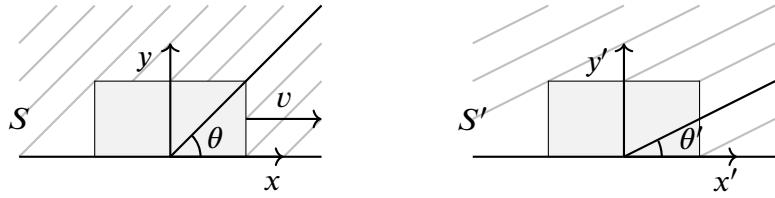


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**Figure 1:** Path of the rain drops in a frame fixed to the ground and the train.

## 1 Motivation

This document contains my notes on optical and visual effects resulting from observer motion when the speed of light is finite. The discussed effects are related to the interplay of aberration, Doppler effect, special relativity and the human perception of color. My interest on the topic arose from attempts to solve fascinating problems in the textbook [1] and implementation of aberration correction algorithms.

## 2 Aberration

A powerful introductory insight into many theories of physics can be gained from simple thought experiments involving everyday things and phenomena often in extreme circumstances: For example, standard introduction to the theory of special relativity often is presented using thought experiments involving trains moving at relativistic velocities and flashes of lightning. In a similar vein, we introduce the phenomenon of aberration with a thought experiment, where the effect of finite speed is very apparent and intuitive.

Consider a train cart fixed to the frame  $S'$  moving in  $+x$ -direction with velocity  $v$  w.r.t. frame  $S$  fixed to the ground. Passenger on the train observes the direction of rain drops from the window. Suppose in  $S$  it is raining from direction  $\theta$  w.r.t. the positive x axis. Then, the path of rain drop falling through  $x = y = 0$  can be written

$$x(t) = -u \cos \theta t, \quad (1)$$

$$y(t) = -u \sin \theta t, \quad (2)$$

where  $u$  is the velocity of the rain drop in  $S$ . In  $S'$ , we can express

$$x'(t) = -(u \cos \theta + v)t, \quad (3)$$

$$y'(t) = -u(\sin \theta)t. \quad (4)$$

In  $S'$ , the angle  $\theta'$  made by the rain drops w.r.t. positive x axis can be expressed

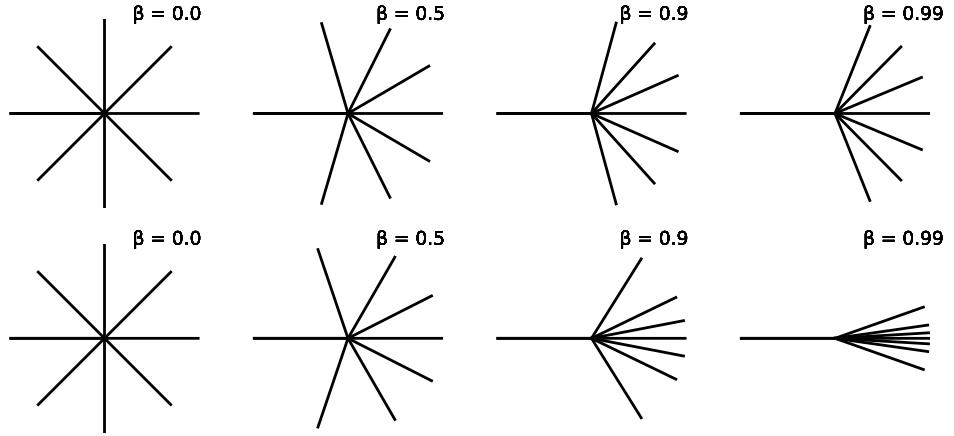
$$\tan \theta' = \frac{y'}{x'} = \frac{u \sin \theta}{u \cos \theta + v} = \frac{\tan \theta}{1 + \beta \sec \theta},$$

(5)

where we have defined the **beta factor**  $\beta := v/u$  and  $\sec \theta = 1 / \cos \theta$ . This classical formula is limited angles in  $+x$  direction. More generally, for an arbitrary direction

$$\theta' = \text{atan2}(\sin \theta, \cos \theta + \beta).$$

(6)



**Figure 2:** Difference between classical (top) and relativistic (bottom) aberration.

The equations (5) and (6) perfectly describe classical aberration and are accurate enough for most practical cases. Consider now light in the context of Special Relativity. Then, simple application of Lorentz transformation yields

$$\begin{bmatrix} t' \\ x' \\ y' \\ z' \end{bmatrix} = \begin{bmatrix} \gamma & -\gamma v/c^2 & 0 & 0 \\ -\gamma v & \gamma & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} t \\ x \\ y \\ z \end{bmatrix}, \quad (7)$$

where the **Lorentz factor** is defined

$$\gamma := \frac{1}{\sqrt{1 - v^2/c^2}} = \frac{1}{\sqrt{1 - \beta^2}}. \quad (8)$$

After substitution of trajectory  $(x(t), y(t), z(t)) = (-ct \cos \theta, -ct \sin \theta, 0)$ , we get

$$x' = \gamma(x - vt) = -\gamma(c \cos \theta + v)t, \quad (9)$$

$$y' = y = -(c \sin \theta)t. \quad (10)$$

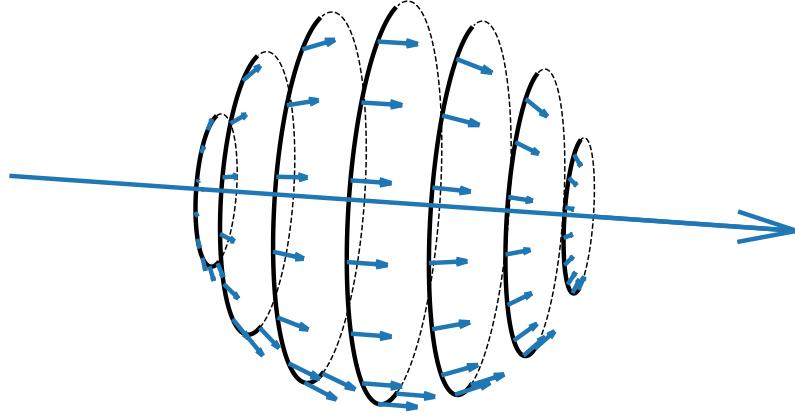
Thus, we obtain the well-known formula for relativistic aberration

$$\tan \theta' = \frac{y'}{x'} = \frac{\tan \theta}{\gamma(1 + \beta \sec \theta)} \quad (11)$$

and the more general expression

$$\theta' = \text{atan2}[\sin \theta, \gamma(\cos \theta + \beta)] \quad (12)$$

The only difference between classical and relativistic aberration is the Lorentz factor. When  $\beta$  is small, the difference between relativistic and classical results can be usually ignored. At velocities close to the speed



**Figure 3:** Three-dimensional depiction of the aberration correction for a velocity vector and the associated rotational symmetry.

of light, the Lorentz factor leads to light sources accumulating around direction of motion (see Figure 2). This is called the **headlight effect or relativistic beaming**.

The aberration corrections are always rotationally symmetric w.r.t. axis collinear to the direction of motion of the observer. That is, if we choose a spherical coordinate system with a pole in the direction of motion, the correction will be independent of longitude (see Figure 3). That is, the correction will always map all directions on a line of latitude to another line of latitude without changing longitude.

## 2.1 Aberration Formulas

### 2.1.1 General Vector Expression for Aberration

For practical computations, it is often more convenient to use vectors. Any vector expression for aberration will involve addition of velocity vectors, which is non-trivial in relativistic case. In the classical case, the transformation of velocities is simply

$$\mathbf{u}' = \mathbf{u} - \mathbf{v}. \quad (13)$$

The direction to target  $\hat{\mathbf{s}} = -\mathbf{u}'/|\hat{\mathbf{u}}'|$  corrected for aberration is called the **proper direction**. Unlike the relativistic expression  $\hat{\mathbf{s}} = -\mathbf{u}'/c$ , the classical expression for the proper direction needs to take into account that classical addition of velocities can lead to velocity of light greater than  $c$ . The **uncorrected direction** in both cases can be written  $\hat{\mathbf{p}} = -\hat{\mathbf{u}}/c$ .

Direct substitution to (13), yields

$$-\hat{\mathbf{s}}|c\hat{\mathbf{p}} + \mathbf{v}| = -c\hat{\mathbf{p}} - \mathbf{v} \quad (14)$$

and denoting  $\beta = \mathbf{v}/c$ , we obtain the classical vector expression for proper direction

$$\boxed{\hat{\mathbf{s}} = \frac{\hat{\mathbf{p}} + \mathbf{v}/c}{|\hat{\mathbf{p}} + \mathbf{v}/c|} = \frac{\hat{\mathbf{p}} + \beta}{|\hat{\mathbf{p}} + \beta|}}. \quad (15)$$

In order to derive a vector formula for relativistic aberration, we need an expression for general Lorentz transformation of velocities. We start by considering an inertial frame  $S'$  moving w.r.t.  $S$  with velocity  $\mathbf{v}$  and denote

$$\mathbf{u} := \frac{d\mathbf{r}}{dt}, \quad \mathbf{u}' := \frac{d\mathbf{r}'}{dt'}, . \quad (16)$$

Then, the Lorentz transformation will only affect coordinates along the direction of the velocity. That is, parallel and perpendicular components of  $d\mathbf{r}$  transform as

$$d\mathbf{r}'_{||} = \gamma (d\mathbf{r}_{||} - \mathbf{v} dt) \quad (17)$$

$$d\mathbf{r}'_{\perp} = d\mathbf{r}_{\perp}. \quad (18)$$

The time transforms as

$$dt' = \gamma \left( dt - \frac{\mathbf{v} \cdot d\mathbf{r}}{c^2} \right) = \gamma \left( 1 - \frac{\mathbf{v} \cdot \mathbf{u}}{c^2} \right) dt. \quad (19)$$

Now we can expand the components of velocity in  $S'$

$$\mathbf{u}'_{||} = \frac{d\mathbf{r}'_{||}}{dt'} = \frac{\gamma(\mathbf{r}_{||} - \mathbf{v} dt)}{\gamma(1 - \mathbf{v} \cdot \mathbf{u}/c^2)dt} = \frac{\mathbf{u}_{||} - \mathbf{v}}{1 - \mathbf{v} \cdot \mathbf{u}/c^2} \quad (20)$$

$$\mathbf{u}'_{\perp} = \frac{d\mathbf{r}'_{\perp}}{dt'} = \frac{d\mathbf{r}_{\perp}}{\gamma(1 - \mathbf{v} \cdot \mathbf{u}/c^2)dt} = \frac{\mathbf{u}_{\perp}}{\gamma(1 - \mathbf{v} \cdot \mathbf{u}/c^2)} \quad (21)$$

The velocity in  $S'$  can be expanded using the projections

$$\mathbf{u}_{||} = \frac{\mathbf{u} \cdot \mathbf{v}}{v^2} \mathbf{v}, \quad \mathbf{u}_{\perp} = \mathbf{u} - \mathbf{u}_{||} = \mathbf{u} - \frac{\mathbf{u} \cdot \mathbf{v}}{v^2} \mathbf{v} \quad (22)$$

leading to the computation

$$\mathbf{u}' = \mathbf{u}'_{||} + \mathbf{u}'_{\perp} \quad (23)$$

$$= \frac{\mathbf{u}_{||} - \mathbf{v} + \mathbf{u}_{\perp}/\gamma}{1 - \mathbf{v} \cdot \mathbf{u}/c^2} \quad (24)$$

$$= \frac{1}{1 - \mathbf{v} \cdot \mathbf{u}/c^2} \left[ \frac{\mathbf{u} \cdot \mathbf{v}}{v^2} \mathbf{v} - \mathbf{v} + \frac{1}{\gamma} \left( \mathbf{u} - \frac{\mathbf{u} \cdot \mathbf{v}}{v^2} \mathbf{v} \right) \right] \quad (25)$$

$$= \frac{1}{1 - \mathbf{v} \cdot \mathbf{u}/c^2} \left\{ \frac{1}{\gamma} \mathbf{u} + \mathbf{v} \left[ \frac{\mathbf{u} \cdot \mathbf{v}}{v^2} \left( 1 - \frac{1}{\gamma} \right) - 1 \right] \right\} \quad (26)$$

$$= \frac{1}{\gamma(1 - \mathbf{v} \cdot \mathbf{u}/c^2)} \left[ \mathbf{u} + \mathbf{v} \left( \frac{\mathbf{u} \cdot \mathbf{v}}{v^2} (\gamma - 1) - \gamma \right) \right]. \quad (27)$$

Let  $\hat{\mathbf{n}} = \mathbf{u}/c$  be the direction of light propagation and  $\hat{\mathbf{s}} = -\mathbf{u}'/c$  be the (relativistic) proper direction. Substitution to the General Lorentz Transformation for velocities (27) then yields

$$-c\hat{\mathbf{s}} = \frac{1}{\gamma(1 - \hat{\mathbf{n}} \cdot \mathbf{v}/c)} \left\{ c\hat{\mathbf{n}} + \mathbf{v} \left[ \frac{c}{v^2} (\hat{\mathbf{n}} \cdot \mathbf{v}) (\gamma - 1) - \gamma \right] \right\}. \quad (28)$$

After division by  $-c$ , we get the expression

$$\hat{\mathbf{s}} = \frac{1}{\gamma(1 - \hat{\mathbf{n}} \cdot \mathbf{v}/c)} \left\{ -\hat{\mathbf{n}} + \mathbf{v} \left[ \frac{\gamma}{c} - \frac{1}{v^2}(\hat{\mathbf{n}} \cdot \mathbf{v})(\gamma - 1) \right] \right\} \quad (29)$$

$$= \frac{1}{\gamma(1 - \hat{\mathbf{n}} \cdot \mathbf{v}/c)} \left\{ -\hat{\mathbf{n}} + \mathbf{v} \frac{\gamma}{c} \left[ 1 - \frac{c}{v^2}(\hat{\mathbf{n}} \cdot \mathbf{v}) \frac{\gamma - 1}{\gamma} \right] \right\} \quad (30)$$

$$= \frac{1}{\gamma(1 - \hat{\mathbf{n}} \cdot \mathbf{v}/c)} \left[ -\hat{\mathbf{n}} + \mathbf{v} \frac{\gamma}{c} \left( 1 - \frac{\gamma}{\gamma + 1} \frac{\mathbf{v} \cdot \hat{\mathbf{n}}}{c} \right) \right], \quad (31)$$

where in the last step we have used

$$\frac{\gamma - 1}{\gamma \beta^2} = \frac{\gamma - 1}{\gamma(1 - \gamma^{-2})} = \frac{\gamma^2 - \gamma}{\gamma^2 - 1} = \gamma \frac{\gamma - 1}{(\gamma - 1)(\gamma + 1)} = \frac{\gamma}{\gamma + 1} \quad (32)$$

$$\Rightarrow \frac{c}{v^2} \frac{\gamma - 1}{\gamma} (\mathbf{v} \cdot \hat{\mathbf{n}}) = \frac{1}{c} \frac{\gamma - 1}{\gamma \beta^2} (\mathbf{v} \cdot \hat{\mathbf{n}}) \quad (33)$$

Denoting  $\beta = \mathbf{v}/c$ , we obtain expression for the proper direction

$$\hat{\mathbf{s}} = \frac{1}{\gamma(1 - \hat{\mathbf{n}} \cdot \beta)} \left[ -\hat{\mathbf{n}} + \gamma \beta \left( 1 - \frac{\gamma}{\gamma + 1} \beta \cdot \hat{\mathbf{n}} \right) \right] \quad (34)$$

Let  $\mathbf{p} = -\hat{\mathbf{n}}$  be the uncorrected direction. Then (34) can be expressed

$$\hat{\mathbf{s}} = \frac{1}{\gamma(1 + \hat{\mathbf{p}} \cdot \beta)} \left[ \hat{\mathbf{p}} + \gamma \beta \left( 1 + \frac{\gamma}{\gamma + 1} \beta \cdot \hat{\mathbf{p}} \right) \right]. \quad (35)$$

This is the most general relativistic aberration formula equivalent to (12). Application to any light source requires simply the substitution of the direction  $\hat{\mathbf{p}}$  to target and vector beta factor  $\beta$ . The result then points to observed direction to target. In the limit  $\beta \rightarrow 0$ , we get  $\hat{\mathbf{s}} \rightarrow \hat{\mathbf{p}}$  and in the limit  $\beta \rightarrow 1$ ,  $\hat{\mathbf{s}} \rightarrow \hat{\beta}$ . The same vector formula (with different notation) can be found from [2].

### 2.1.2 Series Expansion of Aberration Correction

In most practical applications,  $\beta$  is small enough so we can approximate even the classical correction with simpler formulas.

Cross product of (15) with uncorrected direction  $\mathbf{p}$ , yields

$$\sin \Delta\theta \hat{\mathbf{n}} = \hat{\mathbf{p}} \times \hat{\mathbf{s}} = \frac{\hat{\mathbf{p}} \times \hat{\mathbf{p}} + \hat{\mathbf{p}} \times \beta}{|\hat{\mathbf{p}} + \beta|} = \frac{\hat{\mathbf{p}} \times \beta}{|\hat{\mathbf{p}} + \beta|} = \frac{||\hat{\mathbf{p}}|| ||\beta|| \sin \theta \hat{\mathbf{n}}}{|\hat{\mathbf{p}} + \beta|} = \frac{\beta \sin \theta \hat{\mathbf{n}}}{\sqrt{1 + 2\beta \cos \theta + \beta^2}}, \quad (36)$$

where we have used

$$||\hat{\mathbf{p}} + \beta||^2 = (||\hat{\mathbf{p}}|| + ||\beta|| \cos \theta)^2 + \beta^2 \sin^2 \theta \quad (37)$$

$$= 1 + 2\beta \cos \theta + \beta^2 \cos^2 \theta + \beta^2 \sin^2 \theta \quad (38)$$

$$= 1 + 2\beta \cos \theta + \beta^2. \quad (39)$$

According to generalized binomial theorem

$$\frac{1}{\sqrt{1+x}} = \sum_{k=0}^{\infty} \binom{-1/2}{k} x^k \approx 1 - \frac{1}{2}x + \frac{3}{8}x^2 - \frac{5}{16}x^3 + \dots \quad (40)$$

Substitution of  $x = 2\beta \cos \theta + \beta^2$ , yields

$$\frac{1}{\sqrt{1+2\beta \cos \theta + \beta^2}} \approx 1 - \frac{1}{2}(2\beta \cos \theta + \beta^2) + \frac{3}{8}(2\beta \cos \theta + \beta^2)^2 \quad (41)$$

$$= 1 - \cos \theta \beta + \left( \frac{3}{2} \cos^2 \theta - \frac{1}{2} \right) \beta^2 + \frac{3}{2} \cos \theta \beta^3 + \frac{3}{8} \beta^4 \quad (42)$$

and if we ignore everything above fourth power of  $\beta$ , we can expand

$$\sin \Delta\theta \approx \beta \sin \theta - \frac{1}{2}\beta^2 \sin 2\theta + \frac{1}{8}\beta^3(3 \sin 3\theta - \sin \theta) + \frac{3}{4}\beta^4 \sin 2\theta \quad (43)$$

Note that when such a series is expressed as a linear combination of sinusoidal terms, it is obvious that  $\Delta\theta$  antisymmetric w.r.t.  $\theta$  and zero at  $\theta = 0$ . The above formula also produces a positive  $\Delta\theta$  with positive  $\theta$ , which is incorrect. In most applications, where  $\beta$  is small, we can approximate

$$\boxed{\Delta\theta \approx \sin \Delta\theta \approx -\beta \sin \theta + \frac{1}{2}\beta^2 \sin 2\theta.} \quad (44)$$

Let's now consider the relativistic case. It actually turns out that even the quadratic approximations are incompatible for classical and relativistic expansions. Consequently, one should only apply the linear part of (44). Cross product of (35) with uncorrected direction  $\hat{\mathbf{p}}$ , yields

$$||\hat{\mathbf{s}}|| ||\hat{\mathbf{p}}|| \sin \Delta\theta \hat{\mathbf{n}} = \hat{\mathbf{s}} \times \hat{\mathbf{p}} \quad (45)$$

$$= \frac{\beta \times \hat{\mathbf{p}}}{1 + \hat{\mathbf{p}} \cdot \beta} \left( 1 + \frac{\gamma}{\gamma + 1} \beta \cdot \hat{\mathbf{p}} \right) \quad (46)$$

$$= \frac{\beta \sin \theta}{1 + \beta \cos \theta} \left( 1 + \frac{\gamma}{\gamma + 1} \beta \cos \theta \right) \hat{\mathbf{n}}. \quad (47)$$

To obtain a series expansion for  $\sin \Delta\theta$ , note that:

$$\frac{1}{1 + \beta \cos \theta} = \sum_{k=0}^{\infty} (-1)^k \beta^k \cos^k \theta \approx 1 - \beta \cos \theta + \beta^2 \cos^2 \theta, \quad (48)$$

