Improved Bounds on Sidon Sets via Lattice Packing of Simplices

Vincent Y. F. Tan

(joint work with Mladen Kovačević)



ITA (February 15, 2018)

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Sidon Sets

Definition

A subset $B = \{b_0, b_1, \dots, b_n\}$ of a finite Abelian group G is called a Sidon set of order h if the sums $b_{i_1} + \dots + b_{i_h}$ are distinct for every choice of $0 < i_1 < \dots < i_h < n$.

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■ If B is a Sidon set, then so is its translate

$$B - b_0 = \{0, b_1 - b_0, \dots, b_n - b_0\},\$$

and vice versa.

- \Rightarrow We can assume w.l.o.g. that $b_0=0$
- With this convention, B is a Sidon set if and only if the sums $b_{i_1} + \cdots + b_{i_t}$ are distinct for every choice of $1 \le i_1 \le \cdots \le i_t \le n$ and $0 \le t \le h$.

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Example

$$0 \quad 1 \quad 2 \quad 3 \quad 4 \quad 5 \quad 6 \quad 7 \quad 8 \quad 9 \quad 10 \quad 11 \quad 12$$

Sidon set
$$\{0,1,3,9\} \subset \mathbb{Z}_{13}$$
.

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Integers modulo 13:

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$$0 + 0 = 0$$

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Example

Integers modulo 13:

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$$0 + 1 = 1$$

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Integers modulo 13:

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Example

$$0 \quad 1 \quad 3$$

Sidon set
$$\{0,1,3,9\} \subset \mathbb{Z}_{13}$$
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$$0 + 9 = 9$$

■ The requirement here is that all pairwise sums $b_{i_1} + b_{i_2}$ are distinct (up to the order of the summands)

Example

Sidon set
$$\{0,1,3,9\} \subset \mathbb{Z}_{13}$$
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$$1 + 1 = 2$$

■ The requirement here is that all pairwise sums $b_{i_1} + b_{i_2}$ are distinct (up to the order of the summands)

Example

Sidon set
$$\{0,1,3,9\} \subset \mathbb{Z}_{13}$$
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$$1 + 3 = 4$$

■ The requirement here is that all pairwise sums $b_{i_1} + b_{i_2}$ are distinct (up to the order of the summands)

Example

Sidon set
$$\{0,1,3,9\} \subset \mathbb{Z}_{13}$$
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$$1 + 9 = 10$$

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Example

Sidon set
$$\{0,1,3,9\} \subset \mathbb{Z}_{13}$$
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$$3 + 3 = 6$$

■ The requirement here is that all pairwise sums $b_{i_1} + b_{i_2}$ are distinct (up to the order of the summands)

Example

$$0 \quad 1 \quad 2 \quad 3 \quad 4 \qquad \quad 6$$

Sidon set
$$\{0,1,3,9\} \subset \mathbb{Z}_{13}$$
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$$3 + 9 = 12$$

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Example

Sidon set
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$$9+9=18\equiv 5$$

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Example

Integers modulo 13:

$$0 \quad 1 \quad 2 \quad 3 \quad 4 \quad 5 \quad 6$$

9 10

12

Sidon set $\{0,1,3,9\} \subset \mathbb{Z}_{13}$.

Theorem (Singer '38)

There exists a Sidon set of order h=2 and cardinality n+1 in the group \mathbb{Z}_{n^2+n+1} , whenever n is a prime power.

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Theorem (Bose–Chowla '62)

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 - \blacksquare For h fixed and $n\to\infty,$ it is conjectured that the optimal size of the group grows as $\sim n^h$

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 - \blacksquare For h fixed and $n\to\infty,$ it is conjectured that the optimal size of the group grows as $\sim n^h$
 - This is true for h = 2 from Singer's construction.

■ The discrete simplex is the following set in \mathbb{Z}^n :

$$\triangle_h^n = \left\{ \mathbf{y} \in \mathbb{Z}^n : y_i \ge 0, \ \sum_{i=1}^n y_i \le h \right\}$$

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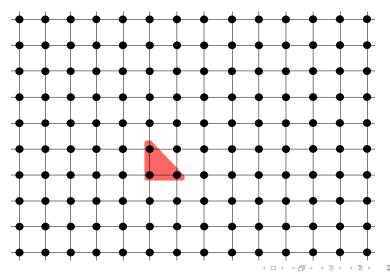
$$\triangle_h^n = \left\{ \mathbf{y} \in \mathbb{Z}^n : y_i \ge 0, \ \sum_{i=1}^n y_i \le h \right\}$$

Its cardinality is

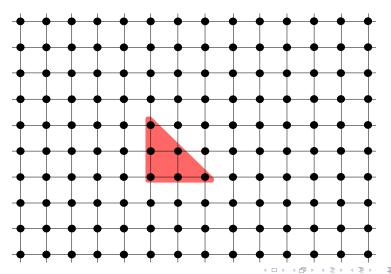
$$|\triangle_h^n| = \binom{h+n}{n} \sim \frac{h^n}{n!}$$
 as $h \to \infty$.

