

Decoding QC-MDPC

Valentin Vasseur

QC-MDPC scheme

$p, d, t \in \mathbb{N}$ parameters, p prime, d odd, $2d \sim t \sim \sqrt{2p}$

$$\mathcal{R} = \mathbb{F}_2[X]/(X^p - 1)$$

$h_0, h_1 \leftarrow \mathcal{R}$
 $|h_0| = |h_1| = d$
 h_0 invertible

$$h = h_1 h_0^{-1}$$

$e_0, e_1 \in \mathcal{R}$
 $|e_0| + |e_1| = t$

$sh_0 = e_0 h_0 + e_1 h_1$
 $(e_0, e_1) \leftarrow \text{Decode}(sh_0)$

$$s = e_0 + e_1 h$$

Improving the decoding algorithm

Motivation :

- QC-MDPC are likely to be proposed for the NIST post-quantum cryptography project
- Current algorithm fails with a small probability
- An attacker could use the failures to get the secret key [GJS16]

Goals :

- Improve the algorithm
- Have a better understanding of the algorithm to estimate decoding failure probability

A decoding algorithm : Bit flip

```
procedure BIT-FLIPPING( $y, H$ )
     $y \leftarrow y$ 
    while  $Hy^T \neq 0$  do
         $s \leftarrow Hy^T$ 
        for  $j = 1, \dots, n$  do
            if  $\sigma_j = \langle s, h_j \rangle \geq \text{threshold}$  then
                 $y_j \leftarrow 1 - y_j$ 
    return  $y$ 
```

$$H = \begin{pmatrix} 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 \end{pmatrix} \quad s = \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}$$
$$y - y = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

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$$\sigma = \begin{pmatrix} 2 & 2 & 2 & 2 & 3 & 2 & 1 & 3 & 1 & 1 & 2 & 3 & 2 & 3 & 2 & 1 & 2 & 2 \end{pmatrix}$$

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$$s = \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 0 \\ 0 \\ 1 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}$$

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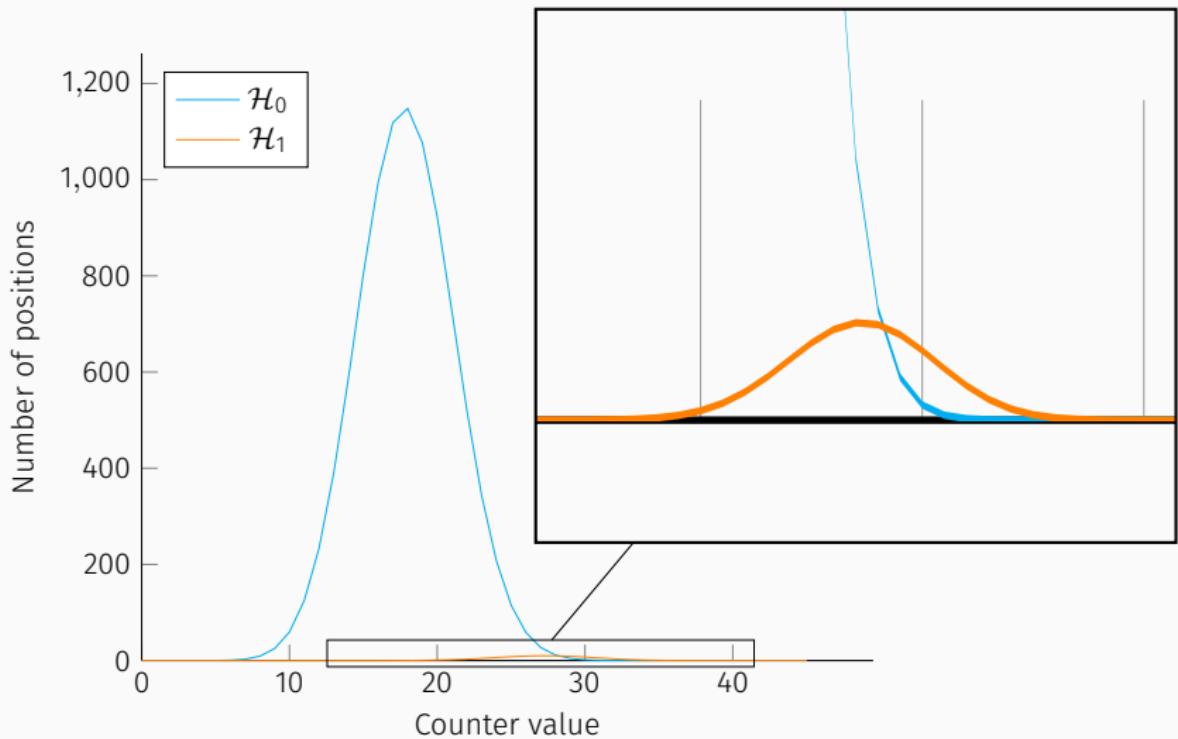
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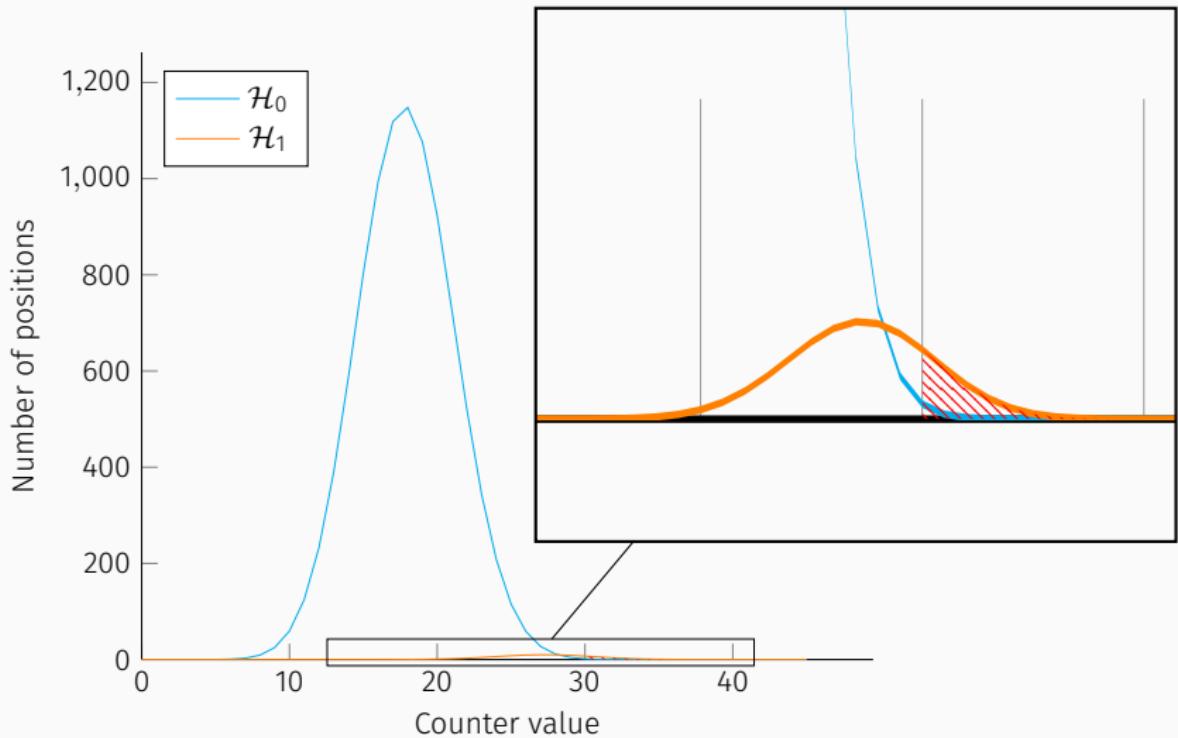
State of the art

