Decoding QC-MPDC codes

Nicolas Sendrier Valentin Vasseur

QC-MDPC [MTSB13]

- McEliece-like public-key encryption scheme with a quasi-cyclic structure
 - Reasonable key sizes
 - Reduction to generic hard problems over quasi-cyclic codes
- Promising code-based key exchange mechanism proposed to the NIST call for standardization of quantum safe cryptography
 - "BIKE"
 - "QC-MDPC KEM"

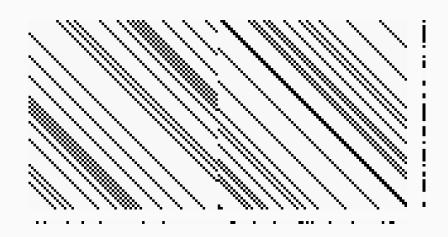
$$\begin{split} \mathbf{H} &= (\mathbf{H}_0|\mathbf{H}_1) \leftarrow \mathbb{F}_2^{r \times n} \text{ quasi-cyclic} \\ \text{Weight of the lines of } \mathbf{H} \text{: } w & \mathbf{G} \\ \mathbf{G} &= (\mathbf{S}\mathbf{H}_1^\mathsf{T}|\mathbf{S}\mathbf{H}_0^\mathsf{T}) \in \mathbb{F}_2^{r \times n} \text{ generator matrix} \\ \text{of } \mathbf{H} \text{ with } \mathbf{S} \text{ a dense circulant block} \\ & & & & & & \\ \mathbf{m} \leftarrow \{0,1\}^r \\ & & & & & \\ \mathbf{c} &= \mathbf{m}\mathbf{G} \\ & & & & \\ \mathbf{e} \in \{0,1\}^n \\ & & & & \\ |\mathbf{e}| &= t \end{split}$$

Parameters (BIKE): r, d, $t \in \mathbb{N}$, n = 2r, $w = 2d \sim t \sim \sqrt{n}$

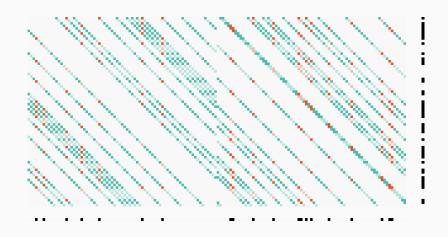
n	r	W	t	security
20 326	10 163	142	134	128
39706	19853	206	199	192
65498	32749	274	264	256

2

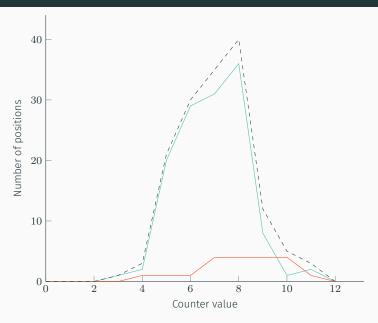
Classic decoding algorithm (bit-flipping)



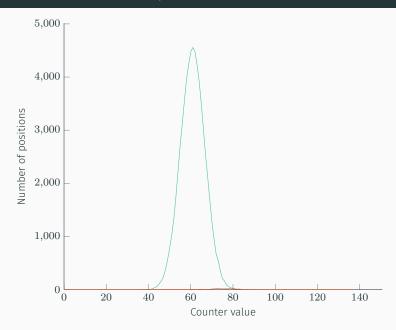
Classic decoding algorithm (bit-flipping)



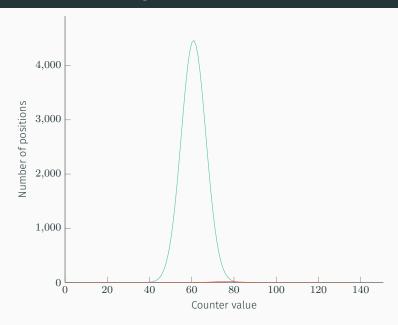
Counter distribution (our example)



Counters distributions (an example of BIKE n=65498, w=274, t=264)



Counters distributions (average for BIKE n=65498, w=274, t=264)



