
Computational studies of two unconventional approximation schemes for mechanical system responses

*A project report submitted in fulfilment of the requirements
for the degree of Master of Technology*

by

Vaibhav Pratap Singh

(16807768)



to the

DEPARTMENT OF MECHANICAL ENGINEERING
INDIAN INSTITUTE OF TECHNOLOGY KANPUR

July 2021

Certificate

It is certified that the work contained in this project entitled **Computational studies of two unconventional approximation schemes for mechanical system responses** by **Vaibhav Pratap Singh** has been carried out under my supervision and that it has not been submitted elsewhere for a degree to the best of my knowledge.

Prof. Anindya Chatterjee

Professor

Department of Mechanical Engineering

Indian Institute of Technology Kanpur

July 2021

Declaration

This is to certify that the project report titled **Computational studies of two unconventional approximation schemes for mechanical system responses** has been authored by me. It presents the research conducted by me under the supervision of Prof. Anindya Chatterjee

To the best of my knowledge, it is an original work, both in terms of research content and narrative, and has not been submitted elsewhere, in part or in full, for a degree. Due credit has been attributed to the relevant state-of-the-art and collaborations (if any) with appropriate citations and acknowledgements, in line with established norms and practices.



Vaibhav Pratap Singh

BT-MT (Dual Degree)

Department of Mechanical Engineering

Indian Institute of Technology, Kanpur

Kanpur - 208016

Abstract

Name of the student: **Vaibhav Pratap Singh**

Roll No: **16807768**

Degree for which submitted: **M.Tech.**

Department: **Mechanical Engineering**

Project title: **Computational studies of two unconventional approximation schemes for mechanical system responses**

Project supervisor: **Prof. Anindya Chatterjee**

Month and year of project submission: **July 2021**

Two different and unconventional approximation techniques for two types of mechanical system responses are developed and presented in this study.

Many systems exhibit decaying responses, and the first problem studied involves approximating such responses. In particular, a new delayed dynamical system is constructed using convolution such that one of its responses is exactly equal to the desired response curve. The aim of approximating the curve in this way, using a dynamical system, is that the dynamical system has characteristic roots which lead to exponential curves with a prior guarantee that those exponential curves can be used in linear combination to approximate the desired decaying system response. In implementing the calculation, a combination of discrete and distributed feedbacks are found in the desired dynamical system. That leads to a delay differential equation. The characteristic equation of the DDE has infinitely many roots, and these roots give us exponential functions that can be used as a basis to fit the response of interest. The fit can be done using either 2 norm, infinity norm or any other criterion of interest to the analyst. When we increase the number of terms used in the fit, the quality of the fit improves. The primary advantage of this approach is that the underlined dynamical system and its characteristic equation give us an infinite sequence of roots, so choosing the exponential rates in the expansion is easy. In contrast, if we pose

the problem as one where both the coefficient as well as the exponent have to be fitted as design parameters, then each time we increase the number of functions involved in the fit, the whole problem including finding the exponential roots has to be solved afresh.

The second problem is motivated by an application in robotics. One of the basic manipulation primitives that a robot uses for mechanical tasks involves pushing a manipulated object on a horizontal plane. For this task, there is a generalized load (a combination of force and moment) that needs to be applied on the body to cause motion. Given a desired motion, finding the loads constitutes a forward problem, which requires some numerical integrals over the contact patch. Given a loading direction, finding the resulting motion and the load magnitude require solution of nonlinear equations, which is more difficult. A way around this, is to solve the problem using approximated limit surfaces. A limit surface is a boundary of a convex set which consists of all possible static and sliding frictional loads, for a given contact condition. Limit surfaces are suitable for use in load-to-motion mapping. Since robots may be required to push an object in a particular direction, a simple mapping from load to incipient motion is used. This mapping uses an approximated limit load surface. Approximations for limit surfaces used have included ellipsoids in the simplest case, and multivariate polynomial expansions as a more sophisticated example. We propose a new approximation which uses a small number of symmetrical 3×3 matrices along with fractional powers of simple quadratic forms. With only one matrix, we obtain the ellipsoid approximation. However, with more matrices we obtain more accurate surfaces that are analytically tractable, e.g., for subsequent motion planning and control. Fitting these 3×3 matrices is easy with simple optimization algorithms. Several numerical examples show that with 2 or 3 such fitted matrices, the fit obtained is excellent. The new method of approximating limit load surfaces presents a significant useful generalization of the popular but somewhat inaccurate ellipsoid approach.

Acknowledgements

I extend my gratitude to my project advisor Prof. Anindya Chatterjee for guiding and supporting me in the right direction. I also thank Sankalp Tiwari and Devesh Jha (of MERL) for fruitful discussions and multiple insights on the problem statement and for providing me with relevant information to do the work.

I thank my father Mr. Sudesh Kumar Chauhan, my mother Mrs. Nivedita Chauhan and my brother Surya Pratap Singh for supporting and providing me encouragement throughout my years of study. It would have been difficult to complete my studies without their assistance.

I also thank my batchmates Shubham, Manu, Gaurav, Akshay, Umesh, and Akash for their help and encouragement along this journey.

Vaibhav Pratap Singh
Department of Mechanical Engineering
IIT Kanpur

Contents

Certificate	i
Declaration	ii
Abstract	ii
Acknowledgements	v
Contents	vi
List of Figures	viii
Abbreviations	ix
Symbols	x
1 Introduction	1
1.1 Approximation of decaying functions	2
1.2 Approximation of limit surfaces in frictional sliding	2
2 Approximation of decaying functions	3
2.1 A dynamical model	4
2.2 Characterization of $f(t)$	6
2.3 Delay differential equation	7
2.4 Least infinity-norm error	9
2.5 More functions	13
3 Approximation of limit surfaces for frictional sliding	21
3.1 Related work	22
3.2 Analytical model for pusher slider system	22
3.3 Limit surfaces and data generation	25
3.4 Approximation method	29
3.5 Error measure	35

4	Conclusions	39
4.1	Decaying functions	39
4.1.1	Concluding remarks	39
4.1.2	Future scope	39
4.2	Limit surfaces	40
4.2.1	Concluding remarks	40
4.2.2	Future scope	40
A	Matlab codes	41
A.1	Approximation for decaying functions	41
A.1.1	Finding delay feedback	41
A.1.2	Infinity norm solver	41
A.2	Approximation for limit surface	42
A.2.1	Data generation	42
A.2.2	Velocity error	44
A.2.3	Force approximation and error	45
	Bibliography	49

List of Figures

2.1	Hockey stick function.	4
2.2	First view of $f(t)$	5
2.3	Piecewise polynomial approximation for $f(t)$	6
2.4	Roots of characteristic equation.	8
2.5	Approximation obtained using 4×2 complex roots and 1 real root.	10
2.6	Approximation obtained using 7×2 complex roots and 1 real root.	11
2.7	Approximation obtained using 61×2 complex roots and 1 real root.	12
2.8	Function to be approximated.	13
2.9	First view of f for f_2	14
2.10	First view of f for f_3	14
2.11	Piecewise polynomial approximation for $f(t)$ in f_2	15
2.12	Piecewise polynomial approximation for $f(t)$ in f_3	15
2.13	Roots of respective characteristic equations.	16
2.14	Approximation of function 1 using different basis.	17
2.15	Approximation of function 2 using different basis.	18
2.16	Approximation of function 3 using different basis.	19
3.1	Limit curve for a single contact point.	25
3.2	A rigid bar supported at the ends.	26
3.3	Limit surface for the rigid bar in Figure (3.2).	27
3.4	Limit surface for a continuous square patch.	28
3.5	Two different slider configurations.	31
3.6	Approximated limit surface for three point contact.	32
3.7	Approximated limit surface for continuous patch.	33
3.8	Approximations: blue- original, red- approximation.	34
3.9	Velocity based error.	35
3.10	Force based error.	35
3.11	Error plots for three point contact.	36
3.12	Error plots for continuous distribution.	36
3.13	Error plots for three point contact with noisy data.	37
3.14	Error plots for continuous contact patch with noisy data.	38

Abbreviations

LS	L imit S urface
COR	C enter O f R otation
DDE	D elayed D ifferential E quation

Symbols

P	Load vector
q	motion vector
F	Force
M	Moment
$H(f)$	Failure surface
f, f_k	Force vector
J_a, J_b	Objective functions
α	Scaling factor
\hat{v}	Approximated velocity
\hat{f}	Approximated force
f_s	Scaled force

Dedicated to my mother
Mrs. Nivedita Chauhan

Chapter 1

Introduction

This study presents two different and unconventional approximation schemes for two types of mechanical system responses, the first involving decaying functions and the second involving frictional sliding of rigid bodies. In this way the report has two distinct parts.

In Chapter 2, we develop and present a new approach to approximate decaying responses of a dynamical system. We first use the hockey stick function as an example to present an approximation strategy for decaying responses. We establish our method and use the same methodology on some other functions. We comment on the performance of the method and its shortcomings.

In Chapter 3, a different approximation problem is addressed, which is relevant in the field of robotic manipulation. We approximate certain closed convex surfaces known as limit surfaces. We study what these limit surfaces are, how they are generated, and why they must be precisely approximated. We examine our formulation and discuss some fitting strategies. We report the outcomes of the approximation and make comparisons to the previous work done in this field.

The following is an overview of the two problems being investigated. The subsequent chapters present details. The final chapter presents concluding remarks.

1.1 Approximation of decaying functions

Some systems have a scalar response that starts at a high value and then decays to low values with the passage of time. The usual approach is to fit the response using a linear combination of decaying exponentials. When the number of terms in the sum becomes large, then choosing the exponential rates (which can be complex, i.e., with oscillating components) can be difficult. For instance, fitting exponential rates along with the coefficients would require us to solve the whole problem afresh just to increase a few terms in the sum. Using an arbitrary set of exponential rates can lead to ill-conditioned coefficient matrices or a poor fit, hence we required a well defined basis of exponentials to fit the function. If posed as an optimization problem, there are many local minima and finding a good solution is not guaranteed in advance for arbitrary numbers of terms in the approximation. Here we take an indirect approach to the problem, by constructing a delayed dynamical system whose possible set of free responses includes the specific function of time in question.

1.2 Approximation of limit surfaces in frictional sliding

The second problem studied in this work is motivated by an application in robotics which involves frictional sliding motions of objects subjected to forces and moments. To understand this better, consider a rigid body sliding on a planar surface. The only interaction of this body with the surface are the contact forces, which are studied here. The contact normal force or pressure is assumed known. If the motion is known, then computing the required loads is straightforward (although it includes evaluation of integrals). Conversely, if the load direction is known, then predicting the initial motion direction is more difficult because it requires solution of nonlinear equations which include evaluation of integrals. But it is known that all possible static and sliding frictional loads form a convex set, whose boundary is called the limit surface. If the limit surface is known, then solving nonlinear equations is no longer needed. In robotics, there is interest in simple descriptions of force to motion mapping and vice versa. To develop a load motion mapping an approximated limit surface is used. Simple models based on ellipsoids and polynomials have been developed to approximate these limit surfaces in the past. We present a new scheme to approximate these limit surfaces, which uses a small number of symmetrical 3×3 matrices along with fractional powers of simple quadratic forms.

Chapter 2

Approximation of decaying functions

In this chapter, we propose a scheme to approximate decaying functions as sums of exponentials. The basic idea is to construct a delayed dynamical system such that one of the responses of the system corresponds to the function that is to be approximated. We develop a scheme to set up the aforementioned system using convolution and construct a response that closely approximates the target decaying function. Later, we study how the approximation performs with increasing terms and with different functions.

Related works in approximation theory suggest that, exponential fitting problems are badly-conditioned, numerically [1]. As a result, traditional optimization methods, such as Newton type methods, do not work well for our problem. Moreover, the existence of such an exponential approximation is not guaranteed in theory [2] (Chapters VI and VII). Recently, Beylkin and Monzón [3] proposed a method to approximate functions as exponential sums using hankel matrices. This method was used in [4] to approximate the hockey stick function. We propose an unconventional scheme to approximate decaying functions as exponential sums.

The next five pages present the basic idea behind the approximation method. These pages draw heavily from an unpublished report written by my thesis advisor Anindya Chatterjee. I have fully reproduced the calculations in that report and then applied that methodology to two different decaying functions. Some shortcomings of the method seen in the new examples will be discussed at the end of the chapter.

2.1 A dynamical model

We wish to approximate a function $x(t)$ (for $t > 0$) that eventually decays to zero as t increases, using a linear combination of decaying exponentials. We further wish to use the infinity-norm in our approximation. For demonstration of ideas, we use the hockey stick function (Figure 2.1), defined as $x(t) = 1 - t$ for $0 \leq t \leq 1$, and $x(t) = 0$ otherwise.

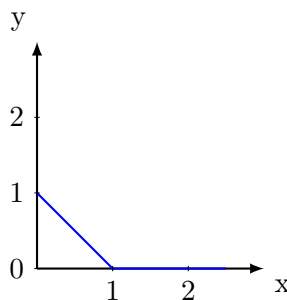
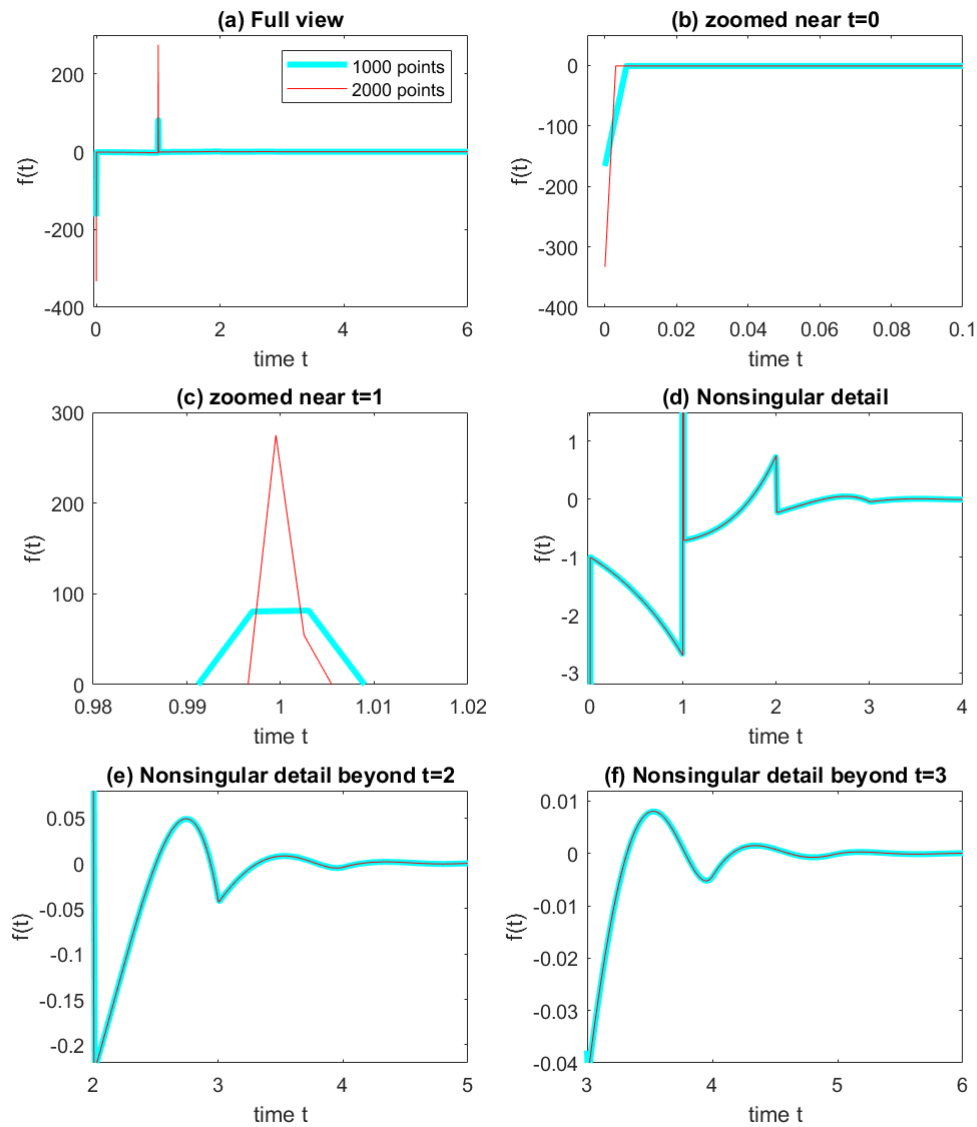


FIGURE 2.1: Hockey stick function.

