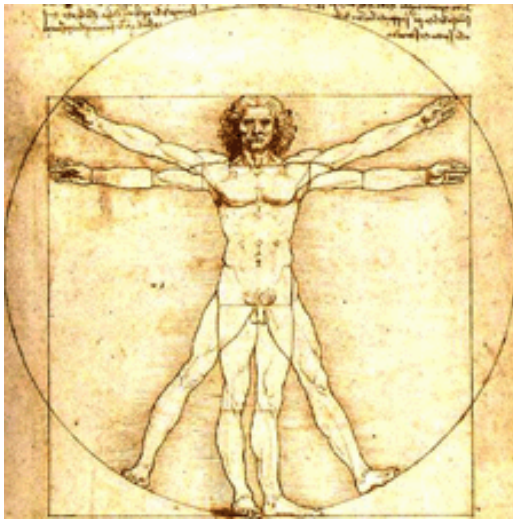


# ***Design Principles***



## ❖ **Package Coupling**

- Acyclic Dependencies
- Stable Dependencies
- Stable Abstractions

## ❖ **Class Design**

- Open-Closed Principle (OCP)
- Don't Repeat Yourself Principle (DRY)
- Design by Contract
- Single Responsibility (SRP)
- Liskov Substitution (LSP)
- Dependency Inversion (DIP)
- Interface Segregation (ISP)

## ❖ **Package Cohesion**

- Reuse-Release Equivalence
- Common Closure
- Common Reuse

# ***Open-Closed Principle (OCP)***

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- ❑ *Software entities (classes, modules, functions, etc.) should be open for extension, but closed for modification.*
  - *Bertrand Meyer "Object Oriented Software Construction"*
- ❑ *In an ideal world, you should never need to change existing code or classes.*
  - New functionality can be added by adding new subclasses and overriding methods, or by reusing existing code through delegation.
- ❑ Prevents introduction of new bugs in existing code.
  - If you never change it, you can't break it.
- ❑ *Create abstractions that are fixed, yet represent an unbounded group of possible behaviours.*
  - Abstractions are **base classes**, unbound groups are **derived**.
  - *Using inheritance, we can create derived classes that conform to the abstract polymorphic interfaces defined in abstract base classes.*
- ❑ OCP concerns **flexibility** and goes beyond inheritance.
  - Really a combination of abstraction and encapsulation.

# ***Don't Repeat Yourself Principle***

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- ❑ *Avoid duplicate code by abstracting out things that are common and placing those things in a single location.*
  - Sounds simple, but DRY principle is critical in writing code that is easy to maintain and reuse.
  - ***Nothing new*** about avoiding duplicate code. Subroutines/functions invented for this reason.
  - Object-oriented design allows us to approach the problem in a new way. Requires holistic approach, ***requirements→coding***.
- ❑ *DRY implies that each piece of information and behaviour (one requirement) ***should be in a single, sensible place***.*
  - To avoid duplicate code, only implement each feature and requirement in an application ***one single time***.
  - Begin by abstracting out duplicate code.
- ❑ Should be part of the ***requirements gathering*** process.
  - A requirement should be implemented only once. Use-cases shouldn't have to overlap.

# Single Responsibility Principle

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- ❑ *Every object in a system should have a single responsibility, and all the object's services should be focused on carrying out that single responsibility.*
  - SRP is implemented correctly when each object has **only one reason to change**.
- ❑ **Responsibility**: a *reason* for change. If there is more than one motive for changing a class, that class has more than one responsibility.
  - Related to DRY principle in that no other classes should share a behaviour because no other classes should share responsibility.
- ❑ For example, consider a Rectangle class with *area()* and *draw()* methods.
  - Implies that all applications that wish to compute an area also want to draw. Two responsibilities have been mixed into one class...
  - A class's responsibility is **communicated by the public methods** (and features☺) it exposes. More on this when we look at LSP.

# Single Responsibility Principle

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## ❑ SRP does not limit what a class can do!

- The single responsibility could be a very **large one**. E.g., the grid in the game of Battleships.
- Often results in less, but fatter (and not God!), classes as responsibility is not distributed throughout an application.

