#### **Automated Deduction**

Laura Kovács

for(syte) III Informatics

#### Outline

Equality (Recap)

Term Orderings

# Simple Ground Superposition Inference System

#### Superposition: (right and left)

$$\frac{\textit{I} = \textit{r} \lor \textit{C} \quad \textit{s[I]} = \textit{t} \lor \textit{D}}{\textit{s[r]} = \textit{t} \lor \textit{C} \lor \textit{D}} \text{ (Sup)}, \quad \frac{\textit{I} = \textit{r} \lor \textit{C} \quad \textit{s[I]} \neq \textit{t} \lor \textit{D}}{\textit{s[r]} \neq \textit{t} \lor \textit{C} \lor \textit{D}} \text{ (Sup)},$$

#### **Equality Resolution:**

$$\frac{s \neq s \lor C}{C} \text{ (ER)},$$

# Simple Ground Superposition Inference System

#### Superposition: (right and left)

$$\frac{\textit{I} = \textit{r} \lor \textit{C} \quad \textit{s[I]} = \textit{t} \lor \textit{D}}{\textit{s[r]} = \textit{t} \lor \textit{C} \lor \textit{D}} \text{ (Sup)}, \quad \frac{\textit{I} = \textit{r} \lor \textit{C} \quad \textit{s[I]} \neq \textit{t} \lor \textit{D}}{\textit{s[r]} \neq \textit{t} \lor \textit{C} \lor \textit{D}} \text{ (Sup)},$$

#### **Equality Resolution:**

$$\frac{\mathbf{s} \neq \mathbf{s} \vee C}{C} \text{ (ER)},$$

#### **Equality Factoring:**

$$\frac{s = t \lor s = t' \lor C}{s = t \lor t \neq t' \lor C}$$
(EF),

# Can this system be used for efficient theorem proving?

Not really. It has too many inferences. For example, from the clause f(a) = a we can derive any clause of the form

$$f^m(a) = f^n(a)$$

where m, n > 0.

Worst of all, the derived clauses can be much larger than the original clause f(a) = a.

# Can this system be used for efficient theorem proving?

Not really. It has too many inferences. For example, from the clause f(a) = a we can derive any clause of the form

$$f^m(a) = f^n(a)$$

where m, n > 0.

Worst of all, the derived clauses can be much larger than the original clause f(a) = a.

The recipe is to use the previously introduced ingredients:

- 1. Ordering;
- 2. Literal selection:
- 3. Redundancy elimination.

# Atom and literal orderings on equalities

Equality atom comparison treats an equality s = t as the multiset  $\{s, t\}$ .

$$(s' = t') \succ_{lit} (s = t) \text{ if } \dot{s}', t'\dot{s} \succ \dot{s}, t\dot{s}$$

$$\triangleright (s' \neq t') \succ_{\mathit{lit}} (s \neq t) \text{ if } \dot{\{s',t'\}} \succ \dot{\{s,t\}}$$

with  $\succ_{lit}$  being an induced ordering on literals.

# Ground Superposition Inference System $Sup_{\succ,\sigma}$

Let  $\sigma$  be a well-behaved literal selection function.

Superposition: (right and left)

$$\frac{\underline{l=r} \lor C \quad \underline{s[l]=t} \lor D}{s[r]=t \lor C \lor D} \text{ (Sup)}, \quad \frac{\underline{l=r} \lor C \quad \underline{s[l] \ne t} \lor D}{s[r] \ne t \lor C \lor D} \text{ (Sup)},$$

where (i)  $l \succ r$ , (ii)  $s[l] \succ t$ , (iii) l = r is strictly greater than any literal in C, (iv) (only for the superposition-right rule) s[l] = t is greater than or equal to any literal in D.

#### **Equality Resolution:**

$$\frac{s \neq s \lor C}{C} \text{ (ER)},$$

#### **Equality Factoring:**

$$\frac{s = t \lor s = t' \lor C}{s = t \lor t \neq t' \lor C}$$
 (EF),

where (i)  $s \succ t \succ t'$ ; (ii) s = t is greater than or equal to any literal in C.



#### Extension to arbitrary (non-equality) literals

- Consider a two-sorted logic in which equality is the only predicate symbol.
- Interpret terms as terms of the first sort and non-equality atoms as terms of the second sort.
- ► Add a constant T of the second sort.
- ▶ Replace non-equality atoms  $p(t_1,...,t_n)$  by equalities of the second sort  $p(t_1,...,t_n) = \top$ .

