

(24)

Structural stability.

- ?) let f be a cont. map of a compact metric space. Is there $\varepsilon > 0$ s.t. for any cont. $g: X \rightarrow X$ with $d_{C^0}(f, g) = \sup_{x \in X} d(f(x), g(x)) < \varepsilon$, g is top. conjugate to f ?

No Making a C^0 -small change one can, for example, get $g = \text{Id}$ in a nbhd. of a fixed point for f , change the number of periodic pts, get a non-invertible g for an invertible f , etc. So g would not be top. conjugate to f . However, there is hope if Df and Dg are also close.

Let M be a compact smooth manifold, for example, S^1 or T^N , and let $f: M \rightarrow M$ be a C^1 map (i.e. continuously differentiable, and hence cont.)

Def We say that f is (C^1) structurally stable if for any C^1 map $g: M \rightarrow M$ sufficiently C^1 -close to f , g is topologically conjugate to f .

Note C^1 -close means that g is close to f and Dg is close to Df .

Such g is called a C^1 -small perturbation of f . One can also consider a C^r , $r > 1$, map f and call it C^m , $1 \leq m \leq r$, structurally stable if for any g that is C^m close to f , g is top. conjugate to f .

Ex (with non-compact M). Let f be a linear contraction on \mathbb{R} , specifically, $f(x) = ax$, where $0 < a < 1$. Then f is structurally stable.

Pf Idea: any g suff. C^1 -close to f is also a contraction. Let $g: \mathbb{R} \rightarrow \mathbb{R}$ satisfy $|g'(x) - f'(x)| < \min\{\frac{a}{2}, \frac{1-a}{2}\}$ for all x . (We can also assume that $g(x)$ is close to $f(x)$ for all x , but it plays no role in the argument.) Then $0 < \alpha \leq g'(x) \leq \beta < 1$ for all $x \in \mathbb{R}$. Thus g is a contraction and hence has a unique fixed pt. c (close to 0 if g is close to f)

A top. conjugacy can be constructed as follows:



Set $h(0) = c$, choose $x = x_0 \in (0, \infty)$ and $y = y_0 \in (c, \infty)$ and set $h(x_n) = y_n$.

let h be linear from $[x_1, x_0]$ onto $[y_1, y_0]$, and then extend h

to (x_{i+1}, x_i) , $i \in \mathbb{Z}$, as in Lecture 12. Similarly define h on $(-\infty, 0)$.

Note a similar argument works for any $f: \mathbb{R} \rightarrow \mathbb{R}$ satisfying

$$0 < \alpha_1 \leq f'(x) \leq \alpha_2 < 1 \text{ for all } x.$$

Ex The following maps are not structurally stable:

• Id , since the only map top. conjugate to Id is Id ;

• $R_d: S^1 \rightarrow S^1$, $T_d: \mathbb{T}^N \rightarrow \mathbb{T}^N$ (Hw)

Ex $E_m: S^1 \rightarrow S^1$; $m \geq 2$ is structurally stable.

Pf Let $f: S^1 \rightarrow S^1$ is a C^1 -small perturbation of E_m ($f(x) \approx mx$ and $f'(x) \approx m$)

such f has a fixed pt. c near 0 (Explain why).

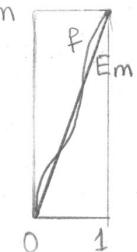
Let $\tilde{f}(x) = f(x+c) - c$. Then $\tilde{f}(0) = 0$ and \tilde{f} is top. conj to f (via $\tilde{h} = ?$)

Thus we can assume that $f(0) = 0$.

Consider the lifts E and F of E_m and f to \mathbb{R} with $E(0) = F(0) = 0$

(Extend the maps from $[0, m]$ to \mathbb{R}). Then $E(x) = mx$ and

$F(x) = mx + \varphi(x)$, where φ is a small 1-periodic function with $\varphi(0) = 0$.



First, we would like to show that there exists a continuous $h: S^1 \rightarrow S^1$ close to Id so that

$$\textcircled{1} \quad E_m \circ h = h \circ f \text{ and } h(0) = 0.$$

We write $h = \text{Id} + \tilde{h}$, where $\tilde{h}(0) = 0$, and consider its lift to \mathbb{R}

$\text{Id} + H$, where H is a 1-periodic function with $H(0) = 0$.

Thus we want to find H such that

$$\textcircled{2} \quad E \circ (\text{Id} + H) = (\text{Id} + H) \circ F, \text{ equivalently}$$

$$mx + mH(x) = mx + \varphi(x) + H(F(x)) \text{ for all } x.$$

Therefore, $H(x) = \frac{1}{m} H(F(x)) + \frac{1}{m} \varphi(x) =: T(H)(x)$, i.e. $H = T(H)$.

Let \mathcal{Y} be the space of continuous 1-periodic functions on \mathbb{R} with $g(0) = 0$.

The map T is a contraction on \mathcal{Y} . Indeed, if $H \in \mathcal{Y}$ then $T(H) \in \mathcal{Y}$ and for any $H_1, H_2 \in \mathcal{Y}$, $\|T(H_1) - T(H_2)\|_{C^0} = \sup_{x \in \mathbb{R}} |T(H_1(x)) - T(H_2(x))| =$

$$= \frac{1}{m} \sup_{x \in \mathbb{R}} |H_1(F(x)) - H_2(F(x))| \leq \frac{1}{m} \sup_{z \in \mathbb{R}} |H_1(z) - H_2(z)| \leq \frac{1}{m} \|H_1 - H_2\|_{C^0}.$$

Since \mathcal{Y} is a complete metric space, T has a unique fixed pt. $H = T(H)$ in \mathcal{Y} .

Thus H is a solution of $\textcircled{2}$ and hence $h = n(\text{Id} + H)$ is a solution of $\textcircled{1}$.

Note: Since φ is C^0 -small, we can see that H is also C^0 -small. It follows

$$\text{from } H = \lim_{n \rightarrow \infty} T^n(0) \text{ and } T(0) = \frac{1}{m} \varphi.$$

Thus we obtained a semiconjugacy h . The argument above does not give its invertibility. We will prove that there exists $\tilde{h}: S^1 \rightarrow S^1$ such that

$$\tilde{h} \circ E_m = f \circ \tilde{h} \text{ and then show that } \tilde{h} = h^{-1}.$$