

**Question 1**

Let  $R$  be a domain which is not a field. Prove that  $R[x]$  is not a principal ideal domain.

**Solution:** Since  $R$  is not a field, there is a non-zero  $a$  such that  $a \notin R^\times$ . Let  $I$  be the ideal generated by  $a$  and  $x$ . If  $I$  is principal, then  $I = bR[x]$  for some  $b \in R[x]$ . Let  $b = b_x x + b_0$ , then consider  $x \in I$ , so  $x = f(b_x x + b_0)$  for some  $f = \sum_{i=0}^k f_i x^i$ . Since  $a$  is non-zero,  $b_0$  is non-zero.  $R$  is a domain,  $f_0$  must be 0. But then  $f_i$  for  $1 \leq i \leq k$  must be 0 since  $f_i x^i(b_x x)$  must be 0. This means that  $f = 0$ , but  $0(b_x x + b_0) \neq x$ . Thus  $I$  cannot be principal.

**Question 2**

Let  $S$  be a multiplicative subset of a principal ideal domain  $R$ . Show that the localization  $S^{-1}R$  is also a principal domain.

**Solution:** Let  $J$  be an ideal  $\left\{ \frac{a}{s} \right\}$  in  $S^{-1}R$ . If  $\frac{a}{s} \in J$ , then  $\frac{a}{s} \cdot \frac{s}{1} = \frac{a}{1} \in J$ , because  $J$  is an ideal. Then, let  $I = \left\{ a \mid \frac{a}{1} \in J \right\} \subset R$ . Then,  $0 \in I$ . Moreover, if  $a, b \in I$  and  $r \in R$ , then  $\frac{a}{1}, \frac{b}{1} \in J$ , and  $\frac{r}{1} \in S^{-1}R$ . Therefore,  $\frac{ab}{1}, \frac{a-b}{1}, \frac{ar}{1} \in J$ , which means that  $ab, a-b, ar \in I$ . Thus,  $I$  is an ideal of  $R$ .

Now, assume that  $I$  is principal, and  $I = aR$  for some element  $a \in R$ . Then, for any element  $\frac{b}{s} \in J$ , it is true that  $\frac{b}{s} = \frac{b}{1} \frac{1}{s}$  where  $\frac{1}{s} \in S^{-1}R$ . Thus, if  $\frac{b}{1} \in J$ , then  $\frac{b}{s} \in J$ . However, if since  $b \in I$ ,  $b = ax$  for some element  $x \in R$ . Which means that  $\frac{b}{1} = \frac{a}{1} \frac{x}{1}$  for some  $\frac{x}{1} \in S^{-1}R$ . Thus, any element of  $J$  is generated by  $\frac{a}{1}$ . So,  $S^{-1}R$  is a principal ideal domain.

**Question 3**

Show that  $R = \left\{ a + b\theta \mid \theta = \frac{1+\sqrt{-19}}{2}, a, b \in \mathbb{Z} \right\}$  is not a Euclidean domain. (Hint: First, show that  $N(x) = x\bar{x} = a^2 + 5b^2 + ab$  for  $x = a + b\theta$  and  $R^\times = \{\pm 1\}$ . Assume that  $R$  is a Euclidean domain with  $\phi$ . Choose  $a \in R$  such that  $\phi(a)$  is the smallest integer in  $\{\phi(x) \mid x \neq 0, x \notin R^\times\}$ . Show that there exist no  $q$  and  $r$  such that  $2 = aq + r$  with  $r = 0$  or  $\phi(r) < \phi(a)$ )

**Solution:** Firstly, consider that

$$\theta^2 = \left( \frac{1 + \sqrt{-19}}{2} \right)^2 = \frac{1 + 2\sqrt{-19} - 19}{4} = \frac{-9 + \sqrt{-19}}{2} = \theta - 5$$

