#### Information Retrieval

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For me, data compression is more than a manipulation of numbers; it is the process of discovering structures that exist in the data.

Khalid Sayood, University of Nebraska.



#### Agenda

- Statistic properties of terms
  - The rule of 30
  - Heap's Law
  - Zipf's Law
- Index Compression
  - Compressing Dictionaries
  - Compressing Postings

#### Index Compression

#### The Rule of 30

The 30 most common words account for 30% of the tokens in written text.

Add a, an, the, ... to the stop words list.

Given a collection (of documents), how to estimate the number of terms?

#### One (bad) Approach

- Use Oxford Dictionary
  - Oxford English Dictionary defines 600K+ words.
- The Problem
  - Does not contain people, places, products which users may query.

#### Heap's Law

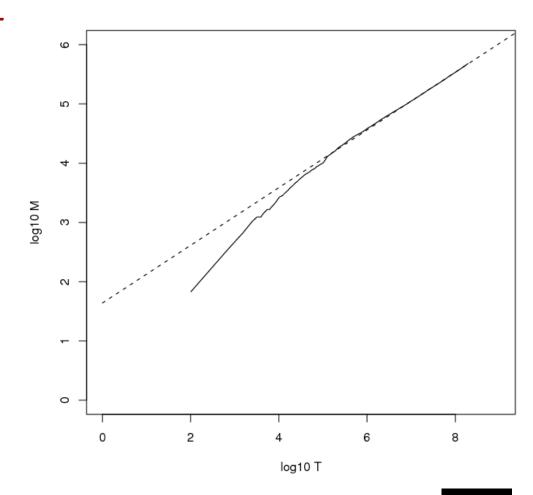
An Empirical Finding (from experiments on several datasets)

$$M = kT^b$$

• *M* is the size of the vocabulary; *T* is the number of tokens in the collection

#### Heap's Law

- log<sub>10</sub>M = 0.49 log<sub>10</sub>T
   + 1.64 is the best least squares fit for RCV1.
- So  $k = 10^{1.64} \approx 44$  and b = 0.49.
- For first 1,000,020 tokens, law predicts 38,323 terms.
- Actually, we have 38,365 terms.



#### Takeaways from Heap's Law

- Dictionary Size grows with collection size.
- Size of dictionary can get "really" large!

#### Term Frequency

 What are top-3 frequent terms in the text given below? Give the frequency of those terms.

Being an excellent student has more benefits than just getting good grades. In the short term, it will make you a more appealing college candidate and, in many cases, can earn you some fairly hefty scholarships. Big picture, the skills you learn at school will stick with you for the rest of your life, helping you tackle any problem that comes your way.

#### Repeating Terms

 Give me the term frequency for each repeating term.

Being an excellent student has more benefits than just getting good grades. In the short term, it will make you a more appealing college candidate and, in many cases, can earn you some fairly hefty scholarships. Big picture, the skills you learn at school will stick with you for the rest of your life, helping you tackle any problem that comes your way.

#### Repeating Tokens are Highlighted

you = 5, the = 3, in = 2, your = 2 (case-folded)

You, being an excellent student have more benefits than just getting good grades. In the short term, it will make you a more appealing college candidate and, in many cases, can earn you some fairly hefty scholarships. Big picture, the skills you learn at school will stick with you for the rest of your life, helping you tackle any problem that comes your way.

#### Zipf's Law

The  $i^{\text{th}}$  most frequent term has frequency proportional to  $\frac{1}{i}$ 

#### Do not apply on small examples...

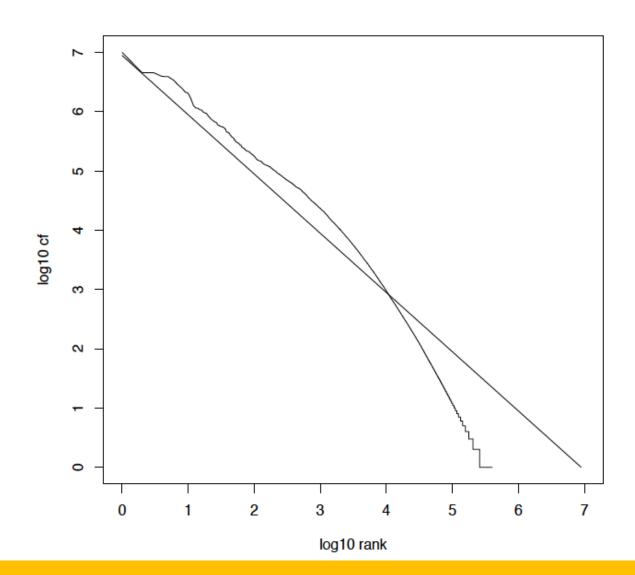
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Rank	Frequency			
1 (you)	6			
2 (the)	3			
3 (in)	2			