- [MTSB13] : threshold $\max_i \sigma_i - \Delta$ ($\Delta \approx 5$ fixed) DFR $\approx 10^{-7}$

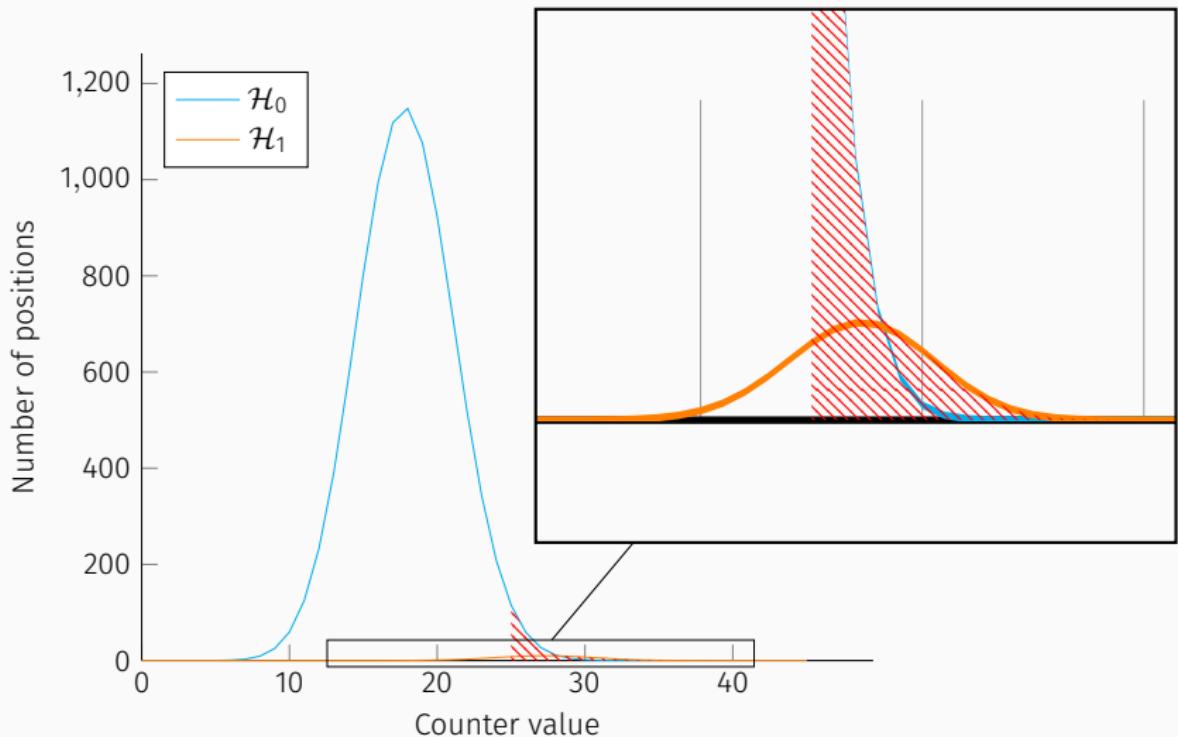
$$\max_i \sigma_i - \Delta$$



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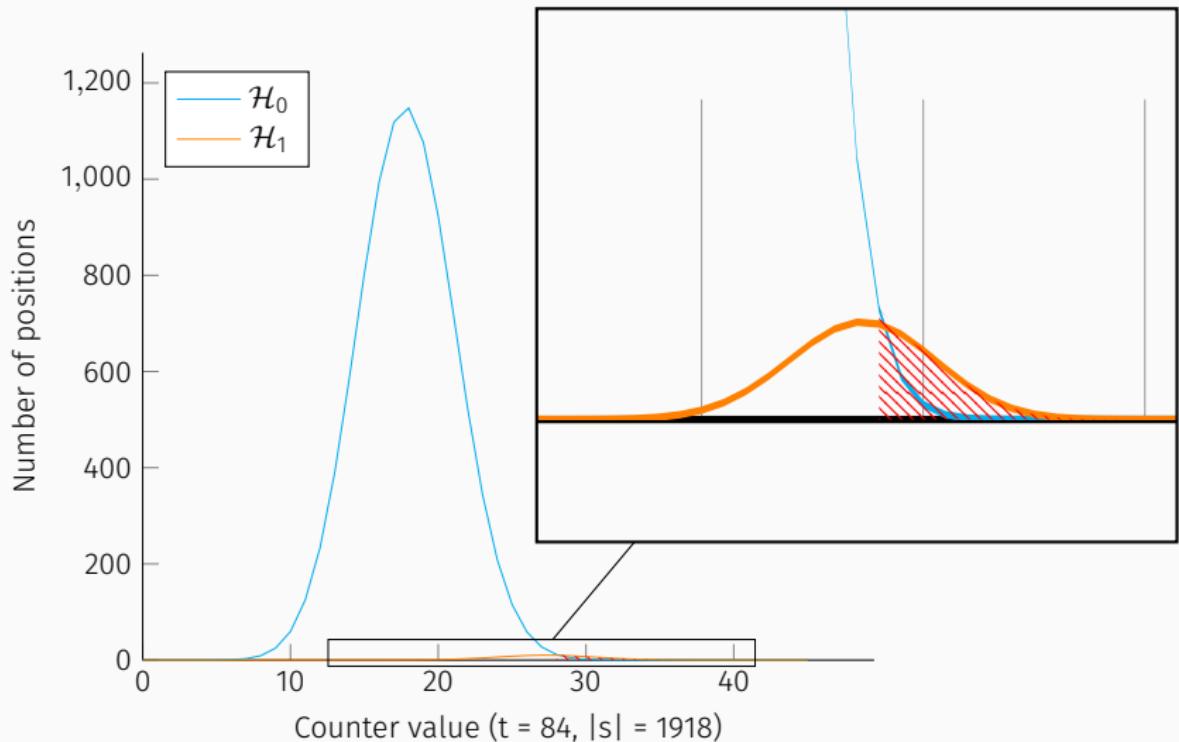
