

# **Exploiting Access Pattern Characteristics for Join Reordering**

Nils L. Schubert Technische Universitat Berlin, Germany

Steffen Zeuch Technische Universitat Berlin, Germany

# **ABSTRACT**

With increasing main memory sizes, data processing has significantly shifted from secondary storage to main memory. However, choosing a good join order is still very important for efficient query execution in modern DBMS. This choice bases mainly on cardinality estimates for intermediate join results. However, the memory access pattern, e.g., sequential or random, on the intermediate state is an often neglected performance factor.

In this paper, we examine this impact on join query performance by evaluating the execution time, and cache misses for n-ary foreign-key joins. Based on this analysis, we propose a novel join reordering algorithm that detects the memory access pattern (using machine learning on hardware performance counters) and adapts the join order accordingly at runtime. By considering the access pattern, our evaluation shows that our adaptive reorder algorithm converges quickly to a good join order and reaches improvements of up to a factor of 5.7×.

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#### 1 INTRODUCTION

Over the last decades, memory bandwidth has become a major performance bottleneck of modern main memory database systems. To mitigate the bandwidth limitation, hardware vendors have increased the size of CPU caches and introduced hardware prefetchers to exploit the temporal and spatial locality of memory accesses [37]. Furthermore, researchers have revisited design decisions [6], costmodels [44], data-structures [20, 22, 24, 32], and operator implementations [9, 34] to improve memory and cache utilization.

Although there have been considerable improvements in designing hardware-conscious databases, finding an efficient join order is still a very important performance-critical decision [23, 25, 30]. For choosing the *best* join order, optimizers rely on cardinality and selectivity estimations. However, there are situations where the pure

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Philipp M. Grulich Technische Universität Berlin, Germany

Volker Markl Technische Universitat Berlin, Germany DFKI GmbH, Germany

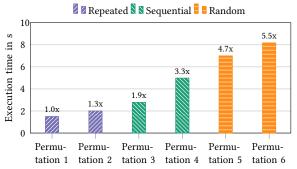


Figure 1: Execution time of all permutations of a three-way join with repeated, sequential, and random memory access patterns for the first join.

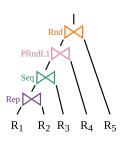
size of an intermediate result is not the only determining factor for performance but also *how* the data is accessed. For instance, if the individual probes of an n-ary join result in different memory access patterns (random vs. sequential), a join order that causes more sequential accesses will perform better. As a result, a join order that introduces a larger intermediate result might still be faster than a smaller one. Thus, an optimizer that relies solely on cardinality estimates might make suboptimal decisions.

We showcase one occurrence of this case in Figure 1, which represents the following SQL query.

SELECT \* FROM A, B, C WHERE A.1 = B.1 and A.2 = C.2

We compare the performance of all join orders of three foreign-key joins, where each intermediate join result has the same selectivity of 22% and cardinality of 10 M tuples but different memory access patterns. We choose selectivity and cardinality to increase the impact of the different memory access patterns and omit the effect of the cache, respectively. In particular, one join probes always the same key (repeated access), one accesses keys sequentially (sequential access), and one randomly (random access). As shown, Permutation 1, with a repeated access pattern in the first join, is 5.5× faster than the worst order Permutation 6, with a random access pattern first. This join with very similar join cardinalities represents an obvious case where the optimizers must blindly choose a join order. However, there are other cases where the access pattern determines the final performance. For instance, when the intermediate result cardinalities are different, but one join uses a more efficient memory pattern (e.g., repeated access) and the other a highly inefficient (e.g., random pattern) [44].

This paper centers around exploiting access patterns to determine the join order. It follows the observation that each join permutation has a different data-dependent access pattern. As a result,



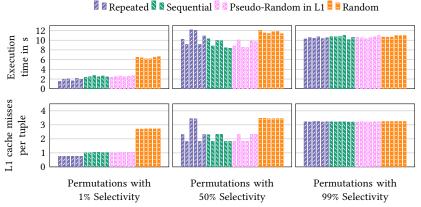


Figure 2: Query plan for the first permutation with the memory access pattern for each join.

