

**Application form
for
Appointment as Authentication User Agency (AUA) and e-KYC User Agency (KUA)**

To:

The Chief Executive Officer, Unique Identification Authority of India
 [Attention: Deputy Director (Authentication-II), UIDAI]
 UIDAI Head Office, Bangla Sahib Road
 Gole Market, New Delhi – 110 001

1. Details of the applicantMinistry, Department, secretariat, office or agency of the Central Government, a State Government or the Government/Administration of a Union territory, or other entity:	
(a) Name of the applicant ¹	
(b) Registration/ Incorporation No. ² <i>(Please attach copy of the document, if applicable)</i>	
(c) License No. ³ <i>(Please attach copy of the document, if applicable)</i>	
(d) Registered office address	
(e) Correspondence address <i>{If other than address mentioned against (d)}</i>	
(f) GSTN registration number of the applicant,as per Form GST REG-06 <i>(Please attach copy of the document, if applicable)</i>	
(g) Tax Deduction and Collection Account Number(TAN) of the applicant <i>(Please attach copy of the document, if applicable)</i>	
(h) Type of Aadhaar Authentication facility; Corresponding category of requesting entity <i>(Tick as applicable)</i>	<input type="checkbox"/> Yes/No Authentication facility; AUA <input type="checkbox"/> Yes/No Authentication facility and e-KYC Authentication facility; AUA and KUA

¹Name of the applicant Ministry, Department, secretariat, office or agency of the Central Government or the Government of State or Union territory, or other applicant entity

² Copy of Certificate of incorporation/registration to be attached in case of “other than Govt. entities”

³ Copy of Certificate of Registration/License from the concerned Regulator issued from RBI, SEBI, IRDAI and PFRDA

<p>(i) Board resolution/minutes (or other valid letter/instrument of authorisation)citing approval for submitting the application form, signing Authentication User Agency Agreement and doing other acts in relation to the same <i>(Please attach certified copy of the document)</i></p>	
<p>(j) The provision of the Aadhaar (Targeted Delivery of Financial and Other Subsidies, Benefits and Services) Act, 2016 under which authentication is required/permitted <i>{If such provision is section 7 or section 4(4)(b)(ii) or section 4(4)(b)(i) read with the Prevention of Money-laundering Act, 2002, please attach copy of the relevant notification published in the Official Gazette}</i></p>	<p><input type="checkbox"/> Section 7 <input type="checkbox"/> Section 4(4)(b)(i), read with the Prevention of Money-laundering Act, 2002 <input type="checkbox"/> Section 4(4)(b)(i), read with relevant Central Act other than the Prevention of Money-laundering Act, 2002 <input type="checkbox"/> Section 4(4)(b)(ii) <input type="checkbox"/> Section 4(7)</p>
<p>(k) Category of the applicant Ministry/Department/ secretariat/office/agency/entity</p>	<p><input type="checkbox"/> AMinistry, Department, secretariat, office, or agency of the Central Government, which has required, in terms of the provisions of section 7 of the Act, that an individual undergo authentication for receipt of a subsidy, benefit or service for which the expenditure is incurred from, or the receipt therefrom forms part of the Consolidated Fund of India</p> <p><input type="checkbox"/> A Ministry, Department, secretariat, office or agency of the Government of _____⁴, which requires, in terms of the provisions of section 7 of Act, that an individual undergo authentication for receipt of a subsidy, benefit or service for which the expenditure is incurred from, or the receipt therefrom forms part of the Consolidated Fund of State</p> <p><input type="checkbox"/> An entity permitted under sub-clause (i) of clause (b) of sub-section (4) of section 4 of the Act to offer authentication services under⁵of _____⁶.</p> <p><input type="checkbox"/> An entity permitted under sub-clause (i) of clause (b) of sub-section (4) of section 4 of the Act to offer authentication services under section 11A of the Prevention of Money-laundering Act, 2002, by virtue of being a reporting entity under the said Act as a</p>

4 Name of the concerned State, or the concerned Union territory with Legislature

5 Reference of the relevant provision (section, sub-section, clause etc) of the relevant Act of Parliament, other than the Prevention of Money-laundering Act, 2002 ("PMI. Act")

6 Name of the relevant Act of Parliament, other than the PMI. Act

	<p style="text-align: right;">_____⁷, in terms of _____⁸ of sub-section (1) of section 2 of the said Act.</p> <p><input type="checkbox"/> An entity that has been allowed/authorised under sub-clause (ii) of clause (b) of sub-section (4) of section 4 of the Act, vide Ministry of Electronics and Information Technology's letter no. _____⁹, dated _____¹⁰.</p> <p><input type="checkbox"/> An entity that is required, in terms of the provisions of sub-section (7) of section 4 of the Act, to perform mandatory authentication by _____¹¹ of _____.</p>
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2. Contact details:

(a) Management Point of Contact (MPOC)		Person authorised on the basis of Board resolution/minutes (or other valid letter/instrument of authorisation)	Other key personnel (if any)
Name			
Full designation			
Official email address			
Mobile number			
Alternate office/telephone number			
(b) Technical Point of Contact (TPOC)	CXO/ Head of the functional vertical, who reports to the chief executive or governing body of the applicant Ministry/ Department/secretariat/office/agency/entity	Other key personnel (if any)	
Name			

⁷ banking company, or financial institution, or intermediary, or a person carrying on activities for playing games of chance for cash or kind, or Inspector-General of Registration appointed under section 3 of the Registration Act, 1908, or Central-Government-notified real estate agent, or Central-Government-notified dealer in precious metals, precious stones and other high value goods, or Central-Government-notified person engaged in safekeeping and administration of cash and liquid securities on behalf of other persons, or Central-Government-notified person carrying on such other activities (whichever is applicable)

