

**Abstract—**

**Index Terms—**

## I. NOTATIONS

The Transmitter energy arrival instants are marked by  $t_i$ 's with energy  $E_i^T$  while the receiver energy arrivals are marked by  $r_i$ 's with energy  $E_i^R$  for  $i \in \{0, 1, \dots\}$ . The receiver spends  $p_{rcv}$  amount of power to be in 'on' state and no power when it is in 'off' state. Hence each energy arrival  $E_i^R$  can be viewed as it adds  $T_i^R = \frac{E_i^R}{p_{rcv}}$  amount of time for which the receiver can be on. The maximum amount of time for which the receiver (and hence the Transmitter) can be on assuming no energy arriving at the receiver after time ' $t$ ' is given by function  $T^R(\cdot)$ . It can be easily seen that  $T^R(t) = \sum_{i=0}^{r_i \leq t} T_i^R$ . Similarly the maximum energy harvested at the transmitter till time ' $t$ ' is given by function  $E^T(t) = \sum_{i=0}^{t_i \leq t} E_i^T$ . The function  $CP(A, B) = \lim_{\epsilon \rightarrow 0} \frac{E^T(A-\epsilon) - E^T(B-\epsilon)}{B-A}$ ,  $A > B$  denotes the maximum constant power with which transmitter can transmit from time  $A$  to  $B$ . The rate of bits transmission with power ' $p$ ', given by function  $g(\cdot)$  is assumed to follow the following properties as proposed in [?]

$$P1) g(0) = 0 \text{ and } \lim_{x \rightarrow \infty} g(x) = \infty. \quad (1)$$

$$P2) g(x) \text{ is concave in nature with } x. \quad (2)$$

$$P3) g(x) \text{ is increasing with } x. \quad (3)$$

$$P4) g(x)/x \text{ is monotonically decreasing with } x \quad (4)$$

$$\text{and } \lim_{x \rightarrow \infty} g(x)/x = 0. \quad (5)$$

For convenience of presentation, we also follow the following convention : we use the notation  $\stackrel{L1}{=}$  or  $\stackrel{(1)}{=}$  or  $\stackrel{P1}{=}$  or  $\stackrel{T1}{=}$  to indicate that the equality " $=$ " follows from Lemma 1 / Equation (1) / Property 1 / Theorem 1 respectively (same for inequalities).

## II. OPTIMAL OFFLINE ALGORITHM

The optimization problem that we are trying to solve is

Before describing and proving the optimal algorithm we state the following lemmas which would be useful in later proofs

**Lemma 1.** *The power of transmission in every optimal solution is non-decreasing with time whenever the receiver is on.*

*Proof.* We prove this by contradiction. The following two cases arise depending on whether the receiver is on or off.

*Case1 :* Assume that the power of transmission is  $p_1$  from time  $A$  to  $B$  and then  $p_2$  from  $B$  to  $C$  with  $p_1 > p_2$

and the receiver is on for the entire time  $A$  through  $C$  as shown in figure 1. In this case suppose we transmit at a power  $p' = \frac{p_1(B-A) + p_2(C-B)}{C-A}$  then the number of bits transmitted would be more over the same time duration due to concavity of  $g(p)$  as shown below.

$$g(p_1) \frac{B-A}{C-A} + g(p_2) \frac{C-B}{C-A} \leq g\left(\frac{p_1(B-A) + p_2(C-B)}{C-A}\right) \quad (6)$$

$$\Rightarrow g(p')(C-A) \geq g(p_1)(B-A) + g(p_2)(C-B) \quad (7)$$

As we can transmit more number of bits during  $C-A$  with power  $p'$  we can save on the total transmission time since we would have lesser number of bits left to transmit after time  $C$ . Hence this case cannot be optimal.

*Case2 :* The receiver is off for a certain duration (say from  $B$  to  $C$ ) between  $A$  and  $D$  as shown in figure 1. The transmission power is  $p_1$  from  $A$  to  $B$  and  $p_2$  from  $C$  to  $D$ . Now, by keeping the receiver off from  $A$  to  $A+C-B$ , if we transmit from  $A+C-B$  to  $C$  with power  $p_1$ , instead of from  $A$  to  $B$  as shown in the figure by the dotted lines, this scenario now boils down to *Case1* from time  $A+C-B$  to  $D$  and hence cannot be optimal.  $\square$

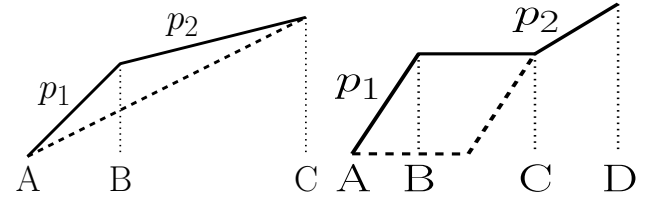


Fig. 1. Figure showing the two cases of Lemma 1, case 1[left] case2 [right] with  $p_1 > p_2$

**Lemma 2.** *In an optimal solution once transmission has started the receiver remains on until transmission is complete.*

*Proof.* This is equivalent to saying that there are no breaks during transmission in an optimal solution. Again, we shall prove this by contradiction. Suppose the receiver is off for some period after transmission starts. Considering Lemma 1 the power of transmission  $p_1$  before the break would have to be less than or equal to the power  $p_2$  after the break in transmission, as shown in figure . Consider the case where we keep the receiver off from time  $A$  to  $B' = A+C-B$ . Now, an energy arrival can occur at the transmitter at any time between  $A$  to  $D$ . If there is no energy arrival then transmitting at a constant rate from  $B'$  to  $D$  would transmit more bits.

