

On the Deep Western Boundary Current Separation and Anticyclone Genesis off Northeast Brazil

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Key Points:

- Part of the DWBC separates inertially off the continental slope while crossing the Pernambuco Plateau at 8°S.
- The DWBC separation plays a crucial role in the formation of the DWBC deep anticyclonic eddies.
- Barotropic instability significantly contributes to the growth of the deep anticyclonic eddies.

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16 **Abstract**

17 The Deep Western Boundary Current (DWBC) is the main component of the deep limb of the
 18 Atlantic Meridional Overturning Circulation (AMOC). Off northeast Brazil, the DWBC breaks
 19 up into southwestward-propagating anticyclones. In this study, we investigate the breakup mech-
 20 anism with hydrographic observations, eddy-resolving numerical model outputs, and theory. Here,
 21 we present a quasi-synoptic map of geostrophic velocities and stream function at the DWBC core
 22 level between 2.5°S and 11°S. We observe, in horizontal distributions of velocities, that the DWBC
 23 breakup site is linked to a topographic feature of the Brazilian continental margin centered at 8°S:
 24 the Pernambuco Plateau. Moreover, both observations and model outputs hint at a possible DWBC
 25 separation near the Pernambuco Plateau preceding anticyclone genesis. We test, with three dif-
 26 ferent theories from the literature, whether or not the DWBC separates at 8°S. The results of the
 27 tests converge to indicate that the DWBC undergoes a local and intermittent inertial separation
 28 while contouring the Pernambuco Plateau. Downstream of its separation at the plateau, the DWBC
 29 sheds eddies similarly to previously reported laboratory experiments. In addition, a regional anal-
 30 ysis of energy transfer shows that barotropic instability significantly contributes to the anticyclones
 31 growth between 8°S and 13°S. Analysis of the energy budget and separation of waters related to
 32 the AMOC pathways into the basin interior provide a better understanding for later studies about
 33 heat fluxes and ventilation in the deep tropical South Atlantic.

34 **Plain Language Summary**

35 The Deep Western Boundary Current transports southward the cold and dense waters linked
 36 to the deep limb of the Atlantic Meridional Overturning Circulation. Among many properties,
 37 these waters transport energy from the Northern to the Southern Hemisphere, thousands of me-
 38 ters below the surface. Along the deep ocean off northeast Brazil, this current breaks up into large
 39 whirlpools of water that rotate counterclockwise while propagating southwestward. These whirlpools
 40 in the ocean are known as eddies. We observe that the eddy formation is linked to a feature of the
 41 Brazilian continental margin: the Pernambuco Plateau. Moreover, both shipboard data and nu-
 42 mercial model outputs hint at a possible separation of the deep current near the Pernambuco Plateau
 43 prior to the eddy formation. We test the separation with theories and the results converge to in-
 44 dicate that the deep current undergoes a local separation off the continental slope while contour-
 45 ing the Pernambuco Plateau. In addition, we present that the eddies grow by feeding off the en-
 46 ergy from the deep current. The local separation and regional energy exchange along this deep
 47 current contribute to further discussions about the pathways of the Atlantic Meridional Overturn-
 48 ing Circulation and heat fluxes in the South Atlantic.

49 **1 Introduction**

50 The Deep Western Boundary Current (DWBC) is the major current transporting the lower
 51 limb of the Atlantic Meridional Overturning Circulation (Rintoul, 1991; Gordon, 1991). The DWBC
 52 transports the North Atlantic Deep Water (NADW) across the whole Atlantic basin, feeding the
 53 Antarctic Circumpolar Current (Tomczak & Godfrey, 1994; Talley et al., 2011). In the northern
 54 subtropical Atlantic, the DWBC interacts with the ocean interior by exchanging water properties
 55 in a large-scale deep anticyclonic gyre (Biló & Johns, 2019). In addition, the DWBC exports NADW
 56 to the Atlantic interior in regions of leakiness as observed south of the Newfoundland Basin (~42°N;
 57 Bower et al., 2009) and at the Vitória-Trindade Ridge (~20°S; Garzoli et al., 2015).

58 Near the Equator, Garzoli et al. (2015) estimated a NADW volume transport of ~14 Sv (1 Sv
 59 = $10^6 \text{ m}^3 \text{ s}^{-1}$). At 5°S, the DWBC flows as a continuous jet, with maximum mean velocities of
 60 0.20 m s⁻¹ spanning from 1200 to 4000 m depths (Schott et al., 2005). Further south, Dengler
 61 et al. (2004) identified anticyclones at ~2000 m with ~100 km radii using LADCP data and a moor-
 62 ing array at 11°S. Supported by an eddy-resolving numerical model, Dengler et al. (2004) reported
 63 that the DWBC breaks up into deep southward-propagating anticyclones at 8°S. Upon reaching
 64 the Vitória-Trindade Ridge (~20°S), a portion of the DWBC deflects eastward (Garzoli et al., 2015),
 65 carrying NADW into the South Atlantic interior (van Sebille et al., 2012). The main portion of

66 the flow crosses the ridge and continues flowing southward along the South American continental
67 margin as it reorganizes itself as a boundary current (Garzoli et al., 2015).

68 Although the *in situ* velocity structure of the DWBC has been described upstream ($\sim 5^{\circ}\text{S}$)
69 and downstream ($\sim 11^{\circ}\text{S}$) of the breakup site (e.g., Dengler et al., 2004; Schott et al., 2005; Hum-
70 mels et al., 2015; Garzoli et al., 2015), little is known about the observed DWBC structure and
71 the eddy formation dynamics around 8°S . Curiously, the region between 7.5°S and 9.5°S ; 33.5°W
72 and 35°W delimits the location of the most prominent topographic feature over the regional con-
73 tinental slope: the Pernambuco Plateau (Kowsmann & Costa, 1976). This feature marks a sig-
74 nificant change in the continental slope orientation.

75 Previous studies have shown that jets flowing past abrupt changes in the continental mar-
76 gin generally lead to flow separation (e.g., Røed, 1980; Stern & Whitehead, 1990; Garzoli et al.,
77 2015; Solodoch et al., 2020). Røed (1980) presented the influence of the curvature of a cape in
78 the separation of a barotropic boundary current. Stern and Whitehead (1990) combined theory
79 and rotating-tank experiments to explore the necessary conditions for flow separation and the po-
80 tential consequences (e.g., eddy formation). The DWBC separation from the continental slope
81 was addressed by Garzoli et al. (2015) and Solodoch et al. (2020). Using a numerical model, Garzoli
82 et al. (2015) showed that about 22% of the DWBC leaks to the ocean interior when the current
83 negotiates the topography of the Vitória-Trindade Ridge. Solodoch et al. (2020) investigated the
84 DWBC leakiness in the Newfoundland Basin. Analyzing floats and a high-resolution numeri-
85 cal simulation, the authors found that an “inertial separation” is the mechanism responsible for
86 the DWBC leakiness around the Flemish Cap due to modifications of the mean potential vortic-
87 ity contours by eddies.

88 In this study, we propose that the Pernambuco Plateau alters the DWBC flow resulting in
89 eddy genesis. We explore this hypothesis with hydrographic observations, eddy-resolving nu-
90 mercial model outputs, and theoretical concepts. First, we revisit historical observations to map
91 the DWBC between 2.5°S and 11°S . Second, we explore the dynamics induced by the morphol-
92 ogy of the Pernambuco Plateau using theory and outputs from a global numerical simulation to
93 seek the mechanisms responsible for the anticyclones’ formation. Among other results, we show
94 that the changes in the orientation along the Pernambuco Plateau play a crucial role in separat-
95 ing the DWBC from the continental slope, which ultimately leads to the genesis of the anticy-
96 clonic eddies. Finally, we analyze the eddy kinetic energy conversions of the anticyclones while
97 they propagate southwestward between 8°S and 15°S .

98 2 Observations and Numerical Model Outputs

99 Given the limited amount of measurements in the deep ocean presented in the literature be-
100 tween 5°S and 11°S , to the best of our knowledge, no horizontal distribution at the DWBC core
101 level has been reported to date using observations in the region. Two previous works used the
102 quasi-synoptic data set that we employ here (Krelling et al., 2020a, 2020b) to mainly explore the
103 dynamics of the North Brazil Undercurrent in the upper layer. They did not they did not focus
104 in the DWBC. We also use outputs from an eddy-resolving numerical model to explore: (i) mech-
105 anisms of separation of boundary currents from a curved coastline and (ii) kinetic energy con-
106 versions involved in eddy growth.

107 2.1 The *Oceano Nordeste I* Oceanographic Expedition

108 We revisit a historical hydrographic data set to present a quasi-synoptic picture of the DWBC
109 velocity and water-mass structure. The *Oceano Nordeste I* (henceforth, ONEI) was carried out
110 by the Brazilian Navy onboard *R/V Antares* between 26 Feb and 21 Mar 2002, occupying eight
111 transects (57 stations) off northeast Brazil. We refer to the ONEI data as quasi-synoptic because
112 it represents a snapshot within the appropriate length and time scales related to the DWBC dy-
113 namics. Figure 1a shows the distribution of the ONEI hydrographic stations superimposed on
114 local topography. The red box highlights the Pernambuco Plateau, an extension of the continen-

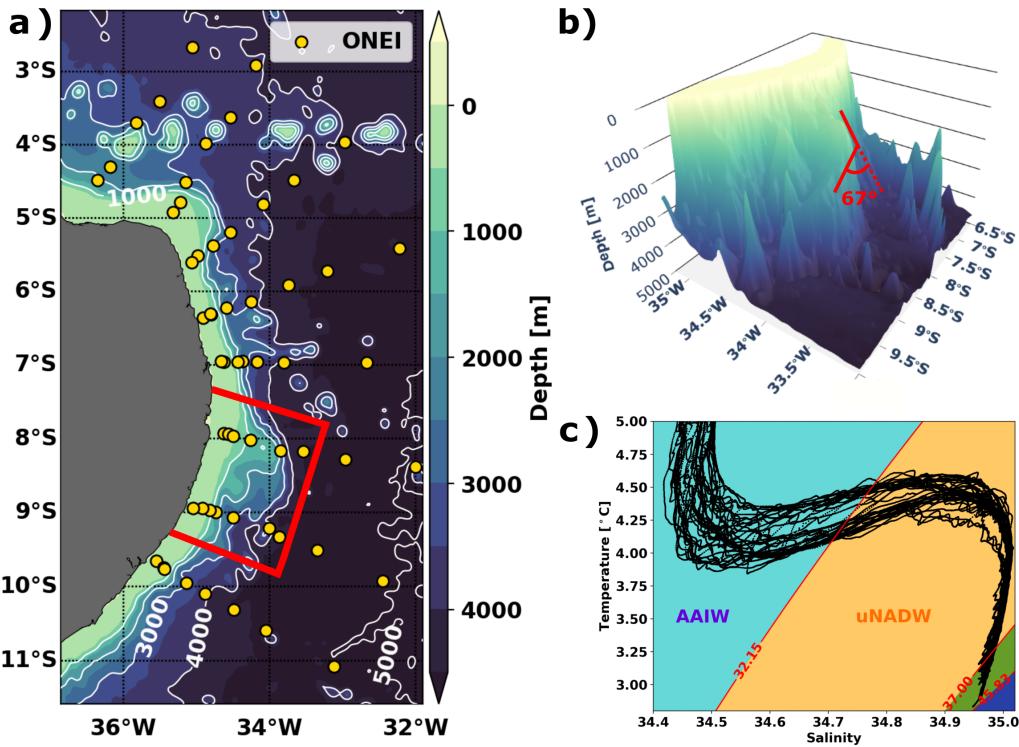


Figure 1. a) Bathymetry map of the study area highlighting the Pernambuco Plateau in the red rectangle, including distribution of the stations and sections of the Brazilian Navy Expedition *Oceano Nordeste I* (yellow filled circles). b) Three-dimensional visualization of the Pernambuco Plateau bathymetry. The red lines illustrate the 67° angle relative to the plateau's orientation (solid line) and the prolongation of the 2000m isobath (dashed line). c) Deep scattered T-S diagram from all the ONEI's stations. The light blue area shows AAIW, the orange area represents uNADW, green highlights mNADW and dark blue distinguishes INADW. The red lines indicate the interfaces between two adjacent water masses. Bathymetry data obtained from Etopo (Amante & Eakins, 2009).

115 tal shelf with complex topography that pivots the Brazilian Continental Margin off northeast Brazil
 116 (Kowsmann & Costa, 1976). The plateau extends from the continental rise up to 200 m, forming
 117 an angle of 67° with the continental slope upstream of 8°S (Fig. 1b).

