

# "Elementary Sorts"

- ▶ **brief introduction**
- ▶ **selection sort**
- ▶ **insertion sort**
- ▶ **bubble sort**



- ▶ **brief introduction**

- ▶ selectionsort
- ▶ insertion sort
- ▶ shellsort
- ▶ shuffling
- ▶ convex hull

### Why Sorting?

**The base algorithms upon which many other algorithms are built**

**Mathematically tractable (for the most part)..**

**Many sort algorithms --- which sort?**

**Analyze trade-offs and reason about algorithms**

**Appreciate the fundamentals of algorithmic analysis**

### Elementary Sort:

**Simple is better than many of the more sophisticated Algo!**

**Used by more sophisticated (sort and non-sorting) Algorithms**

- ▶ rules of the game
- ▶ **selection sort**
- ▶ insertion sort
- ▶ shellsort
- ▶ shuffling
- ▶ convex hull

# Selection sort: animations

20 random items



- ▲ algorithm position
- █ in final order
- █ not in final order

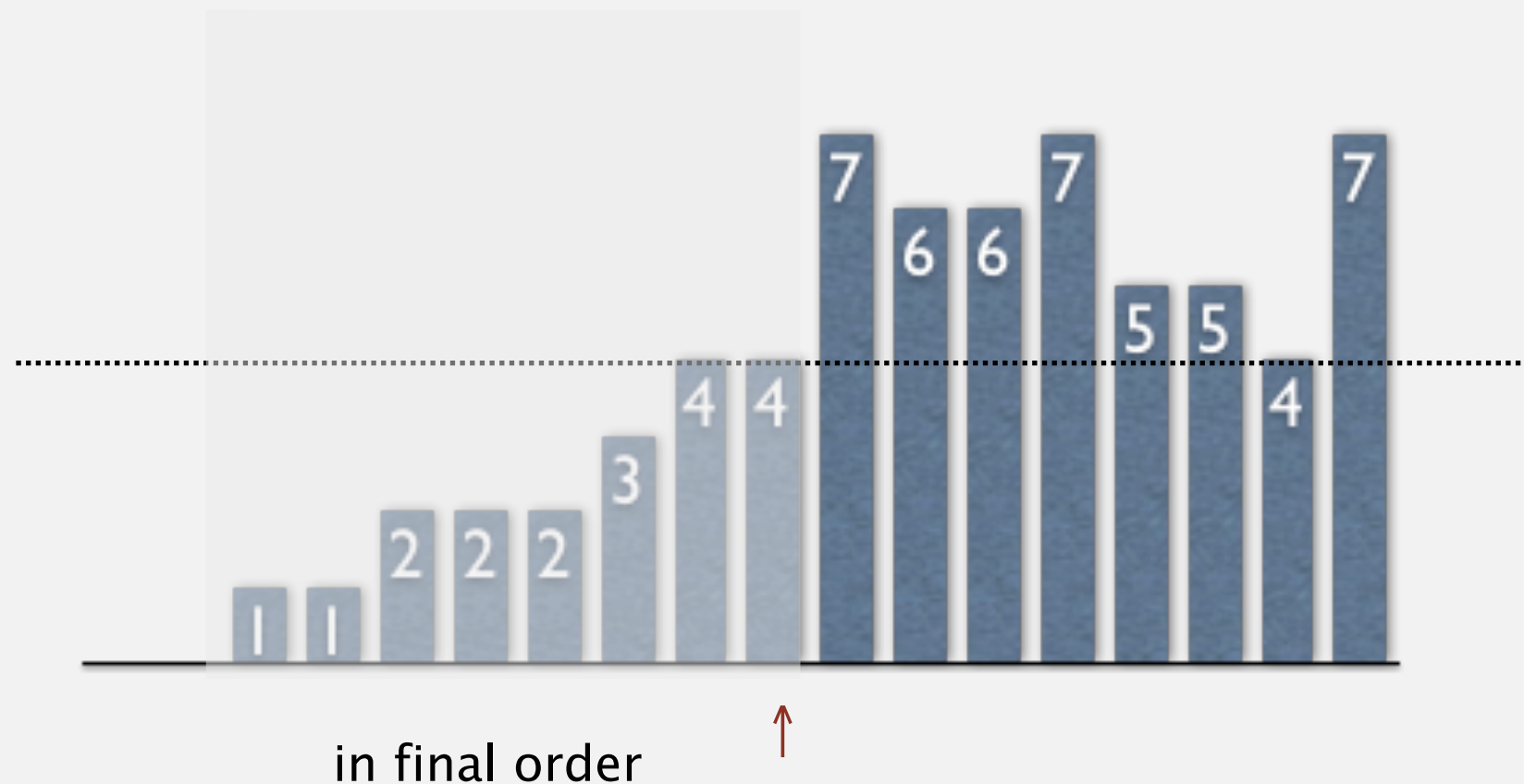
<http://www.sorting-algorithms.com/selection-sort>

# Selection sort

Algorithm. ↑ scans from left to right.

Invariants.

- Entries the left of ↑ (including ↑) fixed and in ascending order.
- No entry to right of ↑ is smaller than any entry to the left of ↑.



