

# Topic 3: Geometric Modeling and Computer Graphics – Fundamentals

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# Spaces

- Computer graphics is concerned with the representation and manipulation of sets of geometric elements, such as points and line segments.
- We will review the rules governing three such spaces:
  - The (linear) **vector space** contains only two types of objects: scalars (such as real numbers) and vectors.
  - The **affine space** adds a third element: the point.
  - The **Euclidean space** adds the concept of distance.
- The vectors of interest in computer graphics are **directed line segments** and the  **$n$ -tuples of numbers**. Matrix algebra is a tool to manipulate  $n$ -tuples.

# Scalars

- Ordinary real numbers and the operations on them are one example of a **scalar field**.
- Let  $S$  denote a set of elements called scalars,  $\alpha, \beta, \dots$ . Scalars have fundamental operations defined between pairs: **addition** and **multiplication**.

$$\forall \alpha, \beta \in S, \quad \alpha + \beta \in S, \quad \alpha \cdot \beta \in S$$

- These operations are **commutative**, **associative**, and **distributive**,  $\forall \alpha, \beta, \gamma \in S$

$$\alpha + \beta = \beta + \alpha$$

$$\alpha \cdot \beta = \beta \cdot \alpha$$

$$\alpha + (\beta + \gamma) = (\alpha + \beta) + \gamma$$

$$\alpha \cdot (\beta \cdot \gamma) = (\alpha \cdot \beta) \cdot \gamma$$

$$\alpha \cdot (\beta + \gamma) = (\alpha \cdot \beta) + (\alpha \cdot \gamma)$$

If no ambiguity:

$$\alpha\beta \Leftrightarrow \alpha \cdot \beta$$

# Scalars

- Two special scalars: the additive inverse (0) and the multiplicative inverse (1) – such that  $\forall \alpha \in S$

$$\alpha + 0 = 0 + \alpha = \alpha$$

$$\alpha \cdot 1 = 1 \cdot \alpha = \alpha$$

- Each element has an additive inverse  $-\alpha$  and a multiplicative inverse  $\alpha^{-1}$ , such that

$$\alpha + (-\alpha) = 0$$

$$\alpha \cdot \alpha^{-1} = 1$$

- The real numbers using ordinary addition and multiplication form a **scalar field**.

# Vector Spaces

- A vector space, in addition to scalars, contains a 2<sup>nd</sup> type of entity: vectors.
- Vectors have two operations defined: **vector-vector addition, scalar-vector multiplication.**
- Let  $u, v, w$  denote vectors in a vector space  $V$ . Vector addition is defined to be

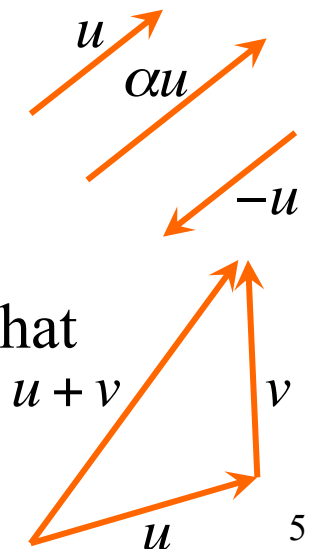
- **Closed:**  $u + v \in V, \quad \forall u, v \in V$
- **Commutative:**  $u + v = v + u$
- **Associative:**  $u + (v + w) = (u + v) + w$

A special vector  $0$  is defined such that  $\forall u \in V$ :

$$u + 0 = u$$

Every vector  $u$  has an additive inverse  $-u$  such that

$$u + (-u) = 0$$



# Vector Spaces

- Scalar-vector multiplication is defined such that, for any scalar  $\alpha$  and any vector  $u$ ,  $\alpha u$  is a vector in  $V$ . The scalar-vector operation is **distributive**:

$$\alpha(u + v) = \alpha u + \alpha v$$

$$(\alpha + \beta)u = \alpha u + \beta u$$

- $n$ -tuples of scalars (real or complex numbers):

$$v = (v_1, v_2, \dots, v_n)$$

Vector-vector addition and scalar-vector multiplication are

$$u + v = (u_1, u_2, \dots, u_n) + (v_1, v_2, \dots, v_n)$$

$$= (u_1 + v_1, u_2 + v_2, \dots, u_n + v_n)$$

$$\alpha v = (\alpha v_1, \alpha v_2, \dots, \alpha v_n)$$

This space is denoted as  $R^n$  and is the vector space in which we can manipulate vectors using matrix algebra.

