

Galois 理论 (续)

一个三次方程 $x^3 + bx + c = 0$ 的解为

$$A+B, -\frac{(A+B)}{2} + \frac{(A-B)\sqrt{-3}}{2}, -\frac{(A+B)}{2} - \frac{(A-B)\sqrt{-3}}{2}$$

$$\text{其中 } A = \sqrt[3]{-\frac{c}{2} + \sqrt{\frac{b^3}{27} + \frac{c^2}{4}}}, B = \sqrt[3]{-\frac{c}{2} - \sqrt{\frac{b^3}{27} + \frac{c^2}{4}}}$$

解公式只涉及加、减、乘、除和开根号等运算，用域论语言，即：

定义 \mathbb{F} 域， $f(x) \in \mathbb{F}[x]$ ， $f(x)$ 根式可解 (在 \mathbb{F} 上) (solvable by radicals over \mathbb{F}) 若 $f(x)$ 在 \mathbb{F} 的某扩域 $\mathbb{F}(a_1, \dots, a_n)$ 中分裂，其中 a_1, \dots, a_n 满足：存在正整数 k_1, \dots, k_n ， $a_1^{k_1} \in \mathbb{F}$ ，

$$a_2^{k_2} \in \mathbb{F}(a_1), a_3^{k_3} \in \mathbb{F}(a_1, a_2), \dots, a_n^{k_n} \in \mathbb{F}(a_1, \dots, a_{n-1}).$$

定义展示 $f(x) = 0$ 的零点可表达为 a_1, \dots, a_n 的多项式，而 a_1, \dots, a_n 可表达成 \mathbb{F} 中元素的加、减、乘、除和开根号。

例 $\mathbb{F} = \mathbb{Q}$ ， $f(x) = x^8 - 3$ 零点： $\sqrt[8]{3} \omega^k \quad k=0, 1, \dots, 7$

$$\omega = \frac{\sqrt{2}}{2} + i \frac{\sqrt{2}}{2} \quad a_1 = \sqrt[8]{3}, a_2 = \sqrt{-1} \sqrt[8]{3}, a_3 = \sqrt[8]{3} \left(\frac{\sqrt{2}}{2} + \frac{\sqrt{-1}\sqrt{2}}{2} \right)$$

$$a_4 = \sqrt[8]{3} \left(\frac{\sqrt{2}}{2} - \frac{\sqrt{-1}\sqrt{2}}{2} \right)$$

定理 设 $\text{char } \mathbb{F} = 0$ ， $a \in \mathbb{F}$ ， $n > 0 \in \mathbb{N}$ ， \mathbb{F} 是 $x^n - a$ 在 \mathbb{F} 上

分裂域，则 $\text{Gal}(\mathbb{F}/\mathbb{F})$ 可解。



证明框架: $x^n - a$ 的零点 (在 \mathbb{E} 中): $b = \sqrt[n]{a}, \omega b, \dots, \omega^{n-1}b$

$$\forall \tau \in \text{Gal}(\mathbb{E}/\mathbb{F}) \quad \tau(b) = \omega^i b \quad \exists i. \quad \tau(\omega) = \omega^j \quad \exists j$$

$\mathbb{F}(\omega)$ 是 \mathbb{F} 的正规扩张 $\Rightarrow \text{Gal}(\mathbb{E}/\mathbb{F}(\omega)) \triangleleft \text{Gal}(\mathbb{E}/\mathbb{F})$

检查 $\text{Gal}(\mathbb{F}(\omega)/\mathbb{F}) \simeq \text{Gal}(\mathbb{E}/\mathbb{F}) / \text{Gal}(\mathbb{E}/\mathbb{F}(\omega))$ 是 Abelian 的.

$\text{Gal}(\mathbb{E}/\mathbb{F}(\omega))$ 是 Abelian 的.

定理 (Galois) $\text{char } \mathbb{F} = 0, f(x) \in \mathbb{F}[x], f(x)$ 在 $\mathbb{F}(a_1, \dots, a_t)$ 中分裂, 其中 $a_1^{n_1} \in \mathbb{F}, a_i^{n_i} \in \mathbb{F}(a_1, \dots, a_{i-1}),$ 令 $\mathbb{E} \subseteq \mathbb{F}(a_1, \dots, a_t)$ 是 $f(x)$ 在 \mathbb{F} 上分裂域, 则 $\text{Gal}(\mathbb{E}/\mathbb{F})$ 可解.