# Selection sort inner loop

To maintain algorithm invariants:

- Move the pointer to the right.

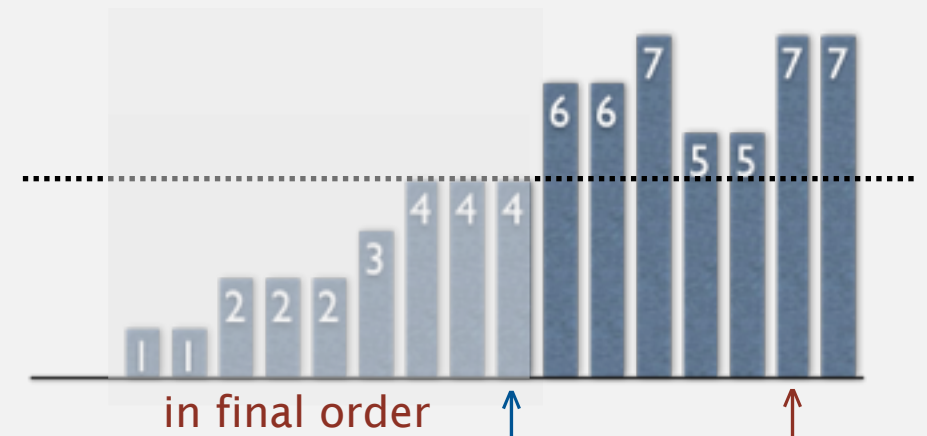
```
i++;
```

- Identify index of minimum entry on right.

```
int min = i;  
for (int j = i+1; j < N; j++)  
    if (less(a[j], a[min]))  
        min = j;
```

- Exchange into position.

```
exch(a, i, min);
```





# Selection sort demo

# Selection sort: mathematical analysis

**Proposition.** Selection sort uses  $(N-1) + (N-2) + \dots + 1 + 0 \sim N^2/2$  compares and  $N$  exchanges.

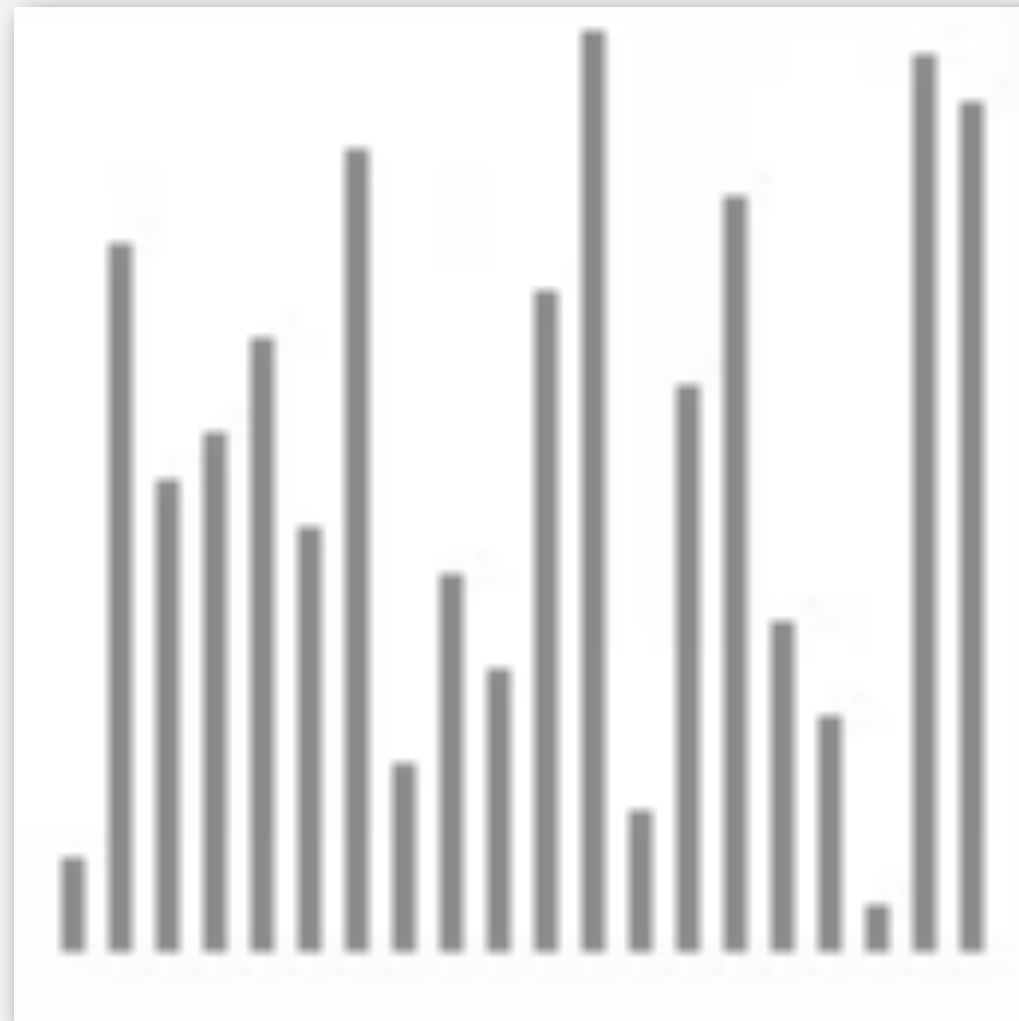
		a[]										
i	min	0	1	2	3	4	5	6	7	8	9	10
		S	O	R	T	E	X	A	M	P	L	E
0	6	S	O	R	T	E	X	A	M	P	L	E
1	4	A	O	R	T	E	X	S	M	P	L	E
2	10	A	E	R	T	O	X	S	M	P	L	E
3	9	A	E	E	T	O	X	S	M	P	L	R
4	7	A	E	E	L	O	X	S	M	P	T	R
5	7	A	E	E	L	M	X	S	O	P	T	R
6	8	A	E	E	L	M	O	S	X	P	T	R
7	10	A	E	E	L	M	O	P	X	S	T	R
8	8	A	E	E	L	M	O	P	R	S	T	X
9	9	A	E	E	L	M	O	P	R	S	T	X
10	10	A	E	E	L	M	O	P	R	S	T	X
		A	E	E	L	M	O	P	R	S	T	X

