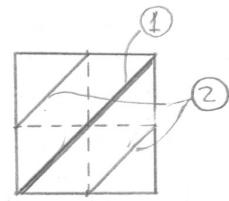


(8)

T/F If  $\gamma_1, \gamma_2 \notin \mathbb{Q}$  and  $\frac{\gamma_2}{\gamma_1} \notin \mathbb{Q}$ , then the translation  $T_\gamma: \mathbb{T}^2 \rightarrow \mathbb{T}^2$  is top. transitive, equivalently, minimal.

F let  $\gamma_1 = \sqrt{2}$  and  $\gamma_2 = \frac{1}{2} + \sqrt{2}$ . Then

$T_\gamma^n(0)$  is either  $n(\sqrt{2}, \sqrt{2})$  or  $(0, \frac{1}{2}) + n(\sqrt{2}, \sqrt{2}) \bmod 1$ .  
So the orbit of 0 lies in the union of two circles.



The assumptions are necessary (explain why), so we need to impose a stronger condition

Def Numbers  $\alpha_1, \dots, \alpha_m \in \mathbb{R}$  are rationally independent if

$k_1\alpha_1 + \dots + k_m\alpha_m = 0$ , where  $k_1, \dots, k_m \in \mathbb{Z}$ , implies  $k_1 = \dots = k_m = 0$ , i.e., none of  $\alpha_1, \dots, \alpha_m$  is a linear combination of the other numbers with rational coefficients.

Proposition let  $\gamma = (\gamma_1, \dots, \gamma_n)$ . The translation  $T_\gamma: \mathbb{T}^n \rightarrow \mathbb{T}^n$  is top. transitive, equivalently, minimal  $\Leftrightarrow \gamma_1, \dots, \gamma_n, 1$  are rationally independent, that is,  $\sum_{i=1}^n k_i \gamma_i \in \mathbb{Z}$ , where  $k_1, \dots, k_n \in \mathbb{Z}$ , implies  $k_1 = \dots = k_n = 0$ .

Note • It follows that  $\gamma_1, \dots, \gamma_n \notin \mathbb{Q}$  and  $\frac{\gamma_i}{\gamma_j} \notin \mathbb{Q}$  for  $i \neq j$   
•  $\sqrt{2}, \frac{1}{2} + \sqrt{2}, 1$  are not rationally independent.

We will prove this proposition using

Criteria for top. transitivity

Thm let  $X$  be a compact metric space without isolated points, and let  $f: X \rightarrow X$  be a homeomorphism. Then the following are equivalent:

(1)  $f$  is top. transitive, i.e. there is a point with dense orbit;

(2) there is a point with dense positive semiorbit;

(3) for any non-empty open sets  $U, V \subseteq X$  there is  $N \in \mathbb{Z}$  s.t.  $f^N(U) \cap V \neq \emptyset$ ;

(4)  $\overline{\bigcup_{n \in \mathbb{N}} f^n(U)} = X$  for all  $U \subseteq X$ .

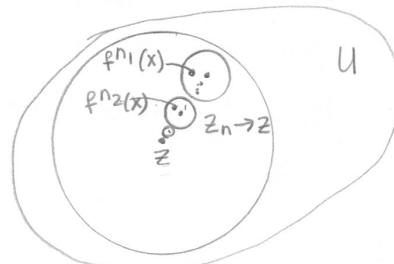
Pf Observations: Since  $X$  is a compact metric space, it is separable, i.e. contains a countable dense set (take  $\bigcup_{n=1}^{\infty}$  of finite  $\frac{1}{n}$ -nets)

$\Rightarrow$  there is a countable base of open sets, i.e. open sets  $U_1, U_2, \dots$

s.t. for each  $x \in X$  and open  $U \ni x$ , there is  $k \in \mathbb{N}$  s.t.  $x \in U_k \subseteq U$ .

(take balls of rational radii centered at the pts of a countable dense set)

Since  $X$  has no isolated points, a dense orbit/semiorbit visits each non-empty open set infinitely many times.