Then, for  $x = a + b\theta$ , let  $N(x) = a^2 + 5b^2 + ab$ , so that  $N$  is multiplicative. Let  $y = c + d\theta$ , then

$$\begin{aligned} N(xy) &= N((a + b\theta)(c + d\theta)) \\ &= N((ac - 5bd) + (ad + cb + bd)\theta) \\ &= (ac - 5bd)^2 + 5(ad + cb + bd)^2 + (ac - 5bd)(ad + cb + bd) \\ &= a^2c^2 - 10abcd + 25b^2d^2 + 5a^2d^2 + 5c^2b^2 + 5b^2d^2 + 10abcd + 10b^2cd + 10abd^2 \\ &\quad + a^2cd + abc^2 - 5abd^2 - 5b^2cd - 5b^2d^2 \\ &= a^2c^2 + abcd + 25b^2d^2 + 5a^2d^2 + 5c^2b^2 + 5b^2cd + 5abd^2 + a^2cd + abc^2 \\ &= a^2c^2 + 5b^2c^2 + abc^2 + 5a^2d^2 + 25b^2d^2 + 5abc^2 + a^2cd + 5b^2cd + abcd \\ &= (a^2 + 5b^2 + ab)(c^2 + 5d^2 + cd) \\ &= N(x)N(y) \end{aligned}$$

Note also that for any  $0 \neq x \in R$ , it follows that  $N(x) \geq 1$  since

$$N(x) = a^2 + 5b^2 + ab = \left( a + \frac{b}{2} \right)^2 + 19 \left( \frac{b}{2} \right)^2 \geq 1$$

Moreover,  $N(1) = 1$ , so if  $x \in R^\times$ , then  $N(x) = 1$ . Now, if  $x = a + b\theta$ , then  $b > 0$  implies  $N(x) > 1$ , and if  $b = 0$ ,  $N(x) = a^2 = 1$  only for  $a = \pm 1$ . So the only solutions for  $N(x) = 1$  are  $x = 1$  and  $x = -1$ . Then, it is easy to check that  $-1 \cdots -1 = 1$ , thus  $-1 \in R^\times$ . Therefore,  $R^\times = \{\pm 1\}$

Assume for contradiction that  $R$  is a Euclidean Domain with  $\phi$ . Then let  $0 \neq a \notin R^\times$  be the element with  $\phi(a)$  being smallest in the set  $\{\phi(x) \mid x \neq 0, x \notin R^\times\}$ .

Note for future usage that there is no element  $x \in R$  for which  $N(x) = 2$  or  $N(x) = 3$ . This is due to the fact that if that there is, then let that element be  $a + b\theta$ . Now,  $(a + b/2)^2 + 19(b/2)^2 \leq 3$ , so  $b = 0$  otherwise  $19(b/2)^2 > 3$ . but then there is no  $a^2 = 2$  and no  $a^2 = 3$  for  $a \in \mathbb{Z}$ , thus a contradiction.

Now, let  $2 = aq + r$ . If  $r = 0$ , then  $2 = aq$ . This could happen only if  $N(a) = 2$  or  $N(a) = 4$  since  $N(2) = 4$ . However, there is no element with  $N(x) = 2$ , so  $N(a) = 4$ . Now, consider  $\theta = aq' + r'$ . Since  $N(\theta) = 5$ , then  $r' \neq 0$  because  $N(a) \nmid N(\theta)$ . But because of the minimality of  $a$ ,  $r$  must be a unit. Now,  $N(\theta + 1) = 7$  and  $N(\theta - 1) = 5$  are both prime. This means that  $N(a) \nmid N(\theta + 1)$  and  $N(a) \nmid N(\theta - 1)$ , so  $\theta \neq aq' + r'$  for any  $q', r' \in R$ .

