

# Completeness of Superposition

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# Proof of Completeness

A trace of a clause  $C$ : a set of clauses  $\{C_1, \dots, C_n\} \subseteq S_\omega$  such that

1.  $C \supseteq C_i$  for all  $i = 1, \dots, n$ ;
2.  $C_1, \dots, C_n \models C$ .

**Lemma 1.** Every clause  $C$  occurring in any  $S_i$  has a trace.

**Lemma 2.** The limit  $S_\omega$  is saturated up to redundancy.

**Lemma 3.** The limit  $S_\omega$  is logically equivalent to the initial set  $S_0$ .

**Lemma 4.** A set  $S$  of clauses saturated up to redundancy is unsatisfiable if and only if  $\square \in S$ .

Interestingly, only the last lemma uses the rules of  $\text{Sup}_{\succ, \sigma}$  and the fact that  $\sigma$  is well-behaving.

# Rewrite Rule Systems

- ▶ A **rewrite rule** is an expression  $I \rightarrow r$ .
- ▶ A **rewrite rule system**  $R$  is a set of rewrite rules.
- ▶ We say that a rewrite rule  $I \rightarrow r$  **rewrites**  $s[I]$  into  $s[r]$ .
- ▶ We write  $s \rightarrow_R t$  if some rewrite rule in  $R$  rewrites  $s$  into  $t$ . The relation  $\rightarrow_R^*$  is the reflexive and transitive closure of  $\rightarrow_R$ . In other words, we have  $s \rightarrow_R^* t$ , if there exists a sequence of terms  $t_0, \dots, t_n$  such that,  $n \geq 0$ ,  $s = t_0$ ,  $t_n = t$  and we have

$$t_0 \rightarrow_R t_1 \rightarrow_R \cdots \rightarrow_R t_n.$$

In this case we also say that  $s$  **rewrites into**  $t$  in  $n$  **steps** using  $R$ .

# Rewrite Rule Systems

- ▶  $t$  is **irreducible** w.r.t.  $R$  if no rule in  $R$  rewrites  $t$ .
- ▶  $R$  is called **terminating** if there is no infinite sequence

$$t_0 \rightarrow_R t_1 \rightarrow_R t_2 \rightarrow_R \cdots$$

- ▶  $t$  is called a **normal form** of  $s$  w.r.t.  $R$  if  $s \rightarrow_R^* t$  and  $t$  is irreducible w.r.t.  $R$ .
- ▶ If  $R$  is terminating, then every term has a normal form
- ▶  $R$  is called **convergent** if it is terminating and every term has a unique normal form.
- ▶  $R$  is called **non-overlapping** if for every two different rules  $l_1 \rightarrow r_1$  and  $l_2 \rightarrow r_2$  in  $R$ ,  $l_1$  is not a subterm of  $l_2$ .
- ▶ If  $R$  is terminating and non-overlapping, then it is convergent

# Congruence

- ▶ A **congruence relation** is a relation satisfying equality axioms (reflexivity, symmetry, transitivity and congruence);
- ▶ Any convergent system  $R$  defines a congruence relation, denoted by  $=_R$  as follows:  $s =_R t$  iff  $s$  and  $t$  have the same normal form.

# Model Construction

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We will build this relation as  $=_R$  for some convergent rewrite system  $R$ , which will be built step by step by induction on  $\succ$ .  $R$  can be growing during the model construction. At each step, we denote by  $I_R$  the interpretation in which the equality is defined as  $=_R$ .

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[Step  $I$ ], where  $I$  is a ground term. We assume that  $R$  was built for all terms  $t \prec I$ . Then, if

1.  $I$  is irreducible w.r.t.  $R$ ;
2.  $S$  contains a clause  $I \simeq r \vee C$  such that (i)  $I_R \not\models C$  (ii)  $I \succ r$ ; (iii)  $I$  is greater than any term in  $C$ .

Then take any such clause and **add  $I \rightarrow r$  to  $R$**

# Model Construction

We claim:

1.  $R$  is convergent;
2.  $I_R$  satisfies all clauses in  $S$ .

(Proof on the board)

# Proof

Note that:

- ▶  $R$  is non-overlapping by construction;
- ▶  $R$  is terminating (because  $\succ$  is monotonic and well-founded).

These two properties imply that  $R$  is convergent.

