

# Realizing Continuity Using Stateful Computations

Liron Cohen and Vincent Rahli

February, 2023

# Motivation

**Continuity is a key component of intuitionistic logic**

$$\begin{aligned} \forall F : \mathcal{B} \rightarrow \mathbb{N}. \forall \alpha : \mathcal{B}. \exists n : \mathbb{N}. \forall \beta : \mathcal{B}. \\ (\alpha = \beta \in \mathcal{B}_n) \rightarrow (F(\alpha) = F(\beta) \in \mathbb{N}) \\ (\mathcal{B} = \mathbb{N}^{\mathbb{N}} \ \& \ \mathcal{B}_n = \mathbb{N}^{\mathbb{N}_n}) \end{aligned}$$

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**Models exist for** MLTT, System T, CTT, etc.

**Used** for example to prove that all real-valued functions on the unit interval are continuous.

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**Typical methods** to validate continuity:

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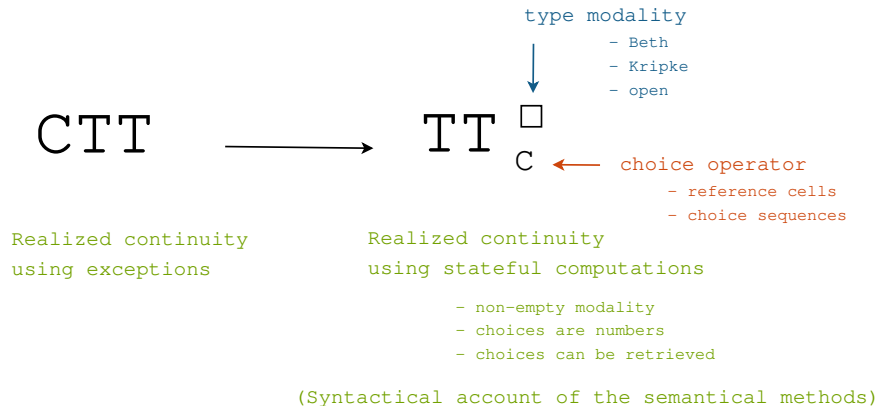
- ▶ Forcing-based approaches (Coquand et al.)
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**Effectful computations:** In some of these theories, the modulus can even be computed using effects (Longley)

 **Non-extensional** (Kreisel, Troesltra, Escardó and Xu)

**For example:** do  $\lambda\alpha.0$  and  $\lambda\alpha.\text{let } x = \alpha(10) \text{ in } x - x$  have the same modulus of continuity?

# This talk in 1 slide





# $TT_{\mathcal{C}}^{\Box}$ : A Family of Extensional Type Theories

A family of extensional type theories parameterized by  
a **type modality**  $\Box$ , and a **choice type**  $\mathcal{C}$ ,  
compatible with **intuitionistic** and **classical** principles

**Formalized in Agda**

# $TT_{\mathcal{C}}^{\square}$ : A Family of Extensional Type Theories

Untyped call-by-name  
lambda-calculus

sequent calculus

realizability semantics

Extensional

Dependent types

# $\mathsf{TT}_{\mathcal{C}}^{\square}$ : Syntax

## Core Syntax:

$$T \in \text{Type} ::= \mathbb{N} \mid \mathbb{U}_i \mid \prod x:t. t \mid \sum x:t. t \mid t = t \in t \mid t + t \mid \dots$$
$$v \in \text{Value} ::= T \mid \star \mid \underline{n} \mid \lambda x. t \mid \langle t, t \rangle \mid \text{inl}(t) \mid \text{inr}(t) \mid \dots$$
$$\begin{aligned} t \in \text{Term} ::= & x \mid v \mid t \ t \mid \text{fix}(t) \mid \text{let } x := t \text{ in } t \\ & \mid \text{case } t \text{ of } \text{inl}(x) \Rightarrow t \mid \text{inr}(y) \Rightarrow t \\ & \mid \text{let } x, y = t \text{ in } t \mid \text{if } t = t \text{ then } t \text{ else } t \mid \dots \end{aligned}$$