Trace of selection sort (array contents just after each exchange)

Running time insensitive to input. Quadratic time, even if input array is sorted.  
Data movement is minimal. Linear number of exchanges.

# Selection sort: animations

20 random items

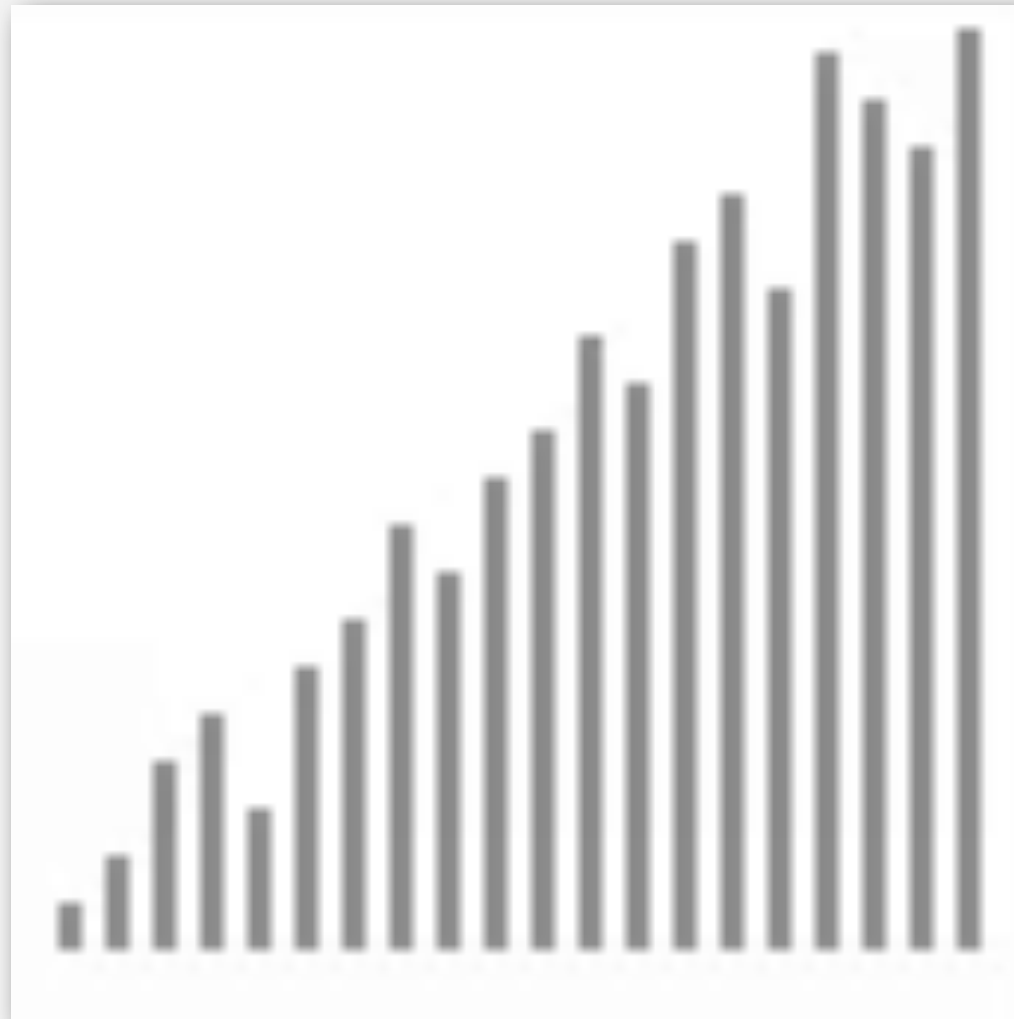


- ▲ algorithm position
- █ in final order
- ▒ not in final order

<http://www.sorting-algorithms.com/selection-sort>

# Selection sort: animations

20 partially-sorted items



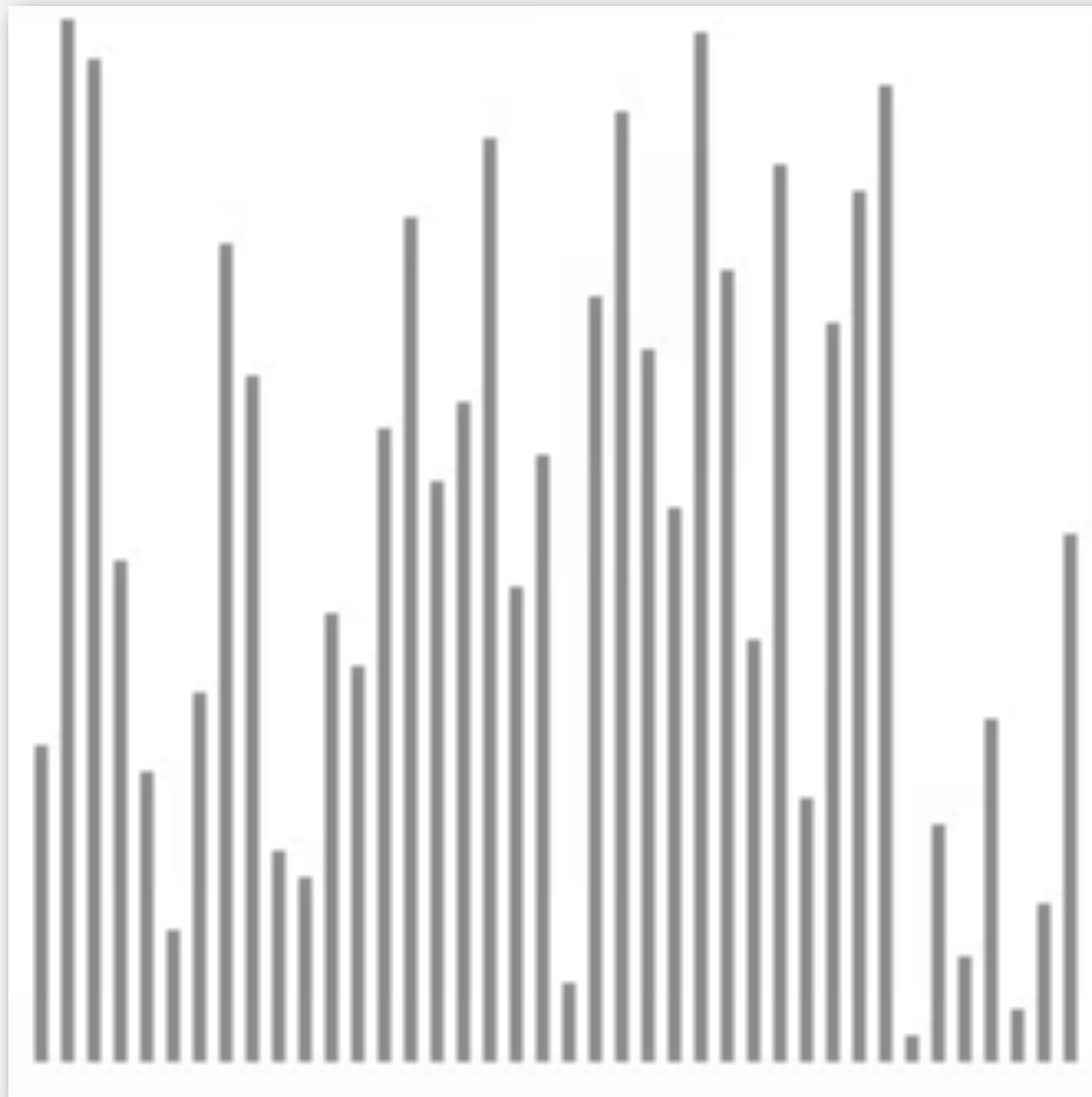
- ▲ algorithm position
- in final order
- not in final order

<http://www.sorting-algorithms.com/selection-sort>

- ▶ rules of the game
- ▶ selection sort
- ▶ **insertion sort**
- ▶ shellsort
- ▶ shuffling
- ▶ convex hull

# Insertion sort: animation

40 random items



▲ algorithm position  
— in order  
— not yet seen

<http://www.sorting-algorithms.com/insertion-sort>

# Insertion sort demo

# Insertion sort

Algorithm. ↑ scans from left to right.

Invariants.

- Entries to the left of ↑ (including ↑) are in ascending order.
- Entries to the right of ↑ have not yet been seen.





# Insertion sort inner loop

To maintain algorithm invariants:

- Move the pointer to the right.

```
i++;
```



- Moving from right to left, exchange  $a[i]$  with each larger entry to its left.

```
for (int j = i; j > 0; j--)  
    if (less(a[j], a[j-1]))  
        exch(a, j, j-1);  
    else break;
```



# Insertion sort: mathematical analysis

**Proposition.** To sort a randomly-ordered array with distinct keys, insertion sort uses  $\sim \frac{1}{4} N^2$  compares and  $\sim \frac{1}{4} N^2$  exchanges on average.