118 Hydrographic data were collected with a 24 Hz CTD *SBE 9 Plus* and restricted to the upper
 119 2500 m of the water column. (The ONEI data set is maintained by the Brazilian National Oceanographic
 120 Data Center; see data availability statement for details.) Prior to the ONEI expedition,
 121 CTD sensors were calibrated at the Instrumentation & Calibration Center of the Oceanographic
 122 Institute at the University of São Paulo on 21 Feb 2001.

123 The distribution of temperature and salinity at intermediate and deep levels obtained during
 124 the ONEI (Fig. 1c) virtually covers the whole oceanic region off northeast Brazil. In Figure
 125 1c, the $\sigma_1 = 32.15 \text{ kg m}^{-3}$ marks the boundary between the Antarctic Intermediate Water (AAIW)
 126 and the NADW (Rhein et al., 1995; Schott et al., 2002). According to Rhein et al. (1995), the NADW
 127 is characterized by a local maximum in salinity. Still following the former authors' classification,
 128 the ONEI observations (Fig. 1c) capture the upper NADW (between $\sigma_1 = 32.15 \text{ kg m}^{-3}$ and σ_2
 129 = 37.00 kg m^{-3}) and the middle NADW (between $\sigma_2 = 37.00 \text{ kg m}^{-3}$ and $\sigma_4 = 45.83 \text{ kg m}^{-3}$). ONEI
 130 stations do not capture the lower NADW, although it is present in the region, sandwiched between
 131 $\sigma_4 = 45.83 \text{ kg m}^{-3}$ and the Antarctic Bottom Water (Schott et al., 2005).

132 2.2 Assimilative Global Numerical Model Outputs

133 We used the HYbrid Coordinate Ocean Model (HYCOM; Fox et al., 2002; Cummings, 2005;
 134 Cummings & Smedstad, 2013) experiment 19.1 (hereafter, HY19.1), with horizontal resolution
 135 $\Delta x = 1/12^\circ \approx 10 \text{ km}$ and 40 vertical levels. HY19.1 is forced by the CFSR-NCEP hourly wind
 136 and ocean-atmosphere fluxes. It assimilates *in situ* observations (e.g., satellite, ARGO, XBT, moored-
 137 buoy data) with the Navy Coupled Ocean Data Assimilation method (Cummings, 2005). We worked
 138 with daily outputs from Jan 1997 to Dec 2006, a 10-year period which encompasses the period
 139 of the ONEI expedition. To compare the model outputs with previous works in the region (Dengler
 140 et al., 2004; Schott et al., 2005; van Sebille et al., 2012; Hummels et al., 2015), we rotated the
 141 zonal and meridional velocities in cross-bathymetry sections.

142 Figure 2 displays vertical sections of 10-year-averaged HY19.1 velocity in cross-bathymetry
 143 sections at 5°S and 11°S, and a time series of transport at 11°S. By and large, the model outputs
 144 provide a good representation of the main western boundary currents in the domain, namely the
 145 North Brazil Undercurrent (NBUC; Silveira et al., 1994; Stramma et al., 1995) and the DWBC.
 146 At 5°S (Fig. 2a), the modeled NBUC occupies the upper 1300 m, flowing southward at 0.70 m s^{-1} .
 147 Underneath, the DWBC spans about 2500 m of water column, flowing in the opposite direction
 148 at 0.20 m s^{-1} in its core. This mean configuration is compatible with observations by Schott et
 149 al. (2005). South of the Pernambuco Plateau, at 11°S (Fig. 2b), the NBUC core is weaker; the
 150 averaged DWBC shows a counter flow related to recurrently-formed anticyclones in the region
 151 (Dengler et al., 2004; Schott et al., 2005).

152 In addition to the 10-year velocity average transects at 5°S and 11°S, we estimated the trans-
 153 port of the modeled DWBC at 11°S (Fig. 2c) imposing the same limits as those proposed by Schott
 154 et al. (2005) (for details, see their Figure 8 and surrounding discussion). Our computation yields
 155 a 10-year transport of $-21.7 \pm 16.9 \text{ Sv}$. (Negative values indicate southward transport.) The HY19.1
 156 DWBC compares reasonably to the 27-year transport of $-21.3 \pm 14.3 \text{ Sv}$ calculated by van Sebille
 157 et al. (2012) using the OFES (Ocean general circulation model For the Earth Simulator) model,
 158 and is in the same range as observations reported by Schott et al. (2005) ($-19.1 \pm 14.0 \text{ Sv}$ from
 159 2000 to 2004) and Hummels et al. (2015) ($-19.2 \pm 5.2 \text{ Sv}$ from 2013 to 2014).

160 The eddy statistics below rely on the identification and tracking of the model pinched-off
 161 anticyclones after reattaching to the slope south of 9.5°S. We developed a simple eddy-tracking
 162 algorithm which targets the center of the eddy through a local minimum stream function in a re-
 163 gion occupied by rotating features. At 2000 m, between 9.5°S and 10.9°S, 35°W and 32.3°W, we
 164 counted 45 eddies during the 10 years of the HY19.1 time series (from 1997 to 2006), with 4.5 ± 0.5

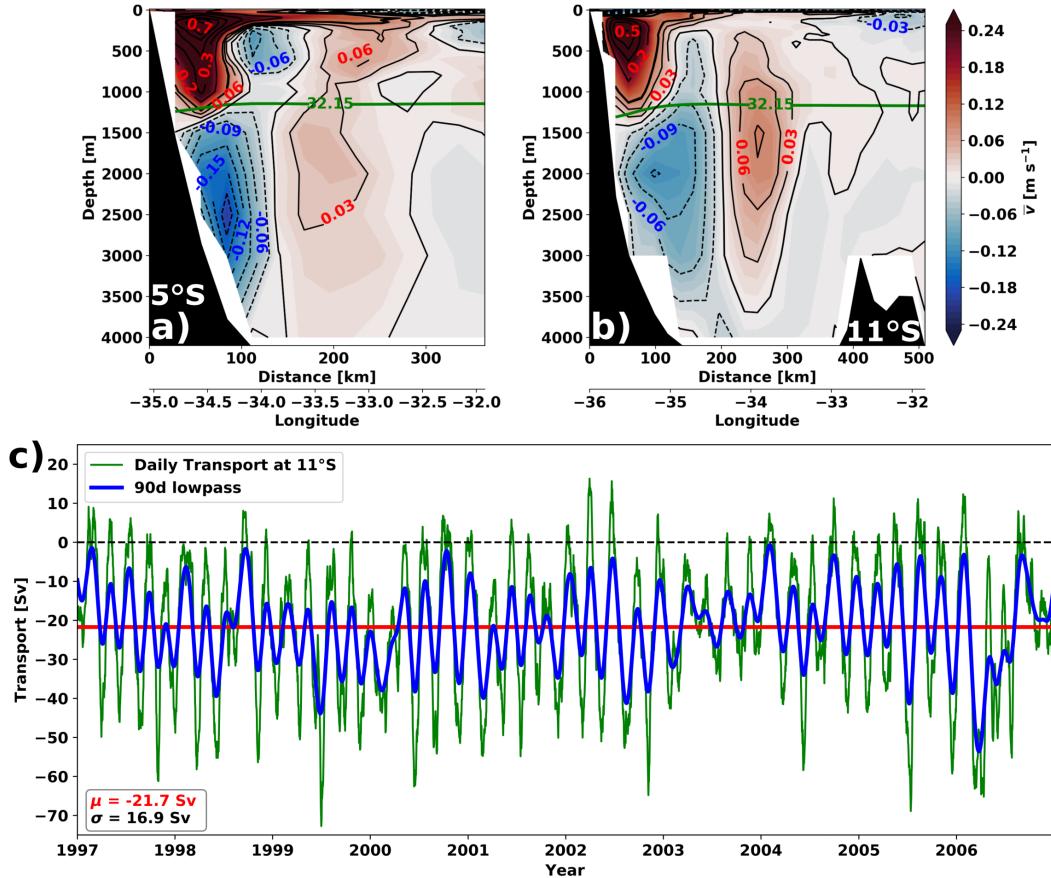


Figure 2. Cross-bathymetry sections of 10-year-averaged rotated velocity from HY19.1 at a) 5°S and b) 11°S. The green line represents the interface between AAIW and NADW. c) DWBC transport time series at a cross-bathymetry section starting at 10.3°S; 35.9°W from HY19.1. The green (blue) line shows the daily (90-day low pass) transport time series from 01/1997 to 12/2006. The μ symbol represents the mean transport (red line), and σ is the standard deviation. The black dashed line highlights the null transport.

165 eddies released per year. The algorithm detected 1615 snapshots of the eddies propagating within
 166 the control area along the 3652 days of the model time series. We detected no apparent seasonal
 167 modulation of the eddy-shedding phenomenon.

168 We computed the mean translation velocity by estimating the distance and time elapsed by
 169 the propagating eddies within the control area. We followed a total of 45 eddies in the HY19.1
 170 time series. Throughout 10 years, the anticyclones translate southwestward with a mean veloc-
 171 ity of $\sim 0.04 \text{ m s}^{-1}$. This velocity approximately matches the observed translation velocity reported
 172 for a 2-year mooring record in Dengler et al. (2004).

173 To explore the temporal variability in the DWBC transport (see Fig. 2c), we detected the
 174 periods of energy peaks in the Morlet power spectrum by performing a wavelet analysis (Torrence
 175 & Compo, 1998; Liu et al., 2007) on the DWBC transport time series at 11°S (see Fig. S1). The
 176 largest transport variability is 71 ± 3 days, which is consistent with the DWBC anticyclone for-
 177 mation recurrence in the region detected by our eddy-tracking analysis and previously observed
 178 by Dengler et al. (2004).

179 3 The DWBC around the Pernambuco Plateau

180 To map the DWBC circulation, we applied the classic dynamical method (Pond & Pickard,
 181 2013) to the ONEI data to calculate the geostrophic stream function,

$$182 \psi = \frac{\Delta\Phi}{f_0}, \quad (1)$$

183 where $\Delta\Phi \stackrel{\text{def}}{=} -\int_{p_0}^P \delta dp$ is the geopotential anomaly (δ is the specific volume anomaly, and p_0
 184 is the pressure level of no motion) and f_0 is the average Coriolis parameter.

185 We interpolated the hydrographic data (temperature and salinity) onto a regular grid us-
 186 ing the Fast Marching Method–Objective Analysis (FMM-OA; cf. Agarwal & Lermusiaux, 2011)
 187 and calculated the potential density. The FMM technique finds the shortest sea distance between
 188 two not linearly separated coordinates and improves the standard scalar objective analysis schemes
 189 in regions of complex landforms, irregular bathymetry and islands. As in Agarwal and Lermu-
 190 siaux (2011), we use the distances obtained from the FMM to build the covariance matrix in the
 191 distance-dependent analytical correlation function within the OA.

192 With the 3D density structure, we computed ψ by setting the AAIW-NADW interface σ_1
 193 = 32.15 kg m^{-3} as the isobaric level of no motion and obtained the geostrophic velocities,

$$194 (u, v) \stackrel{\text{def}}{=} (-\psi_y, \psi_x), \quad (2)$$

195 where subscripts indicate the partial derivatives in the Cartesian (x, y) directions. We selected
 196 the 32.15 kg m^{-3} isopycnal as the level of no motion since it marks the depth of a meridional re-
 197 versal flow near the continental slope (cf., Section 2.a.1 in Schott et al., 2005). In addition, we
 198 enforced free-slip boundary conditions at the 1900m isobath through the objective analysis map-
 199 ping (Carter & Robinson, 1987), with correlation length = 167 km (cf. Eq. 13 in Silveira et al.,
 200 2000). The free-slip boundary condition enforces that the continuity of the flow is conserved (*i.e.*,
 201 $\vec{u} \cdot \nabla \vec{u} = 0$) and it preserves the DWBC structure observed by the field of purely geostrophic ve-
 202 locities (shown in Fig. S2).

