

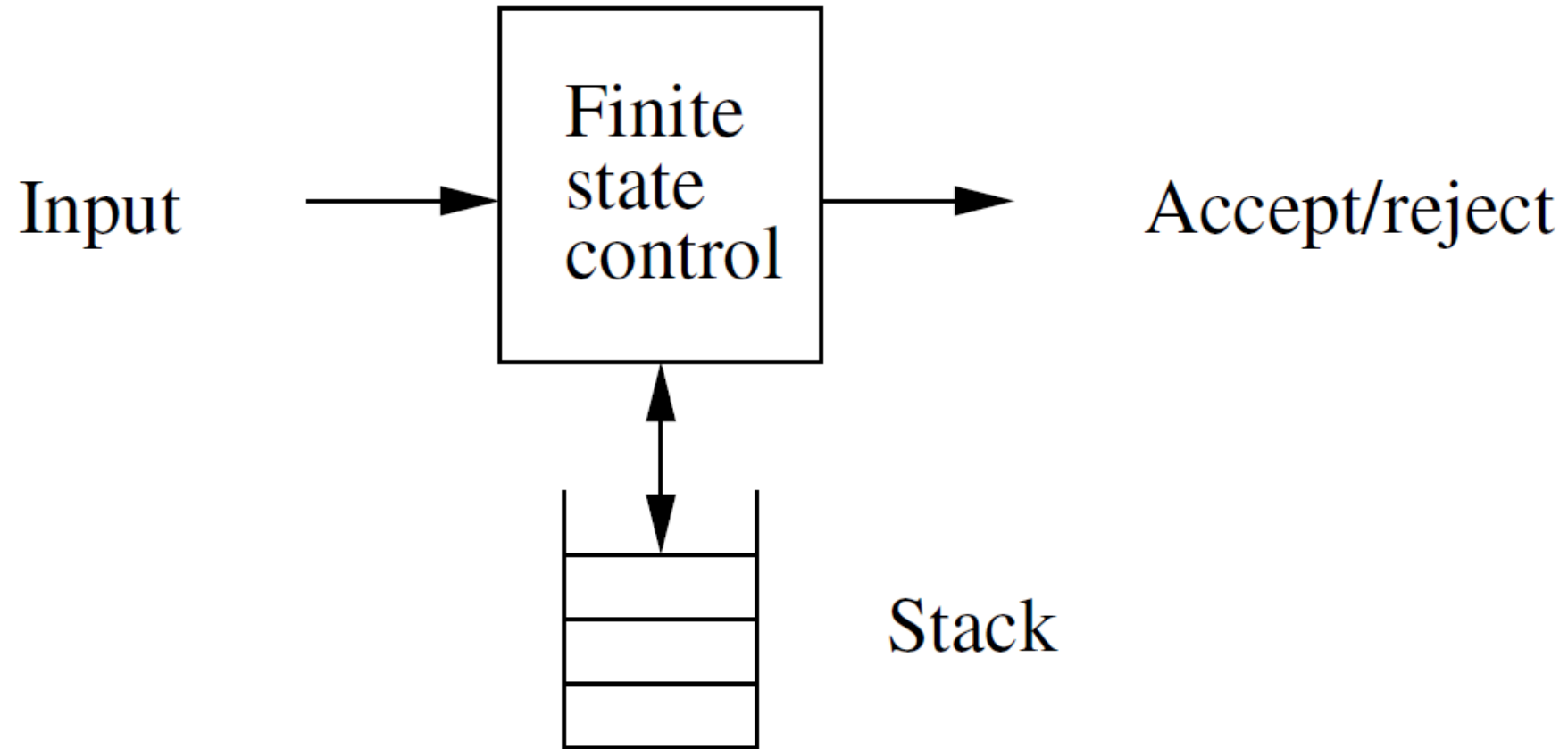
# Formal Language & Automata Theory

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# Push Down Automata (PDA)

- Informally, an  $\epsilon$ -NFA with the additional power that it can manipulate a stack.
- Its moves are determined by:
  1. The current state (of its "NFA"),
  2. The current input symbol (or  $\epsilon$ ), and
  3. The current symbol on top of its stack.

