
CS395-T

Topics in Natural Language Processing

LECTURE 4

Sanda Harabagiu

Department of Computer Sciences

University of Texas at Austin

Tu, Th 11AM-12:20 PM

sanda@cs.utexas.edu

<http://www.cs.utexas.edu/users/sanda/cs395T.html>

<http://www.engr.smu.edu/~sanda/cs395T.html>

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Using a depth-First Strategy For Parsing

- Depth-first search expands the search space incrementally by systematically exploring one state at a time
- Which state is chosen for expansion ?
 - The most recently generated one
- What happens when this search arrives at a tree that is not consistent with the input ?
 - The search continues by returning to the most recently generated, yet unexplored tree

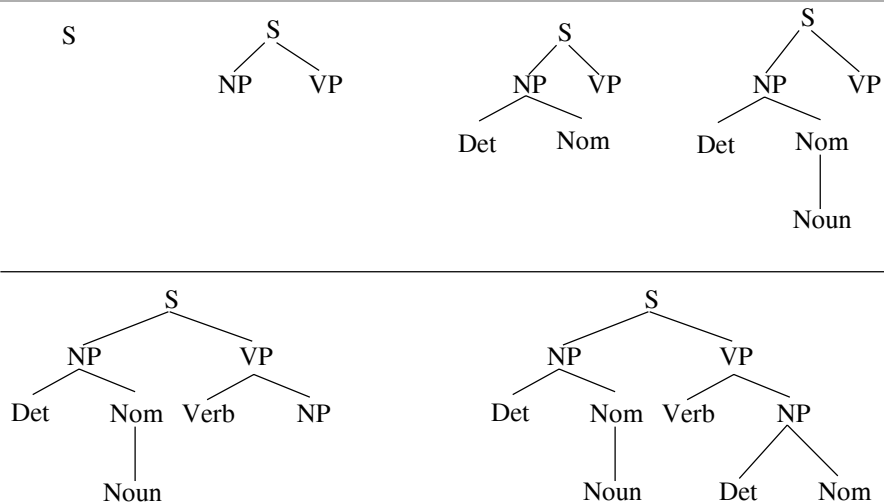
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Example Grammar

$S \rightarrow NP VP$	$Det \rightarrow that \mid this \mid a$
$S \rightarrow Aux NP VP$	$Noun \rightarrow book \mid flight \mid meal \mid money$
$S \rightarrow VP$	$Verb \rightarrow book \mid include \mid prefer$
$NP \rightarrow Det Nominal$	$Aux \rightarrow does$
$Nominal \rightarrow Noun$	
$Nominal \rightarrow Noun Nominal$	$Prep \rightarrow from \mid to \mid on$
$NP \rightarrow Proper-Noun$	$Proper-Noun \rightarrow Houston \mid TWA$
$VP \rightarrow Verb$	
$VP \rightarrow Verb NP$	$Nominal \rightarrow Nominal PP$

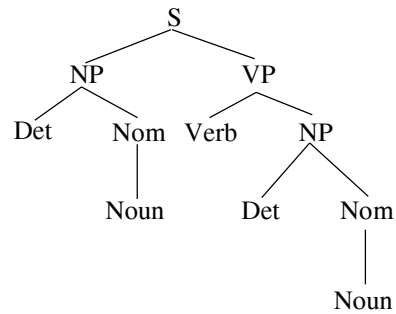
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A Top-Down Depth-First Derivation



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A Top-Down Depth-First Derivation



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Parsing With an Agenda

- Search states = (partial tree, pointer to the next word in the sentence)
- Agenda = list of search states