⁸ clause (wa), or sub-clause (i) of clause (sa), or sub-clause (ii) of clause (sa), or sub-clause (iii) of clause (sa), or sub-clause (iv) of clause (sa), or sub-clause (v) of clause (sa), or sub-clause (vi) of clause (sa) (whichever is applicable)

⁹ Number of the letter so allowing/authorising

¹⁰ Date of the letter so allowing/authorising

¹¹ the provision (section, sub-section, clause etc.) of the Act made by Parliament, other than the Aadhaar Act

Full designation		
Official email address		
Mobile number		
Alternate office telephone number		
(c) AUA/KUA infrastructure details	DC (Data Centre)	DR (Data Recovery Centre)
MPOC/TPOC Name		
Email address		
Telephone/Mobile No.		
Address		
District		
State		
PIN Code		
(d) Contact details for grievance redressal:		
(i) Website URL		
(ii) Email address		
(iii) Helpdesk number		
3. Details of Authentication Service Agency (ASA)		
(a) Name(s) of ASA <i>(In case of multiple ASAs, please provide their names)</i>	1. _____ 2. _____ 3. _____	
(b) Declaration by the ASA(s) agreeing to provide its/their secure network connectivity and related services for the performance of authentication		

<i>(Please attach letter of ASA in original)</i>	
4. Authentication requirements	
(a) Territorial extent for use of Authentication facility	<input type="checkbox"/> Whole of India
	<input type="checkbox"/> Name of State(s) and Union Territory(s): _____
(b) Whether Authentication will be used to establish identity for carrying out financial transaction	<input type="checkbox"/> Yes <input type="checkbox"/> No
(c) Device form factor <i>(select one or more option)</i>	<input type="checkbox"/> Discrete Biometric Device
	<input type="checkbox"/> Integrated Biometric Device
(d) Whether Authentication will be operator-assisted or by the user himself/herself <i>(select one or more option)</i>	<input type="checkbox"/> Laptop/Desktop
	<input type="checkbox"/> Mobile phone
(e) Mode of Authentication <i>(select one or more option)</i>	<input type="checkbox"/> Operator-assisted use
	<input type="checkbox"/> Self-use
(f) Connectivity supported between AUA/KUA and ASA <i>(select one or more option)</i>	<input type="checkbox"/> Demographic
	<input type="checkbox"/> DTP
	<input type="checkbox"/> Fingerprint
(g) Confirmation that the applicant has perused and understood UIDAI's Information Security Policy – External Ecosystem – Authentication User Agency/ KYC User Agency v6.0 <i>(Copy of the said policy document, after affixing the signatures)</i>	<input type="checkbox"/> Face
	<input type="checkbox"/> Iris
(h) Connectivity supported between AUA/KUA and ASA <i>(select one or more option)</i>	<input type="checkbox"/> VPN
	<input type="checkbox"/> Faxed line
(i) Other; Please specify: _____	<input type="checkbox"/> Other; Please specify: _____
(j) Confirmation that the applicant has perused and understood UIDAI's Information Security Policy – External Ecosystem – Authentication User Agency/ KYC User Agency v6.0 <i>(Copy of the said policy document, after affixing the signatures)</i>	<input type="checkbox"/> Yes
	<input type="checkbox"/> No

<p><i>of the authorised signatory, to be attached)</i></p>	
<p>(h) Confirmation that the applicant has perused and understood UIDAI's Model Privacy Policy for Protecting Personal data of Aadhaar Number Holders by Requesting Entities <i>(Copy of the said policy document, after affixing the signatures of the authorised signatory, to be attached)</i></p>	<input type="checkbox"/> Yes <input type="checkbox"/> No

Declarations and Undertakings:

It is hereby declared that the information furnished in this application form is true and correct to the best of its knowledge and that no material particulars or information have been concealed or withheld, and that the _____ (name of applicant Ministry/Department/secretariat/office/agency/entity) hereby undertakes—

- (a) to abide by the provisions of the Aadhaar (Targeted Delivery of Financial and Other Subsidies, Benefits and Services) Act, 2016 (“Aadhaar Act”) and the regulations made thereunder;
- (b) to facilitate, on receipt of in-principle approval from UIDAI, audit as per UIDAI “Compliance Checklist for Onboarding the Requesting Entity” and submit all compliance related documents attached as annexures before signing the AUA/KUA Agreement;
- (c) to fulfil all requirements with respect to use of the Aadhaar Authentication facility as per the Aadhaar (Authentication and Offline Verification) Regulations, 2021;
- (d) to set up and maintain within the territory of India, at all times, requisite infrastructure (including, but not limited to, servers, databases etc.) for the use of Aadhaar Authentication facilities, capable of handling a minimum of one lakh Authentication transactions per month;
- (e) to carry out appropriate due diligence before engaging any sub-contractor, business correspondent or field operator for performing any functions in relation to the use of Aadhaar Authentication facilities, including onboarding of user/beneficiary/customer, Authentication application development, etc.;
- (f) to store Aadhaar numbers, if authorised so to do, only in the Aadhaar Data Vault, in accordance with such policies, procedures, standards and technical specifications as UIDAI may specify from time to time;
- (g) to ensure the carrying out of audit of its own operations and systems and those of its Sub-AUAs and Sub-KUAs, if any, as required under the Aadhaar Act, the regulations made thereunder and the AUA Agreement;
- (h) to not share or disclose e-KYC data that may be received on use of e-KYC Authentication facility, except in accordance with the provisions of the Aadhaar Act and the regulations made thereunder; and
- (i) to inform UIDAI forthwith of any change in the name, address and other particulars of the applicant and contact persons as furnished in this application form.

(Signature with stamp/seal of authorised signatory)

Name: _____

Full designation: _____

Date: _____

Place: _____