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The Berliner Multi-Facet Personality Inventory: An extensive measure of Big Five

2 personality

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13 Abstract

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1. Introduction

Over the last decades, the Five Factor Model as well as the Big Five model have 20 become widely accepted models for describing general attributes of personality. Often the terms are even used synonymously, which is why we will refer to the Big Five from here on. 22 The Big Five is a hierarchical model which describes human individual differences in personality at the dispositional level: one of the most basic, universal, biologically-influenced 24 and stable layers of human inter-individual differences in behavior, cognition and feeling (D. 25 P. McAdams & Pals, 2006). Its hierarchical conception is relevant to acknowledge behavior from the most specific (nuances) to the most broad differences in temperament and character 27 (dimensions), through a varying number of mid-level personality characteristics (facets). 28 Most of the research concerning criterion validity of the Big Five inventories has focused on the covariation between the Big Five dimensions and relevant external outcomes. However, specific dispositional characteristics captured on the facet level might be of extreme utility to 31 provide more complex descriptions of individuality and to predict life outcomes to a major 32 extent (Lounsbury, Sundstrom, Loveland, & Gibson, 2002; S. V. Paunonen & Ashton, 2001; Ziegler et al., 2014). Unfortunately, the number and nature of the facets below the Big Five is far from being consensual. In fact, different facet level models have been proposed 35 (XXXX). One potential reason for this could be that many facet level models were developed after a questionnaire version without such a level had been published. Thus, the facets were developed as an elaboration or extension to an existing domain measure. While this has many theoretical advantages it also has the disadvantage of potentially limiting the search space of possible facets. In this work we aim at maximizing this search space and present a personality questionnaire which is broad at the facet level, open-access, and measurement 41 invariant across two different cultures.

1.2. A short history of the Big Five

Francis Galton is credited as being the one who proposed the fundamental lexical
hypothesis as a ground from where to describe interpersonal differences in personality. The
hypothesis states that every apprehended characteristic in the realm of personality should
have its place in the natural language, a corollary derived from this first statement is that
the essential features must represent a unique word in the lexical universe of this language.
Galton (1884) himself, and later Allport and Odbert (1936) and still later Norman (1967),
used English dictionaries for a systematic collection of all adjectives which could be related
to human personality characteristics. Using exploratory factor analyses on self and other
ratings, five broad factors could repeatedly be extracted from the data.

Cattell was one of the first researchers who systematically applied exploratory factor
analysis in order to explore personality structure. He inspected the correlation structure of
the items in the word lists of his predecessors, finding 16 oblique personality factors,
including one factor specifically for intelligence, these factors form the 16-PF. These 16
factors were the primary factors in a hierarchical structure for Cattell (coetany to L.L.
Thurstone and undoubtedly influenced by him). Cattell himself viewed personality as a
hierarchical structure, containing three layers (Cattell, 1956). The second order factors
resemble the Big Five dimensions (Digman, 1990).

Different researchers followed Cattell in the study of dispositional traits of personality.
One of the most influential models was Eysenck's Big Three. Grounded on a strong
biological basis, Eysenck's theory supposed a link between temperament and personality. Its
structural proposal concerned at first two big factors, named Neuroticism vs. Emotional
stability and Extraversion vs. Introversion. These two dimensions were later joined by a third
factor that Eysenck called Psychoticism. This label was criticized by others who suggested
that a more appropriate term would be psychopathy (Digman, 1990). Eysenck's big two are

still "alive" today in the Big Five, and his third factor, psychoticism, can be operationalized as other dimensions within the Big Five: Agreeableness, Conscientiousness and Openness.

A large number of studies have focused on the problem of personality structure resulting in a five factor solution (Borgatta, 1964; Fiske, 1949; Norman, 1967; Tupes & Christal, 1961). Possibly the two most widely cited works relating to the foundations of the Big Five are those by L. R. Goldberg et al. (2006) and P. T. Costa and McCrae (1995). Goldberg can be seen as one of the first who extended research concerning the Big Five, while McRae and Costa's importance rests on popularizing the terminology (OCEAN) and the development of one of the most used tools to assess personality based on the Big Five: the NEO-PI. The Big Five dimensions are labeled as follows: I) Extraversion vs. Introversion. II) Agreeableness or Friendliness. III) Conscientiousness or Achievement or Will. IV)

One of the most important features of the Big Five is the fact that it could be
replicated in different languages. Research is available in Japanese, Vietnamese, German,
Spanish, Greek, and many more (???, ???). This finding suggests that the way human
beings construe personality is at some point universal and that its basic features are retained
within the Big Five. Another essential characteristic relies on its hierarchical nature. The
five domains are useful to retain the big picture of personality, maximize the situation
consistency and reliably assess difficult subjects such as children. Nonetheless, each
dimension is conceptualized as a latent construct formed by more specific narrow factors
called facets, which in turn are useful to depict the impact of personality characteristics into
specific behaviors and concrete life outcomes.