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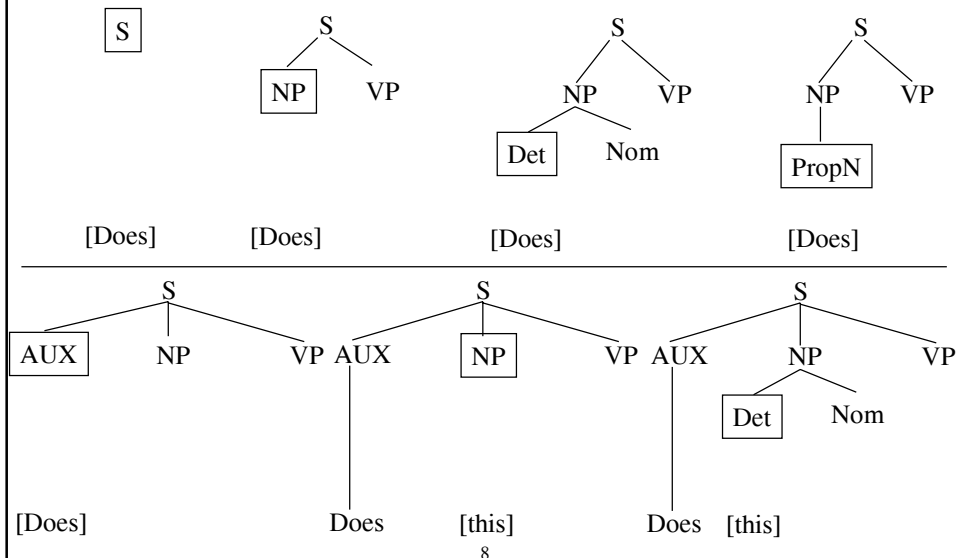
A Top-Down, Depth-First Left-to-Right Parser

```

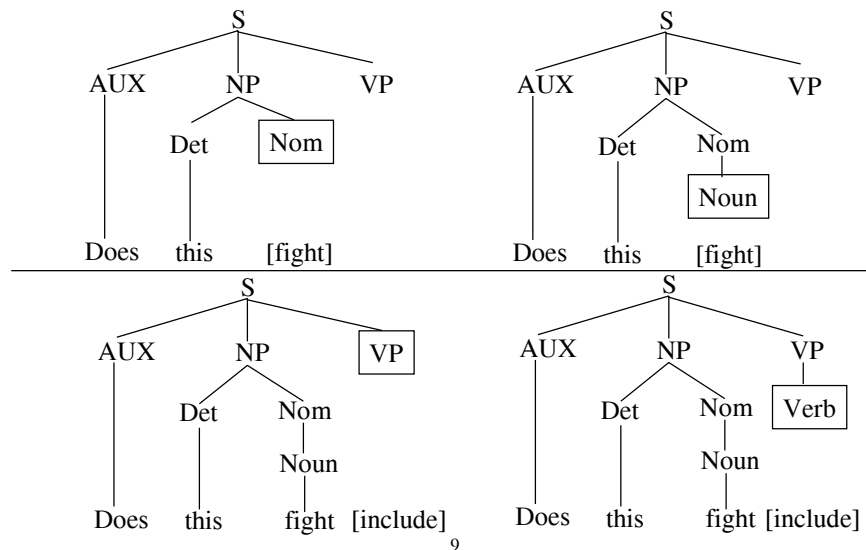
function TOP-DOWN-PARSE(input, grammar) returns a parse tree
  agenda  $\leftarrow$  (Initial S tree, Beginning of input)
  Current-search-state  $\leftarrow$  POP(agenda)
  loop
    if SUCCESSFUL-PARSE?(current-search-state) then
      return TREE(current-search-state)
    else
      if CAT(NODE-TO-EXPAND(current-search-state)) is a POS then
        if CAT(node-to-expand)  $\subset$  POS(CURRENT-INPUT(current-search-state)) then
          PUSH(APPLY-LEXICAL-RULE(current-search-state), agent)
        else return reject
      else PUSH(APPLY-RULES(current-search-state, grammar), agenda)
    if agenda is empty then return reject
    else current-search-state  $\leftarrow$  NEXT(agenda)
  end
  
```

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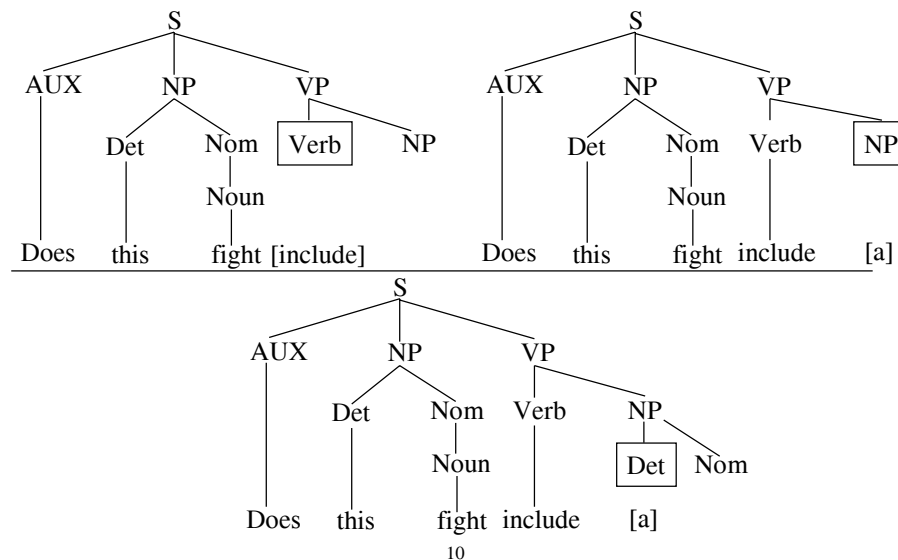
A Top-Down Depth-First Derivation