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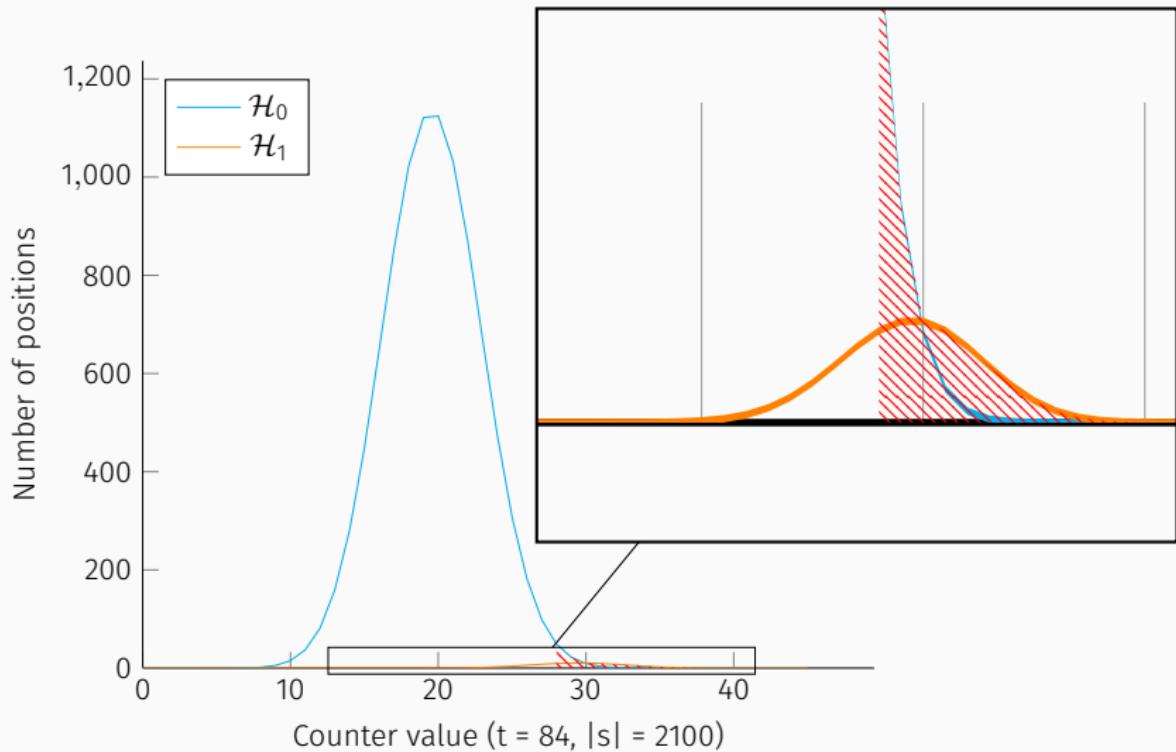
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- [MTSB13] : threshold $\max_i \sigma_i - \Delta$ ($\Delta \approx 5$ fixed) DFR $\approx 10^{-7}$
- [Cho16] : fixed precomputed thresholds for each iteration DFR $< 10^{-8}$