Counters distributions (formulas, see [Cha17])

■ Write:
$$E_{\ell} = |\{i \in \{0, ..., r-1\} | |eq_i \cap e| = \ell\}|$$
 and $X = \sum_{\ell \text{ odd}} (\ell - 1) E_{\ell}$,

$$\blacksquare \Pr\left(\left|\mathbf{s} \cap \mathbf{h}_{j}\right| = \sigma|j \not\in \mathbf{e}\right) = f_{d,\pi_{0}}(\sigma) \text{ with } \pi_{0} = \frac{\bar{\sigma}_{\mathrm{corr}}}{d} = \frac{(\mathsf{W}-1)|\mathbf{s}| - \mathsf{X}}{d(n - |\mathbf{e}|)},$$

$$\blacksquare \ \Pr\left(\left|\mathbf{s} \cap \mathbf{h}_{j}\right| = \sigma | j \in \mathbf{e}\right) = f_{d, \boldsymbol{\pi}_{1}}(\sigma) \ \text{with} \ \boldsymbol{\pi}_{1} = \frac{\bar{\sigma}_{\mathrm{err}}}{d} = \frac{|\mathbf{s}| + X}{d|\mathbf{e}|} \ ,$$

$$f_{n,p}(i) = \binom{n}{i} p^i (1-p)^{n-i}.$$

7

Classic decoding algorithm (bit-flipping)

```
    while the syndrome is non-zero do
    choose a threshold
    for each position do
    if its counter is over the threshold then
    flip it
```

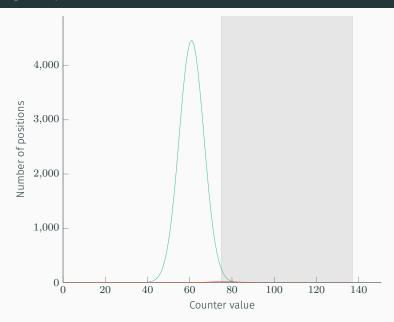
Motivation for decoding improvements and analysis

- [GJS16]: attack correlation between faulty error patterns and the secret key
- The best bit flipping improvement so far still have a decoding failure rate (DFR) around 2^{-30} ([Cho16; Cha17])
- A typical target DFR would be smaller than 2^{-64}
- \blacksquare ([ELPS18] extends [GJS16] to a timing attack requiring about 2^{28} samples)

Improving the decoder with a two-stage decoder

First stage: Grey decoding choose a set G of positions with a high density of errors while the syndrome weight is not below a certain target weight do choose a threshold for each position in G do if its counter is over the threshold then flip it Second stage: Step-by-step decoding while the syndrome is non-zero do choose i such that the i-th equation is unverified choose a position *j* in that equation if its counter is over half the weight of the column then flip it

First stage: Grey zone



Improving the decoder with a two-stage decoder

First stage: Grey decoding choose a set G of positions with a high density of errors while the syndrome weight is not below a certain target weight do choose a threshold for each position in G do if its counter is over the threshold then flip it Second stage: Step-by-step decoding while the syndrome is non-zero do choose i such that the i-th equation is unverified choose a position *j* in that equation if its counter is over half the weight of the column then flip it

Analysis of the step-by-step decoder (model)

- Markovian process with state (|s|, |e|)
- Infinite number of iterations
- Counters distributions:
 - Write: $E_{\ell} = |\{i \in \{0, \dots, r-1\} | |eq_i \cap e| = \ell\}| \text{ and } X = \sum_{\ell \text{ odd}} (\ell-1) E_{\ell}$,