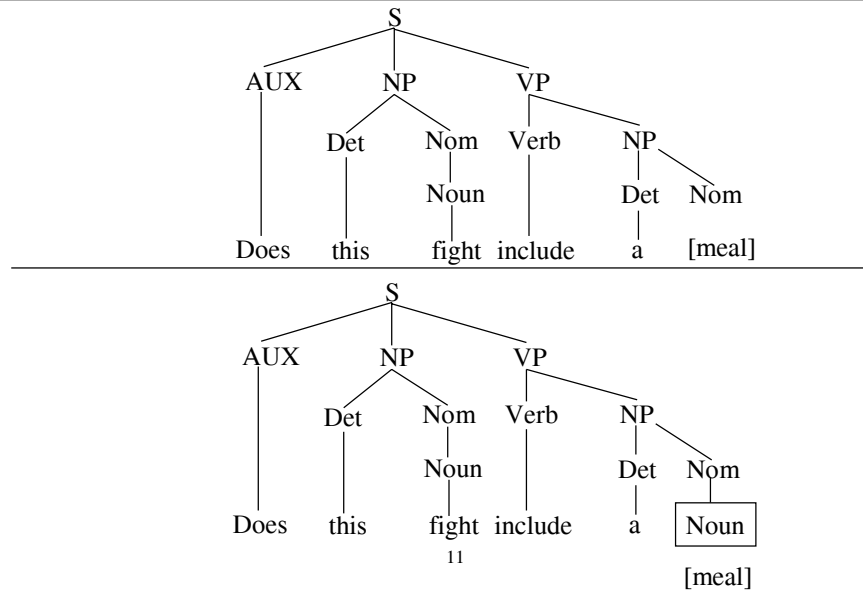
Continue Derivation



A Top-Down, Depth-First Left-to-Right Parser CONTINUED



A Top-Down, Depth-First Left-to-Right Parser CONTINUED



Adding Bottom-up Filtering

Observations:

- 1) The parser expands non-terminal symbols along the edge of the parse tree, down to the word at the bottom left edge of the tree.
- 2) When the word is incorporated in a tree, the input pointer moves on, the parser will expand the next left-most non-terminal symbol down to the new left-corner word.

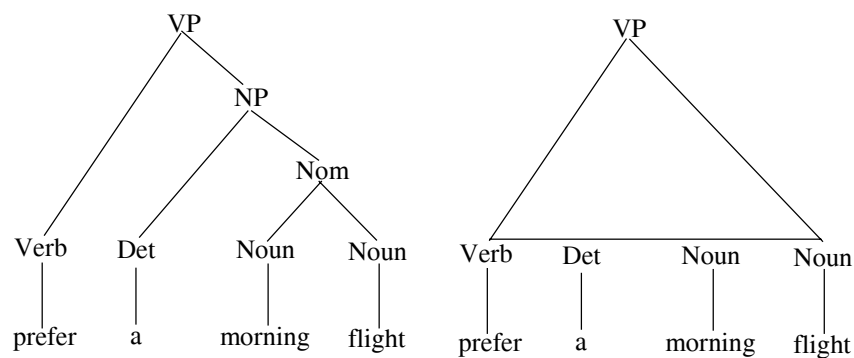
Conclusion: the input word serves as the first word in the derivation of the unexpanded node that the parser is currently processing.

Lesson learned

- The parser should not consider any grammar rule if the current input cannot serve as the first word along the left edge of some derivation from this rule.
- Left-corner of the tree: - first word + left edge of the derivation

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Illustration of the left-corner notion



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Formal Definition

- B is a left corner of A if:

$$A \Rightarrow^* B\alpha$$

- **Example:** *Does this flight include a meal?*

$S \rightarrow NP VP$

$S \rightarrow Aux NP VP$ → the only rule for which
does may serve as a
left-corner

$S \rightarrow VP$

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Practical Information

- Compile all information needed to efficiently implement such a filter in a table that lists all the valid left-corner categories for each non-terminal of the grammar.

- **Example:**

Category	Left Corners
S	Det, Proper-Noun, Aux, Verb
NP	Det, Proper-Noun
Nominal	Noun
VP	Verb

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3 More problems with the parser

- Left Recursive
- Ambiguity
- Inefficient reparsing of subtrees

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Left recursion

Problem of depth-first search

- if infinite search space ➡ it may dive down an infinitely deeper path and never return to visit unexpanded states.