**Pf.** Expect each entry to move halfway back.

		a[]											
i	j	0	1	2	3	4	5	6	7	8	9	10	
		S	O	R	T	E	X	A	M	P	L	E	
1	0	O	S	R	T	E	X	A	M	P	L	E	← entries in gray do not move
2	1	O	R	S	T	E	X	A	M	P	L	E	
3	3	O	R	S	T	E	X	A	M	P	L	E	
4	0	E	O	R	S	T	X	A	M	P	L	E	entry in red is a[j]
5	5	E	O	R	S	T	X	A	M	P	L	E	
6	0	A	E	O	R	S	T	X	M	P	L	E	
7	2	A	E	M	O	R	S	T	X	P	L	E	
8	4	A	E	M	O	P	R	S	T	X	L	E	
9	2	A	E	L	M	O	P	R	S	T	X	E	← entries in black moved one position right for insertion
10	2	A	E	E	L	M	O	P	R	S	T	X	
		A	E	E	L	M	O	P	R	S	T	X	

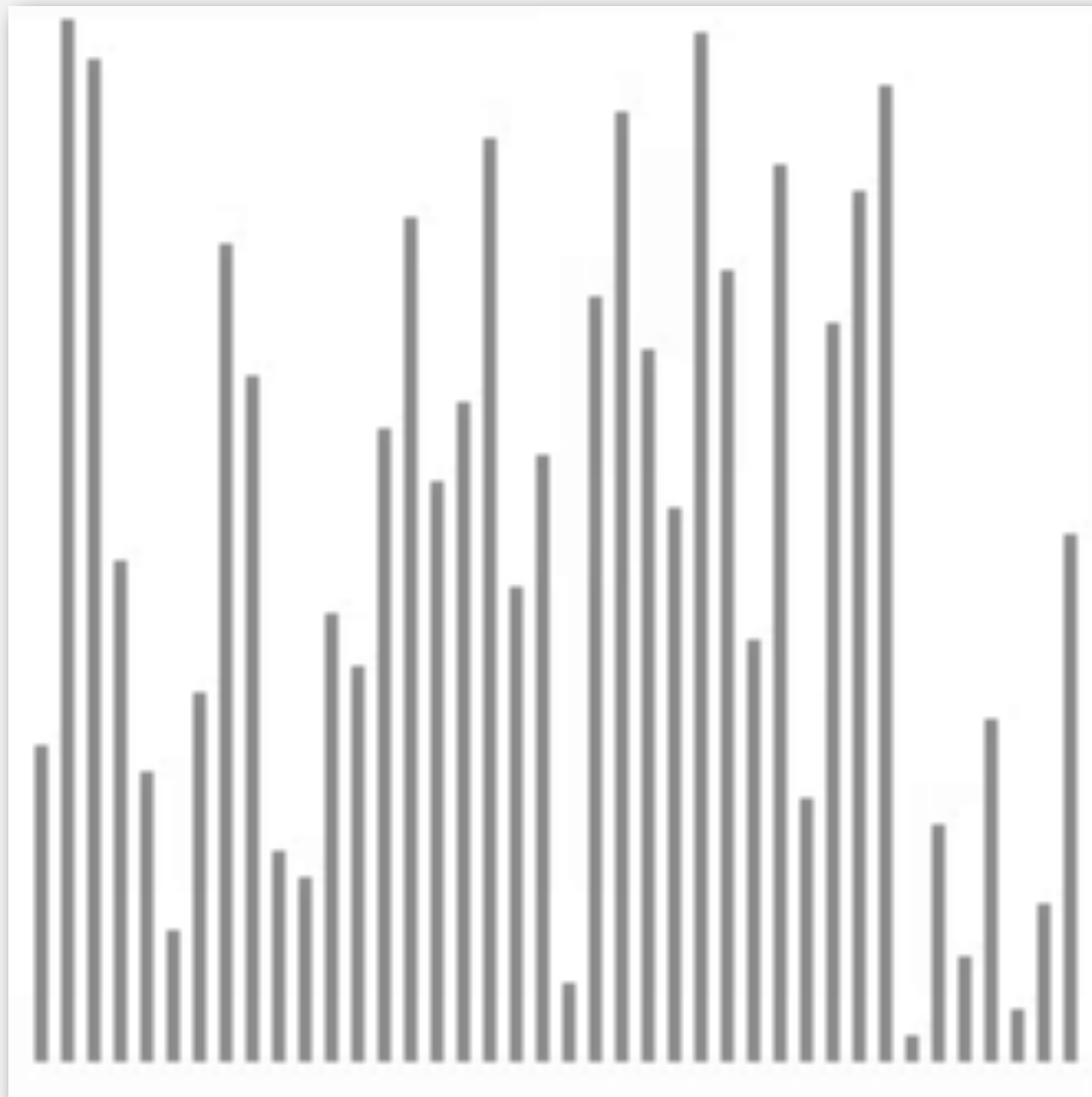
Trace of insertion sort (array contents just after each insertion)