The Big Five has proven to be a valid theoretical and empirical model to predict relevant life outcomes. Research such as Ozer and Benet-Martínez (2006) or B. W. Roberts, Kuncel, Shiner, Caspi, and Goldberg (2007) has shown that scores for the Big Five dimensions (and their related facets) are able to explain outcomes such as academic and

work performance, health, personality disorders, political attitudes and many more. The
empirical findings linking Big Five measures to life outcomes have reinforced the concurrent
validity of the test scores interpretations. At the same time, the broad nature of the domains
has spurned research into the more fine-grained lower order structure of facets.

38 1.3. Facet Structures

There are a number of models that include a facet structure below the five broad
domains. The most widely known model is the one suggested by P. T. Costa and McCrae
(1995), the NEO-PI-R model. Other popular models have been suggested for the Big Five
Inventory 2 (BFI-2; Christopher J Soto & John, 2016), the IPIP (L. R. Goldberg et al.,
2006), and the HEXACO model (Lee & Ashton, 2016), which assumes six broad domains.

Table 1 gives an overview of these different models listing their facets per domain as well as
some information regarding their psychometric properties.

< Table 1 >

As shown in *Table 1*, there are different possibilities of facets forming the domains. 107 However, there is still a degree of overlap between the facets covered by the different 108 instruments. Christopher J. Soto and John (2009) inspected the convergences between the 109 NEO-PI-R and the first version of the BFI, suggesting that two constructs per domain were 110 measured at the facet level by both inventories. The constructs defined by Christopher J. Soto and John (2009) were: Altruism and Compliance for Agreeableness; Anxiety and 112 Depression for Neuroticism; Order and Self-Discipline for Conscientiousness; Assertiveness 113 and Activity for Extraversion; and Aesthetics and Ideas for Openness. The convergence holds 114 for the four instruments listed in Table 1, as these ten constructs are covered within the 115 facets for every instrument. Some of the constructs are explicitly covered at the facet level 116

(e.g. Anxiety); meanwhile others are mainly covered by the four instruments, although 117 sometimes implicitly (e.g. Liveliness in HEXACO resembles the "core" construct Activity, 118 present in all other instruments). The reverse is not always true, not every facet within the 119 four instruments is covered by the constructs proposed by Christopher J. Soto and John 120 (2009). As an example we find Self-Consciousness, a Neuroticism facet defined by the 121 NEO-PI-R and the IPIP-NEO-120, which is not intrinsically tapping at either Anxiety or 122 Depression. The same authors asserted in a later work (Christopher J Soto & John, 2016) 123 that the Big Five domains "can be conceptualized and assessed more broadly or more 124 narrowly", either focusing in a central facet or in a set of peripheral facets, depending the 125 research interest. 126

The mid-level layer between domains and facets has also been explored by DeYoung, 127 Quilty, and Peterson (2007). Their work has focused in the biological consistency of the 128 NEO-PI-R set of facets, thereby proposing a two factor source of variance for each facet of 129 the inventory. In line with their proposal, Agreeableness would be composed by Compassion 130 and Politeness; Neuroticism by Volatility and Withdrawal; Conscientiousness by 131 Industriousness and Orderliness; Extraversion by Enthusiasm and Assertiveness; and 132 Openness by Intellect and Openness. Both Christopher J. Soto and John (2009) and 133 DeYoung et al. (2007) proposals have many points in common. Maybe the labels Volatility 134 and Withdrawal for Neuroticism can be suspicious of a different content than Anxiety and 135 Depression, but when inspected at the item level it is revealed that they are tapping the 136 same components respectively (DeYoung et al. (2007); for item specification). 137

The nomological network commonly assumed in Big Five questionnaires is drawn from nuances through facets to domains, from more specific to more general. Relying on domains to explain and predict behavior can benefit from ease of interpretability. However, predictions for specific contexts can be enhanced if a more specific set of traits is used. On the other hand, using nuances to predict behavior might yield even stronger predictive

ability (Seeboth & Mõttus, 2018), but as the number of predictors grows the interpretations
become more complex. Facets are on a middle ground between nuances and domains, in a
compromise between specificity and sensitivity in the bandwidth-fidelity dilemma. This
narrow aggregation both satisfies the specificity of predictions to concrete situations and
environments and also enhances the ease of interpretability when summarizing individual
personality characteristics.

• I'm going to try to explain more clearly what facets can predict until the end of this section. It will serve us to state the hypothesis regarding the criterion validity part.

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Personality measured at the facet level has found to be a strong predictor of a large number of outcomes. Satisfaction with life (SWL) is one of them. Neuroticism and Extraversion were recognised as the most important personality dimensions in the prediction of subjective satisfaction (???; Diener, Oishi, & Lucas, 2003). Lately, (???) observed that the analysis at the facet level outperform the analysis at the domain level. They observed that Depression and Positive Emotions / Cheerfulness explained SWL above and beyond the dimensions they belong to, reaching to a 30% of explained variability of SWL.