# Vector Spaces

- A linear combination of  $n$  vectors  $u_1, u_2, \dots, u_n$  is a vector of the form

$$u = \alpha_1 u_1 + \alpha_2 u_2 + \dots + \alpha_n u_n$$

- If the only set of scalars such that

$$\alpha_1 u_1 + \alpha_2 u_2 + \dots + \alpha_n u_n = 0$$

is  $\alpha_1 = \alpha_2 = \dots = \alpha_n = 0$ , then the vectors are said to be **linearly independent** ( $n$  is the dimension of the space) and they form a basis.

- If  $v_1, v_2, \dots, v_n$  is a basis for  $V$ , any vector  $v$  can be expressed uniquely in terms of the basis vectors

$$v = \beta_1 v_1 + \beta_2 v_2 + \dots + \beta_n v_n$$

# Vector Spaces

- If  $v'_1, v'_2, \dots, v'_n$  is some other basis for  $V$ , there is a representation of  $v$  in terms of the basis vectors

$$v = \beta'_1 v'_1 + \beta'_2 v'_2 + \dots + \beta'_n v'_n$$

- There exists an  $n \times n$  matrix  $M$  such that

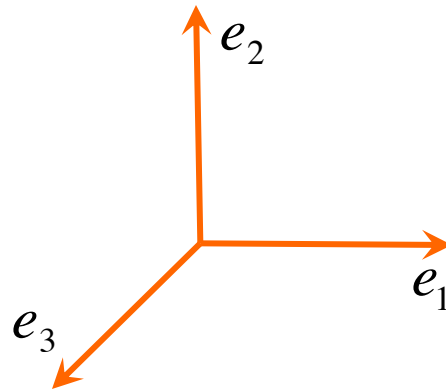
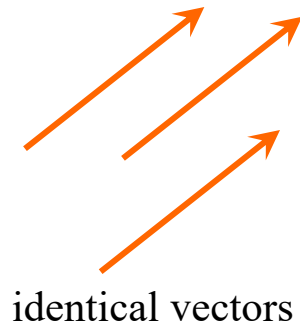
$$\begin{bmatrix} \beta'_1 \\ \beta'_2 \\ \vdots \\ \beta'_n \end{bmatrix} = M \begin{bmatrix} \beta_1 \\ \beta_2 \\ \vdots \\ \beta_n \end{bmatrix}$$

This matrix gives a way of changing representations through a simple linear transformation involving only scalar operations for carrying out matrix multiplication.

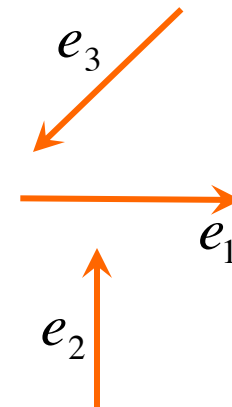


# Affine Spaces

- A vector space lacks any geometric concepts such as **location** and **distance**. Vectors have only magnitude and direction, but have no position.
- A vector can be expressed in terms of a set of basis vectors that defines a coordinate system.



basis vectors located  
at the origin



arbitrary placement  
of basis vectors

# Affine Spaces

- An affine space introduces a third type of entity to a vector space, **points**. ( $P, Q, R, \dots$ )
- Point-point subtraction yields a vector in  $V$ :

$$v = P - Q$$

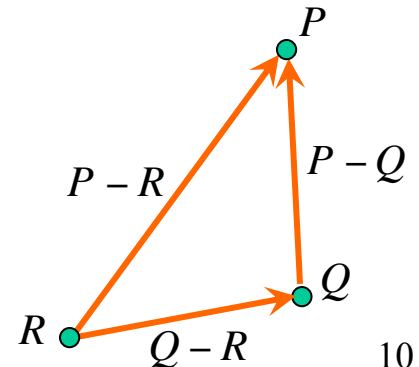
For every  $v$  and  $Q$ , we can find a  $P$  such that

$$P = v + Q$$

defining a vector-point addition.

- A consequence of the **head-to-tail axiom** is that for any three points  $P, Q, R$ ,

$$(P - Q) + (Q - R) = P - R$$



# Affine Spaces

- A frame consists of a point  $P_0$ , and a set of vector  $v_1, v_2, \dots, v_n$  that defines a basis for the vector space. Given a frame, an arbitrary vector can be written uniquely as

$$v = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n$$

and an arbitrary point can be written uniquely as

$$P = P_0 + \beta_1 v_1 + \beta_2 v_2 + \dots + \beta_n v_n$$

The two sets of scalars,  $\{\alpha_1, \dots, \alpha_n\}$  and  $\{\beta_1, \dots, \beta_n\}$ , give the representations of the vector and point.  $P_0$  can be regarded as the origin of the frame, and all points are defined from this reference point.