*Case1 :* If the energy arrival is between  $A$  and  $B'$ , then it can be easily seen that transmitting at a constant rate from  $B'$  to  $D$  would be better due to concavity of  $g(p)$ .

*Case2* : If the arrival is between  $B'$  and  $C$  (say  $C'$ ), then again it is easily shown that transmitting at a same rate  $p_1$  from  $B'$  to  $C'$  and at a constant rate from  $C'$  to  $D$  would deliver more number of bits. (In the worst case, an energy arrival occurring at  $C$  would make this scenario transmit equal number of bits as the original scenario).

*Case3* : If there is an energy arrival from  $C$  to  $D$  (say  $D'$ ), then transmitting at a constant power from  $B'$  to  $D'$  and then at same rate  $p_2$  from  $D'$  to  $D$  would send more bits to the receiver.

Applying the above scenarios iteratively we could shift the receiver off duration  $C - B$  to the beginning of transmission and still at worst case transmit equal number of bits in same time duration. Hence having a break in between transmission is always discouraged. This also gives us an idea of why the optimal solution may not be unique.  $\square$

**Lemma 3.** *In an optimal solution with no breaks, the power of transmission can only change at the time instants when energy arrives at the transmitter.*

*Proof.* Keeping in mind Lemma 1 and 2 the proof of this lemma follows the same structure as that of Lemma 2 in Yang et al. [?].  $\square$

**Lemma 4.** *If the receiver has enough energy to stay on for  $T$  time, then either the transmitter will transmit for the entire duration  $T$  or the transmitter will begin transmission at  $t=0$ .*

*Proof.* We will prove this by contradiction. Suppose the optimal transmission policy does not begin transmitting at time  $T$  and transmits for a duration  $T' < T$ .

Let  $p_1$  be the first power of transmission in this policy. If we reduce this slightly to  $p_1 - \delta p$ , we will have transmitted more bits by time  $s_{i_{n-1}}$ , where  $s_{i_{n-1}}$  is the last energy arrival epoch when the transmission power changes.

Therefore at the end we can transmit with a power  $p'_n > p_n$  (see figure) and complete our transmission at an earlier time. Thus optimally we can keep lowering our first transmission power until we either exhaust our transmission duration  $T$  or we hit the origin.  $\square$

Suppose we are given a transmission duration  $T$ . Our goal is to find a transmission policy so we can minimise the time at which the transmission is completed. To do this, we shall first find a feasible solution and keep improving upon it, until we have a solution that follows all our lemmas. First, we need an initial feasible solution to start with. For this, we find the minimum energy required by the transmitter so that the transmission can be completed. That is, the first  $n$  such that

$$Tg\left(\frac{\sum_{i=0}^n E_i}{T}\right) \geq B_0$$

Let  $\tilde{T}$  be the time duration such that

$$\tilde{T}g\left(\frac{\sum_{i=0}^n E_i}{\tilde{T}}\right) = B_0$$

Let  $\tilde{p} = \frac{\sum_{i=0}^n E_i}{\tilde{T}}$ . We try to transmit with this power starting at  $t=0$ . If it is feasible, we are done and our transmission is completed in  $\tilde{T}$  time.

If not, we try to start the transmission as early as possible, such that the transmission is feasible. This transmission curve, will intersect the total energy arrival curve at at least one epoch.

Now, we try to improve upon this policy. Let  $Q$  be the first point where our transmission curve intersects the energy arrival curve.

**Lemma 5.**  *$Q$  lies in every optimal transmission curve.*

*Proof.* We shall prove this by contradiction. Let the start and end times of the straight line transmission curve described above be  $R$  and  $S$ . We make the following claims:

**Claim 1:** Every optimal transmission policy begins transmission at or before time  $R$

Since we are transmitting all the bits at the maximum possible power, no policy that starts after  $R$  can finish before  $S$ . Therefore, any policy that starts after  $R$  cannot be optimal.

**Claim 2:** Every optimal transmission policy ends transmission at or before time  $S$ .

This follows immediately from the fact that the policy is optimal.

Let  $Q$  occur at time  $s_k$ . Suppose we have an optimal transmission policy that does not pass through point  $Q$ . Therefore, at  $s_k$  the transmission curve lies under the energy arrival curve. The transmission power at time  $s_k^+$  has to be more than  $\tilde{p}$ . If it isn't then this policy shall not intersect the energy arrival curve at any epoch till  $R$  and because of lemma (siddharth add the energy completion lemma), it shall not be able to change its power of transmission till  $R$ . Therefore, it ends after  $R$  and is not optimal.