Another relevant outcome that has shown to be best predicted with personality at the 158 facet level is academic achievement. Conscientiousness and Openness to experience have 159 been regarded as the most important dimensions to predict academic success. The relation of 160 Conscientiousness with school grades has gained a stable empirical evidence, widely inspected 161 in the meta-analysis by O'Connor and Paunonen (2007), were the mean correlation was r =162 .24. Openness is supposed to play an important role in predicting academic achievement, however evidence has been elusive at the dimension level (???). Often different facets within the same domain can have effects in opposite directions, partially canceling out the 165 predictive ability of the dimensions. This could be the case of Openness to experience, were 166 facet-level analysis can be unveiling. S. V. Paunonen and Ashton (2001) showed that the 167 facets Achievement motivation and Intellectual curiosity increased the variance accounted for 168

in college students' grades, above and beyond Conscientiousness and Openness to experience. 169 Similarly, Lounsbury et al. (2002) provided evidence regarding the facets Work drive and 170 Aggression, which added an extra 12% of explained variance over the Big Five domains on 171 10th grade students' GPA. Ziegler, Danay, Schölmerich, and Bühner (2010) showed that 172 better college grades were associated with low Gregariousness, Excitement seeking and Order 173 as well as high Activity, Openness to ideas and Openness to values. Openness to fantasy for 174 men (r = -.22) and Aesthetics for women (r = -.19) were found negatively to academic 175 achievement (De Fruyt & Mervielde, 1996). (Ziegler, Bensch, Maas et al., 2014), a facet 176 which may undermine the overall effect of the dimension on academic success. 177

Likewise, personality has proven to be a powerful predictor of laboral and educational 178 absectism (???, ???). Research has highlighted the predictive power of personality over 179 integrity test when predicting absences (???). Again, most research has focused on the 180 dimensional level, although some researchers suggested that personality assessed at a 181 narrower level would improve the predictive ability of the models (???, ???). Nonetheless, 182 few studies have explored this relationship to our knowledge, being (???) and (???) the 183 most prominent. (???) reported no predictive gain when examining personality at the facet 184 level for the NEO-PI-R composites of Extraversion and Conscientiousness, whereas (???) 185 found a modest predictive gain of Work drive over the Big Five dimensions. Therefore, and despite the conceptual expectation of facets maximizing the predictive ability of personality 187 on absentism, evidence has manifested in favour of a less fined grained analysis. 188

Lastly, cognitive ability

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As described above, facet measures often yield scores that have stronger test-criterion correlations than their respective domain scores. However, facet scores have also been shown to be related to personality disorders. Thus, the combination of a higher fidelity along with the potential clinical relevance of facet scores might open up unique advantages for clinical research.

95 1.4. The Big Five and Personality Disorders

Personality disorders are steadily shifting from a categorical definition into a continua 196 conceptualization within the clinical realm. This process is not new for personality science 197 history, as the subject itself moved from a qualitatively distinct set of definitions, called 198 types, into a subset of continuous domains in which both normality and extreme tendencies were moving along, named traits. In fact, the new version of the Diagnostic and Statistical Manual of mental disorders, DSM-V, now proposes two different ways of assessing 201 personality disorders: 1) A descriptive model of personality disorders in section II which 202 mimics the former model of assessing personality disorders and; 2) A novel trait model that 203 follows research on the personality scientific domain (In section III), which conceptualizes 204 personality disorders as extreme tendencies located in the continuum of the Big Five domains 205 and facets (American Psychiatric Association, 2013; T. A. Widiger & Mullins-Sweatt, 2009) 206

This paradigm shift in clinical assessment of personality has led to the construction of 207 the Personality Disorder Inventory (PID-5; R. F. Krueger, Derringer, Markon, Watson, & 208 Skodol, 2012), a 25-facet and five-dimension self-report inventory, with an informant-report 200 version (K. E. Markon, Quilty, Bagby, & Krueger, 2013). These five dimensions mirror the 210 Big Five domains, although with a focus on the maladaptative end of the continuum,: I) 211 Detachment (Big Five's Introversion), II) Antagonism (absence of Big Five's Agreeableness), 212 III) Disinhibition (absence of Big Five's Conscientiousness), IV) Negative affect (Big Five's 213 Neuroticism) and V) Psychoticism (Absence of Big Five's Openness). The PID-5 has shown 214 satisfying evidences of criterion validity (... summary). However, the number of facets per domain on the PID-5 is limited. 216

