

Completeness of Superposition

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Proof of Completeness

A trace of a clause C : a set of clauses $\{C_1, \dots, C_n\} \subseteq S_\omega$ such that

1. $C \succeq C_i$ for all $i = 1, \dots, n$;
2. $C_1, \dots, C_n \models C$.

Lemma 1. Every clause C occurring in any S_i has a trace.

Lemma 2. The limit S_ω is saturated up to redundancy.

Lemma 3. The limit S_ω is logically equivalent to the initial set S_0 .

Lemma 4. A set S of clauses saturated up to redundancy is unsatisfiable if and only if $\square \in S$.

Interestingly, **only the last lemma uses the rules of $\text{Sup}_{\succ, \sigma}$** and the fact that σ is well-behaving.

Rewrite Rule Systems

- ▶ A **rewrite rule** is an expression $l \rightarrow r$.
- ▶ A **rewrite rule system** R is a set of rewrite rules.
- ▶ We say that a rewrite rule $l \rightarrow r$ **rewrites** $s[l]$ **into** $s[r]$.
- ▶ We write $s \rightarrow_R t$ if some rewrite rule in R rewrites s into t . The relation \rightarrow_R^* is the reflexive and transitive closure of \rightarrow_R . In other words, we have $s \rightarrow_R^* t$, if there exists a sequence of terms t_0, \dots, t_n such that, $n \geq 0$, $s = t_0$, $t_n = t$ and we have

$$t_0 \rightarrow_R t_1 \rightarrow_R \cdots \rightarrow_R t_n.$$

In this case we also say that s **rewrites into** t **in** n **steps** using R .

Rewrite Rule Systems

- ▶ t is **irreducible** w.r.t. R if no rule in R rewrites t .
- ▶ R is called **terminating** if there is no infinite sequence

$$t_0 \rightarrow_R t_1 \rightarrow_R t_2 \rightarrow_R \cdots$$

- ▶ t is called a **normal form** of s w.r.t. R if $s \rightarrow_R^* t$ and t is irreducible w.r.t. R .
- ▶ If R is terminating, then every term has a normal form
- ▶ R is called **convergent** if it is terminating and every term has a unique normal form.
- ▶ R is called **non-overlapping** if for every two different rules $l_1 \rightarrow r_1$ and $l_2 \rightarrow r_2$ in R , l_1 is not a subterm of l_2 .
- ▶ If R is terminating and non-overlapping, then it is convergent

Congruence

- ▶ A **congruence relation** is a relation satisfying equality axioms (reflexivity, symmetry, transitivity and congruence);
- ▶ Any convergent system R defines a congruence relation, denoted by $=_R$ as follows: $s =_R t$ iff s and t have the same normal form.

Model Construction

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We will build this relation as $=_R$ for some convergent rewrite system R , which will be built step by step by induction on \succ . R can be growing during the model construction. At each step, we denote by I_R the interpretation in which the equality is defined as $=_R$.

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[**Step** I], where I is a ground term. We assume that R was built for all terms $t \prec I$. Then, if

1. I is irreducible w.r.t. R ;
2. S contains a clause $\underline{I \simeq r} \vee C$ such that (i) $I_R \not\models C$ (ii) $I \succ r$; (iii) I is greater than any term in C .

Then take any such clause and **add** $I \rightarrow r$ **to** R

Model Construction

We claim:

1. R is convergent;
2. I_R satisfies all clauses in S .

(Proof on the board)

Proof

Note that:

- ▶ R is non-overlapping by construction;
- ▶ R is terminating (because \succ is monotonic and well-founded).

These two properties imply that R is convergent.

Proof

Some **general properties** of the model construction:

- ▶ If I is irreducible after step i , then it will be irreducible after
- ▶ The normal form of a term t does not change after step i
- ▶ If C is a clause in which t is the greatest term, then the truth value of C in I_R does not change after step i

(*) **Lemma.** If $C \in S_i$ is false in I , then there is a persistent clause $C' \in S_\omega$ such that $C \succeq C'$ and C' is false in I too.

