

## Lecture 8

Kinds of graphs. Data structures for graph representation.  
Connectivity: The naïve algorithm and Warshall algorithm

23 November 2015

# Remember that:

**Graph** = mathematical structure  $G = (V, E)$  where

- $V$  : set of **nodes** (or **vertices**)
- $E$  : set of edges incident to 2 nodes, or 1 node

Depending on the kind of edges  $e \in E$ , graphs are of two kinds:

- ▶ **Undirected**: Every edge has one or two endpoints

Graphical representation:  $a \xrightarrow{e} b$  or  $\overset{e}{\curvearrowright} a$  (loop)

- ▶ **Directed** (or **digraphs**): Every edge  $e \in E$  has a **source** (or **start**) end a **destination** (or **end**)

Graphical representation:  $a \xrightarrow{e} b$  or  $\overset{e}{\downarrow} a$  (loop)

The directed edges are called **arcs**.

**Simple graph**: graph (directed or not) with at most one arc between any pair of nodes, and no loop.

# Common types of graphs

## Taxonomy

$$G = (V, E)$$

$e_1, e_2 \in E$  are **parallel** edges if they are incident to the same nodes, and

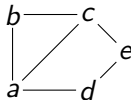
- If  $G$  is directed, then  $start(e_1) = start(e_2)$  and  $end(e_1) = end(e_2)$
- ▶ **Multigraph** (directed or not): no loops, and if the graph is
  - undirected**: it can have parallel edges
  - directed**: it can have parallel arcs
- ▶ **Pseudograph**: undirected graph that can have loops and parallel edges.
- ▶ **Weighted graph**: every edge  $e \in E$  has a **weight**  $w(E)$ ; usually  $w(e) \in \mathbb{R}$ .

# Graphical representations of graphs

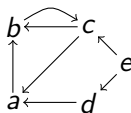
## Illustrative examples

- **Simple graphs:** draw lines or arcs between the connected nodes

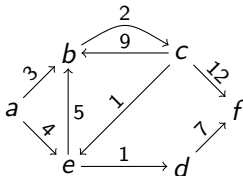
Simple **undirected** graph:



Simple **directed** graph:



- **Simple weighted graphs:** we indicate the weights along the corresponding connections

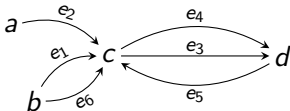


# Graphical representations of graphs

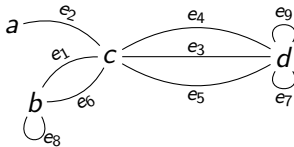
Example (continued)

**Multigraphs** or **pseudographs**: if we want to distinguish parallel edges, we can label them:

multigraph:



pseudograph:



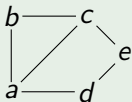
# Concrete representations of graphs

- 1 List of nodes + list of edges
- 2 Adjacency lists
- 3 Adjacency matrix
- 4 Incidence matrix
- 5 Weight matrix

# Simple graphs

The representation by list of nodes + list of edges

## Example

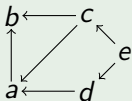


**List of nodes**  $V = [a, b, c, d, e]$

**List of edges**  $E = [\{a, b\}, \{a, c\}, \{a, d\}, \{b, c\}, \{c, e\}, \{d, e\}]$

Remarks:  $\{a, b\} = \{b, a\}$ ,  $\{a, c\} = \{c, a\}$ , a.s.o.

edge  $\leftrightarrow$  set of nodes adjacent to the edge



**List of nodes**  $V = [a, b, c, d, e]$

**List of arcs**  $E = [(a, b), (c, a), (c, b), (d, a), (e, c), (e, d)]$

Remarks:  $(a, b) \neq (b, a)$ ,  $(a, c) \neq (c, a)$ , a.s.o.

edge  $\leftrightarrow$  pair (start, end)

## Remark

If the graph has no isolated nodes (with 0 neighbors), there is no need to store the list of nodes  $V$ :

- $V$  can be computed from  $E$

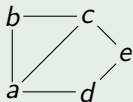
# Simple graphs

## The representation with adjacency lists

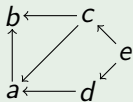
For every node  $u \in V$  we keep the **list of nodes that are adjacent to  $u$**

- ▶ If  $G$  is **undirected**,  $v$  is adjacent to  $u$  if there is an edge with endpoints  $u$  and  $v$ .
  - In undirected graphs, the relation of adjacency is symmetric.
- ▶ If  $G$  is **directed**,  $v$  is adjacent to  $u$  if there is an arc  $e \in E$  from  $u$  to  $v$ , i.e.  $start(e) = u$  and  $end(e) = v$ .