203 The ONEI geostrophic stream function snapshot in Figure 3a depicts the DWBC reorga-
 204 nizing at 6°S after crossing the Fernando de Noronha Ridge ($\sim 4^\circ\text{S}$), with velocities around 0.10 m s^{-1} .
 205 Between 6°S and 8°S , the DWBC narrows down and flows adjacent to the continental slope, with
 206 core velocity of $\sim 0.20 \text{ m s}^{-1}$, before sliding over the Pernambuco Plateau. The geostrophic ve-
 207 locity cross-section at 8°S (Fig. 3b) displays the DWBC core with 0.14 m s^{-1} within the upper
 208 NADW domain, constrained by the 32.15 kg m^{-3} (σ_1) and the 37.00 kg m^{-3} (σ_2) isopycnals. Fur-
 209 ther south, Figure 3a shows an 100km-radius and asymmetric anticyclone centered at about 10.5°S
 210 in the southernmost transect of the ONEI; this feature occupies mainly the upper NADW, with
 211 its core between approximately 1600 and 2000 m (Fig. 3c).

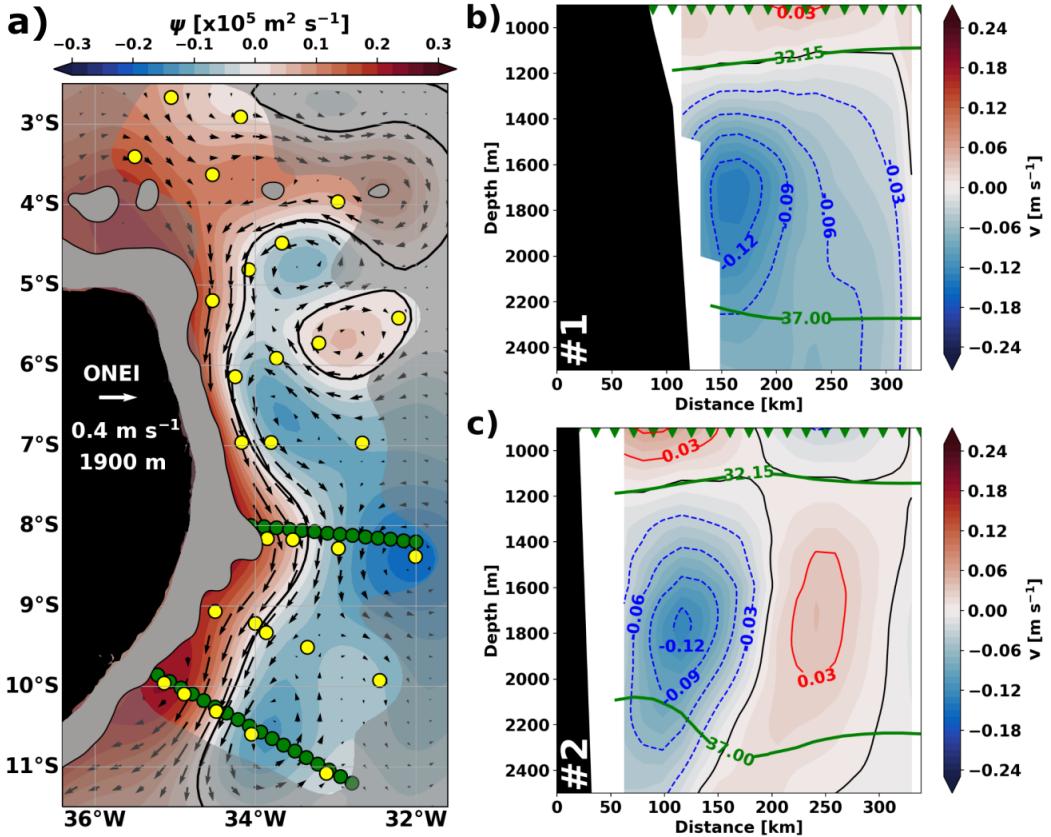


Figure 3. The DWBC observational scenario of anticyclone formation off the Pernambuco Plateau. a) Stream function field $\psi \times 10^5 \text{ m}^2 \text{ s}^{-1}$ at 1900 m obtained through FMM-OA(ONEI). The yellow filled circles indicate the positions of the ONEI stations. The gray shaded area contains the region where the interpolation error is greater than 5%. The dark green circles highlight the locations of transects in b) and c). The velocity fields in b) and c) are the results of geostrophic computation from the 3D-FMM-OA T-S (see text for details). The green lines represent the isopycnals. The dark green triangles illustrate the grid distance. The #1 and #2 symbols represent the 8°S and southernmost transects, respectively.

The results above show similar DWBC settings compared with previous observational studies in the domain (Dengler et al., 2004; Schott et al., 2005; Krelling et al., 2020a). At 5°S, Schott et al. (2005) analyzed a repetition of 9 vertical sections with high horizontal resolution (~ 20 km). The authors captured a ~ 120 km-wide mean DWBC with a velocity of 0.20 m s^{-1} at the core level. At 11°S, the DWBC eddy asymmetry was first reported by Dengler et al. (2004), and detailed by Schott et al. (2005), who presented a mean section with the coastal lobe more than twice as fast as the oceanic lobe. Krelling et al. (2020a) used ONEI observations to describe cross-stream velocities at 6°S and 9°S. To bypass sampling resolution issues, the authors objectively interpolated shipboard-ADCP measurements with geostrophic estimates and showed a DWBC with maximum velocity of 0.20 m s^{-1} . To avoid contamination of our geostrophic estimates by ageostrophic and other higher-frequency motions, we decided to present only the geostrophic component of the flow. Our vertical sections (Fig. 3b,c) depict a DWBC upstream and downstream of the Pernambuco Plateau (at 8°S and 10°S, respectively) with morphometric characteristics similar to those from aforementioned studies. However, the DWBC maximum velocities in ONEI are at least a factor of $1/3$ smaller. This underestimation is possibly due to the coarse spatial resolution between the ONEI hydrographic stations (~ 50 km).

Despite being derived from geostrophic stream function estimates, the novelty revealed by the ONEI data set is the first quasi-synoptic horizontal scenario of the deep circulation off northeast Brazil. Figure 3a reproduces different aspects previously observed (e.g., Dengler et al., 2004; Schott et al., 2005; Krelling et al., 2020a), and highlights a new one: a possible separation of the DWBC off the Pernambuco Plateau. This snapshot tracks the DWBC axis (represented by $\psi = 0$ in Fig. 3a) where, at 7°S, the current flows 44 km offshore of the continental slope. Immediately north of the center of the plateau (8°S), the DWBC axis sits 61 km distant from the slope (Fig. 3a). This setting suggests a possible separation mechanism acting on the DWBC at 8°S, with consequences for the flow downstream.

We acknowledge that one snapshot is not enough to fully attest to the existence of such a mechanism. Therefore, we now turn to a numerical model time series to investigate: (i) whether or not the DWBC separates and leaks oceanward at 8°S and (ii) which mechanisms drive the anticyclone genesis.

4 The Modeled DWBC off northeast Brazil

4.1 The DWBC Crossing of the Pernambuco Plateau

Encompassing the ONEI period, we computed the stream function ψ (2) through a Helmholtz velocity decomposition algorithm based on Li et al. (2006). Here, we also calculated the relative vorticity $\zeta \stackrel{\text{def}}{=} \nabla^2 \psi$ to further diagnose the events following the DWBC crossing of the Pernambuco Plateau. Figure 4 displays a sequence of snapshots at 2000 m from the model stream function and relative vorticity normalized by $|f|$. The HY19.1 snapshots between 20 Jan 2002 and 20 Feb 2002 (Fig. 4) reproduce similar patterns captured by the ONEI observation (Fig. 3a).

On 20 Jan 2002 (Fig. 4a), the DWBC flows adjacent to the continental slope, bordering the Pernambuco Plateau at about 0.20 m s^{-1} . Downstream of the plateau, an anticyclone marked by positive $\frac{\zeta}{|f|}$ occupies the region offshore at 10°S (Fig. 4e). The overall magnitude of the Rossby number ($R_o = \frac{\zeta}{|f|}$) in Figure 4e-h shows that the geostrophic balance holds in the region. The DWBC anticyclone in formation at 8°S with $R_o \sim 0.33$ (Fig. 4e) recalls the value reported for the North Brazil Current eddies at 5°N ($R_o = 0.36$; Silveira et al., 2000). This value is larger than the ones usually found in subtropical midlatitudes. However, it clearly shows that the anticyclones are still driven by geostrophy, in more likely gradient balance within the eddies.

Ten days later (Fig. 4b,f), the scenario is the most similar to the ONEI (Fig. 3a): south of 8°S, the main axis of the DWBC moves away from the slope, with its inner portion reattaching at 9.5°S (see the negative vorticity of the inner lobe in Fig. 4f). Further south, the anticyclone traveled ~ 50 km southward.

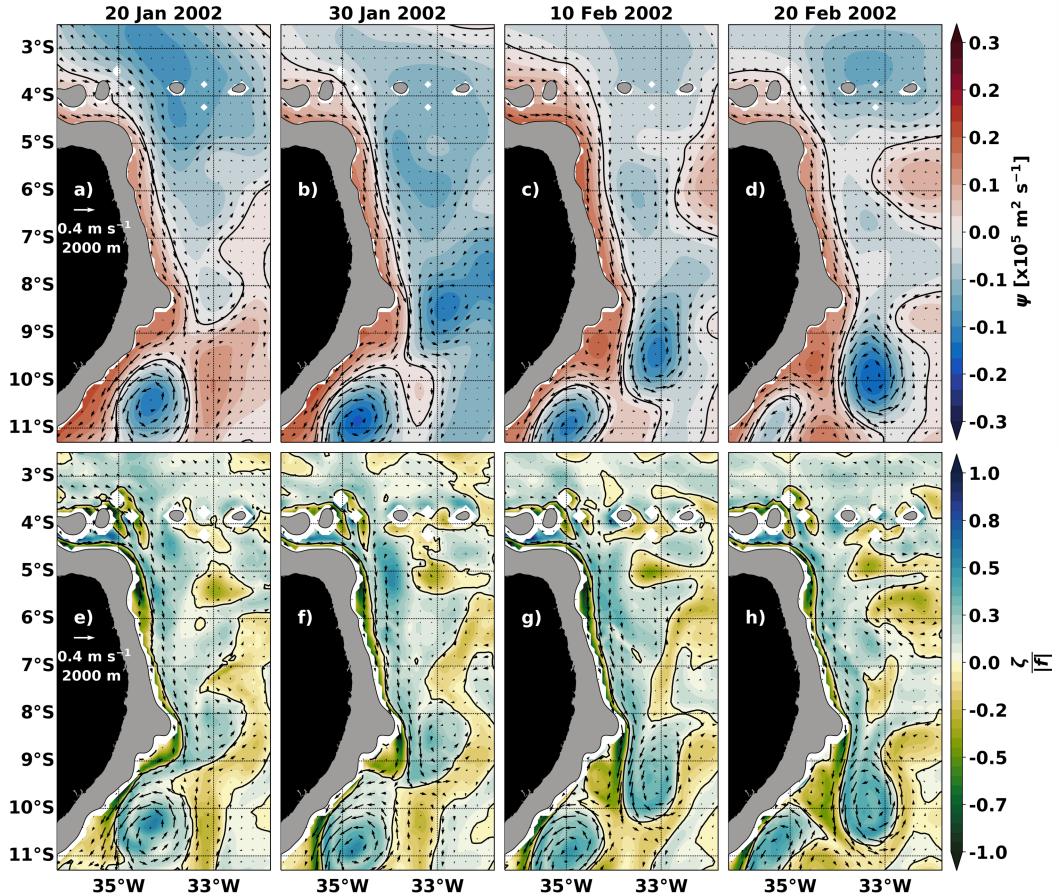


Figure 4. The anticyclone genesis from HY19.1 at 2000 m. Upper row (a,b,c,d panels): stream function fields ψ [$\times 10^5 \text{ m}^2 \text{ s}^{-1}$]. Lower row (e,f,g,h panels): rossby number $(\frac{\zeta}{|f|})$. The solid contour designates the null ψ and ζ . The date of each realization is on top of the panel.

On 10 Feb 2002, the DWBC backflips into another anticyclone, with closed contours forming downstream of the Pernambuco Plateau (Fig. 4c). Squeezed by the old and the newly formed anticyclone, negative $\frac{\zeta}{|f|}$ points to a small cyclone on the shadow of the plateau (Fig. 4g; see this and other events in the animation in the Movie S1). Figure 4d depicts the anticyclone shed on 20 Feb 2002, briefly interrupting the DWBC flow at the lee of the Pernambuco Plateau, as indicated by the diverging arrows at 10°S (Fig. 4d,h). The old anticyclone continued traveling southward and left the region by the end of February. Note that part of the DWBC seems to separate from the continental slope as it crosses the plateau in Figure 4 and every eddy formation periods (see Movie S1), suggesting a link between the separation process and the formation of the anticyclones.