证明框架: 关于 t 归纳. $t=1 \quad \mathbb{F} \subseteq \mathbb{E} \subseteq \mathbb{F}(a_1),$ 令 \mathbb{L} 是 $x^{n_1} - a_1$ 在 \mathbb{F} 上分裂域 $\mathbb{F} \subseteq \mathbb{E} \subseteq \mathbb{L}$ (\mathbb{L} 是 \mathbb{E} 的正规扩张) (令 $a = a_1^{n_1}$)

$$\text{Gal}(\mathbb{E}/\mathbb{F}) \simeq \text{Gal}(\mathbb{L}/\mathbb{F}) / \text{Gal}(\mathbb{L}/\mathbb{E}). \quad \text{由前一定理,}$$

$\text{Gal}(\mathbb{L}/\mathbb{F})$ 可解 $\Rightarrow \text{Gal}(\mathbb{E}/\mathbb{F})$ 可解.

设 $t > 1,$ \mathbb{L} 是 $x^{n_1} - a_1$ 在 \mathbb{E} 上分裂域. $\Rightarrow \mathbb{L}$ 是 $f(x)(x^{n_1} - a_1)$ 在 \mathbb{F} 上分裂域, 令 K 是 $x^{n_1} - a_1$ 在 \mathbb{F} 上分裂域 $\Rightarrow \mathbb{L}$ 是 $f(x)$ 在 K 上分裂域

由假设 $\text{Gal}(\mathbb{L}/K)$ 可解 $\Rightarrow \text{Gal}(\mathbb{L}/\mathbb{F})$ 可解 $\Rightarrow \text{Gal}(\mathbb{E}/\mathbb{F})$ 可解.

注: 定理的逆命题也对.



定理 设 $f(x) \in \mathbb{Q}[x]$ 是 p 次不可约多项式, p 是素数, 且 $f(x)$ 的全部根中除了两个, 其余均属于 \mathbb{R} . 令 \mathbb{E} 是 $f(x)$ 在 \mathbb{Q} 上 Galois 群, 则 $\text{Gal}(\mathbb{E}/\mathbb{F}) \cong S_p$. $\mathbb{F} = \mathbb{Q}$

证明框架: 令 $\mathbb{E} = \mathbb{Q}(r_1, \dots, r_p) \subseteq \mathbb{C}$, 则 $\text{Gal}(\mathbb{E}/\mathbb{F}) \hookrightarrow S_p$
 $p \mid [\mathbb{E}:\mathbb{Q}] = |\text{Gal}(\mathbb{E}/\mathbb{F})| \Rightarrow \text{Gal}(\mathbb{E}/\mathbb{F})$ 包含一个 p -循环.

$f(x)$ 有两个非实根, 必共轭 $\Rightarrow \text{Gal}(\mathbb{E}/\mathbb{F})$ 包含一个对换. 使用:
 S_n 是由 $(12), (12 \dots n)$ 生成的

例 $f(x) = 3x^5 - 15x + 5$, 由 Eisenstein 判别法, 它不可约.

$$f(-2) = -61, f(-1) = 17, f(0) = 5, f(1) = -7, f(2) = 71$$

$\Rightarrow f(x)$ 在 $[-2, 2]$ 有 3 个实根, 因为 $f'(x) = 15x^4 - 15$.

这 3 个实根是单根. 若有第 4 个实根 (由罗尔定理) $\Rightarrow f'(x) = 0$ 有三个实根, 矛盾! 因此另两根 $a+bi, a-bi$.