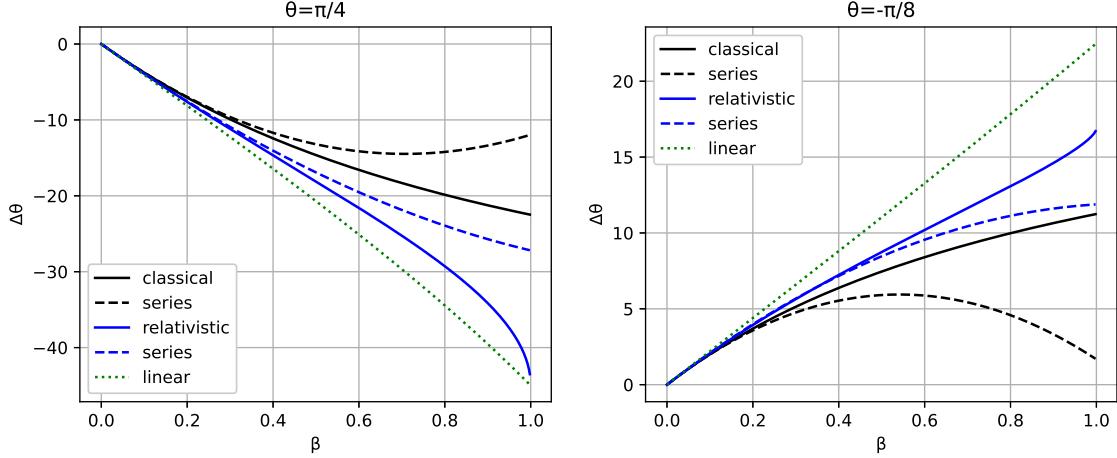
$$\frac{\gamma}{\gamma + 1} = \frac{1}{1 + \sqrt{1 - \beta^2}} = \frac{1}{1 + \sqrt{1 - \beta^2}} \frac{1 - \sqrt{1 - \beta^2}}{1 - \sqrt{1 - \beta^2}} = \frac{1 - \sqrt{1 - \beta^2}}{\beta^2}, \quad (49)$$

$$\sqrt{1 - \beta^2} = \sum_{k=0}^{\infty} \binom{1/2}{k} (-1)^k \beta^{2k} \approx 1 - \frac{1}{2}\beta^2 + \frac{1}{8}\beta^4. \quad (50)$$

Thus, we can also expand

$$\frac{1 - \sqrt{1 - \beta^2}}{\beta^2} \approx \frac{1}{\beta^2} \left[ 1 - \left( 1 - \frac{1}{2}\beta^2 + \frac{1}{8}\beta^4 \right) \right] = \frac{1}{2} - \frac{1}{8}\beta^2, \quad (51)$$

$$\beta \sin \theta \left( 1 + \frac{\gamma}{\gamma + 1} \beta \cos \theta \right) \approx \beta \sin \theta + \beta^2 \sin \theta \cos \theta \left( \frac{1}{2} - \frac{1}{8}\beta^2 \right) \approx \beta \sin \theta + \frac{1}{4}\beta^2 \sin 2\theta \quad (52)$$



**Figure 4:** Linear and quadratic approximation of classical and relativistic aberration.

and finally

$$\sin \Delta\theta \approx (1 - \beta \cos \theta) \left( \beta \sin \theta + \frac{1}{4} \beta^2 \sin 2\theta \right) \quad (53)$$

$$\approx \beta \sin \theta - \beta^2 \sin \theta \cos \theta + \frac{1}{4} \beta^2 \sin 2\theta \quad (54)$$

Thus, again noting the sign, we obtain the approximation

$$\Delta\theta \approx \sin \Delta\theta \approx -\beta \sin \theta + \frac{1}{4} \beta^2 \sin 2\theta. \quad (55)$$

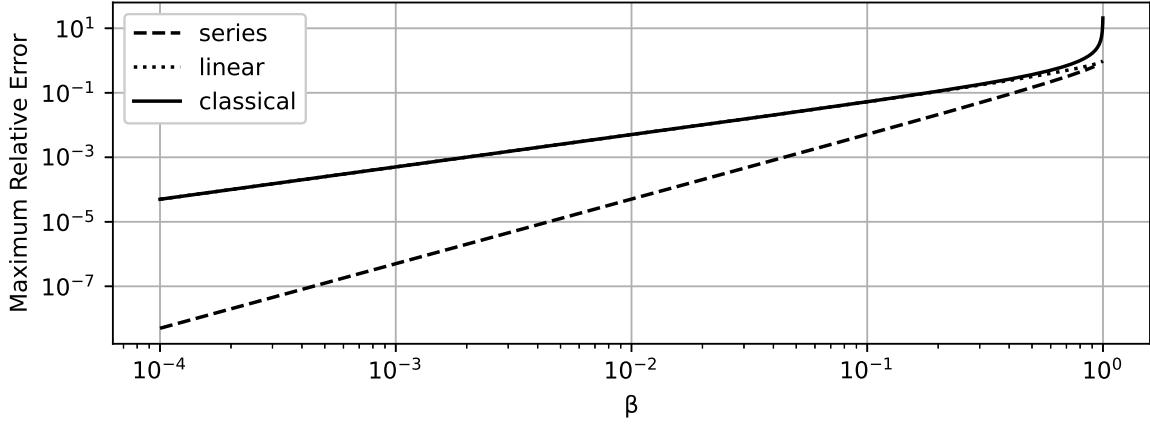
The difference in the quadratic term between (55) and (44) suggests that the linear approximation can be more preferable than the quadratic classical approximation. Comparison with two values of  $\theta$  is shown in Figure 4. Worst case (w.r.t.  $\theta$ ) relative errors are shown in Figure 5.

The linear approximation is usually sufficient for most purposes in astrometry: For example, if Earth's speed along its orbit is about 30 km/s or  $\beta \approx 10^{-4}$ , the maximum relative error from linear and quadratic approximations are on the scale of  $10^{-4}$  and  $10^{-8}$ , respectively. If the maximum aberration is around 20", this corresponds to absolute errors around 2 mas and 0.2  $\mu$ as, respectively. This error is so small, that it can be only detected using Very Long Baseline Interferometry (VLBI).

### 2.1.3 Spherical Coordinates

In many software implementations and textbooks, approximate aberration corrections are applied in terms of spherical coordinates. We expand uncorrected direction

$$\hat{\mathbf{p}} = \begin{bmatrix} \cos \delta \cos \alpha \\ \cos \delta \sin \alpha \\ \sin \delta \end{bmatrix}, \quad (56)$$



**Figure 5:** Maximum aberration error with respect to the exact relativistic formula.

where  $\delta$  and  $\alpha$  are the declination and the right ascension, respectively (in appropriate frames). Then, unit vectors along the declination and right ascension coordinates can be written

$$\hat{\mathbf{e}}_\delta = \frac{\partial \hat{\mathbf{p}}}{\partial \delta} = \begin{bmatrix} -\sin \delta \cos \alpha \\ -\sin \delta \sin \alpha \\ \cos \delta \end{bmatrix}, \quad \hat{\mathbf{e}}_\alpha = \frac{1}{\cos \delta} \frac{\partial \hat{\mathbf{p}}}{\partial \alpha} = \begin{bmatrix} -\sin \alpha \\ \cos \alpha \\ 0 \end{bmatrix}. \quad (57)$$

Thus, for small  $\hat{\mathbf{s}} - \hat{\mathbf{p}}$ , we can approximate

$$\Delta\delta = (\hat{\mathbf{s}} - \hat{\mathbf{p}}) \cdot \hat{\mathbf{e}}_\delta \quad (58)$$

$$\cos \delta \Delta\alpha = (\hat{\mathbf{s}} - \hat{\mathbf{p}}) \cdot \hat{\mathbf{e}}_\alpha \quad (59)$$

For small  $v/c$ , we can approximate from (15)

$$\hat{\mathbf{s}} - \hat{\mathbf{p}} \approx \beta. \quad (60)$$

Thereafter, we obtain

$$\Delta\delta = \hat{\mathbf{e}}_\delta \cdot \beta = -\beta_x \sin \delta \cos \alpha - \beta_y \sin \delta \sin \alpha + \beta_z \cos \delta \quad (61)$$

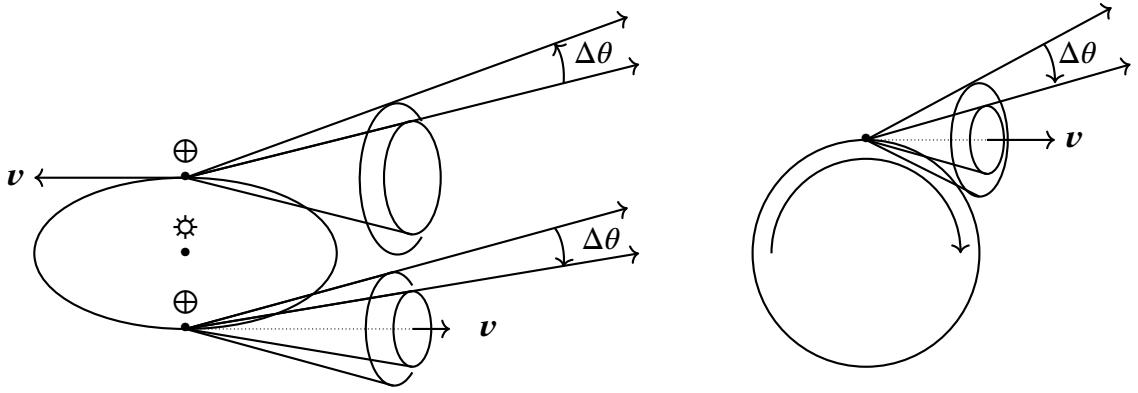
$$\cos \delta \Delta\alpha = \hat{\mathbf{e}}_\alpha \cdot \beta = -\beta_x \sin \alpha + \beta_y \cos \alpha. \quad (62)$$

This is equivalent to the equation (23.4) in [7].

## 2.2 Stellar Aberration

**Stellar aberration** refers to the apparent change in the position of a stellar object due to velocity of the observer. For an observer fixed to the surface of a rotating planet, the stellar aberration may involve:

- **Annual aberration** resulting from the motion of the planet around the barycenter of the solar system. For Earth, the velocity of 29.79 km/s around the barycenter of the solar system amounts to a maximum correction on 20.496 arcseconds.



**Figure 6:** Annual aberration correction for a planet orbiting the Sun (left). Diurnal aberration correction for an observer on the surface of a rotating planet (right). The cones depict rotational symmetry in the correction.

- **Diurnal aberration** resulting from the rotation of the planet. At the equator, Earth rotates approximately with the tangential velocity of 465 meters per second, which amounts to a maximum correction of 0.32 arcseconds.
- **Secular aberration** resulting from the approximately uniform motion of the solar system around the center of the galaxy. For our solar system, this velocity is about 220 km/s and amounts to a maximum correction of 150''. However, since the velocity changes very slowly, the effect is included in the catalogued positions and is not corrected.
- **Planetary aberration** resulting from both the motion of the observer and the movement of the observed body.

Aberration can also result from the observer moving w.r.t. the surface of the planet. For example, a fighter jet or a missile can travel at speeds higher than the rotation speed of Earth. However, this effect is likely always small enough to be neglected in technical applications. For a satellite on LEO moving at 8 km/s w.r.t. inertial frame centered on Earth, the maximum aberration is around 5.5 arcseconds.

The expressions developed in the previous section apply to all types of aberration.

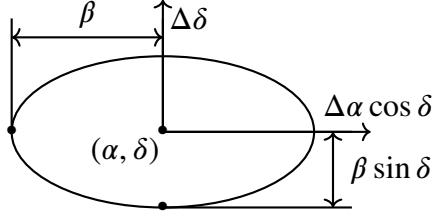
### 2.2.1 Stellar and Diurnal Aberration in Spherical Coordinates

For elliptic orbits, the velocity and distance from the center can be expressed

$$v = \sqrt{\mu \left( \frac{2}{r} - \frac{1}{a} \right)}, \quad r = \frac{a(1-e^2)}{1+e \cos f}. \quad (63)$$

At perihelion and aphelion  $f = 0$  and  $f = 180^\circ$ , respectively corresponding to maximum and minimum velocities

$$v_{max} = \sqrt{\frac{\mu}{a} \left( \frac{1+e}{1-e} \right)}, \quad v_{min} = \sqrt{\frac{\mu}{a} \left( \frac{1-e}{1+e} \right)}. \quad (64)$$



**Figure 7:** Elliptical shape of aberration correction w.r.t. the uncorrected position.

For Earth's orbit around the Sun, we can substitute  $\mu = 1.32712440042 \cdot 10^{20} \text{ m}^3\text{s}^{-2}$ ,  $e = 0.01671022$  and  $a = 1.495980229607128 \cdot 10^{11} \text{ m}$  leading to

$$v_{max} = 30286.614 \text{ m/s}, \quad v_{min} = 29291.058 \text{ m/s}, \quad v_{avg} = 29790.915 \text{ m/s}. \quad (65)$$

The **constant of aberration**  $\kappa$  is the maximum possible displacement of a distant object due to aberration and can be approximated  $\kappa \approx v/c$ . Correspondingly, we obtain

$$\kappa_{max} = 20.838'', \quad \kappa_{min} = 20.153'', \quad \kappa_{avg} = 20.497''. \quad (66)$$

To obtain geometric intuition about annual aberration throughout the year, consider a circular orbit with zero inclination. That is,

$$\beta(t) = \beta(\cos \omega t, \sin \omega t, 0). \quad (67)$$

Substitution to (61) and (62), yields

$$\Delta\delta = -\beta \sin \delta \cos \alpha \cos \omega t - \beta \sin \delta \sin \alpha \sin \omega t \quad (68)$$

$$\cos \delta \Delta\alpha = -\beta \sin \alpha \cos \omega t + \beta \cos \alpha \sin \omega t. \quad (69)$$

Note that  $\delta$  and  $\alpha$  are now spherical coordinates w.r.t. the ecliptic instead of the equator. Suppose  $\alpha = 0$ . Then, the above simplifies to

$$\Delta\delta = -\beta \sin \delta \cos \omega t, \quad (70)$$

$$\cos \delta \Delta\alpha = \beta \sin \omega t. \quad (71)$$

That is, annual aberration will lead to a displacement shaped like an ellipse with a period of one orbit (see Figure 7). For a star is on ecliptic plane, the ellipse is degenerate. At zenith w.r.t. ecliptic plane, it is a perfect circle.

The velocity of the observer on the surface of Earth can be written

$$v = \omega(R + h) \cos \phi, \quad (72)$$

where  $R$ ,  $h$  and  $\phi$  are the radius of Earth, altitude of the observer above the surface of Earth and the latitude. Consequently, the aberration can be approximated

$$\Delta\theta \approx \beta \approx \frac{\omega(R + h)}{c} \cos \phi. \quad (73)$$

Substitution of  $R = 6371$  km,  $\omega = 2\pi/86164.0905$  s yields at the equator

$$\Delta\theta \approx -0.3196''. \quad (74)$$

The velocity is always approximately towards the east corresponding to the x-axis in the ENU frame. That is, substituting  $\beta = \hat{\beta}\hat{x}$  to (61) and (62), yields

$$\Delta\delta = -\beta \sin \delta \cos \alpha \quad (75)$$

$$\cos \delta \Delta\alpha = -\beta \sin \alpha. \quad (76)$$

The notation above is misleading in the sense  $\delta$  and  $\alpha$  do not refer to declination and right ascension. To obtain an expression in terms of elevation and azimuth, substitute for  $a = \delta$  and  $\alpha = 90^\circ - \phi$  to obtain

$$\Delta a = -\beta \sin a \sin \phi, \quad (77)$$

$$\cos a \Delta\phi = \beta \cos \phi. \quad (78)$$

For  $\phi = 90^\circ$  (east), we obtain  $\Delta a = -\beta \sin a$  and for  $a = 0$ , we obtain  $\Delta\phi = \beta \cos \phi = -\beta \sin(\phi - 90^\circ)$ , which is consistent with (55).

## 2.2.2 Planetary Aberration and Light-Time

The travel time of light between target and the observer is called **light-time** and denoted  $\tau$ . When the direction to target changes significantly during light-time, this requires a correction. The relative apparent position to target can be expressed

$$\mathbf{p}(t) = \mathbf{u}_B(t - \tau) - \mathbf{E}_B(t), \quad (79)$$

where  $\mathbf{u}_B$  and  $\mathbf{E}_B$  are the barycentric positions of the target and the observer. Application of (15) yields

$$\mathbf{s}(t) = \mathbf{p}(t) + \beta |\mathbf{p}| \quad (80)$$

$$= \mathbf{u}_B(t - \tau) - \mathbf{E}_B(t) + \frac{|\mathbf{p}|}{c} \dot{\mathbf{E}}_B(t) \quad (81)$$

$$= \mathbf{u}_B(t - \tau) - \mathbf{E}_B(t) + \tau \dot{\mathbf{E}}_B(t) \quad (82)$$

$$\approx \mathbf{u}_B(t - \tau) - \mathbf{E}_B(t - \tau). \quad (83)$$

That is, correcting for planetary aberration at  $t$  with classical approximation (15) is equivalent to the computation the uncorrected direction to target at  $t - \tau$ . Note that this does not take into account the diurnal aberration.

## 2.2.3 Practical Computation

The positions of stars are typically catalogued w.r.t. the Solar System Barycenter (SSB) so that secular aberration is already included in the catalogued positions. Thus, to compute the aberration correction for an observer, we need to compute the velocity vector of the observer w.r.t. the SSB. For an observer on Earth, this velocity is the sum of the orbital velocity of the Earth around the SSB and the rotational velocity of the topocentric position of the observer around the geocenter (GEO) of Earth. Thereafter, (15) and (35) can be applied in any topocentric frame centered on the observer position.

Let us denote the position and velocity coordinate vector for target  $C$  in frame with orientation  $A$  and origin  $B$  with  $\mathbf{r}_{C(A)}^B$  and  $\mathbf{v}_{C(A)}^B$ . Omission of  $C$ ,  $A$  or  $B$  in an expression signifies that the expression applies for all targets, orientations or origins, respectively. Then, if  $R_A^a$  is the rotation matrix from orientation  $a$  to  $A$ , we can write

$$\mathbf{r}_{(A)} = R_A^a \mathbf{r}_{(a)} \Leftrightarrow \mathbf{r}_{C(A)}^B = R_A^a \mathbf{r}_{C(a)}^B \quad \forall B, C. \quad (84)$$

Similarly, for any two origins  $B$  and  $b$

$$\mathbf{r}^B = \mathbf{r}^b - \mathbf{r}_B^b \Leftrightarrow \mathbf{r}_{C(A)}^B = \mathbf{r}_{C(A)}^b - \mathbf{r}_{B(A)}^b \quad \forall A, C. \quad (85)$$

The equation (84) does not apply to velocity vectors unless the rotation matrix is constant w.r.t. time. More generally, we can expand

$$\mathbf{v}_{(A)} = \frac{d}{dt} \mathbf{r}_{(A)} = \frac{d}{dt} (R_A^a \mathbf{r}_{(a)}) = \dot{R}_A^a \mathbf{r}_{(a)} + R_A^a \mathbf{v}_{(a)}, \quad (86)$$

where the  $\dot{R}_A^a \mathbf{r}_{(a)}$  corresponds to velocity component from the rotation of  $\mathbf{r}_{(a)}$  w.r.t. the origin.

