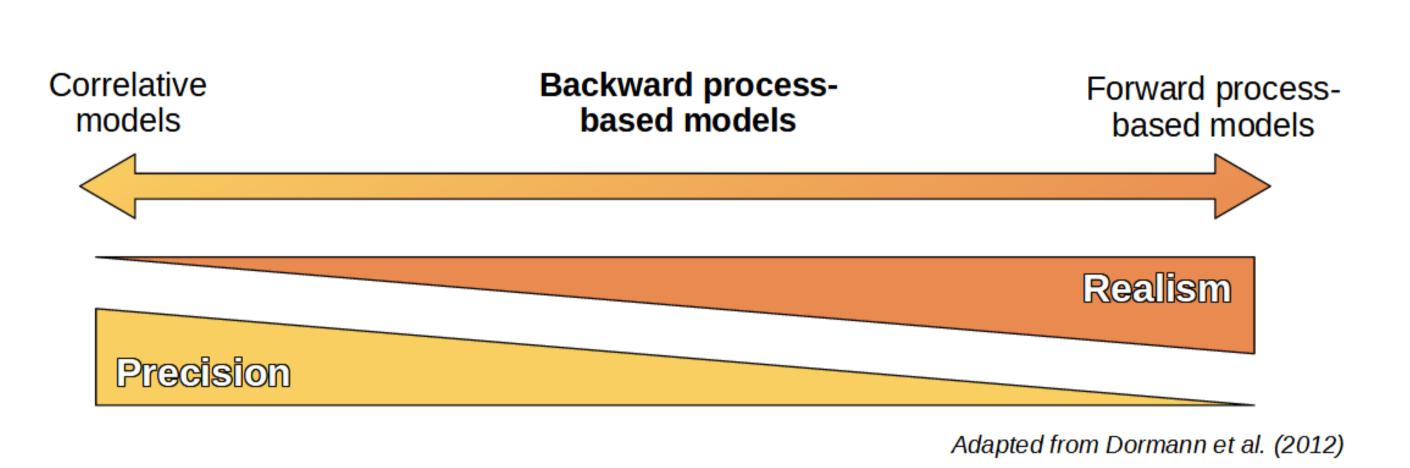
# A novel method to estimate process-based model parameters from species distribution data

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# 1. Context and objective

• Two types of species distribution models (SDMs): **correlative niche-based models** and **process-based models**<sup>1</sup>



 Process-based models: translate physiological and ecological processes into mathematical equations. Take more time to develop, calibration is challenging and time-consuming

Our goal: exploration of the feasibility of calibrating process-based models using species occurrence data as correlative SDMs, i.e. backward calibration

# 3. Covariance matrix adaptation, evolution strategy

### **CMA-ES** in a nutshell

- Robust optimization algorithm for nonlinear optimization problems in continuous domain developped by Nikolaus Hansen<sup>2</sup>
- Inspired by **evolutionary biology**: recombination, mutation and selection

### In practice

- Parallel implementation on two computing clusters (40 to 100 CPUs)
- Calibration runtime for 2000 points and 30 years:
- PHENOFIT: ~ 24 hours
- CASTANEA: ~ 20 days

# 5. Non-identifiability of parameters

• Focus on the leaf unfolding submodel in PHENOFIT: high variability in the parameter estimates because of structural correlations