Fixed precomputed thresholds



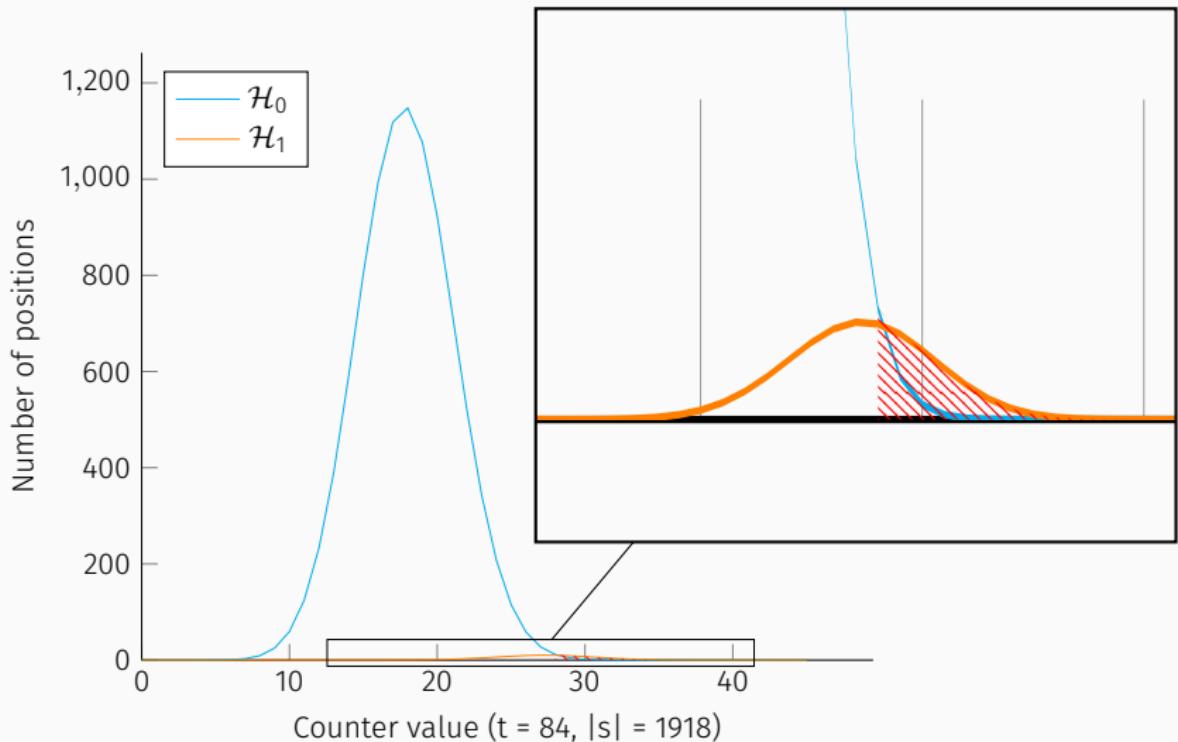
Fixed precomputed thresholds



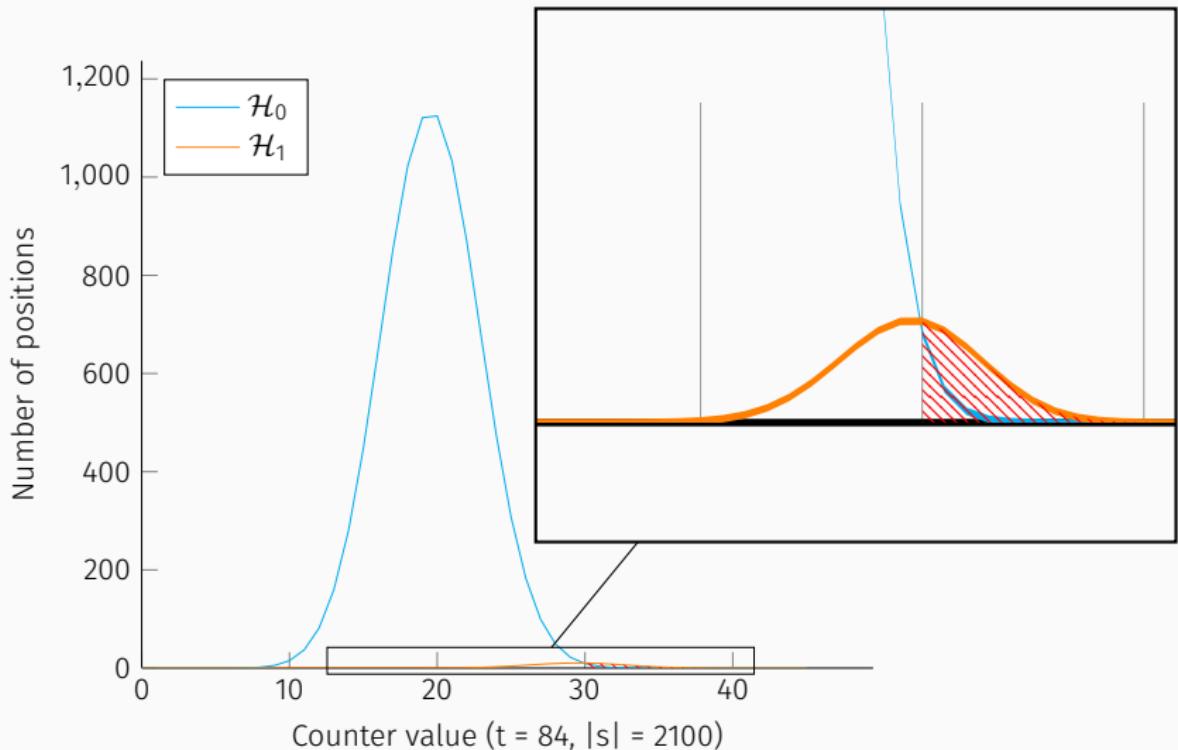
State of the art

- [MTSB13] : threshold $\max_i \sigma_i - \Delta$ ($\Delta \approx 5$ fixed) DFR $\approx 10^{-7}$