## ❑ *Each responsibility should be a separate class, because each responsibility is an axis of change.*

### **interface Modem{**

***public void dial(String pno);***

***public void hangup();***

***public void send(char c);***

***public char recv();***

***}***

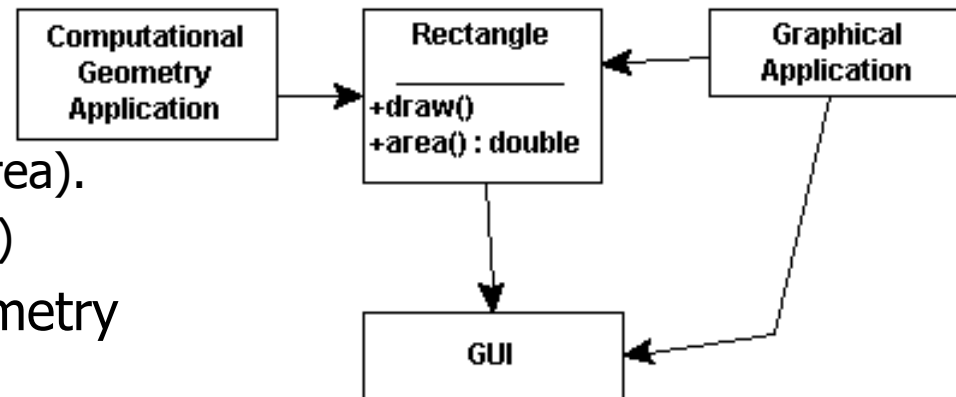
## ❑ Modem interface looks reasonable - but **there are two responsibilities shown:**

- Connection management
- Data communication

**The two sets of functions have nothing in common. Will change for different reasons. Called from different parts of app that uses them.**

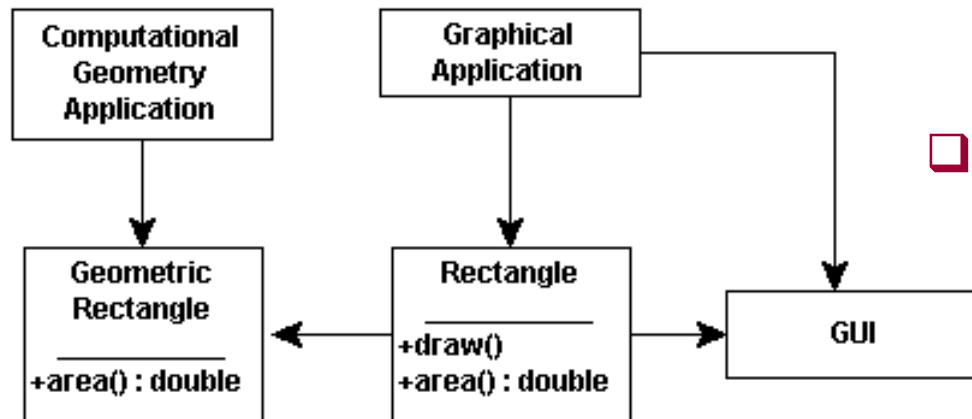
# Single Responsibility Principle

- ❑ Rectangle class has two responsibilities:
  - Mathematical model (area).
  - Render on a GUI (draw)
- ❑ Must include GUI in geometry application.
  - Distribute class files.



- ❑ Change in Graphical Application requires us to recompile, test & redeploy Computational Geometry Application.

❑ *Solution is to separate the responsibilities into different classes.*



# ***Liskov Substitution Principle***

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- ❑ *If for each object  $o1$  of type  $S$  there is an object  $o2$  of type  $T$  such that for all programs  $P$  defined in terms of  $T$ , the behaviour of  $P$  is unchanged when  $o1$  is substituted for  $o2$  then  $S$  is a subtype of  $T$ .*
  - *Barbara Liskov, Data Abstraction and Hierarchy, 1988.*
- ❑ *Methods that use references to base types must be able to use references to derived types without knowing the difference.*
  - Importance of LSP is best illustrated in its violation:
  - **A class referencing a non-LSP conforming class must know about all the derivatives of that base class.**
  - LinkedList class (handout) is a violation of LSP.
- ❑ *A model viewed in isolation cannot be meaningfully validated.*
  - ***Must be viewed in terms of reasonable assumptions that will be made by users of that design.***
  - Consider a base class **Rectangle** with *setWidth()* and *setHeight()*. What happens when the derived class is a **Square**? Problems...
  - The **is-a rule** does not appear to hold in this case...

# ***Liskov Substitution Principle***

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- ❑ The *is-a* relationship is not so straightforward:
  - A square ***is-a*** rectangle. But a Square object is definitely NOT a rectangle object because **behaviourally they are different**.
- ❑ ***LSP makes it clear that in OOP the is-a relationship pertains to behaviour .***
  - ***Not intrinsic private behaviour, but extrinsic public behaviour that clients depend upon.***
  - The author of a Rectangle class depended on the fact that the height and width are independent of each other. **Independence of two variables is an extrinsic public behaviour.**
- ❑ If a program conforms to the OCP, it will automatically conform to the Liskov Substitution Principle.
  - All derivatives must conform to the behaviour clients **expect** from the base classes that they use.
- ❑ Strong relationship between LSP and Design by Contract.



# ***Design by Contract (DBC)***

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- ❑ *Based on conceptual metaphor of a business contract.*
  - Interface specifications should be **defined precisely** (ADTs), and be **verifiable**.
  - From Eiffle [Bertrand Meyer, 1988]. Aka Programming by Contract, Contract First Programming.
- ❑ Precision achieved using *assertions* and *method signatures*.
  - Verification and proofs from formal methods, e.g. Z/Object-Z.
- ❑ Like a business contract, there are at least two-parties.
  - Contract imposes obligations/responsibilities in return for benefits.
- ❑ Methods and classes declare *preconditions* and *postconditions*.
  - **Obligations:** Preconditions must be true in order for method to execute. Method free from cases outside precondition.
  - **Benefit:** Post-condition, guarantee by a method of what it will do if preconditions are met.
- ❑ Can be implemented using DBC tools like *jContract*.
  - Can also use Java *assert* statement to achieve this.