In line with what has been stated previously for academic achievement, the
examination of facets may result in an enhancement of the specificity of assessment when
looking at the nature of PDs (L. A. Clark, 2005; Samuel & Widiger, 2008). This

improvement of specificity resulted in a predictive gain ranging from 3% to 16% when comparing facets to domains predicting PD in a study by Reynolds and Clark (2001). 221 Furthermore, the use of facets may be of extreme utility for those PD whose personality 222 profile is less clear at the domain level. As Saulsman and Page (2004) pointed out, 223 Schizotypal and Obsessive-Compulsive disorders are examples of PD which are not well 224 covered by Big Five domains. A reason for it may be found in a pattern inconsistency of 225 facets within the same dimension or in a lack of coverage for essential characteristics of the 226 PD. For example, aberrant cognitions are essential characteristics of schizotypal disorder and 227 are not covered by some instrument's facets like the NEO-PI-R (Samuel & Widiger, 2008; 228 Saulsman & Page, 2004). Likewise, the expected high scores on warmth and low scores on 229 assertiveness could mask the effects of extraversion when predicting Dependent Personality 230 Disorder, following the theoretical correspondence between PD and Big Five facets proposed by Costa Jr. and Widiger (1994). Moreover, the PID-5 has prompted the elaboration of a 232 number of Five Factor Model Personality Disorders (FFMPD) scales to maximize the facet coverage in relation to specific PDs (R. M. Bagby & Widiger, 2018). 234

Facet analysis and dedicated Big Five questionnaires have been used to solve issues like
those mentioned in the last paragraph. We propose to base such research on a broader facet
basis. To this end we suggest a general instrument to cover a broad number of facets which
could aim for fine grained assessments.

$_{39}$ 1.5. This study

We present in this paper an instrument for personality assessment which aims to cover
the need for an internationally usable, open source, and differentiated measure at the facet
level. Two studies are presented, for each one inspects the factor structure of the instrument
in a different sample drawn from a different culture (American vs. German). Measurement
invariance across samples will be examined. Internal consistency and test-criterion

correlations will be estimated for all scores. To sum up, the aim for this research project was
to provide an instrument that can be used in non-clinical but also in clinical research which
emphasizes the facet level of the Big Five.

2. Methods

Two different studies are presented in this work. The first study uses a sample drawn 249 from the USA bachelor student population. The aim was to detect and confirm a model that maximizes the facet space below the Big Five domains. Exploratory factor analysis (EFA) 251 was used to identify the number of facets per domain. A confirmatory factor analysis (CFA) 252 per facet was specified in order to confirm the item - facet relationship. Finally, an 253 exploratory structural equation model (ESEM) was applied to test a full model in which 254 the facets serve as indicators of the Big Five domains. ESEM has gained reputation in the 255 personality field, where the independent cluster model may not capture the complexity of the 256 constructs measured (Marsh et al., 2010). 257

The second sample is a sample representative for the German speaking population of
Germany, Austria and Switzerland. The aim for the second study was to replicate the
structure found in study one, plus assess the degree of measurement invariance of the
proposed model.

Study 1 - US-American Sample

Participants. The sample consisted of 722 American undergraduate students

(59.30% male) who participated voluntarily. The mean age was 21.60 years (SD = 5.90).

Students were emailed a link to a computerized assessment battery that included the IPIP

items as well as several other tests not reported in this paper. The data set was randomly

split into two equally sized samples. Both samples were matched in relation to missing

values, outliers and extreme values. In Sample 1 the mean age was 21.80 years (SD= 6.30), in Sample 2 the mean age was 21.50 years (SD=5.60).

Measures

Items from the International Personality Item Pool (IPIP). Altogether, 525 items from the International Personality Item Pool (IPIP) were used to measure

Neuroticism, Extraversion, Openness (to experience), Agreeableness and Conscientiousness.

The IPIP is an open source database of personality items, which was launched in 1996, and contains over 2000 items (L. R. Goldberg et al., 2006). Participants were asked to rate themselves on typical behaviors or reactions on a 5-point Likert scale, ranging from 1 ("Not all like me") to 5 ("Very much like me").

The item selection was part of a different project and the procedure has been explained in detail in the appendix of a study by MacCann, Duckworth, and Roberts (2009). That study also contains part of the sample used here. However, the current data set contains more participants.

Satisfaction With Life (SWL). Measured with a 5 item composite defined in
Diener, Emmons, Larsen, and Griffin (1985), answered in a 7 point Likert-type scale ranging
from 1 (strongly disagree) to 7 (strongly agree). The items are: a) "In most ways my life is
close to ideal", b) "The conditions of my life are excellent", c) "I am satisfied with my life",
d) "So far I have gotten the important things in my life", and e) "If I could live my life over,
I would change almost nothing". Psychometric properties have been reported excellent. (In
which moment have SWLS been measured? just at the same time point than personality?)

GPA. Grade Point Averages measured in high school, university, and in cc.

290 SAT.

289

291 ACT.

Absences from class. As a behavioral measure absence from class was asked to report from subjects. ABS2 ABS4 what are the differences?