If the policy does have a power higher than  $\tilde{p}$  at  $s_k^+$ , then it must have the same power of transmission right from the beginning of the transmission. This again follows from lemma (siddharth). Therefore, it shall begin transmission after  $R$ , which violates claim 1.

Therefore every optimal transmission curve passes through  $Q$   $\square$

Now that we have a starting point, we shall proceed to improve upon this policy as follows. Let  $s_{lt}$  and  $s_{rt}$  be the first and last energy arrival epochs where the power of transmission changes. As it is evident, initially both  $s_{lt}$  and  $s_{rt}$  are set to point  $Q$ . Now, will iteratively try to improve on the transmission curve to the left and the right of point  $Q$  respectively. Keeping in mind Lemma 4, we solve

$$xg\left(\frac{E^T(s_{lt})}{x}\right) + (T-x)g\left(\frac{E^T(n) - E^T(s_{rt})}{T-x}\right) = B \quad (8)$$

Notice that  $s_{lt} - x$  and  $s_{rt} + T - x$  will give us the start and end points of this iteration. Now, we transmit at power  $p_{lt} = \frac{E^T(s_{lt})}{x}$  prior to  $s_{lt}$  and  $p_{rt} = \frac{E^T(n) - E^T(s_{rt})}{T-x}$

after  $s_{rt}$ . If this policy is feasible, then we check for the following. First, we make sure that at the end point, all the available energy is used up, because of it isn't, we can transmit at a higher power and finish earlier. If all the energy is not used up, we repeat our iteration, setting  $n$  to  $n + 1$ .

Also, we make sure that our start point, is not before the origin. If the start point is negative, we set it to origin and continue our iterations accordingly.

If the policy is unfeasible on the right, we select the corner point  $s_i$  with the minimum slope from  $s_{rt}$  and transmit with power  $\frac{E^T(s_i) - E^T(s_{rt})}{s_i - s_{rt}}$  between the two points and set  $s'_{rt} = s_{rt}$  and  $s_{rt} = s_i$  and repeat the process.

If the policy is unfeasible on the left, we follow a similar process, by selecting the corner point  $s_j$  with the *maximum* slope from point  $s_{rt}$ .

At the end of every iteration we reset our  $T$  to  $T - (s_{rt} - s'_{rt}) - (s'_{lt} = s_{lt})$  and we subtract the number of bits transferred between  $s_{lt}$  and  $s_{rt}$  from  $B$

**Theorem 1.** *Let a transmission policy to solve Problem 1 is given by power vector  $\mathbf{p} = [p_1, p_2, \dots, p_N]$  and the start time of transmission for the corresponding power be given by vector  $\mathbf{s} = [s_1, s_2, \dots, s_N]$ , for some  $N \in \mathbb{N}$ . The transmission ends at time  $s_{N+1}$ . Now such a policy is optimal if and only if it satisfies the following structure.*

$$\sum_{i=1}^{i=N} g(p_i)(s_{i+1} - s_i) = B_0 \quad (9)$$

$$s_{N+1} - s_1 = T_0^R, \text{ if } s_1 > 0; \text{ or } s_{N+1} \leq T_0^R, \text{ if } s_1 = 0 \quad (10)$$

$$s_{n+1} = \arg \min_{t_i: s_n < t_i \leq s_{N+1}} CP(t_i, s_n) \text{ and } p_n = CP(s_{n+1}, s_n) \quad (11)$$

$$\exists s_j : s_j \in \mathbf{s} \text{ and } s_j = Q \quad (12)$$

for  $n = \{1, 2, \dots, N - 1\}$ .

*Proof.* First we show that the optimal policy should have the given structure. The proof follows the method of contradiction. We establish structure (11) at first. Assume an optimal policy that satisfies Lemmas 1 to 6 and does not satisfy the given structure (11). Specifically, say the policy be same as structure (11) from time  $s_1$  to  $s_n$ , for some  $n \in \{1, 2, \dots, N\}$  but transmission power right after  $s_n$  is not the minimum feasible constant power, i.e.

$$p_n > CP(s', s_n) \text{ where } s' = \arg \min_{t_i: s_n < t_i \leq s_{N+1}} CP(t_i, s_n) \quad (13)$$

*Case1:* if  $s' > s_{n+1}$  for some  $n \in \{1, 2, \dots, N - 1\}$ , then the energy that is used for transmission from time  $s'$  to  $s_{n+1}$  is given by  $E^T(s') - E^T(s_{n+1})$  in terms of Lemma 3. We claim that there must be a time duration from  $s'$  to  $s_{n+1}$  for which the transmission power is less than  $p_n$ . If this claim is true then we violate lemma 1 and hence contradict the assumption. Coming to the claim, if it does not hold i.e.