令 $\alpha_1, \alpha_2, \dots, \alpha_5$ 是 $f(x)$ 在 \mathbb{E} 中 5 个零点 $\mathbb{E} = \mathbb{Q}(\alpha_1, \dots, \alpha_5)$

$$\text{Gal}(\mathbb{E}/\mathbb{Q}) \leq S_5 \quad [\mathbb{Q}(\alpha_1):\mathbb{Q}] = 5 \Rightarrow (12345) \in \text{Gal}(\mathbb{E}/\mathbb{Q})$$

$\mathbb{C} \rightarrow \mathbb{C}$ 共轭诱导了一个 $\sigma \in \text{Gal}(\mathbb{E}/\mathbb{Q})$ $\sigma(a+bi) = a-bi$.

$$\Rightarrow \sigma = (12) \in \text{Gal}(\mathbb{E}/\mathbb{Q}).$$

因为 S_5 不可解群 $\Rightarrow f(x)$ 不能根式求解 (在 \mathbb{Q} 上).

(一些证明细节见下页)



■ Theorem 32.2 Condition for $\text{Gal}(E/F)$ to be Solvable

Let F be a field of characteristic 0 and let $a \in F$. If E is the splitting field of $x^n - a$ over F , then the Galois group $\text{Gal}(E/F)$ is solvable.

PROOF We first handle the case where F contains a primitive n th root of unity ω . Let b be a zero of $x^n - a$ in E . Then the zeros of $x^n - a$ are $b, \omega b, \omega^2 b, \dots, \omega^{n-1} b$, and therefore $E = F(b)$. In this case, we claim that $\text{Gal}(E/F)$ is Abelian and hence solvable. To see this, observe that any automorphism in $\text{Gal}(E/F)$ is completely determined by its action on b . Also, since b is a zero of $x^n - a$, we know that any element of $\text{Gal}(E/F)$ sends b to another zero of $x^n - a$. That is, any element of $\text{Gal}(E/F)$ takes b to $\omega^j b$ for some j . Let ϕ and σ be two elements of $\text{Gal}(E/F)$. Then, since $\omega \in F$, ϕ and σ fix ω and $\phi(b) = \omega^j b$ and $\sigma(b) = \omega^k b$ for some j and k . Thus,

$$(\sigma\phi)(b) = \sigma(\phi(b)) = \sigma(\omega^j b) = \sigma(\omega^j)\sigma(b) = \omega^j \omega^k b = \omega^{j+k} b,$$

whereas

$$(\phi\sigma)(b) = \phi(\sigma(b)) = \phi(\omega^k b) = \phi(\omega^k)\phi(b) = \omega^k \omega^j b = \omega^{k+j} b,$$

so that $\sigma\phi$ and $\phi\sigma$ agree on b and fix the elements of F . This shows that $\sigma\phi = \phi\sigma$, and therefore $\text{Gal}(E/F)$ is Abelian.

Now suppose that F does not contain a primitive n th root of unity. Let ω be a primitive n th root of unity and let b be a zero of $x^n - a$ in E . The case where $a = 0$ is trivial, so we may assume that $b \neq 0$. Since ωb is also a zero of $x^n - a$, we know that both b and ωb belong to E , and therefore $\omega = \omega b/b$ is in E as well. Thus, $F(\omega)$ is contained in E , and $F(\omega)$ is the splitting field of $x^n - 1$ over F . Analogously to the case above, for any automorphisms ϕ and σ in $\text{Gal}(F(\omega)/F)$ we have $\phi(\omega) = \omega^j$ for some j and $\sigma(\omega) = \omega^k$ for some k . Then,

$$\begin{aligned} (\sigma\phi)(\omega) &= \sigma(\phi(\omega)) = \sigma(\omega^j) = (\sigma(\omega))^j = (\omega^k)^j \\ &= (\omega^j)^k = (\phi(\omega))^k = \phi(\omega^k) = \phi(\sigma(\omega)) = (\phi\sigma)(\omega). \end{aligned}$$

Since elements of $\text{Gal}(F(\omega)/F)$ are completely determined by their action on ω , this shows that $\text{Gal}(F(\omega)/F)$ is Abelian.