Only for illustration.

$$cf_i \propto 1/i = k/i$$
  
  $k = 6$  in our case!

#### Zipf's law for Reuters RCV1



# Compressing Dictionaries

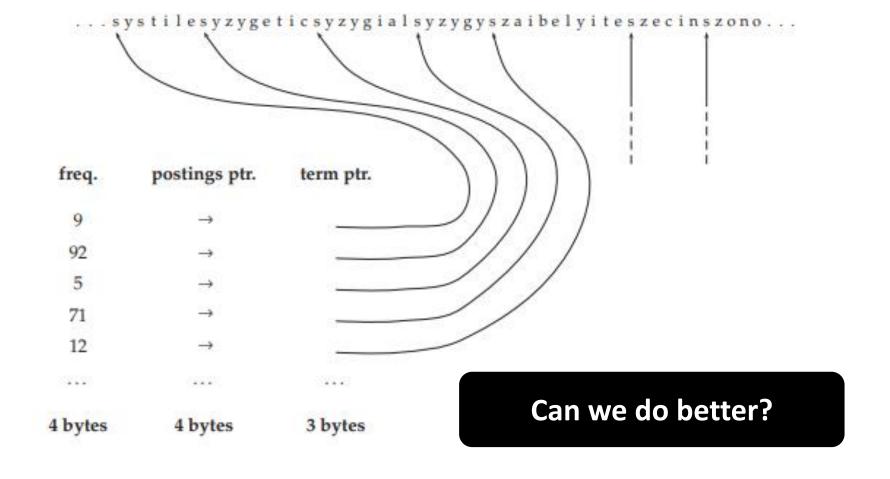
#### Dictionary as a Sorted Array

term	document	pointer to
	frequency	postings list
a	656,265	<b></b> →
aachen	65	
zulu	221	$\longrightarrow$
20 bytes	4 bytes	4 bytes

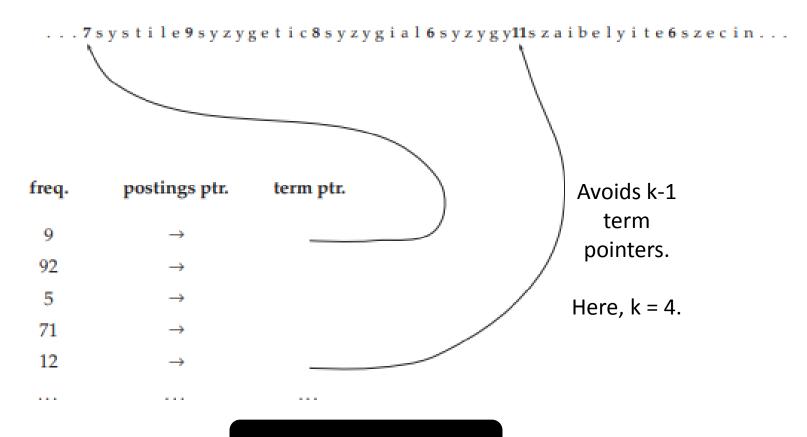
Apply binary search to search the term array!

Can we do better?

#### Dictionary as a String



#### Blocked Storage



Can we do better?

Clue: Consecutive entries in an alphabetically sorted list (Dictionary) share common prefixes!

#### Front Coding

One block in blocked compression  $(k = 4) \dots$ 8automata8automate9automatic10automation



... further compressed with front coding. 8automat\*a1◇e2 ◇ ic3◇ion

Can you front-code at k = 3,
"interspecies","interstellar","interstate"?