TABLE I  
OFFLINE ALGORITHM FOR FINDING OPTIMAL TRANSMISSION  
POLICY, GIVEN TRANSMISSION DURATION

Input: Bits to transmit $B_0$ , transmission duration $T_0$ .
<b>Initialize:</b> $B = B_0, T = T_0, n=0$ <b>While</b> $Tg(\sum_{j=0}^n E_j) < B_0$ $n = n + 1$ Solve for $\tilde{T} : \tilde{T}g(\frac{\sum_{j=0}^n E_j}{\tilde{T}})$ $p_0 = \frac{\sum_{j=0}^n E_j}{\tilde{T}}$ <b>for</b> $i = 0, 1, 2, \dots, n$ <b>do</b> flag=1 <b>for</b> $j = i, i + 1, i + 2, \dots, n$ <b>do</b> <b>if</b> $p_0 s_j + (\sum_{k=0}^i E_k - p_0 s_i) > \sum_{k=0}^j E_k$ $t = 0$ break <b>end if</b> <b>end for</b> <b>if</b> flag = 1 $s_{lt} = s_{rt} = s_i$ break <b>end if</b> <b>end for</b> <b>while</b> $B > 0$ <b>Solve:</b> $xg(\frac{E^T(s_{lt})}{x}) + (T-x)g(\frac{E^T(n) - E^T(s_{rt})}{T-x}) = B$ $p_{lt} = \frac{E^T(s_{lt})}{x}$ $p_{rt} = \frac{E^T(n) - E^T(s_{rt})}{T-x}$ $S_{lt} = \{s_0, s_1, s_2, \dots, s_{lt}\}$ <b>modify</b> $t = 0$ <b>For</b> $s_i \in S_{lt} \setminus s_{lt}$ <b>If</b> $p_{lt} s_i + (E^T(s_{lt}) - p_{lt} s_{lt}) > E^T(s_{i-1})$ $s'_{lt} = s_{lt}$ $s_{lt} = \max_{j \in (S_{lt} \setminus s_{lt})} (\frac{E^T(s_{lt}) - E^T(j)}{s_{lt} - j})$ $t = 1$ break <b>end if</b> <b>End For</b> <b>if</b> $t = 0$ $s_{lt} = \max(s_{lt} - \frac{E^T(s_{lt})}{p_{lt}}, 0)$ <b>end if</b> $S_{rt} = \{s_{rt}, s_{rt} + 1, s_{rt} + 2, \dots, s_{n-1}\}$ <b>modify</b> $u = 0$ <b>For</b> $s_i \in S_{rt}$ <b>If</b> $p_{rt} s_i + (E^T(s_{rt}) - p_{rt} s_{rt}) > E^T(s_i)$ $s'_{rt} = s_{rt}$ $s_{rt} = \min_{j \in (S_{rt})} (\frac{E^T(j) - E^T(s_{lt})}{j - s_{rt}})$ $u = 1$ break <b>end if</b> <b>End For</b> <b>if</b> $u = 0$ $s_{rt} = s_{rt} + \frac{E^T(s_n) - E^T(s_{rt})}{p_{rt}}$ <b>If</b> $s_{rt} > s_n$ <b>While</b> $s_n < s_{rt}$ $n = n + 1$ <b>end while</b> $s_{rt} = s'_{rt}$ <b>end for</b> <b>end if</b> $T = T - (s_{rt} - s'_{lt}) - (s'_{lt} - s_{lt})$ $B = B - (s'_{lt} - s_{lt})g(\frac{E^T(s'_{lt}) - E^T(s_{lt})}{s'_{lt} - s_{lt}}) - (s_{rt} - s'_{rt})g(\frac{E^T(s_{rt}) - E^T(s'_{rt})}{s_{rt} - s'_{rt}})$ <b>end while</b>

transmission power at all points of time between  $s_{n+1}$  to  $s'$  is more than  $p_n$ , then the total energy used during this period can be lower bounded by  $p_n(s' - s_{n+1})$ . Next, we show that this energy is more than what is harvested during  $s_{n+1}$  to  $s'$  making it infeasible. As transmitting with  $CP(s', s_n)$  power is a feasible between time  $s'$  and  $s_n$ ,  $CP(s', s_n)(s_{n+1} - s_n) \leq E^T(s_{n+1}^-) - E^T(s_n^-)$ . So,

$$E^T(s' -) - E^T(s_{n+1}^-) \leq E^T(s' -) - E^T(s_{n+1}^-) \quad (14)$$

$$+ (E^T(s_{n+1}^-) - E^T(s_n^-) - CP(s', s_n)(s_{n+1} - s_n)) \quad (15)$$

$$= CP(s', s_n)(s' - s_{n+1}) \stackrel{(13)}{<} p_n(s' - s_{n+1}). \quad (16)$$

*Case2:* if  $s' < s_{n+1}$ , the transmission policy uses  $p_n(s' - s_n)$  energy from time  $s_n$  to  $s'$ . But  $E^T(s' -) - E^T(s_n^-) = CP(s', s_n)(s' - s_n) \stackrel{(13)}{<} p_n(s' - s_n)$ . So, energy used  $p_n(s' - s_n)$  is more than what is harvested making this case infeasible.