The position and velocity vectors w.r.t. origins at the GEO and the SSB can be related by

$$\mathbf{r}^{GEO} = \mathbf{r}^{SSB} - \mathbf{r}_{GEO}^{SSB}, \quad (87)$$

$$\mathbf{v}^{GEO} = \mathbf{v}^{SSB} - \mathbf{v}_{GEO}^{SSB}, \quad (88)$$

where  $\mathbf{v}_{GEO}^{SSB}$  is the orbital velocity of the geocenter w.r.t. the SSB. The rotation of the Earth can be expressed via the coordinate transform between inertial True-of-Date (ToD) and Pseudo-Earth-Fixed (PEF) frames

$$\mathbf{r}_{(PEF)}^{GEO} = R_{PEF}^{TOD} \mathbf{r}_{(TOD)}^{GEO} : R_{PEF}^{TOD} = \begin{bmatrix} \cos GAST & \sin GAST & 0 \\ -\sin GAST & \cos GAST & 0 \\ 0 & 0 & 1 \end{bmatrix}, \quad (89)$$

$$\mathbf{r}_{(TOD)}^{GEO} = R_{TOD}^{PEF} \mathbf{r}_{(PEF)}^{GEO} : R_{TOD}^{PEF} = \begin{bmatrix} \cos GAST & -\sin GAST & 0 \\ \sin GAST & \cos GAST & 0 \\ 0 & 0 & 1 \end{bmatrix}. \quad (90)$$

where GAST is the Greenwich Apparent Sidereal Time. The corresponding velocities can be expanded

$$\mathbf{v}_{(PEF)}^{GEO} = R_{PEF}^{TOD} \mathbf{v}_{(TOD)}^{GEO} + \dot{R}_{PEF}^{TOD} \mathbf{r}_{(TOD)}^{GEO}, \quad (91)$$

$$\mathbf{v}_{(TOD)}^{GEO} = R_{TOD}^{PEF} \mathbf{v}_{(PEF)}^{GEO} + \dot{R}_{TOD}^{PEF} \mathbf{r}_{(PEF)}^{GEO}, \quad (92)$$

where

$$\dot{R}_{PEF}^{TOD} = \frac{dGAST}{dt} \begin{bmatrix} -\sin GAST & \cos GAST & 0 \\ -\cos GAST & -\sin GAST & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad (93)$$

$$\dot{R}_{TOD}^{PEF} = \frac{dGAST}{dt} \begin{bmatrix} -\sin GAST & -\cos GAST & 0 \\ \cos GAST & -\sin GAST & 0 \\ 0 & 0 & 0 \end{bmatrix}. \quad (94)$$

For computation of diurnal aberration amounting to less than 0.32 arcseconds, we can approximate the time derivative of GAST using the length of a sidereal day

$$\frac{dGAST}{dt} \approx \frac{2\pi \text{ rad}}{86164.0905 \text{ s}} \approx 7.2921159 \cdot 10^{-5} \text{ rad/s} \approx 15.04107^\circ/\text{h}. \quad (95)$$

The velocity of the observer w.r.t. the SSB can be expressed

$$\boldsymbol{v}_{(ECL)}^{SSB} = \boldsymbol{v}_{GEO,(ECL)}^{SSB} + R_{ECL}^{TOD} \boldsymbol{v}_{(TOD)}^{GEO} \quad (96)$$

$$= \boldsymbol{v}_{GEO,(ECL)}^{SSB} + R_{ECL}^{TOD} \left( R_{TOD}^{PEF} \boldsymbol{v}_{(PEF)}^{GEO} + \dot{R}_{TOD}^{PEF} \boldsymbol{r}_{(PEF)}^{GEO} \right). \quad (97)$$

The position of the observer  $\boldsymbol{r}_{(PEF)}^{GEO} = R_{PEF}^{EFI} \boldsymbol{r}_{(EFI)}^{GEO}$ , where  $\boldsymbol{r}_{(EFI)}^{GEO}$  can be obtained from geodetic position on WGS84. For an observer that is stationary w.r.t. surface of Earth  $\boldsymbol{v}_{(PEF)}^{GEO} = \mathbf{0}$ .

Aberration corrections (15) and (35) can be applied in the ecliptic frame centered on the SSB or the topocentric ENU frame of the observer. Application in an ENU frame requires rotation

$$R_{ENU}^{ECL} \boldsymbol{v}_{(ECL)}^{SSB} = R_{ENU}^{ECL} \boldsymbol{v}_{GEO,(ECL)}^{SSB} + R_{ENU}^{TOD} \left( R_{TOD}^{PEF} \boldsymbol{v}_{(PEF)}^{GEO} + \dot{R}_{TOD}^{PEF} \boldsymbol{r}_{(PEF)}^{GEO} \right). \quad (98)$$

Note that since the aberration relates to the velocity difference between the observer and the SSB in an inertial frame, it is not appropriate to transfer the velocity of SSB to a topocentric frame, where it would rotate around the observer location at very high speed.

## 2.3 Perspective Projection

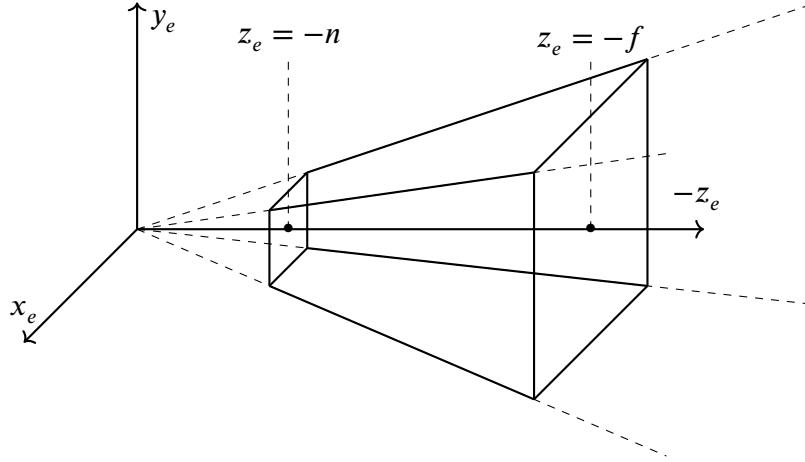
**Perspective projection** can be seen as an idealized representation how a camera or the human eye forms a two-dimensional image from light rays received from a three-dimensional scene. Lines-of-sight are considered to converge on a single point, which is considered as the location of the camera. If the image is rectangular, all potentially visible points in the scene are inside an infinite pyramidal shape starting from camera position. Usually, the pyramid is truncated into a **camera frustum** with **near and far planes** orthogonal to the pointing direction of the camera (see Figure 8) and all points outside the frustum are discarded in a process called **culling**.

Perspective projection is used to map points in the three-dimensional scene via normalized device coordinates to pixel coordinates on a screen or some other image system. All points from the same direction in the frustum will be always mapped to location on the screen. Aberration correction (e.g. (35)) for the direction from the camera to the point on the scene is applied before the perspective projection.

### 2.3.1 Model, World and View Spaces

Intuitively, the image can be considered to form on the near plane. However, any real-world software implementation typically involves a sequence of coordinate transformations between the world space and normalized device coordinates. In this document, we use standard OpenGL terms and conventions (see e.g. TBA).

Specific objects (e.g. cubes) in a scene might have their geometry defined in an object-specific **local space**, where the object in question is typically placed at the origin. The actual scene inhabits a **world space** into which specific objects are placed according to object-specific mapping defined by a **model**



**Figure 8:** Infinite pyramid truncated into a camera frustum by near and far planes at  $z_e = -n$  and  $z_e = -f$ , respectively.

**matrix.** Each camera is placed at the origin of the corresponding **view space** pointing to  $-z$ -direction and the camera-specific transformation from the world space is defined by a **view matrix**. In the view space, the intersection of the frustum and the near and far planes correspond to the sets

$$N = [l, r] \times [b, t] \times \{-n\}, \quad (99)$$

$$F = [fl/n, fr/n] \times [fb/n, ft/n] \times \{-f\}, \quad (100)$$

where  $l, r, b$  and  $t$  refer to left, right, bottom and top coordinate values.

Since the transformations between spaces may involve translation in addition to deformation and rotation, 4x4-matrices operating on the Cartesian coordinates  $(x, y, z, w)$  are used, where  $w = 1$  in local, world and view spaces. The translation part of such transformation is included in the last column as follows

$$\begin{bmatrix} R_{11} & R_{12} & R_{13} & \Delta x \\ R_{21} & R_{22} & R_{23} & \Delta y \\ R_{31} & R_{32} & R_{33} & \Delta z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = \begin{bmatrix} Rr + \Delta r \\ 1 \end{bmatrix}, \quad (101)$$

where we have denoted  $r = [x, y, z]^T$ . Note that the matrix  $R$  is not applied to the translation part and thus the translation is not a translation in the source coordinate system. For example, if the camera is located at  $(p, 1)$  in the world space and points to  $+z$ -direction, the transformation between the world space coordinates  $(x_w, y_w, z_w, w_w = 1)$  to the view coordinates could be

$$\begin{bmatrix} x_e \\ y_e \\ z_e \\ w_e \end{bmatrix} = \begin{bmatrix} -1 & 0 & 0 & p_x \\ 0 & 1 & 0 & -p_y \\ 0 & 0 & -1 & p_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_w \\ y_w \\ z_w \\ 1 \end{bmatrix} = \begin{bmatrix} -x_w + p_x \\ +y_w - p_y \\ -z_w + p_z \\ 1 \end{bmatrix}, \quad (102)$$

That is, the translation  $(-p_x, -p_y, -p_z)$  in the world space corresponds to a translation  $(p_x, -p_y, p_z)$  in the view space.

### 2.3.2 The Clip Space, Projection and Normalized Device Coordinates

In order to draw triangles, lines or points on a screen and clip anything outside the camera frustum, the coordinates  $(x_e, y_e, z_e, w_e = 1)$  from the view space are first transformed into a **clip space** with a **projection matrix**. Then, culling corresponds to discarding vertices with coordinates  $(x_c, y_c, z_c, w_c)$  that satisfy

$$\max \{ |x_c|, |y_c|, |z_c| \} > w_c. \quad (103)$$

In the clip space  $w_c$  does not necessarily equal to 1. Rather, the clip space is an example of a **homogeneous space**, where the elements  $(\alpha x_c, \alpha y_c, \alpha z_c, \alpha)$  are considered equivalent for all non-zero values of  $\alpha$ . After culling, the clip coordinates are therefore transformed to **normalized device coordinates** inside the cube  $[-1, 1] \times [-1, 1] \times [-1, 1]$  with

$$(x_n, y_n, z_n) = (x_c / w_c, y_c / w_c, z_c / w_c). \quad (104)$$

The projection matrix can be derived by first considering **projective plane coordinates** on the near plane and their relation to the normalized device coordinates. If we denote coordinates in view space with  $(x_e, y_e, z_e, w_e = 1)$ , the intersection between the line to the origin and the near plane is at

$$x_p = \frac{nx_e}{-z_e}, \quad y_p = \frac{ny_e}{-z_e}. \quad (105)$$

The normalized device coordinates  $x_n, y_n$  on the near plane linearly map intervals  $[l, r]$  and  $[b, t]$  to the intervals  $[-1, 1]$  and  $[-1, 1]$ . Thus, we obtain

$$x_n = \frac{2x_p}{r-l} - \frac{r+l}{r-l}, \quad (106)$$

$$y_n = \frac{2y_p}{t-b} - \frac{t+b}{t-b}. \quad (107)$$

Thus, selecting  $w_c = -z_e$

$$x_n = \frac{1}{-z_e} \left( \frac{2nx_e}{r-l} + \frac{r+l}{r-l} z_e \right) = \frac{1}{w_e} \left( \frac{2nx_e}{r-l} + \frac{r+l}{r-l} z_e \right) = \frac{x_c}{w_e}, \quad (108)$$

$$y_n = \frac{1}{-z_e} \left( \frac{2ny_e}{t-b} + \frac{t+b}{t-b} z_e \right) = \frac{1}{w_e} \left( \frac{2ny_e}{t-b} + \frac{t+b}{t-b} z_e \right) = \frac{y_c}{w_e}. \quad (109)$$

We also want  $z_n$  to obtain values in the interval  $[-1, 1]$ . Thus, requiring that a linear map  $z_c = Az_e + B$  maps  $z_e = -n$  and  $z_e = -f$  map to  $z_c = -n$  and  $z_c = f$ , respectively, we get

$$z_c = -\frac{f+n}{f-n} z_e - \frac{2fn}{f-n}. \quad (110)$$

In order to check the result, we can compute the corresponding NDC coordinate

$$z_n = \frac{z_c}{-z_e} = \frac{1}{f-n} \left( f + n + \frac{2fn}{z_e} \right). \quad (111)$$

Substituting  $z_e = -n$  and  $z_e = -f$  then yields  $z_n = -1$  and  $z_n = 1$ , respectively, as expected.

Collecting the above results yields the projection matrix transforming the view coordinates to clip coordinates

$$P = \begin{bmatrix} 2n/(r-l) & 0 & (r+l)/(r-l) & 0 \\ 0 & 2n/(t-b) & (t+b)/(t-b) & 0 \\ 0 & 0 & -(f+n)/(f-n) & -2fn/(f-n) \\ 0 & 0 & -1 & 0 \end{bmatrix}. \quad (112)$$

In order to understand the culling criterion (103), note that the projection matrix maps the intersection of each plane with constant  $z_e$  with the camera frustum to

$$[z_e, -z_e] \times [z_e, -z_e] \times \{z_c\} \times \{-z_e\} = [-w_c, w_c] \times [-w_c, w_c] \times \{z_c\} \times \{w_c\}. \quad (113)$$

Any point on the plane outside the frustum will satisfy (103). On the other hand, if  $z_c > w_c$ , we get

$$z_c = -\frac{f+n}{f-n}z_e - \frac{2fn}{f-n} > w_c = -z_e \quad (114)$$

from which it easily follows that  $z_e < -f$ . Similarly, if  $z_c < -w_c$ , we get

$$z_c = -\frac{f+n}{f-n}z_e - \frac{2fn}{f-n} < -w_c = z_e, \quad (115)$$

which yields  $z_c > -n$ .

**Special Case 1** (*General symmetric case*):  $l = -w, r = w, b = -h, b = h$

$$P = \begin{bmatrix} n/w & 0 & 0 & 0 \\ 0 & n/h & 0 & 0 \\ 0 & 0 & -(f+n)/(f-n) & -2fn/(f-n) \\ 0 & 0 & -1 & 0 \end{bmatrix}. \quad (116)$$

**Special Case 2** (*General infinite case*):  $f \rightarrow \infty$

$$P = \begin{bmatrix} 2n/(r-l) & 0 & (r+l)/(r-l) & 0 \\ 0 & 2n/(t-b) & (t+b)/(t-b) & 0 \\ 0 & 0 & -1 & -2n \\ 0 & 0 & -1 & 0 \end{bmatrix}. \quad (117)$$

**Special Case 3** (*Top-right case*):  $l = 0, r = w, b = 0, t = h$

$$P = \begin{bmatrix} 2n/w & 0 & 1 & 0 \\ 0 & 2n/h & 1 & 0 \\ 0 & 0 & -(f+n)/(f-n) & -2fn/(f-n) \\ 0 & 0 & -1 & 0 \end{bmatrix}. \quad (118)$$

**Special Case 4** (*Symmetric infinite case*):  $f \rightarrow \infty, l = -w, r = w, b = -h, b = h$

$$P = \begin{bmatrix} n/w & 0 & 0 & 0 \\ 0 & n/h & 0 & 0 \\ 0 & 0 & -1 & -2n \\ 0 & 0 & -1 & 0 \end{bmatrix}. \quad (119)$$

### 2.3.3 Field-of-View and Aspect Ratio

In the general symmetric case (116), the parameters  $w$  and  $h$  have a clear relationship to horizontal and vertical field-of-view

$$\tan\left(\frac{\text{FOV}_x}{2}\right) = \frac{w}{n}, \quad (120)$$

$$\tan\left(\frac{\text{FOV}_y}{2}\right) = \frac{h}{n}. \quad (121)$$

The projection matrix can be then written

$$P = \begin{bmatrix} \frac{1}{\tan(\text{FOV}_x/2)} & 0 & 0 & 0 \\ 0 & \frac{1}{\tan(\text{FOV}_y/2)} & 0 & 0 \\ 0 & 0 & -\frac{f+n}{f-n} & \frac{-2fn}{f-n} \\ 0 & 0 & -1 & 0 \end{bmatrix}. \quad (122)$$

Typically  $\text{FOV}_y$  is written as  $\text{FOV}$  and  $\text{FOV}_x$  is expressed using **aspect ratio**  $\alpha = w/h$ . Thereafter,

$$P = \begin{bmatrix} \frac{1}{\alpha \tan(\text{FOV}/2)} & 0 & 0 & 0 \\ 0 & \frac{1}{\tan(\text{FOV}/2)} & 0 & 0 \\ 0 & 0 & -\frac{f+n}{f-n} & \frac{-2fn}{f-n} \\ 0 & 0 & -1 & 0 \end{bmatrix}, \quad (123)$$

which simplifies in the infinite case to

$$P = \begin{bmatrix} \frac{1}{\alpha \tan(\text{FOV}/2)} & 0 & 0 & 0 \\ 0 & \frac{1}{\tan(\text{FOV}/2)} & 0 & 0 \\ 0 & 0 & -1 & -2n \\ 0 & 0 & -1 & 0 \end{bmatrix}. \quad (124)$$

### 2.3.4 Implementation

In the visualizations shown in this section, we use an implementation that combines the symmetric infinite special case (119) of a projection matrix with a mapping to normalized device coordinates via division by  $w_c = -z_e$ . We also reorient axes so that the camera points to  $+z$  direction and positive  $x_e, y_e$  will map to positive  $x_n, y_n$ .

The second listing implements the vector aberration corrections (35) and (15).

**Listing 1:** Projection on world coordinates into screen or image coordinates.

```
1 import numpy as np
2
3 def project_ndc(p, n, w, h):
4     """Project_point_from_the_frustum_starting_from_[-w,-w]_x_[-h,h]_x_{n} to_[-1,1]_x_[-1,1]"""
5     x_n = (n * p[0]) / (w * p[2])
6     y_n = (n * p[1]) / (h * p[2])
7
8     return x_n, y_n
9
10 def ndc_to_screen(x_n, y_n, x_min, x_max, y_min, y_max):
11     """Convert_position_from_NDC_coordinates_to_screen_coordinates"""
12     x_s = 0.5 * ((x_max + x_min) + (x_max - x_min) * x_n)
13     y_s = 0.5 * ((y_max + y_min) + (y_max - y_min) * y_n)
14
15     return x_s, y_s
```

**Listing 2:** Relativistic and classical aberration corrections.

```
1 import numpy as np
2
3 def aberrate_direction_rel(p, beta_vec):
4     """Apply_relativistic_aberration_to_the_direction_of_a_position_vector."""
5
6     beta = np.linalg.norm(beta_vec)
7     gamma = 1 / np.sqrt(1 - beta * beta)
8     pu = p / np.linalg.norm(p)
9
10    su = 1 / (gamma * (1 + np.dot(pu, beta_vec))) * (pu + gamma * beta_vec *
11              (1 + np.dot(pu, beta_vec) * gamma / (gamma + 1)))
12
13    s = su * np.linalg.norm(p)
14    return s
15
16 def aberrate_direction_cla(p, beta_vec):
17     """Apply_classical_aberration_to_the_direction_of_a_position_vector."""
18
19     beta = np.linalg.norm(beta_vec)
20     gamma = 1 / np.sqrt(1 - beta * beta)
21     pu = p / np.linalg.norm(p)
22
23     su = (pu + beta_vec) / np.linalg.norm(pu + beta_vec)
24
25     s = su * np.linalg.norm(p)
26     return s
```

## 2.4 Deformation at Relativistic Speeds

### 2.4.1 Spherical Grid

Consider spherical grid with  $10^\circ$  steps w.r.t. coordinates  $\theta \in [-90^\circ, 90^\circ]$  and  $\phi \in [-180^\circ, 180^\circ]$ , where the relationship to Cartesian coordinates can be expressed as

$$x = R \cos \theta \cos \phi, \quad (125)$$

$$y = R \cos \theta \sin \phi, \quad (126)$$

$$z = R \sin \theta. \quad (127)$$

The radius  $R$  is assumed to be so large that the uncorrected directions towards the grid do not change and discussion of acceleration in the following makes sense.

Application of the relativistic aberration correction (35) to the grid with movement in  $+x$  and  $+z$  directions is shown Figure 9 and Figure 10, respectively. Figure 11 and Figure 12 show the deformation for an observer with  $90^\circ$  field-of-view. The comparison of the figures for motion in  $+x$  and  $+z$  directions indicates how the selection of the axis pointing to the north pole in spherical coordinates influences the simplicity of effect: When the motion is towards a pole, the effect becomes independent of  $\phi$ .

These visualizations show how as the observer accelerates towards the speed of light, aberration corresponds to an increasing compression towards the direction of motion and stretching in the opposite direction. That is, towards the direction of motion, the angular size of light sources will decrease and create an impression that the light sources are moving away from the observer while light sources in the opposite direction will appear to move towards the observer.

If an observer launched into a direction normal to the galactic plane and accelerated towards the speed of light, they would observe the galaxy as a shrinking ring in the direction of movement. Similarly, if an observer launched from the pole of Saturn at near the speed of light, they would see Saturn's rings in the forward direction (for a very brief moment). One could find an time-dependent acceleration that ensures that rings of Saturn would be observed within given angle w.r.t. forward-direction.

