# Pumping Lemma for Regular Sets

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#### Introduction

- A Regular language is a formal language that can be expressed using a regular expression
- A regular language satisfies the following equivalent properties:
  - it is the language accepted by a nondeterministic finite automaton
  - it is the language accepted by a deterministic finite automaton
  - it can be generated by a regular grammar
  - it can be generated by a prefix grammar
  - it can be accepted by a read-only Turing machine
- Regular set is a set of strings of a Regular Language
- For every regular language there is a FA that accepts the language

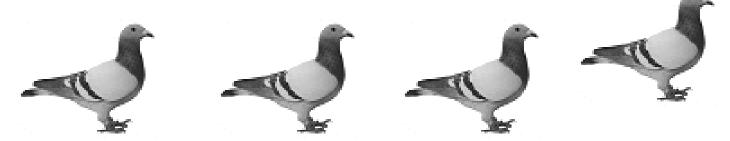
#### The Pigeonhole Principle

• If you put n pigeons into m pigeonholes, and n > m > 0, then at least at least two pigeons are in the same pigeonhole.

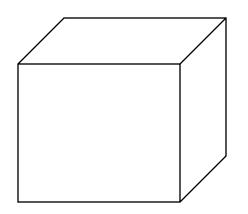


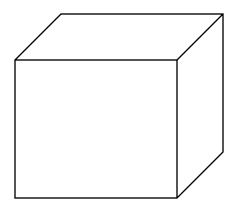
### The Pigeonhole Principle

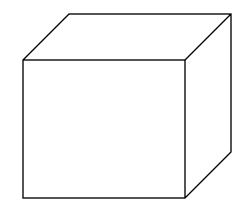
4 pigeons



3 pigeonholes

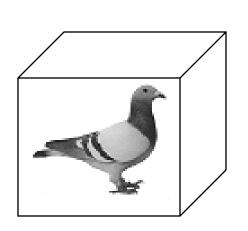


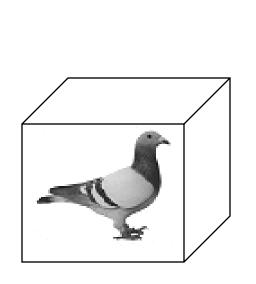


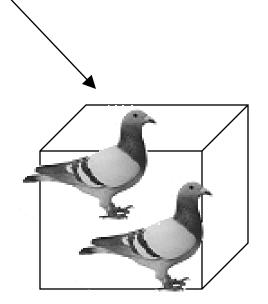


### The Pigeonhole Principle

A pigeonhole must contain at least two pigeons

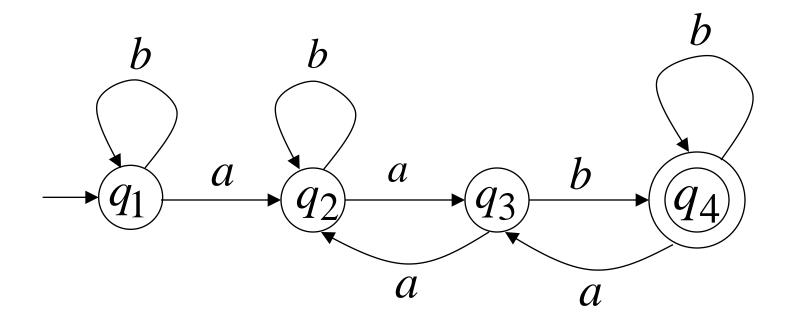






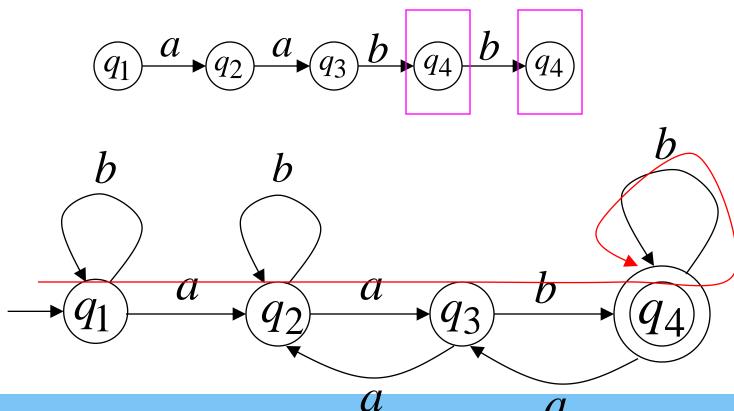
# Pigeonhole Principle & DFAs

Consider a DFA with 4 states



• Consider the walk of a "long" string: aabb (>=4)