# $\mathsf{TT}_{\mathcal{C}}^{\square}$ : World-Based Computations

## Core Operational Semantics:

$$\begin{array}{lll} w \vdash (\lambda x. t_1) t_2 & \longrightarrow & t_1[x \setminus t_2] \\ w \vdash \text{let } x_1, x_2 = \langle t_1, t_2 \rangle \text{ in } t & \longrightarrow & t[x_1 \setminus t_1; x_2 \setminus t_2] \\ w \vdash \text{fix}(v) & \longrightarrow & v \text{ fix}(v) \\ \dots & & \end{array}$$

where  $w \in \mathcal{W}$  (a poset with ordering  $\sqsubseteq$ )

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So far we haven't used the world

# $\mathsf{TT}_{\mathcal{C}}^{\square}$ : Choice Operator

## Additional Components

- ▶  $\mathcal{N}$ : abstract type of choice names
- ▶  $\mathcal{C}$ : abstract type of choices inhabited by  $\kappa_0 \neq \kappa_1$
- ▶ a partial function:  $\text{choice} \in \mathcal{W} \rightarrow \mathcal{N} \rightarrow \mathcal{C}$

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## Syntax

$$v \in \text{Value} ::= \dots \mid \delta \text{ (choice name)}$$
$$t \in \text{Term} ::= \dots \mid !t \text{ (reading)}$$

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## Operational Semantics

$w \vdash !\delta \mapsto \text{choice}(w, \delta)$



# $\mathsf{TT}_{\mathcal{C}}^{\square}$ : Inference Rules

Standard ETT rules:

$$\frac{\Gamma, x:A \vdash b:B[x] \quad \Gamma \vdash \star : (A \in \mathbb{U}_i)}{\Gamma \vdash \lambda x.b : \Pi a:A. B[a]} \quad \dots$$

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+ LEM for some  $\Box$  modalities (e.g., Beth)

+  $\neg$ LEM for some  $\Box$  modalities (e.g., Open)

# $\mathsf{TT}_{\mathcal{C}}^{\square}$ : Realizability semantics

An inductive relation that expresses type equality

$$w \models T_1 \equiv T_2$$

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For example (product types):

$$w \models \Pi_{x_1:A_1}.B_1 \equiv \Pi_{x_2:A_2}.B_2$$

$$\iff$$

$$\forall w' \sqsupseteq w. w' \models A_1 \equiv A_2 \wedge$$

$$\forall w' \sqsupseteq w. \forall a_1, a_2. w' \models a_1 \equiv a_2 \in A_1 \Rightarrow w' \models B_1[x_1 \setminus a_1] \equiv B_2[x_2 \setminus a_2]$$

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Properties (where  $(w : \mathcal{W}), (P, Q : \mathcal{P}_w)$ ):

monotonicity of  $\Box$   $\forall w' \sqsupseteq w. \Box_w P \rightarrow \Box_{w'} P$

$K$ , distribution axiom  $\Box_w(P \rightarrow Q) \rightarrow \Box_w P \rightarrow \Box_w Q$

$C4$ , i.e.,  $\Box\Box \rightarrow \Box$   $\Box_w(w'.\Box_{w'} P) \rightarrow \Box_w P$

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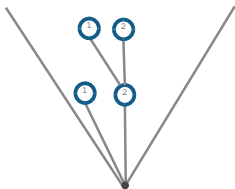
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Enough to prove standard properties of the type system:  
consistency, symmetry, transitivity, etc.

# $\mathsf{TT}_{\mathcal{C}}^{\Box}$ : Examples of Modalities

## Kripke modality

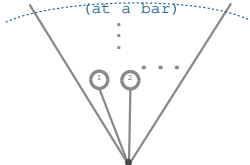


$$w \models T \iff \forall w_1 \sqsupseteq w. w_1 \models T$$

(modality:  $\Box_K(T)$ )

## Beth modality

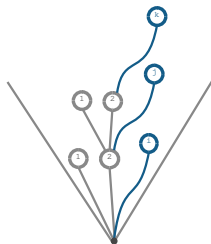
true eventually for all extensions  
(at a bar)



$$w \models T \iff \exists b \in \text{bar}(w). \forall w_1 \in b. \forall w_2 \sqsupseteq w_1. w_2 \models T$$