Note that equation (9) must be followed by the optimal policy as it is a constraint to the optimization problem 1. We move on to prove structure (10). If  $s_1 = 0$  then  $s_{N+1}$  has to be less than or equal to  $T_0^R$  due to constraint 1. When  $s_1 > 0$ , assume that  $s_{N+1} - s_1 < T_0^R$ . Let  $A$  be the first energy arrival such that  $E(A) = E^T(A^-)$ . Similarly, let  $B$  be the last energy arrival at which  $E(B) = E^T(B^-)$ . Now consider the policy where power vector is given by  $\{p_1 + dp_1, p_1, p_2, \dots, p_{N-1}, p_N, p_N + dp_N\}$  and the corresponding time vector be given by  $\{s_1 + ds_1, A, s_2, \dots, s_N, B\}$  with the transmission ending at time  $s_{N+1} + ds_{N+1}$ , where  $dp_N > 0$  and  $dp_1, ds_1, ds_{N+1} < 0$ . This policy finishes before the previous policy and hence contradicts its optimality only if we are able to show that it is feasible. Such a policy would be feasible with respect to the energy constraint keeping in mind that transmission with power  $p_N$  from time  $A$  to  $s_{N+1}$  was previously never on the boundary of feasibility constraint 1 and similarly for power  $p_1$ . Now we see its feasibility with respect to constraint 2. It can be seen that

$$p_1 ds_1 = (A - s_1) dp_1, p_N ds_{N+1} = -(s_{N+1} - B) dp_N \quad (17)$$

The number of bits transmitted from time  $s_1$  to  $A$  is given by  $B_1 = g(p_1)(A - s_1)$  and similarly, from  $B$  to  $s_{N+1}$  be given by  $B_N = g(p_N)(s_{N+1} - B)$  under the previous policy. Noting that the number of bits sent in the two policies remains same we get,

$$\begin{aligned} dB_1 + dB_N &= 0 \\ \Rightarrow g'(p_1)(A - s_1) dp_1 - g(p_1) ds_1 \\ + g'(p_N)(s_{N+1} - B) dp_N + g(p_N) ds_{N+1} &= 0 \\ \Rightarrow \frac{-ds_1}{-ds_{N+1}} &= \frac{(g'(p_N)p_N - g(p_N))}{(g'(p_1)p_1 - g(p_1))} \end{aligned}$$

We can verify that  $g'(p)p - g(p)$  is an increasing function of  $p$  for  $p > 0$  due to concavity of  $g(p)$ . Hence  $(-ds_1) \geq (-ds_{N+1})$ . The time for which transmission is on in this policy is  $s_{N+1} - s_1 + ds_{N+1} - ds_1 \geq s_{N+1} - s_1$ . As  $s_{N+1} - s_1 < T_0^R$ , we can choose arbitrarily small negative value

of  $ds_{N+1}$  so that  $s_{N+1} - s_1 \leq s_{N+1} - s_1 + ds_{N+1} - ds_1 < T_0^R$  holds. So the new policy finishes earlier than the previous policy contradicting the optimality. This concludes that  $s_{N+1} - s_1 = T_0^R$  (if  $s_1 \neq 0$ ) in optimal policy.

Next, we prove the sufficiency of the structure. Let the power vector  $\mathbf{p}$  and time vector  $\mathbf{s}$  follow the structure. We need to show that this policy is optimal. Assume that there exists another policy given by  $\{\mathbf{p}', \mathbf{s}'\}$  which abides by the Lemma 1-5 and is optimal, but does not follow the structure. We argue next that such a policy is not feasible and hence contradict its optimality.

*Case1:* If  $s'_1 > s_1 \geq 0$  then by Lemmma  $s'_{N'+1} > s_{N+1}$ . So policy  $\{\mathbf{p}', \mathbf{s}'\}$  cannot be optimal.

*Case2:* Suppose  $s'_1 = s_1$ . Let  $s'_i$  be the first epoch for which  $p'_i \neq p_i$  for some  $i \in \{1, 2, \dots, N\}$ . By (11),  $p'_i > p_i$ . If  $s'_{N'+1} > s_{i+1}$ , then the amount of energy used by policy  $\{\mathbf{p}', \mathbf{s}'\}$  in interval  $[s_i, s_{i+1}]$  is more than policy  $\{\mathbf{p}, \mathbf{s}\}$ . But by Lemma,  $\{\mathbf{p}, \mathbf{s}\}$  uses all energy available by  $s_{i+1}$ . So policy  $\{\mathbf{p}', \mathbf{s}'\}$  is not feasible with respect to the energy constraint. If  $s'_{N'+1} \leq s_{i+1}$ , then it can be easily verified by property P4 that policy  $\{\mathbf{p}', \mathbf{s}'\}$  transmits strictly less number of bits in interval  $[s_i, s_{N'+1}]$  than the other policy in interval  $[s_i, s_{i+1}]$ . Both policies being same till  $s_i$ , we conclude that policy  $\{\mathbf{p}', \mathbf{s}'\}$  transmits less than  $B_0$  bits and therefore it is not optimal.