# Proof

Some general properties of the model construction:

- ▶ If  $\mathcal{I}$  is irreducible after step  $I$ , then it will be irreducible after
- ▶ The normal form of a term  $\mathcal{I}$  does not change after step  $I$
- ▶ If  $C$  is a clause in which  $\mathcal{I}$  is the greatest term, then the truth value of  $C$  in  $I_R$  does not change after step  $I$

(\*) **Lemma.** If  $C \in S_i$  is false in  $\mathcal{I}$ , then there is a persistent clause  $C' \in S_\omega$  such that  $C \succeq C'$  and  $C'$  is false in  $\mathcal{I}$  too.

# Proof

Now we prove that  $I_R$  satisfies all clauses in  $S$ . Suppose it does not. Then there is a clause  $F \in S$  such that  $I_R \not\models F$ . Note that  $F$  is non-empty, since  $S$  does not contain the empty clause.

Since  $\succ$  is well-founded on clauses, the set of all clauses in  $S$ , which are false in  $I_R$  contains the least element. Denote this clause by  $F$ .

We will now show, by contradiction, that  $S$  contains a clause smaller than  $F$  and false in  $I_R$ . To prove this, we consider several cases, depending on which literal(s) are selected in  $F$ .

# Proof Case

Case 1:  $F$  has a negative selected literal. Then  $F$  has the form  
 $\underline{s \not\approx t \vee D}$ .

Case 1.1:  $s$  coincides with  $t$ , then  $F$  has the form  $\underline{s \not\approx s \vee D}$ . Consider the equality resolution inference

$$\frac{\underline{s \not\approx s \vee D}}{D}$$

Since  $F$  is persistent and the process is fair, this inference was applied at some step, so  $D$  belongs to some search space  $S_i$ . Note that  $F \succ D$  and  $D \vdash F$ . Then we have  $I_R \not\models D$ .

By (\*), there is a persistent clause  $D'$  such that  $D \succeq D'$  and  $I_R \not\models D'$ , and we are done.

# Proof Case

Case 1.2:  $F$  has the form  $\underline{s \neq t} \vee D$  and  $s$  does not coincide with  $t$ .  
W.l.o.g. assume  $s \succ t$ .

# Proof Case

Case 1.2:  $F$  has the form  $s \not\asymp t \vee D$  and  $s$  does not coincide with  $t$ .

W.l.o.g. assume  $s \succ t$ . !!!  $\succ$  is total on ground terms. !!!

# Proof Case

Case 1.2.1:  $F$  has the form  $s \not\asymp t \vee D$ ,  $s \succ t$  and  $s$  is reducible.

Then  $R$  contains some rule  $I \rightarrow r$  and  $F$  has the form  $s[I] \not\asymp t \vee D$ .

By construction,  $S$  contains a clause  $I \simeq r \vee C$ , such that  $I$  is greater than any term in  $r$ ,  $C$  and  $I_R \not\models C$ .

Consider the superposition inference

$$\frac{I \simeq r \vee C \quad s[I] \not\asymp t \vee D}{s[r] \not\asymp t \vee C \vee D}$$

Similar to the previous case we can prove that the conclusion  $s[r] \not\asymp t \vee C \vee D$  is smaller than  $F$  and false.

Then use (\*), as before.

# Proof Case

Case 1.2.2:  $F$  has the form  $\underline{s \not\leq t} \vee D$ ,  $s \succ t$  and  $s$  is irreducible.

Since  $F$  is false in  $I_R$ , we have  $I_R \models s \simeq t$ . Then  $s$  and  $t$  have the same normal form, so  $s$  must be reducible: contradiction.

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Case 2: All selected literals in  $F$  are positive. Then  $F$  has a positive selected literal that is also a maximal literal in  $F$ .

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!!! The literal selection function is well-behaving !!!

# Proof Case

Case 2.1:  $F$  has a positive selected literal that is also a maximal literal in  $F$  and the maximal term in  $F$  is reducible.

Then  $F$  has the form  $\underline{s \simeq t \vee D}$ ,  $s \succ t$  and  $s$  is reducible. The proof is the same as in cases 1.2.1.

We have that  $R$  contains some rule  $I \rightarrow r$  and  $F$  has the form  $\underline{s[I] \simeq t \vee D}$ .

By construction,  $S$  contains a clause  $\underline{I \simeq r \vee C}$ , such that  $I$  is greater than any term in  $r, C$  and  $I_R \not\models C$ .

Consider the superposition inference

$$\frac{\underline{I \simeq r \vee C} \quad \underline{s[I] \simeq t \vee D}}{s[r] \simeq t \vee C \vee D}$$

Again, we can prove that the conclusion  $s[r] \simeq t \vee C \vee D$  is smaller than  $F$  and false.

