

# Logic Programming

## The Theoretical Basis of Logic Programming

December 2, 2015

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  - ▶ the semantics,
  - ▶ illustrate some difficulties of the semantic evaluation of truth in first order logic,
  - ▶ review some results that deal with this difficulty.

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- ▶ Example language (symbols):  
 $\mathcal{L} = \{\{+_{/2}, -_{/1}\}, \{<_{/2}, \geq_{/2}\}, \{0, 1\}\}$ . We use a notation similar to Prolog to indicate the arity of symbols.

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    - ▶ if  $x \in \mathcal{V}$  and  $F$  is a formula then  $\forall xF, \exists xF$  are (quantified) formulae (the universally and existentially quantified formulae, respectively).

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  - ▶ **predicate symbols are interpreted as relations/properties between/of objects in the domain described by the language.**

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- ▶ Note that interpretation shows the correspondence between the name of a concept (constant, function symbol, predicate symbol) and the concept described by that name.

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  - ▶ If  $f(t_1, \dots, t_n)$  is a term, then

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- For example, consider  $\mathcal{I}_1$  as defined above:

$$\begin{aligned} v_{\mathcal{I}_1}(-(0 + 1))) &= \\ \mathcal{I}_1(-)(v_{\mathcal{I}_1}(0 + 1)) &= \\ \text{factorial}(\mathcal{I}_1(+)(v_{\mathcal{I}_1}(0), v_{\mathcal{I}_1}(1))) &= \\ \text{factorial}(\text{multiplication}(\text{seven}, \text{zero})) &= \\ \text{factorial}(\text{zero}) &= \\ \text{one}. \end{aligned}$$

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  - ▶ Whether a formula is a **logical consequence** of a set of other formulae, i.e. the formula is true in all interpretations such that all formulae in the set are true (we denote  $F_1, \dots, F_n \models G$ ).

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- ▶ If a formula is (or a set of formulae are) true under an interpretation in a domain, then that domain is called a **model** of the formula(e).

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- ▶ Checking satisfiability (and validity) of a formula (set) can be done by just checking the evaluation under a certain interpretation into this special universe.
- ▶ Let  $\mathcal{L}$  be a language containing the constant symbols  $\mathcal{C}$ , function symbols  $\mathcal{F}$  and predicate symbols  $\mathcal{P}$ . Let  $F$  be a formula over  $\mathcal{L}$ .

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- ▶ The **Herbrand base**  $\mathcal{B}$  of the language  $\mathcal{L}$  or the formula  $F$  is the set of ground atoms that can be formed from the predicate symbols in  $\mathcal{P}$  and terms in  $\mathcal{H}$ .

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- ▶ A **Herbrand model** for a formula (set)  $F$  is a Herbrand interpretation that satisfies  $F$ . A Herbrand model can be identified with a subset of the Herbrand base, namely the subset for which

$$v_{\mathcal{I}_{\mathcal{H}}}(p(t_1, \dots, t_n)) = \mathbf{true}.$$

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## Theorem (Herbrand's theorem (syntactic form))

*A formula  $F$  is provable iff a formula built from a finite set of ground instances of subformulas of  $F$  is provable in propositional logic.*

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- ▶ For using resolution in propositional logic, propositional formulas are written in **Conjunctive Normal Form** (CNF).
- ▶ To use Herbrand's theorem and resolution in propositional logic, one would need a similar transformation for predicate logic.

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$$Q_1x_1 \dots Q_nx_nM,$$

where  $Q_i$  is a quantifier (either  $\forall$ ,  $\exists$ ), for  $i = 1 \dots n$ , and  $M$  is a quantifier-free formula in CNF.  $Q_1x_1 \dots Q_nx_n$  is called the **prefix** and  $M$  is called the **matrix**.

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- ▶ A **clause** is a disjunction of literals.

- Example: The following formula is in clausal normal form:

$$\forall x \forall y \forall z \left( (p(f(x)) \vee \neg q(y, z)) \wedge \right. \\ \left. (\neg p(x) \vee q(y, f(z)) \vee r(x, y)) \wedge \right. \\ \left. (q(x, f(z)) \vee \neg r(f(y), f(z))) \right).$$

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- Notation: Let  $F, G$  be formulas. We denote  $F \approx G$  if  $F$  and  $G$  are equisatisfiable (i.e.  $F$  is satisfiable iff  $G$  is satisfiable).

## Theorem (Skolem)

*Let  $F$  be a closed formula. Then there exists a formula  $F'$  in clausal form such that  $F \approx F'$ .*

- Skolem's theorem can be used to decide if a formula is unsatisfiable if a method for deciding unsatisfiability of formulas in clausal exists.



# Skolemization Algorithm

IN: closed formula  $F$ .