# ***Design by Contract (DBC)***

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- ❑ *Contract for a method contains the following and their meaning.*
  - Acceptable/unacceptable **input types**. Return values or types.
  - **Exception** conditions and types.
  - **Preconditions**, which subclasses may weaken (but not strengthen).
  - **Postconditions**, which subclasses may strengthen (but not weaken).
  - **Predicates**, invariants that always retain their truth value.
- ❑ *When redefining a routine in a derivative, you may only replace its precondition by a weaker one, and its postcondition by a stronger one. - Bertrand Meyer, 1988.*
- ❑ **Define a contract on each method.**
  - **Precondition:** constraint on object state before a method is called. Eg, precondition to calling *Iterator.next()* is that *hasMore()* is true.
  - **Postcondition:** constraint on object state after a method call has completed. E.g. a *List* is not empty after calling *add()*.

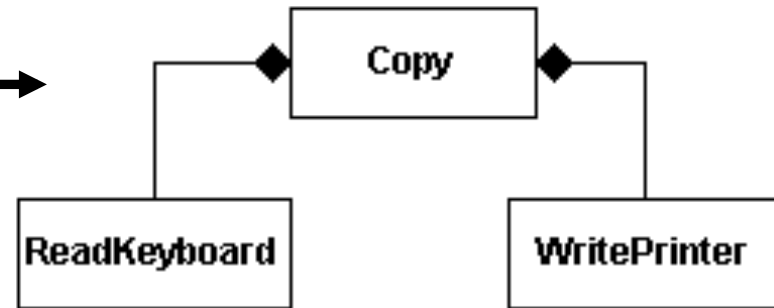
# ***Dependency Inversion Principle***

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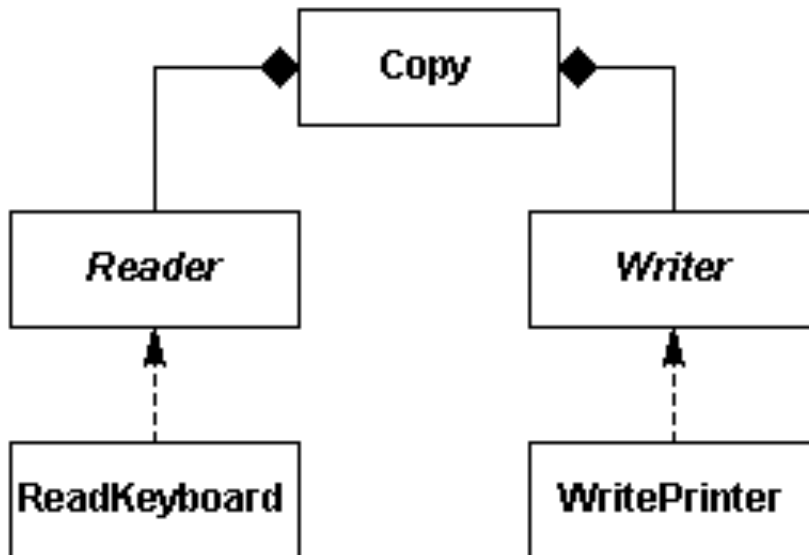
- ❑ *A) A high-level module should not depend on low-level modules. Both should depend upon abstractions.*
- ❑ *B) Abstractions should not depend upon details. Details should depend upon abstractions.*
- ❑ Violation of this principle leads to rigid, fragile and immobile code:
  - Traditional structured analysis and design methods give rise to higher level modules that are ***highly coupled*** with low level subroutine libraries.
  - Changes to low level classes require changes to the high level modules we want to reuse. ***DIP inverts this dependency.***
- ❑ DIP is at the heart of framework design.
  - ***Separate interface from implementation.***
- ❑ *Lower level modules defined in terms of abstract classes. Higher level module only interact with abstract interfaces.*
  - Need to ensure that there are no ***transitive*** dependencies.

# Dependency Inversion Principle

- ❑ *Copy* copies characters typed on a keyboard to a printer.
  - *Copy* contains a loop that calls *ReadKeyboard* to read from keyboard and send character to *WritePrinter*.