### Example



$a \mapsto [b, c, d]$	$d \mapsto [a, e]$
$b \mapsto [a, c]$	$e \mapsto [c, d]$
$c \mapsto [a, b, e]$	



$a \mapsto [b]$	$d \mapsto [a]$
$b \mapsto []$	$e \mapsto [c, d]$
$c \mapsto [a, b]$	



# Graphs

The representation with adjacency matrix  $A_G$

If  $G$  has  $n$  nodes,  $A_G = (m_{ij})$  has dimension  $n \times n$  and  
 $m_{ij} :=$  number of edges from the  $i$ -th node to the  $j$ -th node.

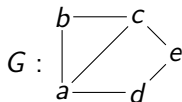
## Remarks

- 1 Before computing  $M_G$  from  $G$ , we must fix an enumeration of its nodes:  $[v_1, v_2, \dots, v_n]$
- 2 If  $G$  is undirected,  $A_G$  is a symmetric matrix
- 3 If  $G$  is a simple graph,  $A_G$  contains just 0s and 1s

# Undirected graphs

The adjacency matrix  $A_G$  of an undirected graph  $G$

The adjacency matrix the undirected graph



for the node enumeration  $[a, b, c, d, e]$  is

$$A_G = \begin{pmatrix} 0 & 1 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 1 & 0 \end{pmatrix}$$

Remark: the matrix  $A_G$  is symmetric.

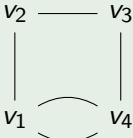
# Graphs

The digraph corresponding to a symmetric adjacency matrix

If  $A$  is a symmetric matrix of size  $n \times n$  with  $a_{ij} \in \mathbb{N}$  for all  $i, j$ , an undirected graph  $G$  whose adjacency matrix is  $A$  can be built as follows:

- 1 Draw  $n$  points  $v_1, \dots, v_n$  in plane
- 2 For every  $i, j \in \{1, \dots, n\}$ , draw  $a_{ij}$  distinct edges between  $v_i$  and  $v_j$

## Example

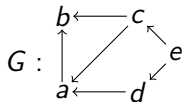
$$A = \begin{pmatrix} 0 & 1 & 0 & 2 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 2 & 0 & 1 & 0 \end{pmatrix} \Rightarrow G :$$


The diagram shows a graph with four vertices labeled  $v_1, v_2, v_3, v_4$ .  $v_2$  and  $v_3$  are at the top,  $v_1$  and  $v_4$  are at the bottom. There is a horizontal edge between  $v_2$  and  $v_3$ . There are vertical edges between  $v_2$  and  $v_1$ , and between  $v_3$  and  $v_4$ . There are two curved edges between  $v_1$  and  $v_4$ , representing two distinct edges.

# Digraphs

The adjacency matrix  $A_G$  of a digraph  $G$

The adjacency matrix of the digraph



for the enumeration  $[a, b, c, d, e]$  of nodes is

$$A_G = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 \end{pmatrix}$$

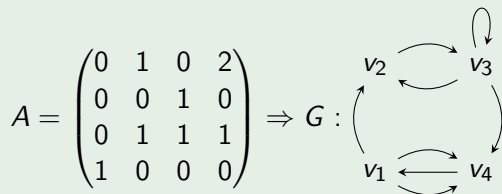
# Digraphs

The digraph corresponding to an adjacency matrix

If  $A$  is an  $n \times n$  matrix with  $a_{ij} \in \mathbb{N}$  for all  $i, j$ , a digraph  $G$  whose adjacency matrix is  $A$  can be constructed as follows:

- 1 Draw  $n$  points  $v_1, \dots, v_n$  in the plane
- 2 For every  $i, j \in \{1, \dots, n\}$ , draw  $a_{ij}$  distinct arcs from  $v_i$  to  $v_j$