In principle, every linear combination of exponentials is the solution to some linear constant coefficient dynamic system. A fairly general form of the same may be expressed using a convolution integral as follows:

$$\dot{x}(t) = \int_0^t f(\tau)x(t - \tau) d\tau \quad (2.1)$$

We discretize the above equation and find f using a system of simultaneous linear equations. Since f is independent of x , we construct a matrix A such that $\dot{x} = Af$, \dot{x} is the vector obtained by discretizing $\dot{x}(t)$. Then, f is simply given by $A \backslash \dot{x}$. The MATLAB code used to find f is given in appendix A.1.1. For the hockey stick function, using 2000 points uniformly spaced on $[0, 6]$, we obtain one approximation for f . We do the same for 1000 points as well. Results are shown in Figure 2.2.

FIGURE 2.2: First view of $f(t)$.

2.2 Characterization of $f(t)$

It is clear from Figure 2.2 that there are Dirac delta functions at $t = 0$ and $t = 1$. Numerical estimates of their strengths suggest both are of unit magnitude. The rest of $f(t)$ can be well approximated by piece-wise polynomials, on the intervals $(0, 1)$, $(1, 2)$, $(2, 3)$ and so on. We choose to ignore the nonzero values of f beyond some large enough t (6 in our case). Six such polynomial plots (each of fifth order) are shown in Figure 2.3 (thick cyan: actual value; thin dotted red: polynomial fit).

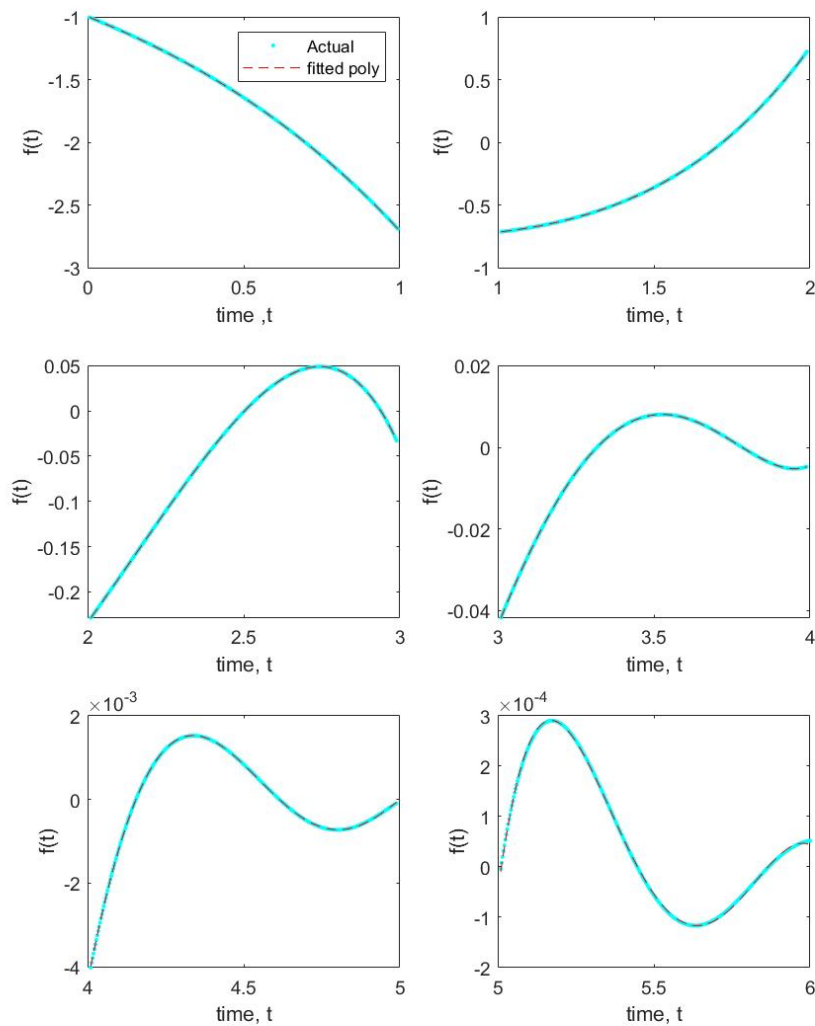


FIGURE 2.3: Piecewise polynomial approximation for $f(t)$.

The following are the polynomials that are used to approximate the function over the interval $[0, 6]$. Here $p_1(t)$ valid for $(0, 1]$, $p_2(t)$ valid for $(1, 2]$ and so on.

$$\begin{aligned}
 p_1(t) &= -0.0137t^5 - 0.0345t^4 - 0.0345t^3 - 0.0345t^2 - 0.0345t - 0.9970 \\
 p_2(t) &= 0.0657t^5 - 0.2321t^4 + 0.6695t^3 - 0.6433t^2 + 0.1583t - 0.7332 \\
 p_3(t) &= -0.1230t^5 + 1.1803t^4 - 4.7502t^3 + 10.1286t^2 - 10.9627t + 4.2296 \\
 p_4(t) &= 0.1091t^5 - 1.7157t^4 + 10.7465t^3 - 33.6881t^2 + 53.2784t - 34.3779 \\
 p_5(t) &= -0.0411t^5 + 0.9092t^4 - 7.9743t^3 + 34.6855t^2 - 74.7489t + 63.7709 \\
 p_6(t) &= 0.0010t^5 - 0.0407t^4 + 0.5696t^3 - 3.8203t^2 + 12.4353t - 15.8333
 \end{aligned} \tag{2.2}$$

Now we have established our delay differential equation.

2.3 Delay differential equation

Our system is formed by the dirac delta functions leading to discrete delayed feedback, while the rest of f leading to distributed delayed feedback through integrals, given by eqn (2.3).

$$\dot{x}(t) = -x(t) + x(t-1) + \int_0^1 p_1(\tau)x(t-\tau)d\tau + \dots + \int_5^6 p_6(\tau)x(t-\tau)d\tau \tag{2.3}$$

The characteristic roots of the above DDE give us a choice of exponential rates to use for the problem of approximating the original function $x(t)$. Let us refer to them as basis 1 for the approximation. Now we insert $x(t) = e^{\lambda t}$ in the DDE, carry out the integrations, and obtain the characteristic equation. We do these calculations in Maple. The characteristic equation obtained is

$$\begin{aligned}
\lambda^7 = & (\lambda^6 + 1.991000\lambda^5 + 2.982700\lambda^4 + 3.956800\lambda^3 + 5.060400\lambda^2 + 4.797600\lambda + 9.540000)e^{-1\lambda} \\
& + (-0.132000 + 0.184800\lambda - 0.084100\lambda^5 - 0.070500\lambda^4 - 0.034400\lambda^3 + 0.067200\lambda^2)e^{-6\lambda} \\
& + (5.076000 + 2.582400\lambda + 0.154700\lambda^5 + 0.154300\lambda^4 + 0.085000\lambda^3 + 0.725400\lambda^2)e^{-5\lambda} \\
& + (-18.036000 - 0.019500\lambda^4 - 0.935600\lambda^3 - 4.612800\lambda^2 - 9.144000\lambda - 0.018600\lambda^5)e^{-4\lambda} \\
& + (27.852000 - 0.016800\lambda^5 + 0.961700\lambda^4 + 3.851200\lambda^3 + 9.787800\lambda^2 + 14.047200\lambda)e^{-3\lambda} \\
& + (-22.656000 - 0.991100\lambda^5 - 2.974400\lambda^4 - 5.901400\lambda^3 - 10.030800\lambda^2 - 11.412000\lambda)e^{-2\lambda} \\
& - 1.644000 - 0.997000\lambda^5 - 0.995600\lambda^4 - 0.992000\lambda^3 - 1.015200\lambda^2 - 1.000000\lambda^6 - 0.828000\lambda
\end{aligned} \tag{2.4}$$

The above equation is now solved for λ . It is a transcendental equation with infinitely many roots. But the larger roots of such equation usually follow some discernible pattern, so numerically finding several of them is not really difficult [5]. In particular, eventually the real parts change slowly while the imaginary parts are incremented by near-constant amounts. A Newton-Raphson can be used to find the roots of this equation. We use Maple to obtain the roots of this equation, the first 62 numerical determined roots are shown the Figure 2.4.

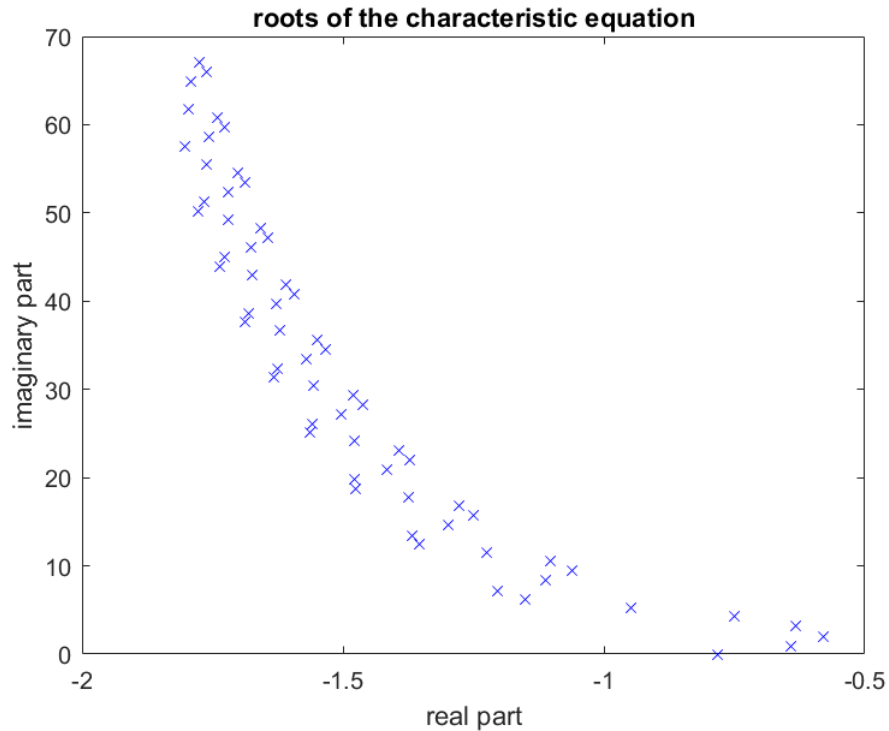


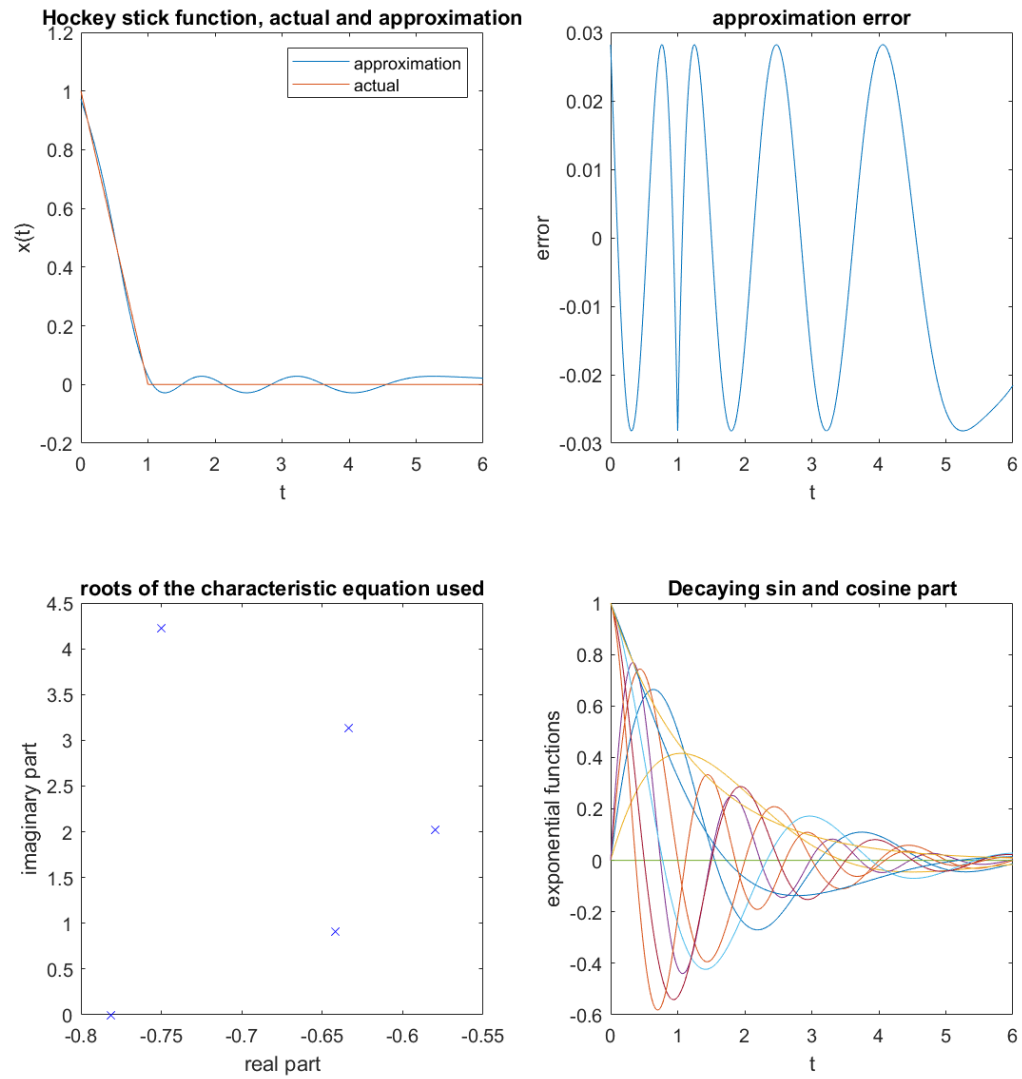
FIGURE 2.4: Roots of characteristic equation.