# Euclidean Spaces

- Given scalars  $(\alpha, \beta, \gamma, \dots)$  and vectors  $(u, v, w, \dots)$ , Euclidean spaces introduce **the inner (dot) product**, which combines two vectors to form a real.

$$u \cdot v = v \cdot u$$

$$(\alpha u + \beta v) \cdot w = \alpha u \cdot w + \beta v \cdot w$$

$$v \cdot v > 0 \quad \text{if} \quad v \neq 0 \qquad 0 \cdot 0 = 0$$

If  $u \cdot v = 0$ , then  $u$  and  $v$  are **orthogonal**. The magnitude (length) of a vector is usually measured as  $|v| = \sqrt{v \cdot v}$

- For any two points  $P$  and  $Q$ ,  $P - Q$  is a vector,

$$|P - Q| = \sqrt{(P - Q) \cdot (P - Q)}$$

- The angle between two vectors:

$$u \cdot v = |u||v| \cos \theta \qquad \cos \theta = \frac{u \cdot v}{|u||v|} = \begin{cases} 0 & u, v \text{ are orthogonal} \\ 1 & u, v \text{ are parallel} \end{cases}$$

# Projections

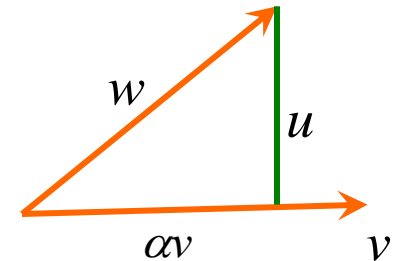
- The concept of projection arises from finding the shortest distance from a point to a line or plane. Given two vectors  $v$  and  $w$ , we can take one of them ( $w$ ) and divide it into two parts: one parallel and one orthogonal to the other vector ( $v$ ).

$$w = \alpha v + u$$

$$u \cdot v = 0$$

$$w \cdot v = (\alpha v + u) \cdot v = \alpha v \cdot v + u \cdot v = \alpha v \cdot v$$

$$\alpha = \frac{w \cdot v}{v \cdot v}$$



- The vector  $\alpha v$  is the projection of  $w$  onto  $v$ ,

$$u = w - \frac{w \cdot v}{v \cdot v} v$$

# Gram-Schmidt Orthogonalization

- Given a set of basis vectors,  $a_1, a_2, \dots, a_n$ , in a space of dimension  $n$ , it is relatively straightforward to create another basis  $b_1, b_2, \dots, b_n$  that is **orthonormal**, that is, a basis in which each vector has unit length and is orthogonal to each other in the basis,

$$b_i \cdot b_j = \begin{cases} 1 & \text{if } i = j \\ 0 & \text{otherwise} \end{cases}$$

- We process iteratively. We look for a vector in the form

$$b_2 = a_2 + \alpha b_1$$

which can make orthogonal to  $b_1$  by choosing  $\alpha$  properly.

$$b_2 \cdot b_1 = 0 = a_2 \cdot b_1 + \alpha b_1 \cdot b_1$$

$$\alpha = -\frac{a_2 \cdot b_1}{b_1 \cdot b_1} = -a_2 \cdot b_1$$

$$b_2 = a_2 - \frac{a_2 \cdot b_1}{b_1 \cdot b_1} b_1 = a_2 - (a_2 \cdot b_1) b_1$$

# Gram-Schmidt Orthogonalization

- We have constructed the orthogonal vector by removing the part parallel to  $b_1$ , or the projection of  $a_2$  onto  $b_1$ .
- The general iterative step is to find a

$$b_k = a_k + \sum_{i=1}^{k-1} \alpha_i b_i$$

that is orthogonal to  $b_1, \dots, b_{k-1}$ . There are  $k-1$  orthogonal conditions that allow us to find

$$\alpha_i = -\frac{a_k \cdot b_i}{b_i \cdot b_i}$$

- Each vector should be normalized by replacing  $b_i$  by  $b_i / \|b_i\|$ .