#### Extension to arbitrary (non-equality) literals

- Consider a two-sorted logic in which equality is the only predicate symbol.
- ► Interpret terms as terms of the first sort and non-equality atoms as terms of the second sort.
- ► Add a constant T of the second sort.
- ▶ Replace non-equality atoms  $p(t_1, ..., t_n)$  by equalities of the second sort  $p(t_1, ..., t_n) = \top$ .

For example, the clause

$$p(a,b) \vee \neg q(a) \vee a \neq b$$

becomes

$$p(a,b) = \top \vee q(a) \neq \top \vee a \neq b.$$



# Binary resolution inferences can be represented by inferences in the superposition system

We ignore selection functions.

$$\frac{A \vee C_1 \quad \neg A \vee C_2}{C_1 \vee C_2} \text{ (BR)}$$

$$\frac{A = \top \vee C_1 \quad A \neq \top \vee C_2}{\top \neq \top \vee C_1 \vee C_2} \text{ (Sup)}$$
$$\frac{C_1 \vee C_2}{C_1 \vee C_2} \text{ (ER)}$$

#### Exercise

Positive factoring can also be represented by inferences in the superposition system.

#### Outline

Equality (Recap)

**Term Orderings** 

# Simplification Ordering

When we deal with equality, we need to work with term orderings. Consider a strict ordering  $\succ$  on signature symbols, such that  $\succ$  is well-founded.

The ordering ≻ on terms is called a simplification ordering if

- 1.  $\succ$  is well-founded;
- 2.  $\succ$  is monotonic: if  $l \succ r$ , then  $s[l] \succ s[r]$ ;
- 3.  $\succ$  is stable under substitutions: if  $l \succ r$ , then  $l\theta \succ r\theta$ .

# Simplification Ordering

When we deal with equality, we need to work with term orderings. Consider a strict ordering  $\succ$  on signature symbols, such that  $\succ$  is well-founded.

The ordering ≻ on terms is called a simplification ordering if

- 1.  $\succ$  is well-founded;
- 2.  $\succ$  is monotonic: if  $l \succ r$ , then  $s[l] \succ s[r]$ ;
- 3.  $\succ$  is stable under substitutions: if  $l \succ r$ , then  $l\theta \succ r\theta$ .

One can combine the last two properties into one:

2a. If  $l \succ r$ , then  $s[l\theta] \succ s[r\theta]$ .

If  $\succ$  is a simplification ordering, then for every term t[s] and its proper subterm s we have  $s \not\succ t[s]$ . Why?

If  $\succ$  is a simplification ordering, then for every term t[s] and its proper subterm s we have  $s \not\succ t[s]$ . Why?

Consider an example.

$$f(a) = a$$
  
 $f(f(a)) = a$   
 $f(f(f(a))) = a$ 

Then both f(f(a)) = a and f(f(f(a))) = a are redundant.

If  $\succ$  is a simplification ordering, then for every term t[s] and its proper subterm s we have  $s \not\succ t[s]$ . Why?

Consider an example.

$$f(a) = a$$
  
 $f(f(a)) = a$   
 $f(f(f(a))) = a$ 

Then both f(f(a)) = a and f(f(f(a))) = a are redundant. The clause f(a) = a is a logical consequence of  $\{f(f(a)) = a, f(f(f(a))) = a\}$  but is not redundant.

Exercise: Show that  $\{f(a) = a, f(f(f(a))) \neq a\}$  is unsatisfiable, by using superposition with redundancy elimination.

If  $\succ$  is a simplification ordering, then for every term t[s] and its proper subterm s we have  $s \not\succ t[s]$ . Why?

Consider an example.

$$f(a) = a$$
  
 $f(f(a)) = a$   
 $f(f(f(a))) = a$ 

Then both f(f(a)) = a and f(f(f(a))) = a are redundant. The clause f(a) = a is a logical consequence of  $\{f(f(a)) = a, f(f(f(a))) = a\}$  but is not redundant.

Exercise: Show that  $\{f(a) = a, f(f(f(a))) \neq a\}$  is unsatisfiable, by using superposition with redundancy elimination.

How to "come up" with simplification orderings?

#### Term Algebra

#### Term algebra $TA(\Sigma)$ of signature $\Sigma$ :

- **Domain**: the set of all ground terms of  $\Sigma$ .
- ► Interpretation of any function symbol f or constant c is defined as:

$$f_{TA(\Sigma)}(t_1,\ldots,t_n) \stackrel{\text{def}}{\Leftrightarrow} f(t_1,\ldots,t_n);$$
 $c_{TA(\Sigma)} \stackrel{\text{def}}{\Leftrightarrow} c.$ 

#### Let us fix

- Signature  $\Sigma$ , it induces the term algebra  $TA(\Sigma)$ .
- Total ordering ≫ on Σ, called precedence relation;
- ▶ Weight function  $w : \Sigma \to \mathbb{N}$ .