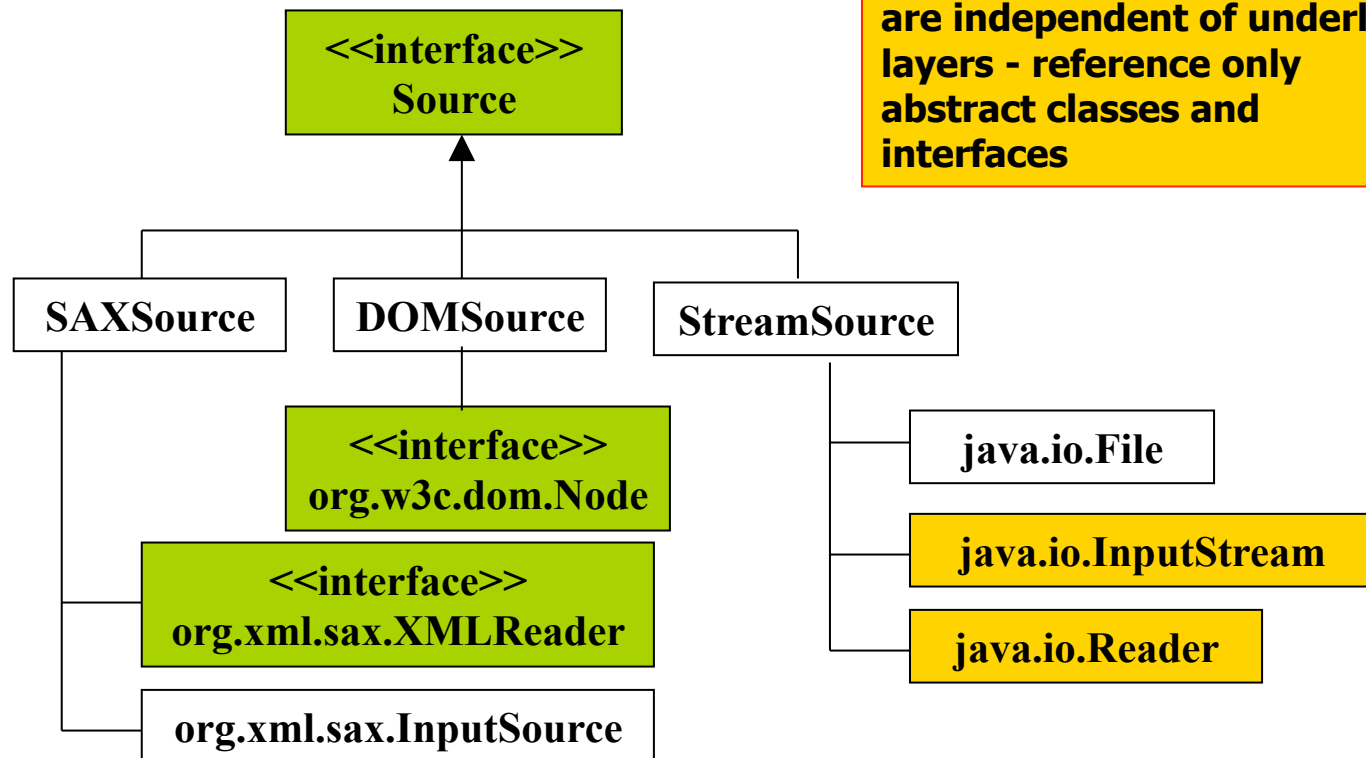
- ❑ *Copy* has a dependency on keyboard and printer modules.



- **A high level "policy" depends upon its details.**
- *Reader* and *Writer* abstract classes **invert the dependency.**
- Can now use *Copy* with many types of IO devices ***provided they are subtypes of Reader and Writer.***
- *Copy* exemplifies OCP.

# Dependency Inversion Principle

- ❑ Consider the design of JAXP I/O. Allows input sources to be specified in terms of a file name, URL, byte/char stream, DOM and SAX source...flexible.