# Push Down Automata (PDA)



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- Being nondeterministic, the PDA can have a choice of next moves.
- In each choice, the PDA can:
  1. Change state
  2. Replace the top symbol on the stack by a sequence of zero or more symbols.
    - Zero symbols = "pop."
    - Many symbols = sequence of "pushes."

# Push Down Automata (PDA)

❖ A PDA is described by:

1. A finite set of states ( $Q$ ).
2. An input alphabet ( $\Sigma$ ).
3. A stack alphabet ( $\Gamma$ ).
4. A transition function ( $\delta$ ).
5. A start state ( $q_0 \in Q$ ).
6. A start symbol ( $Z \in \Gamma$ ).
7. A set of final states ( $F \subseteq Q$ ).

❖ Some Conventions

1.  $a, b, \dots$  are input symbols.  
➤ But sometimes we allow  $\varepsilon$  as a possible value.
2.  $\dots, X, Y, Z$  are stack symbols.
3.  $\dots, w, x, y, z$  are strings of input symbols.
4.  $\alpha, \beta, \dots$  are strings of stack symbols.

# Push Down Automata (PDA)

- Takes three arguments:
  1. A state, in  $Q$ .
  2. An input, which is either a symbol in  $\Sigma$  or  $\epsilon$ .
  3. A stack symbol in  $\Gamma$ .
- $\delta(q, a, X)$  is a set of zero or more actions of the form  $(p, \alpha)$ .
  - $p$  is a state;  $\alpha$  is a string of stack symbols.
- If  $\delta(q, a, X)$  contains  $(p, \alpha)$  among its actions, then one thing the PDA can do in state  $q$ , with  $a$  at the front of the input, and  $X$  on top of the stack is:
  1. Change the state to  $p$ .
  2. Remove  $a$  from the front of the input (but  $a$  may be  $\epsilon$ ).
  3. Replace  $X$  on the top of the stack by  $\alpha$ .

# Example: PDA

- Design a PDA to accept  $\{0^n 1^n \mid n \geq 1\}$ .
- The states:
  - $q$  = start state. We are in state  $q$  if we have seen only 0's so far.
  - $p$  = we've seen at least one 1 and may now proceed only if the inputs are 1's.
  - $f$  = final state; accept.
- The stack symbols:
  - $Z$  = start symbol. Also marks the bottom of the stack, so we know when we have counted the same number of 1's as 0's.
  - $X$  = marker, used to count the number of 0's seen on the input.

# Example: PDA

- The transitions:

- $\delta(q, 0, Z) = \{(q, XZ)\}.$

- $\delta(q, 0, X) = \{(q, XX)\}.$

- $\delta(q, 1, X) = \{(p, \epsilon)\}.$

- $\delta(p, 1, X) = \{(p, \epsilon)\}.$

- $\delta(p, \epsilon, Z) = \{(f, Z)\}.$

These two rules cause one X to be pushed onto the stack for each 0 read from the input.

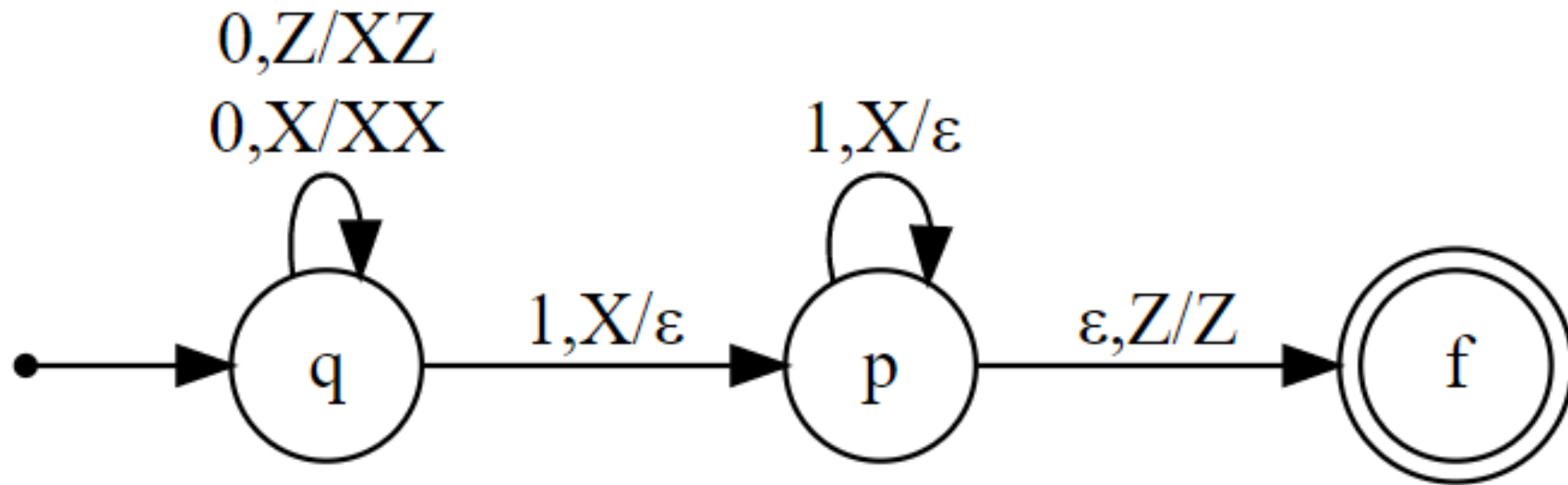
When we see a 1, go to state p and pop one X.