## 12inter\*species7◊stellar5◊state or 12inters\*pecies6◊tellar4◊tate



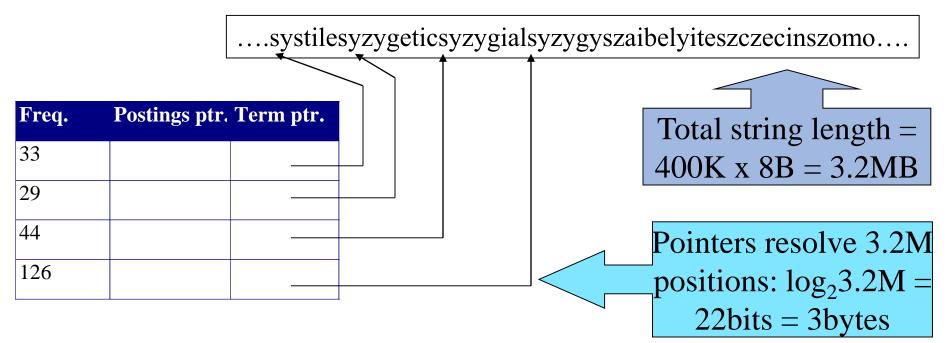
#### Right Answer

• 12inters\*pecies60tellar40tate



### Compressing the term list: Dictionary-as-a-String

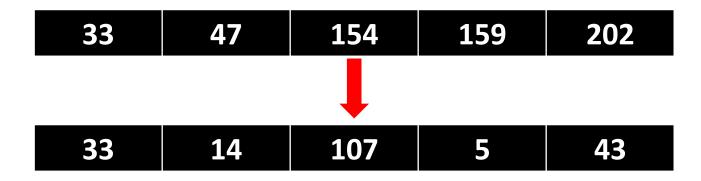
- Store dictionary as a (long) string of characters:
  - Pointer to next word shows end of current word
  - ■Hope to save up to 60% of dictionary space.



#### Compressing Postings

How to store a large number of "numbers" efficiently?

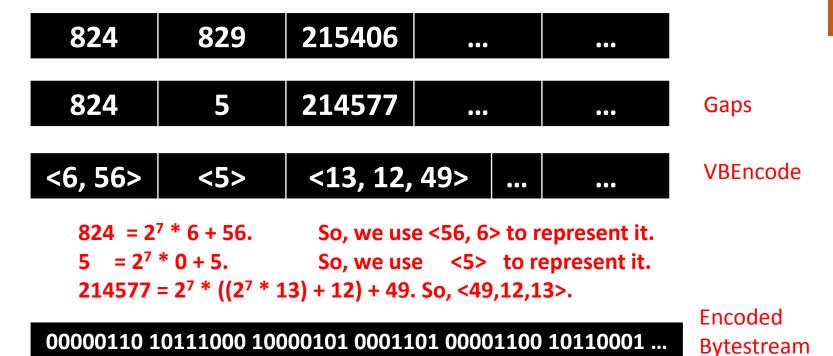
#### Store Gaps



Can we do better?

Clue: Smaller numbers can be represented using fewer bits.

#### Variable Byte Encoding



How to decode the bytestream?

<u>0</u> 0000110 <u>1</u> 0111000	<u>1</u> 0000101	<u>0</u> 001101	•••	•••
		<u>0</u> 0001100 <u>1</u> 0110001		

#### Another Dig at 214577

What is the VB encoding for 214577?

$\boldsymbol{n}$	$\lfloor n/128 \rfloor$	n % 128	
214577	1676	49	
1676	13	12	
13	0	13	

- So, 214577 = <13, 12, 49> which means ((13\*128)+12)\*128 + 49.
- Thus we have, 214577 represented as 0001101 00001100 10110001 in VB encoded bytestream.

• Compute the variable byte codes for the postings list (100, 200, 400, 800)

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Postings	100		200	400	800
Gaps	100		100	200	400
Decomposing Gaps		n	$\lfloor n/128 \rfloor$	n % 128	
		100	0	100	
					_

n	$\lfloor n/128 \rfloor$	n % 128	$\boldsymbol{n}$	$\lfloor n/128 \rfloor$	n % 128
200	1	72	400	3	16
1	0	1	3	0	3

**VB Encoding <100> <100> <1,72> <3,16>** 

- Compute the variable byte codes for the postings list (100, 200, 400, 800)
- Answer: 11100100 11100100 00000001 11001000 00000011 10010000

### Questions