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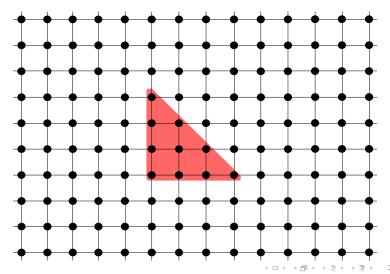
■ The simplex \triangle_1^2



■ The simplex \triangle_2^2



■ The simplex \triangle_3^2



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■ Let $\mathcal{T} \subseteq \mathbb{Z}^n$. We say that $(\triangle_h^n, \mathcal{T})$ is a packing in \mathbb{Z}^n if the translates $\mathbf{x} + \triangle_h^n$ and $\mathbf{x}' + \triangle_h^n$ are disjoint for every $\mathbf{x}, \mathbf{x}' \in \mathcal{T}$, $\mathbf{x} \neq \mathbf{x}'$

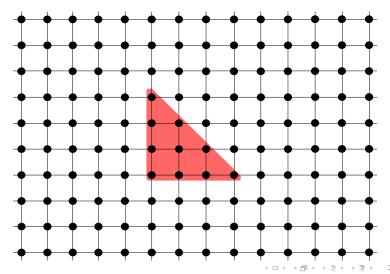
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- If \mathcal{T} is a lattice (a subgroup of \mathbb{Z}^n), such a packing is called a lattice packing

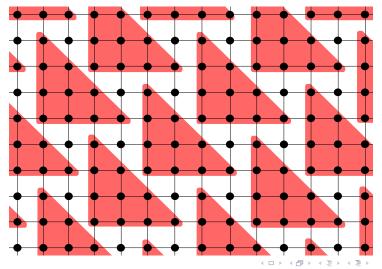
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■ The simplex \triangle_3^2



lacksquare Lattice packing of the simplex $riangle_3^2$



Geometry of Sidon Sets

Theorem

(a) If $B = \{0, b_1, \dots, b_n\}$ is a Sidon set of order h in an Abelian group G, then $(\triangle_h^n, \mathcal{L})$ is a lattice packing in \mathbb{Z}^n , where

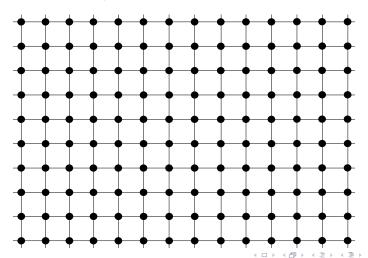
$$\mathcal{L} = \left\{ \mathbf{x} \in \mathbb{Z}^n : \sum_{i=1}^n x_i \cdot b_i = 0 \right\}.$$

If, in addition, B generates G, then $G \cong \mathbb{Z}^n/\mathcal{L}$.

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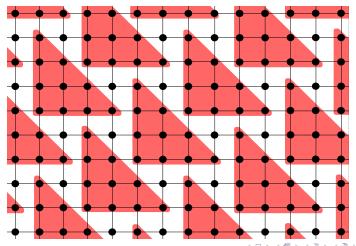
Geometry of Sidon Sets: Example

■ The packing $(\triangle_3^2, \mathcal{L})$ in \mathbb{Z}^2 that corresponds to the Sidon set $\{(0,0),(1,1),(0,5)\}\subset \mathbb{Z}_2\times \mathbb{Z}_6$ of order h=3



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(a) If $B = \{0, b_1, \dots, b_n\}$ is a Sidon set of order h in an Abelian group G, then $(\triangle_h^n, \mathcal{L})$ is a lattice packing in \mathbb{Z}^n , where

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(b) Conversely, if $(\triangle_h^n, \mathcal{L}')$ is a lattice packing in \mathbb{Z}^n , then the group $G = \mathbb{Z}^n/\mathcal{L}'$ contains a Sidon set of order h and cardinality n+1.

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Theorem

(a) If $B = \{0, b_1, \dots, b_n\}$ is a Sidon set of order h in an Abelian group G, then $(\triangle_h^n, \mathcal{L})$ is a lattice packing in \mathbb{Z}^n , where

$$\mathcal{L} = \left\{ \mathbf{x} \in \mathbb{Z}^n : \sum_{i=1}^n x_i \cdot b_i = 0 \right\}.$$

If, in addition, B generates G, then $G \cong \mathbb{Z}^n/\mathcal{L}$.