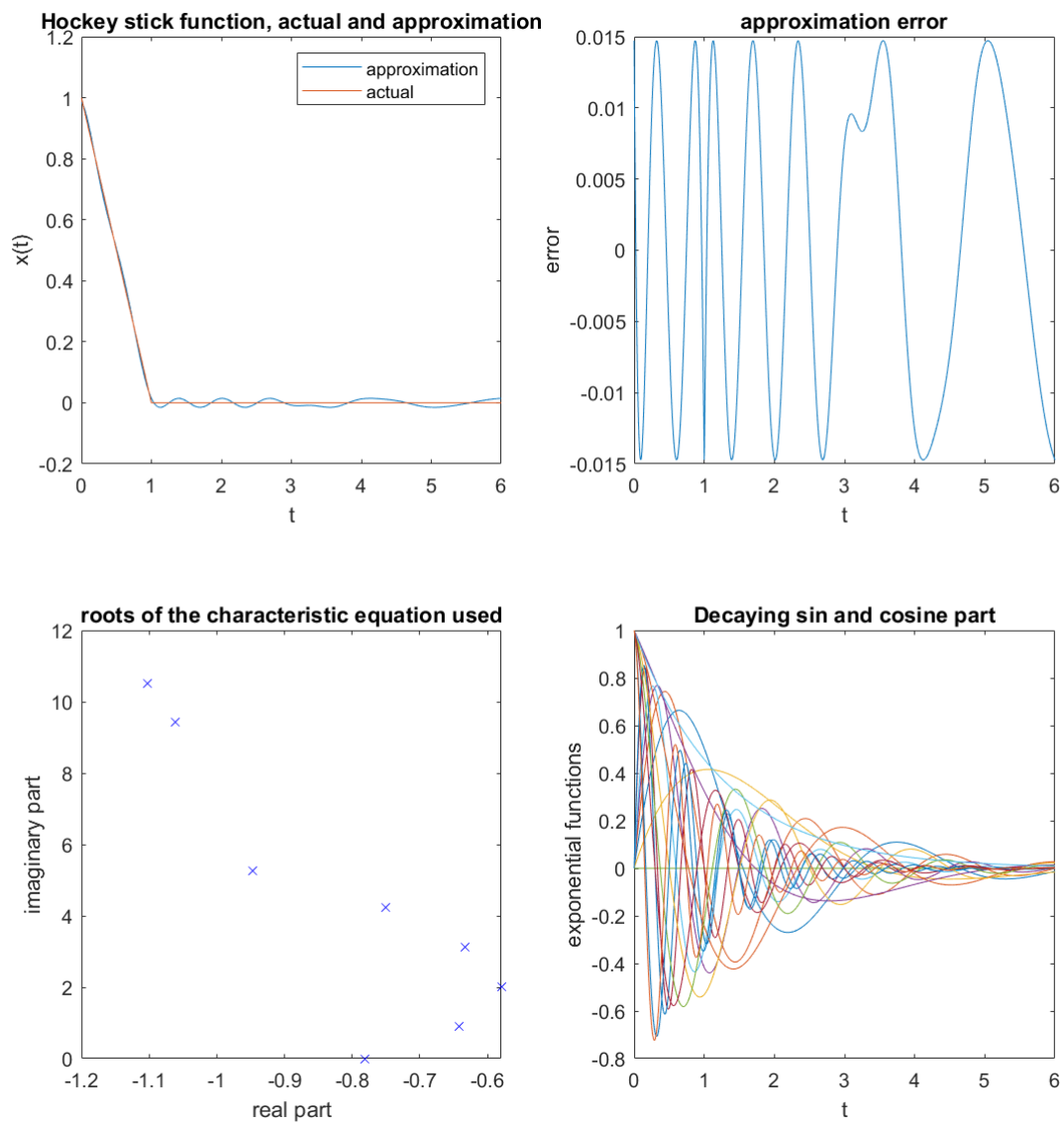
2.4 Least infinity-norm error

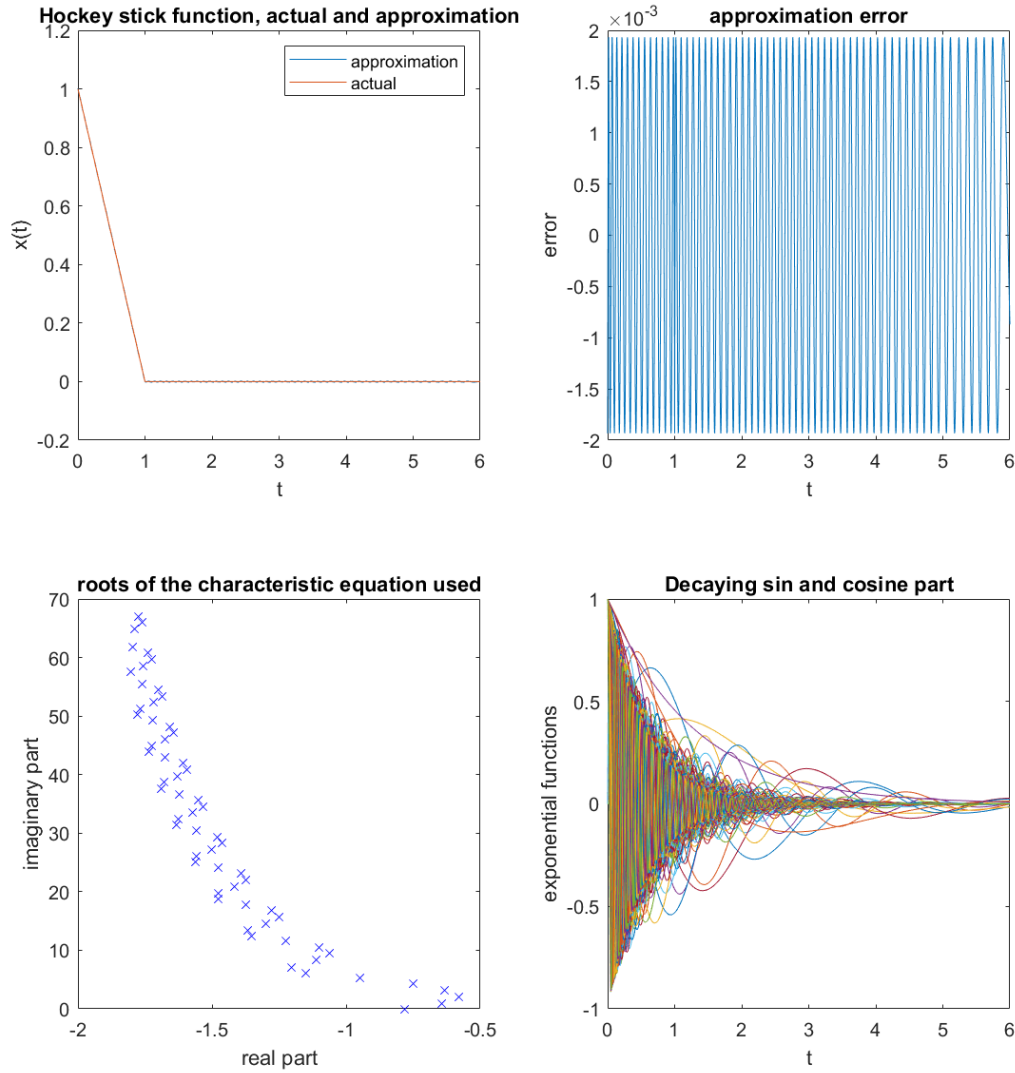
If we have an over determined system $Ax = b$, then the least squares (or minimum-error in 2-norm) solution is easy, and given by MATLAB in response to simply $A \backslash b$. It is less easy, but still standard, to find the solution x that minimizes $\|Ax - b\|_\infty$. We use MATLAB's `linprog` to do build a infinity norm solver.

MATLAB's `linprog` minimizes an objective function for a problem defined by a set of linear equalities and linear inequalities. We set up our solver such that the objective function is the infinity norm e_0 and the equalities corresponds to the errors. i.e., $Ax - b = e$. The inequalities enforce that the errors e are less than the infinity norm i.e., $e_0 \geq \|e\|_\infty$ & $e_0 \geq 0$ where e_i is an element of e . The code for the infinity norm solver can be found in the appendix [A.1.2](#).

Since we want to use both the sine and cosine decaying functions obtained from a complex root. For every complex root of the characteristic equation we also use the corresponding complex conjugate to obtain the decaying sine and cosine functions. After extracting the decaying exponentials, we use our infinity norm solver to obtain the coefficients. Note that the characteristic equation obtained in previous section has one real root. Results obtained using 5 roots (i.e., 8 including complex conjugates and one real) are plotted in Figure [2.5](#). The results obtained using 8 roots are plotted in Figure [2.6](#). The results obtained using 62 roots are plotted in Figure [2.7](#). Only the upper half roots are plotted in the figures.

FIGURE 2.5: Approximation obtained using 4×2 complex roots and 1 real root.

FIGURE 2.6: Approximation obtained using 7×2 complex roots and 1 real root.

FIGURE 2.7: Approximation obtained using 61×2 complex roots and 1 real root.

The preceding graphs show that as the number of terms increase, the quality of the fit improves. Because the roots are complex, oscillations in the error are expected, but the amplitude of these oscillations decreases with increase in terms. High frequency sine and cosine parts are introduced into the system as terms increase, contributing to the system's fast varying solution components (recall the sharp transition at $t = 1$), giving better results.

Now that we have established our method, we will now apply the method on more functions and look at its performance in the next section.

2.5 More functions

We repeat the process for a few more functions, yielding a collection of bases. We will approximate the same functions using these alternative bases to see the changes in approximation and transitions. The functions are approximated from $[0,6]$ and projected until $t = 19$ to observe the transitions.

To form the basis, we use the following functions. Let us refer to them as f_1 , f_2 , and f_3 and the bases obtained from them as basis 1, basis 2, and basis 3 respectively. Furthermore, we also use a simple basis (basis 0) obtained from the simple DDE: $\dot{x}(t) = -0.1x(t - 6)$.

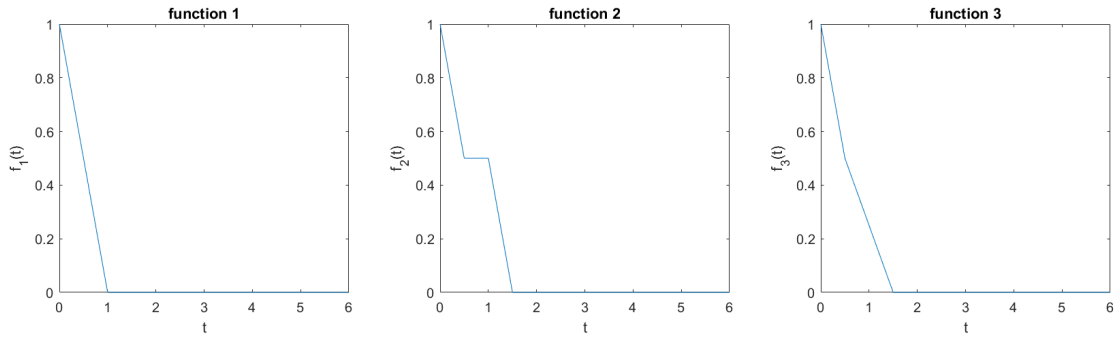
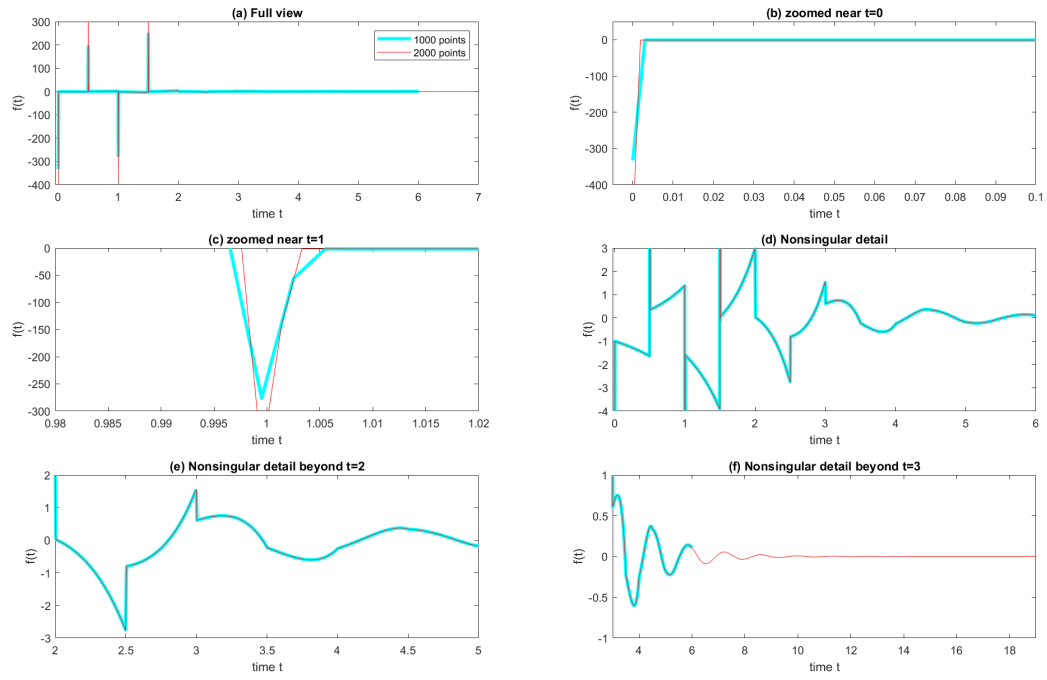
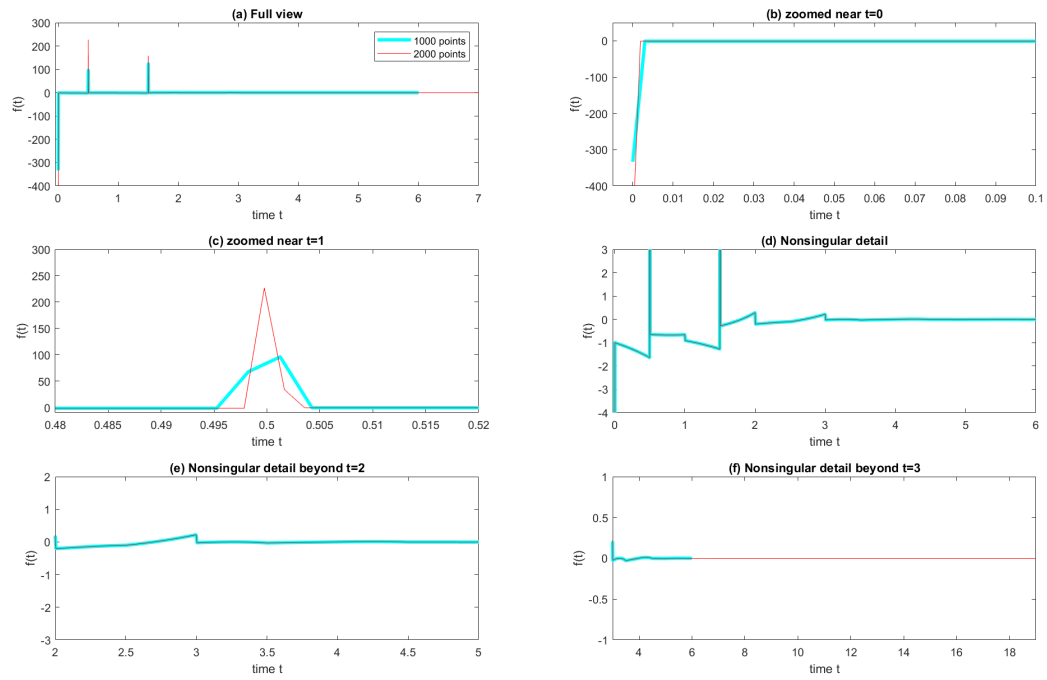
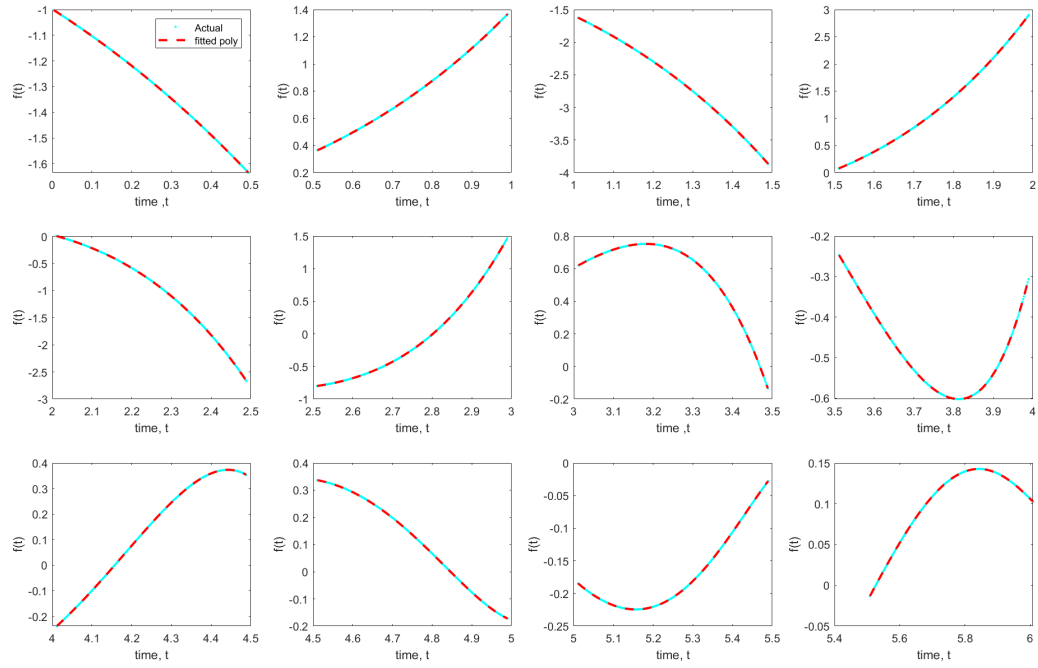
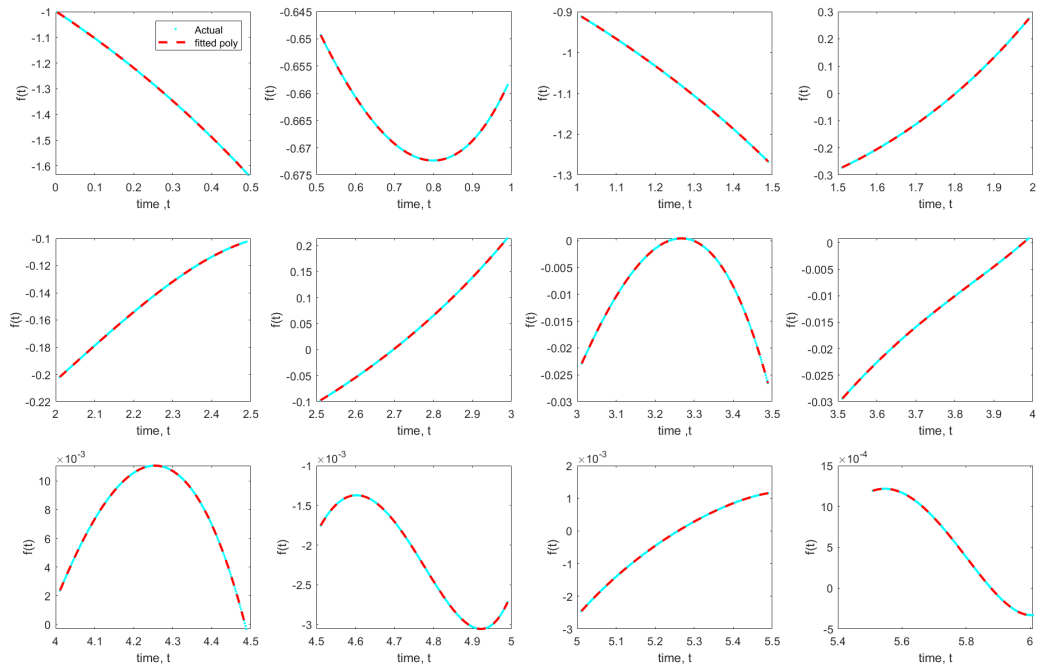


FIGURE 2.8: Function to be approximated.