# Matrices

- A matrix is an  $n \times m$  array of scalars, arranged conceptually as  $n$  rows and  $m$  columns.  $n$  and  $m$  are referred as the row and column dimensions of the matrix. If  $m=n$ , then the matrix is a square matrix of dimension  $n$ . We write the matrix  $A$  in terms of its elements:

$$A = \begin{bmatrix} a_{ij} \end{bmatrix} \quad i = 1, \dots, n \quad \text{and} \quad j = 1, \dots, m$$



# Matrices

- The transpose of an  $n \times m$  matrix  $A$  is the  $m \times n$  matrix that can be obtained by interchanging the rows and columns of  $A$ . We denote it as  $A^T$ .

$$A^T = [a_{ji}] \quad i = 1, \dots, n \quad \text{and} \quad j = 1, \dots, m$$

- Matrix with one column ( $n \times 1$ ) is column matrix, and matrix with one row ( $1 \times m$ ) is row matrix.

Column matrix:  $b = [b_i]$

Row matrix:  $b^T$

# Matrix Operations

- Three basic matrix operations:
  - Scalar-matrix multiplication
  - Matrix-matrix addition
  - Matrix-matrix multiplication
- Scalar-matrix multiplication: defined for any size matrix  $A$ , and simply the element-by-element multiplication of the elements by a scalar  $\alpha$ .

$$\alpha A = \left[ \alpha a_{ij} \right]$$

# Matrix Operations

- **Matrix-matrix addition:** adding the corresponding elements of the two matrices, which have the same dimensions.

$$C = A + B = \begin{bmatrix} a_{ij} + b_{ij} \end{bmatrix}$$

- **Matrix-matrix multiplication:** the product of an  $n \times l$  matrix  $A$  by an  $l \times m$  matrix  $B$  is the  $n \times m$  matrix. The number of columns of  $A$  should be the same as the number of rows of  $B$ .

$$C = AB = \begin{bmatrix} c_{ij} \end{bmatrix} \quad c_{ij} = \sum_{k=1}^l a_{ik} b_{kj}$$

# Matrix Operations

- Scalar-matrix multiplication obeys a number of simple rules that hold for any matrix  $A$  and scalars  $\alpha$  and  $\beta$  :

$$\alpha(\beta A) = (\alpha\beta)A$$

$$\alpha\beta A = \beta\alpha A$$

- Matrix-matrix addition has the **commutative** property. For any  $n \times m$  matrices  $A$  and  $B$ :

$$A + B = B + A$$

**Associative** property: for any three  $n \times m$  matrices  $A$ ,  $B$  and  $C$ :

$$A + (B + C) = (A + B) + C$$

# Matrix Operations

- Matrix-matrix multiplication is **associative**:

$$A(BC) = (AB)C$$

**Not commutative:**

$$AB \neq BA$$

In graphics application, matrices represent **transformations** such as translation and rotation. **The order of transformation is important, and not commutative.** A rotation followed by a translation is not the same as a translation followed by a rotation.

# Identity Matrix

- The identity matrix  $I$  is a square matrix with 1s on the diagonal and 0s elsewhere:

$$I = [a_{ij}] \quad a_{ij} = \begin{cases} 1 & \text{if } i = j \\ 0 & \text{elsewhere} \end{cases}$$

$$AI = A$$

$$IB = B$$

# Row and Column Matrices

- A vector or a point in 3D can be represented as the column matrix, which is denoted by lowercase letters.

$$p = \begin{bmatrix} x \\ y \\ z \end{bmatrix}$$

- The transpose of  $p$  is the row matrix:

$$p^T = [x \quad y \quad z]$$

- The product of a square matrix of dimension  $n$  and a column matrix of dimension  $n$  is a new column matrix of dimension  $n$ . A square matrix is used to represent a transformation of the point (or vector):

$$p' = Ap$$

- $p' = ABCp$  describe sequences of transformations.

$$(AB)^T = B^T A^T$$

$$p'^T = p^T C^T B^T A^T$$

# Rank

- For a matrix  $A$ , if a  $B$  exists s.t.  $BA=I$ , then  $B$  is the inverse of  $A$  and  $A$  is nonsingular. A noninvertible matrix is singular.

$$B = A^{-1}$$

- The inverse of a square matrix exists if and only if the determinant of the matrix is nonzero. The computational complexity of determinant calculation is  $O(n^3)$  for an  $n$ -dimensional matrix, and the rank is  $n$ .
- For nonsquare matrices, the row (column) rank is the maximum number of linearly independent rows (columns).



# Change of Representation

- Suppose we have a vector space of dimension  $n$ . Let  $\{u_1, u_2, \dots, u_n\}$  and  $\{v_1, v_2, \dots, v_n\}$  be two bases for the vector space. Hence a given vector  $v$  can be expressed as either

$$v = \alpha_1 u_1 + \alpha_2 u_2 + \dots + \alpha_n u_n$$

or

$$v = \beta_1 v_1 + \beta_2 v_2 + \dots + \beta_n v_n$$

- We write the representation of  $v$  as either

$$v = [\alpha_1 \quad \alpha_2 \quad \dots \quad \alpha_n]^T$$

or

$$v' = [\beta_1 \quad \beta_2 \quad \dots \quad \beta_n]^T$$

depending on which basis we use.

