

(9)

Last time: Corollary let f be a homeomorphism of a compact metric space X . Then f is top. transitive \Leftrightarrow there are no two disjoint non-empty open f -invariant sets in X .

Prop. let f be a homeomorphism of a compact metric space X . If f is top. transitive, then there is no invariant non-constant continuous function $\varphi: X \rightarrow \mathbb{R}$. Invariant means that $\varphi(f(x)) = \varphi(x)$ for all $x \in X$.

Pf. let $\varphi: X \rightarrow \mathbb{R}$ be a continuous invariant function.

Since φ is invariant, it is constant on the orbit of any point.

let x be a point with dense orbit. Then φ is constant on $O(x)$. Since $O(x)$ is dense in X and f is continuous, it follows that φ is const. on X . \square

Note • The converse is false (Hw) • Another proof: use the corollary above.

Minimality of translations and linear flows on \mathbb{T}^n

Prop. let $\gamma = (\gamma_1, \dots, \gamma_n)$. The translation $T_\gamma: \mathbb{T}^n \rightarrow \mathbb{T}^n$ is top. transitive, equivalently, minimal $\Leftrightarrow \gamma_1, \dots, \gamma_n, 1$ are rationally independent, that is, $\sum_{i=1}^n k_i \gamma_i \notin \mathbb{Z}$ for any integers k_1, \dots, k_n except for $k_1 = \dots = k_n = 0$.

Pf (By contraposition, \Rightarrow uses prop. above, \Leftarrow uses corollary above)

\Rightarrow Suppose that there exist k_1, \dots, k_n not all 0 s.t. $\sum_{i=1}^n k_i \gamma_i = k \in \mathbb{Z}$.

Then there is a non-constant T_γ -invariant function:

Let $\varphi(x) = \sin\left(2\pi \sum_{i=1}^n k_i x_i\right)$. This function is well-defined on \mathbb{T}^n since for each $v \in \mathbb{Z}^n$, $\varphi(x+v) = \varphi(x)$. Clearly, φ is cont. and non-constant. φ is T_γ -invariant since $\varphi(T_\gamma(x)) = \sin\left(2\pi \sum_{i=1}^n k_i(x_i + \gamma_i)\right) = \sin\left(2\pi \left(\sum_{i=1}^n k_i x_i\right) + 2\pi k\right) = \varphi(x)$.

Thus by the prop. above, T_γ is not top. transitive.

\Leftarrow Suppose that T_γ is not top. transitive. Then by the corollary above there exists an open T_γ -invariant set U with Lebesgue measure $0 < \mu(U) < 1$. Consider its characteristic function $\chi = \chi_U: \mathbb{T}^n \rightarrow \mathbb{R}$. Since U is invariant, $\chi(x) = \chi(T_\gamma(x))$ for all $x \in \mathbb{T}^n$.

We consider the Fourier expansion of χ :

$$\sum_{R=(k_1, \dots, k_n) \in \mathbb{Z}^n} \widehat{\chi}(R) e^{2\pi i (R \cdot x)}, \text{ where } \widehat{\chi}(R) = \int_{\mathbb{T}^n} \chi(x) e^{-2\pi i (R \cdot x)} dx$$

The coefficients of the Fourier expansion of $\chi \circ T_\gamma$ are

$$\widehat{\chi \circ T_\gamma}(R) = \int_{\mathbb{T}^n} \chi(T_\gamma(x)) e^{-2\pi i (R \cdot x)} dx = \int_{\mathbb{T}^n} \chi(x+\gamma) e^{-2\pi i (R \cdot x)} dx. \quad \overline{y=x+\gamma}$$

$$= \int_{\mathbb{T}^n} \chi(y) e^{-2\pi i (R \cdot (y-\gamma))} dy = e^{2\pi i (R \cdot \gamma)} \widehat{\chi}(R).$$

Since $\chi \circ T_g = \chi$ and the Fourier expansion is unique,
 for each $\bar{K} = (k_1, \dots, k_n) \in \mathbb{Z}^n$, $e^{2\pi i \bar{K} \cdot \chi} \hat{\chi}(\bar{K}) = \hat{\chi}(\bar{K})$, and hence
 either $\hat{\chi}(\bar{K}) = 0$ or $e^{2\pi i \bar{K} \cdot \chi} = 1$, i.e. $\sum_{i=1}^n k_i \chi_i \in \mathbb{Z}$.
 Since χ is not constant a.e., $\hat{\chi}(\bar{K}) \neq 0$ for some $\bar{K} \neq 0$, and so
 $k_1, \dots, k_n, 1$ are rationally dependent. \square

Now, minimality of the linear flow $\{T_g^t\}$.

Prop. Let $\{f_t\}$ be a flow on a compact metric space X .
 If $\{f_t\}$ is top. transitive, then every continuous function $\psi: X \rightarrow \mathbb{R}$
 invariant under $\{f_t\}$ is constant. Invariant means that $\psi(f_t(x)) = \psi(x)$
 for all $x \in X$ and $t \in \mathbb{R}$.

Pf As for $f: X \rightarrow X$.

Note If for some $t_0 \in \mathbb{R}$ the map $f_{t_0}: X \rightarrow X$ is top. transitive, then
 the flow is also top. transitive (Why?)

Proposition Let $\gamma = (\gamma_1, \dots, \gamma_n)$. The flow $\{T_\gamma^t\}$ on \mathbb{T}^n is top. transitive,
 equivalently, minimal $\Leftrightarrow \gamma_1, \dots, \gamma_n$ are rationally independent, i.e.
 $\sum_{i=1}^n k_i \gamma_i \neq 0$ for any integers k_1, \dots, k_n except for $k_1 = \dots = k_n = 0$.

Pf (\Rightarrow) Suppose that $\sum_{i=1}^n k_i \gamma_i = 0$ for some integers k_1, \dots, k_n , not all 0.

Then $\psi(x) = \sin(2\pi \sum_{i=1}^n k_i x_i)$ is continuous, non-constant, and invariant
 since for any $x \in X$ and $t \in \mathbb{R}$, $\psi(x+ty) = \sin((2\pi \sum_{i=1}^n k_i x_i + 2\pi t \sum_{i=1}^n k_i y_i) = \psi(x)$.
 Thus the flow is not top. transitive.

(\Leftarrow) Suppose that $\sum_{i=1}^n k_i \gamma_i \neq 0$ for any integers k_1, \dots, k_n that are not all 0.

It suffices to show that for some $t \in \mathbb{R}$, the translation $T_\gamma^t = T_{t\gamma}$ is

top. transitive, equivalently, that there is $t \in \mathbb{R}$ such that

for any integer vector $(k_1, \dots, k_n) \neq 0$, $\sum_{i=1}^n k_i (t\gamma_i) = t \sum_{i=1}^n k_i \gamma_i \notin \mathbb{Z}$.

By the assumption, $\sum k_i \gamma_i \neq 0$. For any collection of integers (k_1, \dots, k_n, K)
 with $(k_1, \dots, k_n) \neq 0$, there is only one t such that $t \sum k_i \gamma_i = K$, namely $t = \frac{K}{\sum k_i}$.

There are countably many such collections of integers, and uncountably
 many values of t . Thus there is t s.t. $t \sum_{i=1}^n k_i \gamma_i \notin \mathbb{Z}$ for any $(k_1, \dots, k_n) \neq 0$. \square