4.2 The Mean DWBC Pathway

To assess the mean DWBC pathway, we computed the 10-year mean stream function ψ and potential vorticity (PV) from the simulation near the Pernambuco Plateau. Since PV is a dynamical tracer that is conserved in the absence of dissipation or forcing, its distribution can hint at the dynamical processes modifying the flow along its path. Here, we use Ertel's PV definition for large and mesoscale flows (Pedlosky, 1987),

$$q = (\zeta + f) b_z, \quad (3)$$

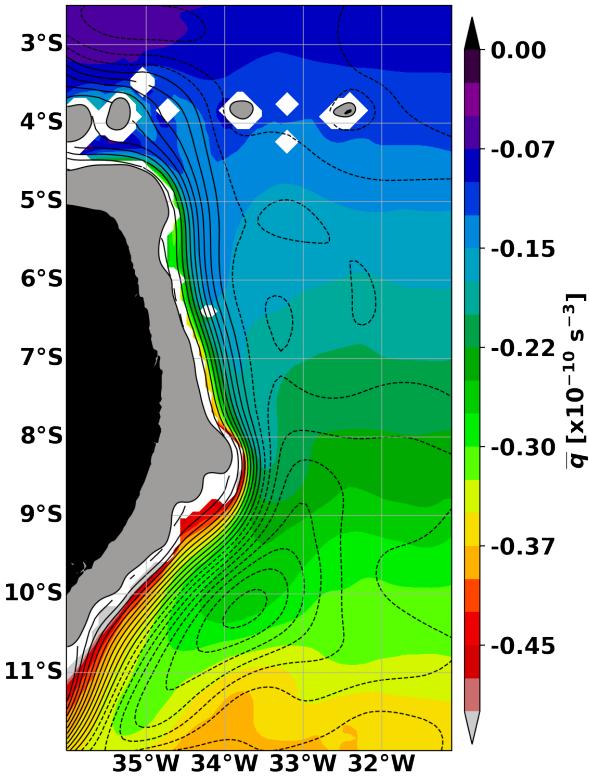


Figure 5. The HY19.1 dynamical fields at 2000 m. The 10-year mean potential vorticity \bar{q} [$\times 10^{-10} \text{ s}^{-3}$] and stream function (solid/dashed lines indicate positive/negative values).

where b_z is the vertical buoyancy gradient ($b \stackrel{\text{def}}{=} -g\rho/\rho_0$ is the buoyancy). Figure 5 presents the potential vorticity distribution at the DWBC velocity core at 2000 m.

Upstream of the Pernambuco Plateau, the streamlines and PV contours are mostly parallel along the continental slope (Fig. 5), which hints at the steadiness of the circulation upstream of the plateau. As the DWBC flows southward, the mean DWBC crosses a region with strong gradient in the mean PV field (Fig. 5). South of 8°S, a feature telltale of separation events appears, the modeled DWBC streamlines spread offshore as the current overshoots the plateau similarly to the ONEI observations (Fig. 3a).

In addition, the crossing of the plateau is marked by a significant decrease in the mean PV content along the mean DWBC streamlines (Fig. 5) because the PV contours veer offshore forming a prominent low-PV tongue in the area (*i.e.*, larger horizontal gradients of q). The intersection of q and ψ lines ($\nabla\bar{q} \times \nabla\bar{\psi} \neq 0$) could indicate that the flow is not on a steady state or that the flow is under a constant forcing (*cf.* Rhines & Holland, 1979; Napolitano et al., 2019). The eddy-forcing is likely to be reducing the flow's PV content and forcing the mean flow southward at the region where the DWBC sheds the anticyclones near the plateau.

Overall, Figure 5 depicts the deformation of PV contours as the DWBC core contours the Pernambuco Plateau, particularly from a PV tongue that spreads between 7°S and 9°S. According to Pickart and Huang (1995), the distortion of the PV field near capes hints at inertial separation of the mean streamlines. Next, we evaluate the conditions for a separation of the DWBC streamlines at the Pernambuco Plateau.

292 5 The DWBC Separation

293 Previous studies addressed the separation of boundary currents on a curved coastline (e.g.,
 294 Røed, 1980; Stern & Whitehead, 1990; Solodoch et al., 2020). Røed (1980) derived a condition
 295 for boundary current separation due to curvature effects. Ten years later, Stern and Whitehead
 296 (1990) combined theory and laboratory experiments to explore the upstream conditions that might
 297 lead to flow separation, including its post-separation effects, such as eddy formation. Recently,
 298 Solodoch et al. (2020) analyzed the cross-bathymetry velocity from a numerical model and pro-
 299 posed an empirical scaling analysis to investigate the inertial separation mechanism for the DWBC
 300 at the Flemish Cap. In this section, we test these theories to investigate the DWBC separation at
 301 8°S and the anticyclone genesis downstream of the Pernambuco Plateau.

302 5.1 The DWBC Inertial Separation

303 Both observations and model outputs suggested a local DWBC separation in Sections 3 and
 304 4. Previous studies explored inertial separation using the semigeostrophic approximation (e.g.,
 305 Ou & De Ruijter, 1986; Pickart & Huang, 1995). However, semigeostrophy does not hold in re-
 306 gions where cross-stream variations are larger than (or equivalent to) along-stream changes. Re-
 307 cently, Solodoch et al. (2020) expanded the Pickart and Huang's (1995) theoretical arguments
 308 to evaluate the DWBC separation and leakiness around the Flemish Cap. The novel evaluation
 309 is based on analysis of the PV field, coupled behavior between the cross-bathymetry velocity and
 310 the control exerted by bathymetry, and a scaling analysis (Solodoch et al., 2020).

311 Proceeding to investigate conditions leading to inertial separation, we now explore the the-
 312 oretical arguments discussed above for the DWBC at the Pernambuco Plateau. Figure 6 eval-
 313 uates the role played by the Pernambuco Plateau in driving the DWBC offshore upstream of the
 314 topographic bump. As for the distortion of the PV field as an argument for inertial separation (Pickart
 315 & Huang, 1995), Figure 6a depicts the deformation of the 10-year mean PV contours related to
 316 the DWBC core, especially around the Pernambuco Plateau. Following Solodoch et al.'s (2020)
 317 extension of Pickart and Huang's (1995) theory, Figure 6b shows the cross-bathymetry velocity
 318 (v_{CROSS}) at the westernmost continuous PV contour ($-0.35 \times 10^{-10} \text{ s}^{-3}$) south of 6.5°S. We em-
 319 ploy this contour as a proxy for the shape of the 2000m isobath along the DWBC axis. From 6.5°S
 320 to nearly 8°S, the DWBC flows almost parallel to the isobaths (as indicated by the low v_{CROSS} in
 321 Fig. 6b). At 8°S, v_{CROSS} increases sharply in the offshore direction at the plateau's north face to-
 322 wards its corner. Downstream of the plateau's elbow (at $\approx 8.3^{\circ}\text{S}$), v_{CROSS} drops to zero. South of
 323 9.5°S, v_{CROSS} is negative (Fig. 6a). This pattern is consistent with the inshore propagation and reat-
 324 tachment of the downstream anticyclones, which does not invalidate the quest for the DWBC sep-
 325 aration at 8°S. Reattachment cannot be excluded even if part of the flow separates: “weak” reat-
 326 tachment is expected for a “large-angle” bump (Solodoch et al., 2020) which does not fit the DWBC
 327 setting along the Pernambuco Plateau.

328 In Figure 6b, we relate v_{CROSS} with the changes in orientation of the 2000m isobath in the
 329 downstream r direction, *i.e.*, $\partial\Theta/\partial r$, where Θ is the angle along the smoothed 2000m isobath in
 330 the downstream r direction (represented by the orange contour in Fig. 6a). The highest v_{CROSS} val-
 331 ues span along the upstream sector of the plateau, with a maximum of $\sim 0.06 \text{ m s}^{-1}$ immediately
 332 north of the corner, represented by the maximum $\partial\Theta/\partial r \approx 2^{\circ} \text{ km}^{-1}$ (Fig. 6b). To estimate the in-
 333 fluence of the plateau's curvature on v_{CROSS} , we computed the lead-lag correlation between the
 334 cross-bathymetry velocity and the variation of the slope orientation. Figure 6c depicts the strongest
 335 correlation of 0.87 at -28 km, meaning that changes in the isobath angle are sensed by the DWBC
 336 v_{CROSS} about 30 km upstream of the Pernambuco Plateau edge, pushing the DWBC offshore from
 337 there on.

338 The evaluation of the two theoretical criteria performed here is similar to the one in Solodoch
 339 et al. (2020), who addressed the DWBC inertial separation in the Flemish Cap by evaluating the
 340 correlation between the cross-bathymetry velocity and the curvature and steepness gradient of
 341 the slope. As in Solodoch et al. (2020), our results are consistent with separation driven by an
 342 angled plateau, indicated by strong (lagged) correlations between the v_{CROSS} velocity toward off-

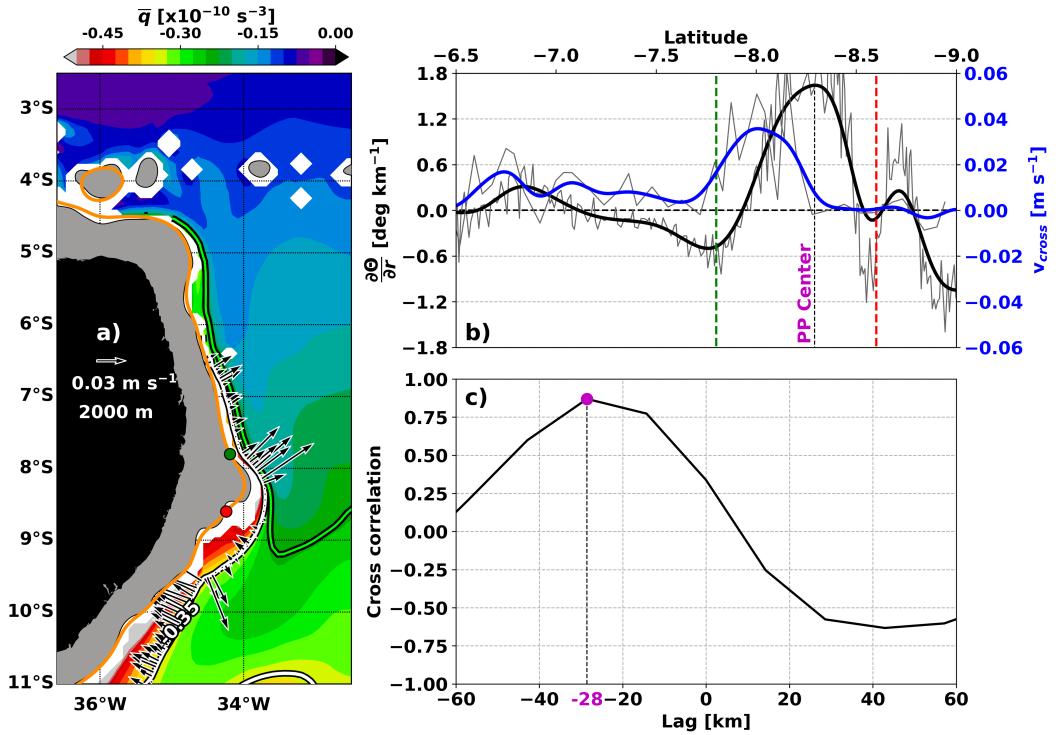


Figure 6. a) The 10-year mean potential vorticity \bar{q} [$\times 10^{-10} \text{ s}^{-3}$]. The white line highlights the western-most continuous potential vorticity contour ($-0.35 \times 10^{-10} \text{ s}^{-3}$) between 6.5°S and 11°S at 2000 m. The green contour within the black line marks the spreading of the PV tongue. The arrows indicate the 10-year mean velocity component (v_{CROSS}) normal to the selected PV contour. The orange contour represents a smoothed bathymetry along 2000 m. b) Meridional profiles of the v_{CROSS} and the changes in orientation along the smoothed 2000m isobath $\partial\Theta/\partial r$ [deg km^{-1}]. Green and red dashed lines correspond to the circles in panel (a), which mark the Pernambuco Plateau (PP) limits. c) The lead-lag cross correlation between $\partial\Theta/\partial r$ and v_{CROSS} . Purple circle marks the distance of the maximum correlation to the PP center.

shore and sharp changes in the 2000m isobath orientation (Fig. 6c). However, these correlations could be an indication of DWBC meandering preceding anticyclone formation (see Fig. 4) instead of a proper separation process.