Figure 3: Execution time and L1 cache misses over all permutations for a four-way join across different selectivities.

the costs of the different join orders significantly differ, originating from their respective access patterns. To exploit these cases, we propose a novel join reordering algorithm that detects and optimizes the memory access pattern of n-ary joins. To this end, we collect hardware performance counters and introduce a machine-learning model to predict the memory access pattern at runtime. Based on this information, our algorithm <sup>1</sup> adapts the joins to mitigate inefficient orders. Overall, we make the following contributions:

- (1) We provide a microarchitecture performance analysis of joins with similar selectivities and cardinalities (Section 3).
- (2) We introduce a machine-learning model for classifying memory-access patterns based on lightweight hardware performance counters (Section 4).
- (3) We propose an adaptive join algorithm that leverages memory-access patterns for choosing a good join order (Section 4).
- (4) We evaluate our approach across different queries and data characteristics (Section 5).

# 2 BACKGROUND

In this section, we introduce hardware performance counters (see Section 2.1) and memory access patterns (see Section 2.2) as the two underlying concepts of our approach.

#### 2.1 Hardware Performance Counters

Modern CPUs provide programmable registers, so-called performance monitoring units (PMUs). This enables us to analyze the utilization of the CPU and system resources of a program. Through hardware performance counters, we can determine the number of specific hardware events that occurred during a specific monitoring interval, e.g., executed instructions, cache accesses, or branch misses, directly in hardware. Due to their highly efficient hardware implementation, they introduce a negligible runtime overhead of less than 0.1% [44]. To use hardware performance counters, many performance analyzing tools have emerged over the last year, e.g., PERF [26] or VTUNE [18], as well as different libraries, e.g.,

PAPI [41] or pmu-tools [2]. We refer the reader to [17] for a detailed description of the performance counters and their implementations.

# 2.2 Memory Access Pattern

A memory access pattern describes the *stride* of consecutive memory accesses [16]. A stride is a difference in bytes between a sequence of data requests. Based on strides, we use the following notation throughout this paper.

- Repeated (Rep), stride = 0 byte: This memory pattern requests always the same data item. For example, a constant read to the same variable in a code fragment introduces this pattern.
- Sequential (Seq), stride = 1 byte: This memory pattern requests always the next data item. For example, a table scan or a loop over an array introduces this pattern.
- Random (Rnd), stride = unpredictable: This memory pattern always requests a different data item, and the stride does not follow a regular pattern that can be detected by the CPU prefetcher, e.g., lookups in a large hash table.
- Pseudo-Random (PRndL1, PRndL2, PRndL3), stride = size of L1, L2, or L3: This memory pattern represents a sequence of accesses that follow a regular pattern by performing *pseudo-random* memory accesses within a particular stride, e.g., L1, L2, or L3 cache size. We simulate them by pre-calculating memory accesses using the Fisher-Yates algorithm [11].

# 3 MICROARCHITECTURE ANALYSIS OF ACCESS PATTERN-AWARE JOINS

A good join order is crucial for the performance of most queries involving joins. Leis et al. [23] investigate join performance on a real-world data set using realistic n-ary join queries. They compare query optimizers relying on cardinality estimation to pick a good join order. In the following, we examine to which extent the memory access pattern influences an optimal join order.

**Setup.** We create a data set that follows the ideas of the *Join Order Benchmark*, proposed by Leis et al. [23]. We choose Query 17 as a representative four-way join query with similar cardinality. As we solely focus on the impact of the memory access pattern in this

 $<sup>^{1}</sup>https://github.com/TU-Berlin-DIMA/apaj-hpc \\$ 

analysis, we change the underlying tables so that the selectivity and, thus, the cardinality of each join result is identical for our experiment. The fact table consists of 350 M tuples of 48 byte, while each dimension tables consists of 10 M tuples of 16 byte.

Our query resembles a nested-leftmost hash-join (see Figure 2), probing a pre-built hash table sequence. We measure the L1 cache misses across four memory access patterns: *Repeated, Sequential, Random*, and *Pseudo-Random L1*. The memory access patterns for the hash probing can be provoked when generating the data, e.g., having the same value in the foreign-key column translates to a *Repeated* pattern while an autoincrement creates a *Sequential* access pattern. Furthermore, we run this experiment with three different selectivities: 1%, 50%, or 99%. We conduct our microarchitecture analysis on an *Intel Xeon Gold 5115* with 188 Gibyte RAM and show the results in Figure 3.

