

CS388T: ANSWER TO FINAL EXAM

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Remark 0.0.1. Due by 1400 on May 8 in TAY 3.146. Clue: "Keep Savitch's theorem in mind."

1. QUESTION

Problem 15.5.3. Show that if $NC_{i+1} = NC_i$, then $NC = NC_i$. That is, if two consecutive levels of the NC hierarchy coincide, the whole hierarchy collapses to that level. (Compare with Theorem 17.9; if fact, the proofs of the two results are not unrelated.)

1.1. Solution.

Notation 1.1.1. Let n be the size of the input. Below, when we refer to circuits, we refer to constant fan-in, single fan-out circuits whose basis are the AND, OR and NOT gates.

Lemma 1.1.2. *If $NC_j = NC_i$, for some $j > i$, then $NC_{j+1} = NC_{i+1}$.*

Proof. We already know that $NC_{i+1} \subseteq NC_{j+1}$. Below we show that $NC_{i+1} \supseteq NC_{j+1}$.

Consider a tree-like circuit C of depth $O((\log n)^{j+1})$. C can be viewed as a collection of subcircuits of depth $O((\log n)^j)$, C_1, C_2 etc., whose outputs are combined by a subcircuit D of depth $O(\log n)$ to give the final output.

If $NC_j = NC_i$, then any tree-like polynomial sized circuit of depth $O((\log n)^j)$ can be replaced by an equivalent tree-like polynomial sized circuit of depth $O((\log n)^i)$. Both circuits compute the same boolean function. So, C_1, C_2 etc.. can be replaced by equivalent circuits of depth $O((\log n)^i)$, and their outputs can be combined by the subcircuit D . Thus, we have constructed a method for replacing any polynomial sized circuit of depth $O((\log n)^{j+1})$ with an equivalent circuit of depth $O((\log n)^{i+1})$.

Thus, considering the definition of NC_k , we arrive at the result. \square

Theorem 1.1.3. *If $NC_{i+1} = NC_i$, then $NC = NC_i$.*

Proof. We prove this by induction. Using the lemma, we see that if $NC_{i+1} = NC_i$, then $NC_{i+2} = NC_{i+1} = NC_i$. Using the lemma again, we find that $NC_{i+3} = NC_{i+1} = NC_i$. By induction, considering the lemma, we see that if $NC_{i+1} = NC_i$, then for all $j > i$, $NC_j = NC_{i+1} = NC_i$.

Besides this, by the definition of NC_i , we know that, for all $j \leq i$, $NC_j \subseteq NC_i$.

As $NC = \bigcup_j NC_j$, we have the result. \square

2. QUESTION

Describe an RNC algorithm for deciding if a bipartite graph has a perfect matching. Explain why our algorithm is RNC.

2.1. Solution.

Notation 2.1.1. Let n be the size of the input. Below, when we refer to circuits, we refer to constant fan-in, single fan-out circuits whose basis are the AND, OR and NOT gates.

Let PERFECT-MATCH denote the perfect matching decision problem. Let m be the number of edges in the bipartite graph.

A rough sketch of the algorithm is described in [1] in pages 381 and 244. It was also described in class.

Use a square matrix, called the Tutte matrix A , to represent the bipartite graph. This is a symbolic matrix, where $A_{i,j}$ is 0 if there is no corresponding edge in the bipartite graph, and is some symbol x_i otherwise.

The determinant of A is a polynomial with m variables, which is identically equal to 0 if and only if a perfect matching does not exist.

Our algorithm is as follows:

Algorithm 2.1.2. ALG-PERFECT-MATCH

- **Input:** A Tutte matrix, A , corresponding to the bipartite graph, m random integers between 0 and $2m$. A decision about whether the bipartite graph has a perfect matching. Let A' represent the matrix obtained by substituting the symbols with the m random integers.
- **Output:** Solution to an instance of PERFECT-MATCH.
- **Algorithm:**
- Compute the determinant of A' ($\det A'$).
- If $\det A' = 0$, reply that there is probably no perfect matching. Otherwise, reply that there is definitely a perfect matching.

Theorem 2.1.3. $PERFECT - MATCH \in RNC$.

Proof. ALG-PERFECT-MATCH has one-sided error - It has false negatives. As observed in section 11.1 in [1], due to Schwartz-Zippel lemma, we know that the false negative probability of ALG-PERFECT-MATCH is no more than $1/2$.

As observed in class and in section 15.1 of [1], using a truncated matrix power series for a symbolic matrix derived from A' , $\det A'$ can be found in polylogarithmic parallel time and polynomial work in the size of the input. Hence, this part of the algorithm is in NC, and there is a uniform family of polynomial sized circuits of polylogarithmic depth to compute $\det A'$. The other steps of ALG-PERFECT-MATCH require constant time.

So, one can construct a uniform family of circuits (of polylogarithmic depth) to embody ALG-PERFECT-MATCH. Each circuit accepts as input a Tutte matrix and m random integers, and solves PERFECT-MATCH correctly with an arbitrarily low (false-negative) error probability. These characteristics fit the definition of the class RNC, as stated in [1]. \square

3. QUESTION

Prove that $RP \subseteq P/Poly$.

3.1. Solution. In [1] (Theorem 11.6 page 269), and also in class, it was proved that $BPP \subseteq P/Poly$. This proof, while not quoting that theorem directly, uses similar arguments.

Theorem 3.1.1. $RP \subseteq P/Poly$.

Proof. Consider any language L in RP . L has a polynomial time randomized algorithm $RP\text{-}ALG\text{-}L'$, which is always correct for any input $x \notin L$ and is correct at least half the time for $x \in L$. $RP\text{-}ALG\text{-}L'$ can be repeated a couple of times independently, to reduce the error probability to $1/4$, thereby obtaining another polynomial time algorithm $RP\text{-}ALG\text{-}L$. $RP\text{-}ALG\text{-}L$ takes time at most $p(n)$ for an input of size n , where $p(n)$ is a polynomial in n .

