The Sender-Excited Secret Key Agreement Model: Capacity and Error Exponents

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Joint work with



Tzu-Han Chou Qualcomm



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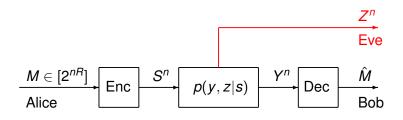
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 - Key generation [Maurer, Ahlswede and Csiszár]
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- Main contributions:
 - Secret key capacity
 - Inner bound for rate-reliability-secrecy-exponent region

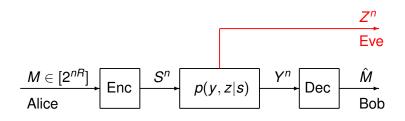


Wiretap Channel [Wyner, Csiszár and Körner]



- Want to transmit message reliably to Bob but keep Eve ignorant
- $lacksquare \mathbb{P}(\hat{M}
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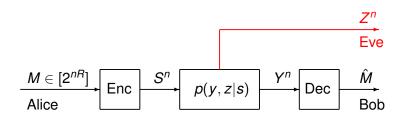
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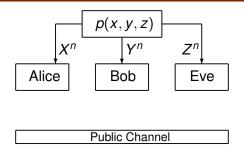


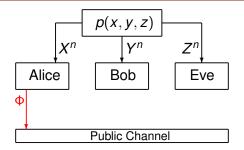
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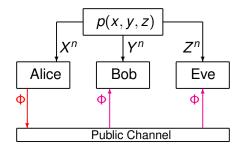
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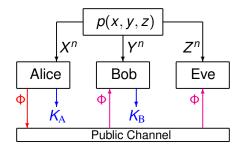
Channel-type model

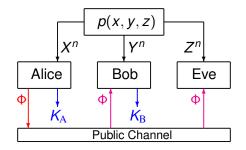




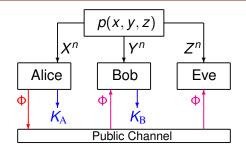




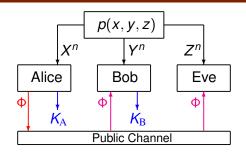




■ Secret keys are generated from dependent sources X, Y, Z

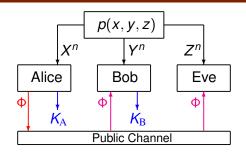


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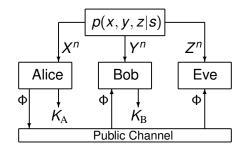


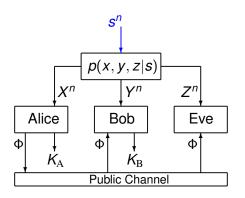
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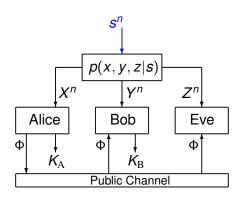
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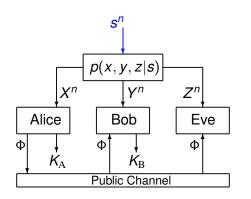


- Wireless channels ⇒ auxiliary randomness
- Due to multipath fading
- Transmissions are bi-directional ⇒ X, Y, Z generated by transmitting prearranged sounding signals.



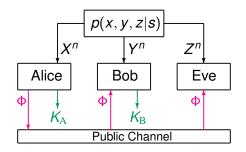
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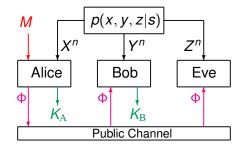
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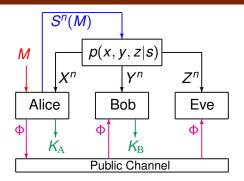


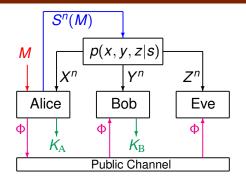
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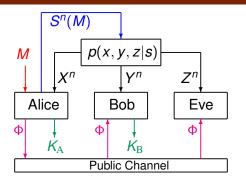






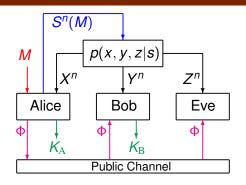


A $(2^{nR_M}, 2^{nR_\Phi}, n, \Gamma)$ code consists of a uniform $M \in [2^{nR_M}]$ and



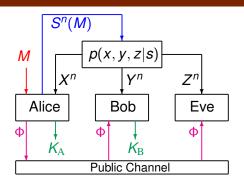
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- Key Generation: $k_A = k_A(m, x^n) \in \mathbb{N}$ and $k_B = k_B(\phi, y^n) \in \mathbb{N}$