- (b) Conversely, if $(\triangle_h^n, \mathcal{L}')$ is a lattice packing in \mathbb{Z}^n , then the group $G = \mathbb{Z}^n/\mathcal{L}'$ contains a Sidon set of order h and cardinality n+1.
 - ⇒ Lattice packings of simplices are geometric equivalents of Sidon sets in finite Abelian groups

Proof of (a):

■ Suppose that $(\triangle_h^n, \mathcal{L})$ is not a packing, i.e., that the translates $\mathbf{x} + \triangle_h^n$ and $\mathbf{x}' + \triangle_h^n$ overlap for some distinct $\mathbf{x}, \mathbf{x}' \in \mathcal{L}$

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- This means that there is a point $\mathbf{y} \in \mathbb{Z}^n$ which can be expressed as $\mathbf{y} = \mathbf{x} + \mathbf{f} = \mathbf{x}' + \mathbf{f}'$, where $\mathbf{x}, \mathbf{x}' \in \mathcal{L}$ are two different lattice points, and \mathbf{f}, \mathbf{f}' are two (necessarily) different vectors in the simplex \triangle_h^n

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- This implies that

$$\sum_{i=1}^{n} y_i \cdot b_i = \sum_{i=1}^{n} (x_i + f_i) \cdot b_i = \sum_{i=1}^{n} (x_i' + f_i') \cdot b_i$$

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■ By definition of \mathcal{L} , the lattice points \mathbf{x}, \mathbf{x}' satisfy

$$\sum_{i=1}^{n} x_i \cdot b_i = \sum_{i=1}^{n} x'_i \cdot b_i = 0$$

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■ Hence, we must have

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for two different vectors \mathbf{f},\mathbf{f}' in the simplex \triangle^n_h

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■ Written differently

$$f_1 \cdot b_1 + \dots + f_n \cdot b_n = f'_1 \cdot b_1 + \dots + f'_n \cdot b_n$$

where $f_i, f_i' \geq 0$, $\sum_{i=1}^n f_i \leq h$, $\sum_{i=1}^n f_i' \leq h$

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■ Let $\phi(h,n)$ denote the size of the smallest Abelian group containing a Sidon set of order h and cardinality n+1

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- Let $\phi(h,n)$ denote the size of the smallest Abelian group containing a Sidon set of order h and cardinality n+1
- Let $K \subset \mathbb{R}^n$ be a compact convex set with non-empty interior. (K, \mathcal{L}) is a lattice packing in \mathbb{R}^n if $K + \mathbf{x}$ and $K + \mathbf{y}$ have no interior points in common for all $\mathbf{x} \neq \mathbf{y} \in \mathbb{R}^n$. The lattice packing density is

$$\delta_{\mathrm{L}}(K) = \sup_{\mathcal{L}} \frac{\mathrm{Vol}(K)}{\det(\mathcal{L})}.$$

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$$\delta_{\mathrm{L}}(K) = \sup_{\mathcal{L}} \frac{\mathrm{Vol}(K)}{\det(\mathcal{L})}.$$

■ Let $\delta_{\scriptscriptstyle L}(\triangle^n)$ denote the lattice packing density of the simplex

$$\triangle^n = \left\{ \mathbf{y} \in \mathbb{R}^n : y_i \ge 0, \sum_{i=1}^n y_i \le 1 \right\}.$$

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- Let $\phi(h,n)$ denote the size of the smallest Abelian group containing a Sidon set of order h and cardinality n+1
- Let $K \subset \mathbb{R}^n$ be a compact convex set with non-empty interior. (K, \mathcal{L}) is a lattice packing in \mathbb{R}^n if $K + \mathbf{x}$ and $K + \mathbf{y}$ have no interior points in common for all $\mathbf{x} \neq \mathbf{y} \in \mathbb{R}^n$. The lattice packing density is

$$\delta_{\mathrm{L}}(K) = \sup_{\mathcal{L}} \frac{\mathrm{Vol}(K)}{\det(\mathcal{L})}.$$

■ Let $\delta_{\scriptscriptstyle L}(\triangle^n)$ denote the lattice packing density of the simplex

$$\triangle^n = \left\{ \mathbf{y} \in \mathbb{R}^n : y_i \ge 0, \sum_{i=1}^n y_i \le 1 \right\}.$$

■ The following are known:

$$\delta_{\mathrm{L}}(\triangle^1) = 1, \qquad \delta_{\mathrm{L}}(\triangle^2) = \frac{2}{3}, \qquad \delta_{\mathrm{L}}(\triangle^3) = \frac{18}{49}$$

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Theorem

For every $n \ge 1$ and $\epsilon > 0$,

$$\frac{1}{n! \, \delta_{\mathrm{L}}(\triangle^n)} h^n \leq \phi(h,n) < \frac{1+\epsilon}{n! \, \delta_{\mathrm{L}}(\triangle^n)} h^n,$$

the lower bound being valid for every $h \ge 1$, and the upper bound for $h \ge h_0(n, \epsilon)$.