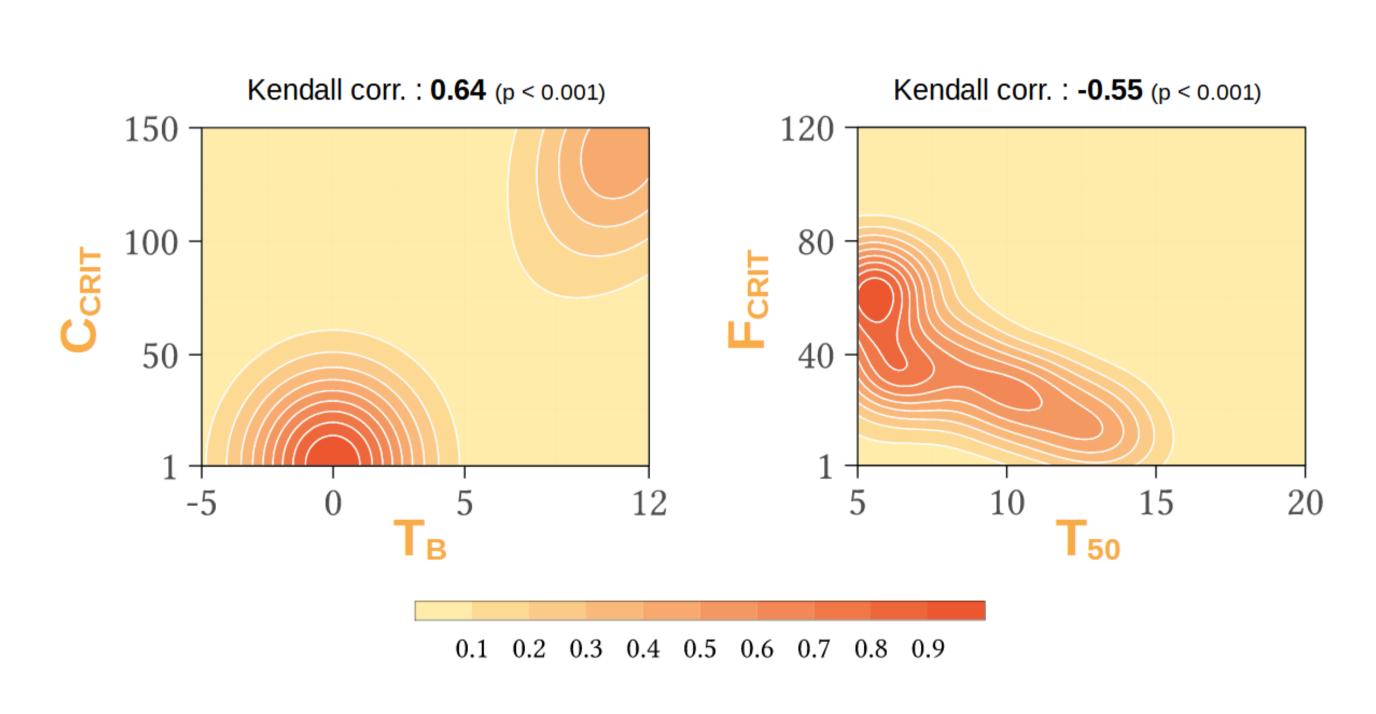


Fig. 2: Density of the main parameters of the leaf unfolding date submodel, after 100 calibrations for F. sylvatica

• Parameter values not necessarily ecologically relevant

## 7. Take-home message

CMA-ES is a powerful algorithm to calibrate complex ecological models.

# References

- 1. Dormann, C. F. et al. 2012. *Correlation and process in species distribution models: Bridging a dichotomy*. Journal of Biogeography 39: 2119–2131.
- 2. Hansen, N. and Ostermeier, A. 2001. *Completely derandomized self-adaptation in evolution strategies*. Evolutionary Computation 9: 159–195.
- 3. Chuine, I. and Beaubien, E. G. 2001. *Phenology is a major determinant of tree species range*. Ecology Letters 4: 500–510.
- 4. Dufrêne, E. et al. 2005. *Modelling carbon and water cycles in a beech forest: Part I: Model description and uncertainty analysis on modelled NEE*. Ecological Modelling 185: 407–436.

