

(3)

Proposition Let  $X$  be a compact metric space and let  $f: X \rightarrow X$  be a weak contraction, i.e.  $d(f(x), f(y)) < d(x, y)$  for all  $x \neq y$  in  $X$ . (\*\*)  
Then  $f$  has a unique fixed pt  $x_* \in X$  and for every  $x \in X$ ,  $f^n(x) \rightarrow x_*$  as  $n \rightarrow \infty$ .

Pf Existence of a fixed pt:

let  $h(x) = d(x, f(x))$ . Then  $h$  is continuous on  $X$  (Explain why)

Since  $X$  is compact,  $h$  attains its min value at some  $x_* \in X$ .

Want:  $f(x_*) = x_*$ , i.e.  $h(x_*) = 0$ .

Suppose  $f(x_*) \neq x_*$ . Then by (\*\*),

$$h(f(x_*)) = d(f(x_*), f(f(x_*))) < d(x_*, f(x_*)) = h(x_*),$$

contradicting the minimality. Thus  $x_*$  is fixed.

Uniqueness of a fixed pt. follows from (\*\*). (Explain).

Let  $x \neq x_*$ . Consider the sequence  $(a_n)$ , where  $a_n = d(f^n(x), x_*)$ .

Then  $a_n \geq 0$  for all  $n$  and  $(a_n)$  is decreasing.

Hence  $(a_n)$  converges to some  $a \geq 0$ . If  $a = 0$  we are done.

Let  $a > 0$ . By compactness of  $X$ ,  $(f^n(x))$  has a subsequence  $(f^{n_k}(x))$  converging to some  $y \in X$ , and  $d(y, x_*) = a > 0$ .

Then by continuity of  $f$ ,  $f^{n_k+1}(x) \rightarrow f(y)$ , and  $d(f(y), x_*) < a$ .

Hence  $d(f^{n_k+1}(x), x_*) < a$  for all suff. large  $k$ , a contradiction.

Thus  $a = 0$  and  $f^n(x) \rightarrow x_*$  □.

Note In general, the convergence is not necessarily exponential, and we do not have an estimate for the speed of convergence.

### Fixed points

Let  $X$  be a metric space, and let  $f: X \rightarrow X$  be continuous.

Let  $\text{Fix}(f) = \{x \in X : f(x) = x\}$ . Then  $\text{Fix}(f)$  is closed.

Indeed, suppose  $x_n \in \text{Fix}(f)$ ,  $n \in \mathbb{N}$ , and  $x_n \rightarrow x \in X$ .

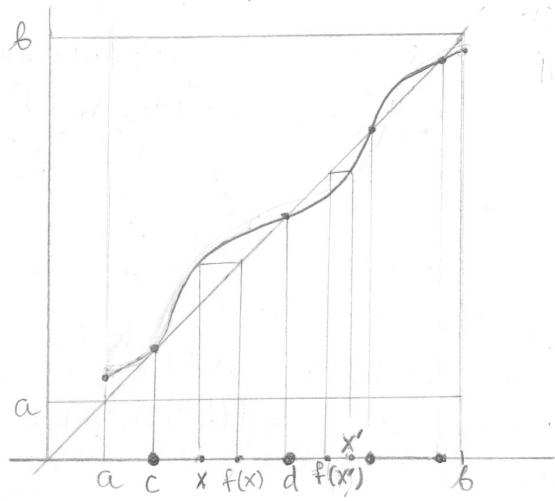
Then, by continuity of  $f$ ,  $f(x) = f(\lim x_n) = \lim f(x_n) = \lim x_n = x$ .

The set  $\text{Fix}(f)$  can be empty.

Let  $n \in \mathbb{N}$  and let  $\text{Per}_n(f) = \{x \in X : f^n(x) = x\}$  be the set of periodic pts of  $f$  of period  $n$ . Then  $\text{Per}_n(f)$  is also closed since  $\text{Per}_n(f) = \text{Fix}(f^n)$ .

## Increasing interval maps.

$f: [a, b] \rightarrow [a, b]$  continuous and non-decreasing (also called increasing), i.e.  $f(x) \leq f(y)$  for all  $x, y \in [a, b]$  s.t.  $x < y$ .



Every continuous  $f: [a, b] \rightarrow [a, b]$  has a fixed point:

Consider  $h(x) = f(x) - x$  and apply the Intermediate Value Thm.

The set of fixed pts of  $f$  can be finite, countably infinite, or uncountable. In fact, any closed set  $E \subseteq [a, b]$  is  $\text{Fix}(f)$  for some continuous increasing  $f$ .



Prop. let  $f: [a, b] \rightarrow [a, b]$  be a cont. non-decreasing map.  
Then for each  $x \in X$ ,  $(f^n(x))$  converges to a fixed pt. of  $f$  as  $n \rightarrow \infty$ .

Pf (Fill in the details).

If  $\text{Fix}(f) = [a, b]$ , we are done. Otherwise, since  $\text{Fix}(f)$  is closed, its complement is open in  $[a, b]$  and hence consists of disjoint open intervals and also  $(a, a')$  if  $f(a) \neq a$  and  $(b', b]$  if  $f(b) \neq b$ .

Let  $x$  be in such an open interval  $(c, d)$ , where  $c$  and  $d$  are fixed. Since  $f$  is non-decreasing,  $f(c, d) \subseteq [c, d]$ .

On  $(c, d)$ , either  $f(x) > x$  or  $f(x) < x$ . Let  $f(x) > x$ .

Then, the sequence  $(f^n(x))_{n \geq 0}$  is increasing and bounded above by  $d$ .

Hence it has a limit,  $y \in [x, d]$ . Since  $y$  must be fixed,  $y = d$ .

Similarly consider  $f(x) < x$ , and  $(a, a')$ ,  $(b', b]$ .  $\square$ .

Now, suppose that  $f: [a, b] \rightarrow [a, b]$  is cont., strictly increasing,  $f(a) = a$  and  $f(b) = b$ . Then  $f$  is invertible.

In this case, for each  $x \in [a, b]$  that is not fixed,  $(f^n(x))_{n \geq 0}$  is strictly monotone,  $x$  lies in an open interval between two adjacent fixed points,  $f^n(x)$  converges to one of these fixed points as  $n \rightarrow \infty$ , and to the other as  $n \rightarrow -\infty$ .

We say that  $x$  is positively asymptotic to one fixed point, and negatively asymptotic to the other fixed pt.