Then use (\*), as before.

# Proof Case

Case 2.2:  $F$  has a positive selected literal that is also a maximal literal in  $F$  and the maximal term in  $F$  is irreducible.

Case 2.2.1:  $F$  has a positive selected literal  $I \simeq r'$  that is also a maximal literal in  $F$ ,  $I \succ r'$ ,  $I$  is irreducible, and there is exactly one occurrence of  $I$  in positive literals in  $F$ .

Then  $F$  has the form  $I \simeq r' \vee C$ ,  $I$  is greater than any term in  $r, C$ . By construction,  $R$  contains some rewrite rule  $I \rightarrow r$ , so  $I$  must be reducible. Contradiction.

# Proof Case

Case 2.2.2:  $F$  has a positive selected literal  $\underline{l} \simeq r$  that is also a maximal literal in  $F$ ,  $\underline{l} \succ r$ ,  $\underline{l}$  is irreducible and there is more than one occurrence of  $\underline{l}$  in positive literals in  $F$ .

Then  $F$  has the form  $\underline{l} \simeq r \vee \underline{l} \simeq r' \vee C$ .

Consider the equality factoring inference

$$\frac{\underline{l} \simeq r \vee \underline{l} \simeq r' \vee C}{\underline{l} \simeq r \vee r \not\simeq r' \vee C} (\text{EF}),$$

Again, we can prove that the conclusion  $\underline{l} \simeq r \vee r \not\simeq r' \vee C$  is smaller than  $F$  and false.

Then use (\*), as before.

# $\text{Sup}_{\succ, \sigma}$ with Predicates

Superposition: (right and left)

$$\frac{\underline{I \simeq r} \vee C \quad \underline{A[I]} \vee D}{A[r] \vee C \vee D} \text{ (Sup)}, \quad \frac{\underline{I \simeq r} \vee C \quad \underline{\neg A[I]} \vee D}{\neg A[r] \vee C \vee D} \text{ (Sup)},$$

where (i)  $I \succ r$ ;

Binary Resolution:

$$\frac{\underline{A} \vee C \quad \underline{\neg A} \vee D}{C \vee D} \text{ (BR)},$$

Factoring:

$$\frac{\underline{A} \vee \underline{A} \vee C}{A \vee C} \text{ (Fact)},$$

# $\text{Sup}_{\succ, \sigma}$ with Predicates

Superposition: (right and left)

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where (i)  $I \succ r$ ;

(ii)  $I \simeq r$  is the greatest literal in  $I \simeq r \vee C$

(iii)  $A[I]$  is the greatest literal in  $A[I] \vee D$

Binary Resolution:

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where (i)  $A$  is maximal in  $A \vee C$

Factoring:

$$\frac{\underline{A} \vee \underline{A} \vee C}{A \vee C} \text{ (Fact)},$$

where (i)  $A$  is maximal in  $A \vee A \vee C$

# Arbitrary Predicates: Model Construction

First, build the congruence as before. We use induction on ground atoms instead of ground terms. We will again build an interpretation  $I_R$  step by step. Initially, all ground non-equality atoms are false in  $I_R$ . Then we will make some of them true.

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Take a ground atom  $P(t_1, \dots, t_n)$ , where  $t_1, \dots, t_n$  are irreducible. We assume that for all atoms smaller than  $P(t_1, \dots, t_n)$ , we have already defined whether they are true. We make  $P(t_1, \dots, t_n)$  true if

- ▶  $S$  contains a clause  $P(t_1, \dots, t_n) \vee C$  such that (i)  $I_R \not\models C$  and (ii)  $P(t_1, \dots, t_n)$  is the greatest literal in  $P(t_1, \dots, t_n) \vee C$ ,

# Saturation up to Redundancy and Satisfiability Checking

**Lemma.** A set  $S$  of clauses saturated up to redundancy is unsatisfiable if and only if  $\square \in S$ .

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Therefore, if we built a set saturated up to redundancy, then the initial set  $S_0$  is **satisfiable**. This is a powerful way of checking redundancy: one can even check satisfiability of formulas having only **infinite models**.

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Therefore, if we built a set saturated up to redundancy, then the initial set  $S_0$  is **satisfiable**. This is a powerful way of checking redundancy: one can even check satisfiability of formulas having only **infinite models**.

The only problem with this characterization is that there is **no obvious way to build a model of  $S_0$**  from a saturated set in the non-ground case.