Since f_1 is the hockey stick function, calculations for it were carried out in the previous sections. Here we will focus on the other two functions. Repeating the process for the functions, we find four dirac-delta functions in f_2 and three in f_3 as seen in Figures 2.9 and 2.10 respectively. These contribute to the discrete delayed feedbacks and the rest of the $f(t)$ is approximated by piecewise polynomials on the intervals $(0, 0.5)$, $(0.5, 1)$ and so on, giving the distributed delayed feedback through integrals. For uniformity of treatment with f_1 , a maximum delay of 6 is used in both the cases. Fifth order polynomials are used to approximate these, which are shown in Figure 2.11 and 2.12 for f_2 and f_3 respectively (cyan: actual value; dotted red: polynomial fit).

Now that polynomials are constructed and dirac-delta functions are known, corresponding DDEs for the respective functions are established. Figure 2.13 shows the roots obtained after setting up the respective DDEs for the functions.

FIGURE 2.9: First view of f for f_2 .FIGURE 2.10: First view of f for f_3 .

FIGURE 2.11: Piecewise polynomial approximation for $f(t)$ in f_2 .FIGURE 2.12: Piecewise polynomial approximation for $f(t)$ in f_3 .

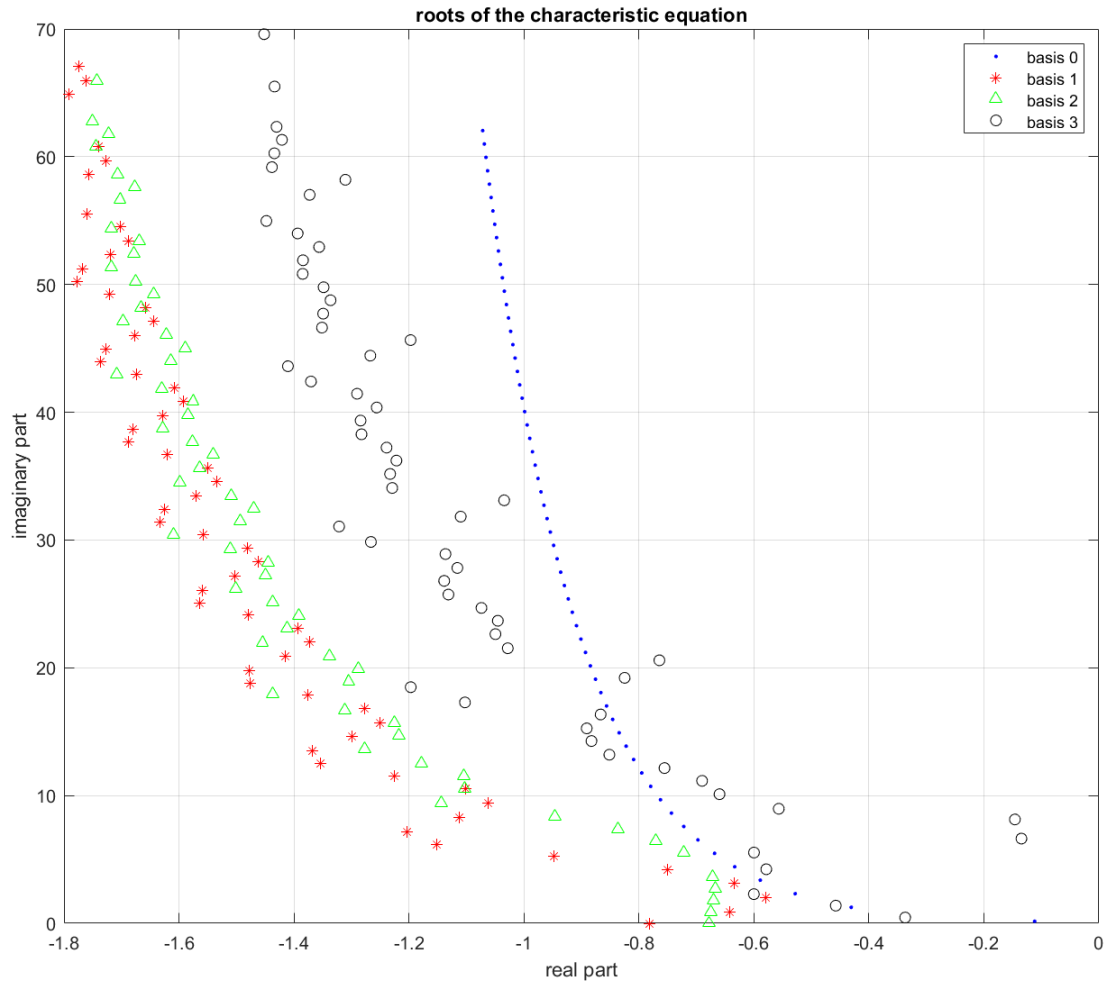


FIGURE 2.13: Roots of respective characteristic equations.

We approximate the above functions individually using all of the bases to obtain the best fit and observe the performance. We use infinity-norm to fit the functions with first 60 roots of each characteristic equation. Figure 2.14 depicts approximation of f_1 . Figures 2.15 and 2.16 depict the approximation of f_2 and f_3 , respectively.

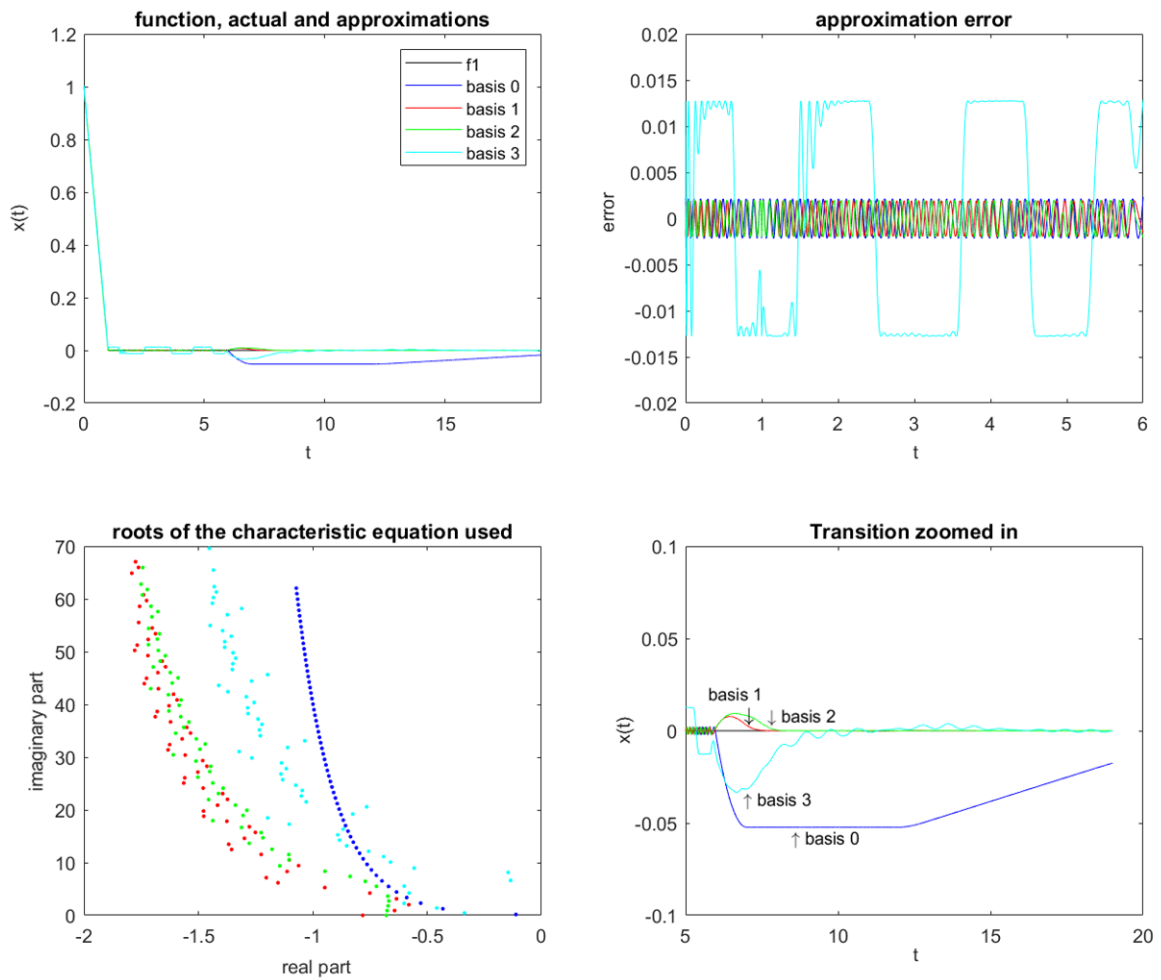


FIGURE 2.14: Approximation of function 1 using different basis.

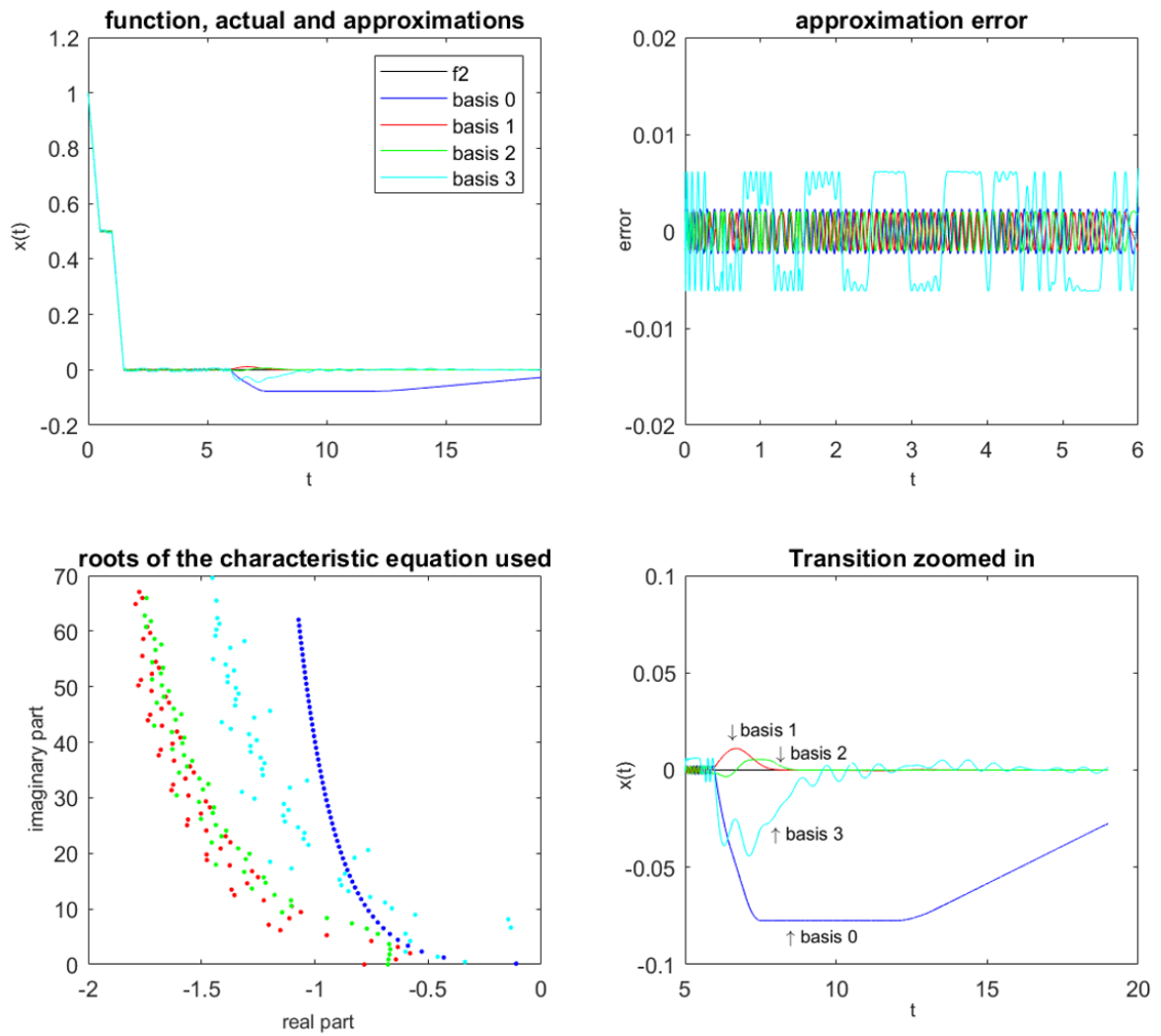


FIGURE 2.15: Approximation of function 2 using different basis.

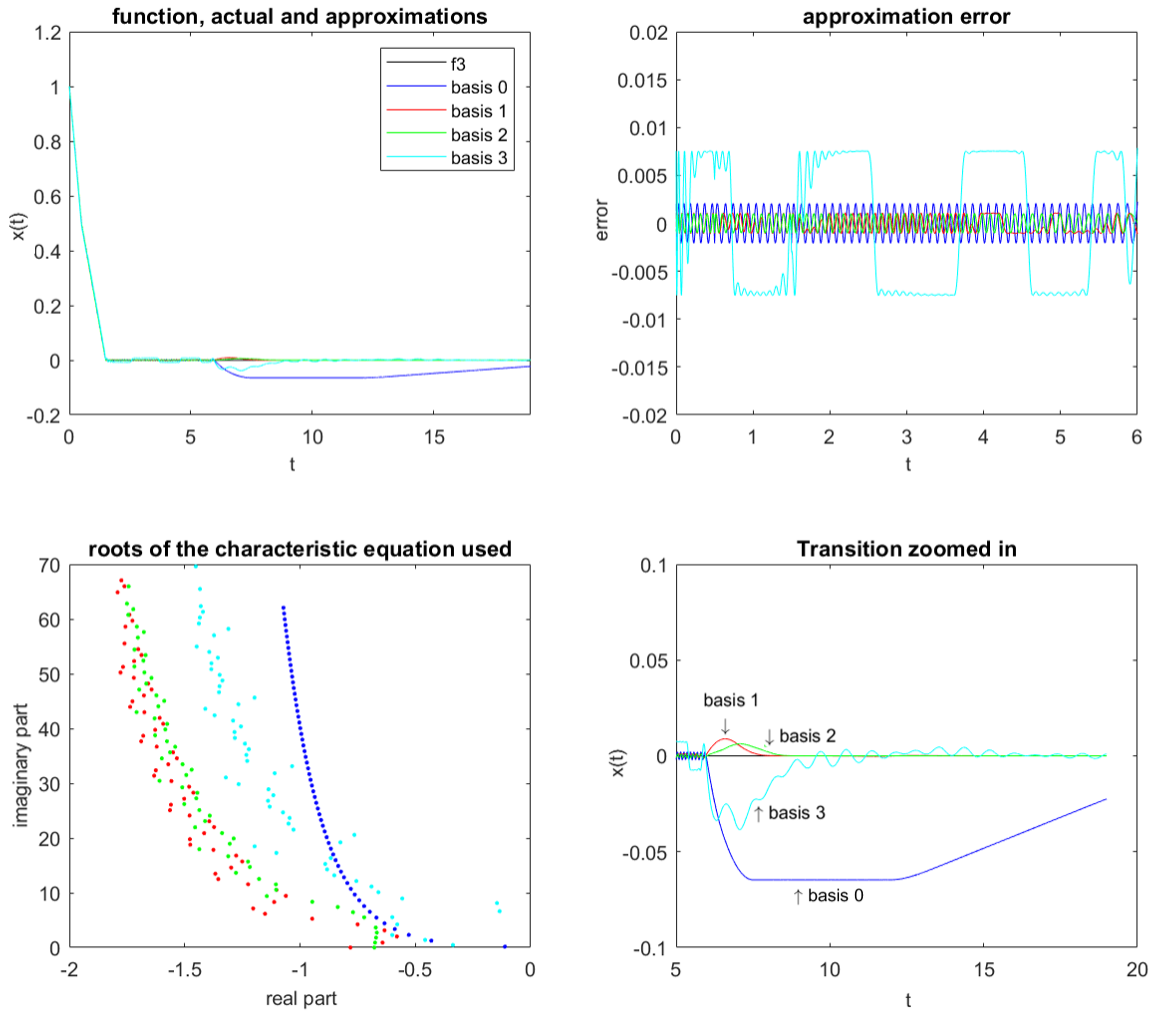


FIGURE 2.16: Approximation of function 3 using different basis.