Because E is the splitting field of $x^n - a$ over $F(\omega)$ and $F(\omega)$ contains a primitive n th root of unity, we know from the case we have already done that $\text{Gal}(E/F(\omega))$ is Abelian and, by Part 2 of Theorem 32.1, the series

$$\{e\} \subseteq \text{Gal}(E/F(\omega)) \subseteq \text{Gal}(E/F)$$

is a normal series. Finally, since both $\text{Gal}(E/F(\omega))$ and

$$\text{Gal}(E/F)/\text{Gal}(E/F(\omega)) \approx \text{Gal}(F(\omega)/F)$$

are Abelian, $\text{Gal}(E/F)$ is solvable. ■

To reach our main result about polynomials that are solvable by radicals, we need two important facts about solvable groups.

■ **Theorem 32.5** (Galois) Solvable by Radicals Implies Solvable Group

Let F be a field of characteristic 0 and let $f(x) \in F[x]$. Suppose that $f(x)$ splits in $F(a_1, a_2, \dots, a_t)$, where $a_1^{n_1} \in F$ and $a_i^{n_i} \in F(a_1, \dots, a_{i-1})$ for $i = 2, \dots, t$. Let E be the splitting field for $f(x)$ over F in $F(a_1, a_2, \dots, a_t)$. Then the Galois group $\text{Gal}(E/F)$ is solvable.

PROOF We use induction on t . For the case $t = 1$, we have $F \subseteq E \subseteq F(a_1)$. Let $a = a_1^{n_1}$ and let L be a splitting field of $x^{n_1} - a$ over F . Then $F \subseteq E \subseteq L$, and both E and L are splitting fields of polynomials over F . By part 2 of Theorem 32.1, $\text{Gal}(E/F) \approx \text{Gal}(L/F)/\text{Gal}(L/E)$. It follows from Theorem 32.2 that $\text{Gal}(L/F)$ is solvable, and from Theorem 32.3 we know that $\text{Gal}(L/F)/\text{Gal}(L/E)$ is solvable. Thus, $\text{Gal}(E/F)$ is solvable.

Now suppose $t > 1$. Let $a = a_1^{n_1} \in F$, let L be a splitting field of $x^{n_1} - a$ over E , and let $K \subseteq L$ be the splitting field of $x^{n_1} - a$ over F . Then L is a splitting field of $(x^{n_1} - a)f(x)$ over F , and L is a splitting field of $f(x)$ over K . Since $F(a_1) \subseteq K$, we know that $f(x)$ splits in $K(a_2, \dots, a_t)$, so the induction hypothesis implies that $\text{Gal}(L/K)$ is solvable. Also, Theorem 32.2 asserts that $\text{Gal}(K/F)$ is solvable, which, from Theorem 32.1, tells us that $\text{Gal}(L/F)/\text{Gal}(L/K)$ is solvable. Hence, Theorem 32.4 implies that $\text{Gal}(L/F)$ is solvable. So, by part 2 of Theorem 32.1 and Theorem 32.3, we know that the factor group $\text{Gal}(L/F)/\text{Gal}(L/E) \approx \text{Gal}(E/F)$ is solvable. ■

Theorem 3.2. *Let $f(T) \in \mathbf{Q}[T]$ be an irreducible polynomial of prime degree p with all but two roots in \mathbf{R} . The Galois group of $f(T)$ over \mathbf{Q} is isomorphic to S_p .*

Proof. Let $L = \mathbf{Q}(r_1, \dots, r_p)$ be the splitting field of $f(T)$ over \mathbf{Q} . The permutations of the r_i 's by $\text{Gal}(L/\mathbf{Q})$ provide an embedding $\text{Gal}(L/\mathbf{Q}) \hookrightarrow S_p$ and $\# \text{Gal}(L/\mathbf{Q})$ is divisible by p by Theorem 2.9, so $\text{Gal}(L/\mathbf{Q})$ contains an element of order p by Cauchy's theorem. In S_p , the only permutations of order p are p -cycles (Lemma 3.1). So the image of $\text{Gal}(L/\mathbf{Q})$ in S_p contains a p -cycle.

We may take L to be a subfield of \mathbf{C} , since \mathbf{C} is algebraically closed. Complex conjugation restricted to L is a member of $\text{Gal}(L/\mathbf{Q})$. Since $f(T)$ has only two non-real roots by hypothesis, complex conjugation transposes two of the roots of $f(T)$ and fixes the others. Therefore $\text{Gal}(L/\mathbf{Q})$ contains a transposition of the roots of $f(T)$. (This is the reason for the hypothesis about all but two roots being real.)