In the other case, if  $r \neq 0$ , then  $r \in R^\times$  is forced as otherwise  $\phi(r) \geq \phi(a)$ . So either  $1 = aq$  or  $3 = aq$ . However,  $a$  is not a unit, thus  $1 \neq aq$  for any  $q \in R$ . If  $3 = aq$ , then  $9 = N(3) = N(a)N(q)$ , which is that  $N(a) = 3$  or  $N(a) = 9$ . However, there is no element with  $N(x) = 3$ . So it must be the case that  $N(a) = 9$ . But then, consider  $\theta = aq' + r'$ . Notice that as  $N(\theta) = 5$ , then  $r' \neq 0$ , which means that  $r' \in R^\times$ . But  $N(a) \nmid N(\theta + 1)$  and  $N(a) \nmid N(\theta - 1)$ , so  $\theta \neq aq' + r'$  for any  $q', r' \in R$ .

This contradiction showed that  $R$  is not a euclidean domain.

#### Question 4

Let  $R$  be a domain. Show that  $R$  is a unique factorization domain if and only if every irreducible element of  $R$  is prime and  $R$  satisfies ACC on principal ideals.

#### Solution:

( $\implies$ ):

If  $R$  is a unique factorization domain, then every irreducible element of  $R$  is prime. Consider if  $p$  is an irreducible element and  $p \mid xy$  for some  $x, y \in R$ , then  $xy = pz$  for some  $z \in R$ . Now, as  $R$  is a unique factorization domain, write  $x = ua_1 \cdots a_n$ ,  $y = vb_1 \cdots b_m$ , and  $z = wc_1 \cdots c_k$  for unit  $u, v, w$  and irreducible elements  $a_1, \dots, a_n, b_1, \dots, b_m, c_1, \dots, c_k$ . Then,

$$(uvw^{-1})a_1 \cdots a_n b_1 \cdots b_m = pc_1 \cdots c_k$$

So by uniqueness of factorization,  $p \sim a_i$  or  $p \sim b_i$  for some  $i$ , which means either  $p \mid x$  or  $p \mid y$ .

Next, let  $C$  be a chain of principal ideal  $I_1 \subset \cdots$ , and let  $I_i = a_i R$  for some element  $a_i \in R$ . By the property of UFD,  $a_1 = u_1 c_1 \cdots c_n$  for irreducible element. Then, as  $aR \subset bR$  means  $b \mid a$ , then  $a_n \mid a_1$  for any  $n > 1$ , but then  $a_n = u_n c_1 \cdots c_{k_n}$  for some  $0 \leq k_n \leq n$ . Note that  $k_n = 0$  means  $a_n = u_n$ .

Then, let  $K = \{k_n \mid a_n = u_n c_1 \cdots c_{k_n}, \forall n\}$ . Since  $K \subset 0, 1, \dots, n$ , there is a minimal element by the well ordering principal, let that element be  $k$ , and  $a_m = u_m c_1 \cdots c_k$ . Now, if there is some  $m' > m$  such that  $I_{m'} \neq I_m$ , then  $I_m \subsetneq I_{m'}$ , which means that  $m' \mid m$  and  $m \nmid m'$ . This means that  $a_{m'} = u_{m'} c_1 \cdots c_{k'}$  for some  $k' < k$ . This is a contradiction. Hence,  $I_m = I_{m+1} = \cdots$  terminates the chain  $C$  finitely.

( $\impliedby$ ):

Let  $S = \{aR \mid a \neq 0, a \notin R^\times, a \text{ is not a product of irreducibles}\}$ . If  $S \neq \emptyset$ , then there is a maximal element of  $S$  because every chain  $C \subset S$  terminates finitely. Let  $bR$  be a maximal element of  $S$  for some  $b \in R$ . Then,  $bR \in S$ , so  $b$  is not irreducible, so  $b = xy$  for some nonunit  $x, y$ . This means that  $bR \subsetneq xR$  and  $bR \subsetneq yR$ , as if  $bR = xR$ , then  $y$  is a unit, and similar logic prevents  $bR = yR$ .

By the maximality of  $bR$ ,  $x$  and  $y$  must be a product of irreducibles. Therefore,  $b$  is a product of irreducible, which gives contradiction. Therefore,  $S = \emptyset$ , which means that  $R$  is a factorization domain.