The problem exists when

- *left recursive grammars* are used

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Left-recursive grammars

Formally: a grammar is left-recursive if it contains a non-terminal category that has a derivation that contains itself anywhere along its leftmost branch.

$$A \xRightarrow{*} \alpha A \beta$$

and

$$\alpha \xRightarrow{*} \epsilon$$

Example:

➤ Possessives: (*Atlanta's airport*)

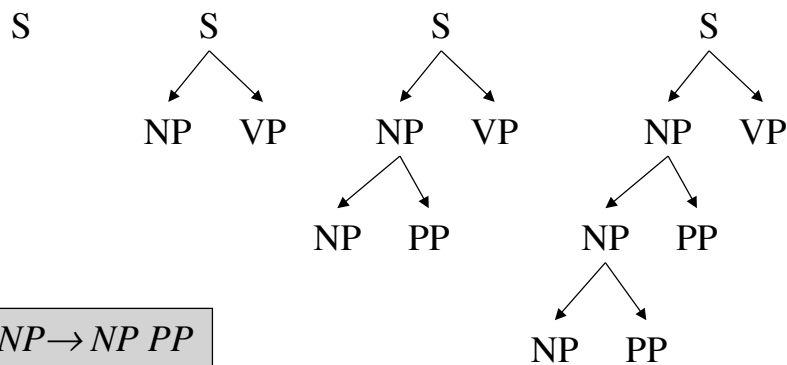
$$\begin{cases} NP \rightarrow Det \text{ Nominal} \\ Det \rightarrow NP's \end{cases}$$



rules that induce left-recursion

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More obvious case of left-recursion



$NP \rightarrow NP \ PP$
 $VP \rightarrow VP \ PP$
 $S \rightarrow S \text{ and } S$

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2 solutions

- 1) Rewrite the grammar
- 2) Explicitly managing the depth of the search during parsing

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How should we rewrite rules ?

Example :

$$A \rightarrow A \beta \mid \alpha$$

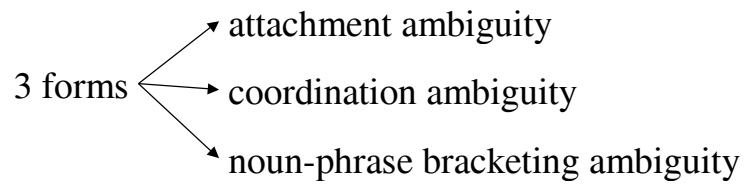
Rewrite :

$$\left. \begin{array}{l} A \rightarrow \alpha A' \\ A' \rightarrow \beta A' \mid \varepsilon \end{array} \right\} \Rightarrow \text{changes the left recursion to right recursion.}$$

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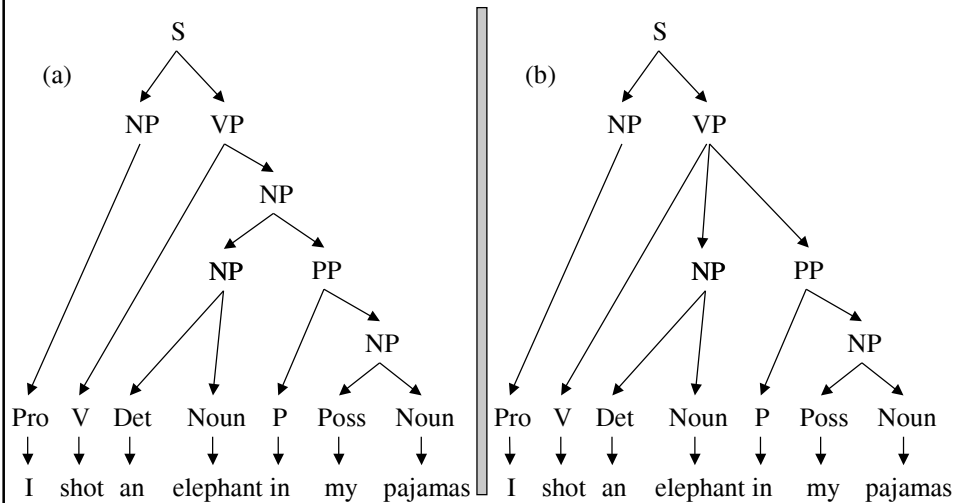
Ambiguity

- Lexical ambiguity \Rightarrow POS taggers
- Structural ambiguity \Rightarrow Parsing problems