Pop one X per 1.

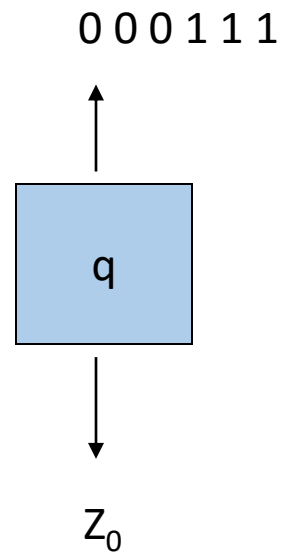
Accept at bottom.



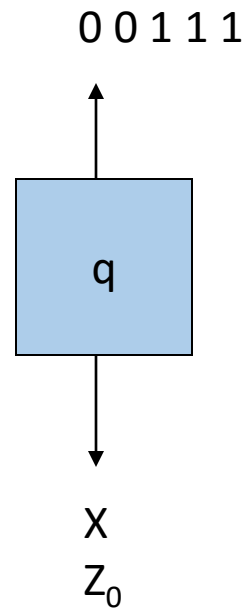
# Example: PDA (Transition Diagram)



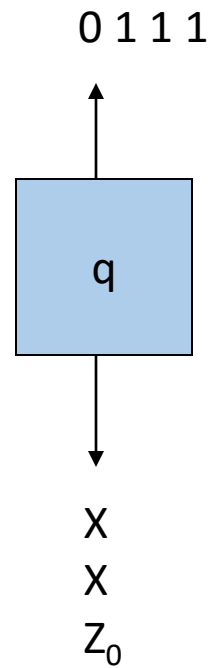
# Actions of the Example PDA



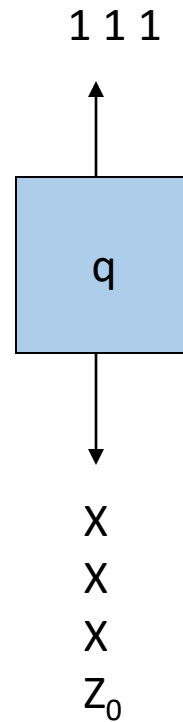
# Actions of the Example PDA



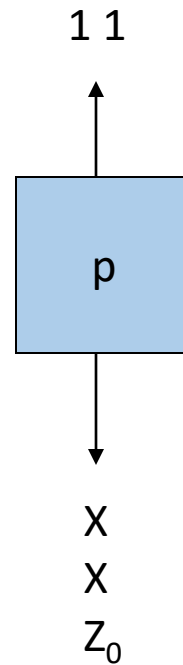
# Actions of the Example PDA



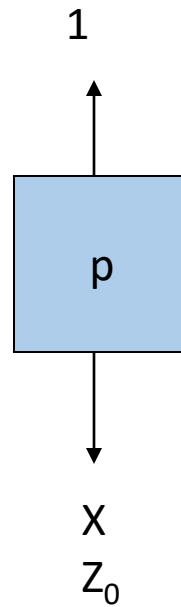
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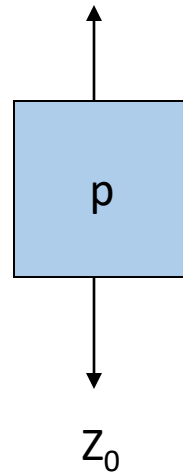
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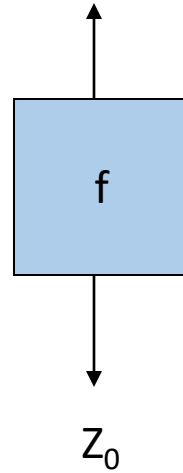