- [Cho16] : fixed precomputed thresholds for each iteration DFR $< 10^{-8}$
- [Cha17] : threshold dependent on the syndrome weight DFR $\approx 10^{-9}$

Threshold depending on the syndrome weight



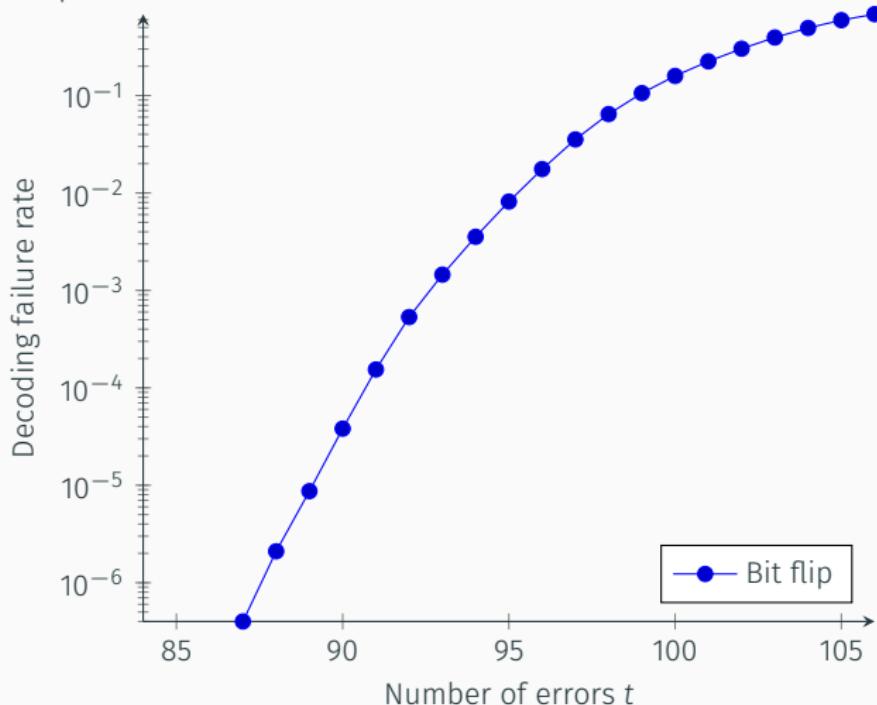
Threshold depending on the syndrome weight