#### Let us fix

- Signature  $\Sigma$ , it induces the term algebra  $TA(\Sigma)$ .
- Total ordering ≫ on Σ, called precedence relation;
- ▶ Weight function  $w : \Sigma \to \mathbb{N}$ .

$$|g(t_1,\ldots,t_n)| = w(g) + \sum_{i=1}^n |t_i|.$$

#### Let us fix

- Signature  $\Sigma$ , it induces the term algebra  $TA(\Sigma)$ .
- Total ordering ≫ on Σ, called precedence relation;
- ▶ Weight function  $w : \Sigma \to \mathbb{N}$ .

$$|g(t_1,\ldots,t_n)| = w(g) + \sum_{i=1}^n |t_i|.$$

$$g(t_1,\ldots,t_n)\succ_{\mathit{KB}} h(s_1,\ldots,s_m)$$
 if

#### Let us fix

- Signature Σ, it induces the term algebra TA(Σ).
- Total ordering ≫ on Σ, called precedence relation;
- ▶ Weight function  $w : \Sigma \to \mathbb{N}$ .

$$|g(t_1,\ldots,t_n)| = w(g) + \sum_{i=1}^n |t_i|.$$

$$g(t_1,\ldots,t_n)\succ_{\mathit{KB}} h(s_1,\ldots,s_m)$$
 if   
1.  $|g(t_1,\ldots,t_n)|>|h(s_1,\ldots,s_m)|$  (by weight) or

#### Let us fix

- Signature  $\Sigma$ , it induces the term algebra  $TA(\Sigma)$ .
- Total ordering ≫ on Σ, called precedence relation;
- ▶ Weight function  $w : \Sigma \to \mathbb{N}$ .

$$|g(t_1,\ldots,t_n)| = w(g) + \sum_{i=1}^n |t_i|.$$

$$g(t_1,\ldots,t_n)\succ_{\mathit{KB}} h(s_1,\ldots,s_m)$$
 if

- 1.  $|g(t_1,...,t_n)| > |h(s_1,...,s_m)|$  (by weight) or
- 2.  $|g(t_1, ..., t_n)| = |h(s_1, ..., s_m)|$ and one of the following holds: 2.1  $g \gg h$  (by precedence) or

#### Let us fix

- Signature  $\Sigma$ , it induces the term algebra  $TA(\Sigma)$ .
- Total ordering ≫ on Σ, called precedence relation;
- ▶ Weight function  $w : \Sigma \to \mathbb{N}$ .

$$|g(t_1,\ldots,t_n)| = w(g) + \sum_{i=1}^n |t_i|.$$

$$g(t_1, ..., t_n) \succ_{KB} h(s_1, ..., s_m)$$
 if  
1.  $|g(t_1, ..., t_n)| > |h(s_1, ..., s_m)|$   
(by weight) or

(by weight) or  
2. 
$$|g(t_1, ..., t_n)| = |h(s_1, ..., s_m)|$$
 and one of the following holds:  
2.1  $g \gg h$  (by precedence) or  
2.2  $g = h$  and for some  
 $1 \le i \le n$  we have  
 $t_1 = s_1, ..., t_{i-1} = s_{i-1}$  and  
 $t_i \succ_{KB} s_i$  (lexicographically).

$$w(a) = 1$$
  
 $w(b) = 2$   
 $w(f) = 3$   
 $w(g) = 0$ 

$$w(a) = 1$$
  
 $w(b) = 2$   
 $w(f) = 3$   
 $w(g) = 0$ 

$$|f(g(a), f(a, b))| = |3(0(1), 3(1, 2))|$$

$$w(a) = 1$$
  
 $w(b) = 2$   
 $w(f) = 3$   
 $w(g) = 0$ 

$$|f(g(a), f(a, b))| = |3(0(1), 3(1, 2))| = 3 + 0 + 1 + 3 + 1 + 2$$

$$w(a) = 1$$
  
 $w(b) = 2$   
 $w(f) = 3$   
 $w(g) = 0$ 

$$|f(g(a), f(a, b))| = |3(0(1), 3(1, 2))| = 3 + 0 + 1 + 3 + 1 + 2 = 10.$$

$$w(a) = 1$$
  
 $w(b) = 2$   
 $w(f) = 3$   
 $w(g) = 0$ 

$$|f(g(a), f(a, b))| = |3(0(1), 3(1, 2))| = 3 + 0 + 1 + 3 + 1 + 2 = 10.$$

The Knuth-Bendix ordering is the main ordering used in Vampire and all other resolution and superposition theorem provers.

