

(14)

Full shift on m symbols (continued)

$$\sigma: \Omega_m \rightarrow \Omega_m \text{ and } \sigma^R: \Omega_m^R \rightarrow \Omega_m^R$$

- have exactly m fixed points, these are constant sequences,
- have exactly m^n periodic points of period n, these are periodic sequences with period n, and their number = the number of words of length n.
- periodic points are dense in $\Omega_m^{(R)}$

given any $w = (w_n)$ and $\varepsilon > 0$, take n s.t. $2^{-n} < \varepsilon$ and

in Ω_m^R take $\underline{w_0 \dots w_n}, \underline{w_0 \dots w_n}, \dots$

in Ω_m take $\dots, \underline{w_{-n} \dots w_n}, \underline{w_{-n} \dots w_n}, \dots$

- the dynamical systems are top. transitive

(a sequence has a dense orbit \Leftrightarrow it contains every finite word)

moreover, points with dense orbits are dense: take $\dots * \underline{w_{-n} \dots w_n}, 0 \dots m-1, 00 \dots$

- so $\sigma: \Omega_m \rightarrow \Omega_m$ and $\sigma^R: \Omega_m^R \rightarrow \Omega_m^R$ are chaotic.

Topological mixing

Def: let $f: X \rightarrow X$ be a continuous map of a compact metric space.

We say that $f: X \rightarrow X$ is topologically mixing if for any non-empty open sets $U, V \subseteq X$, there is $N \in \mathbb{N}$ s.t. $f^n(U) \cap V \neq \emptyset$ for all $n \geq N$.

Note: "Mixing means mixing". Let $U \neq \emptyset$ be open, for example $U = B_\varepsilon(x)$.

let us cover X with finitely many open sets (balls) V_1, \dots, V_k .

Then there exists $M \in \mathbb{N}$ s.t. for all $n \geq M$,

$f^n(U) \cap V_i \neq \emptyset$ for each $i = 1, \dots, k$.

Topological mixing \Rightarrow there is $x \in X$ with dense $\{x, f(x), f^2(x), \dots\}$,
 \Rightarrow top. transitivity (for both invertible and non-invertible f)

Top. transitivity $\not\Rightarrow$ top. mixing Ex: $R_2: S^1 \rightarrow S^1$ with $2 \notin \mathbb{Q}$.

Ex $E_m: S^1 \rightarrow S^1$ is top. mixing since $E_m^n(U) = S^1$ for all suff. large n.

Proposition $\sigma^R: \Omega_m^R \rightarrow \Omega_m^R$ and $\sigma: \Omega_m \rightarrow \Omega_m$ are top. mixing.

Proof It suffices to prove the property for any cylinders U and V.

Ω_m^R : for any cylinder $U = C_{a_1, \dots, a_K}^{n_1, \dots, n_K}$, $(\sigma^R)^n(U) = \Omega_m^R$ for all $n > n_K$.

Ω_m : let $U = C_{a_1, \dots, a_K}^{n_1, \dots, n_K}$ and $V = C_{\beta_1, \dots, \beta_J}^{l_1, \dots, l_J}$. Then $f^n(U) = C_{a_1, \dots, a_K}^{n_1-n, \dots, n_K-n}$

We take $N \in \mathbb{N}$ s.t. $n_K - N < l_1$. Then for each $n \geq N$,

the sequence $\dots \overset{\uparrow}{d_1} \dots \overset{\uparrow}{d_2} \dots \overset{\uparrow}{d_K} \dots \overset{\uparrow}{\beta_1} \dots \overset{\uparrow}{\beta_j} \dots$ is in $f^n(U) \cap V$. □

Subshifts of finite type, also called topological Markov chains.

[In general, a subshift is a restriction of $\sigma^{(R)}$ to a closed invariant subset of $\Sigma_m^{(R)}$. Subshifts of finite type are an important class.]

We consider a subset of "admissible" sequences, defined as follows.

Let $A = (a_{ij})$ be an $m \times m$ matrix with entries $a_{ij} = 0$ or 1 .

We assume that each column and each row contains at least one 1 .

A is called an adjacency matrix. We consider the set

$$\Omega_A^{(R)} = \{ w \in \Sigma_m^{(R)} : a_{w_n w_{n+1}} = 1 \text{ for all } n \in \mathbb{N}_0 \} \subseteq \Sigma_m^{(R)}$$

That is, in a sequence $w \in \Omega_A^{(R)}$, a symbol j can follow a symbol i if and only if $a_{ij} = 1$. Note that each i can follow some j , and can be followed by some k .

$$\text{Ex } m=3 \quad A = \begin{pmatrix} 0 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{pmatrix} \quad (1, 1, 1, \dots) \in \Sigma_A^R, \quad (0, 0, 0, \dots) \notin \Sigma_A^R$$

$$(0, 2, 0, 2, \dots) \in \Sigma_A^R$$

The directed graph Γ_A corresponding to A : vertices $0, 1, \dots, m-1$, and we draw an arrow from i to j if j can follow i .

Ex Γ_A  a sequence in Σ_A^R corresponds to a walk along the directed edges of Γ_A .

Clearly, $\Sigma_A^{(R)}$ is invariant under the shift. It is also closed. The dynamical system $\sigma^{(R)}: \Sigma_A^{(R)} \rightarrow \Sigma_A^{(R)}$ is called a subshift of finite type or a topological Markov chain. Note that there is a finite list of "forbidden" words ij , and a sequence is in $\Sigma_A^{(R)}$ if and only if it does not contain them.

① How many fixed points does $\sigma^{(R)}: \Sigma_A^{(R)} \rightarrow \Sigma_A^{(R)}$ have?

As many as there are 1 's on the diagonal of A , i.e. $\text{Trace}(A)$

② How many points of period n ?