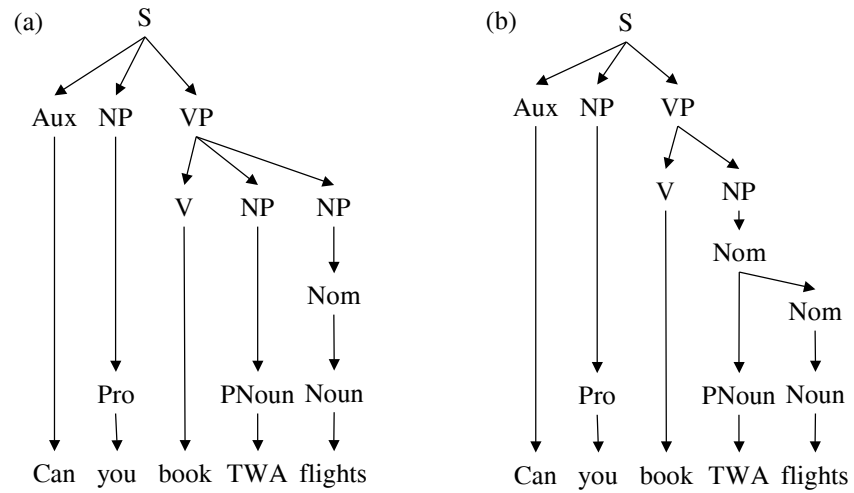
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Attachment ambiguity



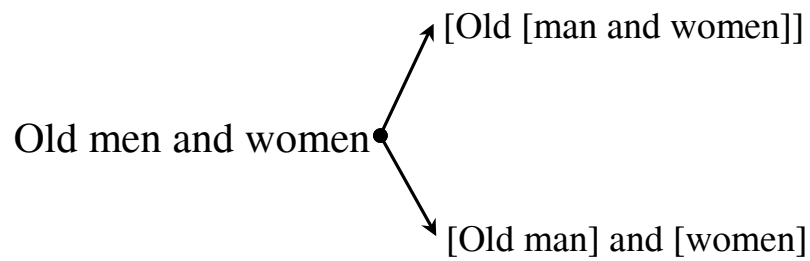
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Other examples



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Coordination ambiguity



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Combination of ambiguity

Book that flight

Noun Det Noun
↓ ↓ ↓
Book that flight

Verb Det Noun
↓ ↓ ↓
Book that flight

NOM NOM
↓ ↓
Noun Det Noun
↓ ↓ ↓
Book that flight

NOM
↓
Verb Det Noun
↓ ↓ ↓
Book that flight

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Combination of ambiguity (cont'd)

Book that flight

NP
↓ ↓
NOM Det NOM
↓ ↓ ↓
Noun that Noun
↓ ↓ ↓
Book that flight

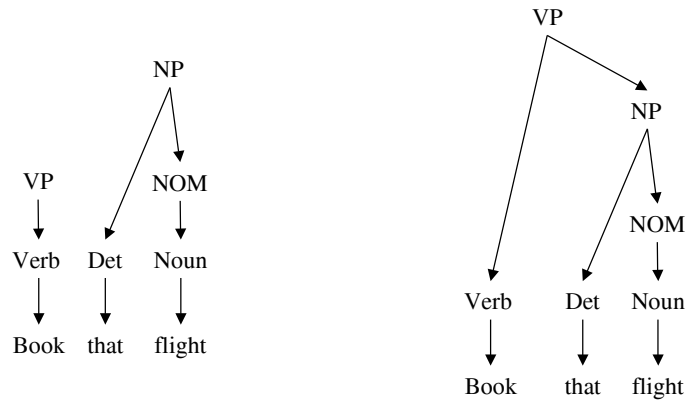
VP NOM
↓ ↓
Verb Det Noun
↓ ↓ ↓
Book that flight