**Results.** Figure 3 shows the runtime and L1 cache misses over all 24 permutations for three different selectivities, 1%, 50%, or 99%. Note that we omit the number of L2, and L3 cache misses, as they show similar behavior. The observations are two-fold. First, the join order that induces more cache misses also has a larger execution time, which aligns with previous results [44]. Second, the memory-access pattern of the first join (indicated by the color) mainly impacts the performance of the entire set of permutations, with subsequent patterns inducing only marginal impact. In particular, for 1% selectivity, the execution time and cache misses can be clustered into four groups, each consisting of six nearly similar fast orders. The main reason for that behavior is the position of the respective access pattern in the join order. The first six join orders have the fastest pattern (repeated) at the front and the other subsequent. Because each join has a selectivity of 1% and the selectivity of the *n*-th join is the product of all selectivities 1..*n*, each consecutive join processes fewer tuples. In our current experiment, the first join qualifies 3.5M tuples, the second 35K tuples, the third join 35, and the last is a single tuple. As a result, the first join has the highest impact on the overall execution time, and all following joins have a decreasing impact. This explains the clustering and the different execution time and cache misses in the experiment. For larger selectivities, the impact of the first join reduces and nearly vanishes for 99% selectivity. Additionally, the execution time increases for all permutations regardless of the first join memory access pattern. The cache misses similarly follow the execution times for the different permutations. The main reason is that larger selectivities result in more lookups, and thus the impact of the other joins increases for the overall execution time and cache misses. For a selectivity of 50%, we observe that the execution time varies, with some permutations even reaching the worst-case execution time. We attribute this behavior to the fact that the selectivity is high enough such that the other joins also impact the performance but not too high so that essentially all tuples are processed. Additionally, the branch predictor also plays a role, as with a selectivity of 50%, it is difficult to predict the following branch outcome. In general, the maximum speedup decreases with larger selectivities. We measure  $4.4\times$ ,  $1.5\times$ , and  $1.1\times$  speedup for 1%, 50%, and 99%.

**Summary.** Our microarchitecture analysis confirms our assumption that joins with different access patterns lead to significantly different execution times, especially for small selectivities. Furthermore, we reveal that different join orders lead to different hardware

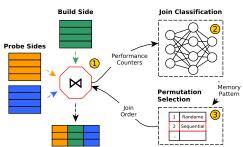


Figure 4: Access Pattern-Aware Join.

performance counter values. Thus, we use the opportunity to learn from hardware performance counters and take action based on this in the next section.

# 4 ACCESS PATTERN-AWARE JOINS

In the previous section, we revealed cases in which the memory access pattern impacts the query execution time. Following this insight, we present a novel access pattern-aware join (APAJ) algorithm for n-ary foreign-key joins. It consists of two steps. First, we train a machine learning model in an offline fashion. Second, our algorithm detects the memory access pattern and adapts the join order accordingly at runtime. In particular, our algorithm follows a three-step approach, as illustrated in Figure 4. Initially, our access pattern-aware join executes a random join order and gathers hardware performance counters, i.e., L1, L2, and L3 misses. Using this profiling information, it uses the machine-learning model to infer the memory-access pattern of the first join. Based on the access pattern, our algorithm adjusts the join permutation and selects a more efficient join order. To this end, we propose a greedy algorithm that stores previous predictions and chooses the join order that has a predicted *Random* access pattern as late as possible in the join order. In the remainder of this section, we discuss our memory-access pattern classification model (see section 4.1) and the reordering algorithm in detail (see Section 4.2).

# 4.1 Access pattern classification

As a fundamental building block of our APAJ algorithm, we predict the access pattern of the first join. We have investigated inferring multiple joins, but it was shown to be insignificant. In the following, we formulate access pattern detection as a classification problem and then introduce our machine-learning (ML) model in detail.

Access-Pattern classification. As we saw in Section 3, the access pattern of the first join impacts the execution performance the most. To this end, we investigate the impact of different memory access patterns for a 3-way foreign-key join to formulate our classification problem. Figure 5 shows the execution time, and the L1 cache misses for different access patterns across all join permutations and join selectivities, i.e., between 1% and 99% with a 1% step-size. We omit the L2, and L3 cache misses, as they show similar behavior and group the data by the memory access pattern of their first join. As shown in Figure 5, we see a correlation between the execution time and the memory access pattern. Additionally, we observe that with an increase in randomness of the memory access

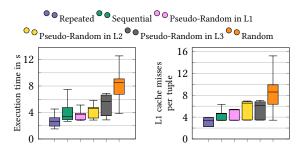


Figure 5: Execution time and L1 cache misses for all permutations of a three-way join grouped by the memory access pattern of their first join.

pattern ( $Rep \rightarrow Seq \rightarrow PRndL1\text{-}PRndL3 \rightarrow Rnd$ ), the average execution time increases as well. As a result, an optimal memory access pattern can lead to up to  $4\times$  faster execution time, as it improves cache locality. Furthermore, the Random access pattern has the most significant performance overhead, except for some outliers. Thus, our algorithm should perform join probes with a random access pattern as late as possible. To accomplish this, we model our join classifier as a binary classification problem with the two classes Random and Non–Random. We choose to only differentiate between two cases, as additional classes require more training data and time.