Proof

Now we prove that I_R satisfies all clauses in S . Suppose it does not. Then there is a clause $F \in S$ such that $I_R \not\models F$. Note that F is non-empty, since S does not contain the empty clause.

Since \succ is well-founded on clauses, the set of all clauses in S , which are false in I_R contains the least element. Denote this clause by F .

We will now show, by contradiction, that S contains a clause smaller than F and false in I_R . To prove this, we consider several cases, depending on which literal(s) are selected in F .

Proof Case

Case 1: F has a negative selected literal. Then F has the form $\underline{s \neq t} \vee D$.

Case 1.1: s coincides with t , then F has the form $\underline{s \neq s} \vee D$. Consider the equality resolution inference

$$\frac{\underline{s \neq s} \vee D}{D}$$

Since F is persistent and the process is fair, this inference was applied at some step, so D belongs to some search space S_i . Note that $F \succ D$ and $D \vdash F$. Then we have $I_R \not\models D$.

By (*), there is a persistent clause D' such that $D \succeq D'$ and $I_R \not\models D'$, and we are done.

Proof Case

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W.l.o.g. assume $s \succ t$. !!! \succ is total on ground terms. !!!

Proof Case

Case 1.2.1: F has the form $\underline{s \not\approx t} \vee D$, $s \succ t$ and s is reducible.

Then R contains some rule $l \rightarrow r$ and F has the form $\underline{s[l]} \not\approx t \vee D$.

By construction, S contains a clause $\underline{l \simeq r} \vee C$, such that l is greater than any term in r, C and $l_R \not\equiv C$.

Consider the superposition inference

$$\frac{\underline{l \simeq r} \vee C \quad \underline{s[l]} \not\approx t \vee D}{s[r] \not\approx t \vee C \vee D}$$

Similar to the previous case we can prove that the conclusion $s[r] \not\approx t \vee C \vee D$ is smaller than F and false.

Then use (*), as before.

Proof Case

Case 1.2.2: F has the form $\underline{s \not\approx t} \vee D$, $s \succ t$ and s is irreducible.

Since F is false in I_R , we have $I_R \models s \simeq t$. Then s and t have the same normal form, so s must be reducible: contradiction.

Proof Case

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!!! The literal selection function is well-behaving !!!

Proof Case

Case 2.1: F has a positive selected literal that is also a maximal literal in F and the maximal term in F is reducible.

Then F has the form $\underline{s \simeq t} \vee D$, $s \succ t$ and s is reducible. The proof is the same as in cases 1.2.1.

We have that R contains some rule $l \rightarrow r$ and F has the form $\underline{s[l]} \simeq t \vee D$.

By construction, S contains a clause $\underline{l \simeq r} \vee C$, such that l is greater than any term in r, C and $l_R \not\equiv C$.

Consider the superposition inference

$$\frac{\underline{l \simeq r} \vee C \quad \underline{s[l]} \simeq t \vee D}{s[r] \simeq t \vee C \vee D}$$

Again, we can prove that the conclusion $s[r] \simeq t \vee C \vee D$ is smaller than F and false.

Then use (*), as before.

Proof Case

Case 2.2: F has a positive selected literal that is also a maximal literal in F and the maximal term in F is irreducible.

Case 2.2.1: F has a positive selected literal $l \simeq r'$ that is also a maximal literal in F , $l \succ r'$, l is irreducible, and there is exactly one occurrence of l in positive literals in F .

Then F has the form $\underline{l \simeq r'} \vee C$, l is greater than any term in r , C . By construction, R contains some rewrite rule $l \rightarrow r$, so l must be reducible. Contradiction.

Proof Case

Case 2.2.2: F has a positive selected literal $l \simeq r$ that is also a maximal literal in F , $l \succ r$, l is irreducible and there is more than one occurrence of l in positive literals in F .

