x + h) &= (x + h)^2 - (x + h) - 2 \\&= x^2 + 2xh + h^2 - x - h - 2 \\ \therefore f'(x) &= \lim_{h \rightarrow 0} \frac{f(x + h) - f(x)}{h} \\&= \lim_{h \rightarrow 0} \frac{(x^2 + 2xh + h^2 - x - h - 2) - (x^2 - x - 2)}{h} \\&= \lim_{h \rightarrow 0} \frac{2xh + h^2 - h}{h} \\&= \lim_{h \rightarrow 0} 2x + h - 1 \\&= 2x - 1\end{aligned}$$
2.  $f(x) = 3x(x + 4) = 3x^2 + 12x$ 
$$\begin{aligned}f(x + h) &= 3(x + h)^2 + 12(x + h) \\&= 3(x^2 + 2xh + h^2) + 12x + 12h \\ \therefore f'(x) &= \lim_{h \rightarrow 0} \frac{f(x + h) - f(x)}{h} \\&= \lim_{h \rightarrow 0} \frac{(3(x^2 + 2xh + h^2) + 12x + 12h) - (3x^2 + 12x)}{h} \\&= \lim_{h \rightarrow 0} \frac{3x^2 + 6xh + 3h^2 + 12x + 12h - 3x^2 - 12x}{h} \\&= \lim_{h \rightarrow 0} \frac{6xh + 3h^2 + 12h}{h} \\&= \lim_{h \rightarrow 0} 6x + 3h + 12 \\&= 6x + 12\end{aligned}$$

$$= 6x + 12$$

3.  $f(x) = x^3$

$$\begin{aligned} f(x+h) &= (x+h)^3 \\ &= x^3 + 3x^2h + 3xh^2 + h^3 \\ \therefore f'(x) &= \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h} \\ &= \lim_{h \rightarrow 0} \frac{(x^3 + 3x^2h + 3xh^2 + h^3) - (x^3)}{h} \\ &= \lim_{h \rightarrow 0} \frac{3x^2h + 3xh^2 + h^3}{h} \\ &= \lim_{h \rightarrow 0} 3x^2 + 3xh + h^2 \\ &= 3x^2 \end{aligned}$$

4. a)  $f(x) = 2x^2 - 3x + 6$

$$\begin{aligned} f(x+h) &= 2(x+h)^2 - 3(x+h) + 6 \\ &= 2(x^2 + 2xh + h^2) - 3x - 3h + 6 \\ \therefore f'(x) &= \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h} \\ &= \lim_{h \rightarrow 0} \frac{(2(x^2 + 2xh + h^2) - 3x - 3h + 6) - (2x^2 - 3x + 6)}{h} \\ &= \lim_{h \rightarrow 0} \frac{2x^2 + 4xh + 2h^2 - 3x - 3h + 6 - 2x^2 + 3x - 6}{h} \\ &= \lim_{h \rightarrow 0} \frac{4xh + 2h^2 - 3h}{h} \\ &= \lim_{h \rightarrow 0} 4x + 2h - 3 \\ &= 4x - 3 \end{aligned}$$

b) from a),  $f'(x) = 4x - 3$

$$f'(x) = 4x - 3 = 0$$

$$\therefore x = \frac{3}{4}$$