*Case3:* This case argues the infeasibility when  $s'_1 < s_1$ . Unlike other cases this case is more rigorous. The idea of the proof is to show that if we start our transmission early and finish earlier than policy  $\{\mathbf{p}, \mathbf{s}\}$ , we always take more transmission time which is going to violate the time constraint. First, we establish that the policy  $\{\mathbf{p}', \mathbf{s}'\}$  must be same as policy  $\{\mathbf{p}, \mathbf{s}\}$  from epoch  $s_2$  to an epoch  $s_j$  such that  $s_j = \max_{s_i < s'_{N'+1}} s_i$ . Let  $s'_k = \max_{s'_i < s_2} s'_i$  and transmission continues with constant power  $p'_k$  till  $s'_l$ . If  $s'_l > s_2$ , then transmission with a constant power  $\frac{E^T(s'_l^-)}{(s'_l - s_1)}$

from  $s_1$  to  $s'_l$  is feasible and  $\frac{E^T(s'_l^-)}{(s'_l - s_1)} < \frac{E^T(s_2^-)}{(s_2 - s_1)} = p_1$ .

This contradicts 11. So,  $s'_l = s_2$ . Now, if  $p'_l > p_2$  and  $s_j > s_3$ , then the amount of energy used by policy  $\{\mathbf{p}', \mathbf{s}'\}$  between  $s_2$  and  $s_3$  is more than what is harvested. So  $p'_l = p_2$  ( $s_{l+1} = s_3$ ) and similarly we can show that  $p_{l+1} = p_3$  ( $s_{l+2} = s_4$ ..) till epoch  $s_j$ . By Lemma and (12) we can be sure that there exists atleast one epoch  $s_i$  which belongs to  $\mathbf{s}$  as well as  $\mathbf{s}'$  i.e.  $j \geq 2$ .

Now, consider the following process which creates child feasible policies from policy  $\{\mathbf{p}', \mathbf{s}'\}$ . We define two pivots  $pv_1$  and  $pv_2$ . Initially we set  $pv_1 = s'_2$  and  $pv_2 = s'_{N'}$ . The transmission power right before  $pv_1$  is  $u$  ( $u = p'_1$  initially) and right after  $pv_2$  is  $v$  ( $v = p'_{N'}$  initially). Keeping the policy same from  $pv_1$  to  $pv_2$  we increase  $u$  by a small amount to  $u + du$  and decrease  $v$  by a small amount to  $v - dv$  so that the number of bits transmitted (i.e.  $B_0$ ) remains same under this transformation. Let  $s'_1$  change to  $s'_1 + x$  and  $s'_{N'+1}$  change to  $s'_{N'+1} + y$  for some  $x, y > 0$ . Following the argument provided while proving the necessary statement

of this Theorem, we can conclude that  $x > y$  and hence. We denote such a policy by vectors  $\{\mathbf{p}'(\mathbf{x}), \mathbf{s}'(\mathbf{x})\}$ . Note that  $(s'_{N'(x)+1}(x) - s'_1(x)) < (s'_{N'+1} - s'_1)$ . We continue increasing  $x$  till either  $u = p_2$  (in which case we change  $pv_1 = s_2$ ) or  $v = p'_{N'-1}$  (where we change  $pv_2 = s'_{N'-1}$ ) or  $s'_{N'(x)+1}(x)$  hits a epoch, say  $t_j$  ( $pv_2 = t_j$ ,  $v \rightarrow \infty$  in this case). After this, we again start increasing  $x$  with changed definitions. We continue this process till  $x = s_1 - s'_1$  or  $u$  becomes equal to  $v$ . Note that the former stopping criteria will be met at a smaller  $x$  than the later one since policy  $\{\mathbf{p}'(\mathbf{x}), \mathbf{s}'(\mathbf{x})\}$  shares at least one epoch with policy  $\{\mathbf{p}, \mathbf{s}\}$  by arguments of previous paragraph. By maintaining these rules we ensure that policy  $\{\mathbf{p}'(\mathbf{x}), \mathbf{s}'(\mathbf{x})\}$  abides by Lemma 1-6 and is feasible with energy constraint. Since  $s'_{N'(x)+1}(x) - s'_1(x)$  is decreasing with  $x$ , the policy is also feasible with time constraint. As this is a continuous function on  $x$ , at  $x = s_1 - s'_1$  we reach a policy such that  $s'_1(x) = s_1$ . At  $x = s_1 - s'_1$ , if  $s'_{N'(x)+1}(x) \geq s_{N+1}$  then  $s'_{N'+1} - s'_1 > s'_{N'(x)+1}(x) - s'_1(x) \geq T_0^R$  and policy  $\{\mathbf{p}', \mathbf{s}'\}$  is infeasible with time constraint. If  $s'_{N'(x)+1}(x) < s_{N+1}$  then we can follow the arguments in *Case2* to show that policy  $\{\mathbf{p}'(\mathbf{x}), \mathbf{s}'(\mathbf{x})\}$  is infeasible, which in turn accounts for the infeasibility of policy  $\{\mathbf{p}, \mathbf{s}\}$ .  $\square$