# Insertion sort: trace

		a[]																																													
i	j	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34											
		A	S	O	M	E	W	H	A	T	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E											
0	0	A	S	O	M	E	W	H	A	T	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E											
1	1	A	S	O	M	E	W	H	A	T	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E											
2	1	A	O	S	M	E	W	H	A	T	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E											
3	1	A	M	O	S	E	W	H	A	T	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E											
4	1	A	E	M	O	S	W	H	A	T	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E											
5	5	A	E	M	O	S	W	H	A	T	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E											
6	2	A	E	H	M	O	S	W	A	T	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E											
7	1	A	A	E	H	M	O	S	W	T	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E											
8	7	A	A	E	H	M	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E											
9	4	A	A	E	H	L	M	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E										
10	7	A	A	E	H	L	M	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E										
11	6	A	A	E	H	L	M	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E									
12	3	A	A	E	G	H	L	M	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E								
13	3	A	A	E	E	G	H	L	M	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E							
14	11	A	A	E	E	G	H	L	M	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E							
15	6	A	A	E	E	G	H	I	L	M	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E						
16	10	A	A	E	E	G	H	I	L	M	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E						
17	15	A	A	E	E	G	H	I	L	M	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E					
18	4	A	A	E	E	E	G	H	I	L	M	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E				
19	15	A	A	E	E	E	G	H	I	L	M	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E				
20	19	A	A	E	E	E	G	H	I	L	M	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E				
21	8	A	A	E	E	E	G	H	I	L	M	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E				
22	15	A	A	E	E	E	G	H	I	L	M	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E				
23	13	A	A	E	E	E	G	H	I	L	M	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E				
24	21	A	A	E	E	E	G	H	I	L	M	N	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E			
25	17	A	A	E	E	E	G	H	I	L	M	N	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E			
26	20	A	A	E	E	E	G	H	I	L	M	N	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E			
27	26	A	A	E	E	E	G	H	I	L	M	N	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E			
28	5	A	A	E	E	E	E	G	H	I	L	M	N	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E		
29	29	A	A	E	E	E	E	G	H	I	L	M	N	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E		
30	2	A	A	A	E	E	E	E	G	H	I	L	M	N	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E	
31	13	A	A	A	E	E	E	E	G	H	I	L	M	N	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E	
32	21	A	A	A	E	E	E	E	G	H	I	L	M	N	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E	
33	12	A	A	A	E	E	E	E	G	H	I	L	M	N	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E	
34	7	A	A	A	E	E	E	E	E	G	H	I	L	M	N	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
		A	A	A	E	E	E	E	E	G	H	I	L	M	N	N	N	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E