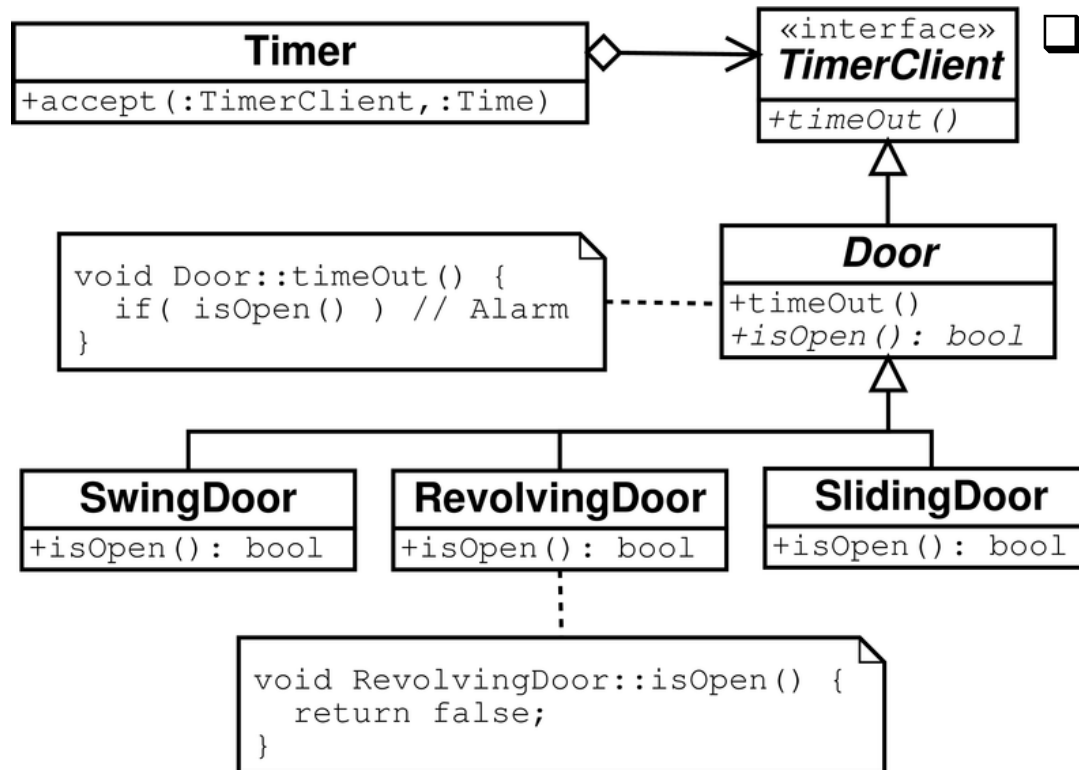


# Interface Segregation Principle

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- ❑ *Clients should not be forced to depend on interfaces that they do not use.*
- ❑ Client should *not know about method groups* as a single class.
  - **Should know about abstract base classes**, one for each method group, which have cohesive interfaces.
- ❑ Classes with **fat interfaces** have interfaces that are not cohesive.
  - Methods can be broken into groups of functions that serve different client needs. Multipurpose classes that are not fully abstracted.
- ❑ **Inadvertent coupling between the clients that share single class interfaces.**
  - If additional functionality added to interface to support a client, then **all other clients will be affected.** Propagates change.
- ❑ **Many client specific interfaces are better** than one general purpose (God) interface.

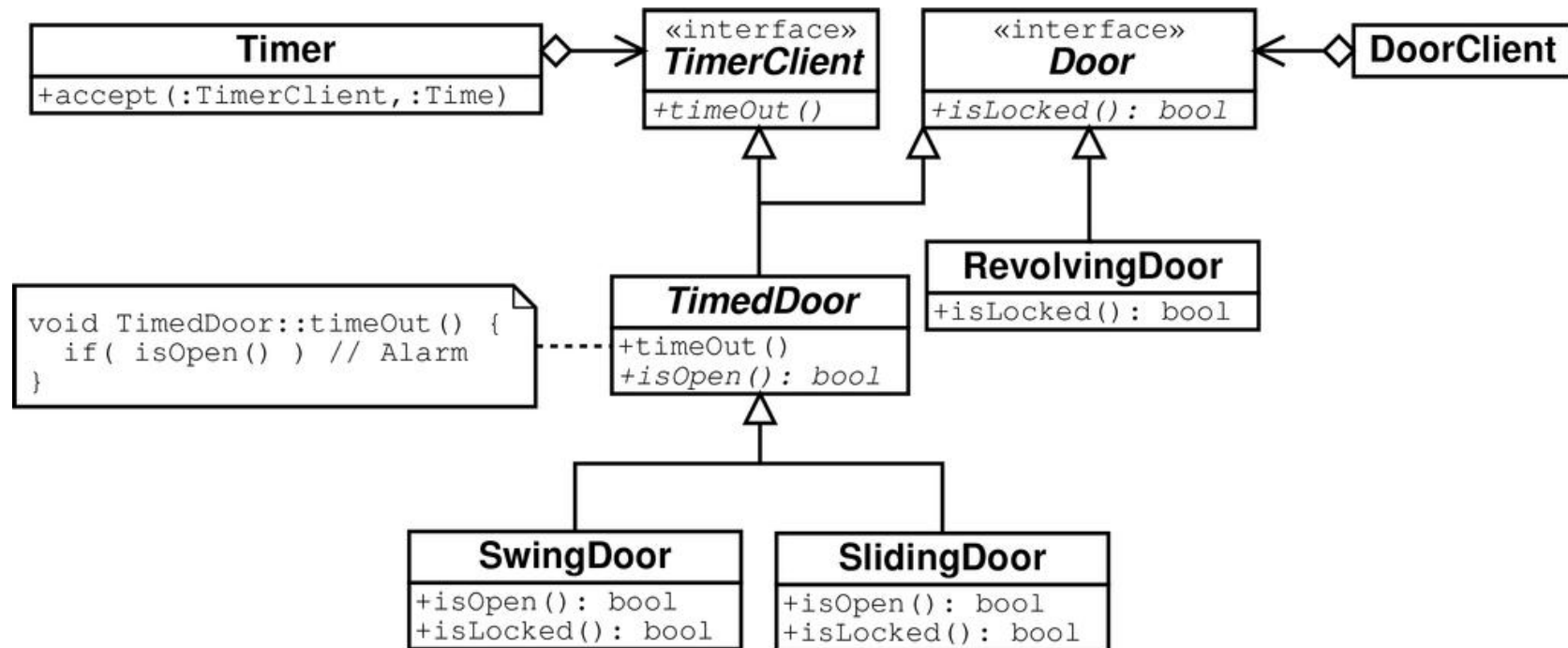
# Interface Segregation Principle



- ❑ *Door* is abstract class.
- ❑ Clients can use objects that conform to the *Door* interface, without having to depend on implementation of *Door*.
- ❑ A timed door sounds an alarm when door is left open too long.