**ML-Model.** We leverage a neural network to tackle this binary classification problem, as they perform well in classification tasks [21, 33, 36]. There are many different neural network types, and we decide to use a multilayer perception model [15] that consists of one hidden layer with four neurons and one output neuron. We found this to be an adequate model for our binary classification task. As an activation function, we use *relu* for the hidden layer to provide good generalization and no susceptibility to vanishing gradients [12]. We use the *sigmoid* function to differentiate between two classes. As an input, our model receives a vector of hardware performance counters [L1\_TCM, L2\_TMC, L3\_TMC] and produces a probability for both classes.

ML-Training. We collect profiling data from 12 foreign-key queries with three memory access patterns and four selectivities to train the model. As shown in Figure 3, the impact of the first join reduces for larger selectivities. Thus, we choose small local join selectivities of 1%, 11%, 22%, and 33%, as otherwise, our model can not learn the access pattern of the first join. We have tested multiple queries with different access pattern combinations regarding the memory access patterns. We choose the three patterns Rep/Seq/PRndL1/PRndL2, Rep/PRndL1/Rnd/Rnd, and Rep/Seq/Rnd/Rnd, as they have shown to train our model with the same accuracy as more extensive data sets consisting of up to 3000 queries.

We have presented our machine-learning model that classifies the first join access pattern through a vector of the hardware performance counters.

# 4.2 Adaptive Reordering

Our access pattern-aware join adjusts the join order at runtime based on the predicted memory access pattern. To this end, we

**Algorithm 1:** Checks if the current permutation has a better join order than another permutation

```
1 curPerm = bookkeeping[curPermPos];
2 otherPerm = bookkeeping[otherPermPos];
3 for join = 0 to numberOfJoins - 1 do
      curJoinPattern := curPerm[join];
      otherJoinPattern := otherPerm[join];
      if curJoinPattern = RANDOM and otherJoinPattern ≠
       RANDOM then
         return False;
      if cur JoinPattern ≠ RANDOM and other JoinPattern =
       RANDOM then
         return True:
10
      end
11
12 end
13 return False;
```

propose the following adaptive reordering algorithm. In this section, we first introduce the high-level concept and describe our greedy permutation selection algorithm.

High-Level Concept. Our access pattern-aware join generally resembles a nested-leftmost hash-join, which probes a sequence of pre-built hash tables. As shown in Figure 4, APAJ processes a subset of the data from the probe relation and collects hardware performance counters, applies our classification model, and changes the join order if necessary. By swapping the permutation, we change the order in which each hash table is accessed. Initially, our approach starts with a random join permutation. Using this permutation, our approach executes the join on a record batch and collects hardware performance counters. We found a batch size of 1 k tuple to be a good compromise between the possibility of staying too long in an inefficient permutation and the accuracy of our prediction. After executing a batch, we infer the first join's memory access pattern with our machine-learning model. Our approach stays with the same permutation if the model predicts a Non-Random access pattern. Suppose the model predicts a Random access pattern. In that case, our approach executes the greedy algorithm to choose a permutation that moves the Random access pattern to a later join lookup.

Join Order Selection. To determine a new join order, we introduce a greedy algorithm that leverages the outcome of multiple prediction rounds to reduce the search space. We use a bookkeeping structure that stores the memory access pattern for each join order. After we process a batch of thousand tuples, we feed the collected hardware performance counters into our classification model and update our data structure with the predicted access pattern. This work assumes that once our algorithm predicts a memory access pattern for a given join, it does not change and stays consistent, and a changing access pattern is out of the scope of this work. Figure 7 illustrates an example of filling our bookkeeping structure. We predict the outermost join of a permutation to have a Rep access pattern. Therefore, we insert Rnd six times, translating to the six possible permutations.