# Insertion sort: animation

40 random items



▲ algorithm position  
— in order  
— not yet seen

<http://www.sorting-algorithms.com/insertion-sort>

## Insertion sort: best and worst case

**Best case.** If the array is in ascending order, insertion sort makes  $N-1$  compares and 0 exchanges.

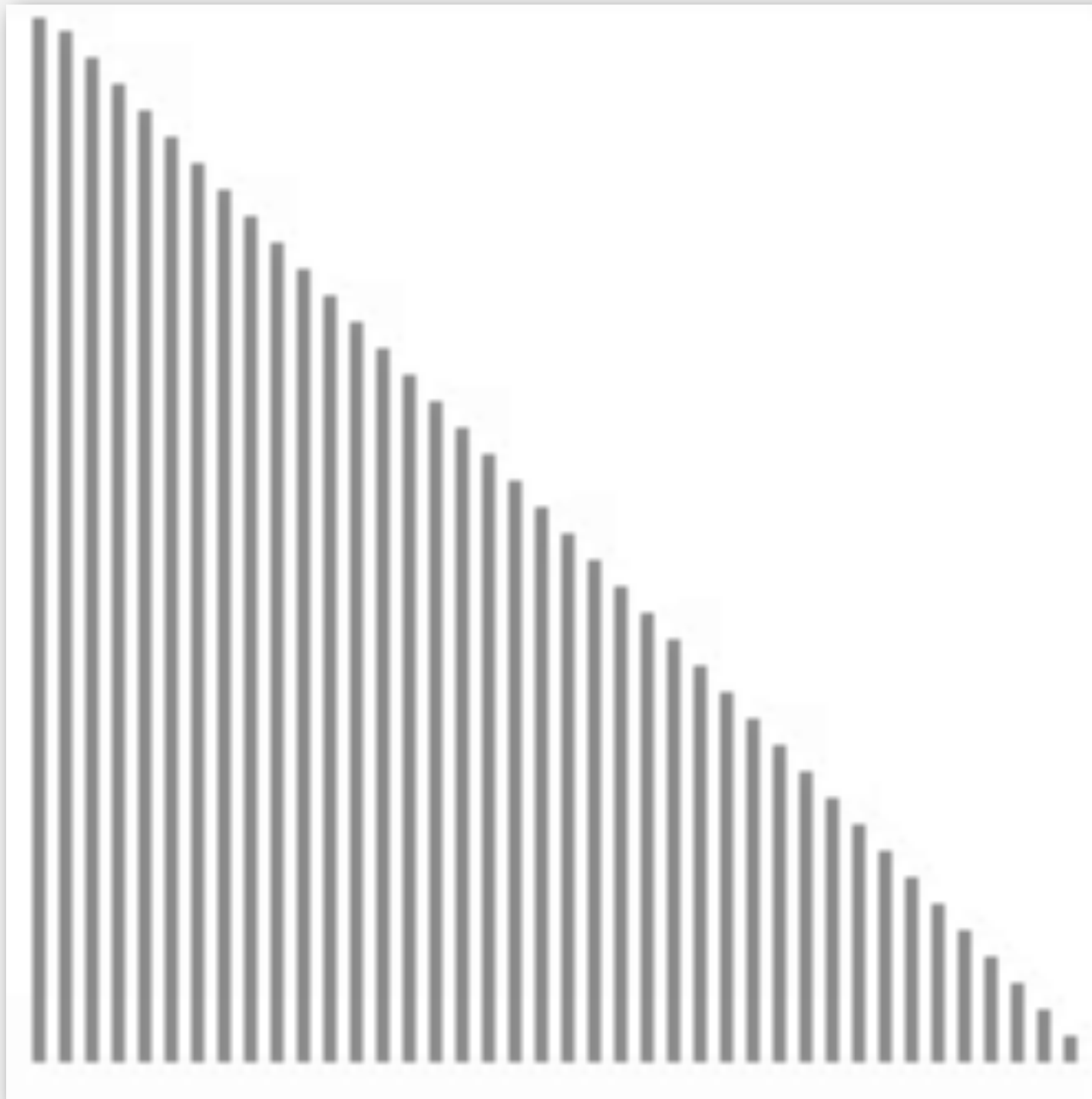
A E E L M O P R S T X

**Worst case.** If the array is in descending order (and no duplicates), insertion sort makes  $\sim \frac{1}{2} N^2$  compares and  $\sim \frac{1}{2} N^2$  exchanges.

X T S R P O M L E E A

# Insertion sort: animation

40 reverse-sorted items



▲ algorithm position  
■ in order  