### 2.4.2 Rectangular Grid

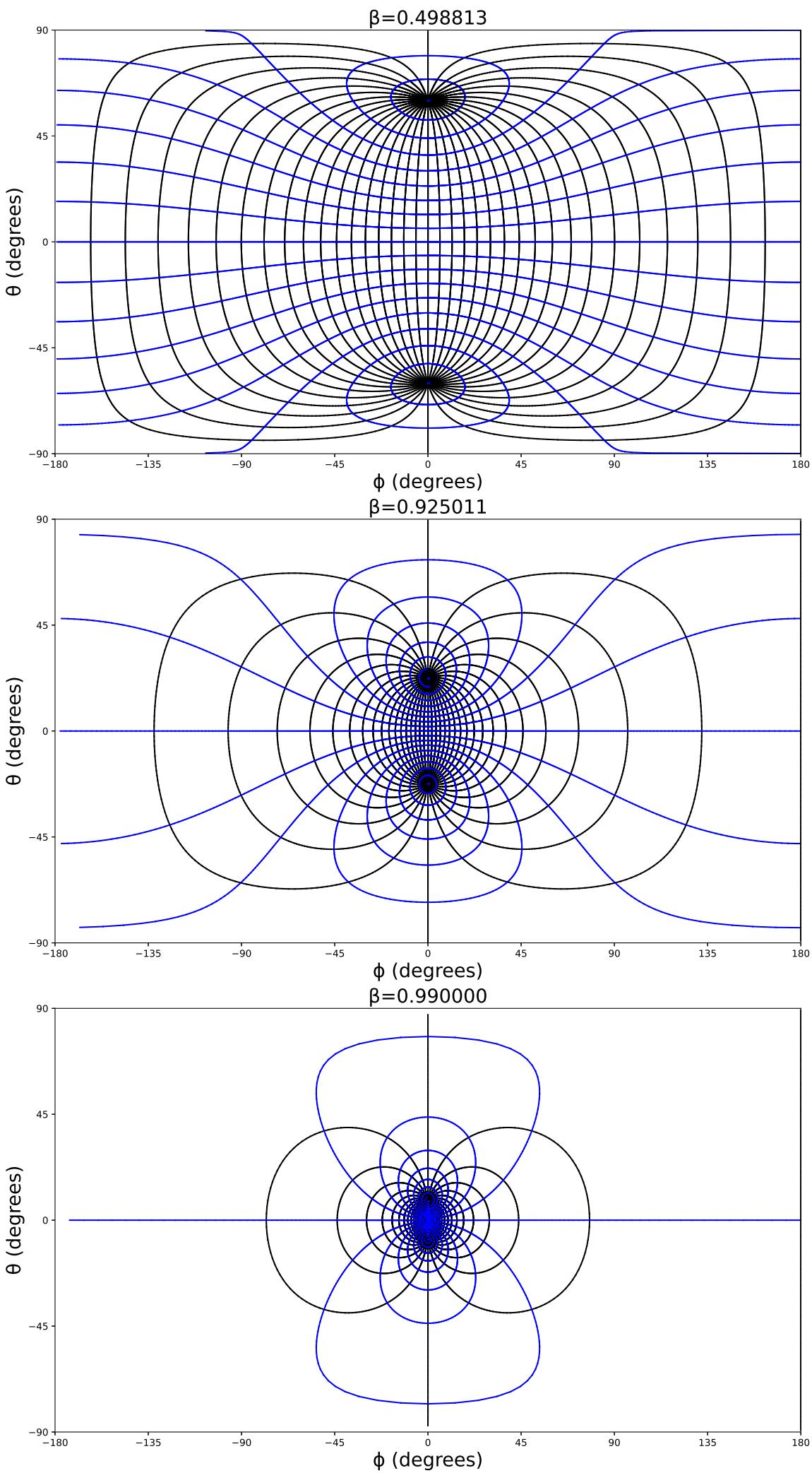
For rectangular grid on a  $z = \text{constant}$  plane, the angular size of the plane can be computed from (11) by first rewriting

$$\tan \theta' = \frac{\tan \theta}{\gamma(1 + \beta \sec \theta)} = \frac{\sin \theta / \cos \theta}{\gamma(1 + \beta / \cos \theta)} = \frac{\sin \theta}{\gamma(\cos \theta + \beta)}. \quad (128)$$

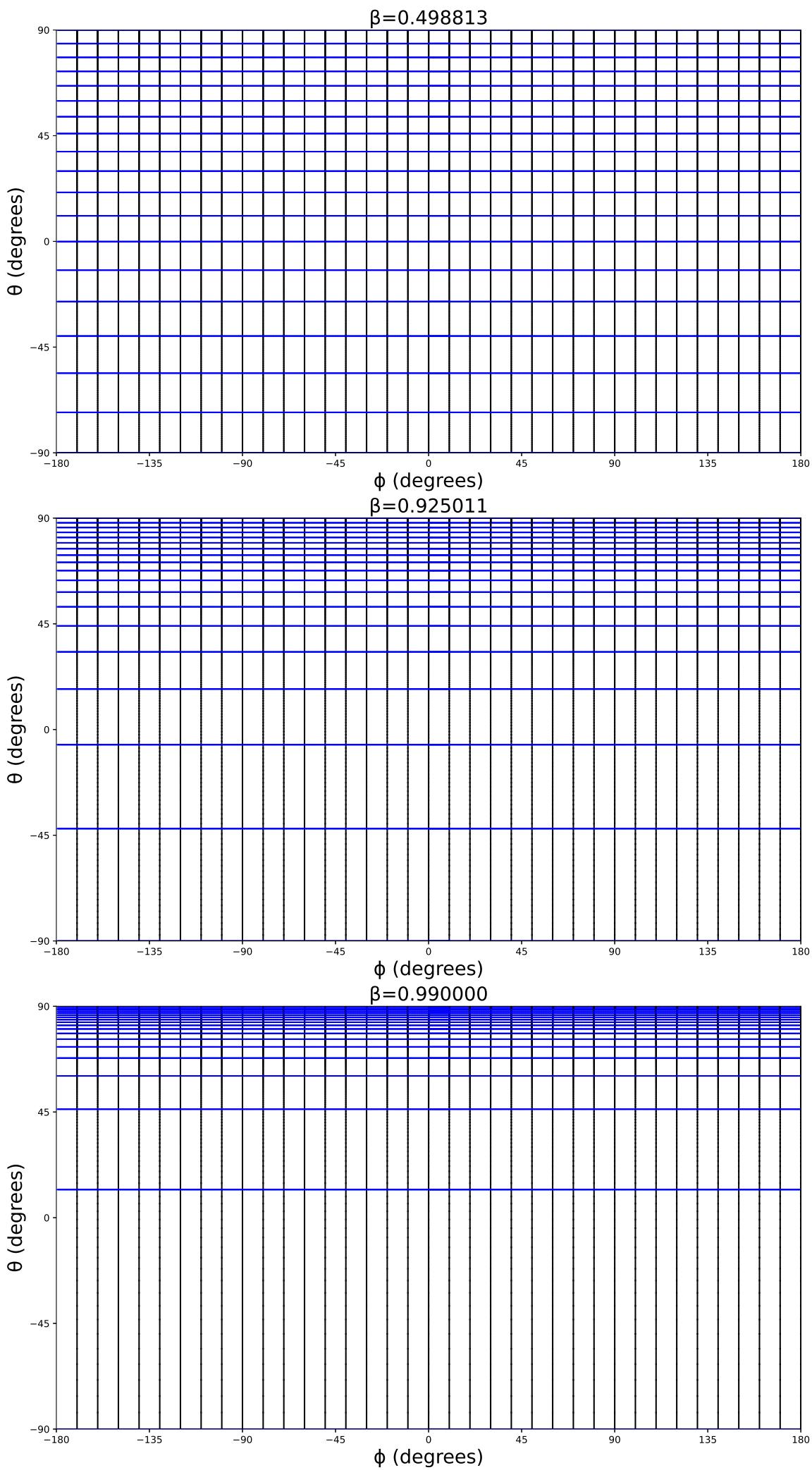
Substitution of  $\theta = 90^\circ$  then yields

$$\theta' = \arctan \frac{1}{\gamma \beta} \quad (129)$$

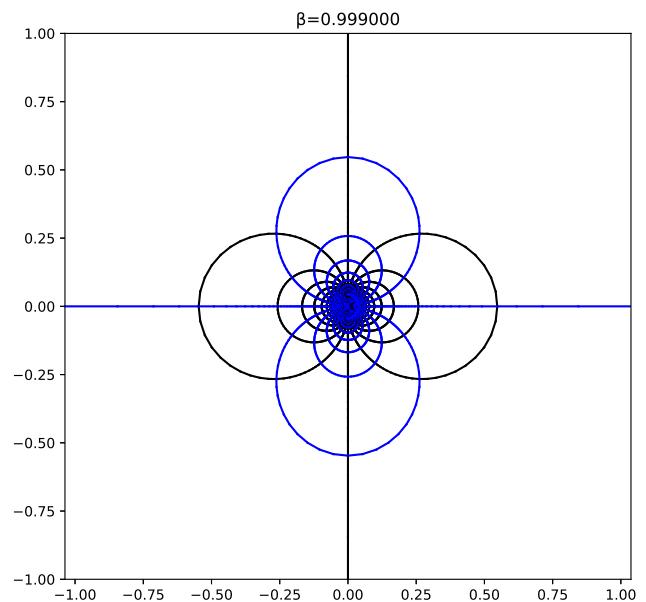
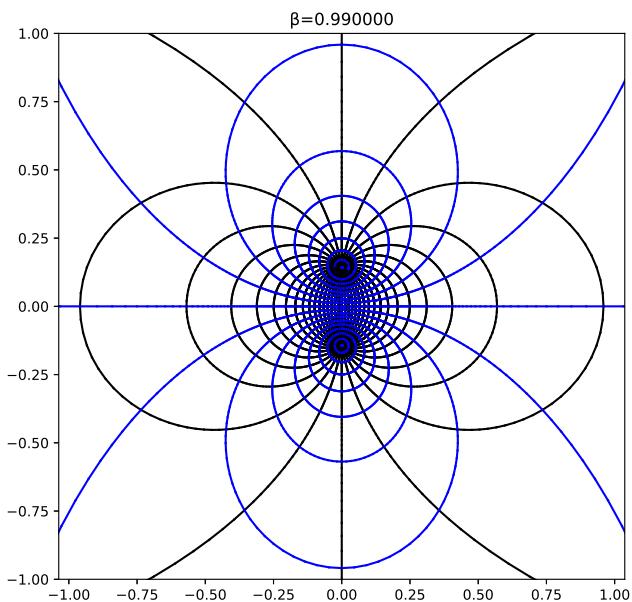
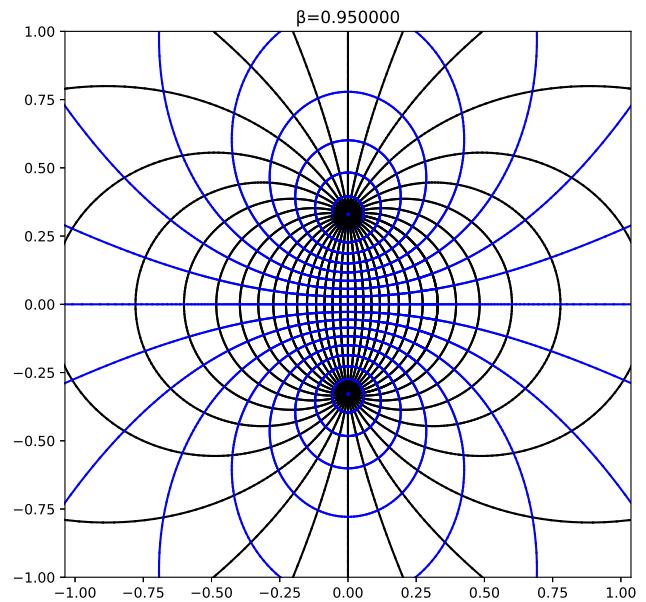
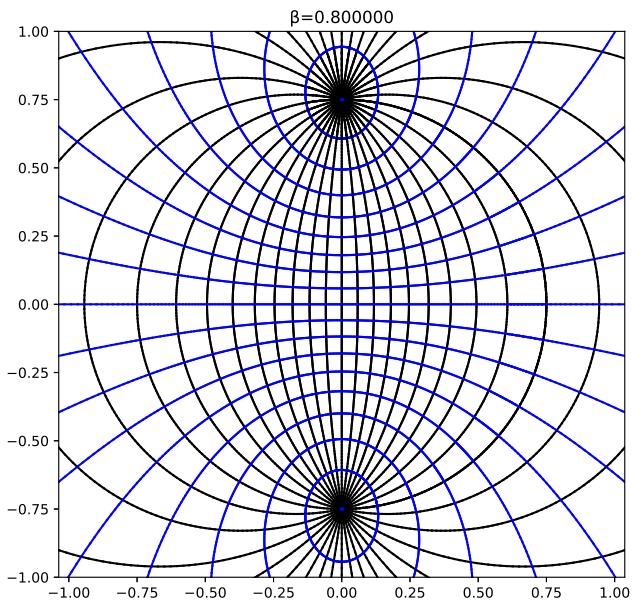
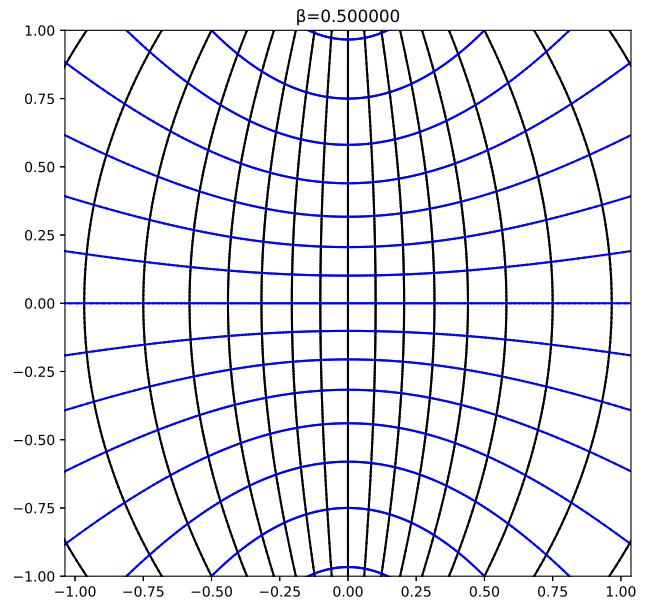
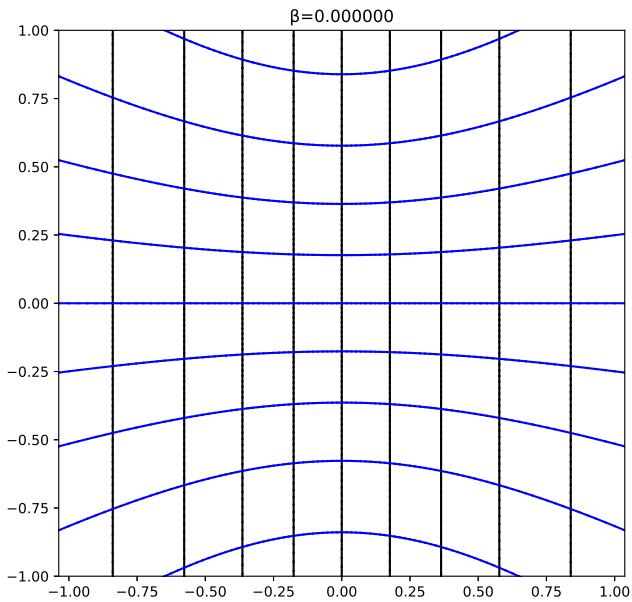
In Figures 13,  $z = \text{constant}$  grid is drawn together with the limiting curve (129) also shown in Figure 14. Figures 15 shows  $y = \text{constant}$  grid.



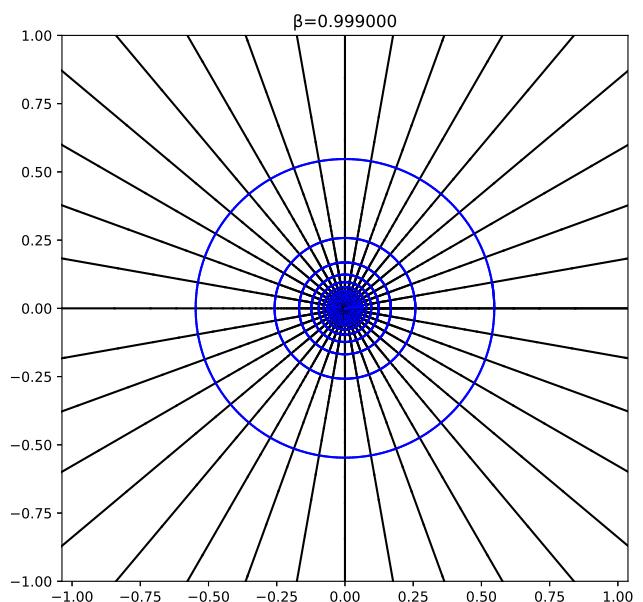
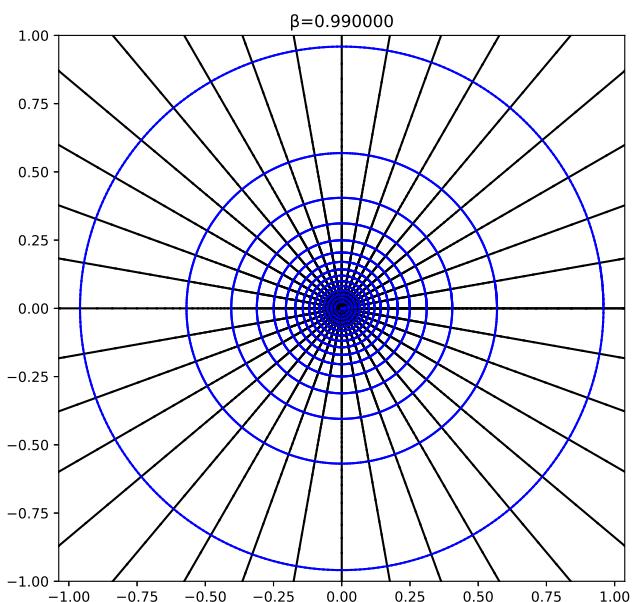
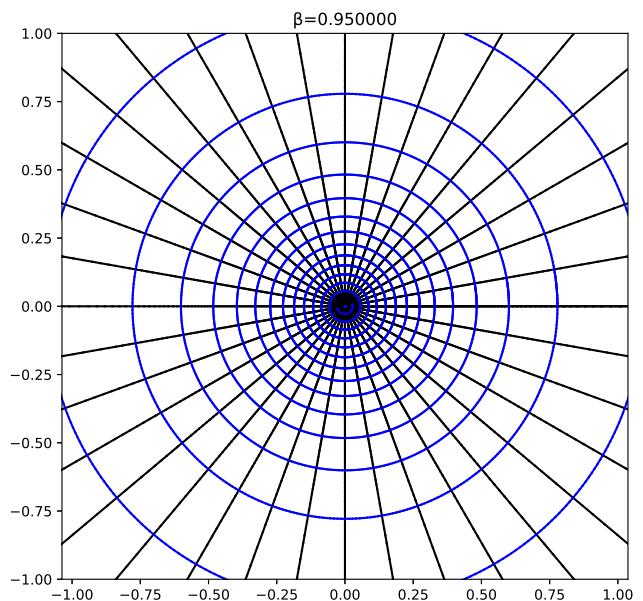
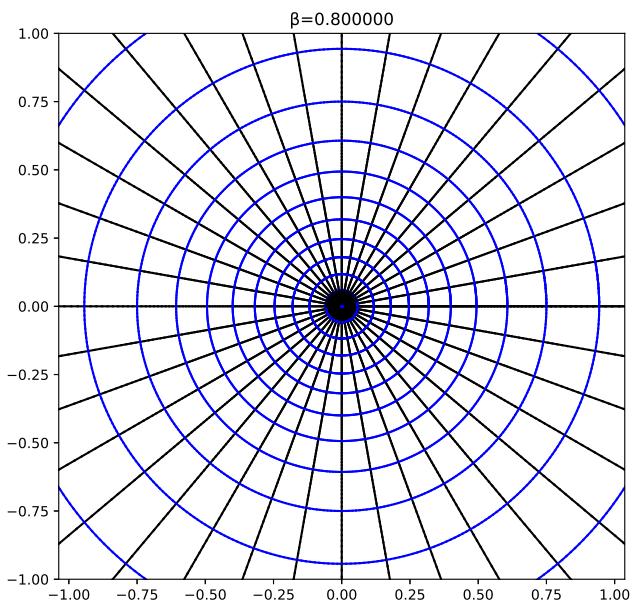
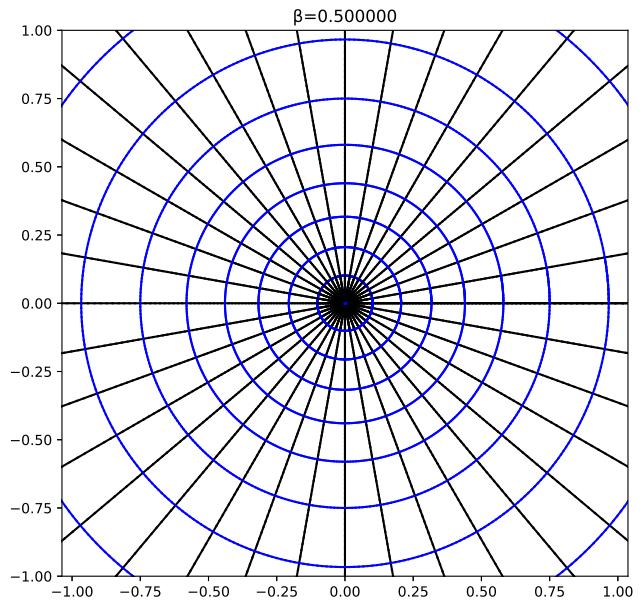
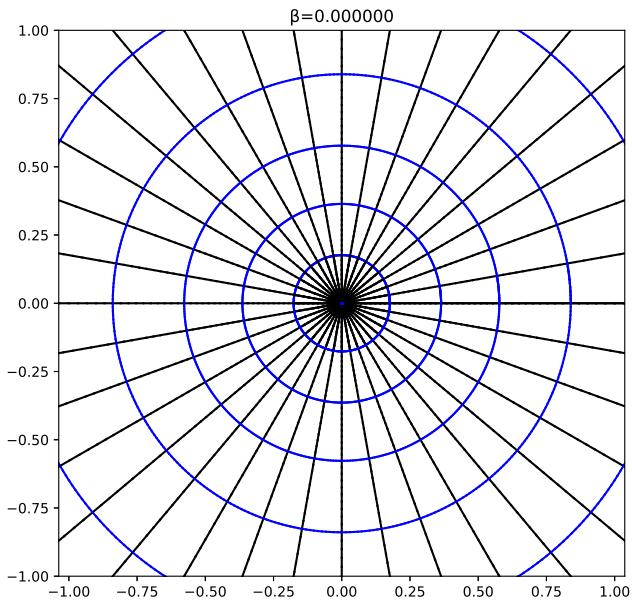
**Figure 9:** Deformation of a spherical grid due to motion in  $+x$  direction.