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 - Channels with action-dependent states [Weissman 2010]
 - Probing capacity [Asnani et al. 2010]
 - Key generation when encoder and decoder have state information [Khisti, Diggavi, Wornell 2011]

Weak Achievability

The rate $R_{\rm SK}$ is weakly-achievable if there exists a sequence of $(2^{nR_M}, 2^{nR_{\Phi}}, n, \Gamma)$ codes such that

$$\lim_{n \to \infty} \quad \mathbb{P}(K_{A} \neq K_{B}) = 0$$

$$\lim_{n \to \infty} \quad \frac{1}{n} I(K_{A}; Z^{n}, \Phi) = 0$$

$$\lim_{n \to \infty} \inf_{n \to \infty} \quad \frac{1}{n} H(K_{A}) \ge R_{SK}$$

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$$\label{eq:liminf} \begin{split} & \lim_{n \to \infty} & & \mathbb{P}(K_{\mathrm{A}} \neq K_{\mathrm{B}}) = 0 \\ & \lim_{n \to \infty} & & \frac{1}{n} I(K_{\mathrm{A}}; Z^n, \Phi) = 0 \\ & \lim_{n \to \infty} & & \frac{1}{n} H(K_{\mathrm{A}}) \geq R_{\mathrm{SK}} \end{split}$$

Definition ((Weak)-Secret key capacity)

 $C_{SK}(\Gamma) := \sup\{R_{SK} : R_{SK} \text{ weakly-achievable}\}$

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But weak secrecy $\frac{1}{n}I(K_A; \mathbb{Z}^n, \Phi) \to 0$ is usually not good enough

[Maurer & Wolf 2000], [Watanabe et al. 2009], [Bloch & Barros 2011], [Bloch & Laneman 2011],

Strong Achievability

The rate-exponent triple (R_{SK}, E, F) is achievable if there exists a sequence of $(2^{nR_M}, 2^{nR_{\Phi}}, n, \Gamma)$ codes such that

$$\begin{array}{ll} \underset{n \to \infty}{\text{lim inf}} & -\frac{1}{n} \log \mathbb{P}(K_{\!A} \neq K_{\!B}) \geq E, \qquad \Leftrightarrow \qquad \mathbb{P}(K_{\!A} \neq K_{\!B}) \stackrel{.}{\leq} 2^{-nE} \\ \underset{n \to \infty}{\text{lim inf}} & -\frac{1}{n} \log \textit{I}(K_{\!A}; Z^n, \Phi) \geq F, \qquad \Leftrightarrow \qquad \textit{I}(K_{\!A}; Z^n, \Phi) \stackrel{.}{\leq} 2^{-nF} \\ \underset{n \to \infty}{\text{lim inf}} & \frac{1}{n} \textit{H}(K_{\!A}) \geq \textit{R}_{SK} \end{array}$$

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Definition (Capacity-reliability-secrecy region)

$$\mathcal{R}^*(\textit{p}(\textit{x},\textit{y},\textit{z}|\textit{s})) := \overline{\left\{(\textit{R}_{SK},\textit{E},\textit{F}) \in \mathbb{R}^3_+ \ : \ (\textit{R}_{SK},\textit{E},\textit{F}) \ \text{achievable}\right\}}$$

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Definition (Strong-achievability)

 R_{SK} is strongly-achievable if (R_{SK}, E, F) is achievable for some E, F > 0

Capacity Result

Theorem (Secret Key Capacity for Sender-Excited Model)

The secret key capacity is

$$C_{SK}(\Gamma) = \max \{I(U, V; Y|W) - I(U, V; Z|W)\}$$

where the max is over all joints

$$p(w, u, v, s, x, y, z) = p(w, u)p(s|u)p(v|w, u, x)p(x, y, z|s)$$

such that $\mathbb{E}\Lambda(S) \leq \Gamma$.

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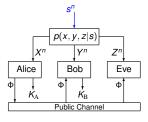
- Rate can be written as $R_{ch} + R_{src}$ where

$$R_{ch} = I(U; Y|W) - I(U; Z|W),$$

 $R_{src} = I(V; Y|W, U) - I(V; Y|W, U)$

- R_{ch} = Confidential message rate of wiretap channel p(y, z|s)
- R_{src} = Secret key rate of excited source p(x, y, z|s) [Chou et al.]
 - Sounding signal *s*ⁿ deterministic
 - Roughly, p(s) chosen to max

$$I(V; Y|W, S) - I(V; Z|W, S)$$



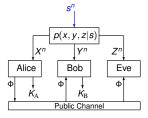
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Capacity: Find optimal sum rate $R_{ch} + R_{src}$

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Theorem (Secret Key Capacity for Degraded Sender-Excited Model)