In addition to the limitation detailed above, for the case of a jet around a cape, semigeostrophy is not valid if the radius of curvature (R_c) is smaller in magnitude than the width W of the jet (Solodoch et al., 2020). The Pernambuco Plateau-DWBC setup (*i.e.*, $R_c = -30$ km, and $W = 100$ km) falls within this limitation. (The DWBC turns clockwise at the Pernambuco Plateau, hence R_c is negative.) Therefore, the diagnostic of inertial separation based solely on applying semi-geostrophic arguments in the scenario of Figure 6 is either compromised or valid only in certain portions of the domain. To circumvent the limitations of semigeostrophy, Solodoch et al. (2020) proposed to evaluate the inertial separation via a scaling analysis. In this case, a separating streamline must be present.

To evaluate a separating streamline, we refer back to Figure 4 where part of the DWBC separates during periods of anticyclones formation. In contrast, in the 10-year mean q and ψ scenarios (Fig. 5), the streamlines do not completely separate from the continental slope. Instead, they spread offshore and smoothly contour the Pernambuco Plateau. Separating streamlines tend to be smeared and averaged out in the mean scenario due to the anticyclones' southwestward propagation and intermittency of eddy genesis south of the Pernambuco Plateau. Thus, we propose to assess the PV along separating streamlines during the DWBC eddy genesis events. We track these events by seeking local minima of ψ within closed contours (*i.e.*, anticyclonic features) between 6.5°S and 8.5°S in the eddy-tracking algorithm discussed in Section 2.2. The algorithm returned 289 snapshots in the area of eddy genesis related to each of the 45 eddies formed through the 10 years of the model time series.

The outputs related to eddy genesis were then averaged over time to evaluate q and ψ (Fig. 7a). In this scenario, a portion of the DWBC separates from the continental slope south of 8°S to form an anticyclonic circulation (Fig. 7a). Moreover, the separating streamlines approximately follow the veered PV contours eastward once they leave the western boundary (follow the white $0.27 \times 10^4 \text{ m}^2 \text{ s}^{-1}$ contour between 6°S and 9°S in Figure 7a for reference).

To evaluate this possible inertial separation, we estimated the mean PV budget at 2000 m during the eddy genesis. For inertial processes, the budget can be simplified as the balance between the mean PV advection and convergence of eddy-PV fluxes (*e.g.*, Solodoch et al., 2020),

$$\bar{\mathbf{u}} \cdot \nabla \bar{q} = -\nabla \cdot (\bar{\mathbf{u}} \tilde{q}) \quad (4)$$

where \mathbf{u} is the horizontal velocity vector, the overbar represents the time-average of the eddy genesis snapshots, and tildes are the perturbations around the time-mean. Equation (4) is known as the Turbulent Sverdrup Balance (Rhines & Holland, 1979).

Similarly to model representations of the DWBC in the South and North Atlantic (van Sebille et al., 2012; Solodoch et al., 2020; Biló et al., 2021), the HY19.1 simulation shows that the Turbulent Sverdrup Balance is valid at the DWBC core from 5°S to 11°S (Fig. 7b,c), and indicates that the separation is indeed an inertial process. Following the $\psi = 0.27 \times 10^4 \text{ m}^2 \text{ s}^{-1}$ streamline reaching the plateau (Fig. 7a), we note a strong decrease in the PV content that allows the DWBC to detach from the western boundary in a counterclockwise direction along the anticyclonic eddies. As the anticyclones grow and propagate (see Fig. 4 or Movie S1), they probably generate the PV and velocity perturbations necessary for changing the mean flow. Downstream the separation of the boundary, both terms in Eq. (4) decrease up to two orders of magnitude (Fig. 7b,c). These patterns indicate that the flow mainly follows the PV contours before flowing over a well PV-homogenized area (*i.e.*, Eq. (4) ≈ 0).

Since part of the DWBC flow seems to separate the continental slope inertially during eddy genesis (Fig. 7), we can further evaluate the separation following the scaling analysis given by Solodoch et al. (2020). The authors show that inertial separation takes place when reductions oc-

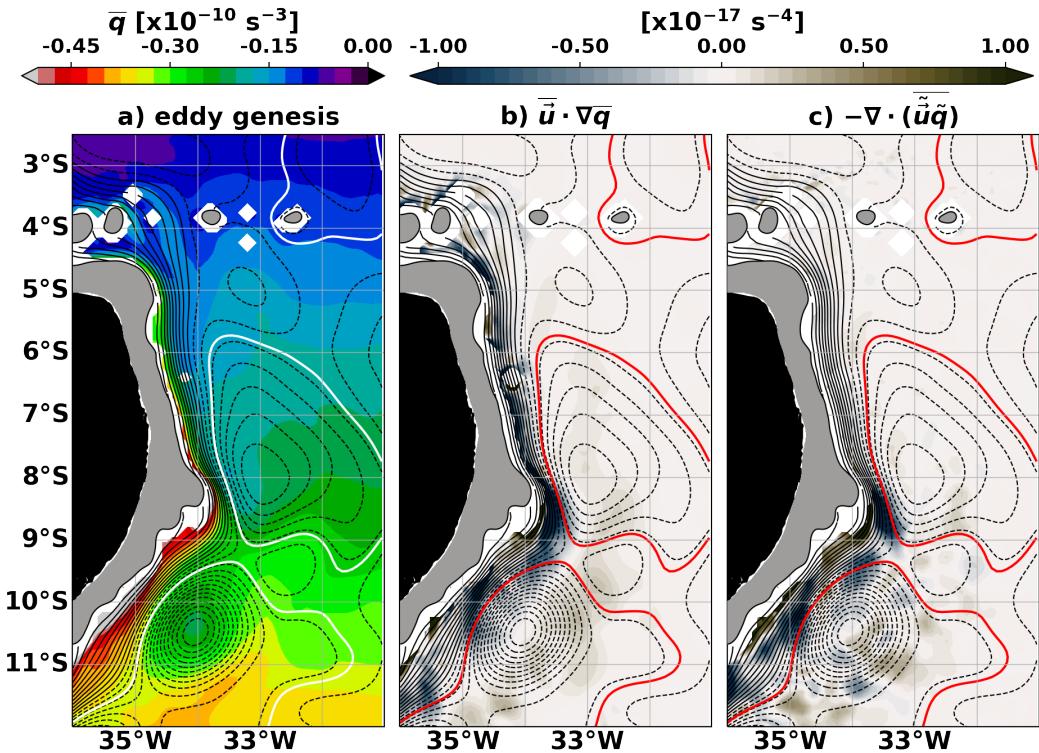


Figure 7. The HY19.1 composites of eddy genesis at 2000 m. a) Potential vorticity \bar{q} [$\times 10^{-10} \text{ s}^{-3}$]. b) Mean PV advection $\bar{u} \cdot \nabla \bar{q}$ [$\times 10^{-17} \text{ s}^{-4}$]. c) Divergence of eddy PV fluxes $\nabla \cdot (\tilde{\bar{u}}\tilde{\bar{q}})$ [$\times 10^{-17} \text{ s}^{-4}$]. The black contours represent the mean streamlines: solid (dashed) lines indicate positive (negative) values. The white and red contours highlight the $0.27 \times 10^4 \text{ m}^2 \text{ s}^{-1}$ mean streamline.

392 cur in

$$393 \Delta U \approx U \frac{W}{R_c} - f W \frac{dh}{h}, \quad (5)$$

394 where ΔU is the downstream change in cross-current shear integrated in the positive n direction,
 395 and n is oriented normally to the streamlines. The scaling analysis indicates that inertial sepa-
 396 ration is expected if $|\Delta U|$ is relatively larger than $|U|$ (Solodoch et al., 2020). Another indication
 397 of the separation is that the current width is greater than the curvature radius magnitude ($W >$
 398 $|R_c|$). We select the quantities displayed in Eq. (5) from the eddy genesis composite: the $U =$
 399 0.15 m s^{-1} is the velocity scale for the DWBC, $W = 100 \text{ km}$ is the current's width upstream of
 400 the Pernambuco Plateau, and $R_c = -30 \text{ km}$ is the streamline radius of curvature. (The clockwise
 401 turn of the DWBC at the Pernambuco Plateau results in negative R_c .) We estimate the DWBC
 402 thickness ($h = 3 \text{ km}$) and the isopycnal steepening across the current ($dh = 351.5 \text{ m}$) within the
 403 vertical limits by the $\sigma_1 = 32.15 \text{ kg m}^{-3}$ isopycnal at its top and $\sigma_1 = 32.54 \text{ kg m}^{-3}$ at its bottom
 404 in a vertical section near the center of the Pernambuco Plateau (8°S).

405 Applying the DWBC values above to Eq. (5) yields $U \frac{W}{R_c} = -0.50 \text{ m s}^{-1}$ and $-f W \frac{dh}{h} =$
 406 0.24 m s^{-1} . As a result, $\Delta U = -0.26 \text{ m s}^{-1}$. In this case, ΔU is 0.11 m s^{-1} higher in magnitude
 407 than U . In addition, $W = 3.33|R_c|$. Therefore, both criteria strongly suggest that the DWBC along
 408 the Pernambuco Plateau undergoes an inertial separation during the eddy genesis scenario.

409 While the curvature term in Eq. (5) adds to a drop in ΔU , due to the clockwise curvature
 410 of the current, the vertical stretching term acts in the opposite direction. The dynamical balance
 411 between these two terms offers a possible explanation for the partial separation of the streamlines,
 412 where only the outer lobe (positive ζ) of the DWBC separates and originates the anticyclones.

413 We now compare our results with the original study by Solodoch et al. (2020). In their study
 414 at the Flemish Cap, both the curvature and vertical stretching terms reduce the velocity per unit
 415 distance offshore (ΔU). While the Solodoch et al. (2020) results represent an uninterrupted se-
 416 ries where the DWBC separates and leaks offshore permanently, the values reported herein cor-
 417 respond to an average of intermittent events, where the DWBC separates prior to the eddy gen-
 418 esis. Here, the application of the Solodoch et al. (2020) scaling analysis indicates that the DWBC
 419 separates inertially while crossing the Pernambuco Plateau during the eddy genesis events and
 420 anticyclone shedding.

421 5.2 The Curvature Effect of the Pernambuco Plateau

422 Røed (1980) explored how the curvature of a cape influences the separation of a homoge-
 423 neous, inviscid and rotating fluid (*e.g.*, a barotropic boundary current) from an irregular wall. To
 424 assess the separation process, Pratt and Whitehead (2007) adapted Røed's geometric parameter
 425 as

$$426 \hat{R} = \tanh \left(\frac{2R_d}{W_c} \right), \quad (6)$$

427 where R_d is the jet's deformation radius and W_c is the wall's curvature radius. The Pernambuco
 428 Plateau W_c approximates to 30 km for the 2000m -isobath bend between 8°S and 8.5°S . Contrary
 429 to the curvature radius of the jet (R_c), the wall's curvature (W_c) is positive at capes (Røed, 1980).
 430 For R_d , we use the value of a theoretical jet. The flow dynamics can be approximated by the rel-
 431 ative vorticity—or barotropic-like dynamics—in the presence of a small stretching term. Impos-
 432 ing this setting for the DWBC regime, we can think of the local dynamics as an upside-down equivalent-
 433 barotropic flow with a rigid lid dividing two separate regimes: the NBUC and the DWBC, which
 434 would preserve the baroclinic length scales. Under these considerations, we applied the classi-
 435 cal Flierl (1978) calibration of layered models to obtain an equivalent-barotropic deformation ra-
 436 dius of 210 km . In particular, we applied the calibration due to bottom topography forcing (*cf.*
 437 Sec. 4 in Flierl, 1978).

438 According to Røed (1980) and Pratt and Whitehead (2007), the flow separates when $\hat{R} >$
 439 0.999 . Under such conditions (*i.e.*, $W_c \ll R_d$) in Eq. (6), the flow is not able to follow the sup-

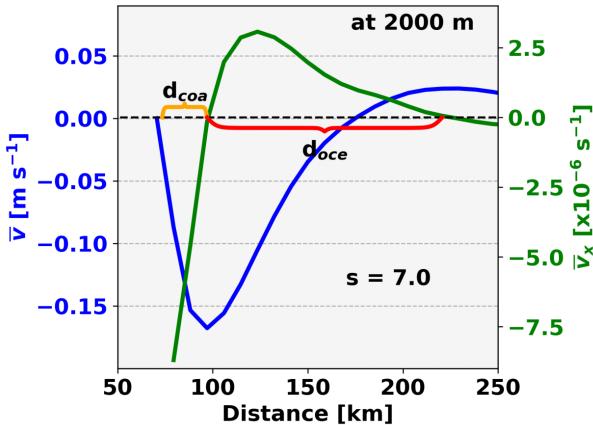


Figure 8. The 10-year mean of velocity \bar{v} [m s^{-1}] (blue) and vorticity \bar{v}_x [$\times 10^{-6} \text{s}^{-1}$] (in green) profiles at 2000 m and 7°S. The d_{COA} and d_{OCE} indicate the width of the coastal and oceanic \bar{v}_x lobes, respectively. The horizontal axis represents the distance from the coast.

porting wall and separation occurs. Røed (1980) suggested the application of this theory to deep currents along slopes, which fits the DWBC crossing of the Pernambuco Plateau.