Now, assume that  $uc_1 \cdots c_n = vd_1 \cdots d_m$  where  $c_1, \dots, c_n, d_1, \dots, d_m$  are irreducible, then, they are also prime by assumption. Now, consider that  $c_n$  divides  $d_i$  for some  $i$  as they are prime, then, assume without loss of generality that  $c_n \mid d_m$ . Then,  $uc_1 \cdots c_n = vwd_1 \cdots d_{m-1}c_n$ , which is that  $uc_1 \cdots c_{n-1} = vwd_1 \cdots d_{m-1}$ . By induction hypothesis,  $c_1 \cdots c_{n-1}$  is a unique factorization, thus  $c_1 \cdots c_n$  is a unique factorization. Notice that basic case that  $uc_1 = vd_1$  is unique by definition of irreducibility. Therefore, the domain is a UFD.

#### Question 5

Show that if the polynomial ring  $R[x]$  is Noetherian, then so is  $R$ .

**Solution:** Let  $I$  be any ideal, then let  $I$  be generated by  $G = \{\alpha, \dots\}$  then  $I' = \langle G \cup \{x\} \rangle$  is an ideal of  $R[x]$ . This is

because for any  $f \in R[x]$  and  $i \in I'$ ,

$$fi = f_0i + \sum_{j=1}^n f_jix^j = f_0i + x \left( \sum_{j=1}^n f_jix^{j-1} \right) = f_0i_0 + x \left( \sum_{j=1}^m i_jx^{j-1}f_0 + \sum_{j=1}^n f_jix^{j-1} \right) \in I'$$

as  $i = i_0 + \sum_{j=1}^m i_jx^j$ ,  $x \in I'$ , and  $i_0 \in I$ .

So  $I'$  is finitely generated, thus  $\{\alpha, \dots, x\}$  is finite, which means that  $\{\alpha, \dots\}$  is finite.

#### Question 6

Give an example of a Noetherian ring that is not a unique factorization domain.

**Solution:** Consider  $R = \mathbb{Z}[\sqrt{-5}] = \{a + b\sqrt{-5} \mid a, b \in \mathbb{Z}\}$ . Then  $R$  is generated by 1 and  $\sqrt{-5}$ . Therefore,  $R$  is Noetherian since it is finitely generated. However,  $6 = 2 \times 3 = (1 + \sqrt{-5})(1 - \sqrt{-5})$  with 2, 3,  $(1 + \sqrt{-5})$ ,  $(1 - \sqrt{-5})$  be all irreducible.

#### Question 7

An integral domain in which every nonzero nonunit can be factored into irreducibles is called a factorization domain. Give an example of a domain that is not a factorization domain.

**Solution:** Let  $R = \langle \{ \sum_{i=0}^{\infty} a_i b_i^{r_i} \mid a_i \in \mathbb{Z}, b_i \in \mathbb{N}, r_i \in \mathbb{Q}^+ \} \rangle$ . In other words,  $R$  is generated by the set above.

Then  $R$  is a ring by construction, which is that the result of addition, subtraction, multiplication is a part of  $R$ .

Note that  $R \subset \{ \sum_{i=0}^{\infty} a_i b_i^{r_i} \mid a_i \in \mathbb{Z}, b_i \in \mathbb{N}, r_i \in \mathbb{R}^+ \}$ .

And since for  $r_i \in \mathbb{Q}^+$ ,  $b_i^{r_i}$  is algebraic in  $\mathbb{R}/\mathbb{Z}$ , it follows that  $R \subset \{a \mid a \text{ is algebraic in } \mathbb{R}/\mathbb{Z}\}$ , which is a field, then  $R$  must contain no zero divisor, thus,  $R$  is a domain.

Notice that the unit of the ring are 1 and  $-1$  as for any  $r \in R$ , the exponent  $r_i$  in  $\sum_{i=0}^{\infty} a_i b_i^{r_i}$  is always a positive real number.