2.1.3. Procedure

EFA with subsample 1. To determine the number of possible facets per domain 295 Velicer (1976) Minimum Average Partial (MAP) method and Horn (1965) parallel analysis 296 (PA) were employed for every domain. Based on these results an Exploratory Factor 297 Analysis (EFA) was calculated for each domain via Mplus using a geomin rotation (Quelle) 298 and a Maximum Likelihood estimator (ML). The decision for the preferred number of facets 290 per domain was based partly on comparing model fits (CFI, RMSEA, SRMR). More 300 importantly though was the interpretability of the facet solution. To this end, facet solutions 301 from other personality measures were looked and compared to the found facet structure. If there were important parts missing to present the domain with regards to content, new facets were added a posteriori.

CFA and ESEM with subsample 1. To confirm the structure of facets the EFAs 305 delivered, multiple CFAs were calculated via Mplus. In a first step, measurement models 306 were estimated for each of the facets. To obtain balance between the facets, the items were 307 reduced to five per facet based on item content and loading pattern. In a second step, the 308 estimations for the measurement models on facet levels were repeated via CFA. For both steps estimators were WLSMV (Weighted Least Squares adjusted for Means and Variances). 310 Aim was to ensure an optimal breadth and sufficient reliability. In a final model, all five domain structural models were integrated using ESEM (Asparouhov & Muthén, 2009). 312 Marsh et al. (2010) could show that ESEM fits personality data better and results in 313 substantially more differentiated factors than CFA. All facets were allowed to load on all 314

domains. If there would show up facets that do not significantly load on the intended 315 domain, this facets would get eliminated subsequently. The estimators used were ML 316 !WLSMV?, factor scores from the facet CFAs were used as indicators and the rotation was 317 oblique (using Geomin). Model fit was determined based on the guide lines by Hu and 318 Bentler (1999) as well as Beauducel and Wittmann (2005). Consequently, to consider a good 319 fit of a proposed model, the Comparative Fit Index (CFI) should be at or over .95, the 320 Standardized Root Mean Squared Residual (SRMR) smaller than .08 and the Root Mean 321 Square Error of Approximation (RMSEA) smaller than .06. For the ESEM models we 322 compared our results with the findings by Marsh et al. (2010). 323

Criterion validity evidence. To examine the nomological structure of the facets
and domains to external constructs, a set of linear models and correlations were fitted. We
describe here a set of hypothesis prompted by other's research in the interplay between
personality and the external criteria which we had access for this work.

SWL was observed to be best predicted by the *Depression* facet of the NEO-PI-R and 328 IPIP-NEO Neuroticism and NEO-PI-R's Positive emotions or IPIP-NEO's Cheerfulness in 329 the Extraversion domain (Schimmack, Oishi, Furr, & Funder, 2004). Correlations in the 330 mentioned study ranged in a longitudinal design from r = -.57 to r = -.49 for the first and 331 from r = .51 to r = .38 for the second and third. We hypothesize that the facets Confidence 332 (N2) and Positive attitude (E4) developed in our instrument will behave similarly. To explore 333 this hypothesis we fitted a linear model that included N2 and E4 as predictors. Furthermore we fitted a stepwise regression with all the facets to find covered relations. In a third model 335 we compared the power of E4 and N2 with their respective domains, Extraversion and 336 Neuroticism. 337

Regarding academic performance, Conscientiousness has been reported as the most consistent personality predictor at the domain level. In the meta-analysis by O'Connor and Paunonen (2007) the corrected correlation between academic achievement and

Conscientiousness was r = .24. However, this relationship might not be linear according to 341 other authors who reported inverted-U relations (Cucina & Vasilopoulos, 2005). Being the 342 relationship between the other Big Five domains and academic achievement less clear, facet 343 level analysis becomes important. Achievement-striving, Self-discipline and Dutifulness are 344 the best predictors of academic performance under the Conscientiousness domain, according 345 to the revision by O'Connor and Paunonen (2007). Its correlation coefficients range from r=346 .15 to r = .39, from r = .18 to r = .46, and from r = .25 to r = .46 respectively. Openness' 347 facets yield unconclusive patterns at the domain level, as we pointed out in the introduction. 348 Openness to ideas can be a facilitator towards better GPA, wih correlations being found r =349 .22 in Dollinger and Orf (1991) and r = .15 in Ziegler et al. (2010), although this finding has 350 failed to be reproduced in some other research (Chamorro-Premuzic & Furnham, 2003; De 351 Fruyt & Mervielde, 1996). Context dependent characteristics may be influencing this lack of replicability (Ziegler et al., 2014). In the other hand, Openness to fantasy has found to yield 353 a negative relation to GPA r = -.22 for men, whereas Aesthetics yielded a similar relationship 354 with GPA for women r = -.19 (De Fruyt & Mervielde, 1996). Concerning Extraversion, 355 gregariousness has been found to correlate negatively with academic achievement, with r=356 -.20 (Chamorro-Premuzic & Furnham, 2003); activity has yielded contratictory results, being 357 positively correlated in the De Fruyt and Mervielde (1996) analysis (r = .26) but negatively 358 in the Chamorro-Premuzic and Furnham (2003) experiment r = -.24. Results found for the 359 Impulsivity and Anxiety facets of Neuroticism have been more clear, with correlation ranging 360 from r = -.14 to r = -.26 for Impulsivity and r = -.29 for Anxiety. Agreebleness' facets have 361 failed to yield significant correlations with GPA, although some of them may be important 362 for certain job performance (Ziegler et al., 2014). Say something about Noftle Robins here. 363