- ❑ May be derived classes of *Door* which don't need the *TimerClient* interface.
  - They suffer from depending on it anyway.

# Interface Segregation Principle



- ❑ *RevolvingDoor* does not depend needlessly on *TimerClient*.
  - *SwingDoor* and *SlidingDoor* really are timed doors.
- ❑ Multiple inheritance solves the fat interface problem for us.



# ***Granularity of OO Software***

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- ❑ As software applications grow in size, some form of high-level organisation is required.
    - A class is a convenient unit for organising small applications.
    - ***Too fine grained*** for large applications. Larger granule required.
  - ❑ ***Packages*** (aka Class Category [Booch], Clusters [Meyer], Subject Areas [Coad]) *represent a logical grouping of declarations that can be imported into other programmes.*
    - Enables us to reason about design at a *higher level of abstraction.*
    - We partition classes in an application using some criteria. Allocate partitions to packages.
  - ❑ ***Raises a number of questions:***
    - What are the best ***partitioning criteria***? What degree of *cohesion*?
    - What are the ***relationships*** that exist between packages and what are the design principles that govern their use?
    - Should packages be designed before classes (***top-down***) or vice-versa.
    - How are packages ***physically represented***?
-

# Reuse-Release Equivalence

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- ❑ *The granule of reuse is the granule of release. Only components that are released through a tracking system can be effectively reused. This granule is the package.*
- ❑ Code copying is not a form of reuse.
  - Changes to original and copy result in major problems when faced with an update from original author.
- ❑ Reuse refers to when you do not have to look up source code.
  - Just lookup static/dynamic library. Whenever these libraries change, you can import a new version when opportunity allows.
- ❑ Reusable code treated like a product. Not necessarily maintained/distributed by client.
  - However, clients **must be notified** when changes are made.
  - Author should demarcate releases with numbers/names.
- ❑ ***Can reuse nothing that is not also released.***
  - Users of a released library are **clients of the entire library**.
- ❑ *Packages are natural candidates for a releasable entity (granule).*

# ***Common Reuse Principle (CRP)***

---

- ❑ *The classes in a package are reused together. If you reuse one of the classes in a package, you reuse them all.*
- ❑ Provides guidance on which classes should be used in a package.
  - Classes that tend to be reused together belong in the same package.
- ❑ *Reusable classes normally collaborate with other classes that are part of a reusable abstraction.* Should be packaged together.
  - When we use a package, **a dependency is created** upon the whole package.
  - Everytime a package is released, applications using it **must be revalidated and re-released**. If we are only using a small portion of a package, this can be time consuming and wasteful.
- ❑ Packages commonly manifested physically as shared libraries (JAR archives) and DLLs.
  - If a DLL is released because of a change to a class that I don't use, I still must redistribute the new DLL and revalidate the application.

# ***Common Closure Principle (CCP)***

---

- ❑ *The classes in a package should be closed together against the same kinds of changes. A change that affects a package affects all the classes in that package.*
- ❑ Maintainability is more important than reusability.
- ❑ CCP attempts to *gather in one package, all classes that are likely to change for the same reasons.*
  - **If two classes are so tightly bound, either physically or conceptually, that they almost always change together, then they belong in the same package.**
  - Minimises workload relating to releasing, revalidating and redistributing.
- ❑ Closely associated with the Open-Closed Principle.
  - CCP packages together classes that cannot be closed against certain types of change.
  - When a change in requirements arises, the change can be restricted to a minimal number of packages.