The basis3 (cyan) struggles to approximate any of the functions since it has two roots with slow decaying components, whereas the other functions produce an excellent fit until the transition, as shown in the preceding figures. Because we are fitting our exponential functions till a finite distance ($t = 6$), we see a large response with slow decay after that. Since the exponents were only fitted to match the response up to a certain time, this is to be expected. After $t = 6$, the basis0 has a large response which eventually decreases whereas the other basis have relatively smaller responses decaying eventually. The equal amplitudes in the error with time is due to the fact that we are using infinity norm as our criteria for the fit. Had we used least square fit the error amplitude would vary with time.

To summarise, the approach works well for functions with faster decaying characteristic roots. The method offers excellent fit up-to a finite distance beyond which we get an undesired response which eventually decays. Future work may investigate the role of the few right-most roots (slowest decaying exponentials) as well as the length of the interval used for fit (here, $t = 6$).

Chapter 3

Approximation of limit surfaces for frictional sliding

This chapter focuses on the report’s second problem, which is motivated from an application in robotics. One of the basic manipulation tasks a robot can perform is pushing an object kept on a horizontal plane. A combination of a force and a moment is required to overcome the frictional loads to cause motion. The motion itself may involve some combination of translation and rotation. For a particular generalized motion direction, these generalized frictional load vectors can be calculated by simply summing up the frictional forces at the contact points or calculating integrals over the contact patch. But estimating the motion direction for a given frictional load requires solutions to non-linear equations involving integrals, which is more difficult. Interestingly, all the possible configurations of these frictional loads for a given contact surface form a convex set, and the boundary of this set is called the limit surface. These limit surfaces have some desirable properties, which are utilized to develop models for load-motion mapping. Since robots may be forced to push objects in a specific direction due to some obstacles or mechanical limitations, these models may be used in path and motion planning decisions. These models require an approximated limit surface. Simple approximations have been developed in the past for these limit surfaces, a brief overview of which is presented in [3.1](#). In this chapter, we develop our own approximation for these limit surfaces which is more accurate than those developed in the past. Dr. Devesh Jha (of MERL) provided us relevant information to study this problem and gave multiple insights on the topic.

We start by reviewing some of the past work in this field. In subsequent sections, we define and study these limit surfaces, develop a method to generate them and show several examples of limit surfaces. We then present our method to approximate them, study its performance and compare it to previous work.

3.1 Related work

In this section, we review some literature which is closest to our proposed work. One of the earliest papers that presented modeling of limit surfaces was presented in [6]. Our work is mostly inspired from the analysis presented in [6]. Those authors show that all possible static and sliding frictional load vectors (generalized) form a convex set whose boundary is called the limit surface.

In [7], the authors show that the limit surface can be approximated by a three-dimensional ellipsoid. These ellipsoids are fitted by calculating the maximum friction force and maximum moment, and then used to calculate the major and minor axis as well as the tilt angles of the ellipsoid. This model was proposed in 1996, and was designed to reduce the computational load required to generate an approximate limit surface. Now with easier computing, more complex models can be constructed giving more accurate approximations.

More recently, [8] presented a framework of representing planar sliding force-motion models using homogeneous even-degree sum-of-squares (sos) convex polynomials. These can be identified by solving a semi-definite program, and the set of applied wrenches can be obtained by 1-sublevel set of a convex polynomial [8].

The work presented in [7] shows an ellipsoidal approximation of the limit surface which is computationally simple to evaluate. Consequently, this model has been widely used for various manipulation tasks [9, 10, 11, 12, 13, 14].

3.2 Analytical model for pusher slider system

To better understand the relationship of frictional loads and motion, we begin with an analytical model for the pusher slider system. We specify some notation and the configurations of the slider, which will be used throughout the study.

Consider the case of a rigid body sliding on a plane. This body's only interaction with the surface is through contact forces. The normal force or pressure and coefficient of friction at the point of contact or surface, are both assumed known. The magnitude of frictional force at each location is determined solely by the orientation and direction of slipping of the body, not by the velocity of slipping.

A reference point C is chosen as our body's geometrical center and assumed to be at the origin for convenience. A unit motion vector \mathbf{q} has components which are the reference point's translation velocity and the angular velocity of the body, which we write as $\mathbf{q} = [q_x, q_y, \omega]$. The velocities are normalized by a characteristic length of the body. The net frictional load is $\mathbf{P} = [F_x, F_y, M]$ where F_x and F_y are the net forces and M is the moment about z axis. The forces are also normalized by multiplication with the same characteristic length. \mathbf{P} is the required external load to overcome the frictional forces to cause motion. These forces and moment can be calculated as integrals over the contact patch. Let $f = [f_{ax}, f_{ay}]$ be the frictional force at a contact point A on the patch with coordinates as $r_a = [x_a, y_a]$. The net frictional forces as be calculated as

$$F_x = \int_A f_{ax} dA \quad F_y = \int_A f_{ay} dA \quad M = \int_A (x_a f_{ay} - y_a f_{ax}) dA \quad (3.1)$$

When we deal with a surface with discrete contact points instead of a patch, the integrals change to sum over all the contact points, and the rest stays the same.

All the possible combinations of these frictional load \mathbf{P} over the contact patch, form a convex set. The boundary of this set is called the limit surface. The limit surface has the following properties [6]

- if \mathbf{P} is inside the limit surface, then $\mathbf{q} = 0$
- \mathbf{q} is normal to the limit surface, given the surface is smooth and a unique normal exists
- At the edges of the limit surface, \mathbf{q} is non-unique for a particular \mathbf{P}
- At the facets (flat patches), the \mathbf{q} is same for all \mathbf{P} lying on the facet

The authors of [6] provide a formal proof of the existence of limit surfaces as well as their properties. Here we are only interested in approximating these surface.

To construct these limit surfaces, all we need is a set of all possible frictional loads \mathbf{P} under sliding motion. For a given motion, load calculation constitutes a forward problem and is easy to compute. We consider a large number of motion vectors \mathbf{q} uniformly distributed on the surface of a sphere (we used 2000 such vectors) and calculate the corresponding \mathbf{P} in load space for each \mathbf{q} , using equation 3.2.

$$F_x = \sum_{k=1}^n f_{x,k} \quad F_y = \sum_{k=1}^n f_{y,k} \quad M = \sum_{k=1}^n (x_k f_{y,k} - y_k f_{x,k}) \quad (3.2)$$

With this method we obtain a large set of possible frictional loads \mathbf{P} , which lie on the limit surface. These loads can be plotted in load space (F_x and F_y on x and y axis respectively, with M on the z axis) to analyse. In the next section we will look at these surfaces in depth for a few cases and prepare our fitting data for the approximation.

3.3 Limit surfaces and data generation

We shall look at these limit surfaces for some simple cases first. Let us consider an arbitrary body with a single contact point at $(1, 0)$. The calculated the limit surface (a curve in this case) is plotted in Figure 3.1 in load space.

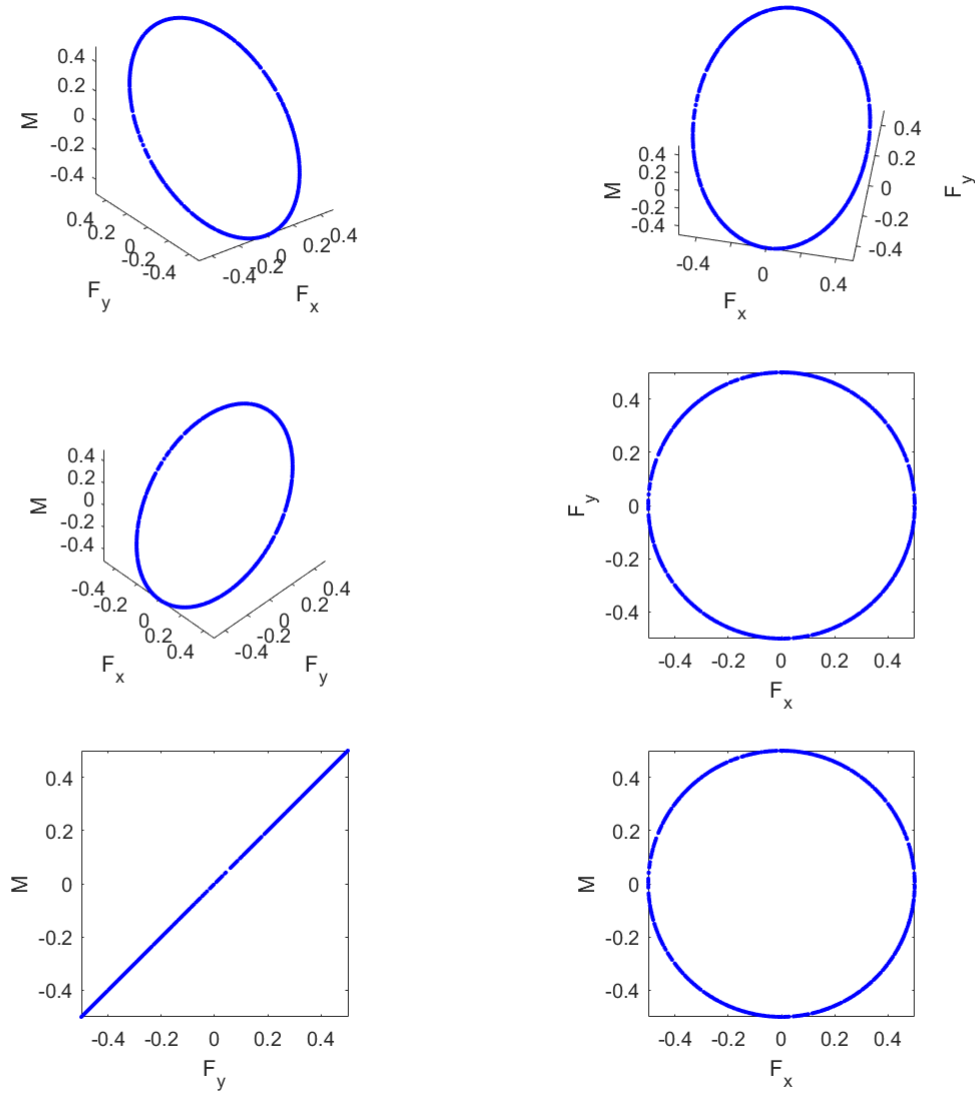


FIGURE 3.1: Limit curve for a single contact point.

Consider a two point contact case (a rigid bar with contact points at two ends) as shown in Figure 3.2. The limit surface calculated is plotted in Figure 3.3.

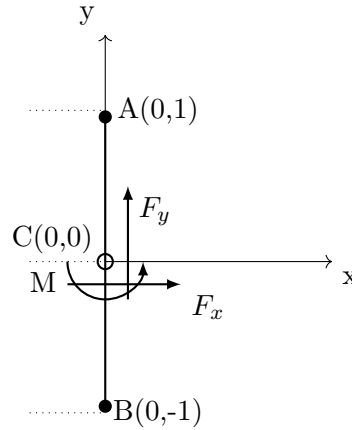


FIGURE 3.2: A rigid bar supported at the ends.

Figure 3.3 shows four red circles on the limit curve; these circles enclose flat faces and correspond to a motion where in one of the contact points becomes the COR, and the frictional force is indeterminate. As long as the frictional force at that contact point is less than the allowable frictional force, the motion will continue. As a result, the surface has flat patches or facets, and it can be concluded that the number of facets on the surface equals two times the number of contact points. This is in agreement with the property stated earlier that is, on the facets there is a non-uniqueness in \mathbf{P} and the \mathbf{q} is unique throughout it.

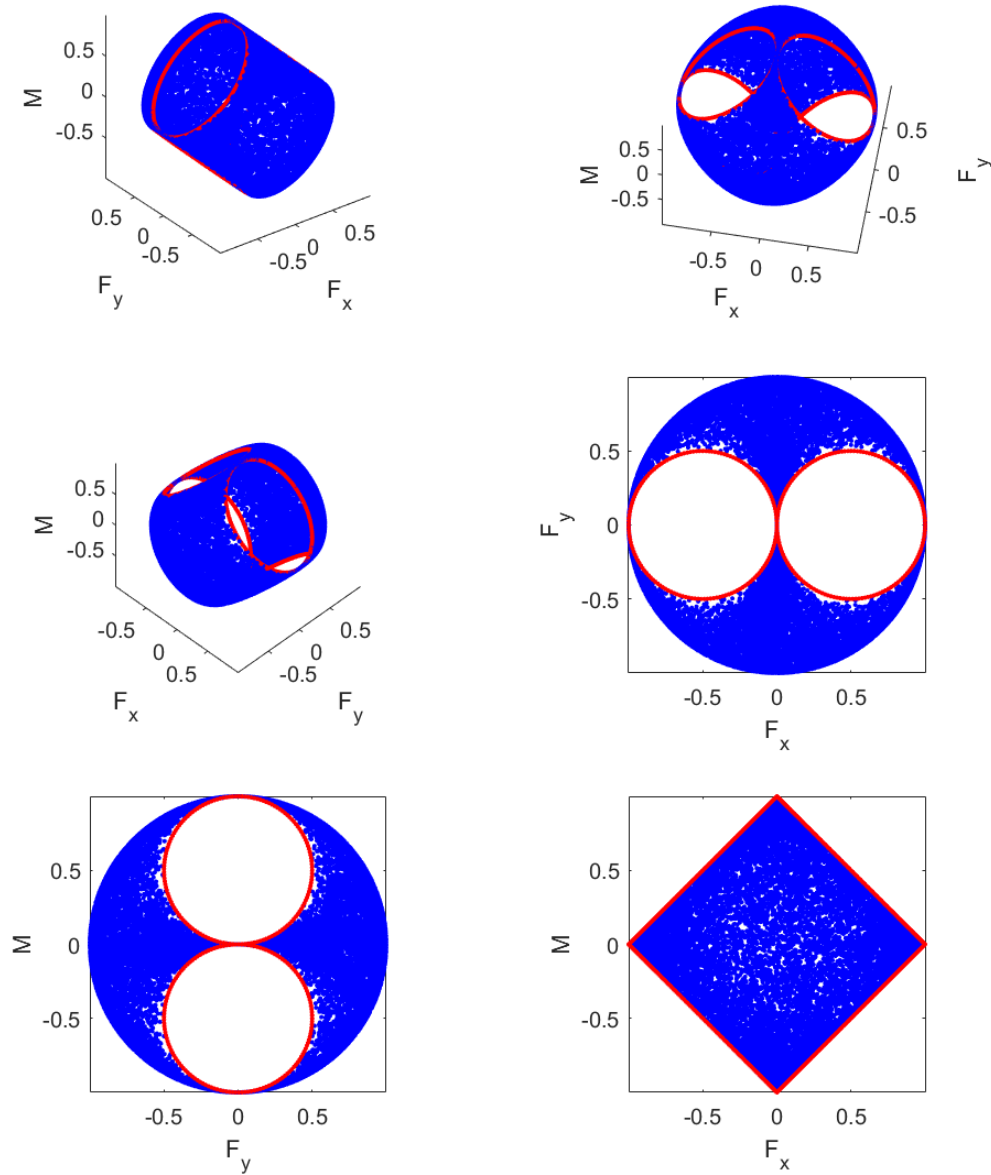


FIGURE 3.3: Limit surface for the rigid bar in Figure (3.2).

In the case of continuous contact patches (we took 400 uniformly distributed points to discretize the patch), these facets vanish, resulting in a continuous limit surface, as seen in Figure 3.4. Figure 3.4 shows the limit surface for a continuous square patch of edge length 2 and centred at the origin.