## Example



# Digraphs with labeled edges

The representation with incidence matrices

We assume given two lists (or enumerations):

- $V = [v_1, \dots, v_n]$  of the nodes of  $G$
- $L = [e_1, \dots, e_p]$  of the labels of edges of  $G$

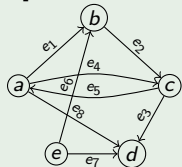
The **incidence matrix**  $M_G = (m_{ij})$  has dimension  $n \times p$  and

$$m_{ij} = \begin{cases} -1 & \text{if start}(e_j) = v_i \\ 1 & \text{if end}(e_j) = v_i \\ 0 & \text{otherwise.} \end{cases}$$

## Example

If  $V = [a, b, c, d, e]$ ,  $L = [e_1, e_2, e_3, e_4, e_5, e_6, e_7, e_8]$  and

$$M_G = \begin{pmatrix} -1 & 0 & 0 & -1 & 1 & 0 & 0 & -1 \\ 1 & -1 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & -1 & 1 & -1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & -1 & -1 & 0 \end{pmatrix} \Rightarrow$$



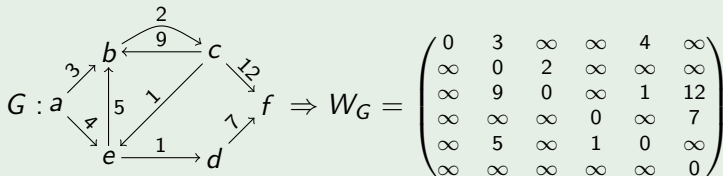
# Simple weighted graphs

The representation with weight matrix

The **weight matrix**  $W_G = (w_{ij})$  of a simple weighted graph  $G$  with  $n$  nodes  $[v_1, \dots, v_n]$  has dimension  $n \times n$  and

- ▷  $w_{ii} = 0$  for all  $1 \leq i \leq n$ .
- ▷  $w_{ij} = w(\{v_i, v_j\})$  for every edge  $\{v_i, v_j\} \in E$ , if  $G$  is undirected.
- ▷  $w_{ij} = w((v_i, v_j))$  for every arc  $(v_i, v_j) \in E$ , if  $G$  is directed.
- ▷  $w_{ij} = \infty$  otherwise.

Example (Weight digraph with node enumeration  $[a, b, c, d, e, f]$ )



# The representation of graphs

## Comparative study

- ▶ The representation with **list of edges**
  - Adequate for the representation of simple graphs without isolated nodes, and with  $|E| \ll |V|$
  - Space complexity:  $O(|E|)$
- ▶ The representation with **lists of adjacencies**
  - Allows the fast enumeration of the neighbors of a node
  - Space complexity:  $O(|V| + |E|)$
- ▶ The representation with **adjacency matrix**  $A_G = (a_{ij})$  or with **weight matrix**  $W_G = (w_{ij})$ 
  - Fast test to check direct connection between 2 nodes:  $O(1)$ 
    - $\nexists (v_i, v_j) \in E$  if  $a_{ij} = 0$  or if  $w_{ij} = \infty$
  - Space complexity:  $O(|V|^2)$ 
    - inadequate representation when  $|E| \ll |V|^2$
- The representation with incidence matrix  $M_G$ 
  - ▶ Time complexity:  $O(|V| \cdot |E|)$



# Simple digraphs

## Properties of the adjacency matrix $A_G$

We assume that  $G$  is a simple (di)graph with  $n$  nodes and with the adjacency matrix  $A_G = (a_{ij})$

- $a_{ij} = 0$  (or false) if  $\nexists$  arc  $v_i \rightarrow v_j$
- $a_{ij} = 1$  (or true) if  $\exists$  arc  $v_i \rightarrow v_j$

We define:

- $I_n$ : the identity matrix of size  $n \times n$
- The Boolean operations  $\odot$  (conjunction) and  $\oplus$  (disjunction):