As stated previously, Absences from school was the behavioral variable chosen to be 364 controlled in this study. Kok, Plaisier, Smit, and Penninx (2017) inspected the interplay of 365 absecutism from work and personality, discovering no relation with NEO-PI-R 366

Conscientiousness, but a significant (number) correlation with the *Mastery* scale developed 367

by (see Kok work). This may be another case were the analysis at the domain levels gets
masked by facets pointing in opposite directions. Facet analysis may disentangle clearer
relations with personality. The *Mastery* scale is similar in content to our, (see ... for
the Mastery scale items). Therefore we hypothesize that ... will perform similarly to *Mastery* in predicting absentism. To that means we run a model to predict absences from
Conscientiousness and ... in a stepwise regression. Aferwards we run a stepwise regression
with all the facets to explore additional dependencies.

explore this deeper with intelligence. literature!

Results

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Results of EFA. In *Table 2* model fits for the chosen facet model for each domain are shown, as well as Eigenvalues and results from MAP and PA test. To ensure the homogeneity of the facets and to reduce the risk of cross domain loadings, items with factor loadings less than .30 were eliminated. This was only done when item content was also judged as being non-central to the domain in question (Ziegler et al., 2014).

< Table 2 here>

According to the exploratory model, Agreeableness consists of eight facets after two facets were eliminated due to weakly loading and inconsistent items. The remaining facets were named Appreciation, Integrity, Low Competitiveness, Readiness to Give Feedback, Search for Support, Good Faith, Genuineness and Altruism.

Conscientiousness consists of nine facets after one facet with item factor loadings less
than .30 was excluded, they are: Dominance, Persistence, Self-discipline, Task planning,
Goal orientation, Carefulness, Orderliness, Wish to work to capacity and Productivity.

Extraversion is formed by nine facets. A new facet (Energy) was added in order to tap
better the physical component of Extraversion, which was missing in the eight facet solution.
The facets are Sociability, Readiness to take risks, Wish for affiliation, Positive attitude,
Forcefulness, Communicativeness, Humor, Conviviality and Energy.

Neuroticism (interpreted here as emotional stability) consists of seven facets. One facet was dropped due to poor interpretability, and was therefore not included in the subsequent analyses. The final set of facets are named Equanimity, Confidence, Carefreeness, Mental balance, Drive, Emotional robustness and Self-attention.

Openness to experience comprises nine facets. One facet was identified as a method
factor and eliminated, because it solely contained negatively formulated items and no
coherent underlying trait could be identified. Furthermore another facet (Intellect) was
added, because the remaining facets lacked an intellectual content. The facets of Openness
are named Creativity, Wish for variety, Open-mindedness, Interest in reading, Artistic
interests, Wish to analyze, Willingness to learn, Sensitivity and Intellect.

The items to each facet are listed in the appendix (A).

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Results of CFA and ESEM. All measurement models for the facets fitted well, results are summarized in *Table 3*. In this table both models with five items only and models with all items are presented with their respective model fit. The 5-item facets normally outperform the models including all items regarding model fit.

< Table 3 here caption="Model fit for each facet")>

The ESEM of the final model with all five domains yielded an acceptable fit (Marsh et al., 2010): CFI = .87, RMSEA = .072, SRMR = .036. As it can be seen in *Table 4* nearly all facets loaded significantly on their intended domain. Some cross loadings emerged as is

typical for ESEM procedures.

Reliability?!

421

Reliabilities for the 5 item facets were calculated. The mean alpha for the
Agreeableness facets was 0.68, and the mean omega was 0.69. The mean alpha for the
Conscientiousness facets was 0.68, and the mean omega was 0.70. The mean alpha for the
Openness facets was 0.76, and the mean omega was 0.77. The mean alpha for the
Neuroticism facets was 0.68, and the mean omega was 0.69. The mean alpha for the
Extraversion facets was 0.72, and the mean omega was 0.74.

< Table 4 here caption="ESEM factor scores")>

Criterion validity evidence. Our first hypothesis concerning external criteria involved Confidence (N2) and Positive attitude (E4), as the most important predictors of SWL. Our results, in line with Schimmack et al. (2004), showed that both facets were the most salient predictors of the factor in a stepwise regression, and that they explained 0.34% of the factor. The final model reached with the stepwise procedure explained 0.41% of the factor variance. N2 and E4 outperformed Neuroticism and Extraversion, who failed to be significant predictors in a stepwise model which included these four independent variables.