NP
↓ ↓
Verb Det NOM
↓ ↓ ↓
Book that Noun
↓ ↓ ↓
Book that flight

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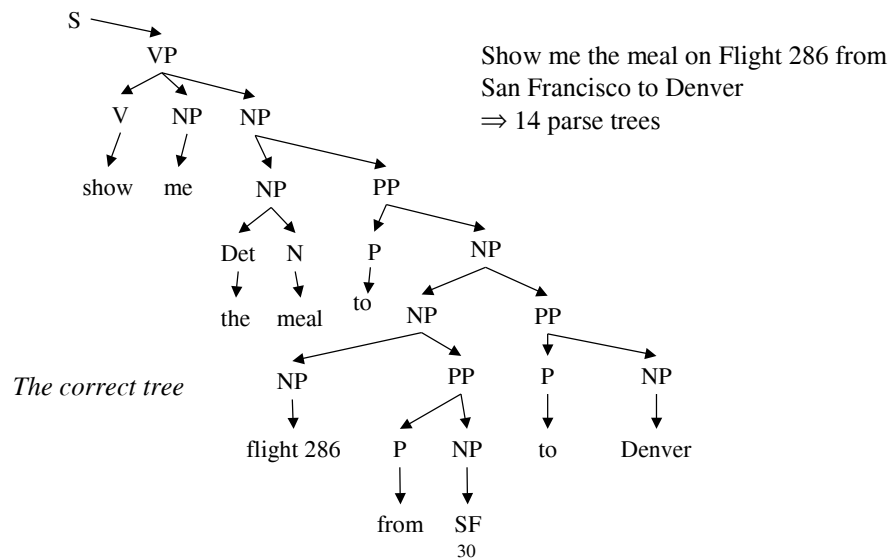
Combination of ambiguity (cont'd)

Book that flight



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Exponential number of parses



Exponential growth

$$C(n) = \frac{1}{n+1} \binom{2n}{n} \rightarrow \text{the catalan numbers}$$

Number of PPs	Number of NP Parses
2	2
3	5
4	14
5	132
6	469
7	1430
8	4867

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Repeated parses of subtrees

The parser often builds valid trees for portions of the input, then discards them during bracketing.

⇒ later it finds that it has to rebuild them again.

Example:

the process involved in finding the parse for the NP:

“a flight from Indianapolis to Houston on TWA”

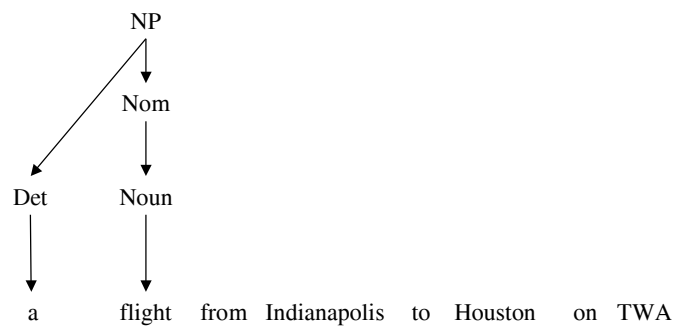
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Repeated parses of subtrees (cont'd)

a flight	4
from Indianapolis	3
to Houston	2
on TWA	1
a flight form Indianapolis	3
a flight form Indianapolis to Houston	2
a flight form Indianapolis to Houston on TWA	1

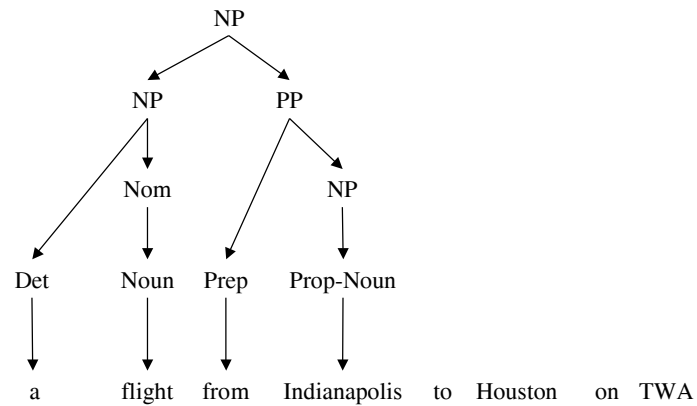
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Repeated parses of subtrees (cont'd)



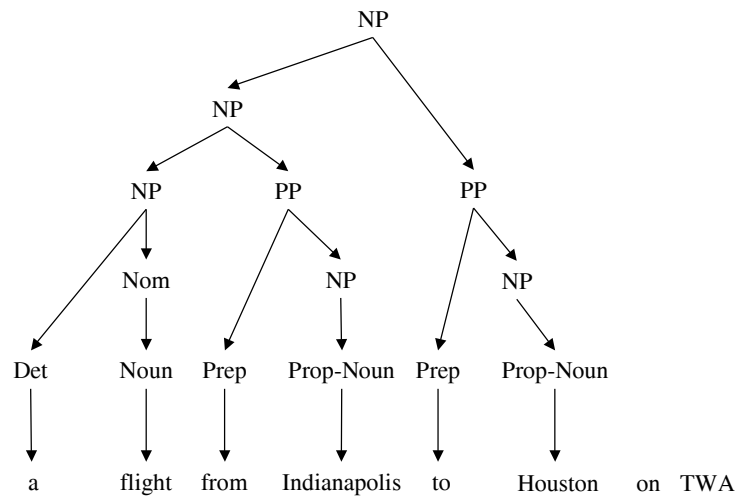
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Repeated parses of subtrees (cont'd)