Inserting our study parameters into Eq. (6) yields $\hat{R} > 0.999$, thus indicating that a DWBC-like equivalent-barotropic jet separates from the continental margin at 8°S. Downstream of the separation point, Røed's theory breaks down and cannot be used to explain what happens after the separation. To start filling this gap, the theory proposed by Stern and Whitehead (1990) addresses the downstream consequences of a separating jet.

From rotating tank experiments and a theoretical model, Stern and Whitehead (1990) revealed that a barotropic jet flowing along a vertical wall sheds eddies as it crosses a corner with an obtuse angle. The authors proposed two parameters to evaluate whether or not the jet separates from the adjacent wall: the angle θ and the ratio s . The angle θ is the angle between the projections of the wall in the upstream and downstream sectors. We estimated the θ angle between the projection of the Pernambuco Plateau and its downstream wall, with $\theta = 67^\circ$ (see the schematics and plateau description in Fig. 1b). The critical vorticity ratio

$$s = \frac{d_{\text{OCE}}}{d_{\text{COA}}} \quad (7)$$

is the ratio between the width of the offshore and inshore vorticity lobes immediately upstream of the corner (Stern & Whitehead, 1990). The d_{OCE} and d_{COA} represent the width of the oceanic and coastal vorticity lobes, respectively. We compute s using the relative vorticity width in an idealized jet. The theoretical jet here is similar to the one we explored in Røed's theory (*i.e.*, a DWBC-like equivalent-barotropic jet) following the setting of small stretching term.

To approximate the DWBC conditions upstream the Pernambuco Plateau to the idealized jet, we used the HY19.1 10-year mean velocity, bounded by $\sigma_1 = 32.15 \text{ kg m}^{-3}$ on the top and 4000 m on the bottom, in a transect at 7°S. The mean cross section presents the velocity core of -0.15 m s^{-1} at 2000 m (Fig. 8 and Fig. S3). The horizontal profile at the core (Fig. 8) depicts the two vorticity lobes, computed as the zonal derivative of the cross-transect velocity v_x for the oceanic and coastal lobes, $d_{\text{OCE}} = 123.5 \text{ km}$ and $d_{\text{COA}} = 17.6 \text{ km}$, which yields $s = 7.0$.

Stern and Whitehead (1990) built a $\theta-s$ regime diagram to evaluate possible separation of the flow under the proposed criteria. (The authors used the angle $\alpha = \theta/180 + 1$.) According to the authors, the regions in which the flow separates or not are delimited by a relation between θ and s , namely the critical angle $\theta_c = |45^\circ| \pm 5^\circ$ and the critical vorticity ratio $s = 0.33$. Even for

465 small θ , a flow with $s > 1$ always present separation at a corner. As presented above, the plateau
 466 wall angle is $\theta_c = 67^\circ$ and, from Eq. (7), $s = d_{oce}/d_{coa} = 7.0$. Those values strongly advocate for
 467 the separation of the DWBC-like jet at the Pernambuco Plateau.

468 Stern and Whitehead (1990) also showed that a barotropic rotating jet facing a sharp cor-
 469 ner will shed eddies. The configuration for the separating cases in Stern and Whitehead's (1990)
 470 experiments shows the flow shedding anticyclones, cyclones and/or dipoles. This scenario is con-
 471 sistent with the anticyclone formation at the DWBC breakup site depicted in the HY19.1 snap-
 472 shots (Fig. 4). In the scenario presented in those images, the apparent separation of the main DWBC
 473 axis downstream of the Pernambuco Plateau precedes the anticyclone's shedding. Additionally,
 474 not only are anticyclones formed, but dipoles are also generated as consequence of the roll-up
 475 of the cyclonic (coastal) and anticyclonic (oceanic) DWBC lobes. With $s = 7.0$, we conclude that
 476 the anticyclones are far larger than the cyclones because the coastal vorticity lobe is narrower than
 477 the oceanic one (compare Fig. 4g with Fig. 1c in Stern & Whitehead, 1990). After the full shed-
 478 ding of the eddies, the cyclones vanish as the anticyclones reattach to the continental slope and
 479 propagate southwestward. In contrast, for the non-separating cases, Stern and Whitehead (1990)
 480 showed the shedding of one initial cyclone, with additional cyclones being formed along the wall.
 481 There is no resemblance between the non-separating scenario and the DWBC case analyzed here.

482 5.3 The Separation Verdict and Possible Consequences

483 By combining the results from the tests of the DWBC separation at 8°S in three distinct
 484 theories (Solodoch et al., 2020; Røed, 1980; Stern & Whitehead, 1990), we find that the DWBC
 485 undergoes a local, intermittent inertial separation while contouring the Pernambuco Plateau. The
 486 separation of the DWBC waters might result in leakiness of a fraction of the current to the ocean
 487 interior as it occurs at the Flemish Cap (Bower et al., 2009; Solodoch et al., 2020) and the Vitória-
 488 Trindade Ridge (van Sebille et al., 2012; Garzoli et al., 2015). At the Pernambuco Plateau, the
 489 flow quasi-periodically separates and then sheds anticyclonic eddies, which travel southwestward
 490 during a DWBC non-separation period.

491 During eddy formation and propagation, the ψ contours cross PV contours in the eye of the
 492 anticyclone (Fig. 7a). The advection of PV contours may suggest unstable conditions associated
 493 with the formation and propagation of these features. In addition, we note a change in sign of $\partial q/\partial n$,
 494 which may also point to barotropic instability playing a key role in the eddy dynamics (e.g., Val-
 495 lis, 2017). This pattern is consistent with those of Biló and Johns (2020), who reported an inver-
 496 sion in the mean zonal PV gradient, possibly related to instability processes driving the forma-
 497 tion of eddies and meanders that propagate southward along the DWBC at 26.5°N. Next, we fo-
 498 cus on the DWBC anticyclones and the processes triggered by the DWBC separation, which lead
 499 to eddy formation, propagation, and growth.

500 6 On the Life Span of the DWBC Anticyclones

501 6.1 The Eddy Corridor from the Pernambuco Plateau to 15°S

502 The ONEI observations adjacent to the Pernambuco Plateau (Sec. 3) confirmed that 8°S
 503 is the latitude of the DWBC breakup into anticyclones, as first shown by Dengler et al. (2004)
 504 from numerical model outputs. Downstream of the plateau, the propagation of these anticyclones
 505 can be identified by velocity anomalies. We computed the HY19.1 eddy zonal velocity (\tilde{u}) as the
 506 anomaly from a slowly-varying “mean” velocity obtained through the application of a 60-day low-
 507 pass filter.

508 Following the shape of the 2000m isobath, we built a path between 5°S and 15°S along the
 509 Brazilian continental margin. To build the path, we use the time-mean stream function contour
 510 $\psi = 0$ as a proxy for the mean position of the DWBC. Then, we projected this path to the east and
 511 to the west to set the boundaries of the eddy corridor. The shift for these east and west projec-
 512 tions rely on the previous reported radii of the anticyclone (~100 km; Dengler et al., 2004). The

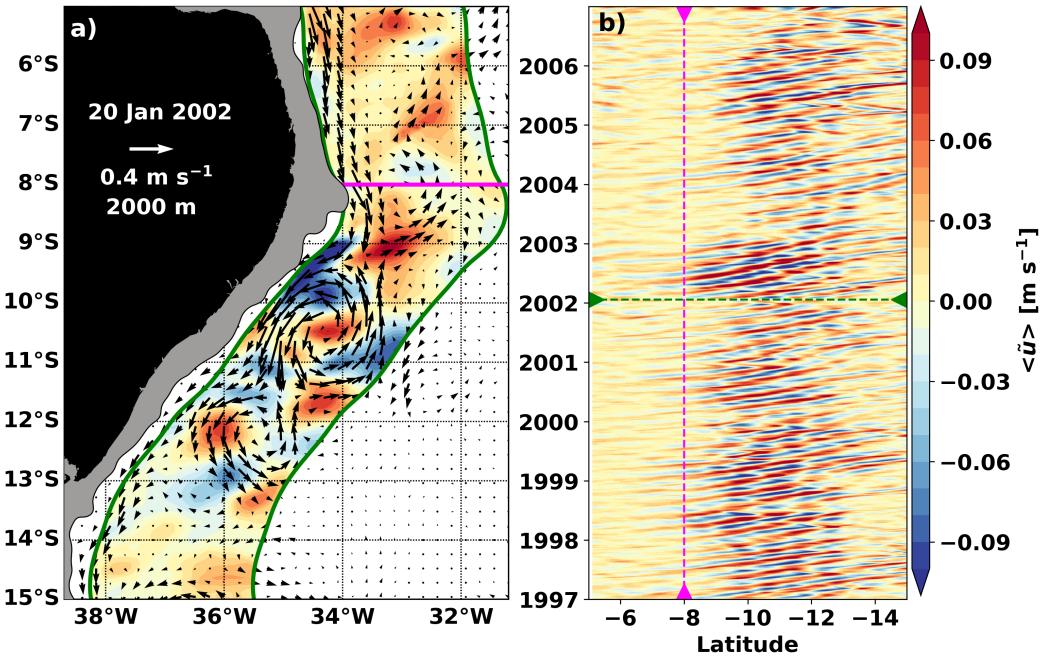


Figure 9. The propagating DWBC anticyclones at 2000 m. a) Snapshot on 20 Jan 2002 with total velocities in vectors superimposed on a map of zonal velocity perturbations \tilde{u} [m s^{-1}] along the corridor delimited by the green lines bordering the band occupied by the meandering DWBC and its anticyclones. b) Hovmöller diagram from 1997 to 2007 showing longitudinal mean of eddy velocity $\langle \tilde{u} \rangle$ inside the green pathway between 5°S and 15°S . Green dashed line indicates the day presented in (a). Magenta line (map and Hovmöller) highlights the latitude where the anticyclones are shed (8°S).

513 45 anticyclones depicted by the eddy tracking algorithm are within these limits. In this “eddy corridor” downstream of 8°S , the anticyclones propagate southwestward until either disappearing
 514 south of 13°S or reaching 15°S , as shown in Figure 9.
 515

516 In Figure 9a, we chose a simulation snapshot that captures an eddy in formation at 8°S and
 517 two eddies previously shed and centered at 10.5°S and 12.5°S . Along the DWBC path snapshot
 518 (Fig. 9a), we represent the total velocity by the arrows and the zonal eddy component by the
 519 colormap. The total velocity vectors display the DWBC parallel to the continental slope between
 520 5°S and 7.5°S and recirculating into an eddy centered at 8°S . We depict positive \tilde{u} patches in the
 521 south and negative \tilde{u} values north of this recirculation, indicating an anticyclonic feature. The
 522 anticyclonic pattern repeats itself throughout the eddy corridor in another two pinched-off an-
 523 ticyclones between 9°S and $\sim 13^\circ\text{S}$. South of 13°S , the eddy velocities decrease and the DWBC
 524 reappears, closely following the continental slope.

525 A Hovmöller diagram displaying 10 years of \tilde{u} along the designated path shows that eddy
 526 genesis and propagation occur throughout the time series (Fig. 9b). We tracked perturbations up-
 527 stream of the Pernambuco Plateau and note weaker alternating \tilde{u} patterns north of 8°S . These weak
 528 signals propagate along with the DWBC, drastically increasing downstream of the DWBC sep-
 529 aration point. This behavior further indicates that the Pernambuco Plateau is responsible for the
 530 DWBC anticyclone genesis and growth. Therefore, we interpret these weaker velocity bands up-
 531 stream as remote perturbations related to the DWBC crossing the Fernando de Noronha seamount
 532 chain at 4°S . These might trigger the separation and eddy shedding at 8°S (see Fig. 4 and Movie
 533 S1). Most of these perturbations dissipate around 13°S , but some continue propagating down-
 534 stream (Fig. 9b). Further application of other eddy tracking algorithms that compute radii, am-

535 plitudes, and shapes of the eddies (Mason et al., 2014; Trott et al., 2018; de Marez et al., 2019)
 536 would potentially give insight on the merge, splitting, and dissipation of the anticyclones.