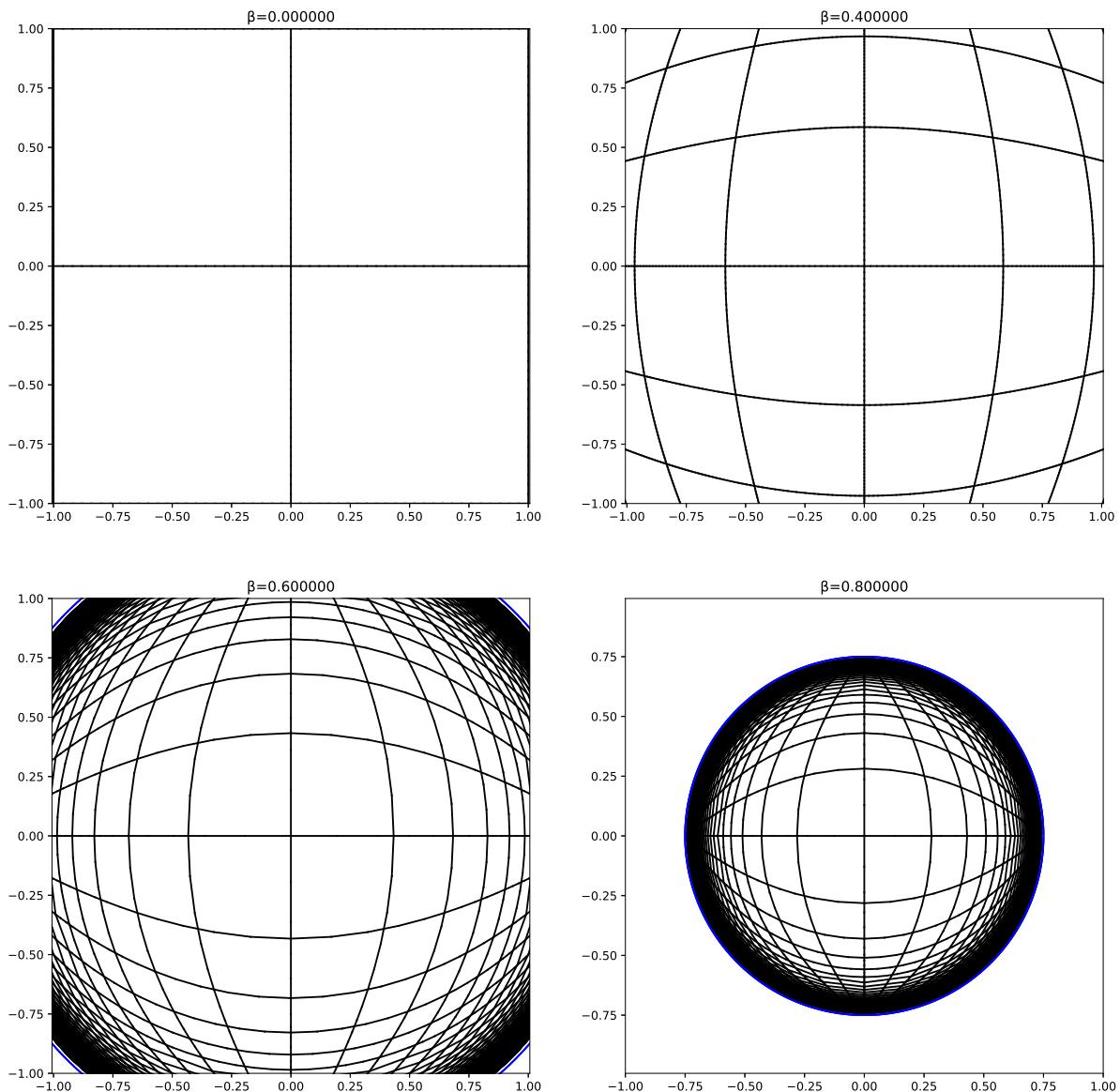
**Figure 10:** Deformation of a spherical grid due to motion in  $+z$  direction.



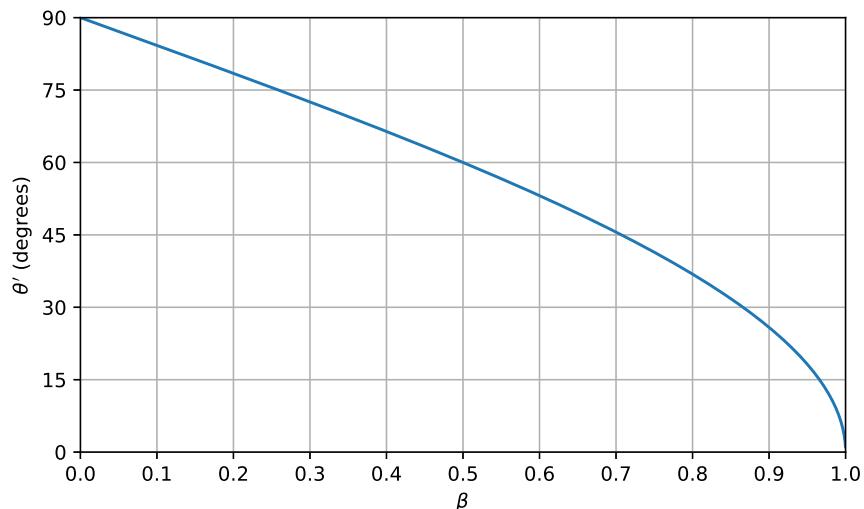
**Figure 11:** Deformation of a spherical grid due to motion in  $+x$  direction with perspective projection.



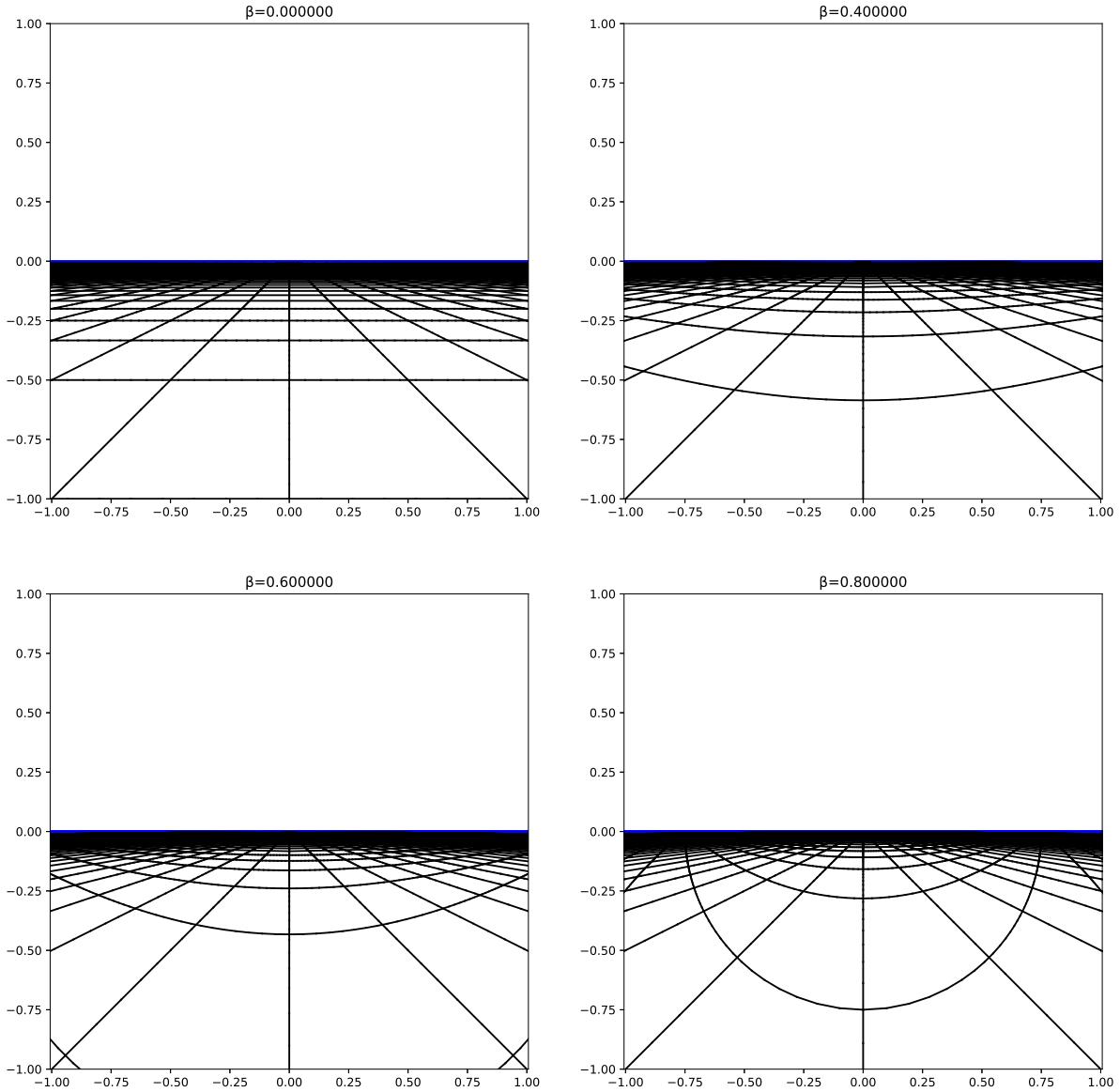
**Figure 12:** Deformation of a spherical grid due to motion in  $+z$  direction with perspective projection.



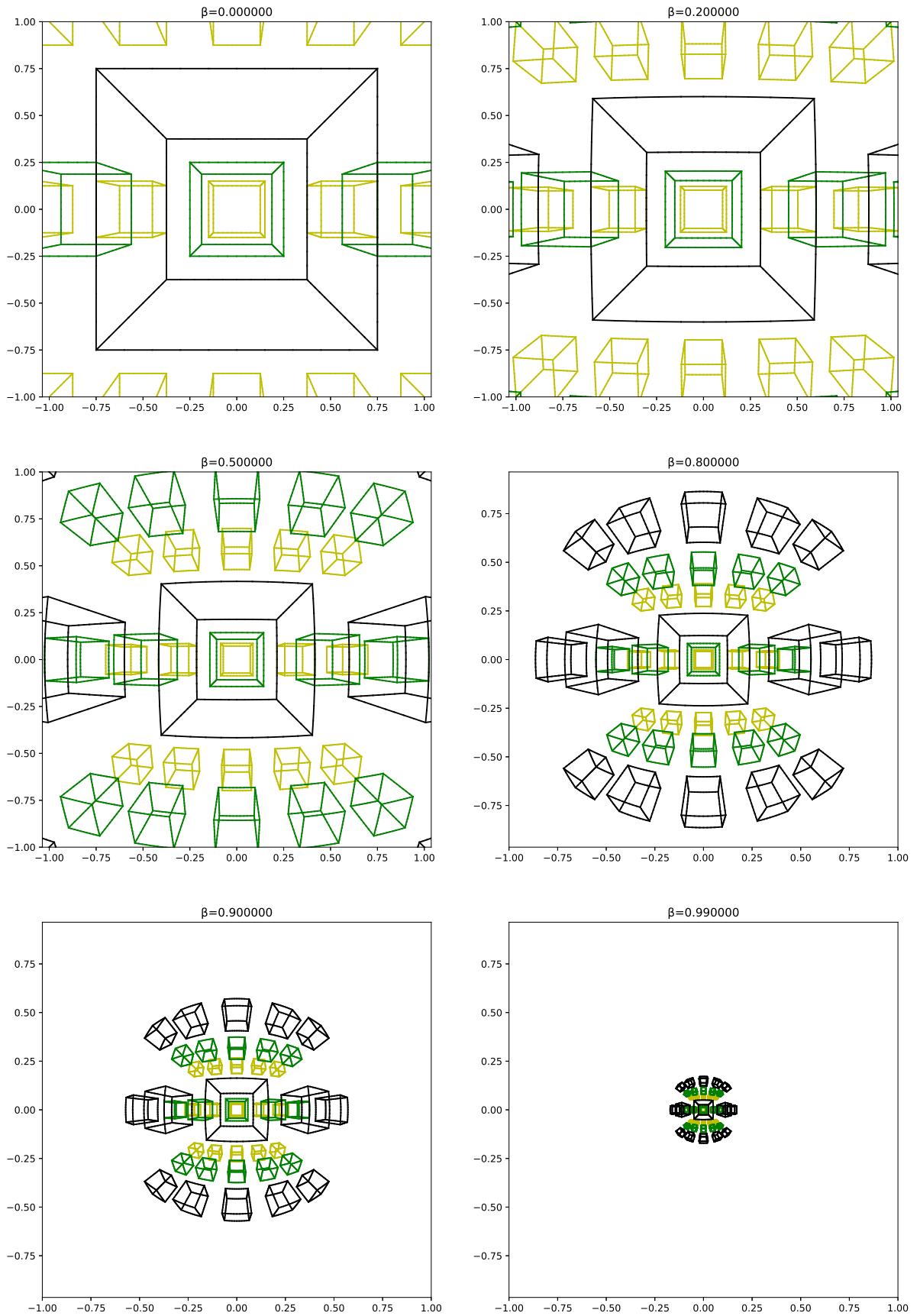
**Figure 13:** Deformation of a  $z = \text{constant}$  rectangular grid due to motion in  $+z$  direction with perspective projection.



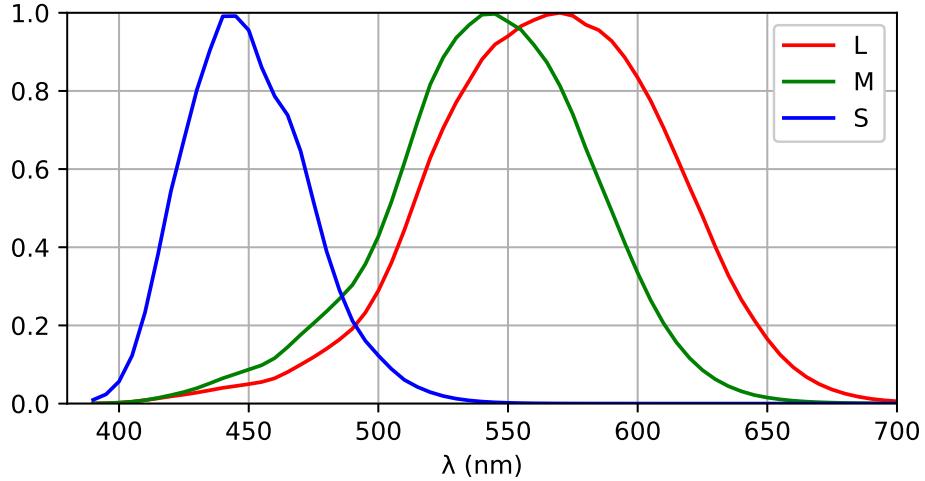
**Figure 14:** Angular radius of the circle into which the infinite plane with  $z = \text{constant}$  is aberrated into.



**Figure 15:** Deformation of a  $y = \text{constant}$  rectangular grid due to motion in  $+z$  direction with perspective projection.



**Figure 16:** Deformation of a set of cubes.



**Figure 17:** LMS color-matching functions  $\overline{L}(\lambda)$ ,  $\overline{M}(\lambda)$ ,  $\overline{S}(\lambda)$ .

## 3 Spectrum, Color and Radiation

### 3.1 Human Perception of Color

#### 3.1.1 Human Vision and LMS Color Space

Human vision is limited to the wavelength range between 380 and 700 nm. The perception of color is based on three classes of cone cells on the retina. Each type of cone cell has different **spectral sensitivity**. That is, they are sensitive to different ranges of wavelengths. We can refer to the three classes according to their peak wavelength as long (L), medium (M) and short (S) with peaks at 560, 530 and 420 nm, respectively.

Let  $S(\lambda)$  be the **spectral power distribution** ( $\text{W/m}^2/\text{nm}$ ) of the light received by the eye. If  $\overline{L}(\lambda)$ ,  $\overline{M}(\lambda)$ ,  $\overline{S}(\lambda)$  are cone response functions

$$L = \int_0^\infty S(\lambda) \overline{L}(\lambda) d\lambda \quad (130)$$

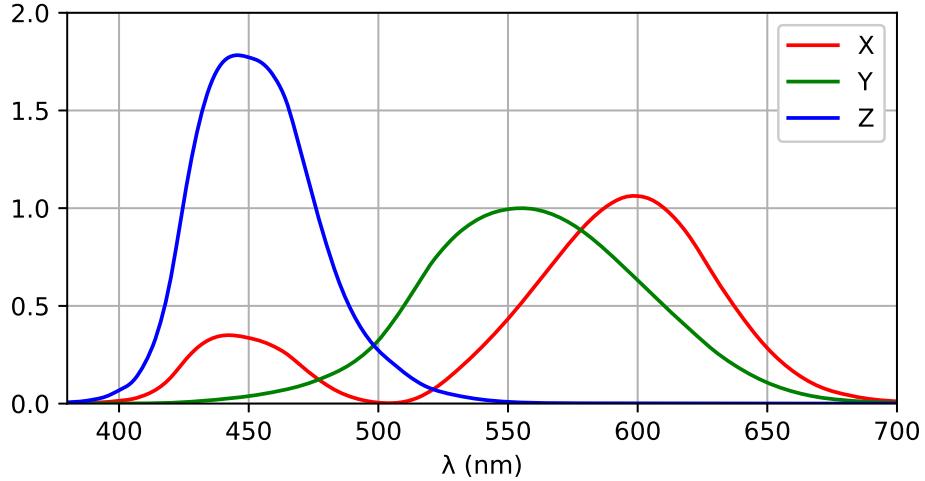
$$M = \int_0^\infty S(\lambda) \overline{M}(\lambda) d\lambda \quad (131)$$

$$S = \int_0^\infty S(\lambda) \overline{S}(\lambda) d\lambda, \quad (132)$$

they are called **Color Matching Functions (CMFs)** for the **LMS Color Space**. The cone response functions are normalized so that their maxima are equal to unity (see Figure 17). The triplets  $(L, M, S)$  obtained above are called the **tristimulus values**. Two different spectra resulting in the same tristimulus values are called **metamers**.