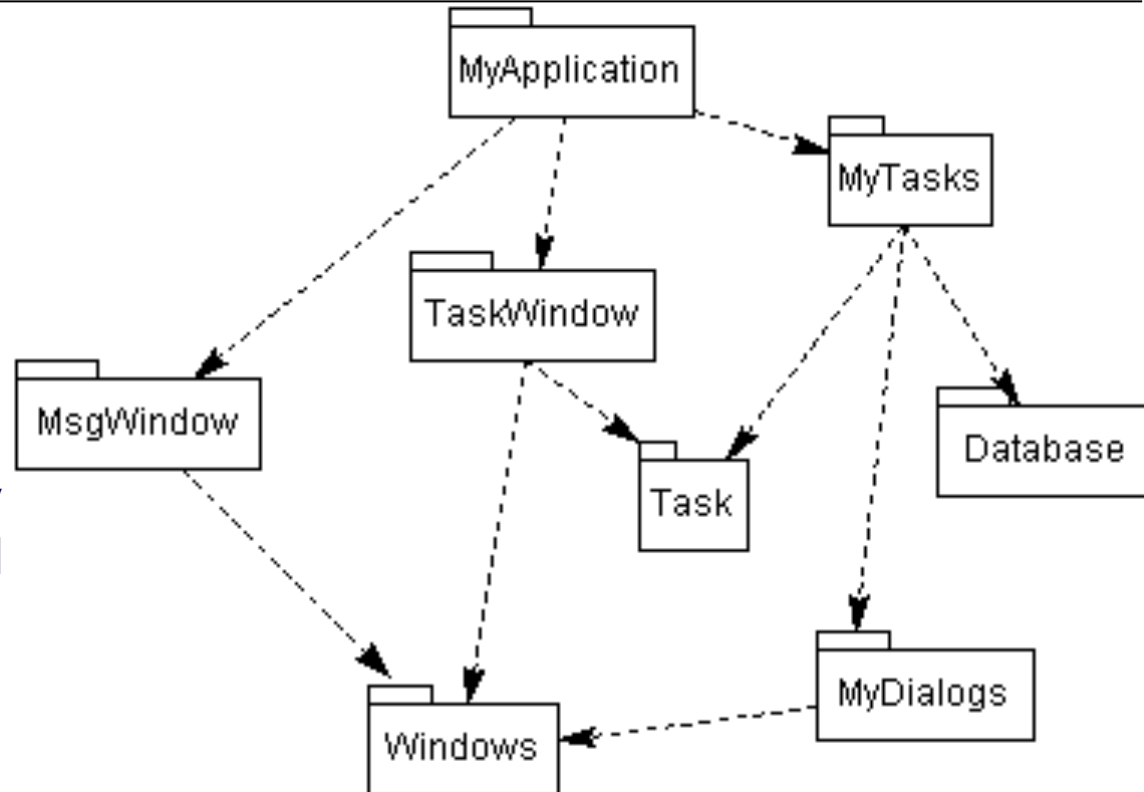
# Acyclic Dependencies Principle

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- ❑ *The dependency structure between packages must be a Directed Acyclic Graph (DAG). That is, there must be no cycles in the dependency structure.*
  - ❑ “Morning after syndrome” - many developers modifying same source file.
    - One developer makes changes (après pints...) and causes chaos.
  - ❑ Solution is to partition development logic into releasable packages.
    - Packages become **a unit of work** that are the responsibility of a development team.
    - Package given a **release number** (stable build) and released for use by other teams.
    - Team continue to modify package in **isolation**. Other teams use **released version only**.
  - ❑ None of the teams are at the mercy of the others.
    - Only works if we manage the dependency structure of packages.  
**There must be no cycles in the dependency structure.**
-

# Acyclic Dependencies Principle

- DAG - packages are nodes. Dependencies are edges and are directed.
- ***NO cycles!***
- Changes to *MyDialogs* will only affect *MyTasks* and *MyApplication*.
- **No affect on the other packages!**



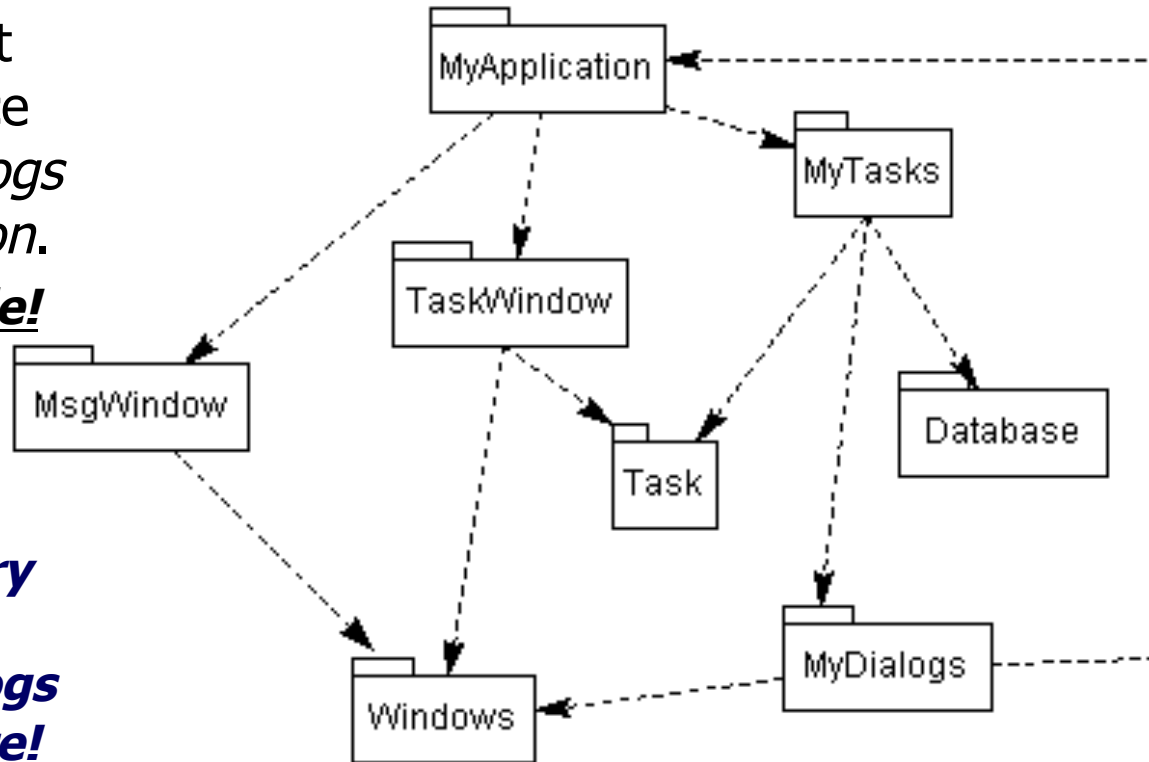
- ❑ Unit ***test*** of *MyDialogs* involves recompile and test with *Windows*.
  - System release done from ***bottom up***: *MsgWindow*, *MyDialogs*, *Task*, *TaskWindow*, *Database* and *MyApplication* respectively.