$\odot$	0	1
0	0	0
1	0	1

$\oplus$	0	1
0	0	1
1	1	1

**Remarks:**

$$a \odot b = \min(a, b)$$

$$a \oplus b = \max(a, b)$$

- If  $U = (u_{ij})$ ,  $V = (v_{ij})$  are  $n \times n$  matrices with elements 0 or 1, we define
  - $U \oplus V = (c_{ij})$  if  $c_{ij} = u_{ij} \oplus v_{ij}$  for all  $i, j$
  - $U \odot V = (d_{ij})$  if  $d_{ij} = (u_{i1} \odot v_{1j}) \oplus \dots \oplus (u_{in} \odot v_{nj})$
  - $U^k = \underbrace{U \odot \dots \odot U}_{k \text{ times}}$  for every  $k > 0$

# Simple digraphs

## Properties of the adjacency matrix $A_G$ (contd.)

### Properties

- ① If  $A_G^k = (a_{ij}^{(k)})$  for  $k \geq 1$  then  $a_{ij}^{(k)} = 1$  if and only if there is a path with length  $k$  from node  $v_i$  to  $v_j$ .
- ② Let  $A_G^* = I_n \oplus A_G \oplus A_G^2 \oplus \dots \oplus A_G^{n-1} = (\bar{a}_{ij})$ . Then
  - $\bar{a}_{ij} = 1$  if and only if there is a path with length  $j \in \{1, \dots, n-1\}$  from node  $v_i$  to  $v_j$ .
  - $A_G^*$  can be computed in  $O(n^4)$ .
  - $A_G^*$  is called **reflexive and transitive closure** of  $A_G$ .
- ③  $v_i$  and  $v_j$  are connected  $\Leftrightarrow \exists$  a simple path  $v_i \rightsquigarrow v_j \Leftrightarrow \bar{a}_{ij} = 1$ .
- ④  $I_n \oplus A_G \oplus A_G^2 \oplus \dots \oplus A_G^{k+1} = I_n \oplus (I_n \oplus A_G \oplus A_G^2 \oplus \dots \oplus A_G^k) \odot A_G$   
 $\Rightarrow A^*$  can be computed in  $O(n^4)$ .

# Simple digraphs

Properties of the adjacency matrix  $A_G$  (contd.)

## Properties

- ① If  $A_G^k = (a_{ij}^{(k)})$  for  $k \geq 1$  then  $a_{ij}^{(k)} = 1$  if and only if there is a path with length  $k$  from node  $v_i$  to  $v_j$ .
- ② Let  $A_G^* = I_n \oplus A_G \oplus A_G^2 \oplus \dots \oplus A_G^{n-1} = (\bar{a}_{ij})$ . Then
  - $\bar{a}_{ij} = 1$  if and only if there is a path with length  $j \in \{1, \dots, n-1\}$  from node  $v_i$  to  $v_j$ .
  - $A_G^*$  can be computed in  $O(n^4)$ .
  - $A_G^*$  is called **reflexive and transitive closure** of  $A_G$ .
- ③  $v_i$  and  $v_j$  are connected  $\Leftrightarrow \exists$  a simple path  $v_i \rightsquigarrow v_j \Leftrightarrow \bar{a}_{ij} = 1$ .
- ④  $I_n \oplus A_G \oplus A_G^2 \oplus \dots \oplus A_G^{k+1} = I_n \oplus (I_n \oplus A_G \oplus A_G^2 \oplus \dots \oplus A_G^k) \odot A_G \Rightarrow A^*$  can be computed in  $O(n^4)$ .

## Corollary

The connectivity between all pairs of nodes in a simple digraph can be checked in  $O(n^4)$ .

# Simple digraphs

Better ways to compute  $A_G^*$

**Warshall algorithm** computes  $A_G^*$  in  $O(n^3)$ .

## Warshall's main idea

If  $V = [v_1, \dots, v_n]$  is an enumeration of the nodes of  $G$  and  $v_k \in V$ , then every simple path  $\pi : v_i \rightsquigarrow v_j$  is of one of the following two kinds:

- 1  $v_k$  does not appear along  $\pi$  between  $v_i$  and  $v_j$



- 2  $v_k$  appears exactly once in  $\pi$ , between  $v_i$  and  $v_j$



# Simple digraphs

Warshall algorithm to compute  $A_G^*$

Assumption:  $A_G = (a_{ij})$  has size  $n \times n$

- Compute recursively  $C^{[n]} = (c_{ij}^{[n]})$  where

$$c_{ij}^{[k]} := \begin{cases} a_{ij} & \text{if } k = 0 \\ c_{ij}^{[k-1]} \oplus (c_{ik}^{[k-1]} \odot c_{kj}^{[k-1]}) & \text{if } k \geq 1 \end{cases}$$

## Properties

- 1  $C^{[0]} = A_G$
- 2  $c_{ij}^{[k]} = 1$  if and only if there is a path  $\pi : v_i \rightsquigarrow v_j$  where all intermediary nodes are from the subset  $\{v_1, \dots, v_k\}$
- 3  $C^{[n]} = A_G^*$
- 4  $C^{[n]}$  can be computed in  $O(n^3)$ .