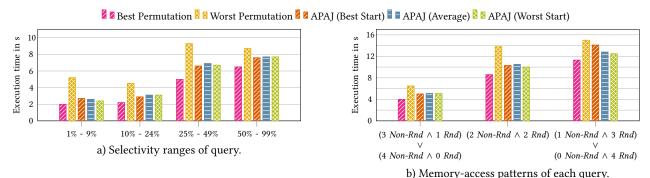


Figure 6: Execution time over different selectivity ranges and memory accesses.

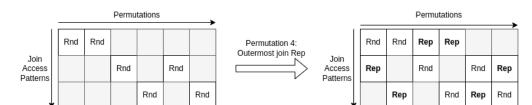


Figure 7: Two examples of filling our bookkeeping structure with predicted memory access patterns.

If we predict a Random memory access pattern, we search for a new join order as our current one is not optimal. We iterate through all possible join orders and compare the memory access patterns to those of our current join order. Algorithm 1 shows the respective pseudo-code. First, we retrieve the memory access patterns for both permutations from our bookkeeping structure (Lines 1-2). Afterward, we iterate through the access patterns and compare the two patterns to check which permutation has a more favorable join order (Lines 3-11). We define a more favorable join order where Random access pattern lookups occur as late as possible (Line 6-10).

As shown in Figure 7, our algorithm updates all possible positions that the current outermost join can appear with the predicted memory access pattern. Additionally, we assume that our prediction does not change in the following rounds. Therefore, we have inferred the first join's memory access pattern for all different join orders after predicting the number of probes in our join. Therefore, we can choose the best permutation based on our predictions for the rest of the tuples. Thus, our algorithm has a runtime of O(n), with n being the number of probes, for example, four predictions for a five-ary join.

**Summary.** This section presents the adaptive reordering algorithm for our access pattern aware join. After training a machine learning classifier offline, we detect the memory access pattern and reorder the join lookups adaptively. It leverages the outcome of previous prediction rounds to reduce the search space for finding a new join order once we predict a non-optimal access pattern at the first join.

### **5 EVALUATION**

In this section, we experimentally evaluate our access pattern-aware join. Section 5.1 describes our experimental setup, followed by conducting end-to-end experiments and evaluating our approach. Then,

we conduct end-to-end experiments and evaluate our approach. First, we perform an end-to-end experiment (see Section 5.2) to evaluate the performance impact of our approach on the execution time. Then, we study the impact of different start permutations (see Section 5.3) to assess the robustness of our approach.

#### 5.1 Setup

In the following section, we present our experimental setup (see Section 5.1.1), our workloads (see Section 5.1.2), and the setup of our classification model (see Section 5.1.3).

5.1.1 Hardware and Software. We perform all experiments on an Intel Xeon Gold 5115 (Skylake) processor with ten physical and 20 logical cores. The server has a total of 188 Gibyte main memory. Our implementation is written in C++17 and compiled with GCC 11.2.0 with O3, march, and mtune compiler flags to produce optimized code for the underlying hardware. We use PAPI [39] version 6.0.0 to read hardware counters and use tensorflow [1] version 2.1.0 in combination with python version 3.10.

5.1.2 Workloads. Throughout the evaluation, we use 100 randomly generated four-way join queries. Each query is uniformly drawn from all possible combinations of the discussed memory access patterns in Section 2 and join selectivities between 1% to 99%. If not stated otherwise, all queries access a fact table of 350 M records and dimension tables of 10 M records, which results in a working set of 17 Gbyte. Additionally, we use batches of 1 k tuples for prediction if not otherwise stated. Following our findings from Section 3, we define an optimal join order as a permutation with no random memory access in the first join (as the first one has the highest performance impact). All queries consist of a join that resembles a nested-leftmost hash join, which probes pre-built hash tables.

5.1.3 Neural Network Setup. For training, we use 12 selected queries that are shown in Section 4, which results in more Non-Random data points than Random. This results in an unbalanced data set, and thus a risk of failing to generalize exists as the model could learn to stick to predicting one class. Therefore, we duplicate the Random data points until there is an equal number of Non-Random and Random labels. With this, we end up with 228 data points for each classification class. We perform an extensive parameter evaluation and report below the best. We choose a 72%, 8%, 20% split for training, validation and test set. We use Adam [19] as an optimizer with default values in combination with a learning rate of  $10^{-4}$  and AMSGrad, as it works well in practice [19]. Additionally, we implement an EarlyStopping callback on the validation loss with a patience of 100 and a minimum delta of 0.001 to reduce the risk of overfitting. This enables us to train our model in under a minute and run inference in under 60 ms. With an overall execution time of at least a second, the overhead of running inference is negligible in our experiments.