Decoding failure rate for QC-MDPC with oversized error weights

$p = 4801, d = 45$

Samples size : 10^7



“Grey zones”

```

procedure GREY BIT-FLIPPING( $y, H$ )
     $y \leftarrow y$ 
     $G \leftarrow \{1, \dots, n\}$ 
    while  $Hy^T \neq 0$  do
         $s \leftarrow Hy^T$ 
        for  $j = 1, \dots, n$  do
            if  $j \in G$  and  $\sigma_j = \langle s, h_j \rangle \geq \text{threshold}$  then
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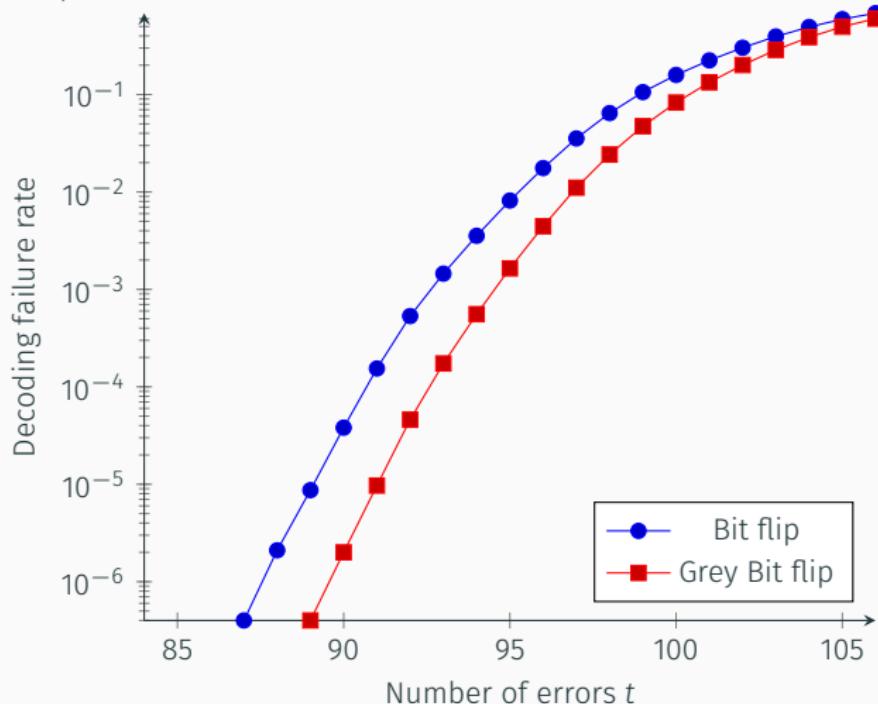
```

$$\begin{aligned}
\sigma &= \begin{pmatrix} 2 & 2 & 2 & 2 & 3 & 2 & 1 & 3 & 1 & 1 & 2 & 3 & 2 & 3 & 2 & 1 & 2 & 2 \end{pmatrix} \\
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y - y &= \begin{pmatrix} 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 \end{pmatrix}
\end{aligned}$$

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2 Bit flip

procedure 2 BIT-FLIPPING(y, H)

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2 Bit flip

procedure 2 BIT-FLIPPING(y, H)

$y \leftarrow y$

while $Hy^T \neq 0$ **do**

$s \leftarrow Hy^T$

for $j = 1, \dots, n$ **do**

$y_j \leftarrow F(y_j, \sigma_j)$

return y

$$\sigma = (2 \quad 2 \quad 2 \quad 2 \quad 3 \quad 2 \quad 1 \quad 3 \quad 1 \quad 1 \quad 2 \quad 3 \quad 2 \quad 3 \quad 2 \quad 1 \quad 2 \quad 2)$$

$$H = \begin{pmatrix} 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}$$

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2 Bit flip

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```

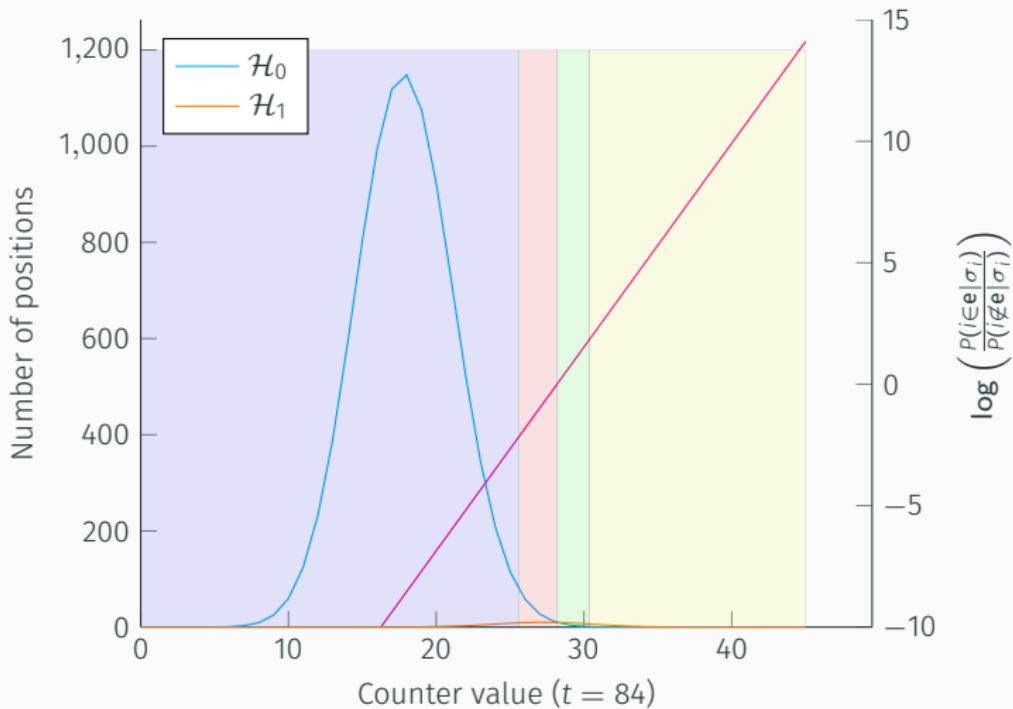
$$\sigma = \begin{pmatrix} 1 & 2 & 2 & 1 & 3 & 1 & 1 & 3 & 1 & 0 & 2 & 3 & 1 & 2 & 2 & 1 & 2 & 2 \end{pmatrix}$$

$$H = \begin{pmatrix} 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$

$$s = \begin{pmatrix} 1 \\ 1 \\ 0 \\ 1 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