# Simple weighted digraphs

## The lightest paths

Consider the simple digraph  $G = (V, E)$  with  $V = \{1, \dots, n\}$  and the weight function  $w : E \rightarrow \mathbb{R}^+$

- ▶ In  $G$ , the **weight** of a path  $\pi : v_1 \rightarrow v_2 \rightarrow \dots \rightarrow v_p$  is
  - $w(\pi) = \sum_{i=1}^{p-1} w((v_i, v_{i+1}))$
  - (we add up the weights of all arcs of  $\pi$ )
- ▶ For every pair of nodes  $(i, j) \text{ din } V$ , we wish to find
  - a lightest path from node  $i$  to node  $j$   
(there can be more than one lightest path)
  - the weight of a lightest path

Remember that the **weight matrix** of  $G$  is  $W_G = (w_{ij})$  where

$$w_{ij} = \begin{cases} 0 & \text{if } j = i, \\ w((i, j)) & \text{if } (i, j) \in E, \\ \infty & \text{if } (i, j) \notin E \end{cases}$$

# Finding the lightest paths in a simple weighted digraph

Warshall algorithm: main idea

Let  $k \in V = \{1, \dots, n\}$ , and  $\pi : i \rightsquigarrow j$  be a lightest path from  $i$  to  $j$ . We distinguish two cases:

- 1  $k$  is not an intermediary node of  $\pi$
- 2  $k$  is an intermediary node of  $\pi$ . Then  $\pi = i \overset{\pi_1}{\rightsquigarrow} k \overset{\pi_2}{\rightsquigarrow} j$  and  $w(\pi) = w(\pi_1) + w(\pi_2)$ .

# Finding the lightest paths in a simple weighted digraph

Warshall algorithm: main idea

Let  $k \in V = \{1, \dots, n\}$ , and  $\pi : i \rightsquigarrow j$  be a lightest path from  $i$  to  $j$ . We distinguish two cases:

- 1  $k$  is not an intermediary node of  $\pi$
- 2  $k$  is an intermediary node of  $\pi$ . Then  $\pi = i \xrightarrow{\pi_1} k \xrightarrow{\pi_2} j$  and  $w(\pi) = w(\pi_1) + w(\pi_2)$ .

An useful auxiliary data structure:

- A matrix of paths  $P^{[k]} = (p_{ij}^{[k]})$  where

$$p_{ij}^{[k]} := \begin{cases} \bullet & \text{special value: } \nexists \text{ path } i \rightsquigarrow j \text{ through} \\ & \text{intermediary nodes from } \{1, \dots, k\} \\ \pi & \text{a lightest path from } i \text{ to } j \text{ through} \\ & \text{intermediary nodes from } \{1, \dots, k\} \end{cases}$$



# Finding the lightest paths in a simple weighted digraph

Warshall algorithm: the recursive formula of computation

We assume that  $W_G = (w_{ij})$

$$p_{ij}^{[k]} := \begin{cases} \bullet & \text{if } k = 0 \text{ and } w_{ij} = \infty \\ [i, j] & \text{if } k = 0 \text{ and } w_{ij} < \infty \\ p\text{-min}(p_{ij}^{[k-1]}, p_{ik}^{[k-1]} \asymp p_{kj}^{[k-1]}) & \text{if } k \geq 1 \end{cases}$$

where  $p_{ik}^{[k-1]} \asymp p_{kj}^{[k-1]}$  denotes the concatenation of the paths  $p_{ik}^{[k-1]}$  and  $p_{kj}^{[k-1]}$ . If both exist (i.e., they are not  $\bullet$ ), and  $\bullet$  otherwise.