By repeating $RP\text{-}ALG\text{-}L$ $m = 12(n+1)$ times with independent random choices, and by returning the answer found by $RP\text{-}ALG\text{-}L$ in a majority of these trials, we have another polynomial time randomized algorithm, $RP\text{-}ALG\text{-}L\text{-}REP$. The expected number of false negative errors $RP\text{-}ALG\text{-}L$ makes in m independent runs is at most $m/4$. $RP\text{-}ALG\text{-}L\text{-}REP$ makes an error only if more than $m/2$ runs of $RP\text{-}ALG\text{-}L$ produce erroneous output. By applying a Chernoff bound, for a given input x of size n , we see that $RP\text{-}ALG\text{-}L\text{-}REP$ has a reduced error probability of $Pr(Err(x)) \leq 2^{-(n+1)}$.

$RP\text{-}ALG\text{-}L\text{-}REP$ can be viewed as a polynomial time algorithm, which takes at most $p(n)m$ time, and which makes at most $p(n)m$ random choices during its execution. Without loss of generality, we can assume each random choice to be a binary choice.

Without loss of generality, assume that the input alphabet is binary. Then, the number of inputs of size n is at most 2^n . Applying the union bound, we see that $Pr(\bigcup_x Err(x)) \leq 2^n 2^{-(n+1)} = 2^{-1} < 1$. In other words, there exists atleast one sequence of random choices the randomized algorithm $RP\text{-}ALG\text{-}L\text{-}REP$ can make, which will result in the algorithm producing the correct answer for all inputs of size n .

So, for a given input size n , we can derandomize $RP\text{-}ALG\text{-}L\text{-}REP$ and produce a polynomial time deterministic algorithm $ALG - L - REP_n$ which will produce the correct answer. Note that this deterministic algorithm is specific to a particular input size, n .

Given $ALG - L - REP_n$, we can produce a polynomial sized circuit C_n which will decide the "slice" of L corresponding to inputs of size n . Hence, L has a polynomial sized family of circuits.

As this is true of all L in RP , $RP \subseteq P/Poly$. □

4. QUESTION

Prove that uniform NC is strictly contained in $PSPACE$. (That is, there are languages in $PSPACE$ that cannot be computed in uniform NC .)

4.1. Solution.

Definition 4.1.1. Let $LSPACE = \bigcup_k SPACE((\log n)^k)$.

Lemma 4.1.2. $LSPACE \subset PSPACE$

Proof. We use the same technique used in [1] (page 145) to prove that $P \subset EXP$.

Consider the space required by any language in $LSPACE$. Let $c > 1$ be a constant. For every possible value of k , $O((\log n)^k) = o(n^c)$. Hence, $LSPACE \subseteq SPACE(n^c)$.

Using the space hierarchy theorem from [1] (Theorem 7.2, page 145), we know that $SPACE(n^c) \subset SPACE(n^{c+1}) \subseteq PSPACE$.

Hence, $LSPACE \subset PSPACE$. \square

Theorem 4.1.3. $NC \subseteq LSPACE \subset PSPACE$.

Proof. The second inclusion is from the lemma proved earlier. The first inclusion is due to the reasoning used in Borodin's theorem, which was discussed in class, which implies that $NC_i \subseteq SPACE((\log n)^i)$. \square

5. QUESTION

Recall the CIRCUIT VALUE problem: The language CIRCUIT VALUE contains those pairs (C, x) where C is a Boolean circuit that evaluates to 1 on input x .

Now consider the language FORMULA VALUE, that contains those pairs (F, x) , where F is a formula (a circuit with fan out 1) that evaluates to 1 on input x .

Prove that FORMULA VALUE is in the complexity class L .

5.1. Solution.

Notation 5.1.1. Let n be the size of the input. Below, when we refer to circuits, we refer to constant fan-in, single fan-out circuits whose basis are the AND, OR and NOT gates. We use the terms 'formula' and 'tree-like circuit' interchangeably.

Consider the following algorithm.

Algorithm 5.1.2. ALG-FORMULA-VALUE:

- **Input:** (F, x) , where F is a formula (a circuit with fan out 1) that evaluates to 1 on input x .

F is assumed to be formed like a well bracketed, proper boolean expression. No operator precedence is assumed other than the precedence of all 'bracket' symbols compared to other operators. Example: $((a \wedge b) \vee (\sim c)) \wedge (d \wedge (e \wedge f))$.

- **Output:** Result of FORMULA VALUE problem.
- Find out the number of levels in the tree-like circuit. Record this number, DEEPEST-LEVEL in the work tape.

This can be done by maintaining a counter in the worktape to keep track of the level as F is parsed from left to right. The maximum level possible is $n-1$, so this can be done in space $\log n$.

- While $DEEPEST - LEVEL > 0$, do the following:

Evaluate all sub-circuits at rooted at DEEPEST-LEVEL, using information in x and SUBCIRCUIT-VALUES.

Replace the contents of SUBCIRCUIT-VALUES with these values.

The number of sub-circuits rooted at any given level is at most $\log n$. So this can be done in $\log n$ space.

Decrement DEEPEST-LEVEL.

ALG-FORMULA-VALUE correctly solves the FORMULA VALUE problem. As noted in explanations added to the various steps of ALG-FORMULA-VALUE, ALG-FORMULA-VALUE requires only $\log n$ space.

So, we have proved by construction of ALG-FORMULA-VALUE, that FORMULA VALUE is in the complexity class L.

REFERENCES

- [1] Christos H. Papadimitriou. *Computational Complexity*. Addison Wesley, November 1993.