If the DM-BC p(x, y, z|s) is degraded the secret key capacity is

$$C_{\mathrm{SK}}(\Gamma) = C_{\mathrm{SK}}^{(\mathrm{Weak})}(\Gamma) = \max_{p(s): \mathbb{E}\Lambda(S) \leq \Gamma} \left\{ I(X, S; Y) - I(X, S; Z) \right\}$$

Also,
$$C_{\rm SK}^{\rm (Weak)}(\Gamma) = C_{\rm SK}^{\rm (Strong)}(\Gamma)$$

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$$R_{\mathrm{ch}} = I(S; Y) - I(S; Z), \qquad R_{\mathrm{src}} = I(X; Y|S) - I(X; Z|S)$$



Binary Example

Consider the case where $S, X, Y, Z \in \mathbb{F}_2$:

$$X = (H \cdot S) \oplus N_1, \qquad Y = (H \cdot S) \oplus N_2, \qquad Z = (\tilde{H} \cdot H \cdot S) \oplus N_3$$

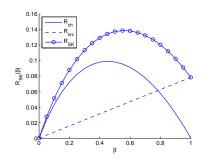
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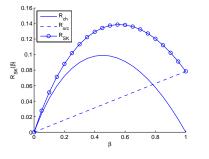


- Noises N_i indep
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- \blacksquare $S \sim \text{Bern}(\beta)$
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■ Interplay between common randomness and wiretap rate

■ Recall that (R_{SK}, E, F) is achievable if

$$H(K_{A}) \geq n(R_{SK} - \epsilon), \quad \mathbb{P}(K_{A} \neq K_{B}) \leq 2^{-nE}, \quad I(K_{A}; Z^{n}, \Phi) \leq 2^{-nF}$$

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■ Define reliability exponent given p(s), R_{Φ} , R_{M}

$$E_{\rm o}(p(s),R_{\Phi},R_M)$$

$$:= \max_{0 \leq \rho \leq 1} \rho(-R_M + R_{\Phi}) - \log \sum_{y} \left[\sum_{s,x} p(s)p(x,y|s)^{\frac{1}{1+\rho}} \right]^{1+\rho}$$

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Gallager's channel coding exponent [Gallager's Book Ch. 5]



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Gallager's source coding with side information exponent [1976]



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$$H(K_{\mathrm{A}}) \geq n(R_{\mathrm{SK}} - \epsilon), \quad \mathbb{P}(K_{\mathrm{A}} \neq K_{\mathrm{B}}) \leq 2^{-nE}, \quad I(K_{\mathrm{A}}; Z^n, \Phi) \leq 2^{-nF}$$

■ Define reliability exponent given p(s), R_{Φ} , R_{M}

$$E_{\rm o}(p(s),R_{\Phi},R_M)$$

$$:= \max_{0 \leq \rho \leq 1} \rho(-R_M + R_{\Phi}) - \log \sum_{y} \left[\sum_{s,x} p(s)p(x,y|s)^{\frac{1}{1+\rho}} \right]^{1+\rho}$$

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$$:= \sup_{0 < \alpha \le 1} -\alpha (R_{SK} + R_{\Phi} - R_M) - \log \sum_{x,z,s} p(x,z,s) \left[\frac{p(x,z|s)}{p(z)} \right]^{\alpha}$$

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- Reliability exponent E:
 - Gallager's channel coding exponent [1968]
 - Gallager's source coding with side information exponent [1976]
- Secrecy exponent F:
 - Hayashi's wiretap channel exponents [2006, 2011]
 - Chou's key agreement model with external excitation [In Press]
- Strongly-achievable rates for degraded case [Preprint]



Error Exponents: Binary Example

 R_M : Rate of Alice's Private mess.

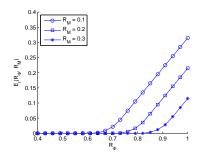
R_{SK}: Secret key rate

 R_{Φ} : Rate of Public mess.

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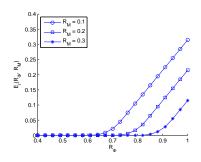


- When $R_M \uparrow$ rel. exp. \downarrow
- When $R_{\Phi} \uparrow \text{rel. exp.} \uparrow$

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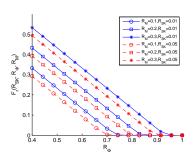
Error Exponents: Binary Example

 R_M : Rate of Alice's Private mess. R_{SK} : Secret key rate



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- When $R_M \uparrow$ sec. exp. \uparrow
- When $R_{\Phi} \uparrow$ sec. exp. \downarrow
- When $R_{\rm SK}$ ↑ sec. exp. \downarrow

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