## Remark

If  $p_{ij}^{[n]} = \bullet$ , there is no path from node  $i$  to  $j$ . Otherwise,  $p_{ij}^{[n]}$  is a lightest path from node  $i$  to  $j$ .

# Finding the lightest paths in a simple weighted digraph

Warshall algorithm: pseudocode

Compute recursively and simultaneously two matrices:

$$W^{[k]} = \left( w_{ij}^{[k]} \right) \quad \text{and} \quad P^{[k]} = \left( p_{ij}^{[k]} \right) \quad \text{for } 0 \leq k \leq n$$

$$w_{ij}^{[k]} = \begin{cases} w_{ij} & \text{if } k = 0, \\ \max \left( w_{ij}^{[k-1]}, \min \{ w_{ik}^{[k-1]}, w_{kj}^{[k-1]} \} \right) & \text{otherwise.} \end{cases}$$

$$p_{ij}^{[k]} = \begin{cases} \bullet & \text{if } k = 0 \text{ and } w_{ij}^{[0]} = \infty, \\ [i, j] & \text{if } k = 0 \text{ and } w_{ij}^{[0]} \neq \infty, \\ w_{ij}^{[k-1]} & \text{if } k > 0 \text{ and } w_{ij}^{[k-1]} \leq w_{ik}^{[k-1]} + w_{kj}^{[k-1]}, \\ w_{ik}^{[k-1]} \preceq w_{kj}^{[k-1]} & \text{otherwise.} \end{cases}$$

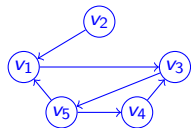
Remark

$W^{[n]}$  and  $P^{[n]}$  can be computed in  $O(n^3)$ .

# A special case of Warshall algorithm

Finding the shortest paths in a simple digraph

All arcs are assumed to have weight 1



$$P_G^{[0]} = \begin{pmatrix} \bullet & \bullet & [v_1, v_3] & \bullet & \bullet \\ [v_2, v_1] & \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & [v_3, v_5] \\ \bullet & \bullet & [v_4, v_3] & \bullet & \bullet \\ [v_5, v_1] & \bullet & \bullet & [v_5, v_4] & \bullet \end{pmatrix},$$

$$P_G^{[1]} = \begin{pmatrix} \bullet & \bullet & [v_1, v_3] & \bullet & \bullet \\ [v_2, v_1] & \bullet & [v_2, v_1, v_3] & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & [v_3, v_5] \\ \bullet & \bullet & [v_4, v_3] & \bullet & \bullet \\ [v_5, v_1] & \bullet & [v_5, v_1, v_3] & [v_5, v_4] & \bullet \end{pmatrix},$$

$$P_G^{[2]} = P_G^{[1]}, P_G^{[3]} = \begin{pmatrix} \bullet & \bullet & [v_1, v_3] & \bullet & [v_1, v_3, v_5] \\ [v_2, v_1] & \bullet & [v_2, v_1, v_3] & \bullet & [v_2, v_1, v_3, v_5] \\ \bullet & \bullet & \bullet & \bullet & [v_3, v_5] \\ \bullet & \bullet & [v_4, v_3] & \bullet & [v_4, v_3, v_5] \\ [v_5, v_1] & \bullet & [v_5, v_1, v_3] & [v_5, v_4] & \bullet \end{pmatrix}, P_G^{[4]} = P_G^{[3]},$$

$$P_G^{[5]} = \begin{pmatrix} [v_1, v_3, v_5, v_1] & \bullet & [v_1, v_3] & [v_1, v_3, v_5, v_4] & [v_1, v_3, v_5] \\ [v_2, v_1] & \bullet & [v_2, v_1, v_3] & [v_2, v_1, v_3, v_5, v_4] & [v_2, v_1, v_3, v_5] \\ [v_3, v_5, v_1] & [v_3, v_5, v_1, v_3] & [v_3, v_5, v_1, v_3] & \bullet & [v_3, v_5] \\ [v_4, v_3, v_5, v_1] & \bullet & [v_4, v_3] & [v_4, v_3, v_5, v_4] & [v_4, v_3, v_5] \\ [v_5, v_1] & \bullet & [v_5, v_1, v_3] & [v_5, v_4] & [v_5, v_1, v_3, v_5] \end{pmatrix}$$