#### Knuth-Bendix Ordering (KBO), Ground Case: Summary

#### Let us fix

- Signature Σ, it induces the term algebra TA(Σ).
- Total ordering >> on Σ, called precedence relation;
- ▶ Weight function  $w : \Sigma \to \mathbb{N}$ .

Weight of a ground term t is

$$|g(t_1,\ldots,t_n)| = w(g) + \sum_{i=1}^n |t_i|.$$

$$g(t_1,\ldots,t_n) \succ_{\mathit{KB}} h(s_1,\ldots,s_m)$$
 if  
1.  $|g(t_1,\ldots,t_n)| > |h(s_1,\ldots,s_m)|$  (by weight) or  
2.  $|g(t_1,\ldots,t_n)| = |h(s_1,\ldots,s_m)|$  and one of the following holds:  
2.1  $g \gg h$  (by precedence) or  
2.2  $g = h$  and for some  
 $1 \leq i \leq n$  we have  
 $t_1 = s_1,\ldots,t_{i-1} = s_{i-1}$  and  
 $t_i \succ_{\mathit{KB}} s_i$  (lexicographically,

i.e. left-to-right).

#### Let us fix

- Signature Σ, it induces the term algebra TA(Σ).
- ► Total ordering ≫ on Σ, called precedence relation;
- ▶ Weight function  $w : \Sigma \to \mathbb{N}$ .

Weight of a ground term t is

$$|g(t_1,\ldots,t_n)| = w(g) + \sum_{i=1}^n |t_i|.$$

$$g(t_1,\ldots,t_n)\succ_{\mathit{KB}} h(s_1,\ldots,s_m)$$
 if  
1.  $|g(t_1,\ldots,t_n)|>|h(s_1,\ldots,s_m)|$  (by weight) or  
2.  $|g(t_1,\ldots,t_n)|=|h(s_1,\ldots,s_m)|$  and one of the following holds:  
2.1  $g\gg h$  (by precedence) or  
2.2  $g=h$  and for some  
 $1\leq i\leq n$  we have  
 $t_1=s_1,\ldots,t_{i-1}=s_{i-1}$  and  
 $t_i\succ_{\mathit{KB}} s_i$  (lexicographically,

i.e. left-to-right).

Note: Weight functions w are not arbitrary functions

– need to be "compatible" with  $\gg$ .

#### Let us fix

- Signature Σ, it induces the term algebra TA(Σ).
- Total ordering » on Σ, called precedence relation;
- ▶ Weight function  $w : \Sigma \to \mathbb{N}$ .

Weight of a ground term t is

$$|g(t_1,\ldots,t_n)| = w(g) + \sum_{i=1}^n |t_i|.$$

$$g(t_1,\ldots,t_n) \succ_{\mathit{KB}} h(s_1,\ldots,s_m)$$
 if  
1.  $|g(t_1,\ldots,t_n)| > |h(s_1,\ldots,s_m)|$  (by weight) or  
2.  $|g(t_1,\ldots,t_n)| = |h(s_1,\ldots,s_m)|$  and one of the following holds:  
2.1  $g \gg h$  (by precedence) or  
2.2  $g = h$  and for some  
 $1 \leq i \leq n$  we have  
 $t_1 = s_1,\ldots,t_{i-1} = s_{i-1}$  and  
 $t_i \succ_{\mathit{KB}} s_i$  (lexicographically, i.e. left-to-right).

Note: Weight functions w are not arbitrary functions – need to be "compatible" with  $\gg$ .

Why? Compare for example *a* and f(a) with arbitrary  $\gg$  and w.

#### Weight Functions, Ground Case

#### A weight function $w : \Sigma \to \mathbb{N}$ is any function satisfying:

- ▶ w(a) > 0 for any constant  $a \in \Sigma$ ;
- ▶ if w(f) = 0 for a unary function  $f \in \Sigma$ , then  $f \gg g$  for all functions  $g \in \Sigma$  with  $f \neq g$ .
  - That is, *f* is the greatest element of  $\Sigma$  wrt  $\gg$ .

### Weight Functions, Ground Case

A weight function  $w : \Sigma \to \mathbb{N}$  is any function satisfying:

- ▶ w(a) > 0 for any constant  $a \in \Sigma$ ;
- ▶ if w(f) = 0 for a unary function  $f \in \Sigma$ , then  $f \gg g$  for all functions  $g \in \Sigma$  with  $f \neq g$ .