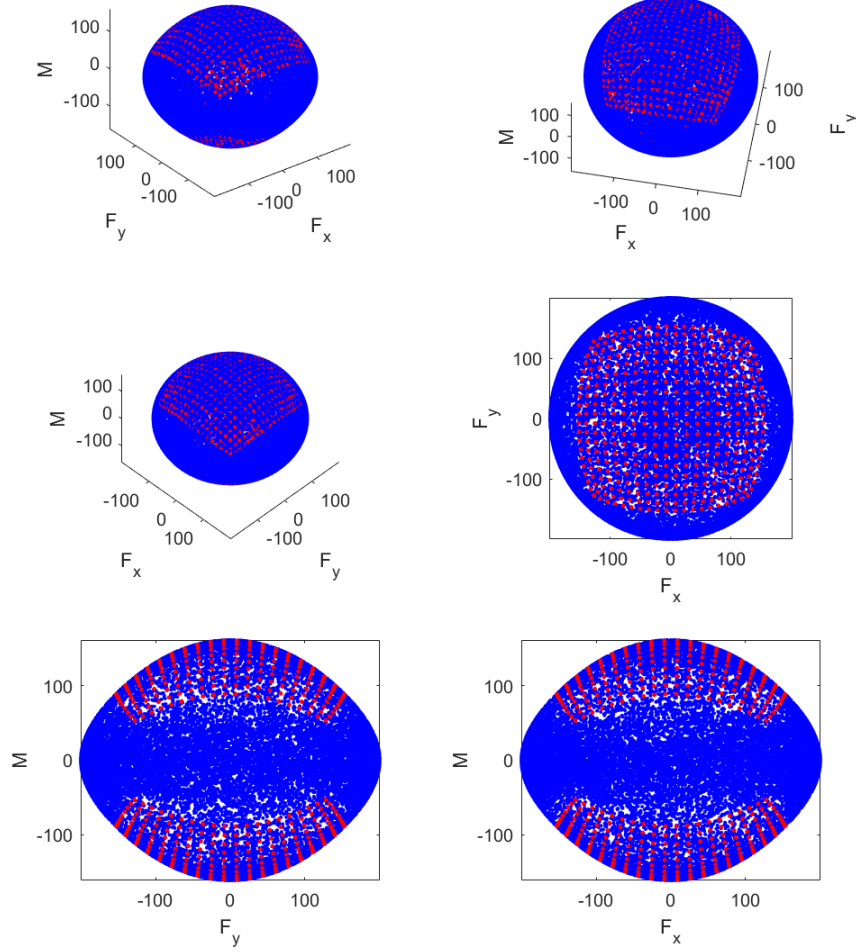


FIGURE 3.4: Limit surface for a continuous square patch.

This data of \mathbf{P} and \mathbf{q} can now be utilised as fitting data for our approximation, but it will not offer us good fits on the facets, when dealing with discrete contact points because none of our data points are on the facets. To address this problem, we calculate a few additional data points, focusing on the facets.

We consider each contact point to be the COR, and calculate velocities and forces on the other contact points while uniformly applying random forces ranging from zero to the maximum allowable frictional force in every direction at the COR. We get our fitting data by combining these data points with the original set. Now, with fitting data set prepared we can move onto our approximation method.

3.4 Approximation method

We want to approximate the limit surface as seen in Figures 3.3 and 3.4 such that for every frictional load \mathbf{P} we can find the corresponding incipient motion \mathbf{q} and vice versa using our formulation. We prepare the fitting data set of \mathbf{P} and \mathbf{q} as described in the previous section.

We define a failure surface $H_i(f)$ (subscript i denotes the number of terms in the approximation) as follows:

$$H_1 = f^T A f \quad 1 \text{ term} \quad (3.3)$$

$$H_2 = f^T A f + (f^T B f)^{1/2} \quad 2 \text{ terms} \quad (3.4)$$

$$H_3 = f^T A f + (f^T B f)^{2/3} + (f^T C f)^{1/3} \quad 3 \text{ terms} \quad (3.5)$$

The failure surface is defined as follows:

- $H(f) < 1$ corresponds to f lying inside the limit surface, implying $v = 0$
- $H(f) = 1$ implies f lies on the limit surface
- The corresponding velocity direction is given by the normal, $v = \frac{\nabla H(f)}{\|\nabla H(f)\|}$

Here f and v are the load and the motion vectors respectively. A, B, C are 3×3 matrices. We define A, B, C such that they are symmetrical and positive semi definite, via the Cholesky decomposition. For each matrix we have six design parameters and these form an upper triangular matrix U . Using U we form our symmetric and positive semi definite matrix A , $A = U^T U$, other matrices are formed similarly. $H(f)$ also obeys the following properties:

- Symmetry: $H(f) = H(-f)$
- Scalability: α is a scaling parameter, that can be computed such that $H(\alpha f) = 1$, for any f
- Invertibility: f can be computed numerically such that $\frac{\nabla H(f)}{\|\nabla H(f)\|} = v$, for a given v

We chose the following objective functions to get a fit for the limit surface. We fit our failure surface using two types of objective functions J_a and J_b . The following are the objective functions with increasing terms in approximation. We will consider upto 4 terms

in our analysis

$$J_{a,1} = \sum_{k=1}^n (f_k^T A f_k - 1)^2 \quad (3.6)$$

$$J_{a,2} = \sum_{k=1}^n (f_k^T A f_k + (f_k^T B f_k)^{1/2} - 1)^2 \quad (3.7)$$

$$J_{a,3} = \sum_{k=1}^n (f_k^T A f_k + (f_k^T B f_k)^{2/3} + (f_k^T C f_k)^{1/3} - 1)^2 \quad (3.8)$$

$$J_{a,4} = \sum_{k=1}^n (f_k^T A f_k + (f_k^T B f_k)^{3/4} + (f_k^T C f_k)^{2/4} + (f_k^T D f_k)^{1/4} - 1)^2 \quad (3.9)$$

$$J_{b,1} = \sum_{k=1}^n (f_k^T A f_k - 1)^2 + \|v_k - \hat{v}\|^2 \quad (3.10)$$

$$J_{b,2} = \sum_{k=1}^n (f_k^T A f_k + (f_k^T B f_k)^{1/2} - 1)^2 + \|v_k - \hat{v}\|^2 \quad (3.11)$$

$$J_{b,3} = \sum_{k=1}^n (f_k^T A f_k + (f_k^T B f_k)^{2/3} + (f_k^T C f_k)^{1/3} - 1)^2 + \|v_k - \hat{v}\|^2 \quad (3.12)$$

$$J_{b,4} = \sum_{k=1}^n (f_k^T A f_k + (f_k^T B f_k)^{3/4} + (f_k^T C f_k)^{2/4} + (f_k^T D f_k)^{1/4} - 1)^2 + \|v_k - \hat{v}\|^2 \quad (3.13)$$

Here f_k and v_k are the load and motion vector from the fitting data, \hat{v} is the approximated motion vector and A, B, C, D are matrices that are found by minimizing the objective function J wrt to parameters in matrices A, B, C, D using simple optimization strategy. We use MATLAB's inbuilt solver *fminunc* to optimize the parameters of the matrices. *fminunc* uses the BFGS Quasi-Newton method with a cubic line search procedure for optimization.

Now that A, B, C, D are known, we want to construct the limit surface with our formulation. For the same, we chose a generalized load and scale it such that it lies on the limit surface; i.e., we enforce $H(f) = 1$. Let f_k be the generalized load and let α be a scaling parameter such that αf_k causes slip, i.e., αf_k resides on the limit surface. Therefore αf_k must satisfy $H(\alpha f_k) = 1$ -

$$\alpha^2 f_k^T A f_k = 1 \quad \text{1 term} \quad (3.14)$$

$$\alpha^2 f_k^T A f_k + (\alpha^2 f_k^T B f_k)^{1/2} = 1 \quad \text{2 terms} \quad (3.15)$$

$$\alpha^2 f_k^T A f_k + (\alpha^2 f_k^T B f_k)^{2/3} + (\alpha^2 f_k^T C f_k)^{1/3} = 1 \quad \text{3 terms} \quad (3.16)$$

$$\alpha^2 f_k^T A f_k + (\alpha^2 f_k^T B f_k)^{3/4} + (\alpha^2 f_k^T C f_k)^{2/4} + (\alpha^2 f_k^T D f_k)^{1/4} = 1 \quad \text{4 terms} \quad (3.17)$$

The above equations are simple polynomial equations in some power of α and can be easily solved. With the aforementioned method we can construct the limit surface once the matrices are fitted. Moreover, we can determine forces that cause slip in any arbitrary direction by considering a unit vector in that direction and scaling it such that it lies on the limit surface.

With our approximation established, we study our method for two particular cases. First for a right angled triangle with contact points at the each of the vertices and second for the same triangle but with a continuous contact patch as shown in Figure 3.5. The reference point C is taken as the centroid of the triangles and positioned at the origin. We assume uniform friction distribution in both the cases. We generated 2000 data points for the fit and additionally 900 points on the facets for the first case to complete our fitting data. Similarly a testing data of 2000 data points was also generated which will be used for error measurement in the next section.

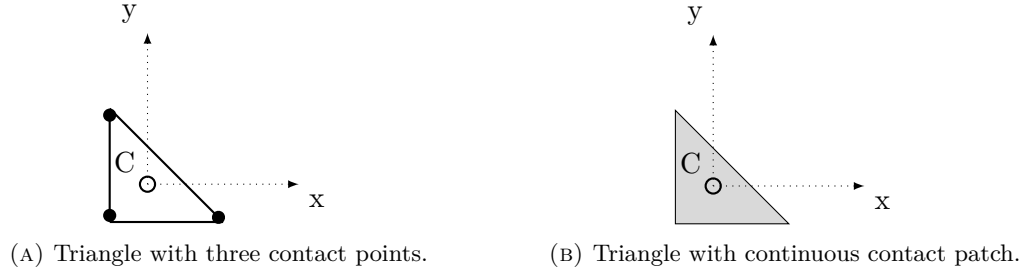


FIGURE 3.5: Two different slider configurations.

With the data set ready, the matrices are fitted for the approximations and the limit surfaces are then generated using these matrices. Figure 3.6 shows the limit surfaces generated for the case of three contact point using one, two, and three term approximation along with the fitting data. Figure 3.7 shows the same for the case of a continuous contact patch.

Figure 3.6 illustrates the fitting data set and the approximated surface. In Figure 3.6a it can be observed that as the terms in approximation increase the features of the facets are captured effectively. One term approximation which is basically an ellipsoid approximation struggles to capture the facets whereas three term approximation is able to capture these features. Figure 3.6b shows the results of adding an extra velocity error in our objective function, the corresponding failure surface may not be as accurate as in Figure 3.6a but, should give us better velocity approximations as compared to J_b . This is further studied in the next section.

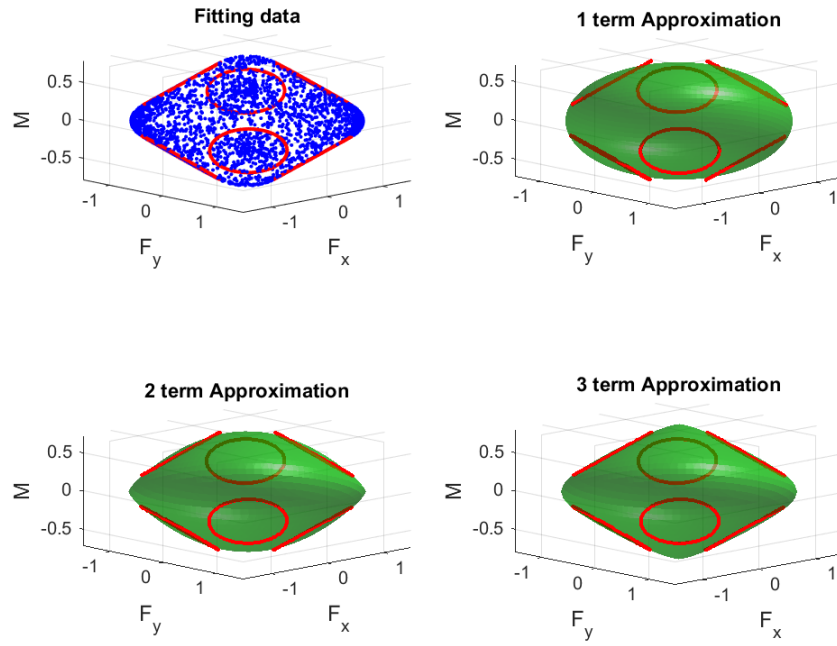
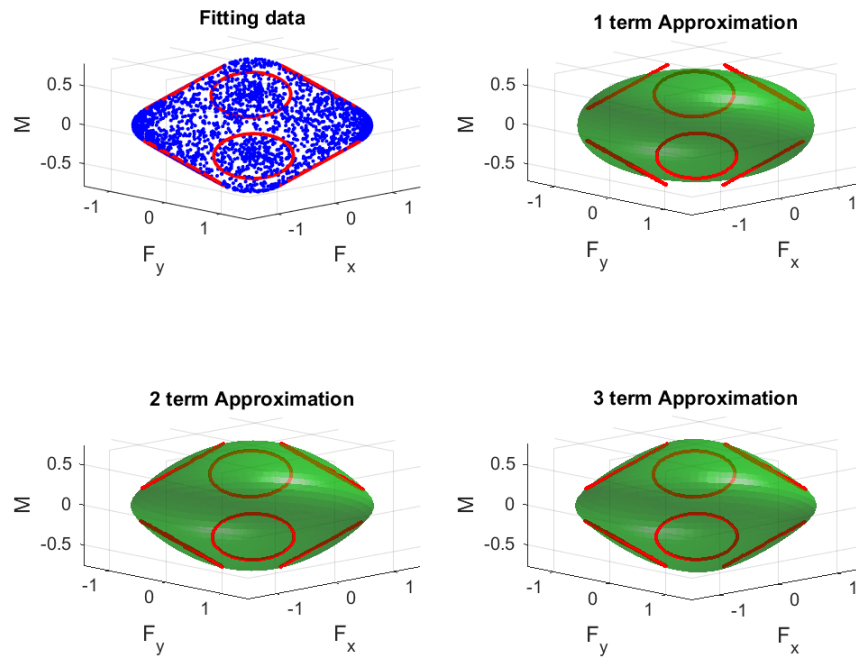
(A) Approximations using J_a .(B) Approximations using J_b .

FIGURE 3.6: Approximated limit surface for three point contact.

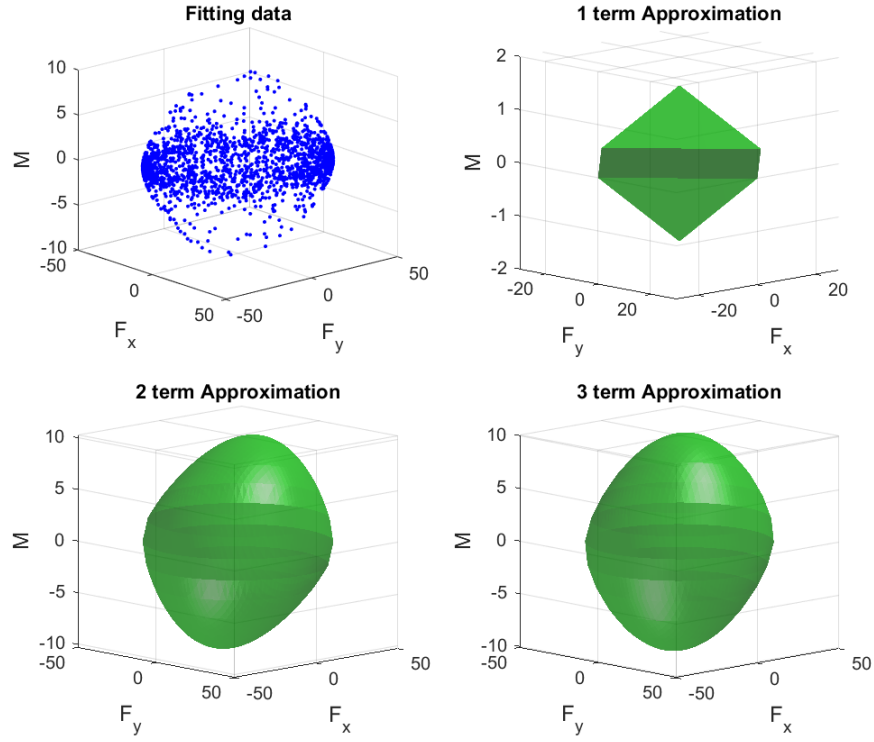
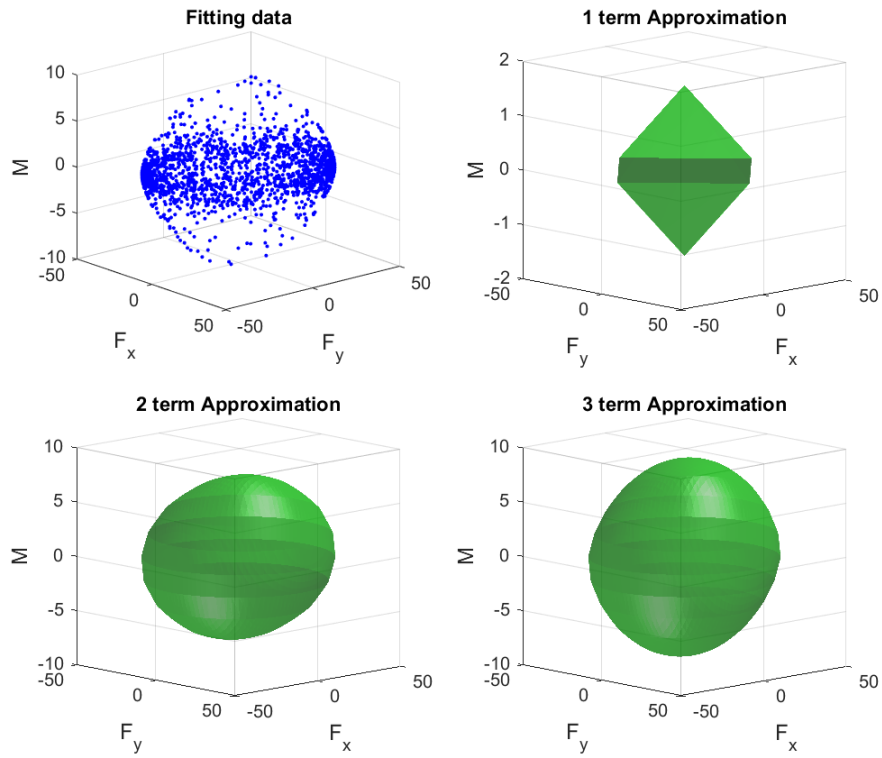
(A) Approximations using J_a .(B) Approximations using J_b .