### 2. Models and data

### Tree occurrence data

- EU-Forest dataset
- Completed with records from GBIF, filtered with Atlas Flora Europeae and EuroVegMap species ranges
- For Quercus ilex, added records from WOODIV database

### Climate and soil data

- Climatic variables derived from ERA5-Land (1970-2000), 0.1° resolution
- Soil variables extracted from EU-SoilHydroGrids and SoilGrids250m

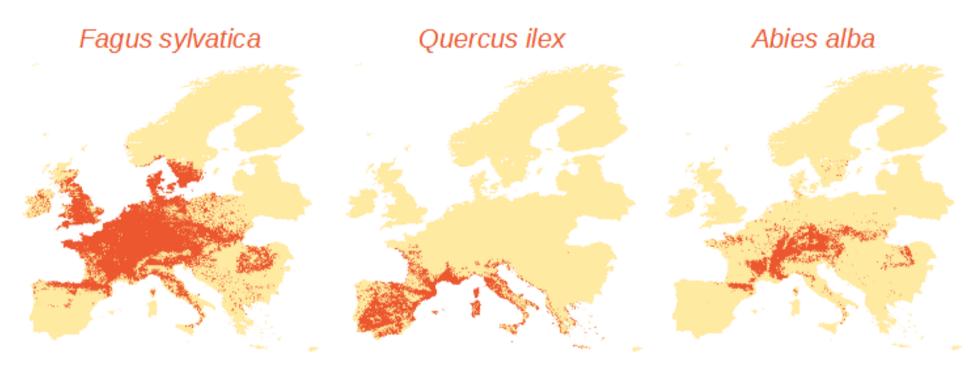


Fig. 3: Species distribution

### **Process-based models**

- **PHENOFIT**<sup>3</sup>: focuses on phenology and stress resistance, and simulates the fitness (survival and reproductive success) of an average individual (27 to 37 parameters)
- CASTANEA<sup>4</sup>: simulates carbon and water fluxes in forest, with several processes such as photosynthesis, stomatal conductance, or respiration (77 parameters)

# 4. Improvement to model calibration

# PHENOFIT CMA-ES calibration AUC = 0.91 AUC = 0.91 AUC = 0.98 AUC = 0.95 AUC = 0.95 AUC = 0.95 AUC = 0.95 AUC = 0.98 AUC = 0.98

Fig. 4: Species distribution maps obtained with PHENOFIT and CASTANEA forward calibration and backward calibration with CMA-ES. Optimal threshold to dichotomize model outputs is the Youden Indexbased cut-off point. Forward calibration is based on expert knowledge, observations and measurements.

# 6. CMA-ES rocks!

### Calibration performance

- Great increase in AUC compared to classical (forward) parametrization
- Easy to use: does not require an extensive tuning
- Efficient to deal with ill-conditioned and non-separable problems
- Can be a useful technique to calibrate submodels whose parameter values can hardly be experimentally measured

### Comparison with ABC

CMA-ES slighty **outperforms** approximate bayesian computation (ABC)

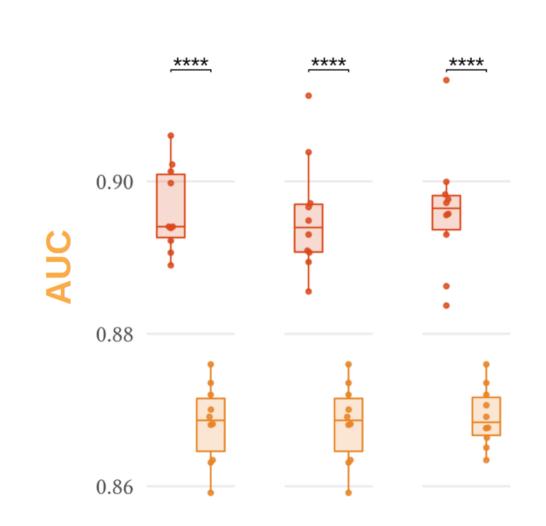


Fig. 5: Comparison of CMA-ES (red) and ABC-rejection (orange) performances for F. sylvatica