# 5.2 Performance of Access Pattern-Aware Join

In this experiment, we study the performance impact of our approach. As baselines, we report the execution time of the best and worst join permutations. The execution time of APAJ is the average among all 24 possible start permutations. The execution time of APAJ (Best Start) and APAJ (Worst Start) is the execution time if we start in the best or worst permutation but run our adaptive join reordering scheme. We group the queries according to their selectivities and memory access patterns.

**Results.** Figure 6 shows the best and worst cases among all permutations and our approach for each query. We have further divided the adaptive approach into an average across all 24 start permutations, and the execution time started in the best and worst permutations. Across all experiments, we measure an average speedup of  $1.1 - 2.2 \times$  compared to the mean execution time for each query.

In Figure 6a, the experiment reveals that the more selective a query is, the more the difference between the best and worst case reduces with an overall increase in execution time. The largest speedup of 2.2× originates for selectivities less than 10%, while our approach only results in a speedup of 1.2× for selectivities larger than 50%. In general, the more selective a query is, the more tuples must be processed, resulting in more work being performed in total. Furthermore, APAJ shows similar execution times, regardless if we start in the best or worst permutation. Thus, we show that our approach improves the performance compared to the worst case regardless of the selectivity, with smaller selectivities leading to a higher speedup.

In Figure 6b, we see that more random access patterns result in a higher execution time for different memory access patterns. This aligns with our investigation in Section 3. APAJ shows a speedup of  $1.1-1.9\times$  compared to the worst case for all memory access patterns. For queries with the same number of Non-Random and Random access patterns, the execution time of the worst case is close to the execution time of queries with more Random than Non-Random access patterns. We do not see this behavior for our approach, meaning it can still find a viable join order and avoids the worst permutation.

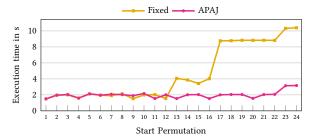


Figure 8: Execution time over different starting permutations with a fixed permutation or APAJ.

**Summary.** APAJ improves the performance regardless of the distribution of the memory access pattern and the selectivities. We measure a maximum speedup of  $2.2\times$  for selectivities below 10% and an average speedup of  $1.1-2.2\times$  across all experiments. Thus, APAJ shows robustness against different selectivities and distribution of memory access patterns for n-ary joins.

# 5.3 Different starting permutations

In this experiment, we investigate the behavior of our access patternaware joins on different starting permutations to highlight the possibilities of APAJ. We create a four-way join and construct the fact table such that a memory access pattern of *Repeated*, *Repeated*, *Random*, and *PRand2* exists. Additionally, we change all tables to get a selectivity of 49% for all four joins lookups.

Results. Figure 8 shows the execution time over different starting permutations for a fixed permutation and with APAJ. We observe that different starting permutations result in different execution times, which aligns with our findings of Section 3. Additionally, we measure a maximum speedup of 5.7× compared to no join reordering. This shows that a query remains in its starting permutation, which can cause a significant execution time overhead. Figure 8 shows that with join reordering enabled, APAJ always sticks to the overall best permutation. Additionally, our access pattern-aware join only changes to another permutation if it detects a Random memory access pattern on its first join. For this example query, our approach improves performance for the last 12 permutations with an additional sharp increase in performance for the last eight permutations. Furthermore, for cases where a join reordering would not be ideal, i.e., first join type Repeated or PRndL1, the execution time is almost the same with and without join reordering. This highlights the neglectable performance overhead of access pattern-aware joins but, at the same time, shows the significant performance improvement potential.

**Summary.** The experiments show that our approach is robust against different starting permutations. It finds a viable join order and avoids sticking to the worst permutation.

#### 5.4 Discussion

In our experiments, we evaluated our access pattern-aware joins for an end-to-end benchmark with a different number of joins. We show that our approach does not incur any measurable overhead, shows robustness for different queries, and corrects the worst permutation quickly. Furthermore, we measure a maximal speedup of 5.7×, which shows cases where our approach offers significant performance improvements. In a final experiment, we highlight that the access pattern-aware joins does not depend on the starting permutation. In summary, exploiting the memory access pattern for determining the best join order is highly beneficial and should be considered by today's query optimizers.