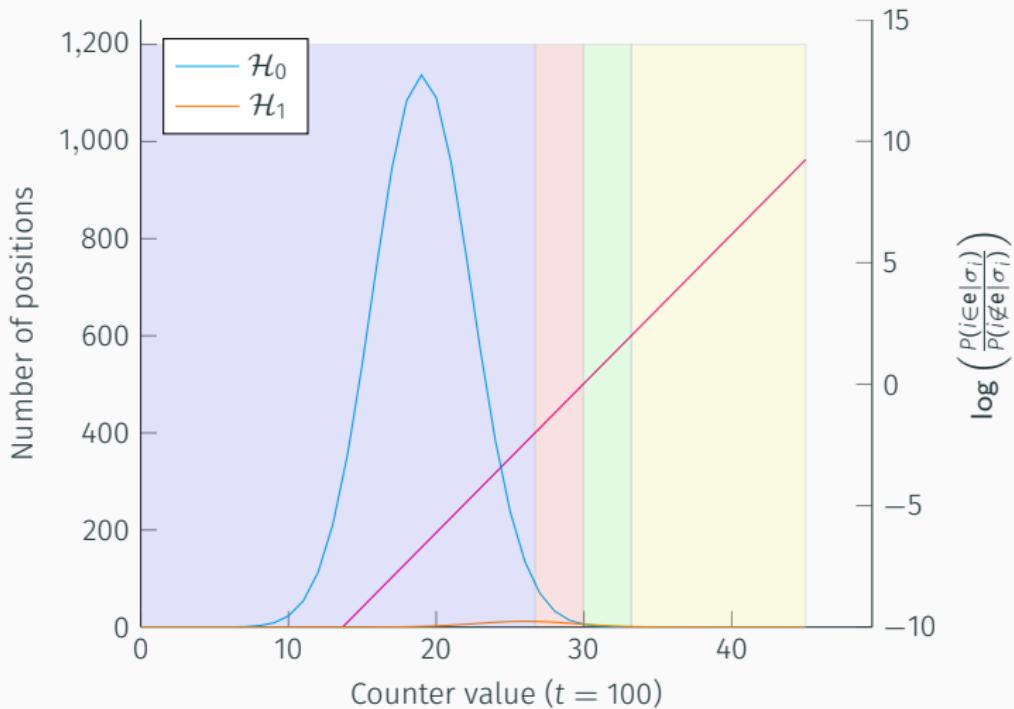
$$y - y = \begin{pmatrix} 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 \end{pmatrix}$$