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$$\neg \forall x (\neg p(x) \vee q(x)) \vee (\neg \forall y p(y) \vee \forall z q(z))$$

3: Push the negations inside the parantheses, until negations apply only to atomic formulae. Use the equivalences

$$\neg(\neg F) \equiv F,$$

$$\neg(F \wedge G) \equiv (\neg F \vee \neg G),$$

$$\neg(F \vee G) \equiv (\neg F \wedge \neg G),$$

$$\neg(\forall x F[x]) \equiv \exists x \neg F[x],$$

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- 4: Extract the quantifiers from the matrix. Since the variables have been renamed, the following equivalences can be applied:  $A \text{ op } Qx B[x] \equiv Qx(A \text{ op } B[x])$  and  $Qx B[x] \text{ op } A \equiv Qx(B[x] \text{ op } A)$  where  $Q$  is one of  $\forall, \exists$  and  $\text{op}$  is one of  $\wedge, \vee$ .

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$$\exists x \exists y \forall z ((p(x) \wedge \neg q(x)) \vee \neg p(y) \vee q(z))$$

5: Use the distributive laws  $P \vee (Q \wedge R) \equiv (P \vee Q) \wedge (P \vee R)$ ,  $(P \wedge Q) \vee R \equiv (P \vee R) \wedge (Q \vee R)$  to transform the matrix into CNF.

Note that steps 1-5 preserve logical consequence. It is relatively easy to show that step 6 preserves satisfiability. For details, 

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- If the prefix is of the form  $\forall y_1 \dots \forall y_n \exists x$ , let  $f$  be a new  $n$ -ary function symbol. Delete  $\exists x$  from the prefix, replace all occurrences of  $x$  in the matrix by  $f(y_1, \dots, y_n)$ . The function  $f$  is called a **Skolem function**.

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- ▶ If there are no universal quantifiers before  $\exists x$  in the prefix, let  $a$  be a new constant. Eliminate  $\exists x$  from the prefix and replace every occurrence of  $x$  in the matrix with  $a$ . The constant  $a$  is a **Skolem constant**.

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$$\forall z ((p(a) \vee \neg p(b) \vee q(z)) \wedge (\neg q(a) \vee \neg p(b) \vee q(z)))$$

Note that steps 1-5 preserve logical consequence. It is relatively easy to show that step 6 preserves satisfiability. For details,

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See [Crăciun, 2010] for details on propositional resolution.

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- ▶ For practical purposes, given a finite set of ground formulae, one can rename the distinct ground atoms by distinct propositional formulae and thus answer the question of unsatisfiability by **propositional resolution**.  
See [Crăciun, 2010] for details on propositional resolution.
- ▶ However, this approach is not practical: there is no indication how to find the finite set of ground formulae: the set of possible ground instantiations is both unbounded and unstructured.

- A **substitution** of terms for variables is a set:

$$\{x_1 \leftarrow t_1, \dots, x_n \leftarrow t_n\}$$

where, for  $i = 1 \dots n$ ,  $x_i$  are distinct variables,  $t_i$  are terms such that  $x_i$  and  $t_i$  are distinct. Substitutions will be denoted by lowercase greek letters ( $\lambda, \theta, \delta, \sigma$ ). The **empty** substitution is denoted by  $\epsilon$ .

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- ▶ Let  $E$  be an expression,  $\theta = \{x_1 \leftarrow t_1, \dots, x_n \leftarrow t_n\}$ . An **instance of  $E$**  (or the result of applying  $\theta$  to  $E$ ),  $E\theta$  is the expression obtained by **simultaneously** replacing every occurrence of  $x_i$  in  $E$  by  $t_i$ .

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- ▶ Example: let  $E = p(x) \vee q(f(y))$ ,  $\theta = \{x \leftarrow y, y \leftarrow f(a)\}$ . Then

$$E\theta = p(y) \vee q(f(f(a))).$$



- Let  $\theta = \{x_1 \leftarrow t_1, \dots, x_n \leftarrow t_n\}$  and  $\sigma = \{y_1 \leftarrow s_1, \dots, y_k \leftarrow s_k\}$  be substitutions. Let  $X, Y$  be the sets of variables from  $\theta$  and  $\sigma$ , respectively. The **composition of  $\theta$  and  $\sigma$** ,  $\theta\sigma$  is the substitution:
- $$\theta\sigma = \{x_i \leftarrow t_i\sigma \mid x_i \in X, x_i \neq t_i\sigma\} \cup \{y_j \leftarrow s_j \mid y_j \in Y, y_j \notin X\},$$
- in other words, apply the substitution  $\sigma$  to the terms  $t_i$  (provided that the resulting substitution does not collapse into  $x_i \leftarrow x_i$ ) then append the substitutions from  $\sigma$  whose variables do not appear already in  $\theta$ .