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Repeated parses of subtrees (cont'd)



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Repeated parses of subtrees (cont'd)

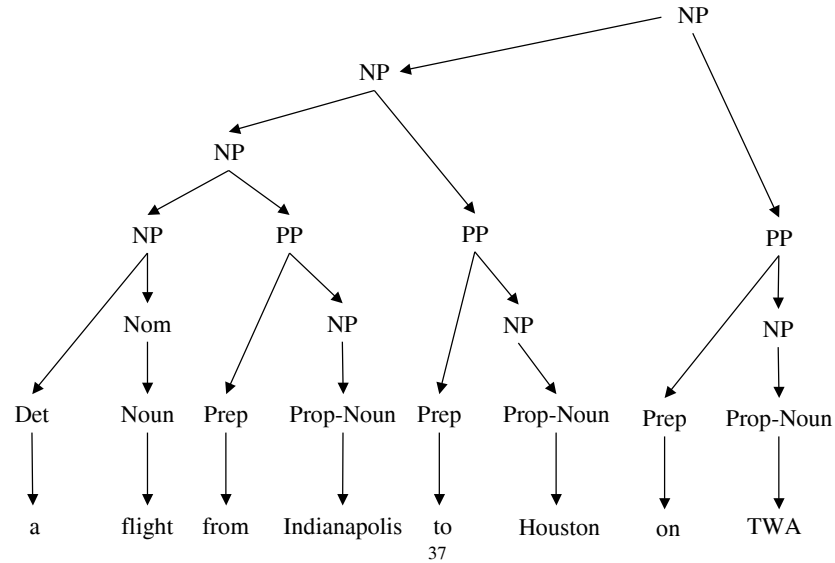


Chart-Parsing

- Chart → an array that has N+1 entries (the sentence has N words !)
- For each word, the chart contains a list of states representing the partial parse trees that have been generated so far
- **Advantage:** Each possible sub-tree is represented only once, and thus can be shared by all the parses that need it

The Representation of A State

- 3 kinds of information:
 - 1) A sub-tree corresponding to a single grammar rule
 - 2) Information about the **progress** made in completing this sub-tree
 - 3) The position of the sub-tree with respect to the input

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How Do We Represent Progress ?

- Graphically: use a dot within the right-hand side of a state's grammar rule
(dotted rule)
- A state position with respect to the input ?
 - 2 numbers [where the state begins]
[where the dot lies]

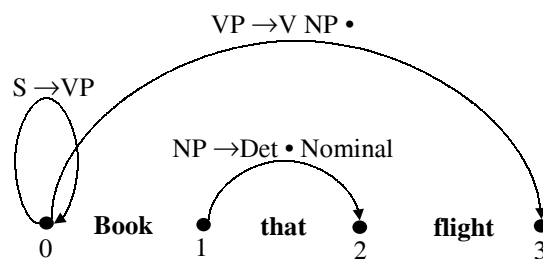
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Example

- Sentence: *Book that flight.*
 - State 0 $S \rightarrow \bullet VP$, [0,0]
 - State 1 $NP \rightarrow Det \bullet Nominal$, [1,2]
 - State 2 $VP \rightarrow V NP \bullet$, [0,3]

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Another Representation



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The Early Parser

- Scan through the $N+1$ sets of states in the chart in a left \rightarrow right fashion
 - At each step one of three operators is applied, depending on the state's status
 - Results \rightarrow addition of new states to the end of either the current or the next set of states in the chart
- Successful parse: the presence of a state
$$S \rightarrow \alpha \bullet, [0, N]$$
in the list of the last chart entry

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What Operators?

•Predictor	???
•Scanner	???
•Completer	???

- The **Predictor** creates new states representing top-down expectations.
 - applied to any state that has a non-terminal to the right of the dot that is not a PoS category.
- What happens? \Rightarrow create a new state for each alternative expansion of that non-terminal provided by the grammar.

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Predictor Example

- The state

$S \rightarrow \bullet VP, [0,0]$

- results in states

$VP \rightarrow \bullet Verb, [0,0]$

- and

$VP \rightarrow \bullet Verb NP, [0,0]$

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Scanner

- If a state has a PoS category at the right of the dot!
- The scanner is called to examine the input and incorporate a state corresponding to the predicted PoS in the chart.