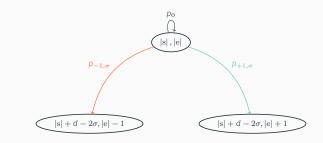
■
$$\Pr\left(|\mathbf{s} \cap \mathbf{h}_j| = \sigma | j \notin \mathbf{e}\right) = f_{d,\pi_0}(\sigma) \text{ with } \pi_0 = \frac{\bar{\sigma}_{\text{COFF}}}{d} = \frac{(w-1)|\mathbf{s}| - \chi}{d(n-|\mathbf{e}|)}$$
,

$$\blacksquare \ \Pr\left(\left|\mathbf{s} \cap \mathbf{h}_{j}\right| = \sigma | j \in \mathbf{e}\right) = f_{d,\pi_{1}}(\sigma) \ \text{with} \ \pi_{1} = \frac{\bar{\sigma}_{\mathbf{err}}}{d} = \frac{|\mathbf{s}| + \dot{\chi}}{d|\mathbf{e}|} \ ,$$

$$f_{n,p}(i) = \binom{n}{i} p^i (1-p)^{n-i}.$$

- Different choices for X
 - X = 0 (the most pessimistic)
 - Expected value for X when e is uniform of weight |e| knowing |s| (close to our observations)
 - Expected value for X when e is uniform of weight |e| (the most optimistic)

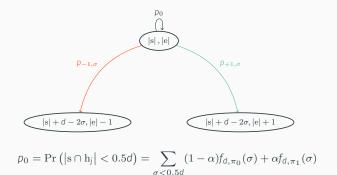
Markovian model



When we flip the column \mathbf{h}_{j} , $\mathbf{s} \leftarrow \mathbf{s} + \mathbf{h}_{j}$

$$\left|\mathbf{s} + \mathbf{h}_{j}\right| = \left|\mathbf{s}\right| + \left|\mathbf{h}_{j}\right| - 2\left|\mathbf{h}_{j} \cap \mathbf{s}\right| = \left|\mathbf{s}\right| + d - 2\sigma$$

Markovian model: transition probabilities



and if $\sigma \geq 0.5d$:

$$p_{+1,\sigma} = \Pr\left(\left|\mathbf{s} \cap \mathbf{h}_{j}\right| = \sigma, j \notin \mathbf{e}\right) = (1 - \alpha)f_{d,\pi_{0}}(\sigma)$$

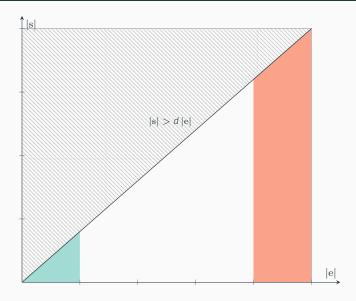
$$p_{-1,\sigma} = \Pr\left(\left|\mathbf{s} \cap \mathbf{h}_{j}\right| = \sigma, j \in \mathbf{e}\right) = \alpha f_{d,\pi_{1}}(\sigma)$$

where

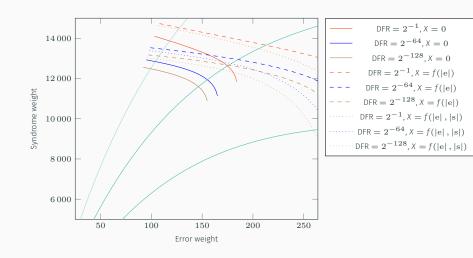
$$\alpha = \Pr\left(j \in \mathbf{e}\right) = \frac{\sum_{j \in \mathbf{e}} \left|\mathbf{s} \cap \mathbf{h}_{j}\right|}{\left|\mathbf{s}\right| W} = \frac{\left|\mathbf{s}\right| + X}{\left|\mathbf{s}\right| W}.$$

14

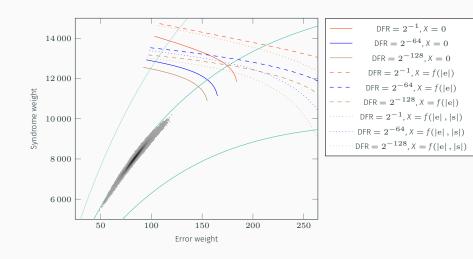
Conditions



Results



Results



Conclusion

- As it is now, the two-stage decoding process greatly decreases the DFR
- We provide an analysis of the second stage
- lacksquare We expect the whole process to have a DFR below 2^{-64}