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- **Example: let**

$$\begin{aligned}\theta &= \{x \leftarrow f(y), y \leftarrow f(a), z \leftarrow u\}, \\ \sigma &= \{y \leftarrow g(a), u \leftarrow z, v \leftarrow f(f(a))\},\end{aligned}$$

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- ▶ Let  $\theta, \sigma, \lambda$  be substitutions. Then  $\theta(\sigma\lambda) = (\theta\sigma)\lambda$ .

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applied to both the literals will make them identical (will “unify” them),

- ▶ the same effect is obtained when applying the substitutions

$$\{x \leftarrow f(a), y \leftarrow a, z \leftarrow a\},$$

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- Given a set of literals, a **unifier** is a substitution that makes the atoms of the set identical. A **most general unifier (mgu)** is a unifier  $\mu$  such that any other unifier  $\theta$  can be obtained from  $\mu$  by a further substitution  $\lambda$  such that  $\theta = \mu\lambda$ .



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- ▶ Note that not all literals are unifiable: if the predicate symbols are different, the literals cannot be unified. Also, consider the case of  $p(x)$  and  $p(f(x))$ . Since the substitution of the variable  $x$  has to be done in the same time, the terms  $x$  and  $f(x)$  cannot be made identical, and the unification will fail.

# Unification algorithm

- Note that the unifiability of the literals  $p(f(x), g(y))$  and  $p(f(f(a)), g(z))$  can be expressed as a set of term equations:

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A set of equations in solved form defines a substitution in a natural way by turning each equation  $x_i = t_i$  into an element of the substitution,  $x_i \leftarrow t_i$ .

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3. Let  $t' = t''$  be an equation where  $t'$ ,  $t''$  are not variables. If the outermost (function) symbol of  $t'$  and  $t''$  are not identical, terminate and answer “not unifiable”. Otherwise, if  $t'$  is of the form  $f(t'_1, \dots, t'_k)$  and  $t''$  is of the form  $f(t''_1, \dots, t''_k)$ , replace the equation  $f(t'_1, \dots, t'_k) = f(t''_1, \dots, t''_k)$  by the  $k$  equations

$$t'_1 = t''_1, \dots, t'_k = t''_k.$$

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$$t'_1 = t''_1, \dots, t'_k = t''_k.$$

4. Let  $x = t$  a term equation such that  $x$  has another occurrence in the set of term equations. If  $x$  occurs in  $t$  (occurs check!), terminate and answer “not unifiable”. Otherwise, transform the equation set by replacing each occurrence of  $x$  in other equations by  $t$ .

Example from [Ben-Ari, 2001]

Consider the following two equations:

$$\begin{aligned}g(y) &= x \\ f(x, h(x), y) &= f(g(z), w, z).\end{aligned}$$

- ▶ Apply rule 1 to the first equation and rule 3 to the second equation:

$$\begin{aligned}x &= g(y) \\ x &= g(z) \\ h(x) &= w \\ y &= z.\end{aligned}$$

- Apply rule 4 on the second equation to replace the other occurrences of  $x$ :

$$\begin{aligned}g(z) &= g(y) \\x &= g(z) \\h(g(z)) &= w \\y &= z.\end{aligned}$$

- Apply rule 3 to the first equation

$$\begin{aligned}z &= y \\x &= g(z) \\h(g(z)) &= w \\y &= z.\end{aligned}$$

- ▶ Apply rule 4 on the last equation to replace  $y$  by  $z$  in the first equation, then erase the resulting  $z = z$  using rule 2:

$$\begin{aligned}x &= g(z) \\ h(g(z)) &= w \\ y &= z.\end{aligned}$$

- ▶ Transform the second equation by rule 1:

$$\begin{aligned}x &= g(z) \\ w &= h(g(z)) \\ y &= z.\end{aligned}$$

- ▶ The algorithm terminates successfully. The resulting substitution

$$\{x \leftarrow g(z), w \leftarrow h(g(z)), y \leftarrow z\}$$

is the most general unifier of the initial set of equations.



## Theorem (Correctness of the unification algorithm)

*The unification algorithm terminates. If the algorithm terminates with the answer “not unifiable”, there is no unifier for the set of term equations. If it terminates successfully, the resulting set of equations is in solved form and it defines an mgu*

$$\mu = \{x_1 \leftarrow t_1, \dots, x_n \leftarrow t_n\}$$

*of the set of equations*

**Proof.**

See [Ben-Ari, 2001], pp. 158.



- ▶ Read: Chapter 7, sections 7.5-7.8 of [Ben-Ari, 2001]; Chapter 8, sections 8.1-8.3 of [Ben-Ari, 2001].
- ▶ Also read: Chapter 2, Chapter 3 of [Nilsson and Maluszynski, 2000].



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