**Theorem 2.** *The policy described by the above algorithm is optimal.*

*Proof.* To prove that our policy is optimal, we have to show that it is of the structure described in the previous theorem. That is,  $s_{stop} - s_0 \leq T$  and

### Things to write

First we prove that the power allocations in this algorithm are in accordance with **insert**

In the first part of the algorithm, we select the maximum slope at a corner point before  $s_{left}$  and after  $s'_{start}$  and ending at  $s_{left}$ .

First we try to show that this is also the maximum such slope between any corner point before  $s_{left}$  and after  $s_{start}$  where  $s_{start}$  is the final start point.

Suppose it is not. Then we have a corner point between  $s_{start}$  and  $s'_{start}$  such that we can transmit with a power higher than our maximum between these two points. But, if this were possible, then  $p_{left}$  itself would have been feasible, which is not the case. (See figure).

Now we seek to show that this procedure of selecting maximum slopes going 'backwards' also gives us the minimum slopes going 'forwards', as described in **insert**.

We shall show this by contradiction. Let  $s_i$ ,  $s_j$  and  $s_k$  be three consecutive corner points where the power of transmission increases, as per our allocation. Now suppose, it is possible to transmit with a lower power between  $s_i$  and some  $s'_j$ . Then the power of transmission between  $s_j$  and  $s_k$  is not the maximum power since we could transmit at a higher power from  $s'_j$  and  $s_k$ . Which is a contradiction as this is not consistent with our allocation algorithm.

Therefore, the allocation policy before point  $Q$  is consistent with **insert**. (See figure) We can prove similarly for the

TABLE II  
OFFLINE ALGORITHM FOR ENERGY ARRIVAL IN RECEIVER AFTER TIME  $T=0$

<b>Input:</b> Bits to transmit $B_0$ ; $E_i^T$ , $E_i^R$ or $T_i^R$ for all $i$
<b>Initialize:</b> $u_{min} = \min u_i$ s.t. $T^R(u_i)g\left(\frac{E^T(u_i)}{T^R(u_i)}\right) \geq B_0$
for all $i$ , $O_i = \min t$ s.t. receiver is on from $t$ to $t + T^R(r_i)$ $prcv(x - t) \leq E^R(x)$ , $t \leq x \leq (t + T^R(r_i))$
<b>if</b> $u_{min} = r_j$ for some $j$ <b>then</b> $O_{iter} = O_j$ , $T = T^R(r_j)$
<b>else</b> Let $u_{min} = t_j$ for some $j$ $u_{j'} = \min r_i$ s.t. $T^R(r_i)g\left(\frac{E^T(t_j)}{T^R(r_i)}\right) \geq B_0$ $O_{iter} = O_{j'}$ , $T = T^R(r_{j'})$
<b>end if</b> <b>while</b> $O_{iter} \leq O_{final}$ Apply <i>Algo1</i> ( $O_{iter}, T$ ) $\rightarrow T_{opt}$ $r_k = \max_i r_i$ s.t. $r_i < T_{opt}$ <b>if</b> $O_{final} > O_k$ $O_{final} = O_k$
<b>end if</b> $iter = iter + 1$
<b>end while</b>

TABLE III  
ON-LINE ALGORITHM FOR ENERGY HARVESTING TRANSMITTER AND RECEIVER

<b>Input:</b> Bits to transmit $B_0$ ; $E_i^T$ , $E_i^R$ for $t_i, r_i < t$ where $t$ is the present time instant which increments parallelly with this algorithm.
<b>Initialize:</b> $T_{start} = \min t$ s.t. $T^R(t)g\left(\frac{E^T(t)}{T^R(t)}\right) \geq B_0$ $B_{rem} = B_0$ , $E_{rem} = E^T(T_{start})$ , $T = T_{start}$
<b>do</b> Transmit at power $p$ such that $\frac{E_{rem}}{p}g(p) = B_{rem}$ <b>if</b> $t = t_i$ for some $i$ $B_{rem} = B_{rem} - (t - \max(t_{i-1}, T_{start}))g(p)$ $E_{rem} = E_{rem} + E_i^T - (t - \max(t_{i-1}, T_{start}))p$ $T = t_i$
<b>end if</b> <b>while</b> $t \leq \left(T + \frac{E_{rem}}{p}\right)$

powers after point  $Q$ .  $\square$

### III. ONLINE ALGORITHM FOR ENERGY HARVESTING TRANSMITTER AND RECEIVER

*Notation:* The starting time of the transmission is denoted by  $T_{start}$  and the present time is denoted by  $t$ . The number of bits and energy remaining to transmit at any Transmitter energy epoch is represented by  $B_{rem}$  and  $E_{rem}$  receptively. The on-line algorithm that we propose is presented in table III.