# Actions of the Example PDA





# Actions of the Example PDA



# Instantaneous Descriptions

- We can formalize the pictures just seen with an instantaneous description (ID).
- A ID is a triple  $(q, w, \alpha)$ , where:
  1.  $q$  is the current state.
  2.  $w$  is the remaining input.
  3.  $\alpha$  is the stack contents, top at the left.

# The “Goes-To” Relation

- To say that ID  $I$  can become ID  $J$  in one move of the PDA, we write  $I \vdash J$ .
- Formally,  $(q, aw, X\alpha) \vdash (p, w, \beta\alpha)$  for any  $w$  and  $\alpha$ , if  $\delta(q, a, X)$  contains  $(p, \beta)$ .
- Extend  $\vdash$  to  $\vdash^*$ , meaning “zero or more moves,” by:
  - **Basis:**  $I \vdash^* I$ .
  - **Induction:** If  $I \vdash^* J$  and  $J \vdash K$ , then  $I \vdash^* K$ .

Using the previous example PDA, we can describe the sequence of moves by:

$(q, 000111, Z_0)$   
 $\vdash (q, 00111, X Z_0)$   
 $\vdash (q, 0111, XX Z_0)$   
 $\vdash (q, 111, XXX Z_0)$   
 $\vdash (p, 11, XX Z_0)$   
 $\vdash (p, 1, X Z_0)$   
 $\vdash (p, \varepsilon, Z_0)$   
 $\vdash (f, \varepsilon, Z_0)$

# Acceptance of String by PDA

Acceptance by ***final state***:

String  $w$  is said to be accepted by final state if  $(q_0, w, Z_0) \vdash^* (f, \varepsilon, \alpha)$  for final state  $f$  and any  $\alpha$ .

Acceptance by ***empty stack***:

String  $w$  is said to be accepted by empty stack if  $(q_0, w, Z_0) \vdash^* (q, \varepsilon, \varepsilon)$  for any state  $q$ .

# CFG to PDA

Let  $G = (V, T, Q, S)$  be a CFG. Construct the PDA  $P$  that accepts  $L(G)$  by empty stack as follows:

$$P = (\{q\}, T, V \cup T, \delta, q, S)$$

Where transition function  $\delta$  is defined as:

1. For each variable  $A$

$$\delta(q, \epsilon, A) = \{(q, \beta) \mid A \rightarrow \beta \text{ is a production in } G\}$$

2. For each terminal  $a$

$$\delta(q, a, a) = \{(q, \epsilon)\}$$

# Example: CFG to PDA

Grammar:

$$\begin{aligned} I &\rightarrow a \mid b \mid Ia \mid Ib \mid I0 \mid I1 \\ E &\rightarrow I \mid E * E \mid E + E \mid (E) \end{aligned}$$

Terminals ( $T$ ) =  $\{a, b, 0, 1, +, *, (, )\}$

Stack Symbols ( $V \cup T$ ) =  $\{I, E, a, b, 0, 1, +, *, (, )\}$

# Example: CFG to PDA

The transition function ( $\delta$ ) is defined as follows:

From Rule 1 (variables) we get

1.  $\delta(q, \epsilon, I) = \{(q, a), (q, b), (q, Ia), (q, Ib), (q, I0), (q, I1)\}$
2.  $\delta(q, \epsilon, E) = \{(q, I), (q, E + E), (q, E * E), (q, (E))\}$