A state is repeated in the walk of aabb



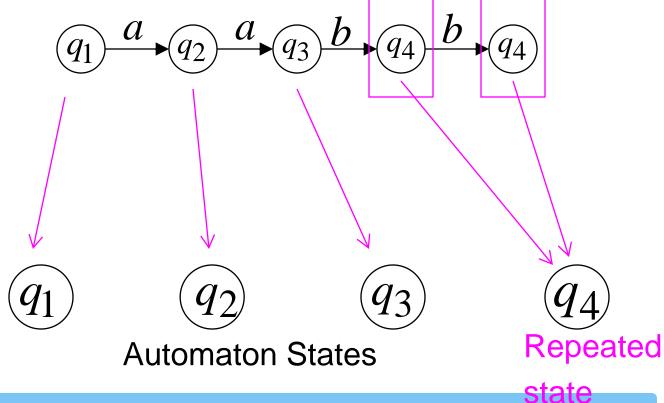
• The state is repeated as a result of the pigeonhole principle

#### Walk of aabb

Pigeons: (walk states)

Are more than

Nests: (Automaton states)



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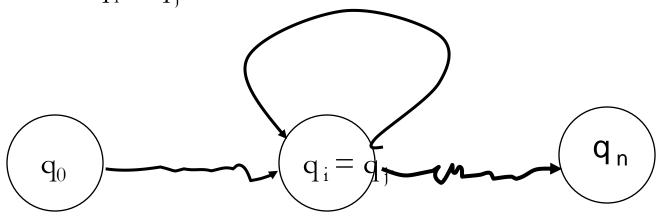
- Describes an essential property of all regular languages
- For a particular language, any sufficiently long string in the language contains a section, or sections, that can be removed, or repeated any number of times (pumping), with the resulting string remaining in that language
- The pumping lemma is often used to prove that a particular language is non-regular

• Let L be a regular language. Then there is a constant n (which depends on L/ number of states in FA) such that for every string w in L such that  $|w| \ge n$ , we can break w into three strings, w = xyz, such that  $y \ne \varepsilon$  ie |y| > 0,  $|xy| \le n$ , and for all  $i \ge 0$ ,  $xy^iz$  is also in L.

#### Proof

- Let n be |Q|.
- If  $w \in L$  and  $|w| \ge n$ . Let  $w = a_1 a_2 ... a_m$ , where  $m \ge n$ .
- $\delta(q_0, a_1 a_2...a_i) = q_i$ , i = 1, 2, ..., m.

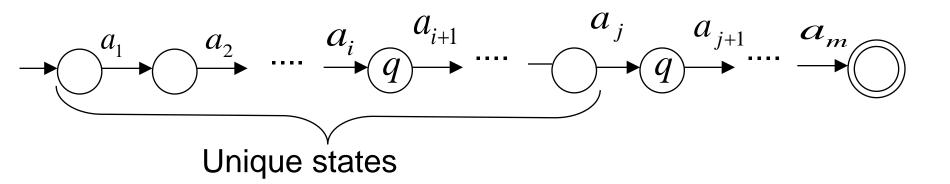
• Since there are only n states in Q and  $m \ge n$ , by the pigeon hole theorem there are two states of  $q_0, q_1, q_2, ...,$  and  $q_n$  are same, say  $0 \le i < j \le n$  and  $q_i = q_i$ .