Example:

- State 0 $VP \rightarrow \bullet Verb NP, [0,0]$

Book that flight

↓ verb

- State 1 $VP \rightarrow Verb \bullet NP, [0,1]$

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Completer

- Applied to a state if the dot has reached the right end of the rule \Longrightarrow Meaning that the parser has successfully discovered a particular grammatical category over some span of the input.
- **Example:** $NP \rightarrow \text{Det Nominal} \bullet, [1,3]$
(looks for states ending at 1, expecting a NP)
 - finds the state $VP \rightarrow \text{Verb} \bullet NP, [0,1]$
(created by the scanner)
 - addition of a new complete state
 $VP \rightarrow \text{Verb NP} \bullet, [0,3]$

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The Early-Parser

```
function Earley-Parser(words, grammar) returns chart
  Enqueue( $(\gamma \rightarrow \bullet S, [0,0])$ , chart[0])
  for  $i \leftarrow$  from 0 to Length(words) do
    for each state in chart[i] do
      if Incomplete?(state) and
        Next-Cat(state) is not a part of speech then
          Predictor(state)
      elseif Incomplete?(state) and
        Next-Cat(state) is a part of speech then
          Scanner(state)
      else
        Completer(state)
    end
  end
  return(chart)
```

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The Early-Parser

```

procedure Predictor( $(A \rightarrow \alpha \bullet B \beta, [i, j])$ )
  for each  $(B \rightarrow \gamma)$  in Grammar-Rules-For( $B, grammar$ ) do
    Enqueue( $(B \rightarrow \bullet \gamma, [j, j])$ ,  $chart[j]$ )
  end

procedure Scanner( $(A \rightarrow \alpha \bullet B \beta, [i, j])$ )
  if  $B \subset \text{Parts-of-Speech}(\text{word}[j])$  then
    Enqueue( $(B \rightarrow \text{word}[j], [j, j+1])$ ,  $chart[j+1]$ )

procedure Completer( $(B \rightarrow \gamma \bullet, [j, k])$ )
  for each  $(A \rightarrow \alpha \bullet B \beta, [i, j])$  in  $chart[j]$  do
    Enqueue( $(A \rightarrow \alpha B \bullet \beta, [i, k])$ ,  $chart[k]$ )
  end

procedure Enqueue( $state, chart\text{-}entry$ )
  if  $state$  is not already in  $chart\text{-}entry$  then
    Push( $state, chart\text{-}entry$ )
  end

```

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Chart Content

	Chart[0]	
$\gamma \rightarrow \bullet S$	[0.0]	Dummy start state
$S \rightarrow \bullet NP VP$	[0.0]	Predictor
$NP \rightarrow \bullet Det NOMINAL$	[0.0]	Predictor
$NP \rightarrow \bullet Proper\text{-}Noun$	[0.0]	Predictor
$S \rightarrow \bullet Aux NP VP$	[0.0]	Predictor
$S \rightarrow \bullet VP$	[0.0]	Predictor
$VP \rightarrow \bullet Verb$	[0.0]	Predictor
$VP \rightarrow \bullet Verb NP$	[0.0]	Predictor

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Chart Content

Chart[1]		
<i>Verb</i> → <i>book</i> •	[0,1]	Scanner
<i>VP</i> → <i>Verb</i> •	[0,1]	Completer
<i>S</i> → <i>VP</i> •	[0,1]	Completer
<i>VP</i> → <i>Verb</i> • <i>NP</i>	[0,1]	Completer
<i>NP</i> → • <i>Det NOMINAL</i>	[1,1]	Predictor
<i>NP</i> → • <i>Proper-Noun</i>	[1,1]	Predictor

Chart[2]		
<i>Det</i> → <i>that</i> •	[1,2]	Scanner
<i>NP</i> → <i>Det</i> • <i>Nominal</i>	[1,2]	Completer
<i>NOMINAL</i> → • <i>Noun</i>	[2,2]	Predictor
<i>NOMINAL</i> → • <i>Noun Nominal</i>	[2,2]	Predictor

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Chart Content

Chart[3]		
<i>Noun</i> → <i>flight</i> •	[2,3]	Scanner
<i>NOMINAL</i> → <i>Noun</i> •	[2,3]	Completer
<i>NOMINAL</i> → <i>Noun</i> • <i>NOMINAL</i>	[2,3]	Completer
<i>NP</i> → <i>Det NOMINAL</i> •	[1,3]	Completer
<i>VP</i> → <i>Verb NP</i> •	[0,3]	Completer
<i>S</i> → <i>VP</i> •	[0,3]	Completer
<i>NOMINAL</i> → • <i>Noun</i>	[3,3]	Predictor
<i>NOMINAL</i> → • <i>Noun NOMINAL</i>	[3,3]	Predictor

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