537 As these weak perturbations flow past the Pernambuco Plateau along with the DWBC, they
 538 begin to increase to a maximum of \tilde{u} between 9°S and 10°S. All along the eddy corridor, these
 539 perturbations maintain their strength, with small variations along the path. The weak pertur-
 540 bations may amplify due to instability processes (Philander, 1990). In the DWBC, barotropic in-
 541 stabilities have been shown to play a role in regional dynamics (Solodoch et al., 2020; Biló et al.,
 542 2021; Schulzki et al., 2021). Solodoch et al. (2020) calculated the energy conversion associated
 543 with the DWBC leaking and anticyclone formation around the Flemish Cap. At this location, the
 544 eddies' growth results from barotropic conversion through Reynolds stress work. South of the
 545 Flemish Cap, between 26.5°N and 16°N, Schulzki et al. (2021) used an eddy-rich ocean model
 546 and revealed that barotropic instabilities dominate over baroclinic instabilities within the DWBC,
 547 with a gain in eddy kinetic energy resulting from strong horizontal shear. Moreover, the presence
 548 of the DWBC rubbing against the Pernambuco Plateau further advocates for the importance of
 549 horizontal shear instabilities, as they can draw energy from western boundary currents into ed-
 550 dies (e.g., Mata et al., 2006; Napolitano et al., 2019).

551 6.2 The Growth Mechanism for the DWBC Anticyclones

552 Generally related to instability processes, eddies can be a product of energy conversions
 553 (e.g., Phillips & Rintoul, 2000; Mata et al., 2006; Silveira et al., 2008; Chen et al., 2014; Napolitano
 554 et al., 2019). South of Australia, in the Antarctic Circumpolar Current, Phillips and Rintoul
 555 (2000) analyzed 2 years of mooring data and found baroclinic conversion as the main mechanism
 556 for the growth of eddies. Along the East Australian Current, from model outputs and altimetry
 557 data, Mata et al. (2006) suggested that both barotropic and baroclinic instabilities drive the growth
 558 of eddies as they propagate southward. From moored current meters, Silveira et al. (2008) found
 559 that baroclinic instability is primarily responsible for a Brazil Current meander growth between
 560 22°S and 25°S. In a detailed assessment of the energetics in the Gulf Stream and Kuroshio ex-
 561 tensions, Chen et al. (2014) showed that both barotropic and baroclinic conversions act on the
 562 mean flow, driving kinetic energy production. Using a regional numerical simulation off east Brazil,
 563 Napolitano et al. (2019) showed that eddies grew from perturbations fed by the mean flow of the
 564 Intermediate Western Boundary Current, mainly through lateral shear.

565 Motivated by the discussion closing the previous section, we aim to investigate the role played
 566 by barotropic instabilities in the DWBC eddies' life cycle. We evaluate conversions within the
 567 kinetic energy budget by analyzing barotropic conversions as a mechanism for the DWBC an-
 568 ticyclones growth. We follow Vallis (2017) and Napolitano et al. (2019) to calculate the horizon-
 569 tal shear production,

$$570 HSP = -\rho_1 [(\tilde{v}^2 - \tilde{u}^2)\psi_{xy} + \tilde{v}\tilde{u}(\psi_{xx} - \psi_{yy})], \quad (8)$$

571 where $\rho_1 = 1032.43 \text{ kg m}^{-3}$ is the potential density referenced at 1000 m for the DWBC core. We
 572 recall that ψ is the model stream function and the tilde denotes the eddy component from a slowly-
 573 varying 60-day mean. We acknowledge that HSP alone does not close the regional eddy kinetic
 574 energy budget ($DKE/DI \approx 0$) of the system. However, based on the weak stratification of the deep
 575 ocean, we take HSP as the major player in the eddy-genesis process. In addition, we estimated
 576 the HY19.1 eddy vertical velocities at 2000 m and found small values; consequently, we can as-
 577 sume that the integrated vertical terms are negligible compared with the horizontal terms. To test
 578 our assumption, we also calculated the horizontal buoyancy production (Fig. S4), which is on
 579 average 10 times smaller than HSP . The model outputs indicate that the barotropic instabilities
 580 rule over the baroclinic in the region of eddy formation (S6), and thus we will not address its role
 581 in the present energy balance.

582 To evaluate the role of HSP in the growth process, we opt to split the eddy corridor from
 583 Figure 9 into two major dynamical paths: coastal (the inner portion in Fig. 10a) and oceanic (outer
 584 portion in Fig. 10a). This follows from our investigations of the inertial DWBC separation in Sec-
 585 tion 5, where we found that only the offshore portion of the DWBC rolls up into eddies, whereas

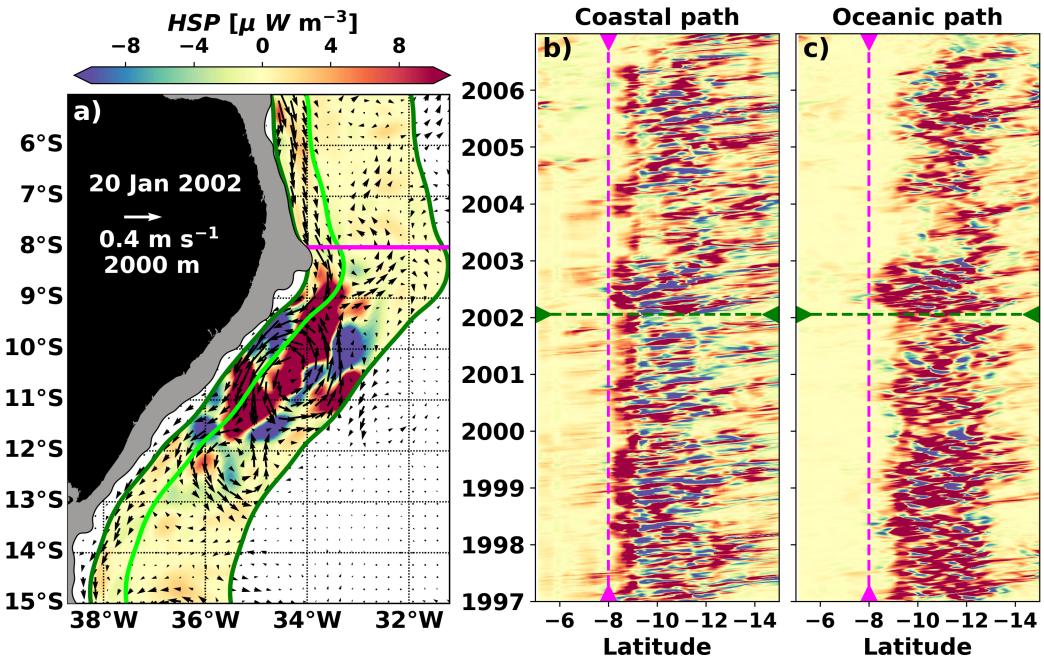


Figure 10. The horizontal shear production $HSP [\mu \text{W m}^{-3}]$ map and time series at 2000 m. Positive values represent mean-to-eddy kinetic energy conversions. The magenta lines highlight the 8°S latitude. a) Snapshot on 20 Jan 2002. Dark green contours demarcate the eddy corridor limits. b,c) The HSP Hovmöller plots west and east of the light green line in the map represent the coastal and oceanic paths, respectively. The daily time series result from computing longitudinal HSP means in each path. The dark green line in the Hovmöller indicates the day reproduced by the map.

the inner portion continues along the continental slope. To separate these two paths, we use the $\psi \approx 0$ contour (see Fig. 4). By considering the split approach, we compare the horizontal energy conversions due to HSP occurring at each DWBC lobe during anticyclone formation and propagation along the continental slope.

In Figure 10a, we present the HSP map at 2000 m on 20 Jan 2002, the same date already presented in Figure 9a. This snapshot exemplifies our understanding of the eddy formation, shedding and propagation with the addition of the barotropic conversion values. The HSP pattern in this snapshot shows that energy conversion within the DWBC is far more intense downstream of 8°S (Fig. 10a). In other words, the energy conversions occur at the southern face of the Pernambuco Plateau, where the DWBC partial separation triggers the genesis of the anticyclones. At $8.5^\circ\text{S}; 33.5^\circ\text{W}$, $HSP > 0$ (Fig. 10a) points to eddy growth by drawing kinetic energy from the mean DWBC.

Downstream of the plateau, a fully developed anticyclone spins between 9°S and 11.5°S (Fig. 10a). Throughout the center of this eddy, mean kinetic energy (M_{KE}) is converted to eddy kinetic energy (E_{KE}). The $HSP > 0$ patches dominate the oceanic path (Fig. 10a). In contrast, on the coastal path (which is also the coastal lobe of this eddy), $HSP < 0$ is responsible for the E_{KE} conversion back to the M_{KE} . This seems to drive an intensification of the “non-separated” coastal branch of the mean DWBC (Fig. 10a). We speculate here as to whether or not this process is linked to eddy-topography interactions.

Between 11.5°S and 13°S , an older, smaller anticyclone presents similar $HSP < 0$ on the coastal path. Weaker eddy-to-mean conversions appear also on the oceanic path, which we pos-

607 tulate could be related to eddy decay, since eddies virtually vanish south of 13°S in the Hovmöller
 608 series along both paths (Fig. 10b,c).

609 Next, we appeal to the entire 10-year time series to assess the conversion processes. The
 610 coastal and oceanic Hovmöller of HSP along the isolated paths (Fig. 10b,c) show a noisy pat-
 611 tern between 9°S and 13°S, with alternating bands of mean-to-eddy and eddy-to-mean conver-
 612 sions throughout the time series. This noisy pattern occurs mainly during eddy propagation and
 613 interaction with the continental slope as illustrated in the horizontal HSP snapshot (Fig. 10a).
 614 Nevertheless, coherent patterns appear in each path, related to specific events during the life span
 615 of the anticyclones.

616 From 1997 to 2007, multiple $HSP > 0$ events along the coastal path indicate perturbations
 617 drawing energy from the mean flow as the DWBC separates at 8°S, immediately downstream of
 618 the Pernambuco Plateau (Fig. 10b; *e.g.*, Napolitano et al., 2019). The M_{KE} to E_{KE} conversions
 619 attest to the growth of the DWBC anticyclones. In the coastal path (Fig. 10b), an $HSP > 0$ band
 620 extends from 8°S to about 9°S, where the anticyclones drain energy from the mean DWBC as
 621 it crosses the Pernambuco Plateau.

622 The findings above resemble the results reported by Magalhães et al. (2017) for the Brazil
 623 Current. Using 10 years of HY19.1 outputs, the authors evaluated the eddy-mean flow interac-
 624 tion from both barotropic and baroclinic instabilities in the upper ocean off southeast Brazil. They
 625 found M_{KE} to E_{KE} conversions associated with flow interactions with prominent bathymetric
 626 features. Downstream of the DWBC eddy-genesis site, the eddies move southwestward and reat-
 627 tach to the slope. We note that they present an inversion in the energy conversion regime, depicted
 628 by southward-propagating bands of $HSP < 0$ (Fig. 10b). This is again similar to the Brazil Cur-
 629 rent behavior reported by Magalhães et al. (2017), which showed energy conversions of oppo-
 630 site sign (*i.e.*, acceleration of the time-mean flow) up- and downstream of these features. This
 631 eddy-topography interaction may push the anticyclones southwestward (Fig. 10b).

632 In contrast to the coastward (and northern) eddy lobe, the oceanward (and southern) eddy
 633 lobe appears to redraw kinetic energy from the DWBC between 10°S and 11°S. This process main-
 634 tains the eddy structure (Fig. 10b; see also Fig. 10a). South of 13°S, the coastal lobe alternates
 635 the sign of kinetic energy conversion throughout the time series (Fig. 10b). Kinetic energy con-
 636 verisions are notably weaker south of this latitude and more irregular in time (Fig. 10b).

637 On the oceanic path Hovmöller, an area with no significant conversions occupies the time
 638 series from 5°S to 9°S (Fig. 10c). The pinched-off eddies enter the eastern margin corridor south
 639 of 9°S and continue to grow, feeding off the mean flow along the eddy axis. During propagation,
 640 the eddies convert M_{KE} to E_{KE} between 9°S and 13°S throughout the 10 years of simulation (Fig.
 641 10c). HSP nearly vanishes downstream of 13°S, with isolated eddies surviving and eventually
 642 reaching 15°S (Fig. 10c; see also Fig. 9b). Mean-to-eddy conversions also dominate HSP in the
 643 upper layers of the Brazil Current, extending south from 15°S to 38°S, as evaluated by Oliveira
 644 et al. (2009) from 13 years of drifter observations.