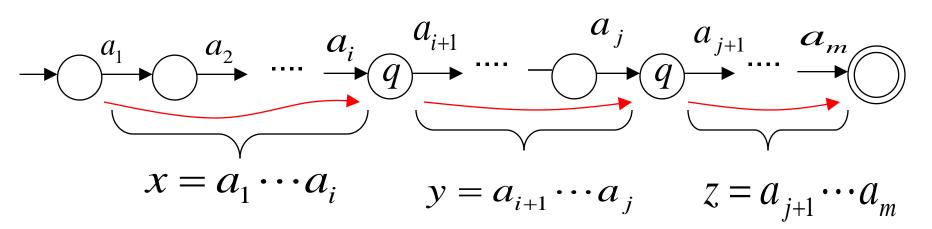
• If  $w \in L$  and  $|w| \ge n$ , then, at least one state is repeated in the walk of w

Walk in DFA of  $w = a_1 a_2 \cdots a_m$   $a_1 \qquad a_2 \qquad a_m$ Repeated state in DFA

- There could be many states repeated
- Take q to be the first state repeated



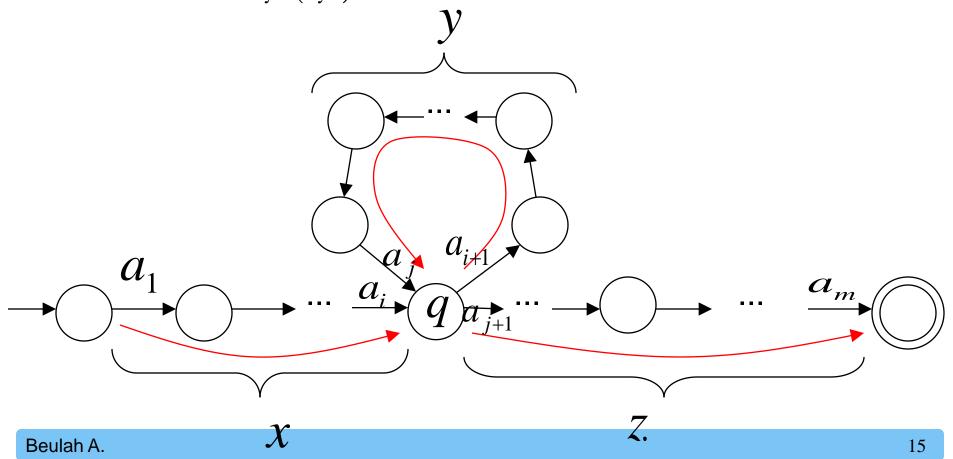
• We can write w = xyz



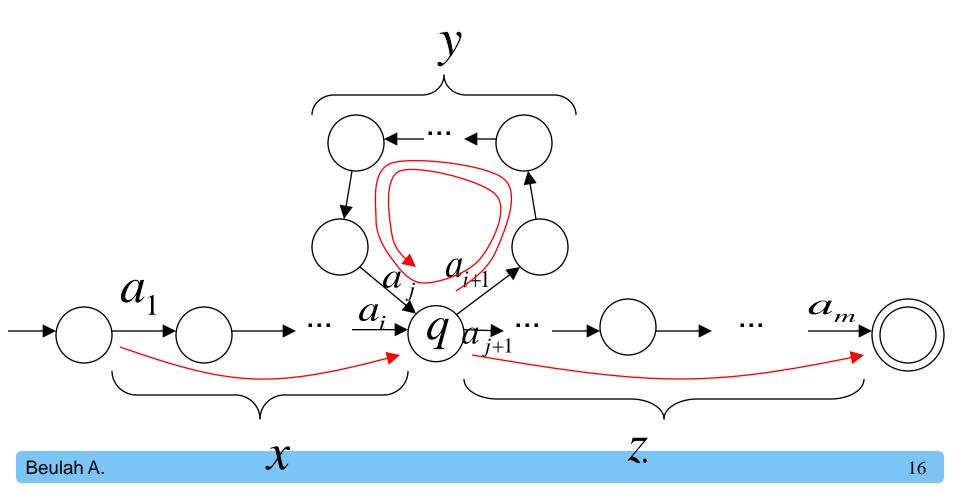
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• In DFA: write w = xyz