# Acyclic Dependencies Principle

- New requirement forces a reference between *MyDialogs* and *MyApplication*.

- ***Now have a cycle!***

- ***MyTasks now depends on every package in the system. MyDialogs suffers same fate!***



- ❑ *Cyclic dependencies* make release of these classes very difficult.
  - Have in effect become one large package.
  - **Unit test of MyDialogs will now require a complete system build.**

# Acyclic Dependencies Principle

---

- ❑ There are ways to break the cycle and reinstate DAG:
  - **Apply Dependency Inversion Principle:** create an abstract class with interface that MyDialogs needs. MyApplication subclasses the abstract type. Inverts the dependency and breaks the cycle.
  - **Create a new package** that both MyDialogs and MyApplication depend on. Migrate class(es) they depend on to new package.
- ❑ Latter option implies package structure not stable (“jitters”).
  - As application grows, dependency structure & jitters grow also...
- ❑ **Package structure cannot be designed from top-down.**
  - Do not start with packages and then create classes.
  - Package dependency diagrams are map of **how to build a system.**
- ❑ Use *Common Closure Principle* and co-locate classes that are likely to change.
  - As application grows, reusability facilitated by *Common Reuse Principle*.



# ***Stable Dependencies Principle***

---

- ❑ *The dependencies between packages in a design should be in the direction of the stability of the packages. A package should only depend upon packages that are more stable than it is.*
- ❑ Stability is not a measure of the likelihood that a module may change. It is a measure of the difficulty in changing a module.
  - The more difficult to change, **the less volatile** the module.
- ❑ Designs cannot be static. Some volatility required to maintain the design. Accomplished using *Common Closure Principle*.
  - Create packages that are sensitive to certain kinds of changes. These packages are **designed** to be volatile. We **expect** them to change.
- ❑ *Any package that is expected to be volatile should not be depended upon by a package that is difficult to change.*
  - Otherwise the volatile package will also be difficult to change.

# ***Stable Dependencies Principle***

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- ❑ Stability of a package can be measured by counting the number of dependencies that enter and leave a package.

$$\text{Positional Stability (I)} = (\text{Ce} / (\text{Ca} + \text{Ce}))$$

- **Ca: Afferent Couplings**: number of packages outside this package that depend upon classes in this package.
  - **Ce: Efferent Couplings**: number of classes inside this package that depend upon classes outside this package.
- ❑ *Metric has the range [0,1].*
    - 0 implies a *maximally stable package*: depended on by many packages. Does not itself depend on any others. **Responsible and Independent**. Difficult to change package.
    - 1 implies *maximally instable package*: **Irresponsible and Dependent**. Lack of dependents give it no reason not to change - packages it depends may give it ample reason to change.
  - ❑ Metrics should decrease in the direction of dependency.
    - Dependencies correspond to **import/include** statements.

# ***Stable Abstractions Principle***

---

- ❑ *Packages that are maximally stable should be maximally abstract. Instable packages should be concrete. The abstraction of a package should be in proportion to its stability.*
- ❑ Describes the relationship between stability and abstraction.
  - **A stable package should also be abstract** so that its stability does not prevent it from being extended.
  - **Instable packages should be concrete** since instability allows concrete code within to be changed.
- ❑ Reader/Writer (Copy example) are abstract and highly stable.
  - Depend upon nothing and are depended upon by copy and all derivatives. But still can be extended to deal with different IO.
- ❑ SAP and SDP combined amount to the Dependency Inversion Principle for packages (although not as clear-cut )
  - SDP implies that dependencies *should run in direction of stability*.
  - SAP states that *stability implies abstraction*.