■ not yet seen

<http://www.sorting-algorithms.com/insertion-sort>

## Insertion sort: partially-sorted arrays

Def. An **inversion** is a pair of keys that are out of order.

A E E L M O T R X P S

T-R T-P T-S R-P X-P X-S

(6 inversions)

Def. An array is **partially sorted** if the number of inversions is  $\leq c N$ .

- Ex 1. A subarray of size 10 appended to a sorted subarray of size  $N$ .
- Ex 2. An array of size  $N$  with only 10 entries out of place.
- Ex 3. An array where each entry is not far from its final position

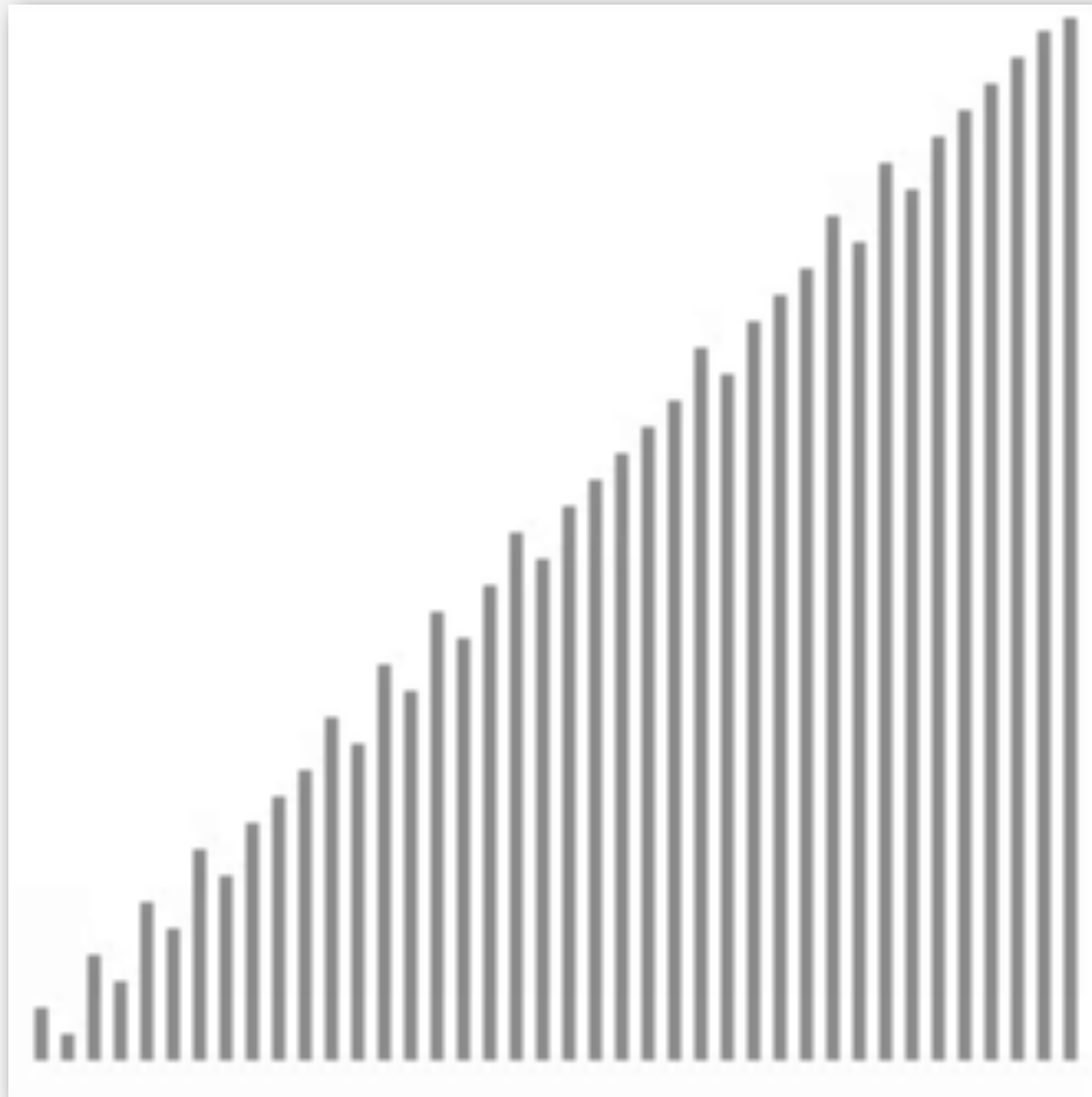
Proposition. For partially-sorted arrays, insertion sort runs in linear time.