From Rule 2 (terminals) we get

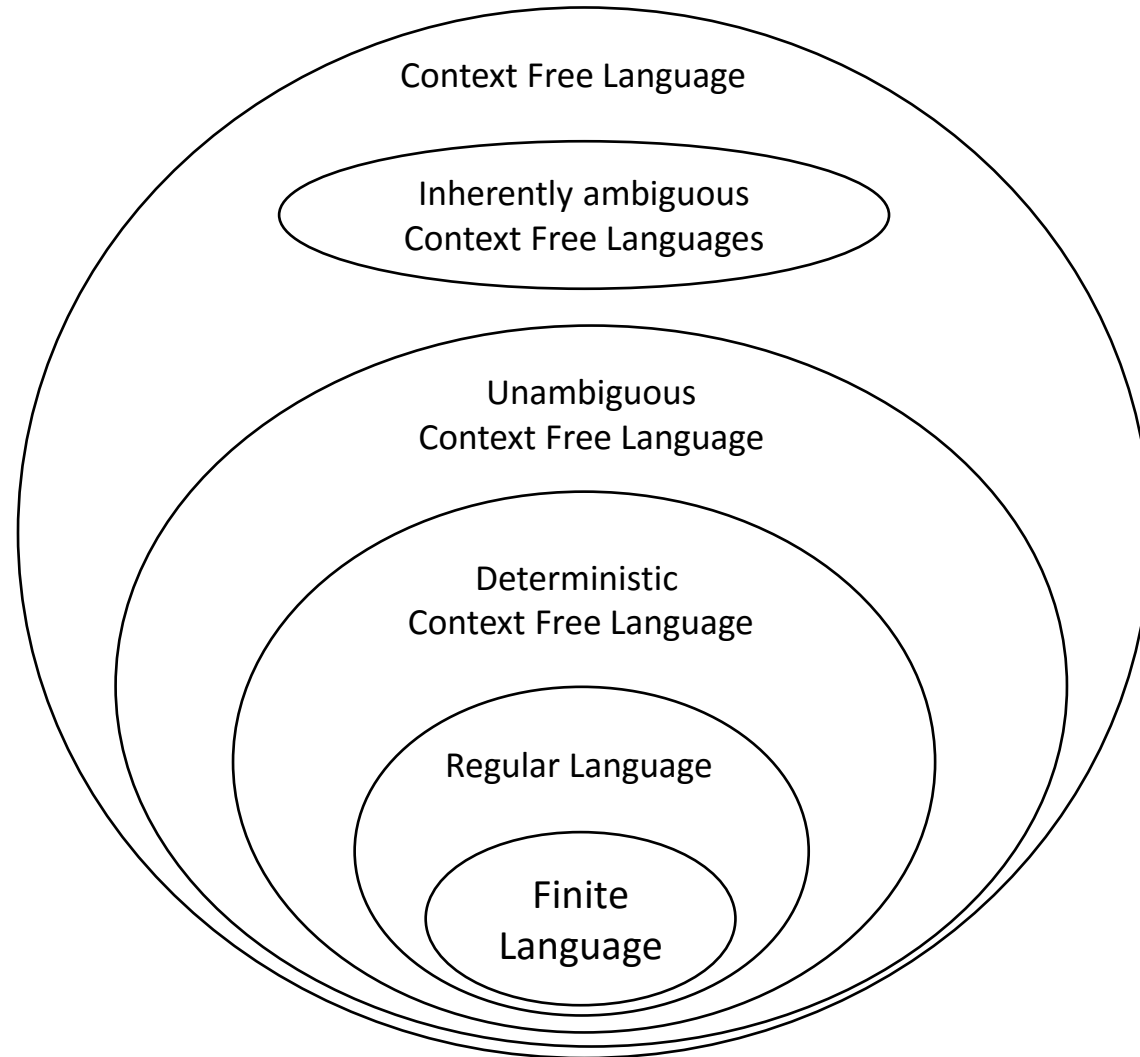
1.  $\delta(q, a, a) = (q, \epsilon), \delta(q, b, b) = (q, \epsilon), \delta(q, 0, 0) = (q, \epsilon)$   
 $\delta(q, 1, 1) = (q, \epsilon), \delta(q, (, () = (q, \epsilon), \delta(q, ), )) = (q, \epsilon),$   
 $\delta(q, +, +) = (q, \epsilon), \delta(q, *, *) = (q, \epsilon)$