**Lemma 6.** *The transmit power in the online algorithm is non-decreasing with time after  $T_{start}$ .*

*Proof.* From the definition of the algorithm the transmit power only changes when there is a new energy arrival after  $T_{start}$ . So, if there is no energy arrival the transmit power is same i.e. non decreasing. Suppose there are energy arrivals after  $T_{start}$  and for any energy arrival(say  $E_{new}$ ) the power changes from  $p_i$  to  $p_{i+1}$ . Let the energy remaining at start of transmission with power  $p_i$  be  $E_{rem}$  and bits remaining be  $B_{rem}$ . The transmission continues for time  $l_i$  with power  $p_i$ . Now, we need to show that  $p_i < p_{i+1}$ . From the algorithm we get the following equations.

$$\frac{g(p_i)}{p_i} = \frac{B_{rem}}{E_{rem}} \quad (18)$$

$$\frac{g(p_{i+1})}{p_{i+1}} = \frac{B_{rem} - g(p_i)l_i}{E_{rem} + E_{new} - p_i l_i} \quad (19)$$

Substituting  $g(p_i)$  from (18) into RHS of (19) we can see that  $\frac{g(p_i)}{p_i} > \frac{g(p_{i+1})}{p_{i+1}}$ . Hence by property P4 we know that  $p_i < p_{i+1}$ .  $\square$

**Theorem 3.** *The competitive ratio of the on-line algorithm presented in Table III is 2.*

*Proof.* This is equivalent to saying that the time taken by the on-line algorithm can at max be twice the time taken by optimal off-line algorithm. Let the time taken by the off-line version be  $T_{off}$  and the on-line version be  $T_{online}$ .

We now show that

$$T_{off} > T_{start} \quad (20)$$

This proof follows from contradiction. Let  $T_{off} \leq T_{start}$  and the optimal off-line algorithm transmits with energy in sequence  $\{e_1, e_2, \dots, e_k\}$  for time  $\{l_1, l_2, \dots, l_k\}$ . Now the number of bits transmitted can be bounded as

**think of a better way to write the proof \*\*\*\*\***

$$\sum_{i=1}^{i=k} g\left(\frac{e_i}{l_i}\right) l_i \leq g\left(\frac{\sum_{i=1}^{i=k} e_i}{\sum_{j=1}^{j=k} l_j}\right) \sum_{j=1}^{j=k} l_j \quad (21)$$

$$\stackrel{P3, P4}{\leq} g\left(\frac{E^T(T_{off})}{T^R(T_{off})}\right) T^R(T_{off}) \quad (22)$$

$$\stackrel{P4}{\leq} \lim_{\epsilon \rightarrow 0} g\left(\frac{E^T(T_{start} - \epsilon)}{T^R(T_{start} - \epsilon)}\right) T^R(T_{start} - \epsilon) \quad (23)$$

$$+ g\left(\frac{E^T(T_{start}) - E^T(T_{start} - \epsilon)}{T^R(T_{start}) - T^R(T_{start} - \epsilon)}\right) (T^R(T_{start}) - T^R(T_{start} - \epsilon)) \quad (24)$$

where (24) follows from definition of  $T_{start}$ . But the off-line algorithm should transmit all  $B_0$  bits and hence this concludes that  $T_{off} \geq T_{start}$ . \*\*\*\*\*

Next we estimate the maximum time taken to complete transmission after  $T_{start}$  in the on-line algorithm. Let the on-line version transmits at power sequence  $\{p_1, p_2, \dots, p_k\}$  for time  $\{l_1, l_2, \dots, l_k\}$ . Now,

$$\sum_{i=1}^{i=k} l_i g(p_i) = B_0 \xrightarrow{L6} \sum_{i=1}^{i=k} l_i \leq \frac{B_0}{g(p_1)} \quad (25)$$

Now, from the definition of  $p_1$ ,  $\frac{E^T(T_{start})}{p_1} g(p_1) = B_0 \leq T^R(T_{start}) g\left(\frac{E^T(T_{start})}{T^R(T_{start})}\right)$ . Hence by property P4,  $\frac{E^T(T_{start})}{T^R(T_{start})} \leq p_1$ . So, the RHS of (25) can be reduced to

$$\frac{B_0}{g(p_1)} = \frac{E^T(T_{start})}{p_1} \leq T^R(T_{start}) \leq T_{start} \quad (26)$$

$$(27)$$

where the last inequality followed from the definition of  $T^R(T_{start})$ . So we can calculate the competitive ratio as

$$r = \max \frac{T_{online}}{T_{off}} = \frac{T_{start} + \sum_{i=1}^{i=k} l_i}{T_{off}} \leq \frac{2T_{start}}{T_{off}} \stackrel{(20)}{<} 2$$

$\square$