(modality:  $\Box_B(T)$ )

## Open modality



$$w \models T \iff \forall w_1 \sqsupseteq w. \exists w_2 \sqsupseteq w_1. \forall w_3 \sqsupseteq w_2. w_3 \models T$$

(modality:  $\Box_O(T)$ )

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For example, Kripke, Beth, Open coverings



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## Uniform continuity theorem ( $f \in [\alpha, \beta] \rightarrow \mathbb{R}$ ):

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**Effectful computations following Longley's method:**

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1  let exception e in
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3              then  $\alpha(x)$ 
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5  true) handle e => false
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Plus a loop until the modulus of continuity is reached

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Also consistent with  $\mathsf{TT}_{\mathcal{C}}^{\square}$  (CSL'23):

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More straightforward; No need for a loop

# Continuity – Purity

## Different moduli in extensions:

- ▶  $\lambda\alpha.\alpha(!\delta);0$
- ▶  $\alpha$  might get applied to  $0$  in  $w_1$
- ▶ and to  $1$  in  $w_2 \sqsupseteq w_1$



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? Are impure functions continuous?

? Can the modulus of continuity inhabit a variant of  $\mathbb{N}$  where numbers are allowed to change in extensions?

# Continuity – Purity

We require here functions to be pure ( $\Pi_p$ ):

Theorem (Continuity Principle)

*The following continuity principle, is valid w.r.t. the above semantics:*

$$\Pi_p F: \mathcal{B} \rightarrow \mathbb{N}. \Pi_p \alpha: \mathcal{B}. \downarrow \Sigma n: \mathbb{N}. \Pi_p \beta: \mathcal{B}. \\ (\alpha = \beta \in \mathcal{B}_n) \rightarrow (F(\alpha) = F(\beta) \in \mathbb{N})$$

*and is inhabited by the above computation, denoted  $\text{mod}(F, \alpha)$*

# Continuity – Further Additional Components

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- ▶ a function:  $\text{update} \in \mathcal{W} \rightarrow \mathcal{N} \rightarrow \mathcal{C} \rightarrow \mathcal{W}$
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## Syntax

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## Operational Semantics

$$w, \text{update}(w, \delta, t) \vdash \text{choose}(\delta, t) \mapsto \star$$

# Continuity – Proof Steps

## Step 1 (The Modulus is a Number)

*If  $\text{namefree}(F)$ ,  $\text{namefree}(\alpha)$ ,  $w \models F \in \mathbb{N}^{\mathcal{B}}$ , and  $w \models \alpha \in \mathcal{B}$ , for some world  $w$ , then  $w \models \text{mod}(F, \alpha) \in \mathbb{N}$*

# Continuity – Proof Steps

## Step 1 (The Modulus is a Number)

*If  $\text{namefree}(F)$ ,  $\text{namefree}(\alpha)$ ,  $w \models F \in \mathbb{N}^{\mathcal{B}}$ , and  $w \models \alpha \in \mathcal{B}$ , for some world  $w$ , then  $w \models \text{mod}(F, \alpha) \in \mathbb{N}$*

## Step 2 (The Modulus is the Highest Number)

*If  $w, w' \vdash \text{mod}(F, \alpha) \mapsto^* \underline{n}$  such that  $\text{mod}(F, \alpha)$  generates a fresh name  $\delta$ , then for any world  $w_0$  occurring along this computation, it must be that  $\text{choice}(w_0, \delta) \leq \text{choice}(w', \delta)$ .*



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## Step 3 (The Modulus is the Modulus)

*If  $w \models \alpha \equiv \beta \in \mathcal{B}_n$  then  $w \models F(\alpha) \equiv F(\beta) \in \mathbb{N}$ .*

# Summary

$\mathsf{TT}^{\square}_{\mathcal{C}}$ : a type theory to program with effects

$\square \in \{\textit{Kripke}, \textit{Beth}, \textit{Open}\}$

$\mathcal{C} \in \{\textit{Ref}, \textit{CS}\}$

Simple reference-based computation of continuity

What about impure functions?

## Questions?