645 The simple analysis performed here did not pinpoint the mechanisms of decay and death
 646 of the anticyclones. However, the sparse E_{KE} to M_{KE} conversions at 10°S and 12°S on both coastal
 647 and oceanic paths indicate regions of eddy weakening (Fig. 10b,c). These conversions toward
 648 the mean kinetic budget are similar to the reports for notorious eddy decay regions, such as the
 649 Kuroshio and the Gulf Stream extensions (*e.g.*, Chen et al., 2014; Kang & Curchitser, 2015, re-
 650 spectively).

651 6.3 The DWBC Anticyclones' Net HSP

652 Due to the DWBC barotropicity reported in the North Atlantic (Schulzki et al., 2021) and
 653 the analyses shown above, we consider HSP as the main mechanism at play during the DWBC
 654 anticyclones growth and propagation. The patchy patterns displayed by the HSP Hovmöller (Fig.
 655 10b,c) indicate an intricate interplay between mean-to-eddy and eddy-to-mean conversions, with

656 M_{KE} to E_{KE} conversion dominating the oceanic path, and E_{KE} to M_{KE} on the coastal path. It
 657 is noteworthy, however, that due to the significantly wider oceanic area within the eddy-corridor,
 658 the DWBC anticyclones tend to grow mainly via HSP (*i.e.*, $HSP > 0$).

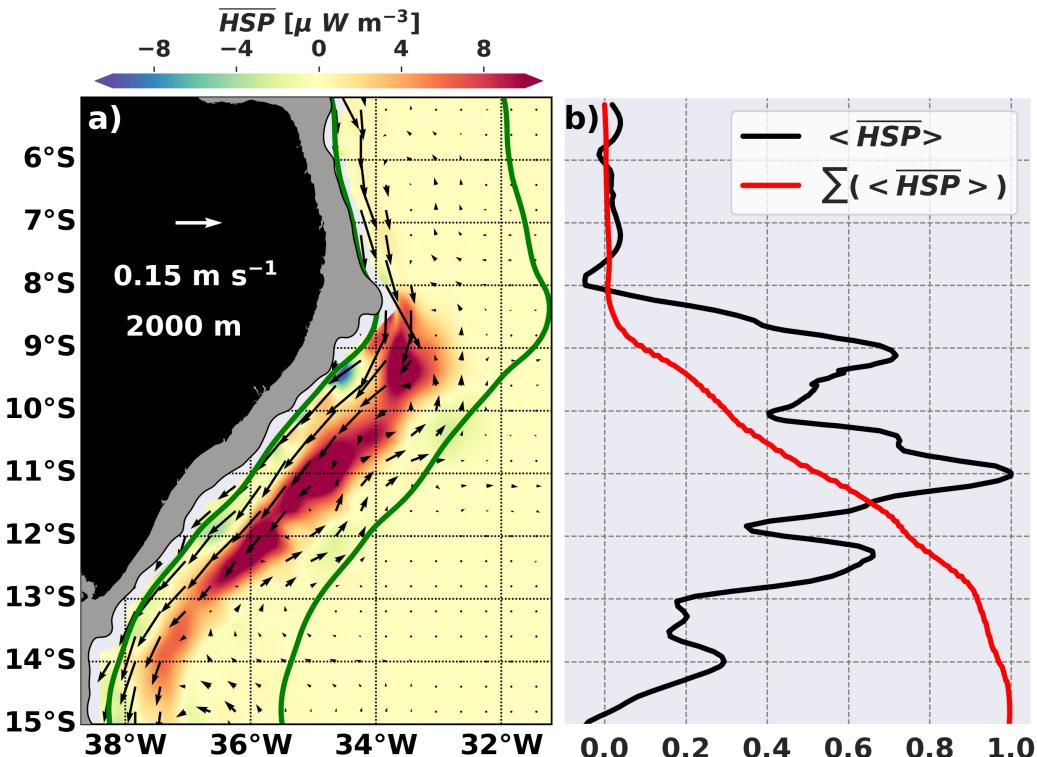


Figure 11. The net horizontal shear production \overline{HSP} [$\mu \text{W m}^{-3}$] at 2000 m. Positive values represent M_{KE} to E_{KE} conversions. a) The distribution of a 10-year HSP mean. The dark green contours mark the eddy corridor limits. The vectors reproduce the 10-year mean of velocities. b) The black line represents the longitudinal mean ($<\cdot>$) of \overline{HSP} within the eddy corridor limits, and the red line shows the cumulative sum of the black line values $\Sigma(<\overline{HSP}>)$.

659 The 10-year mean \overline{HSP} in Figure 11 illustrates the net shear production of the nearly barotropic
 660 DWBC dynamics along both paths. First, the horizontal distribution in Figure 11a links the DWBC
 661 separation in the Pernambuco Plateau to the anticyclones' initial growth at 8°S. (Note the strong
 662 velocity pointing offshore at the corner of the plateau.) Second, south of the eddy formation site,
 663 $HSP < 0$ highlights a pool of E_{KE} to M_{KE} conversions next to the slope between 9°S and 10°S,
 664 where the DWBC eddies try to reinsert into a possible mean flow (Fig. 11a). This attempt to strengthen
 665 the mean DWBC occurs throughout nearly all the coastal segments, albeit much more weakly down-
 666 stream of 10°S (Fig. 11a).

667 From 8°S to 13°S, remnant northeastward velocities delimit the eastern border of the eddy
 668 corridor (Fig. 11a). Within these limits, the time-mean field (Fig. 11a) illustrates that the M_{KE}
 669 to E_{KE} conversions are constrained to the centers of the eddies, leaving a trail of positive net HSP
 670 (Fig. 11a). Diagnostics of the longitudinally averaged \overline{HSP} , normalized by their maximum, through-
 671 out the eddy corridor display a roller-coaster pattern in Figure 11b (the $<\cdot>$ denotes the spatial
 672 averaging). North of 8°S, $<\overline{HSP}>$ is nearly constant (Fig. 11b). Between the Pernambuco Plateau
 673 and 13°S, $<\overline{HSP}>$ is inarguably positive, with three distinct peaks (Fig. 11b), which are fol-
 674 lowed by a decrease at 13°S (Fig. 11). The local maxima in these three peaks contribute to the
 675 gradual increase of the cumulative $<\overline{HSP}>$ (Fig. 11b). The local minima at 10°S and 12°S (Fig.

676 11b) register a contribution from $HSP < 0$, which coincides with regions where the coastal path
 677 displays strong E_{KE} to M_{KE} (Fig. 11a and Fig. 10b).

678 The cumulative $\langle \overline{HSP} \rangle$ flat line upstream of 8°S confirms that anticyclone growth ini-
 679 tially occurs downstream of the plateau (Fig. 11b). Entering the eddy corridor, the cumulative
 680 curve increases exponentially from 8°S to 13°S, reaching a maximum near 14°S. South of 14°S,
 681 $\Sigma(\langle \overline{HSP} \rangle)$ stabilizes, meaning that barotropic conversions through HSP are weak or nonex-
 682 istent from this latitude southward. As we anticipated, the net HSP reinforces the argument that
 683 the eddy kinetic energy budget $D\langle E_{KE} \rangle / Dt \approx 0$ depends on additional terms, such as the contribu-
 684 tion from baroclinic conversions (e.g., Lüschow et al., 2019; Schulzki et al., 2021), dissipation
 685 due to eddy decay and mixing (e.g., Kang & Curchitser, 2015; Spingys et al., 2021) and advec-
 686 tion by the mean flow out of the domain (e.g., Chen et al., 2014; Napolitano et al., 2019).

687 7 Final Remarks

688 The *Oceano Nordeste I* (ONEI) oceanographic expedition presented a quasi-synoptic map
 689 of geostrophic velocities and stream function at the DWBC core level off northeast Brazil. ONEI
 690 cross-bathymetry transects of geostrophic velocity at 8°S and 10°S confirm that the DWBC breakup
 691 occurs at 8°S. At this latitude, the Pernambuco Plateau stands as the main obstacle in the DWBC's
 692 path. The quasi-synoptic patterns are correctly reproduced by the HYCOM 19.1 outputs, which
 693 show the breakup of the DWBC downstream of the plateau leading to eddy genesis. A potential
 694 vorticity analysis shows that the DWBC PV structure in the domain deforms downstream of the
 695 Pernambuco Plateau with a pronounced PV tongue, which is an indication of flow separation from
 696 topography (Pickart & Huang, 1995).

697 Our analyses of the HYCOM 19.1 outputs also suggest that the DWBC undergoes a local,
 698 intermittent separation while contouring the Pernambuco Plateau and it is a crucial process to
 699 the formation of deep eddies downstream of the plateau (Movie S1). Along separating DWBC
 700 streamlines during eddy-formation periods, a modeled mean PV budget indicates that the Tur-
 701 bulent Sverdrup Balance is valid and this balance shows that part of the DWBC separates iner-
 702 tially off the Pernambuco Plateau. We also evaluated the inertial separation empirically by em-
 703 ploying a scaling analysis (Solodoch et al., 2020). The result of the scaling analysis evaluation
 704 shows that the downstream changes in the cross-stream horizontal velocity shear further supports
 705 the arguments for the DWBC inertial separation at 8°S. In addition, the relation between the de-
 706 formation radius of a DWBC-like jet and the Pernambuco Plateau curvature radius (Røed, 1980),
 707 and the ratio between its coastal and oceanic vorticity lobes widths immediately upstream of the
 708 plateau (Stern & Whitehead, 1990) corroborate the separation argument. The latter theoretical
 709 relationship also provides evidence of appropriate conditions for the anticyclone genesis down-
 710 stream of the jet separation off the supporting wall.

711 Downstream of the separation, the DWBC offshore lobe, with positive relative vorticity,
 712 folds into anticyclones which travel southwestward. To verify whether or not barotropic insta-
 713 bility is part of the eddy growth, we evaluated the local kinetic energy conversions at the DWBC
 714 core depth. South of the separating latitude at 8°S, mean-to-eddy energy conversions through hor-
 715 izontal shear production ($HSP > 0$) contribute to the growth of the anticyclones. During prop-
 716 agation, changes in HSP sign along the slope highlight bands of E_{KE} to M_{KE} conversions act-
 717 ing to strengthen the coastal lobe downstream of the Pernambuco Plateau. A positive net HSP
 718 within the eddy corridor between 8°S and 13°S suggests that barotropic instability is a mecha-
 719 nism relevant to the eddies' dynamics after the anticyclone genesis. This positive net HSP fuels
 720 the E_{KE} budget. We encourage further studies to explore the regional energy budget and settle
 721 the terms which compensate for the net E_{KE} produced during the DWBC anticyclones' life cy-
 722 cle in the deep tropical South Atlantic, a region intimately related to the Atlantic Meridional Over-
 723 turning Circulation and climate variability.

724 Finally, the separation and possible leakiness of the DWBC waters (*i.e.*, NADW) modify
 725 the pathways of the Atlantic Meridional Overturning Circulation's lower limb (as in Bower et al.,

726 2009; Garzoli et al., 2015). These pathways are pivotal to understand the basin-scale heat fluxes
 727 and deep ocean ventilation. As seen in other regions, the DWBC eddies promote connectivity
 728 and water exchanges between the deep western boundary layer and the ocean interior (e.g., Solodoch
 729 et al., 2020; Biló et al., 2021). Therefore, it is reasonable to speculate that the eddy formation and
 730 growth processes (e.g., the geophysical instability described in this study) might play a relevant
 731 role in the deep South Atlantic's heat storage and carbon residence time. As the next step, we sug-
 732 gest future studies to investigate the role of the anticyclonic eddies in the DWBC leakiness and
 733 water mass transformation near the Pernambuco Plateau.

734 8 Open Research

735 The *in situ* data used in this study are available at the National Oceanographic Data Cen-
 736 ter (Banco Nacional de Dados Oceanográficos) maintained by the Brazilian Navy. The Brazil-
 737 ian Navy shares the data at <https://www.marinha.mil.br/dhn/?q=en/node/216> with a re-
 738 quest to chm.bnbo@marinha.mil.br. The global numerical model outputs from HY19.1 in-
 739 corporated in the research are found at <https://www.hycom.org/data/glbu0pt08/expt-19pt1>.

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