FIGURE 3.7: Approximated limit surface for continuous patch.

Figure 3.7 shows the fitting data and the approximated surface for the continuous contact patch. It can be seen that the one term approximation does not perform well, as it maps most of the data set to the center of the surface. Higher term approximations fit the limit surface well.

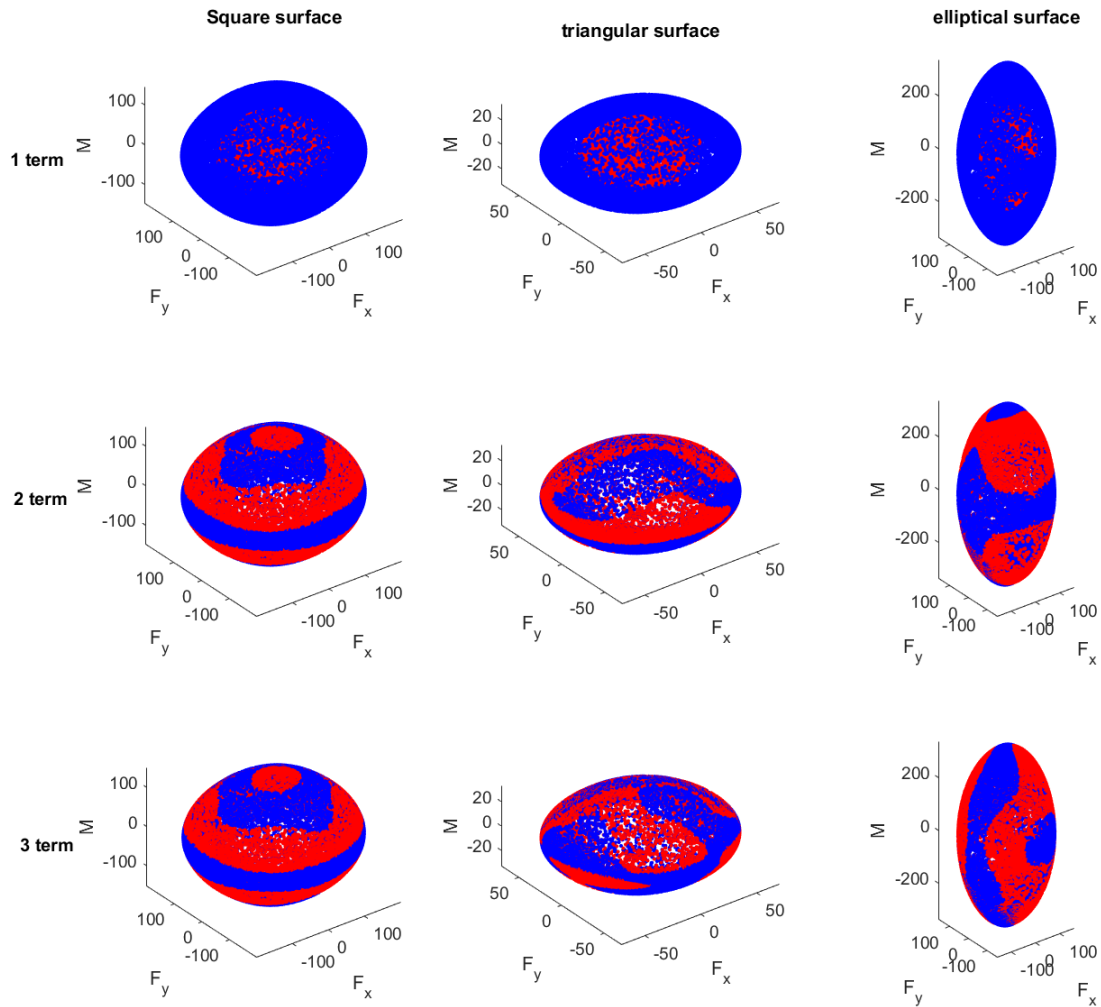


FIGURE 3.8: Approximations: blue- original, red- approximation.

Figure 3.8 shows upto three term approximation of limit surfaces for three different continuous surfaces, square, triangular and elliptical. The blue surface is the original data and the red surface is the approximated surface. It is clear that the one term approximation (ellipsoidal approximation) struggles, whereas the higher term approximations perform better.

3.5 Error measure

We established our method and saw several examples that gave us an idea how our formulation performs with increasing terms. In this section, we look at the performance of the formulation in terms of errors. For the same, we define some error measures and then plot the empirical distribution of the errors to examine its performance. To study this, two types of error measure are defined, force based and velocity based. Here we refer loads and motion vectors as force and velocity vectors respectively.

In velocity based error, our aim is to examine at the errors between the original motion vector and the approximated motion vector the formulation generates. For a testing data (f, v) , approximated velocity \hat{v} is calculated using normalised surface normal given by the gradient, $\hat{v} = \frac{\nabla H(f)}{\|\nabla H(f)\|}$ and error is defined as the norm of the difference between the two i.e., $\|v - \hat{v}\|$. Since v and \hat{v} are unit vectors, error can range from zero to two.

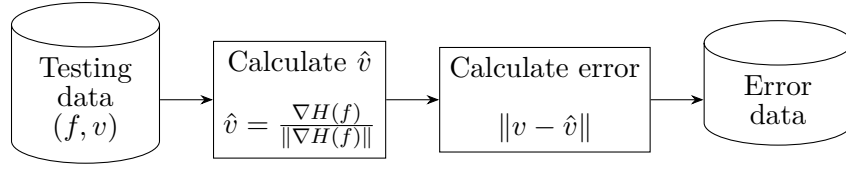


FIGURE 3.9: Velocity based error.

In force based error, our aim is to study the errors between the original load vectors and the approximated load vector. Here we take a slightly different approach to generate our original load vectors. 1000 unit vectors lying uniformly on the surface of the sphere are considered, which are then scaled using equations 3.14, 3.15, such that they lie on the limit surface. Let us call them f_s (scaled force), these are forces generated using our formulation. Now, using f_s velocity v_s is calculated using normalised unit gradient at f_s , $v_s = \frac{\nabla H(f_s)}{\|\nabla H(f_s)\|}$. For a given motion direction, calculation of frictional force is easy. So, using v_s corresponding forces f are calculated by equation 3.2. These forces serve as our original force vectors, which are then compared with our approximated forces. Hence, force error is defined as the norm of the difference between f_s and f i.e., $\|f_s - f\|$.

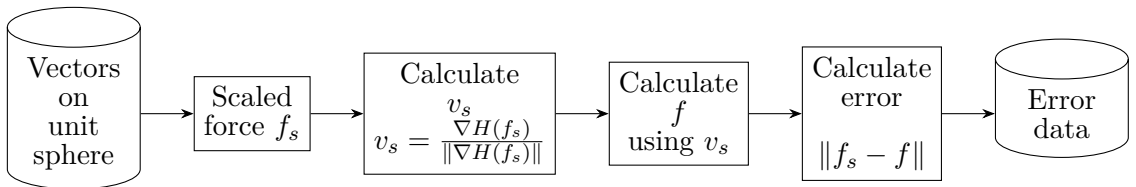


FIGURE 3.10: Force based error.

The empirical distribution of the errors are plotted in Figures 3.11 and 3.12 to compare the different fits and objective functions. A fourth order convex polynomial fit as described in [8] is fitted using a least square method and superimposed on the plots for comparison.

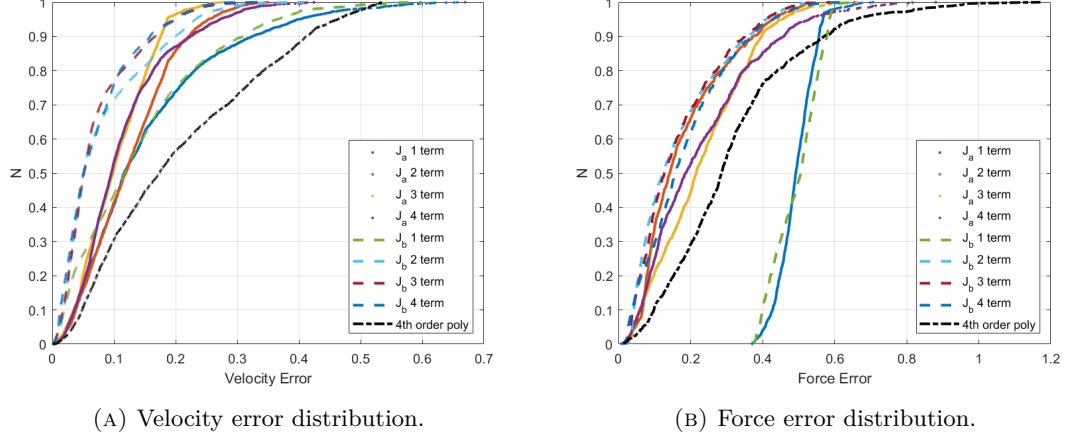


FIGURE 3.11: Error plots for three point contact.

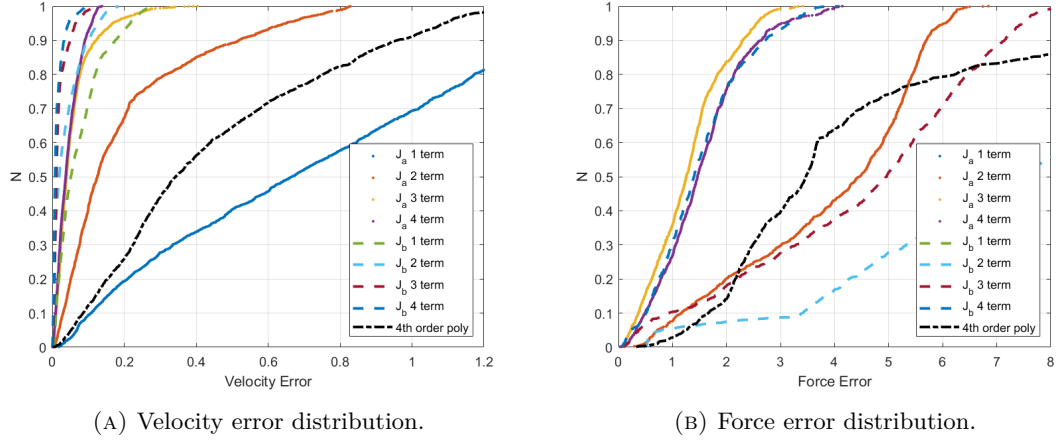


FIGURE 3.12: Error plots for continuous distribution.

In velocity error distributions, J_b performs better than J_a as expected and with higher terms quality of the approximation increases (100% of the points have errors less than 0.3). Almost every approximation performs better than the fourth order polynomial in case of three point contact. Same observations can be drawn in case of continuous contact patch except that fourth order polynomial performs better than one term approximation fitted using J_a which is basically an ellipsoid.

In force error distributions, higher term approximations fitted using J_b perform similar to two term approximation fitted using J_a . We observe that one term approximations perform poorly in case of three point contact which is expected since they are ellipsoidal approximation and wont be able to capture the features of the facets. In case of three point contact, higher order approximation perform much better than the fourth order polynomial. And in case of continuous contact patch approximations fitted using J_a perform much better than those fitted using J_b , as J_a is designed to fit the failure surface. Higher terms approximations perform better than the fourth order polynomial.

To test the robustness of our formulation we add noise to the training as well as testing data and repeat the above process to verify the results. With noise introduced in the data the results don't vary drastically as seen in Figures 3.13 and 3.14. But a change can be noticed in velocity error plot for the continuous case (Figure 3.14a), With the noise introduced in the system J_a and J_b perform equally contrary to Figure 3.12a, where J_b outperformed J_a suggesting it might be over fitting the velocity data. It can be taken care of by introducing a multiplication factor in the velocity error term in J_b .

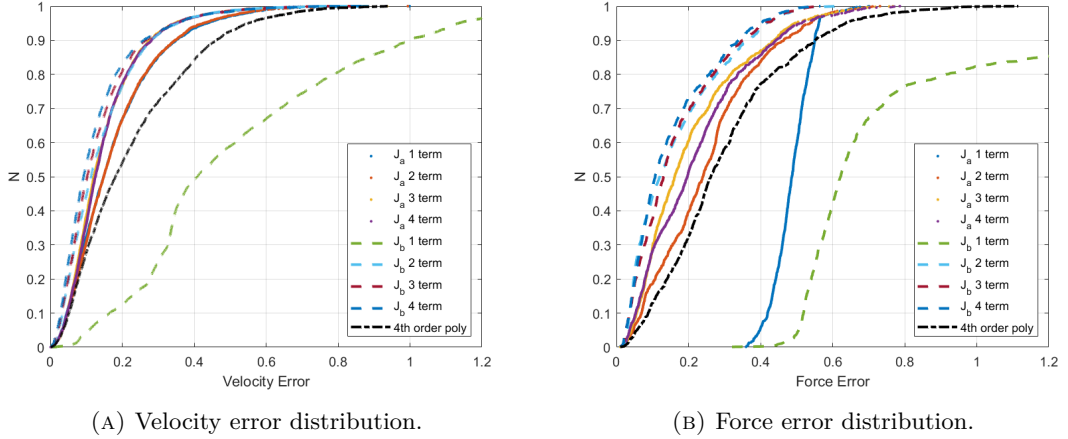
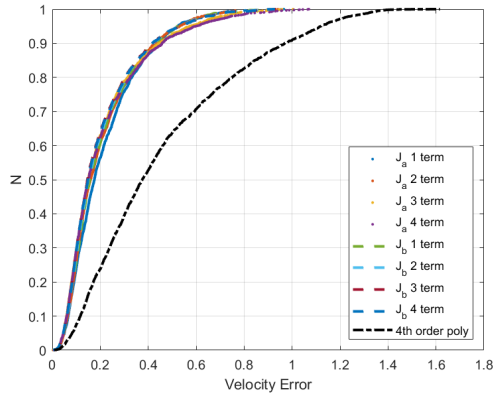
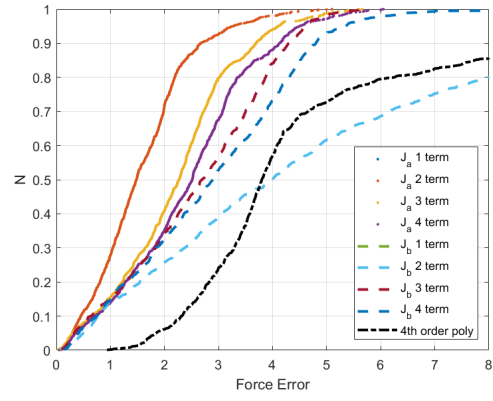


FIGURE 3.13: Error plots for three point contact with noisy data.



(A) Velocity error distribution.



(B) Force error distribution.

FIGURE 3.14: Error plots for continuous contact patch with noisy data.

Chapter 4

Conclusions

With concluding remarks and future scope of study, we summarize our work on both areas.

4.1 Decaying functions

4.1.1 Concluding remarks

We devised a method to approximate a system's decaying response as a sum of exponentials. We constructed a DDE leading to characteristic equation and utilised its roots to form a basis for approximating the functions. With a high number of terms in the approximation, the method provides an excellent fit. We evaluated the method for some more decaying functions, in which some shortcomings of the methods were noticed. The method gave a poor fit with for a function which had slower decaying roots in its characteristic equation. The method provides a good fit till a finite distance upto which it is fitted, beyond which undesirable responses are observed which eventually decay.