# 6 RELATED WORK

We structure the related work into self-driving databases and adaptive join optimization.

**Self-Driving Databases.** Self-driving or self-tuning databases are database management systems that usually collect statistics. Upon the collection, it can perform self-optimization on multiple aspects, such as query optimization or layout orientation[4, 7, 8].

Pavlo et al. [31] reveal that databases are still tuned with a human in the loop, which results in a reactionary fixing of problems after they occur. Thus, they propose a fully self-driving database system named Peleton that utilizes deep learning. Our work focuses on finding a viable join order, whereas they propose a system.

Further approaches exist towards self-tuning query optimizers [38, 42, 47]. Stillger et al. [38] describe a feedback loop that monitors previously executed queries and then compares the estimated cardinality with the actual. They improve query optimization by providing a better cardinality estimation. Woltmann et al. [42] accelerate the training phase for machine learning-based approaches by executing example queries over pre-aggregated data. They all have in common that they provide an improved model for cardinality estimation. Dutt et al. [10] propose an approach that performs query processing without estimating the selectivities. Their approach chooses a subset (bouquets) of the optimal plans and then discovers the actual selectivities during the partial execution of the bouquet plans. In contrast, access pattern-aware joins does not utilize cardinalities but instead predicts the memory access pattern using performance counters.

Zeuch et al. [44] used hardware performance counters to reorder multi-selection queries, whereas we focus on utilizing hardware performance counters to reorder joins. Similarly, Grulich et al. [14] leverage hardware performance counters to optimize stream processing queries at runtime.

Trummer et al. [40] propose using reinforcement learning to choose a good join order. They divide the execution of a query into small time slices and try out different join orders for each slice. In contrast, we do not employ reinforcement learning or try different join orders. However, instead, we switch to another join order upon adaptively detecting a non-optimal memory access pattern.

Adaptive Join Optimization. Adaptive join optimization is a well-studied field in database research [13, 30]. Avnur et al. [3] propose continuously reordering operators during query processing. They introduce eddies, which route tuples to each operator, and once all operators have processed the tuple, the eddy emits it to the output. In contrast, we reorder based on hardware performance counters while they use the throughput of different operators. Markl et al. [27] propose POP, a progressive query optimization. POP monitors the actual cardinalities and compares them to their estimated

values, and upon detecting a significant difference, a re-optimization is performed. In contrast to POP, we do not need to recompile the whole query during re-optimization. Li et al. [25] rearranges joins according to their rank, calculated on the join cardinality costs. Răducanu et al. [35] contribute a new  $\epsilon$ -greedy learning algorithm that chooses an alternative function implementation. Common to our approach, they execute batches of tuples and, if needed, change to a different flavor of the query. Michalke et al. [29] tackle the challenge of energy-efficient joining of data streams. They introduce an adaptive join algorithm that adjusts the batch size of the data on incoming data stream rates and a user-provided constraint. However, all approaches are not using hardware performance counters in their estimates, which have a negligible overhead as discussed in Section 2.1.

Menon et al. [28] propose a bridge between just-in-time compilation and adaptive query processing. They transform a query execution plan into a permutable compiled query such that a different permutation can be executed later without recompiling. In contrast, our approach changes to a new permutation based on hardware performance counters, while Menon et al. utilize cardinalities. Zhu et al. [46] propose Lookahead Information Passing (LIP) that uses a lookahead filter, e.g., Bloom Filter[5], to decide for a better join order. They show that LIP ensures that execution times for the best and worst-case planes are far closer than without LIP. In contrast, APAJ does not require additional complex data structures to store information about each join.

#### 7 CONCLUSION

In this paper, we have introduced the first access pattern-aware join (APAJ). We show that joins with different memory access patterns can lead to significantly different execution times, especially for smaller selectivities. Additionally, we reveal that different join orders lead to different hardware performance counter values, allowing us to learn from them and take action. Our APAJ algorithm uses a multilayer perception model to predict the memory access pattern of the first join and, if applicable, chooses a better join permutation. Through an experimental evaluation, we have shown that APAJ does not incur a measurable overhead and is robust versus different starting permutations. Furthermore, we have shown instances when APAJ offers significant performance gains of up to 5.7×. Thus, today's query optimizers should consider exploiting the memory access pattern. In the future, we plan on integrating our findings into the state-of-the-art stream processing system NebulaStream [43, 45] and conduct further research in this area.

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