Bivariate correlations of the facets with the external criteria are shown in Table~5. The facets N2 (Confidence, r=0.53) and E4 (Positive attitude, r=0.49) show the highest correlations with life satisfaction respectively. The SWL scale was also correlated with facets such as N4 (Mental balance, r=0.25) and N5 (Drive, r=0.27) in the Neuroticism / Emotional stability realm. C5 (Goal orientation, r=0.28) and C2 (Persistence, r=0.27) in Conscientiousness. E1 (Sociability, r=0.26) and E9 (Energy, r=0.25) in the Extraversion domain. O9 (Intellect, r=0.24) and O3 (Open-mindedness, r=0.22) in Openness and A6

(Good faith, r = 0.25) in the Agreeableness domain.

Regarding the academic performance criteria (GPA, SAT and ACT), the Openness facets O4 (Interest in reading), O6 (Wish to analyze) and O9 (Intellect) yield the most consistent correlations. Interestingly, O4 correlates directly with the reading subtests of SAT (r = 0.25) and ACT (r = 0.2) and shows a negligible relationship with the math (r = 0.06 / 0.08) subscales.

Furthermore, the global GPA scale (assuming cc is for global) correlated with several Conscientiousness and Agreeableness facets. C9 (Productivity, r = 0.4), C5 (Goal orientation, r = 0.38) and C4 (Task planning, r = 0.35) yield the higher correlations with overall GPA respectively in the Conscientiousness domain, and A1 (Appreciation, r = 0.32) in the Agreeableness domain.

Concerning the behavioral criteria controlled in this study, absence from class, the meaningful correlations unsurprisingly loaded within the facets at the Conscientiousness domain. Particularly the facets C4 (Task planning, r = -0.22 / -0.26), C7 (C6: Carefulness, r = -0.21 / -0.21) and C9 (Productivity, r = -0.21 / -0.24) were the most robust indicators of this criteria.

< Table 5 here caption="Criterion correlations" >

53 Study 2 – German Sample

452

Participants. The representative sample consisted of 387 German speakers (49.10% male) with a mean age of 45.60 years (SD = 17.50). The data was collected in a test center.

Measures. The five items per facet derived from Study 1 were translated and
back-translated by bilingual experts, creating a German version of the measure used there.

The translated items can be found in appendix B.

459 Procedure

Step 1 – Examining the structure. To check the facet structure Study 1
delivered, multiple confirmatory factor analyses were calculated via Mplus following an
analogue procedure to Study 1. First, measurement models were estimated for all facets,
using WLSMV as the estimator. Model fit was determined based on the guide lines
mentioned above. In a final model, all five domain structural models were integrated using
ESEM.

Step 2 – Testing for measurement invariance. In a next step, measurement invariance between German and US samples was examined. We followed the procedure suggested by Sass (2011) and tested configural, factorial and strong factorial invariance. The 468 cutoffs suggested by Chen (2007) were applied to compare model fits. According to this 469 configural measurement invariance can be assumed when the same item is associated with 470 the same factor in each domain, while the factor loadings can differ. If the factor loadings of 471 each item would not differ between the samples, factorial measurement invariance can be 472 assumed. Strong factorial measurement invariance can be assumed when on top of that the 473 intercepts of each item are equal. The limit to factorial measurement invariance was set to Δ 474 CFI < .01, Δ RMSEA < .015 and Δ SRMR < .03, at which the limit to strong factorial 475 measurement invariance was set to Δ CFI < .01, Δ RMSEA < .015, Δ SRMR < .01 (Chen, 476 2007). 477

478 Results

Results of CFA. The measurement models of the American sample were replicated for the reduced number of items per facet. Model fits can also be seen in *Table 3*. The

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ESEM with all five domains showed a relatively good fit to the data with CFI = .82,
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- RMSEA = .078, SRMR = .044. Table 6 shows the ESEM factor loadings for the German
- sample. All facets loaded significantly on their intended domain.

(facets of Openness).

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- **Results of MI.** For analyzing measurement invariance the latest facet model 484 structure (with additional facets) was taken. The results are shown in Table 7. Configural 485 measurement invariance could be shown for the facets Appreciation of others, 486 Superiority/Grandiosity, Need to be liked, Crybabiness, Manipulation, Altruism (facets of 487 Agreeableness), Perseverance, Task Planning, Goal-orientation/Achievement striving, 488 Preferred Load, Procrastination (facets of Conscientiousness), Assertiveness, 489 Sociability/Gregariousness, Activity (facets of Extraversion), Irritability, Self-serving 490 Attention (facets of Neuroticism), Self-attributed Inginuity, Openness to actions and 491 activities, Openmindedness/Judgement, Love of Learning, Openness to feelings and Intellect 492
- Factorial measurement invariance could be shown for the facets Meanness, Trust

 (facets of Agreeableness), Control of others, Lack of (Self-) Control, Deliberation/Caution,

 Lack of Tidiness/Order (facets of Conscientiousness), Sensation Seeking, Reclusiveness,

 Emotionality, Humor (facets of Extraversion), Depression, Anxiety, Self-assuredness,

 Lethargia, Sentimentality (facets of Neuroticism), Openness to reading, Openness to arts and

 Need for cognition (facets of Openness).
- The only facet with strong factorial measurement invariance was Shyness, a facet of Extraversion

502 Discussion

We have presented in this work an open-access instrument for personality assessment within the Big Five framework, which showed evidences of factorial validity in two different cultures and maximized the space set of facets encompassed. With a modest number of items (202) by comparison with the most influential Big Five inventories presented in *Table* 1, we have reached to a large set of facets which mostly show a robust factorial validity in both studies, as shown in *Table* 3.