Then F has the form $\underline{l \simeq r} \vee l \simeq r' \vee C$.
Consider the equality factoring inference

$$\frac{l \simeq r \vee l \simeq r' \vee C}{l \simeq r \vee r \not\simeq r' \vee C} \text{ (EF),}$$

Again, we can prove that the conclusion $l \simeq r \vee r \not\simeq r' \vee C$ is smaller than F and false.

Then use (*), as before.

$\text{Sup}_{\succ, \sigma}$ with Predicates

Superposition: (right and left)

$$\frac{\frac{l \simeq r \vee C}{A[r] \vee C \vee D} \quad \frac{A[l] \vee D}{A[r] \vee C \vee D}}{A[r] \vee C \vee D} \text{ (Sup)}, \quad \frac{\frac{l \simeq r \vee C}{\neg A[r] \vee C \vee D} \quad \frac{\neg A[l] \vee D}{\neg A[r] \vee C \vee D}}{\neg A[r] \vee C \vee D} \text{ (Sup)},$$

where (i) $l \succ r$;

Binary Resolution:

$$\frac{\frac{A \vee C}{C \vee D} \quad \frac{\neg A \vee D}{C \vee D}}{C \vee D} \text{ (BR)},$$

Factoring:

$$\frac{\frac{A \vee A \vee C}{A \vee C}}{A \vee C} \text{ (Fact)},$$

$\text{Sup}_{\succ, \sigma}$ with Predicates

Superposition: (right and left)

$$\frac{\frac{l \simeq r \vee C}{A[r] \vee C \vee D} \quad \frac{A[l] \vee D}{A[r] \vee C \vee D}}{A[r] \vee C \vee D} \text{ (Sup)}, \quad \frac{\frac{l \simeq r \vee C}{\neg A[r] \vee C \vee D} \quad \frac{\neg A[l] \vee D}{\neg A[r] \vee C \vee D}}{\neg A[r] \vee C \vee D} \text{ (Sup)},$$

where (i) $l \succ r$;

(ii) $l \simeq r$ is the greatest literal in $l \simeq r \vee C$

(iii) $A[l]$ is the greatest literal in $A[l] \vee D$

Binary Resolution:

$$\frac{\frac{A \vee C}{C \vee D} \quad \frac{\neg A \vee D}{C \vee D}}{C \vee D} \text{ (BR)},$$

where (i) A is maximal in $A \vee C$

Factoring:

$$\frac{\frac{A \vee A \vee C}{A \vee C}}{A \vee C} \text{ (Fact)},$$

where (i) A is maximal in $A \vee A \vee C$

Arbitrary Predicates: Model Construction

First, build the congruence as before. We use induction on ground atoms instead of ground terms. We will again build an interpretation I_R step by step. Initially, all ground non-equality atoms are false in I_R . Then we will make some of them true.

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First, build the congruence as before. We use induction on ground atoms instead of ground terms. We will again build an interpretation I_R step by step. Initially, all ground non-equality atoms are false in I_R . Then we will make some of them true.

Take a ground atom $P(t_1, \dots, t_n)$, where t_1, \dots, t_n are irreducible. We assume that for all atoms smaller than $P(t_1, \dots, t_n)$, we have already defined whether they are true. We make $P(t_1, \dots, t_n)$ true if

- S contains a clause $\underline{P(t_1, \dots, t_n)} \vee C$ such that (i) $I_R \not\models C$ and (ii) $P(t_1, \dots, t_n)$ is the greatest literal in $\underline{P(t_1, \dots, t_n)} \vee C$,

Saturation up to Redundancy and Satisfiability Checking

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Lemma. A set S of clauses saturated up to redundancy is unsatisfiable if and only if $\square \in S$.

Therefore, if we built a set saturated up to redundancy, then the initial set S_0 is **satisfiable**. This is a powerful way of checking redundancy: one can even check satisfiability of formulas having only **infinite models**.

The only problem with this characterization is that there is **no obvious way to build a model of S_0** from a saturated set in the non-ground case.