We now show the only subgroup of S_p containing a p -cycle and a transposition is S_p , so $\text{Gal}(L/\mathbf{Q}) \cong S_p$. By suitable labeling of the numbers from 1 to p , we may let 1 be a number moved by the transposition, so our subgroup contains a transposition $\tau = (1a)$. Let σ be a p -cycle in the subgroup. As a p -cycle, σ acts on $\{1, 2, \dots, p\}$ by a single orbit, so some σ^i with $1 \leq i \leq p-1$ sends 1 to a : $\sigma^i = (1a \dots)$. This is also a p -cycle, because σ^i has order p in S_p and all elements of order p in S_p are p -cycles, so writing σ^i as σ and suitably reordering the numbers $2, \dots, p$ (which replaces our subgroup by a conjugate subgroup), we may suppose our subgroup of S_p contains the particular transposition (12) and the particular p -cycle $(12 \dots p)$. For $n \geq 2$, it is a theorem in group theory that the particular transposition (12) and n -cycle $(12 \dots n)$ generate S_n , so our subgroup is S_p . \square

Consider $g(x) = 3x^5 - 15x + 5$. By Eisenstein's Criterion (Theorem 17.4), $g(x)$ is irreducible over \mathbb{Q} . Since $g(x)$ is continuous and $g(-2) = -61$ and $g(-1) = 17$, we know that $g(x)$ has a real zero between -2 and -1 . A similar analysis shows that $g(x)$ also has real zeros between 0 and 1 and between 1 and 2 .

Each of these real zeros has multiplicity 1, as can be verified by long division or by appealing to Theorem 20.6. Furthermore, $g(x)$ has no more than three real zeros, because Rolle's Theorem from calculus guarantees that between each pair of real zeros of $g(x)$ there must be a zero of $g'(x) = 15x^4 - 15$. So, for $g(x)$ to have four real zeros, $g'(x)$ would have to have three real zeros, and it does not. Thus, the other two zeros of $g(x)$ are nonreal complex numbers, say, $a + bi$ and $a - bi$. (See Exercise 65 in Chapter 15.)

Now, let's denote the five zeros of $g(x)$ by a_1, a_2, a_3, a_4, a_5 . Since any automorphism of $K = \mathbb{Q}(a_1, a_2, a_3, a_4, a_5)$ is completely determined by its action on the a 's and must permute the a 's, we know that $\text{Gal}(K/\mathbb{Q})$ is isomorphic to a subgroup of S_5 , the symmetric group on five symbols. Since a_1 is a zero of an irreducible polynomial of degree 5 over \mathbb{Q} , we know that $[\mathbb{Q}(a_1):\mathbb{Q}] = 5$, and therefore 5 divides $[K:\mathbb{Q}]$. Thus, the Fundamental Theorem of Galois Theory tells us that 5 also divides $|\text{Gal}(K/\mathbb{Q})|$. So, by Cauchy's Theorem (corollary to Theorem 24.3), we may conclude that $\text{Gal}(K/\mathbb{Q})$ has an element of order 5. Since the only elements in S_5 of order 5 are the 5-cycles, we know that $\text{Gal}(K/\mathbb{Q})$ contains a 5-cycle. The mapping from \mathbb{C} to \mathbb{C} , sending $a + bi$ to $a - bi$, is also an element of $\text{Gal}(K/\mathbb{Q})$. Since this mapping fixes the three real zeros and interchanges the two complex zeros of $g(x)$, we know that $\text{Gal}(K/\mathbb{Q})$ contains a 2-cycle. But, the only subgroup of S_5 that contains both a 5-cycle and a 2-cycle is S_5 . (See Exercise 25 in Chapter 25.) So, $\text{Gal}(K/\mathbb{Q})$ is isomorphic to S_5 . Finally, since S_5 is not solvable (see Exercise 27), we have succeeded in exhibiting a fifth-degree polynomial that is not solvable by radicals.