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$$\lim_{h\to\infty}\frac{\phi(h,n)}{h^n}=\frac{1}{n!\;\delta_{\rm L}(\triangle^n)}.$$

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Proof idea:

- Packing \triangle_h^n in \mathbb{Z}^n is equivalent to packing \triangle_1^n in $\frac{1}{h}\mathbb{Z}^n$
- lacksquare As $h o\infty$, we get finer and finer grids $rac{1}{h}\mathbb{Z}^n$ which approximate \mathbb{R}^n lacksquare

■ This gives the exact asymptotic (as $h \to \infty$) behavior of $\phi(h,n)$ for n=1,2,3:

$$\phi(h,1) \sim h, \qquad \phi(h,2) \sim \frac{3}{4}h^2, \qquad \phi(h,3) \sim \frac{49}{108}h^3$$

and the best known bounds on $\phi(h, n)$ for $n \geq 4$.

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and the best known bounds on $\phi(h, n)$ for $n \geq 4$.

■ In particular, for $n \to \infty$, we have

$$\lim_{h \to \infty} \frac{\phi(h, n)}{h^n} \le \mathcal{O}((4e)^n n^{-n-2})$$

Significant improvement over Jia (J. Number Th., 1993)

$$\lim_{h \to \infty} \frac{\phi(h, n)}{h^n} \le 1$$

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Bases of order h

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Definition

Let G be a finite Abelian group. A subset $C = \{c_0, c_1, \ldots, c_n\} \subseteq G$ is said to be a basis of order h (or h-basis) of G if every element of the group can be expressed as $c_{i_1} + \ldots + c_{i_h}$ for some $0 \le i_1 \le \ldots \le i_h \le n$.

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Theorem

If $C = \{0, c_1, \dots, c_n\} \subseteq G$ is an h-basis for an Abelian group G, then $(\triangle_h^n, \mathcal{L})$ is a covering of \mathbb{Z}^n , where

$$\mathcal{L} = \left\{ \mathbf{x} \in \mathbb{Z}^n : \sum_{i=1}^n x_i c_i = 0 \right\},\,$$

and G is isomorphic to \mathbb{Z}^n/\mathcal{L} . Conversely, if $(\triangle_h^n, \mathcal{L}')$ is a lattice covering of \mathbb{Z}^n , then the group $\mathbb{Z}^n/\mathcal{L}'$ contains an h-basis of cardinality at most n+1.

Bounds on Bases of order h

Let $\psi(h,n)$ be the size of the largest Abelian group containing an h-basis of size n+1.

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Theorem

For every fixed $n \geq 1$,

$$\lim_{h \to \infty} \frac{\psi(h, n)}{h^n} = \frac{1}{n! \vartheta_{\mathsf{L}}(\triangle^n)}$$

where $\vartheta_{L}(\triangle^{n})$ is the lattice covering density of \triangle^{n} .

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where $\vartheta_L(\triangle^n)$ is the lattice covering density of \triangle^n .

 $\vartheta_{\mathrm{L}}(\triangle^n)$ known for n=1,2:

$$\vartheta_{\scriptscriptstyle L}(\triangle^1)=1, \quad \text{and} \quad \vartheta_{\scriptscriptstyle L}(\triangle^2)=\frac{3}{2}.$$

and for $n \geq 3$,

$$1+2^{-(3n+7)} \leq \vartheta_{\mathsf{L}}(\triangle^n) \leq n^{\log_2\log_2 n + c}.$$

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Conclusion

lacktriangle Exact characterization of $\phi(h,n)$ which improves on existing bounds

$$\lim_{h\to\infty}\frac{\phi(h,n)}{h^n}=\frac{1}{n!\delta_{\rm L}(\triangle^n)}.$$



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- \blacksquare Extensions to lattice coverings of simplices (Bases of order h)
 - "Improved Bounds on Sidon Sets via Lattice Packing of Simplices", M. Kovačević and V. Y. F. Tan, SIAM J. on Discrete Mathematics, Sep 2017

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- Utility in coding for permutation channels (multiset codes) with deletions
 - "Codes in the Space of Multisets—Coding for Permutation Channels with Impairments", M. Kovačević and V. Y. F. Tan, IEEE Trans. on Inf. Th., to appear in 2018

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