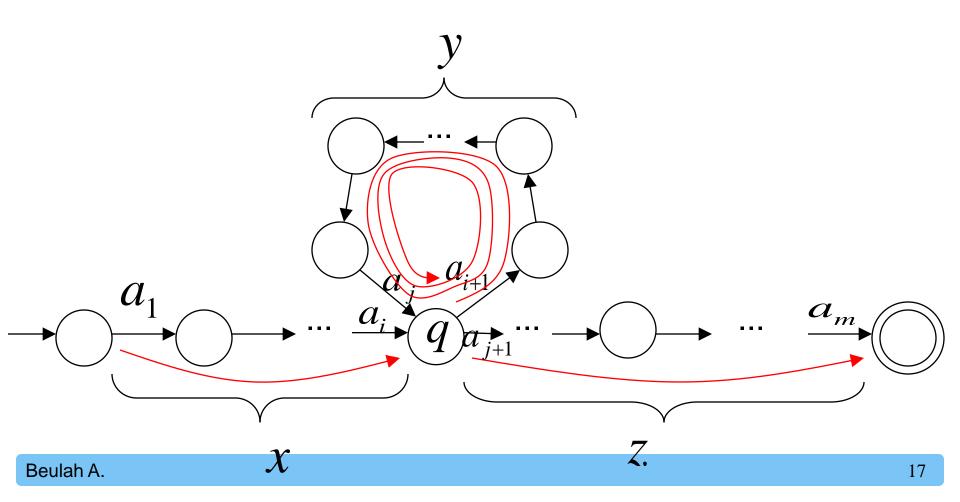
• 1 occurrence of y (xyz)



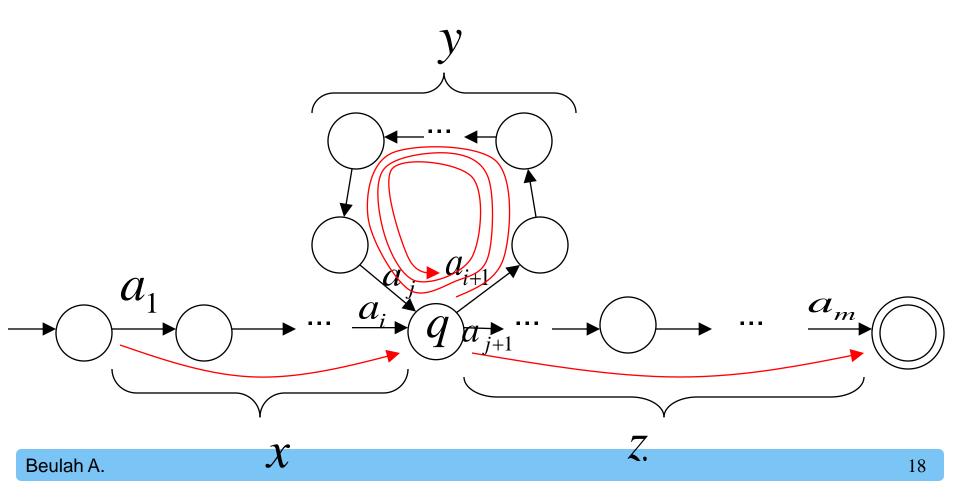
• 2 occurrences of y (xyyz)



3 occurrences of y (xyyyz)



- Many occurrences of y (xy<sup>i</sup>z)
- xy<sup>i</sup>z is also in L



- $\delta(q_0, a_1 a_2 ... a_i) = q_i = q_i$
- $\delta(q_i, a_{i+1}..a_i) = q_i$ , and

It is obvious that  $\delta(q_i, y^i) = q_i$  for  $i \ge 0$ .

So, if the FA accepts w = xyz, it also accepts  $xy^iz$ .

#### Application

- Useful to prove a language L is not a regular set
- Method
  - Select an arbitrary 'n'
  - Choose a string w in L where  $|w| \ge n$
  - $\bullet$  For any partition of w = xyz such that
    - $|xy| \le n$  and  $|y| \ge 1$ , show a contradiction;
    - i.e. show that there is a string xykz not in L;
    - k will depend on n, x, y, and z

#### Summary

- Definition of Pumping lemma Regular Language
- Application of pumping lemma

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#### Test Your Knowledge

- If we select a string w such that w∈L, and w=xyz. Which of the following portions cannot be an empty string?
  - a) x
  - b) y
  - c) z
  - d) all of the mentioned
- Which of the following one can relate to the given statement: Statement: If n items are put into m containers, with n>m, then atleast one container must contain more than one item.
  - a) Pumping lemma
  - b) Pigeon Hole principle
  - c) Count principle
  - d) None of the mentioned

#### Reference

 Hopcroft J.E., Motwani R. and Ullman J.D, "Introduction to Automata Theory, Languages and Computations", Second Edition, Pearson Education, 2008

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