4.1.2 Future scope

In the proposed method, there are a few more aspects to look into. We used integrals to build our DDE, but what other forms of equations may work can be investigated. To discover and approximate f , we employ an ad hoc method that must be addressed individually for each function. It remains to be seen whether an analytic solution for f can be found. Better optimization methods can be utilised once a basis are obtained.

4.2 Limit surfaces

4.2.1 Concluding remarks

We gave a quick introduction of limit surfaces, their importance and previous research into them. In the past, approximations for these limit surfaces have included ellipsoids in the simplest case, and multivariate polynomial expansions, a more sophisticated example. We proposed a new approximation which uses a small number of symmetrical 3×3 matrices along with fractional powers of simple quadratic forms. We fit our matrices using different techniques, concluding that there is a trade-off between load and motion predictions when determining the objective function. One (J_b) would provide a better motion approximation, whereas the other (J_a) would better fit the limit surface. We evaluated our formulation in a few scenarios and plotted errors, noting that higher term approximations are better than the commonly used ellipsoidal approximation and also outperforms the more complex fourth order convex polynomial fit.

4.2.2 Future scope

This study's analysis was based on theoretical data. It remains to be seen how the formulation performs when tested against various experimental data sets. Because multiple local minima were discovered while fitting the matrices, more sophisticated optimization procedures may result in superior fits.

Appendix A

Matlab codes

A.1 Approximation for decaying functions

A.1.1 Finding delay feedback

```
1 function f=findf(t,x)
2 dt=t(2)-t(1);
3 xd=[x(2:end)-x(1:end-1);0]/dt;
4 n=length(x);
5 A=zeros(n);
6 for k=1:n
7     f=zeros(n,1);
8     f(k)=1;
9     c=conv(x,f);
10    A(:,k)=c(1:n)*dt;
11 end
12 f=A\xd;
13 end
```

A.1.2 Infinity norm solver

```
1 function x=inf_norm_sol(A,b)
2 % solves Ax=b approximately such that Ax-b is minimized in the
3 % infinite-norm as opposed to x=A\b, which minimizes Ax-b in the 2-norm.
4 % It is assumed that A has n rows and m columns, with n >= m,
5 % and that b has n rows and ONE column
6 [n,m]=size(A);
```

```

7 f=[1;zeros(n+m,1)];
8 % op=optimset('maxiter',2000) this is an optional command
9 AA=[zeros(n,1),-eye(n),A];
10 B=zeros(2*n+1,n+m+1);
11 B(:,1)=-ones(2*n+1,1);
12 B(2:n+1,2:n+1)=eye(n);
13 B(n+2:2*n+1,2:n+1)=-eye(n);
14 z=zeros(2*n+1,1);
15 % x=linprog(f,B,z,AA,b,[],[],[],op); % use this, in place of the
16 % following line, if options are set above
17 x=linprog(f,B,z,AA,b);
18 x=x(n+2:end);
19 end

```

A.2 Approximation for limit surface

A.2.1 Data generation

```

1 function [F,v]=datagen
2 %% normal generated
3 num=2000;
4 n=randn(num,3);
5 for i=1:num
6     n(i,:)=n(i,:)/norm(n(i,:));
7 end
8 v=n;
9 %% forces generated
10 r=[[-40,-40,0];[-40,80,0];[80,-40,0]]; % vertices of the triangle
11 r=r/150;
12 [m1,m2]=size(r);
13 mu=(0.5)*ones(m1); % friction distribution
14 for j=1:num
15     for i=1:m1
16         vk(i,:)=n(j,:)+cross([0,0,n(j,3)],r(i,:)); % calculating velocity of each vertex
17         f(i,:)=mu(i)*vk(i,1:2)/norm(vk(i,1:2)); % calculating fx and fy
18         mk(i,:)=cross(r(i,:),[f(i,:),0]); % calculating moment
19     end
20     F(j,:)=[sum(f(1:end,:),),sum(mk(1:end,end))];
21 end
22 v1=[];
23 % to calculate data for facets
24 for j=1:m1

```

```

25 rc=r(j,:); % position of COR
26 omega=1;
27 n1=cross([0,0,omega],-rc); % velocity of reference point
28 n1(3)=omega;
29 n1=n1/norm(n1);
30 for i=1:length(r(:,1))
31     vk(i,:)=-cross(r(i,:)-rc,[0,0,omega]); % Velocity at vertices
32     if rc==r(i,:) % force at COR is taken 0, will be adding random values in
    ↪ next section
33         f(i,:)=[0,0];
34         mk(i,:)=cross(r(i,:),[f(i,:),0]);
35     else
36         f(i,:)=mu(i)*vk(i,1:2)/norm(vk(i,1:2)); % forces at other vertices
37         mk(i,:)=cross(r(i,:),[f(i,:),0]);
38     end
39 end
40 F1(j,:)=[sum(f(1:end,:)),sum(mk(1:end,end))]; % total force
41 v1=[v1;n1]; % respective velocity
42 end
43 F2=-F1; % to account for clockwise and anticlockwise
44 v2=-v1;
45 ff=[];
46 vv=[];
47 cnt=150; % no of data points on the facets
48 theta=linspace(0,2*pi,cnt); % will be adding force at COR in all directions
49 for i=1:m1
50     for j=1:cnt
51         t=rand/2; % friction distribution at COR (anything less than muN )
52         f1=t*[sin(theta(j)),cos(theta(j))];
53         mk(i,:)=cross(r(i,:),[f1,0]);
54         f=[f1,mk(i,3)]+F1(i,:); % adding force at cor to the total force
55         ff=[ff,f];
56         %plot3(f(1,1),f(1,2),f(1,3),'r')
57         vv=[vv;v1(i,:)]; % accounting for corresponding velocity
58     end
59 % same process below when direction of rotation is reversed
60     for j=1:cnt
61         t=rand/2;
62         f1=t*[sin(theta(j)),cos(theta(j))];
63         mk(i,:)=cross(r(i,:),[f1,0]);
64         f=[f1,mk(i,3)]+F2(i,:);
65         ff=[ff,f];
66         %plot3(f(1,1),f(1,2),f(1,3),'r')
67         vv=[vv;v2(i,:)];
68     end

```

```

69 end
70 v=[v;vv]; %
71 F=[F;ff];

```

A.2.2 Velocity error

```

1 function [Ev]=getappV(q)
2 t=q(1:6);
3 A=[t(1);0;0],[t(2:3);0],[t(4:6)]];
4 A=A'*A;
5 if length(q)>6
6     t=q(7:12);
7 end
8 B=[t(1);0;0],[t(2:3);0],[t(4:6)]];
9 B=B'*B;
10 if length(q)>12
11     t=q(13:18);
12 end
13 C=[t(1);0;0],[t(2:3);0],[t(4:6)]];
14 C=C'*C;
15 if length(q)>18
16     t=q(19:24);
17 end
18 D=[t(1);0;0],[t(2:3);0],[t(4:6)]];
19 D=D'*D;
20 E=0;
21 Ev=[];
22 load FV_test_noise
23 [n1,n2]=size(F);
24 Fapp=[];
25 vapp=[];
26 for k=1:n1
27     f=F(k,:);
28     va=v(k,:);
29     if length(q)==6
30         g=2*f*A;
31         g=g/norm(g);
32         vapp=[vapp;g];
33         Ev=[Ev;norm(va-g)];
34
35     elseif length(q)==12
36         g=2*f*A+(1/2)*((f*B*f')^(-1/2))*(2*f*B);
37         g=g/norm(g);

```



```

38     vapp=[vapp;g];
39     Ev=[Ev;norm(va-g)];
40
41     elseif length(q)==18
42         g=2*f*A+(1/3)*((f*B*f')^(-2/3))*(2*f*B)+(2/3)*((f*C*f')^(-1/3))*(2*f*C);
43         g=g/norm(g);
44         vapp=[vapp;g];
45         Ev=[Ev;norm(va-g)];
46
47     elseif length(q)==24
48
49         g=2*f*A+(1/4)*((f*B*f')^(-3/4))*(2*f*B)+(2/4)*((f*C*f')^(-2/4))*(2*f*C)+(3/4)*((f*
↪ D*f')^(-1/4))*(2*f*D);
50         g=g/norm(g);
51         vapp=[vapp;g];
52         Ev=[Ev;norm(va-g)];
53     end
54
55 end
56 Ev=sort(Ev);
57 end

```

A.2.3 Force approximation and error

```

1 function [Fapp,vapp]=getappF(q)
2 t=q(1:6);
3 A=[[t(1);0;0],[t(2:3);0],[t(4:6)]];
4 A=A'*A;
5 if length(q)>6
6     t=q(7:12);
7 end
8 B=[[t(1);0;0],[t(2:3);0],[t(4:6)]];
9 B=B'*B;
10 if length(q)>12
11     t=q(13:18);
12 end
13 C=[[t(1);0;0],[t(2:3);0],[t(4:6)]];
14 C=C'*C;
15 if length(q)>18
16     t=q(19:24);
17 end
18 D=[[t(1);0;0],[t(2:3);0],[t(4:6)]];
19 D=D'*D;

```

```

20 E=0;
21 Ev=[];
22 load Fsphere
23 [n1,n2]=size(F);
24 Fapp=[];
25 vapp=[];
26 for k=1:n1
27     f=F(k,:);
28     if length(q)==6
29         m=0;
30         E=E+(f*A*f'-1)^2;
31         alpha=(1/(f*A*f'))^1/2;
32         if any(imag(alpha))
33             disp(alpha);
34             error('alpha is imaginary')
35         end
36
37         Fapp=[Fapp;alpha*f];
38         f=alpha*f;
39         g=2*f*A;
40         g=g/norm(g);
41         vapp=[vapp;g];
42
43     elseif length(q)==12
44         m1=0.5;
45         E=E+(f*A*f'+(f*B*f')^m1 -1)^2;
46         c=roots([f*A*f',(f*B*f')^m1,-1]);
47         cr=c(imag(c)==0);
48         cr=cr(real(cr)>=0);
49         cc=abs(cr-1);
50         [m,n]=min(cc);
51         alpha=cr(n);
52         if any(imag(alpha))
53             disp(alpha);
54             disp(cr(n));
55             disp(cc);
56             error('alpha is imaginary')
57         end
58         Fapp=[Fapp;alpha*f];
59
60         f=alpha*f;
61         g=2*f*A+(1/2)*((f*B*f')^(-1/2))*(2*f*B);
62         g=g/norm(g);
63         vapp=[vapp;g];
64

```

```

65
66 elseif length(q)==18
67     m1=1/3; m2=2/3;
68     E=E+(f*A*f'+(f*B*f')^m1+(f*C*f')^m2 -1)^2;
69     c=roots([f*A*f', (f*C*f')^m2, (f*B*f')^m1, -1]);
70     cr=c(imag(c)==0);
71     cr=cr(real(cr)>=0);
72     cc=abs(cr-1);
73     [m,n]=min(cc);
74     alpha=(cr(n))^(3/2);
75     if any(imag(alpha))
76         disp(alpha);
77         disp(cr(n));
78         disp(cc);
79         error('alpha is imaginary')
80     end
81     Fapp=[Fapp;alpha*f];
82
83     f=alpha*f;
84     g=2*f*A+(1/3)*((f*B*f')^(-2/3))*(2*f*B)+(2/3)*((f*C*f')^(-1/3))*(2*f*C);
85     g=g/norm(g);
86     vapp=[vapp;g];
87
88
89 elseif length(q)==24
90     m1=1/4; m2=2/4; m3=3/4;
91     E=E+(f*A*f'+(f*B*f')^m1+(f*C*f')^m2 +(f*D*f')^m3 -1)^2;
92     c=roots([f*A*f', (f*D*f')^m3, (f*C*f')^m2, (f*B*f')^m1, -1]);
93     cr=c(imag(c)==0);
94     cr=cr(real(cr)>=0);
95     cc=abs(cr-1);
96     [m,n]=min(cc);
97     alpha=(cr(n))^(2);
98     if any(imag(alpha))
99         disp(alpha);
100        disp(cc);
101        disp(cr);
102        error('alpha is imaginary')
103    end
104    Fapp=[Fapp;alpha*f];
105
106    f=alpha*f;
107    g=2*f*A+(1/4)*((f*B*f')^(-3/4))*(2*f*B)+(2/4)*((f*C*f')^(-2/4))*(2*f*C)+(3/4)*((f*
↪ D*f')^(-1/4))*(2*f*D);
108    g=g/norm(g);

```

```

109     vapp=[vapp;g];
110     end
111
112 end

```

```

1 function [EF]=F_error
2 load fvn_j2_1term % contains Fapp and vapp generated from above code
3 [num,num1]=size(Fa);
4 n=va;
5 %% forces generated
6 %r=[0,1,0];[cosd(30),-sind(30),0];[-cosd(30),-sind(30),0];
7 r=[-40,-40,0];[-40,80,0];[80,-40,0];
8 r=r/150;
9 [m1,m2]=size(r);
10 mu=(0.5)*ones(m1);
11 EF=[];
12 EFr=[];
13 for j=1:num
14     for i=1:m1
15         vk(i,:)=n(j,:)+cross([0,0,n(j,3)],r(i,:));
16         f(i,:)=mu(i)*vk(i,1:2)/norm(vk(i,1:2));
17         mk(i,:)=cross(r(i,:),[f(i,:),0]);
18     end
19     F(j,:)=[sum(f(1:end,:)),sum(mk(1:end,end))];
20     EF=[EF;norm(F(j,:)-Fa(j,:))];
21 end
22 v=n;
23 EF=sort(EF);
24 end

```

Bibliography

- [1] G. Golub and V. Pereyra, “Separable nonlinear least squares: the variable projection method and its applications,” *Inverse Problems*, vol. 19, pp. R1–R26(1), 01 2003.
- [2] B. Dietrich, *Nonlinear Approximation Theory*, 1st ed., ser. Springer Series in Computational Mathematics 7. Springer-Verlag Berlin Heidelberg, 1986.
- [3] G. Beylkin and L. Monzon, “On approximation of functions by exponential sums,” *Applied and Computational Harmonic Analysis*, vol. 19, pp. 17–48, 07 2005.
- [4] I. Iscoe, K. Jackson, A. Kreinin, and X. Ma, “On exponential approximation to the hockey-stick function,” 04 2010.
- [5] P. Wahi, “A study of delay differential equations with applications to machine tool vibrations,” Ph.D. dissertation, IISC Bangalore, 2005.
- [6] S. Goyal, A. Ruina, and J. Papadopoulos, “Planar sliding with dry friction part 1. limit surface and moment function,” *Wear*, vol. 143, no. 2, pp. 307–330, 1991.
- [7] R. D. Howe and M. R. Cutkosky, “Practical force-motion models for sliding manipulation,” *The International Journal of Robotics Research*, vol. 15, no. 6, pp. 557–572, 1996.
- [8] J. Zhou, R. Paolini, J. A. Bagnell, and M. T. Mason, “A convex polynomial force-motion model for planar sliding: Identification and application,” in *2016 IEEE International Conference on Robotics and Automation (ICRA)*, 2016, pp. 372–377.
- [9] K. M. Lynch and M. T. Mason, “Stable pushing: Mechanics, controllability, and planning,” *The international journal of robotics research*, vol. 15, no. 6, pp. 533–556, 1996.
- [10] M. R. Dogar and S. S. Srinivasa, “Push-grasping with dexterous hands: Mechanics and a method,” in *2010 IEEE/RSJ International Conference on Intelligent Robots and Systems*. IEEE, 2010, pp. 2123–2130.

-
- [11] N. C. Daffe, A. Rodriguez, R. Paolini, B. Tang, S. S. Srinivasa, M. Erdmann, M. T. Mason, I. Lundberg, H. Staab, and T. Fuhlbrigge, “Extrinsic dexterity: In-hand manipulation with external forces,” in *2014 IEEE International Conference on Robotics and Automation (ICRA)*. IEEE, 2014, pp. 1578–1585.
 - [12] K. Yu, M. Bauza, N. Fazeli, and A. Rodriguez, “More than a million ways to be pushed. a high-fidelity experimental dataset of planar pushing,” in *2016 IEEE/RSJ international conference on intelligent robots and systems (IROS)*. IEEE, 2016, pp. 30–37.
 - [13] W. Zhang, S. Seto, and D. K. Jha, “Cazsl: Zero-shot regression for pushing models by generalizing through context,” 2020.
 - [14] N. Chavan-Daffe and A. Rodriguez, “Prehensile pushing: In-hand manipulation with push-primitives,” in *2015 IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS)*. IEEE, 2015, pp. 6215–6222.