The Big Five solution has been recognized as the most replicable model for personality 509 inventories, reaching a hallmark of consensus in personality science for the last decades. 510 However, some researchers have pointed out that while the Big Five has repeatedely been 511 found when fitting EFA to personality data, its replicability under CFA procedures has been 512 more elusive (R. R. McCrae, Zonderman, Costa, Bond, & Paunonen, 1996). The constriction 513 of the common independent cluster solution, where cross-loadings are restricted to zero, may 514 suppose a rather strong assumption for personality trait inventories (Marsh et al., 2010). 515 The idea of facets, or habits, being influenced by more than one domain can definitely make 516 some sense. ESEM helps overcoming this assumption and provides a measure about how well 517 the Big Five solution adjusts to the data. Using this procedure, the degree of integration of 518 our proposed set of facets to the Big Five factor solution has been solid enough according to 519 the cut-off values proposed by Marsh et al. (2010). The number of significant cross-loadings 520 in the ESEM models has not been large either, advocating a good discriminant validity. 521

The instrument presented in this work covers all the "core" facets proposed by

Christopher J. Soto and John (2009), either directly or indirectly. The *Energy* construct in

Extraversion is literally covered by a three-item facet in our instrument, whereas the

Assertiveness construct has been tapped by items belonging to the Wish for affiliation,

Communicativeness and Conviviality facets. Altruism is directly reflected in a five-item facet,

while the Compliance construct is reflected by our Good faith facet. The Order and 527 Self-discipline constructs proposed by Christopher J. Soto and John (2009) are mirrored by 528 dedicated facets in our instrument. The Anxiety and Depression constructs are mirrored by 529 the facets Mental balance and Emotional robustness, respectively. For the Openess 530 dimension, the Aesthetic contruct is covered by our facet Artistic interest, while the Ideas 531 construct has been reflected by both the Open-mindedness and the Wish to analyze facets. 532 The two-per-facet components proposed by DeYoung et al. (2007) were also being tapped by 533 the set of facets in our inventory. 534

The instrument covers most of facets proposed by the most influential Big Five measures as seen in *Table 1*. The most salient differences are related to the HEXACO model, which entails a six factor solution with a slightly different theoretical conceptualization (Lee & Ashton, 2006). Most notably

Although these facets are not being covered directly in our inventory, components of 539 facets from distinct domains in our model retain a glimpse of the missing facets. This 540 underlies the importance of allowing cross-loadings for trait personality data. Let's use the 541 example of *Patience*, a facet proposed in the HEXACO model for the Agreeableness domain 542 which is not covered in our instrument, nor in the other three Big Five inventories which 543 have been revised. Although patience, there is a notion of a patient trait within the Self-discipline facet in the Conscientiousness domain, specially with items such as "I rush 545 into things" or "I act impulsively when something is bothering me" (See appendix A). In fact, Self-discipline has important cross-loadings with Agreeableness in both samples ($\lambda =$.256 in the USA sample and $\lambda = .341$ in the german sample).

- In addition we included even more facets.
- In addition, evidences for external criteria validity were attained.
- We have collected some criterion validity evidences. Like bla bla bla. Nonetheless the

multi - facetted nature of the instrument makes forthcoming evidences for criterion and predictive validity promising.

One limitation is the sample used. Students are not a representative population of society and results may not be generalized.

Future directions are to provide a tool with the subset of items for public use. Gather community sample, from more cultures and test the extent of the universality of the instrument. And use the instrument to predict important life outcomes so the links between specific behaviors and facets become richer.

Remove this page. This is used to include the tables' references into the bibliography. 560 Brick and Lewis (2014); Gaughan, Miller, and Lynam (2012); Leone, Chirumbolo, and 561 Desimoni (2012); Mcabee, Oswald, and Connelly (2014); Gaughan, Miller, Pryor, and Lynam 562 (2009); Noftle and Shaver (2006); R. M. Bagby, Taylor, and Parker (1994); Schimmack, Furr, 563 and Funder (1999); Wakabayashi, Baron-Cohen, and Wheelwright (2006); Shaver and Brennan (1992); Ruiz, Pincus, and Dickinson (2003); Mccrae, Kurtz, Yamagata, and 565 Terracciano (2011); Rosander, Bäckström, and Stenberg (2011); K. K. McAdams and 566 Donnellan (2009); Siddiqui (2011); Hagger-Johnson and Whiteman (2007) 567 Ziegler et al. (2014) 568

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Table captions

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