That is, *f* is the greatest element of  $\Sigma$  wrt  $\gg$ .

# Weight Functions, Ground Case

A weight function  $w : \Sigma \to \mathbb{N}$  is any function satisfying:

- ▶ w(a) > 0 for any constant  $a \in \Sigma$ ;
- ▶ if w(f) = 0 for a unary function f ∈ Σ, then f ≫ g for all functions g ∈ Σ with f ≠ g. That is, f is the greatest element of Σ wrt ≫.

As a consequence, there is at most one unary function f with w(f) = 0.

#### **Exercise**

Consider a KBO ordering  $\succ$  such that *inverse*  $\gg$  *times* by precedence. Consider the literal:

```
inverse(times(x, y)) = times(inverse(y), inverse(x)).
```

Compare, w.r.t  $\succ$ , the left- and right-hand side terms of the equality when:

weight(inverse) = weigth(times) = 1;

▶ weight(inverse) = 0 and weight(times) = 1.

#### Same Property as for $\mathbb{BR}_{\sigma}$

The conclusion is strictly smaller than the rightmost premise:

$$\frac{\underline{l=r} \lor C \quad \underline{s[l]=t} \lor D}{s[r]=t \lor C \lor D} \text{ (Sup)}, \quad \frac{\underline{l=r} \lor C \quad \underline{s[l]\neq t} \lor D}{s[r]\neq t \lor C \lor D} \text{ (Sup)},$$

where (i)  $l \succ r$ , (ii)  $s[l] \succ t$ , (iii) l = r is strictly greater than any literal in C, (iv) s[l] = t is greater than or equal to any literal in D.

Consider a superposition with a unit left premise:

$$\frac{\underline{I=r} \quad \underline{s[I]=t \lor D}}{s[r]=t \lor D} \text{ (Sup)},$$

Note that we have

$$I = r, s[r] = t \lor D \models s[I] = t \lor D$$

Consider a superposition with a unit left premise:

$$\frac{\underline{I=r} \quad \underline{s[I]=t \lor D}}{s[r]=t \lor D} \text{ (Sup)},$$

Note that we have

$$I = r, s[r] = t \lor D \models s[I] = t \lor D$$

and we have

$$s[I] = t \lor D \succ s[r] = t \lor D.$$

Consider a superposition with a unit left premise:

$$\frac{\underline{I=r}}{s[r]=t\vee D} \text{ (Sup)},$$

Note that we have

$$I = r, s[r] = t \lor D \models s[I] = t \lor D$$

and we have

$$s[I] = t \lor D \succ s[r] = t \lor D.$$

If we also have  $s[I] = t \lor D \succ I = r$ , then the second premise is redundant and can be removed.

Consider a superposition with a unit left premise:

$$\frac{\underline{I=r}}{s[r]=t\vee D} \text{ (Sup)},$$

Note that we have

$$I = r, s[r] = t \lor D \models s[I] = t \lor D$$

and we have

$$s[I] = t \lor D \succ s[r] = t \lor D.$$

If we also have  $s[I] = t \lor D \succ I = r$ , then the second premise is redundant and can be removed.

This rule (superposition plus deletion) is sometimes called demodulation (also rewriting by unit equalities).



#### **Exercise**

Consider the KBO ordering > generated by:

- the precedence  $f \gg a \gg b \gg c$ ; and
- the weight function w with w(f) = w(a) = w(b) = w(c) = 1.

Consider the set *S* of ground formulas:

$$a = b \lor a = c$$
  
 $f(a) \neq f(b)$   
 $b = c$ 

Apply saturation on S using an inference process based on the ground superposition calculus  $\sup_{\succ,\sigma}$  (including the inference rules of ground binary resolution with selection).

Show that S is unsatisfiable.

#### **Exercise**

and

Consider the KBO ordering > generated by:

- the precedence  $f \gg a \gg b \gg c$ ;
- the weight function w with w(f) = w(a) = w(b) = w(c) = 1.

Consider the set *S* of ground formulas:

$$a = b \lor a = c$$
  
 $f(a) \neq f(b)$   
 $b = c$ 

Apply saturation on S using an inference process based on the ground superposition calculus  $\sup_{\succ,\sigma}$  (including the inference rules of ground binary resolution with selection).

Show that S is unsatisfiable.

Challenge: Show that *S* is unsatisfiable such that during saturation only 4 new clauses are generated.