Let  $r$  be irreducible, then  $r \neq 1$ , then  $r = \sqrt{r}\sqrt{r}$  with  $\sqrt{r} \notin R^\times$ . Thus,  $r$  is not irreducible. Therefore, any element is not a product of irreducible elements.

#### Question 8

Let  $R$  be a Noetherian ring. Show that the ring  $R[[x]]$  of formal power series is Noetherian.

**Solution:** Assume for contradiction that  $R[[x]]$  is not Noetherian, thus there is a chain  $I_1 \subsetneq I_2 \subsetneq \dots$  that does not terminate. Now, consider  $\phi : R[[x]] \rightarrow R$  given by  $f = 0 + 0 + \dots + a_n x^n + \dots \mapsto a_n$  where  $n$  is the lowest term with non-zero coefficient. Then,  $\phi(I_i)$  is an ideal, since if  $a \in \phi(I_i)$  and  $b \in R$ . Then there is  $f = ax^n + \dots, g = bx^m + \dots$  with lowest coefficient  $a$  and  $b$ , where  $f$  and  $g$  is in  $I_i$  and  $R[[x]]$  respectively. So, there is polynomial  $fg \in I_i$  which has lowest coefficient  $ab$ . Thus,  $ab \in \phi(I_i)$ . Also, if  $a, b \in \phi(I_i)$ , then there is  $f = ax^n + \dots, g = bx^m + \dots$  in  $I_i$ . Then, let  $n > m$  without loss of generality. It follows that  $f - gx^{n-m} = a - bx^n + \dots$  is a polynomial in  $I_i$ . Thus,  $a - b \in \phi(I_i)$ .

Now, let  $J_i = \phi(I_i)$ . Since  $I_1 \subsetneq I_2 \subsetneq \dots$ , it follows that  $J_1 \subseteq J_2 \subseteq \dots$ . But if chain  $J_i$  terminates at  $J_n$ , then  $I_i$  would also have to terminate. This is because

$$\phi(I_n) = \phi(I_{n+1}) = \dots$$

Now, let  $f \in I_{k+1} - I_k$  contains the lowest non-zero term  $a_n x^n$ , then  $fx^m \in I_n$  for some  $m$  otherwise  $\phi(I_k) \neq \phi(I_{k+1})$ . Thus, for each of the finite generator of  $J_n$ , the polynomial with lowest nonzero coefficient being that generator has finite degree of lowest nonzero term. Finite sum of finite is finite. Thus, there can be only finitely many  $I_n = I_{n+1} = \dots = I_{n+k}$ , so the chain  $I$  must stabilize at  $I_{n+k}$ .

By contradiction,  $R[[x]]$  must be Noetherian.

#### Question 9

Let  $\phi : R \rightarrow S$  be a ring homomorphism of commutative rings. Show that if  $R$  is Noetherian, then so is  $\phi(R)$

**Solution:** Let  $\phi : R \twoheadrightarrow S$  be a surjective homomorphism induced by  $\phi' : R \rightarrow S'$  with  $S = \text{im } \phi'$ . Let  $I$  be an ideal of  $S$ , and  $J = \phi^{-1}(I)$  then for  $x, y \in J$  and  $rinR$ , it follows that  $\phi(x), \phi(y) \in I$  and  $\phi(r) \in S$ . Thus,  $\phi(xy), \phi(x - y), \phi(rx)$  are elements of  $I$ . Thus,  $xy, x - y, rx$  are elements of  $J$ . Thus, a preimage of an ideal is an ideal.

Now, let proceed by contraposition. If  $S$  is not Noetherian, there exists a chain  $I_1 \subsetneq I_2 \subsetneq \dots$  that does not terminate. As if  $x \in \phi^{-1}(I_i)$ , then  $\phi(x) \in I_i$ , which means  $\phi(x) \in I_j$  for every  $j > i$ . So,  $x \in \phi^{-1}(I_j)$ . Moreover, if there is an element  $y \notin I_i$  but  $y \in I_{i+1}$ , then there must be an element  $x \in R$  such that  $\phi(x) = y$ . However, as  $y \notin I_i$ ,  $x \notin \phi^{-1}(I_i)$  but  $x \in \phi^{-1}(I_{i+1})$ . Thus, the chain is strict. Then,

$$\phi^{-1}(I_1) \subsetneq \phi^{-1}(I_2) \subsetneq \dots$$

is a chain of ideal that does not terminate, which means that  $R$  must also be non-noetherian.