#### 3.1.2 CIE 1931 Color Spaces and sRGB Encoding

We wish to convert spectrum to 8-bit sRGB values that can be rendered on computer displays. This can be achieved by the use of CIE XYZ color-matching functions and then performing a conversion to SRGB and



**Figure 18:** The CIE XYZ color-matching functions  $\overline{X}(\lambda)$ ,  $\overline{Y}(\lambda)$ ,  $\overline{Z}(\lambda)$ .

subsequent quantization. The XYZ color-matching functions are shown in Figure 18 and the corresponding tristimulus values are obtained via the inner products

$$X = \int_0^\infty S(\lambda) \overline{X}(\lambda) d\lambda \quad (133)$$

$$Y = \int_0^\infty S(\lambda) \overline{Y}(\lambda) d\lambda \quad (134)$$

$$Z = \int_0^\infty S(\lambda) \overline{Z}(\lambda) d\lambda. \quad (135)$$

Then, linear sRGB values between in the interval [0, 1] can be obtained via the matrix product

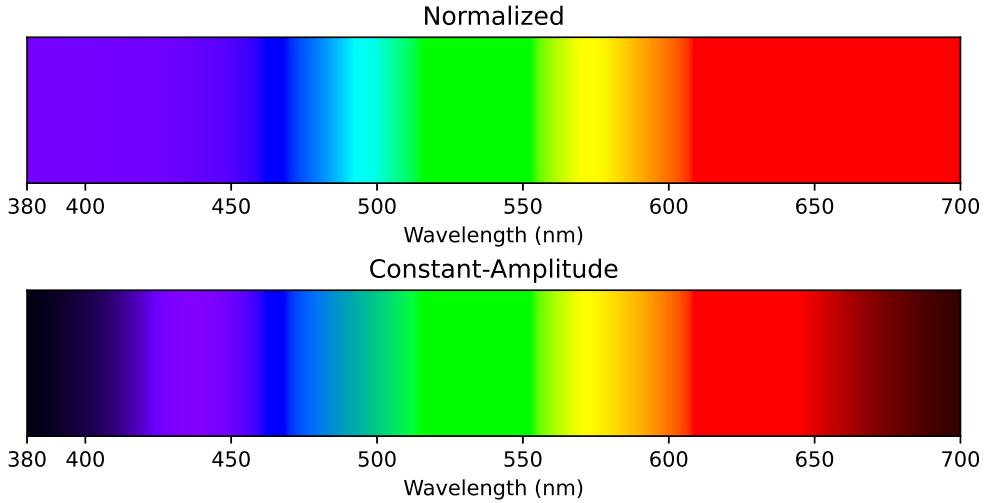
$$\begin{bmatrix} R_{sRGB} \\ G_{sRGB} \\ B_{sRGB} \end{bmatrix} = \begin{bmatrix} 3.2406255 & -1.5372080 & -0.4986286 \\ -0.9689307 & 1.8757561 & 0.0415175 \\ 0.0557101 & -0.2040211 & 1.0569959 \end{bmatrix} \begin{bmatrix} X \\ Y \\ Z \end{bmatrix} \quad (136)$$

and clipping values below 0 and above 1 to 0 and 1, respectively. Before quantization, a "gamma" transfer function is applied

$$C'_{sRGB} = \begin{cases} 12.92 C_{sRGB}, & C_{sRGB} \leq 0.0031308 \\ 1.055(C_{sRGB})^{(1/2.4)} - 0.055, & C_{sRGB} > 0.0031308 \end{cases} \quad (137)$$

where  $C \in \{R, G, B\}$ . Finally, the 8-bit quantized values are obtained via

$$\begin{bmatrix} R_{sRGB(8)} \\ G_{sRGB(8)} \\ B_{sRGB(8)} \end{bmatrix} = \text{round} \left( 255 \begin{bmatrix} R'_{sRGB} \\ G'_{sRGB} \\ B'_{sRGB} \end{bmatrix} \right) \quad (138)$$



**Figure 19:** RGB values obtained with (139) with and without normalization.

### 3.1.3 Example: Visible Wavelength Range

To compute sRGB values corresponding to the visible wavelength range, select for each wavelength  $\lambda'$

$$S(\lambda) := \frac{1}{2}\delta(\lambda - \lambda'), \quad (139)$$

where  $\delta$  is the Dirac delta function. The factor is used to avoid saturation in cases where the linear sRGB values become larger than 1. Then,

$$X = \frac{1}{2} \int_0^\infty \delta(\lambda - \lambda') \overline{X}(\lambda) d\lambda = \frac{1}{2} \overline{X}(\lambda'), \quad (140)$$

$$Y = \frac{1}{2} \int_0^\infty \delta(\lambda - \lambda') \overline{Y}(\lambda) d\lambda = \frac{1}{2} \overline{Y}(\lambda'), \quad (141)$$

$$Z = \frac{1}{2} \int_0^\infty \delta(\lambda - \lambda') \overline{Z}(\lambda) d\lambda = \frac{1}{2} \overline{Z}(\lambda'), \quad (142)$$

and application of (136) and (137) yield Figure 19.

### 3.1.4 Implementation

**Listing 3:** Implementation of the integration of XYZ tristimulus values and conversion to SRGB.

```

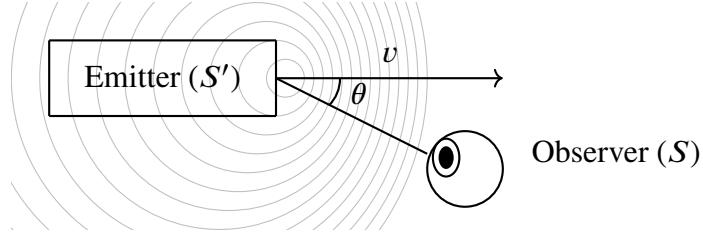
1 import numpy as np
2
3 # CIE tristimulus values from http://cvrl.ioo.ucl.ac.uk/cie.htm
4 # The Colour & Vision Research laboratory, Institute of Ophthalmology, University
   College London

```

```

5 CIE_data = np.array([
6 [360,0.000129900000,0.000003917000,0.000606100000],
7 ...
8 [830,0.000001251141,0.000000451810,0.000000000000]]);
9
10 CIE_wavel = CIE_data[:, 0]
11 CIE_X = CIE_data[:, 1]
12 CIE_Y = CIE_data[:, 2]
13 CIE_Z = CIE_data[:, 3]
14
15 def cie_xyz_integrate(wavelength, power):
16     """Integrate_CIE_tristimulus_values.
17
18     @param: wavelength_Wavelength_array_(nm)_corresponding_to_the_power_array_
19     values.
20     @param: power_Spectral_power_distribution_(W/m^2/nm)
21     @return: X, Y, Z_The_CIE_tristimulus_values.
22     """
23     # Interpolate values on the CIE wavelength grid.
24     power_interp = np.interp(CIE_wavel, wavelength, power)
25
26     # Step size in CIE data equals to 1 nm.
27     step_size = 1
28
29     X = np.trapz(CIE_X * power_interp, CIE_wavel, step_size)
30     Y = np.trapz(CIE_Y * power_interp, CIE_wavel, step_size)
31     Z = np.trapz(CIE_Z * power_interp, CIE_wavel, step_size)
32
33     return X, Y, Z
34
35 def cie_xyz_delta(wavelength):
36     """Integrate_CIE_tristimulus_values_with_dirac_delta.
37
38     @param: wavelength_Wavelength_for_the_dirac_delta_(nm).
39     @return: X, Y, Z_The_CIE_tristimulus_values.
40     """
41
42     X = np.interp(wavelength, CIE_wavel, CIE_X)
43     Y = np.interp(wavelength, CIE_wavel, CIE_Y)
44     Z = np.interp(wavelength, CIE_wavel, CIE_Z)
45
46     return X, Y, Z
47
48 def srgb1_srgb(srgb1_value):
49     """Convert_SRGB-linear_value_to_SRGB_value
50
51     @param_srgb1_value :_The_SRGB_linear_value
52     @return :_The_SRGB_value_in_the_range_[0,1]_with_gamma_correction_and_clipping.
53     """
54
55     # Apply clipping.
56     srgb1_value = max(0, srgb1_value)
57     srgb1_value = min(1, srgb1_value)

```



**Figure 20:** Geometry associated to Doppler Effect.

```

58
59     # Apply gamma or scaling .
60     if srgb1_value < 0.0031308:
61         value_out = 12.92 * srgb1_value
62     else :
63         value_out = 1.055 * (srgb1_value ** (1.0/2.4)) - 0.055
64     return value_out
65
66
67 def cie_xyz_srgb(X, Y, Z):
68     """Convert_CIE_tristimulus_values_to_SRGB_values
69
70     @param : _X_The_CIE_tristimulus_value_X
71     @param : _Y_The_CIE_tristimulus_value_Y
72     @param : _Z_The_CIE_tristimulus_value_Z
73     @return _R,_G,_B:_The_SRGB_values .
74     """
75
76     srgb1_r = 3.2406255 * X - 1.5372080 * Y - 0.4986286 * Z
77     srgb1_g = -0.9689307 * X + 1.8757561 * Y + 0.0415175 * Z
78     srgb1_b = 0.0557101 * X - 0.2040211 * Y + 1.0569959 * Z
79
80     srgb_r = srgb1_srgb(srgb1_r)
81     srgb_g = srgb1_srgb(srgb1_g)
82     srgb_b = srgb1_srgb(srgb1_b)
83
84     return srgb_r, srgb_g, srgb_b

```

## 3.2 Doppler Effect

### 3.2.1 Classical and Relativistic Doppler Effect

Consider a light source fixed to an inertial frame  $S'$  moving with velocity  $v$  w.r.t. an observer fixed to an inertial frame  $S$  (see Figure 20). Suppose that the direction of movement makes the angle  $\theta$  w.r.t. direction to the observer. Let  $\nu = v\hat{x}$  and represent the wavefront towards the observer with phase angle

$$\phi(\mathbf{x}, t) = A \cos(\mathbf{k} \cdot \mathbf{x} - \omega t), \quad (143)$$

where the wave vector satisfies

$$\mathbf{k} = k \cos \theta \hat{\mathbf{x}} + k \sin \theta \hat{\mathbf{y}}. \quad (144)$$

Substitution of  $kx - \omega t = 0$  yields in vacuum  $x = \omega t/k = ct$  and  $k = \omega/c$ .

The phase angle  $\phi$  in the frames  $S$  and  $S'$  can be related by the Galilean transformation

$$t = t' \quad (145)$$

$$x = x' + vt \quad (146)$$

$$y = y' \quad (147)$$

$$z = z' \quad (148)$$

with the expansion

$$\mathbf{k} \cdot \mathbf{x} - \omega t = kx \cos \theta + ky \sin \theta - \omega t \quad (149)$$

$$= k(x' + vt) \cos \theta + ky \sin \theta - \omega t \quad (150)$$

$$= kx' \cos \theta + ky' \sin \theta - \omega(1 - \beta \cos \theta) \quad (151)$$

$$= \mathbf{k}' \cdot \mathbf{x}' - \omega' t \quad (152)$$

Thus, we obtain the expression for **classical Doppler Effect**

$$\boxed{\omega = \frac{\omega'}{1 - \beta \cos \theta}, \quad \mathbf{k}' = \mathbf{k}.} \quad (153)$$

In the relativistic case, we replace the Galilean transformation with the Lorentz transformation

$$t = \gamma(t' + \beta x'/c) \quad (154)$$

$$x = \gamma(x' + vt) \quad (155)$$

$$y = y' \quad (156)$$

$$z = z'. \quad (157)$$

Now we can expand

$$\mathbf{k} \cdot \mathbf{x} - \omega t = k\gamma(x' + vt') \cos \theta + ky \sin \theta - \omega\gamma(t' + \beta x'/c) \quad (158)$$

$$= \gamma(k \cos \theta - \omega\beta/c)x' + ky \sin \theta - \gamma(\omega - kv \cos \theta)t' \quad (159)$$

$$= \gamma k(\cos \theta - \beta)x' + ky' \sin \theta - \gamma\omega(1 - \beta \cos \theta)t' \quad (160)$$

$$= \mathbf{k}' \cdot \mathbf{x}' - \omega' t' \quad (161)$$

and obtain the expression for **relativistic Doppler Effect**

$$\boxed{\omega = \frac{\omega'}{\gamma(1 - \beta \cos \theta)}, \quad \mathbf{k}' = \gamma k(\cos \theta - \beta)\hat{\mathbf{x}} + k \sin \theta \hat{\mathbf{y}}.} \quad (162)$$

The transformation of the wave vector corresponds to the relativistic aberration correction.

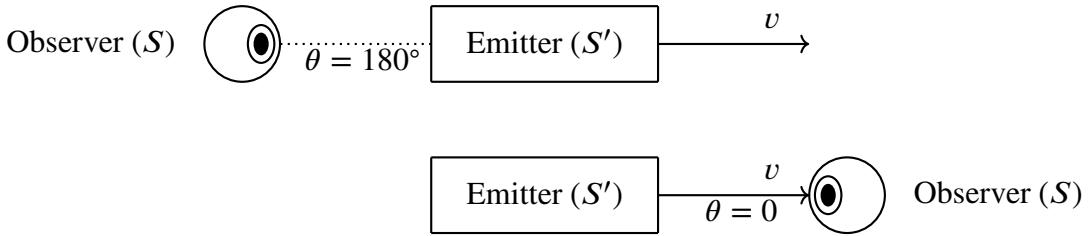
### 3.2.2 Longitudinal and Transverse Motion

The special cases relating to longitudinal motion are depicted in Figure 21. When  $\theta = 180^\circ$  and  $\theta = 0^\circ$ , we can expand

$$\frac{\omega}{\omega'} = \frac{1}{\gamma(1+\beta)} = \frac{\sqrt{(1+\beta)(1-\beta)}}{1+\beta} = \sqrt{\frac{1-\beta}{1+\beta}} \leq 1 \quad (163)$$

$$\frac{\omega}{\omega'} = \frac{1}{\gamma(1-\beta)} = \frac{\sqrt{(1+\beta)(1-\beta)}}{1-\beta} = \sqrt{\frac{1+\beta}{1-\beta}} \geq 1 \quad (164)$$

respectively. With transverse motion, it is necessary to take into account the influence of aberration: The



**Figure 21:** Geometry associated to maximal redshift (top) and maximal blueshift (below).

meaning of the direction of movement being at right angles to the vector between the observer and the source is dependent on the frame. Superficial analysis not taking aberration into account might suggest that a planet orbiting a star on a perfectly circular orbit would experience Doppler redshift but in fact it will experience blueshift. Substitution of  $\theta = \pi/2$  to (162) yields expression for transverse motion in  $S$

$$\omega = \frac{\omega'}{\gamma} < \omega' \quad (165)$$

However, substitution of  $\theta' = \pi/2$  yields  $\beta = \cos \theta$  and an expression for transverse motion in  $S'$

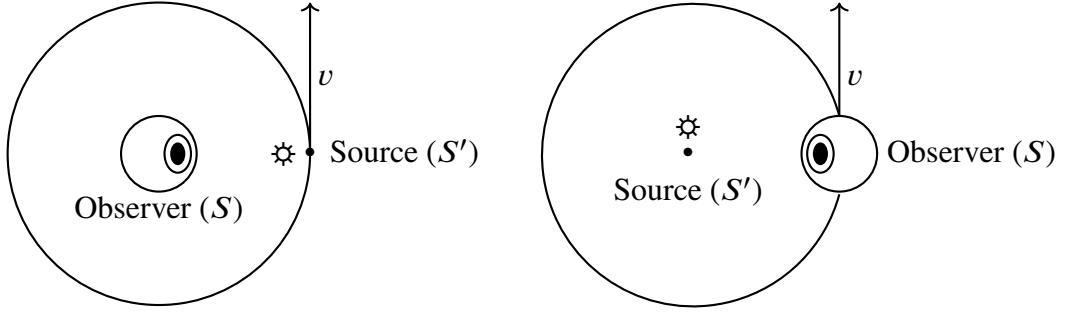
$$\omega = \frac{\omega'}{\gamma(1-\beta^2)} = \gamma \omega' > \omega'. \quad (166)$$

That is, transverse motion from the viewpoint of the observer leads to a redshift and transverse motion from the viewpoint of the source leads to a blueshift. A planet orbiting a star on a circular orbit will experience Doppler blueshift of the starlight and a imaging satellite orbiting a planet will experience (Doppler) redshift (see Figure 22).

As shown previously, maximum blueshift and redshift are obtained at angles  $\theta = 0^\circ$  and  $\theta = 180^\circ$ , respectively. The boundary w.r.t. values  $\theta$  between redshift and blueshift occurs when  $\omega = \omega'$  or equivalently

$$\gamma(1 - \beta \cos \theta) = 1 \Leftrightarrow \cos \theta = \frac{1}{\beta} \left( 1 - \frac{1}{\gamma} \right). \quad (167)$$

The limit is depicted in Figure 23. When  $\beta$  becomes larger, the region of blueshift gets smaller and converges to a dot when  $\beta \rightarrow 1$ .



**Figure 22:** Two examples of transverse motion: Transverse motion in  $S$  resulting in redshift (left) and transverse motion in  $S'$  resulting in blueshift (right).

### 3.3 Spectrum and Radiation

It's somewhat likely that portion of details in this section are wrong.

#### 3.3.1 Basic Radiometry

**Luminosity** (also called **radiant flux**)  $L$  ( $W$ ) for a closed surface is an absolute measure of radiated electromagnetic energy per unit time. The luminosity of Sun is denoted  $L_{\odot}$ . **Radiance** for a source  $L_e$  is the radiant flux from a given surface per unit projected area per unit solid angle. That is,

$$L_e = \frac{\partial}{\partial \Omega} \frac{\partial L}{\partial A \cos \theta} \quad (168)$$

where  $\Omega$  is the solid angle around the surface element  $dA$ ,  $A$  is the area on the source and  $\theta \in [0, \pi/2]$  is the angle between the surface normal and the point on the unit sphere (see Figure 24). Projection of an area element  $dA$  to the direction  $\theta$  w.r.t. surface normal is equal to  $\cos \theta dA$ .

**Spectral radiance** in frequency or wavelength are defined

$$L_{e,\nu} := \frac{\partial L_e}{\partial \nu} \Leftrightarrow L_e = \int_0^\infty L_{r,\nu}(\nu) d\nu \quad (169)$$

$$L_{e,\lambda} := \frac{\partial L_e}{\partial \lambda} \Leftrightarrow L_e = \int_0^\infty L_{r,\lambda}(\lambda) d\lambda, \quad (170)$$

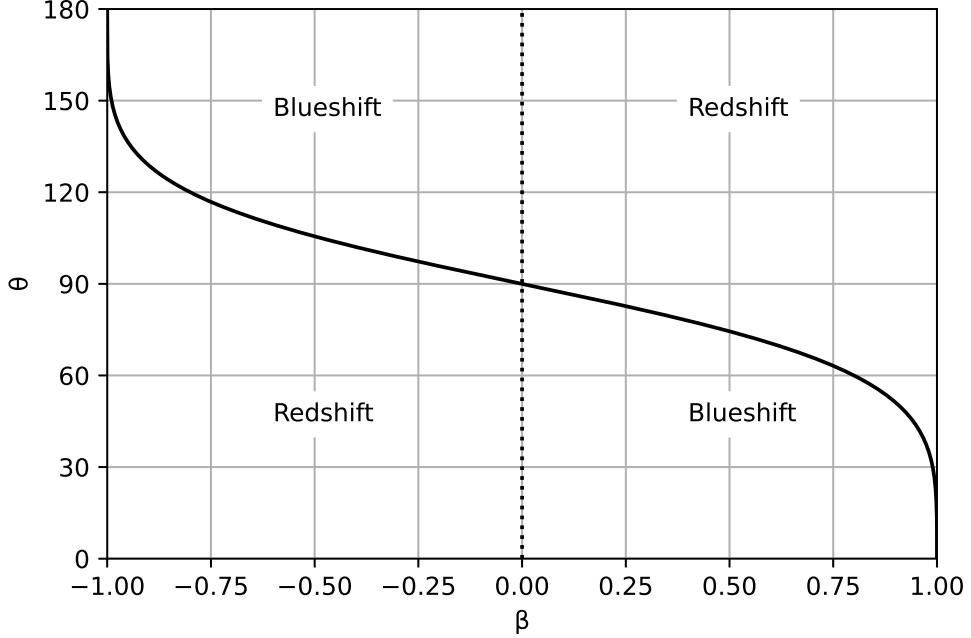
respectively. Spectral radiance of a **black-body** can be written

$$L_{e,\nu}(\nu) = \frac{2h\nu^3}{c^2} \left[ \exp\left(\frac{h\nu}{kT}\right) - 1 \right]^{-1} \quad (171)$$

$$L_{e,\lambda}(\lambda) = \frac{2hc^2}{\lambda^5} \left[ \exp\left(\frac{hc}{\lambda kT}\right) - 1 \right]^{-1}, \quad (172)$$

where  $h = 6.62607015 \cdot 10^{-34} \text{ J} \cdot \text{Hz}^{-1}$  is the **Planck's constant** and  $k = 1.380649 \cdot 10^{-23} \text{ J} \cdot \text{K}^{-1}$  is the **Bolzmann constant**. To obtain radiance, we integrate over all frequencies

$$L_e = \frac{2h}{c^2} \int_0^\infty \frac{\nu^3 d\nu}{\exp\left(\frac{h\nu}{kT}\right) - 1} \quad (173)$$



**Figure 23:** Limit angle between redshift and blueshift. For positive and negative  $\beta$ , the value is the lower and upper limit, respectively of the blueshift for  $\theta$ .

using the substitution  $x = h\nu/kT$ . Substituting correspondingly  $\nu = kT/h x$  and  $d\nu = kT/h dx$ , we obtain

$$L_e = \frac{2h}{c^2} \left( \frac{kT}{h} \right)^4 \int_0^\infty \frac{x^3 dx}{e^x - 1} = \frac{2h}{c^2} \left( \frac{kT}{h} \right)^4 \Gamma(4)\zeta(4), \quad (174)$$

where  $\Gamma$  and  $\zeta$  are the Gamma and Riemann zeta functions. Substitution of  $\Gamma(4) = 6$  and  $\zeta(4) = \pi^4/15$  yields

$$L_e = \frac{2k^4\pi^4}{15h^3c^2} T^4. \quad (175)$$

To obtain the radiant flux, we integrate the radiance over a hemisphere of solid angles and the entire surface