Now we prove the equivalence. Clearly, (2)  $\Rightarrow$  (1) and (4)  $\Rightarrow$  (3)

(2)  $\Rightarrow$  (4) Let  $x$  be a pt with dense  $O^+(x)$ . Then there is  $n \geq 0$  s.t.  $f^n(x) \in U$ .

We can choose  $m > n$  s.t.  $f^m(x) \in V$ . Thus,  $f^{m-n}(U) \cap V \neq \emptyset$ .

(1)  $\Rightarrow$  (4) For some  $m, n \in \mathbb{Z}$ ,  $f^n(x) \in U$  and  $f^m(x) \in V$ . Suppose there is no  $k > n$  s.t.  $f^k(x) \in V$ . Let  $W = f^{m-n}(U) \cap V$ . It is open  $\neq \emptyset$ .

Then there are inf. many  $k < n$  s.t.  $f^k(x) \in W$ . Take  $k < 2m-n$  and  $x' = f^{n-m}(f^k(x))$ . Then  $x' \in U$ ,  $f^{2m-n-k}(x') = f^m(x) \in V$ , and  $2m-n-k \in \mathbb{N}$ .

(4)  $\Rightarrow$  (2). ((3)  $\Rightarrow$  (1) is similar).

We show that there is  $x \in X$  s.t.  $O^+(x)$  visits each of the sets  $U_1, U_2, \dots$

There is  $N_1 \in \mathbb{N}$  s.t.  $f^{N_1}(U_1) \cap U_2 \neq \emptyset$ . Since  $U_1 \cap f^{-N_1}(U_2)$  is open, there is an open set  $V_1 \neq \emptyset$  s.t.  $\overline{V_1} \subset (U_1 \cap f^{-N_1}(U_2))$ .

Next, there is  $N_2 \in \mathbb{N}$  s.t.  $f^{N_2}(V_1) \cap U_3 \neq \emptyset$ . There is an open set  $V_2 \neq \emptyset$  s.t.  $\overline{V_2} \subset (V_1 \cap f^{-N_2}(U_3))$ . Continuing, we construct a nested sequence of non-empty open sets  $V_1 \supset V_2 \supset \dots$  s.t.  $\overline{V_{k+1}} \subset (V_k \cap f^{-N_{k+1}}(U_{k+2}))$  for all  $k$ .

Since the sets  $\overline{V_k}$  are nested, compact and  $\neq \emptyset$ ,  $V = \bigcap_{k=1}^{\infty} \overline{V_k} \neq \emptyset$ .

By the construction, for any  $x \in V$ ,  $O^+(x)$  visits each  $U_k$ .  $\square$

Note • If  $X$  is as in the Thm and  $f: X \rightarrow X$  is a continuous non-invertible map, we have (2)  $\Leftrightarrow$  (4), i.e. top. transitivity  $\Leftrightarrow$  (4).

• We do not need "no isolated pts" to prove (1)  $\Leftrightarrow$  (3)

Corollary let  $f$  be a homeomorphism of a compact metric space.

Then  $f$  is top. transitive  $\Leftrightarrow$  there are no two disjoint non-empty open  $f$ -invariant sets in  $X$ .

Pf ( $\Rightarrow$ ) Suppose  $O(x)$  is dense. Let  $U, V$  be open,  $\neq \emptyset$ , and invariant.

Then there are  $m, n \in \mathbb{Z}$  s.t.  $f^n(x) \in U$  and  $f^m(x) \in V$ . Hence  $x \in$

$f^n(x) = f^{n-m}(f^m(x)) \in f^{n-m}(V) = V$ , and so  $U \cap V \neq \emptyset$ .

( $\Leftarrow$ ) Let  $U, V$  be non-empty open sets. Then the sets

$\tilde{U} = \bigcup_{n \in \mathbb{Z}} f^n(U)$  and  $\tilde{V} = \bigcup_{n \in \mathbb{Z}} f^n(V)$  are open, non-empty, and invariant,

and hence not disjoint. Hence there are  $m, n \in \mathbb{Z}$  s.t.

$f^m(U) \cap f^n(V) \neq \emptyset \Rightarrow f^{m-n}(U) \cap V \neq \emptyset$ . Thus (3) holds,

and it follows that  $f$  is top. transitive.  $\square$