By contraposition, if  $R$  is noetherian, then  $S$  must be noetherian.

### Question 10

- a Show that if  $R$  is a domain, then so is  $R[x]$
- b Let  $F$  be a field. Show that there exist infinitely many monic, irreducible polynomial in  $F[x]$ .

**Solution:**

a Let  $R$  be a domain, then  $R[x] = \{ \sum_{i=1}^n r_i x^i \mid r_i \in R \}$ . Then for some  $r = \sum_{i=1}^n r_i x^i$  and  $s = \sum_{i=1}^m s_i x^i$ , the product

$$\begin{aligned} rs &= \left( \sum_{i=1}^n r_i x^i \right) \left( \sum_{i=1}^m s_i x^i \right) \\ &= \sum_{i=1}^n \sum_{j=1}^m r_i s_j x^{i+j} \\ &= \sum_{j=1}^m \sum_{i=1}^n s_j r_i x^{j+i} \\ &= \left( \sum_{i=1}^m s_i x^i \right) \left( \sum_{i=1}^n r_i x^i \right) \\ &= sr \end{aligned}$$

Thus,  $R$  is commutative.

Now consider that  $0 = rs$ , then

$$0 = \sum_{i=1}^n \sum_{j=1}^m r_i s_j x^{i+j} = \sum_{i=1}^{n+m} \sum_{j=0}^i r_j s_{i-j} x^i$$

Then if  $r \neq 0$ , there exists some  $r_i \neq 0$ . Then  $\sum_{j=0}^i r_i s_{i-j} = 0$  which is that  $s_0, \dots, s_i$  are all 0. Now assume that there is  $j > i$  such that  $s_j \neq 0$ . By the same argument,  $r_0, \dots, r_j$  must be all zero, but that means  $r_i = 0$ , contradicting that  $r_i \neq 0$ . So, there must be no  $j$  such that  $s_j \neq 0$ . Thus,  $s = 0$ . Therefore,  $R$  has no zero divisor, and  $R$  is a domain.

b Since  $F$  is a field, then  $F[x]$  is a principal ideal domain, and therefore, it is a unique factorization domain. If  $F$  is an infinite field, then the set  $\{ (x + a) \mid a \in F \}$  is a set of monic irreducible elements. Thus, there are infinitely many monic irreducible polynomial. Otherwise,  $F$  is a finite field. In this case, assume for contradiction that there are finitely many irreducible polynomial  $f_1, \dots, f_n$ . Then,  $f = f_1 \cdots f_n + 1$  is an element of  $F[x]$ , which is a UFD. So,  $f = f_i g$  for some  $1 \leq i \leq n$  and polynomial  $g \in F[x]$ . But as  $f_i \mid f_1 \cdots f_n$ , it must follow that  $f_i \mid 1$ , which contradict that  $f_i$  is irreducible, thus a non-unit.

Therefore, there are infinitely many irreducible polynomial. Now, since  $F[x]^\times = F^\times = F - \{0\}$ . There are finite, say  $k$ , unit in the field, namely  $I_1, \dots, I_k$ . If there is a finite number of monic irreducible polynomial,  $m_1, \dots, m_n$ , then all irreducible are

$$I_1 m_1, \dots, I_1 m_n, I_2 m_1, \dots, I_2 m_n, \dots, I_k m_n$$

Since if  $I_1 f$  for any non-unit  $f$  results obviously to a reducible element. As the result contradicts with the fact that there are infinitely many irreducible, then there must be also infinitely many monic irreducible polynomial in  $F[x]$ .