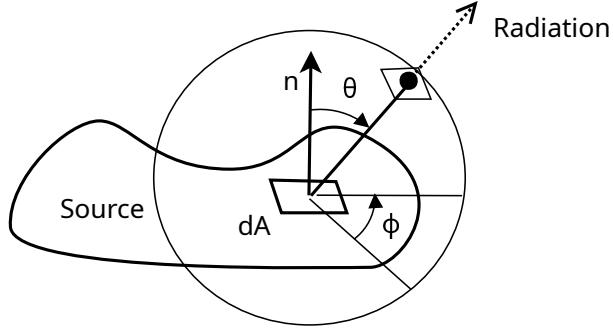
$$L = \oint_A \int_{\Omega} L_e(\mathbf{r}, \theta, \phi) \cos \theta d\Omega dA \quad (176)$$

where  $\mathbf{r}$  is a point on the surface element  $dA$ . The computation of the integral is simplified by the independence of radiance (175) from  $\theta$  and  $\phi$ . For solid angle, we can expand  $d\Omega = \sin \theta d\theta d\phi$ . Thus,

$$L = \oint_A L_e \int_0^{2\pi} \int_0^{\pi/2} \sin \theta \cos \theta d\theta d\phi dA = \pi \oint_A L_e dA. \quad (177)$$

If  $L_e$  is independent of position on the surface (e.g. due to equal temperature), we obtain

$$L = \pi A L_e. \quad (178)$$



**Figure 24:** Geometry associated to the definition of radiance.

For a black-body, this yields the **Stefan-Boltzmann law**

$$L = \pi AL_e = \frac{2}{15} \frac{k^4 \pi^5}{h^3 c^2} AT^4 = \sigma AT^4, \quad (179)$$

where the **Stefan-Boltzmann constant**

$$\sigma = \frac{2k^4 \pi^5}{15h^3 c^2} = 5.670374419 \cdot 10^{-8} \text{ W} \cdot \text{m}^{-2} \cdot \text{K}^{-4}. \quad (180)$$

To obtain peak frequency of the black body radiance as a function of temperature, we derivate (171) w.r.t. frequency

$$\frac{\partial L_{e,v}}{\partial v} = \frac{6hv^2}{c^2} \left[ \exp\left(\frac{hv}{kT}\right) - 1 \right]^{-1} - \frac{2h^2v^3}{c^2 k T} \exp\left(\frac{hv}{kT}\right) \left[ \exp\left(\frac{hv}{kT}\right) - 1 \right]^{-2} = 0. \quad (181)$$

Substitution of  $x = hv/kT$  yields

$$x = 3(1 - e^{-x}), \quad (182)$$

which admits numerical solution

$$x = \frac{hv}{kT} \approx 2.821439372122078893 \quad (183)$$

Thus, the black-body radiance obtains it's peak at the frequency

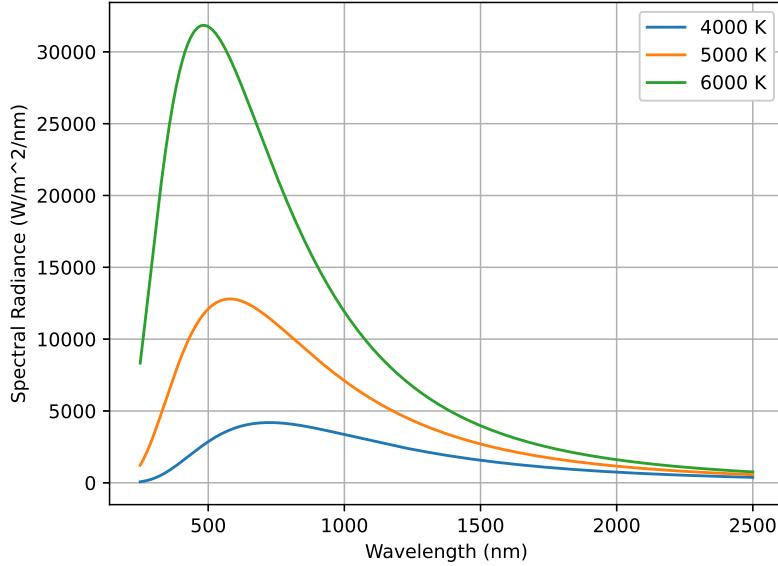
$$v_{peak} = \frac{kT}{h} x \approx 5.878925757646824946 T \cdot 10^{10} \text{ Hz} \cdot \text{K}^{-1} \quad (184)$$

To obtain peak wavelength of the black body radiance as a function of temperature, we derivate (172) w.r.t. wavelength

$$\frac{\partial L_{e,\lambda}}{\partial \lambda} = -\frac{10hc^2}{\lambda^6} \left[ \exp\left(\frac{hc}{\lambda kT}\right) - 1 \right]^{-1} + \frac{2h^2c^3}{\lambda^7 k T} \exp\left(\frac{hc}{\lambda kT}\right) \left[ \exp\left(\frac{hc}{\lambda kT}\right) - 1 \right]^{-2} = 0. \quad (185)$$

Substitution of  $y = hc/\lambda kT$  yields

$$y = 5(1 - e^{-y}), \quad (186)$$



**Figure 25:** Three examples of black-body spectra.

which admits numerical solution

$$y = \frac{hc}{\lambda kT} \approx 4.965114231744276303. \quad (187)$$

Thus, the black-body radiance obtains it's peak at the wavelength

$$\lambda_{peak} = \frac{hc}{kyT} \approx 2.897771955/T \cdot 10^{-3} \text{ m} \cdot \text{K}. \quad (188)$$

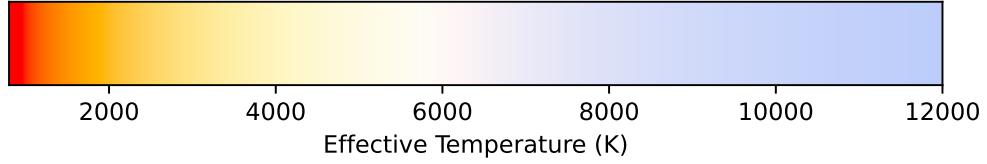
The equation (188) is called the **Wien's displacement law** and is usually written in terms of the constant of proportionality  $b = hc/k$  called the **Wien's displacement constant**. Note that when the blackbody radiance is expressed as a function of wavelength and frequency, the peak occurs at a different frequency in the sense that we cannot transform between the peak wavelength and peak frequency with the relation  $\lambda\nu = c$ .

Three examples of black-body spectra are shown in Figure 25. Substitution of  $T = 4000 \text{ K}$ ,  $5000 \text{ K}$  and  $6000 \text{ K}$  yields  $\lambda_{max} = 724.44 \text{ nm}$ ,  $579.55 \text{ nm}$  and  $482.96 \text{ nm}$ , respectively. The increasing spectral radiance of the curves seems consistent with (179) since  $5^4/4^4 \approx 2.44$  and  $6^4/5^4 \approx 2.49$ . Visual perception of black-body as a function of its **effective temperature** is easily obtained using the computation in section 3.1.2. In Figure 26, SRGB values for black-bodies are displayed for a temperature range. The XYZ-values have been normalized before SRGB conversion by division with  $\max\{X, Y, Z\}$ .

### 3.3.2 Lorentz Transformations

**Intensity**  $I$  associated to electromagnetic wave is the time-average of the **Poynting vector**  $\mathbf{S}$ . That is,

$$I = |\langle \mathbf{S} \rangle| = \frac{1}{\mu_0} |\langle \mathbf{E} \times \mathbf{B} \rangle|, \quad (189)$$



**Figure 26:** Visual perception of color for black-bodies at temperatures between 800 K and 12000 K.

where  $\mu_0$  is the permeability of vacuum  $\mu_0 = 1.25663706127 \cdot 10^{-6} \text{ N} \cdot \text{A}^{-2}$ . Suppose inertial frame  $S'$  is moving in the  $+x$  direction w.r.t. inertial frame  $S$  with velocity  $v$ . Then, the electric field  $\mathbf{E}$  and the magnetic flux density  $\mathbf{B}$  vectors transform [11]

$$\mathbf{E}'_{||} = \mathbf{E}_{||}, \quad (190)$$

$$\mathbf{E}'_{\perp} = \gamma (\mathbf{E}_{\perp} + \mathbf{v} \times \mathbf{B}), \quad (191)$$

$$\mathbf{B}'_{||} = \mathbf{B}_{||}, \quad (192)$$

$$\mathbf{B}'_{\perp} = \gamma \left( \mathbf{B}_{\perp} - \frac{1}{c^2} \mathbf{v} \times \mathbf{E} \right), \quad (193)$$

where we have used  $||$  and  $\perp$  to denote components parallel and perpendicular to the motion. The velocity can be expressed  $\mathbf{v} = v \hat{\mathbf{x}}$ .

Consider a linearly polarized plane wave solution with propagation direction at an angle  $\theta$  w.r.t.  $\mathbf{v}$ :

$$\mathbf{E} = E_0 (\cos \theta \hat{\mathbf{y}} + \sin \theta \hat{\mathbf{x}}), \quad (194)$$

$$\mathbf{B} = E_0/c \hat{\mathbf{z}}, \quad (195)$$

where we have left out the  $\cos(\mathbf{k} \cdot \mathbf{r} - \omega t + \delta)$  for brevity. Application of the Lorentz transformation (190)-(193) yields the fields in  $S'$ :

$$\mathbf{E}'_{\perp} = \gamma E_0 (\cos \theta - \beta) \hat{\mathbf{y}}, \quad (196)$$

$$\mathbf{E}'_{||} = E_0 \sin \theta \hat{\mathbf{x}}, \quad (197)$$

$$\mathbf{B}'_{\perp} = \gamma E_0/c (1 - \beta \cos \theta) \hat{\mathbf{z}}, \quad (198)$$

$$\mathbf{B}'_{||} = 0. \quad (199)$$

Now the Poynting vector can be computed

$$\frac{1}{\mu_0} \mathbf{E}' \times \mathbf{B}' = \frac{1}{\mu_0} \mathbf{E}'_{||} \times \mathbf{B}'_{\perp} + \frac{1}{\mu_0} \mathbf{E}'_{\perp} \times \mathbf{B}'_{||} \quad (200)$$

$$= \frac{E_0^2}{\mu_0} (1 - \beta \cos \theta) \left\{ (\sin \theta \hat{\mathbf{x}}) \times \left( \frac{\gamma}{c} \hat{\mathbf{z}} \right) + [\gamma(\cos \theta - \beta) \hat{\mathbf{y}}] \times \left( \frac{\gamma}{c} \hat{\mathbf{z}} \right) \right\} \quad (201)$$

$$= \frac{E_0^2 \gamma}{c \mu_0} (1 - \beta \cos \theta) [-\sin \theta \hat{\mathbf{y}} + \gamma(\cos \theta - \beta) \hat{\mathbf{z}}]. \quad (202)$$

Squaring the expression in square brackets yields

$$\sin^2 \theta + \frac{\cos^2 \theta - 2\beta \cos \theta + \beta^2}{1 - \beta^2} = \frac{\sin^2 \theta - \beta^2 \sin^2 \theta + \cos^2 \theta - 2\beta \cos \theta + \beta^2}{1 - \beta^2} \quad (203)$$

$$= \gamma^2(1 - 2\beta \cos \theta + \beta^2 \cos^2 \theta) \quad (204)$$

$$= \gamma^2(1 - \beta \cos \theta)^2. \quad (205)$$

Substitution back to (202) and application of (162), yields

$$\frac{1}{\mu_0} |\mathbf{E}' \times \mathbf{B}'| = \frac{E_0^2 \gamma^2}{c \mu_0} (1 - \beta \cos \theta)^2 = \left(\frac{\omega'}{\omega}\right)^2 \frac{1}{\mu_0} |\mathbf{E} \times \mathbf{B}| \quad (206)$$

Thus, the intensity of plane waves transforms between frames proportionally to the square of frequency, where the frequency ratio follows from the equation (162) for the relativistic Doppler effect:

$$\frac{I'}{I} = \left(\frac{\omega'}{\omega}\right)^2 = \gamma^2(1 - \beta \cos \theta)^2.$$

(207)

### 3.3.3 Wave Four-Vector

Consider again a plane wave in  $S$  propagating to a direction that makes the angle  $\theta$  with the  $+x$  direction in  $S$ . Then, if the frame  $S'$  moves with velocity  $v$  into the  $+x$  direction w.r.t.  $S$ , the wave four-vectors

$$k = (\omega/c, k \cos \theta, k \sin \theta, 0), \quad (208)$$

$$k' = (\omega'/c, k' \cos \theta', k' \sin \theta', 0) \quad (209)$$

in  $S$  and  $S'$  can be related by the inverse Lorentz transformation [12]

$$\begin{bmatrix} \omega/c \\ k \cos \theta \\ k \sin \theta \\ 0 \end{bmatrix} = \begin{bmatrix} \gamma & \gamma \beta & 0 & 0 \\ \gamma \beta & \gamma & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \omega'/c \\ k' \cos \theta' \\ k' \sin \theta' \\ 0 \end{bmatrix} = \begin{bmatrix} \gamma(\omega'/c + \beta k' \cos \theta') \\ \gamma(\beta \omega'/c + k' \cos \theta') \\ k' \sin \theta' \\ 0 \end{bmatrix}. \quad (210)$$

This transformation is a treasure trove of useful information: The first row implies

$$\omega = \gamma(\omega' + \beta k' c \cos \theta') = \gamma \omega'(1 + \beta \cos \theta'), \quad (211)$$

which is equivalent to the relativistic Doppler effect in (162). The ratio of the third and second rows can be expanded

$$\tan \theta = \frac{k' \sin \theta'}{\gamma(\beta \omega'/c + k' \cos \theta')} = \frac{\sin \theta'}{\gamma(\cos \theta' + \beta)} = \frac{\tan \theta'}{\gamma(1 + \beta \sec \theta')}, \quad (212)$$

which is the relativistic aberration formula (11). The third row is equivalent to the y-component of (162) for the wave vector and by using the relation  $k = \omega/c$  can be converted into an another useful relation

$$\omega \sin \theta = \omega' \sin \theta'. \quad (213)$$

The ratio of the second and first rows also yields the relation

$$\cos \theta = \frac{\beta \omega'/c + k' \cos \theta'}{\omega'/c + \beta k' \cos \theta'} = \frac{\cos \theta' + \beta}{1 + \beta \cos \theta'}. \quad (214)$$

### 3.3.4 Headlight Effect for Monochromatic Waves

Consider monochromatic radiation source fixed in  $S'$  that radiates with equal power to all directions. Suppose again also that the  $S'$  moves w.r.t.  $S$  to  $+x$  direction with velocity  $v$ . Let  $\theta'$  be the angle in  $S'$  w.r.t. direction of velocity. We want to find the dependence of radiated power density in  $S$  on the angle  $\theta$  and the solid angle  $\Omega$ . The luminosity is dependent of the frame. In fact, it diverges towards infinity as the speed of the source w.r.t. observer converges towards the speed of light. However, if the source emits a (large) fixed number  $N$  of particles uniformly to all direction over some specific period, it makes sense to ask what is the dependence of the density of expected number of particles on  $\theta$  and  $\Omega$ .

The number of particles per unit angle to the directions  $(\theta', \theta' + d\theta')$  can be written

$$\frac{dN}{d\theta'} = N \frac{2\pi r \sin \theta'}{4\pi r^2} r = \frac{N}{2} \sin \theta'. \quad (215)$$

Now, we want to find an expression for the expected number of particles per unit angle in  $S$ . By chain rule, this should be equal to

$$\frac{dN}{d\theta} = \frac{dN}{d\theta'} \frac{d\theta'}{d\theta}. \quad (216)$$

From (214), we can solve

$$\cos \theta' = \frac{\cos \theta - \beta}{1 - \beta \cos \theta}. \quad (217)$$

Differentiating w.r.t.  $\theta$ , yields

$$-\sin \theta' \frac{d\theta'}{d\theta} = \frac{-\sin \theta(1 - \beta \cos \theta) - (\cos \theta - \beta)\beta \sin \theta}{(1 - \beta \cos \theta)^2} \quad (218)$$

$$= \frac{-\sin \theta + \beta \sin \theta \cos \theta - \beta \sin \theta \cos \theta + \beta^2 \sin \theta}{(1 - \beta \cos \theta)^2} \quad (219)$$

$$= \frac{-\sin \theta(1 - \beta^2)}{(1 - \beta \cos \theta)^2}. \quad (220)$$

Thus, we obtain

$$\frac{d\theta'}{d\theta} = \frac{\sin \theta}{\sin \theta'} \frac{1 - \beta^2}{(1 - \beta \cos \theta)^2} \quad (221)$$

Combination with (215), yields

$$\frac{dN}{d\theta} = \frac{dN}{d\theta'} \frac{d\theta'}{d\theta} = \frac{N}{2} \sin \theta \frac{1 - \beta^2}{(1 - \beta \cos \theta)^2}. \quad (222)$$

In  $S'$  the number of particles per solid angle is uniformly

$$\frac{dN}{d\Omega'} = \frac{N}{4\pi}, \quad (223)$$

where  $4\pi$  is the solid angle of the entire sphere. To obtain corresponding expression w.r.t.  $\Omega$ , we first note the relationship between solid angles and  $\theta$

$$\frac{d\theta}{d\Omega} = \frac{1}{2\pi \sin \theta}. \quad (224)$$

Application of the chain rule now yields

$$\frac{dN}{d\Omega} = \frac{dN}{d\theta} \frac{d\theta}{d\Omega} = \frac{N}{2} \sin \theta \frac{1 - \beta^2}{(1 - \beta \cos \theta)^2} \frac{1}{2\pi \sin \theta} = \frac{N}{4\pi} \frac{1}{\gamma^2 (1 - \beta \cos \theta)^2} \quad (225)$$

and application of relativistic Doppler effect (162) leads to the desired result

$$\boxed{\frac{dN}{d\Omega} = \frac{N}{4\pi} \left(\frac{\omega}{\omega'}\right)^2 = \left(\frac{\omega}{\omega'}\right)^2 \frac{dN}{d\Omega'}} \quad (226)$$

That is, the number of particles per unit solid angle transforms according to the squared ratio of frequencies from the relativistic Doppler effect. However, note that this derivation didn't involve EM radiation to which one could associate frequency. To obtain a transformation for the radiated power density per unit solid angle, we can use the relationship (207) for the intensity of plane waves in the two frames. If the preceding argument describes the "number" of plane waves associated to specific power the intensity relates the power of each plane wave.

This suggests that power density w.r.t. solid angle satisfies

$$\boxed{\frac{dL}{d\Omega} = \left(\frac{\omega}{\omega'}\right)^4 \frac{dL'}{d\Omega'}} \quad (227)$$

### 3.3.5 Black-Body Radiance and Stars

It is important to note that distant stars are not bright enough to directly stimulate the cone cells on the retina of the human eye, which are responsible for the perception of color. Instead, the perception of distant stars is based on the stimulation of rod cells responsible for dark vision. Thus any realistic depiction how human vision perceives a star field will not likely involve color.

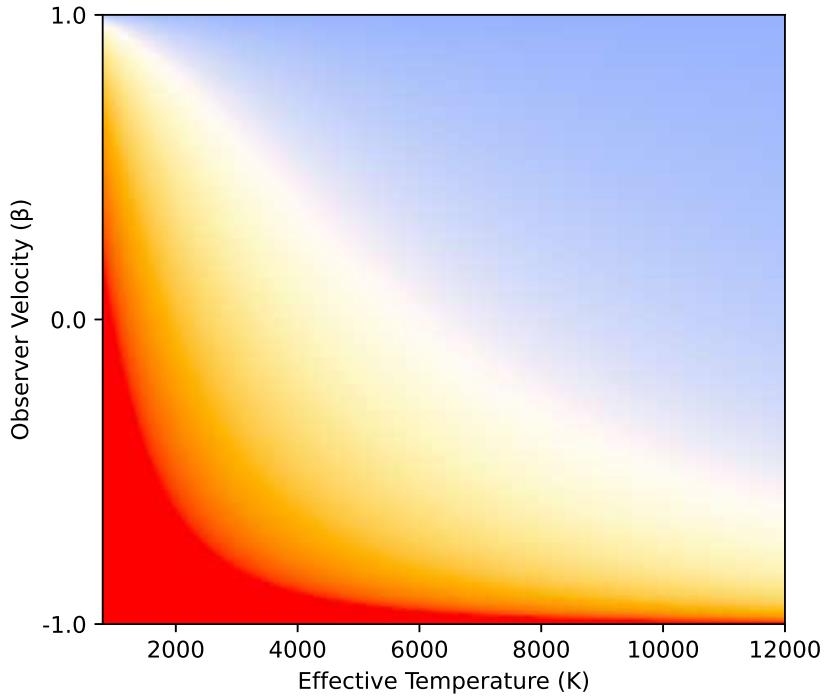
For an observer moving at relativistic speeds, the perceived color of black-body sources can be significantly influenced by the Doppler effect. However, since the black-body radiation is not monochromatic but consists of a continuous spectrum, the computation of the Doppler effect is no longer trivial. In a continuous spectrum, we do not expect a radiance that transforms proportionally to fourth power of the frequency: If the Doppler effect indicates a ratio  $\omega/\omega'$  ratio of frequencies between  $S$  and  $S'$  spectrum in the range  $[\omega', \omega' + \Delta']$  in  $S'$  will correspond to spectrum in the range  $[\omega, \omega + (\omega/\omega')\Delta']$  in  $S$ . This suggests that radiance transforms instead to the third power.