# Change of Representation

- Now we can address how to convert  $v$  to  $v'$ . The basis vectors  $\{v_1, v_2, \dots, v_n\}$  can be expressed as vectors in the basis  $\{u_1, u_2, \dots, u_n\}$ . Thus, there exists a set of scalars  $\gamma_{ij}$  such that

$$u_i = \gamma_{i1}v_1 + \gamma_{i2}v_2 + \dots + \gamma_{in}v_n, \quad i = 1, \dots, n$$

We can write the expression in matrix form:

$$\begin{bmatrix} u_1 \\ u_2 \\ \vdots \\ u_n \end{bmatrix} = A \begin{bmatrix} v_1 \\ v_2 \\ \vdots \\ v_n \end{bmatrix}$$

where  $A$  is the  $n \times n$  matrix:

$$A = [\gamma_{ij}]$$

# Change of Representation

- We can use column matrices to express  $v$  and  $v'$ :

$$v = a^T \begin{bmatrix} u_1 \\ u_2 \\ \vdots \\ u_n \end{bmatrix} \qquad a = [\alpha_i]$$

$$v' = b^T \begin{bmatrix} v_1 \\ v_2 \\ \vdots \\ v_n \end{bmatrix} \qquad b = [\beta_i]$$

$$b^T = a^T A$$

- The matrix  $A$  is the matrix representation of the change between two bases.

# The Cross Product

- Given two nonparallel vectors,  $u$  and  $v$ , in a 3D space, the cross product gives a third vector,  $w$ , that is orthogonal to both.

$$w \cdot u = w \cdot v = 0$$

- Within a particular coordinate system, if  $u$  has components  $\alpha_1, \alpha_2, \alpha_3$  and  $v$  has components  $\beta_1, \beta_2, \beta_3$ , then the cross product is

$$w = u \times v = \begin{bmatrix} \alpha_2 \beta_3 - \alpha_3 \beta_2 \\ \alpha_3 \beta_1 - \alpha_1 \beta_3 \\ \alpha_1 \beta_2 - \alpha_2 \beta_1 \end{bmatrix}$$

- Right-handed coordinate system: the cross product of  $x$ -axis and  $y$ -axis is along the  $z$ -axis.

# Eigenvalues and Eigenvectors

- $\lambda$  - eigenvalue,  $u$  - eigenvector

$$Mu = \lambda u$$

$$Mu - \lambda u = Mu - \lambda Iu = (M - \lambda I)u = 0$$

- The above equation can have a nontrivial solution if and only if the determinant

$$|M - \lambda I| = 0$$

# Eigenvalues and Eigenvectors

- If  $M$  is  $n \times n$ , then the determinant yields a polynomial of degree  $n$ , and there are  $n$  roots. For each eigenvalue, we can find a corresponding eigenvector. If all the eigenvalues are distinct, then any set of corresponding eigenvectors form a basis for an  $n$ -dimensional vector space.
- Suppose  $T$  is a nonsingular matrix, consider

$$Q = T^{-1}MT$$

$$Qv = T^{-1}MTv = \lambda v \quad \longrightarrow \quad MTv = \lambda Tv$$

The eigenvalues of  $Q$  are the same as those of  $M$ , and the eigenvectors are the transformations of the eigenvectors of  $M$ . The matrices  $M$  and  $Q$  are said to be **similar**.

# Eigenvalues and Eigenvectors

- Eigenvalues and eigenvectors have a geometric interpretation. Consider an ellipsoid, centered at the origin, with its axes aligned with the coordinate axes.

$$\lambda_1 x^2 + \lambda_2 y^2 + \lambda_3 z^2 = 1$$

or in matrix form (  $\lambda_1, \lambda_2, \lambda_3$  are positive):

$$\begin{bmatrix} x & y & z \end{bmatrix} \begin{bmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = 1$$

- $\lambda_1, \lambda_2, \lambda_3$  are the eigenvalues of the diagonal matrix, they are also the inverse of the lengths of the major and minor axes of the ellipsoid. If we rotate the ellipsoid, then a new ellipsoid is created, but the length of axes of the ellipsoid stays the same.

# References

- Interactive Computer Graphics: A top-down approach using OpenGL. Edward Angel, 3<sup>rd</sup> Edition. Pearson Edition.