Pf. Number of **exchanges** equals the number of inversions.

number of compares = exchanges +  $(N-1)$

# Insertion sort: animation

40 partially-sorted items



<http://www.sorting-algorithms.com/insertion-sort>

▲ algorithm position  
■ in order  
■ not yet seen



- ▶ rules of the game
- ▶ selection sort
- ▶ insertion sort
- ▶ **bubblesort**
- ▶ shuffling
- ▶ convex hull

# BubbleSort

Compare adjacent elements and exchange them if they are out of order

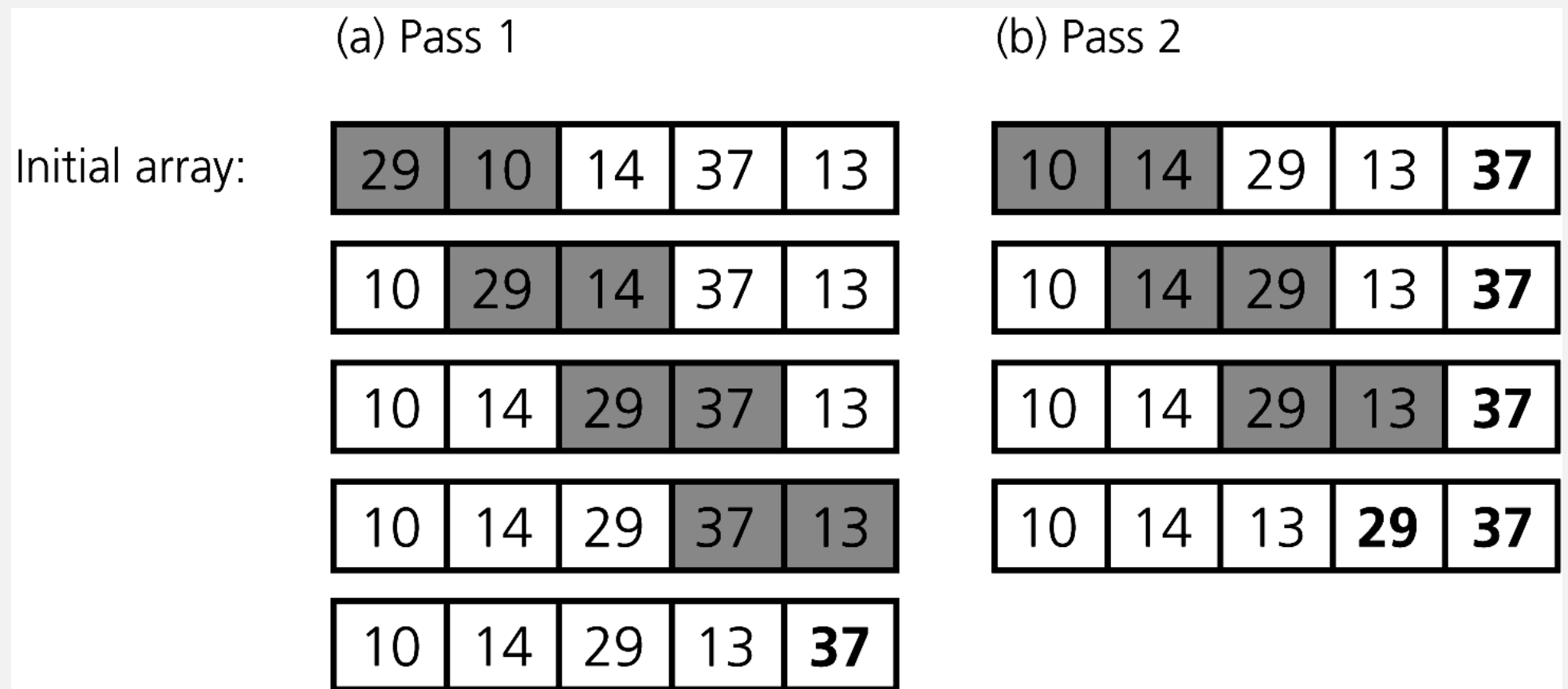
Moves the largest (or smallest) elements to the end of the array

Repeating this process eventually sorts the array into ascending (or descending) order

## Analysis

Worst case:  $O(n^2)$

Best case:  $O(n)$



*Figure: The first two passes of a bubble sort of an array of five integers: (a) pass 1; (b) pass 2*