In  $S'$ , we have spectral radiance

$$L_{e,\nu'}(\nu') = \frac{2h\nu'^3}{c^2} \left[ \exp\left(\frac{h\nu'}{kT'}\right) - 1 \right]^{-1}. \quad (228)$$

From superficial interpretation of [9], [10], it seems that the argument to the exponential term transforms according to an **effective temperature** so that

$$T = \frac{T'}{\gamma(1 - \beta \cos \theta)} = \left(\frac{\omega}{\omega'}\right) T'. \quad (229)$$

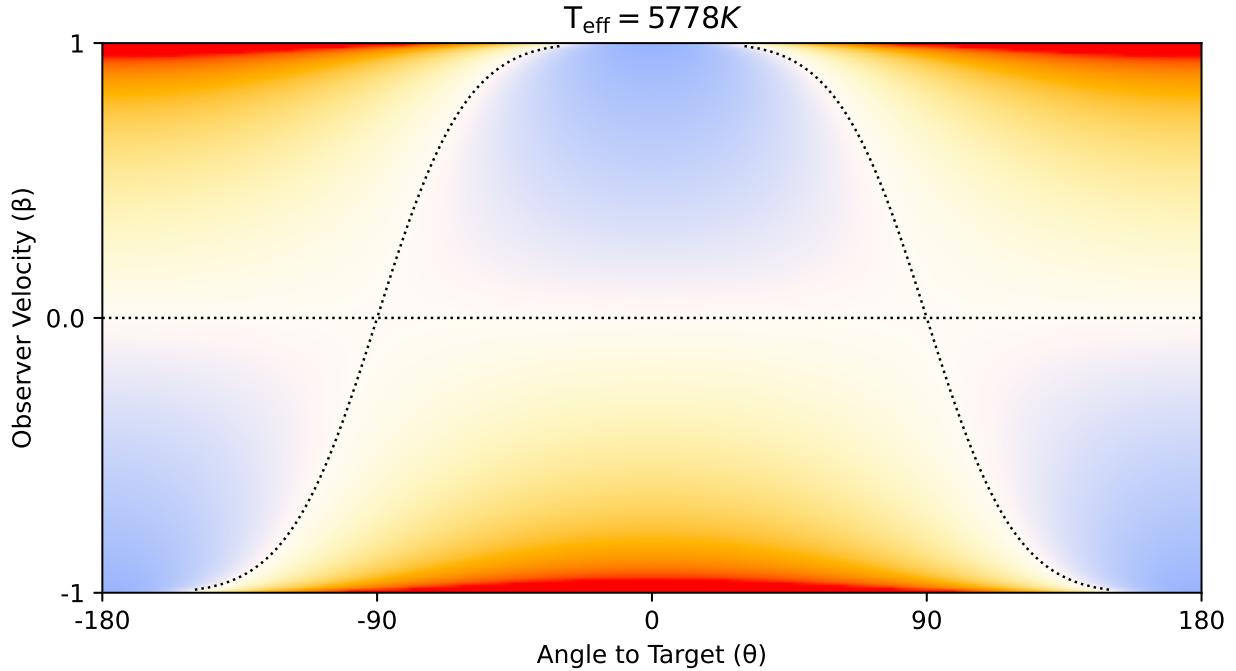


**Figure 27:** Observed color of a black-body with given effective temperature for an observer in longitudinal motion w.r.t. source.

The amplitude term is replaced with one with third power of the Doppler-transformed frequency.

The electromagnetic spectrum of stars essentially follow the blackbody spectrum complicated slightly by absorption and emission lines. However, for visual effects discussed in this section, they can be approximated as ideal black-bodies. Spectral classification of the star essentially determines to the selection of an **effective temperature**  $T'_{eff}$  used for the black-body spectrum in the rest frame of the star.

To compute the color of a bright star observed by an observer in relative motion, we simply compute the effective temperature  $T_{eff}$  of the star in the frame of the observer using (229). When the observer is travelling directly towards the star in longitudinal motion, this corresponds to the selection of  $\theta = 0$ . Figure 27 shows the observed color for a range of effective temperatures (in  $S'$ ) for an observer moving with velocity  $\beta$ . Figure 28 shows the observed color for a range of angles  $\theta$  w.r.t. the direction of motion for a black-body with the effective temperature  $T' = 5778$  K of the Sun.



**Figure 28:** Observed color of a black-body approximating the Sun at an angle  $\theta$  w.r.t. the direction of motion.

## 4 Ray Tracing and Special Relativity

### 4.1 Ray Tracing

#### 4.1.1 Viewport and Rays

In order to determine an SRGB value for each pixel in an image, we start ray tracing by mapping each pixel to an aberrated direction vector. The aberrated vector is then transformed to a non-aberrated vector using inverse aberration formula. That is instead of using the combination of perspective projection and aberration correction, in ray tracing we apply inverse steps in inverse order.

Consider the geometry of the viewport shown in Figure 29. Let  $E$  be the camera location,  $\hat{\mathbf{t}}$  the target direction,  $C = E + d \hat{\mathbf{t}}$  the center of the viewport and  $\theta$  the horizontal field-of-view.

The width  $h_x$  and height  $h_y$  of the viewport can be related to the distance  $d$ , field-of-view  $\theta$  and the inverse aspect ratio  $(m - 1)/(k - 1)$  by

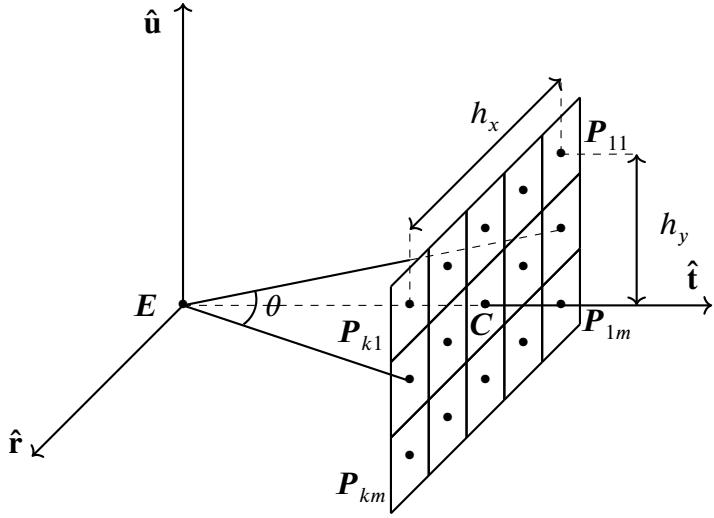
$$h_x = 2d \tan \frac{\theta}{2}, \quad (230)$$

$$h_y = h_x \frac{m - 1}{k - 1}, \quad (231)$$

where we have assumed square pixels. Neighboring pixels can be related by shifting vectors

$$\Delta x = \frac{h_x}{k - 1} \hat{\mathbf{r}}, \quad (232)$$

$$\Delta y = \frac{h_y}{m - 1} \hat{\mathbf{u}}. \quad (233)$$



**Figure 29:** Geometry of the viewport. Adapted from [3].

Now the position of an arbitrary pixel

$$\mathbf{r}_{ij} = \mathbf{r}_{11} + (i - 1)\Delta\mathbf{x} - (j - 1)\Delta\mathbf{y}, \quad (234)$$

where the top-left corner pixel can be written

$$\mathbf{r}_{11} = \mathbf{E} + d \hat{\mathbf{t}} - \frac{h_x}{2} \hat{\mathbf{r}} + \frac{h_y}{2} \hat{\mathbf{u}}. \quad (235)$$

Thus, the direction vector to pixel  $(i, j)$  in the frame of the observer

$$\hat{\mathbf{R}}'_{ij} = \frac{\mathbf{r}_{ij} - \mathbf{E}}{\|\mathbf{r}_{ij} - \mathbf{E}\|}. \quad (236)$$

The direction vector can be then transformed to a direction vector  $\mathbf{R}_{ij}$  in a frame approximately fixed to the scene with (35) by replacing the vector beta factor of the observer  $\beta$  with  $-\beta$ .

#### 4.1.2 Ray-Triangle Intersections: Möller-Trumbore Algorithm

Once we have a direction vector for each pixel, we want to compute the closest intersection of the corresponding rays with objects in the scene. In computer graphics, material objects in the scene are typically represented with triangles. The most common algorithm for determination of ray-triangle intersections is the **Möller-Trumbore Algorithm** [4], which involves solution of the intersection point of the ray with the plane containing the triangle via application of the Cramer's rule in barycentric coordinates.

Consider the ray

$$\mathbf{r}(t) = \mathbf{O} + t\mathbf{D} : t \geq 0 \quad (237)$$

possibly intersecting the plane  $P$  containing the triangle defined by the vertices  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3 \in \mathbb{R}^3$ . Then, a normal vector for the plane can be written

$$\mathbf{n} = (\mathbf{v}_2 - \mathbf{v}_1) \times (\mathbf{v}_3 - \mathbf{v}_1) = \mathbf{e}_1 \times \mathbf{e}_2, \quad (238)$$

where we denote the two edges connected to  $\mathbf{v}_1$  with  $\mathbf{e}_1 = \mathbf{v}_2 - \mathbf{v}_1$  and  $\mathbf{e}_2 = \mathbf{v}_3 - \mathbf{v}_1$ . The ray will not intersect the plane if  $\mathbf{v} \cdot \mathbf{n} = 0$  unless  $\mathbf{O} \in P$ . When  $\mathbf{v} \cdot \mathbf{n} \neq 0$ , we wish to find the intersection of the ray with the plane and determine whether the intersection is with the triangle. The Möller-Trumbore Algorithm uses barycentric coordinates  $(w, u, v)$  so that all points  $\mathbf{P} \in P$  can be expressed

$$\mathbf{P}(w, u, v) = w\mathbf{v}_1 + u\mathbf{v}_2 + v\mathbf{v}_3. \quad (239)$$

For the points of the triangle, the conditions

$$w, u, v \geq 0, \quad (240)$$

$$w + u + v = 1 \quad (241)$$

hold and we can expand

$$\mathbf{P}(u, v) = (1 - u - v)\mathbf{v}_1 + u\mathbf{v}_2 + v\mathbf{v}_3 \quad (242)$$

$$= \mathbf{v}_1 + u(\mathbf{v}_2 - \mathbf{v}_1) + v(\mathbf{v}_3 - \mathbf{v}_1). \quad (243)$$

$$= \mathbf{v}_1 + u\mathbf{e}_1 + v\mathbf{e}_2 \quad (244)$$

Now unless the triangle is degenerate, every intersection between the ray and the plane can be expressed using (244). The intersection will be with the triangle if the conditions (240), (241) hold for a non-negative  $t$ . At the intersection

$$\mathbf{O} + t\mathbf{D} = \mathbf{v}_1 + u\mathbf{e}_1 + v\mathbf{e}_2. \quad (245)$$

This can be reorganized into a linear system of equations

$$\mathbf{O} - \mathbf{v}_1 = [-\mathbf{D} \quad \mathbf{e}_1 \quad \mathbf{e}_2] \begin{bmatrix} t \\ u \\ v \end{bmatrix}. \quad (246)$$

The equation (246) can be easily solved with Cramer rule  $x_i = |A_i|/|A|$  for systems of linear equations  $A\mathbf{x} = \mathbf{b}$ , where  $A_i$  is formed from  $A$  by replacing column  $i$  with  $\mathbf{b}$ . To apply the formula, we compute the determinants repeatedly using the scalar triple product  $|\mathbf{a} \mathbf{b} \mathbf{c}| = \mathbf{a} \cdot (\mathbf{b} \times \mathbf{c})$

$$|A| = |-\mathbf{D} \quad \mathbf{e}_1 \quad \mathbf{e}_2| = |\mathbf{e}_1 \quad \mathbf{D} \quad \mathbf{e}_2| = \mathbf{e}_1 \cdot \mathbf{D} \times \mathbf{e}_2 \quad (247)$$

$$|A_1| = |\mathbf{O} - \mathbf{v}_1 \quad \mathbf{e}_1 \quad \mathbf{e}_2| = |\mathbf{e}_2 \quad \mathbf{O} - \mathbf{v}_1 \quad \mathbf{e}_1| = \mathbf{e}_2 \cdot (\mathbf{O} - \mathbf{v}_1) \times \mathbf{e}_1 \quad (248)$$

$$|A_2| = |-\mathbf{D} \quad \mathbf{O} - \mathbf{v}_1 \quad \mathbf{e}_2| = |\mathbf{O} - \mathbf{v}_1 \quad \mathbf{D} \quad \mathbf{e}_2| = (\mathbf{O} - \mathbf{v}_1) \cdot \mathbf{D} \times \mathbf{e}_2 \quad (249)$$

$$|A_3| = |-\mathbf{D} \quad \mathbf{e}_1 \quad \mathbf{O} - \mathbf{v}_1| = |\mathbf{D} \quad \mathbf{O} - \mathbf{v}_1 \quad \mathbf{e}_1| = \mathbf{D} \cdot (\mathbf{O} - \mathbf{v}_1) \times \mathbf{e}_1. \quad (250)$$

Thereafter, we can compute parameters for the intersection

$$t = |A_1|/|A|, \quad u = |A_2|/|A|, \quad v = |A_3|/|A|. \quad (251)$$

If  $t < 0$ , the intersection is in the opposite direction to the ray. The conditions (240) correspond to the following sufficient conditions of the intersection being outside the triangle:

$$u + v > 1, \quad u < 0, \quad v < 0. \quad (252)$$

Similarly, the conditions (241) correspond to

$$u + v < 0, \quad u > 1, \quad v > 1. \quad (253)$$

However, if  $u, v \geq 0$  and  $u + v \leq 1$ , then also  $u + v \geq 0$  and  $u, v \leq 1$ . Thus, when using the conditions to dismiss intersections, checking the latter set after the first is redundant.

### 4.1.3 Sphere-Ray Intersections

The points of a sphere with center  $\mathbf{c}$  and radius  $r$  can be expressed

$$|\mathbf{x} - \mathbf{c}|^2 = r^2. \quad (254)$$

Consider also ray

$$\mathbf{x}(d) = \mathbf{o} + d\hat{\mathbf{u}}, \quad (255)$$

where  $\mathbf{o}$  is the origin,  $\hat{\mathbf{u}}$  is the unit direction vector and  $d > 0$ . Substitution of the equation for the ray (255) to the equation for sphere (254), yields

$$(\mathbf{o} + d\hat{\mathbf{u}} - \mathbf{c}) \cdot (\mathbf{o} + d\hat{\mathbf{u}} - \mathbf{c}) - r^2 = |\mathbf{o} + d\hat{\mathbf{u}} - \mathbf{c}|^2 - r^2 = 0. \quad (256)$$

To be able to apply the quadratic formula, we arrange the terms according powers of  $d$

$$d^2|\hat{\mathbf{u}}|^2 + 2d [\hat{\mathbf{u}} \cdot (\mathbf{o} - \mathbf{c})] + |\mathbf{o} - \mathbf{c}|^2 - r^2 = 0 \quad (257)$$

That is, for a quadratic polynomial  $ad^2 + bd + c = 0$ , we obtain the expressions

$$a = |\hat{\mathbf{u}}|^2 = 1, \quad (258)$$

$$b = 2\hat{\mathbf{u}} \cdot (\mathbf{o} - \mathbf{c}), \quad (259)$$

$$c = |\mathbf{o} - \mathbf{c}|^2 - r^2. \quad (260)$$

The solution can then be computed using the quadratic formula

$$d = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a} \quad (261)$$

$$= \frac{-2 [\hat{\mathbf{u}} \cdot (\mathbf{o} - \mathbf{c})] \pm \sqrt{[2\hat{\mathbf{u}} \cdot (\mathbf{o} - \mathbf{c})]^2 - 4|\mathbf{o} - \mathbf{c}|^2 + 4r^2}}{2} \quad (262)$$

$$= -\hat{\mathbf{u}} \cdot (\mathbf{o} - \mathbf{c}) \pm \sqrt{|\hat{\mathbf{u}} \cdot (\mathbf{o} - \mathbf{c})|^2 - |\mathbf{o} - \mathbf{c}|^2 + r^2}. \quad (263)$$

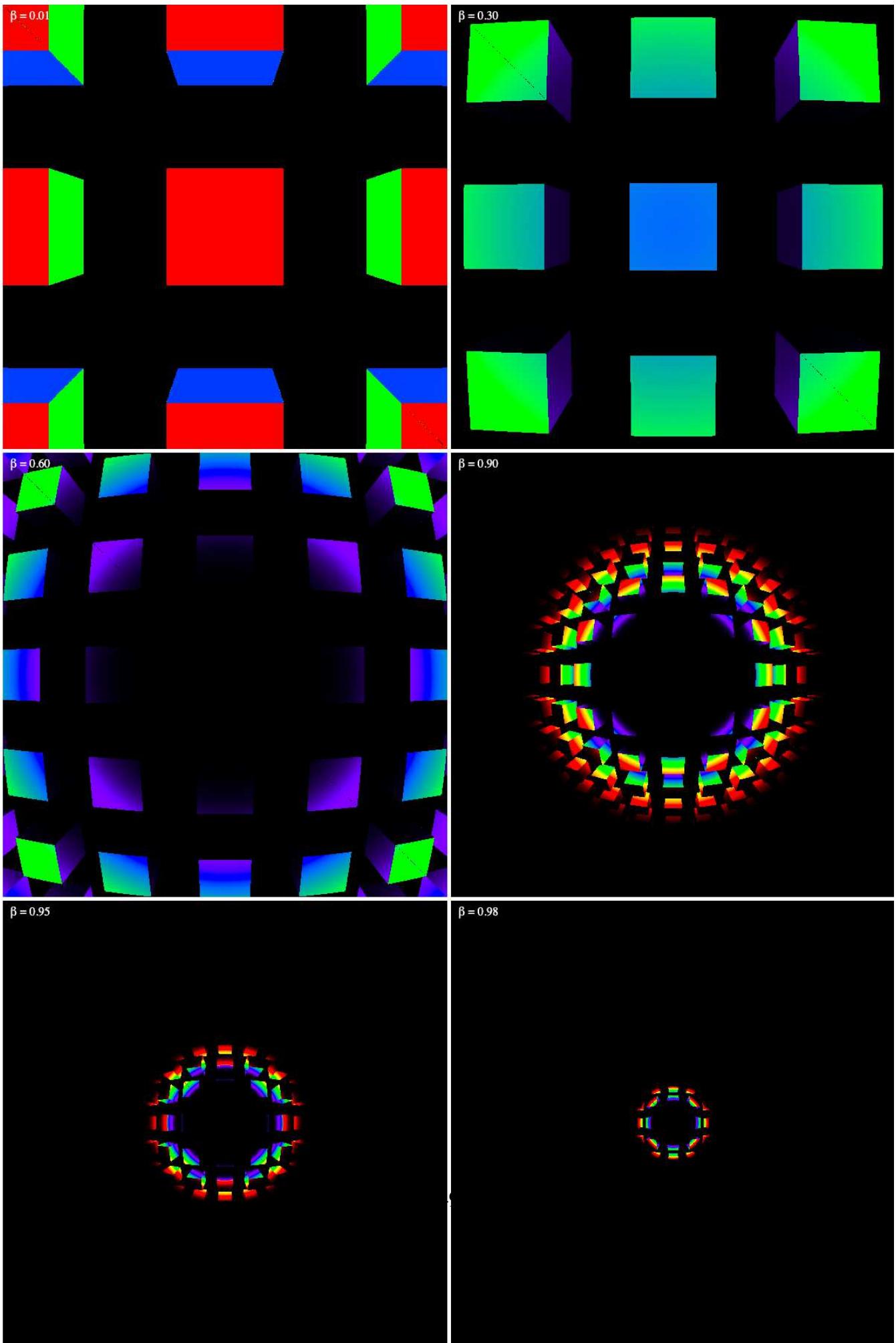
If we denote  $\Delta = |\hat{\mathbf{u}} \cdot (\mathbf{o} - \mathbf{c})|^2 - |\mathbf{o} - \mathbf{c}|^2 + r^2$ , there are 0, 1 or 2 solutions depending on whether  $\Delta < 0$ ,  $\Delta = 0$  or  $\Delta > 0$ , respectively.

In practical computations, the condition  $\Delta = 0$  is not likely encountered.

### 4.1.4 Depth Buffer

### 4.1.5 Reflections

## 4.2 Visualizations



**Figure 30:** Observer accelerating towards a grid of cubes.

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