# Vaishnavi Shrivastava

Contact Email: vaish.shrivastava@stanford.edu Homepage: https://vshrivas.github.io/ Keywords Natural language processing, language models, robust reasoning, uncertainty quantification Stanford University 2022 - 2024 (projected) EDUCATION Master of Science, Computer Science Advisor: Percy Liang California Institute of Technology (Caltech) 2015 - 2019 Bachelor of Science, Computer Science 3.9/4.0Publications [1] Benchmarking and Improving Generator-Validator Consistency of Language Models. X. Lisa Li, V. Shrivastava, S. Li, T. Hashimoto, P. Liang. 2023. International Conference on Learning Representations (ICLR) 2024 [arxiv] [2] Bias Runs Deep: Implicit Reasoning Biases in Persona-Assigned LLMs. S. Gupta, V. Shrivastava, A. Deshpande, A. Kalyan, P. Clark, A. Sabharwal, T. Khot. 2023. International Conference on Learning Representations (ICLR) 2024 [arxiv] [3] Llamas Know What GPTs Don't Show: Surrogate Models for Confidence Estimation. V. Shrivastava, P. Liang, A. Kumar. 2023. In submission arxiv [4] UserIdentifier: Implicit User Representations for Simple and Effective Personalized Sentiment Analysis. F. Mireshghallah, V. Shrivastava, M. Shokouhi, T. Berg-Kirkpatrick, R. Sim, D. Dimitriadis. 2021. North American Chapter of the Association for Computational Linguistics (NAACL) 2022 [patent app] Patent pending [5] Exploring Low-Cost Transformer Model Compression for Large-Scale Commercial Reply Suggestions. V. Shrivastava\*, R. Gaonkar\*, S. Gupta\*, A. Jha. 2021. Preprint [arxiv] Research Assistant: Research EXPERIENCE • Stanford University: Advised by Percy Liang (Sep'22 - Current) Themes: LLMs, Calibration, Reasoning • Allen Institute for AI: Advised by Tushar Khot (Jun'23 - Current) Themes: Reasoning, Persona-guided LLMs, Calibration **Applied Scientist:** Work EXPERIENCE • Microsoft AI: Suggested Replies & Summarization (Sep'19 - Aug'22) Themes: Dialog Systems, Model Compression, Personalization, Summarization **Software Engineering Intern:** • Microsoft AI: Knowledge Mining and Graphs Group (Jul'18 - Sep'18) Themes: Key-Phrase Extraction, Part-of-Speech Tagging, Email Search Microsoft: Substrate Data Store Group (Jun'17 - Sep'17) Themes: Multi-threading, Backend, Thread-Safe Caching • Dell-EMC: (Jun'16 - Sep'16) Themes: Distributed Computing Algorithms, Concurrent Services Teaching Assistant: Teaching EXPERIENCE • Caltech: Machine Learning & Data Mining, CS 155 (Jan'19 - Mar'19)

Caltech: Database System Implementation, CS 122

(Jan'18 - Mar'18)

SELECTED RESEARCH PROJECTS

## **Surrogate Models for Confidence Estimation**

Advisor: Percy Liang, Ananya Kumar - Stanford University

(Jul'23 - Sep'23) [arxiv]

- Models like GPT-4 and Claude do not provide access to their probabilities, making it difficult to assess their confidences. Linguistically asking them for confidences does not work well.
- We introduce surrogate model calibration using a white-box surrogate like Llama 2 to approximate the internal confidences of a black-box model like GPT-4.
- Composing surrogate probabilities and prompted confidences leads to further gains.

### Implicit Reasoning Biases in Persona-Assigned LLMs

(Jun'23 - Sep'23)

Advisor: Tushar Khot, Ashish Sabarwal - Allen Institute for AI

[arxiv]

- Large language models (LLMs) have deep-rooted biases which can be surfaced through personas.
- Models assigned personas of marginalized demographic groups suffer from significant drops in reasoning performance on 24 challenging tasks, conforming to harmful stereotypical biases.

## Improving Generator-Validator Consistency in LLMs

(Apr'23 - Jun'23)

Advisor: Percy Liang, Lisa Li - Stanford University

[arxiv]

- LLMs are inconsistent in their generator (What is 7+8?) and validator behaviors (Is 7+8=15?).
- We propose a fine-tuning objective to improve generator-validator consistency and show significant improvements in consistency and correctness that also generalize out of distribution.

## Belief Aggregation for Factually Correct Reasoning

(Sep'22 - Mar'23)

Advisor: Percy Liang, Michi Yasunaga - Stanford University

- We propose sampling chains-of-thought and extracting LLM's 'beliefs' from those chains.
- Beliefs can be composed and used to verify LLM's world model for factually correct reasoning.

#### **Implicit Personalized User Representations**

(Jul - Dec'21)

Microsoft Research

[patent app] [arxiv]

- We investigate using non-trainable, user-specific prompts for user-personalization, instead of trainable embeddings, to circumvent periodically training embeddings per user.
- We demonstrate that we can outperform SOTA prefix-tuning based results on a suite of sentiment analysis by up to 13%, resulting in a paper.

### **Low-Cost Transformer Model Compression**

(Jul - Nov'20)

Microsoft Search, Assistant and Intelligence

[arxiv]

- We experiment with low-cost methods to compress Transformer bi-encoder based reply suggestion system, reducing training and inference times by 42% and 35% respectively.
- We investigate how dataset size, pre-trained model use, and domain adaptation of the pre-trained model affected the performance of compression techniques.
- We discover that large-data settings allow low-cost techniques to be very effective in compressing pre-trained model based architectures.

Talks

"Supercharging Reply Suggestions: Model Compression Solutions and Insights from a Real-World Setting". Microsoft Machine Learning, AI and Data Science Conference (MLADS) 2021

SELECTED LEADERSHIP POSITIONS

- Corporate Vice President, Caltech IEEE
- Treasurer, Caltech Society of Women Engineers
- Secretary, Caltech Robogals

REFERENCES

Percy Liang, Associate Professor, Stanford University Milad Shokouhi, Partner Applied Scientist, Microsoft Dan Schwartz, Principal Applied Scientist, Microsoft Donnie Pinkston, Lecturer, Caltech