Defining F



		Zone			
		0	1	2	3
0	0	0	0	0	1
	1	1	1	1	0
1	0	0	0	1	1
	1	1	1	0	0

Defining F

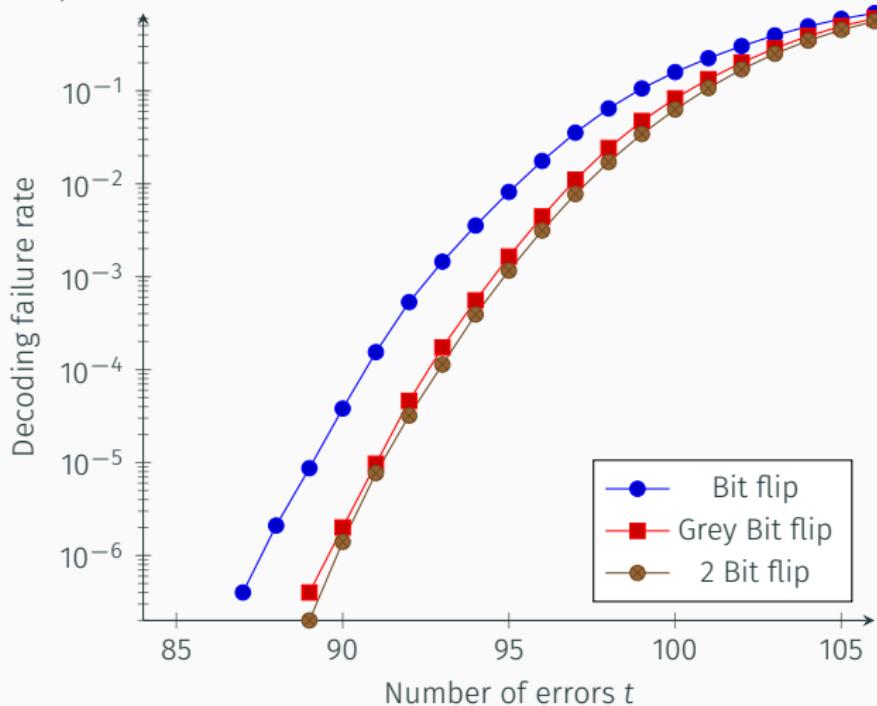


Zone					
		0	1	2	3
0	0	0	0	1	
1	1	1	1	1	0
0	0	0	0	1	1
1	1	1	1	0	0

Decoding failure rate for QC-MDPC with oversized error weights

$p = 4801, d = 45$

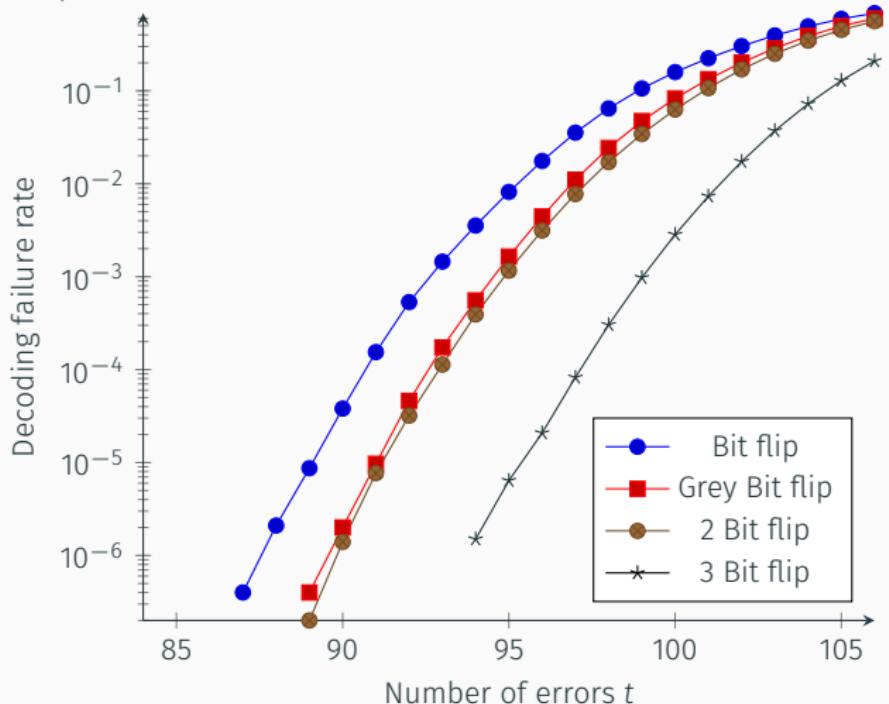
Samples size : 10^7



Decoding failure rate for QC-MDPC with oversized error weights

$p = 4801, d = 45$

Samples size : 10^7



Ouroboros

$p, d \in \mathbb{N}$ parameters, p prime, d odd, $2d \sim \sqrt{2p}$

$$\mathcal{R} = \mathbb{F}_2[X]/(X^p - 1)$$

$$h, x, y \leftarrow \mathcal{R}$$
$$|x| = |y| = d$$

$$ys_0 + s_1 = ye_0 + xe_1 + e$$
$$(e_0, e_1) \leftarrow \text{Decode}(ys_0 + s_1)$$
$$e = s_1 - e_1s$$

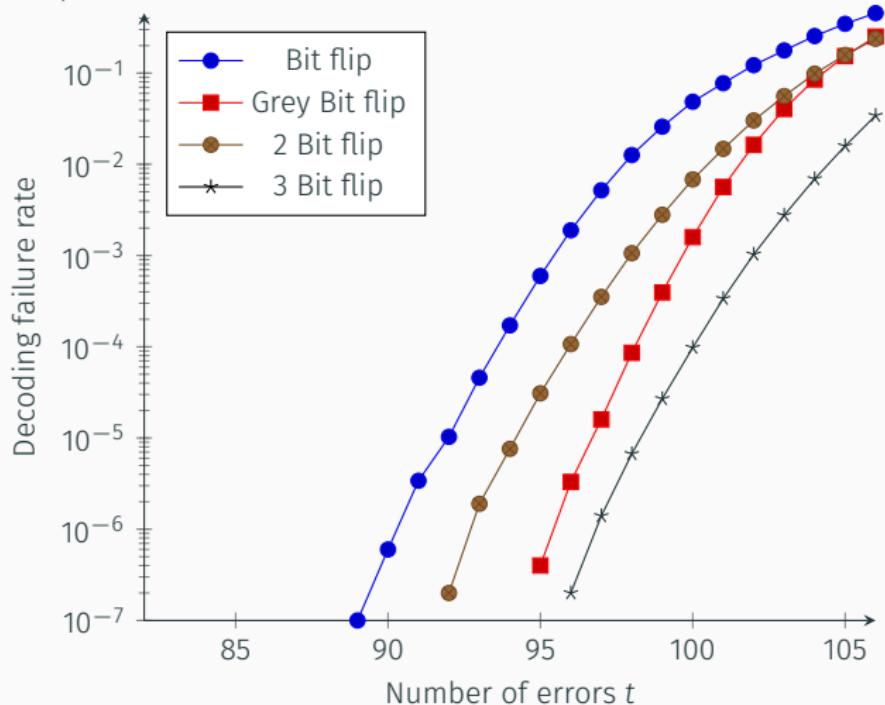
$$\begin{array}{ccc} h & & \\ s = x + yh & \xrightarrow{\hspace{1cm}} & \\ e_0, e_1, e \in \mathcal{R} & & \\ |e_0| = |e_1| = d, |e| = 3d & & \\ s_0 = e_0 + e_1h & & \\ s_1 = e + e_1s & \xleftarrow{\hspace{1cm}} & \end{array}$$

Uses the same decoder as the MDPC with some minor adjustments

Decoding failure rate for Ouroboros with oversized error weights

$p = 4813, d = 41$

Samples size : 10^7



Conclusion

In this presentation :

- Decreased DFR by using soft information

Further improvements :

- Find better thresholds for variants
- Find a theoretical bound on the decoding failure probability

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