# Deterministic PDA

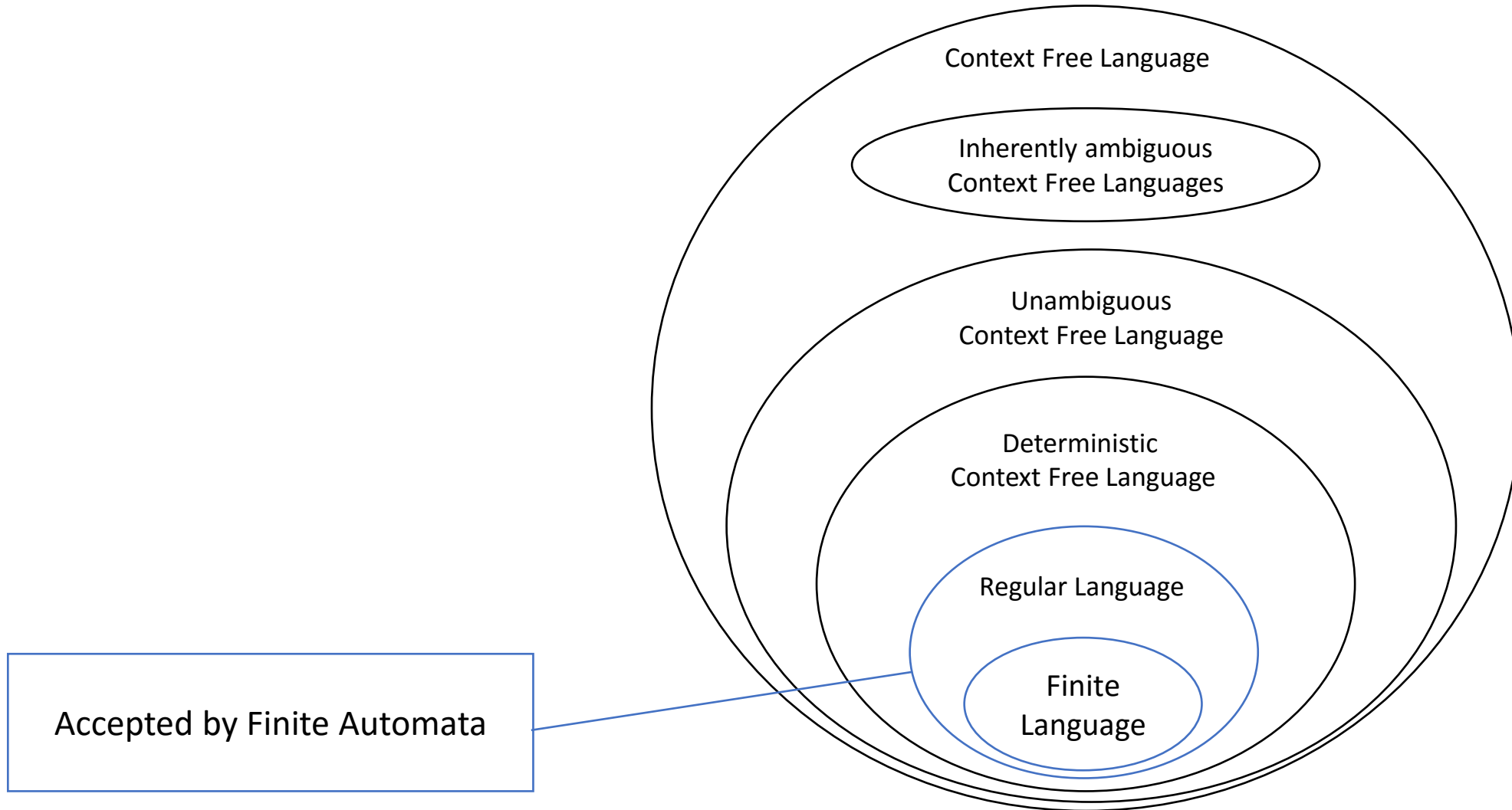
- To be deterministic, there must be at most one choice of move for any state  $q$ , input symbol  $a$ , and stack symbol  $X$ .
- In addition, there must not be a choice between using input  $\varepsilon$  or real input.
- Formally,  $\delta(q, a, X)$  and  $\delta(q, \varepsilon, X)$  cannot both be nonempty.



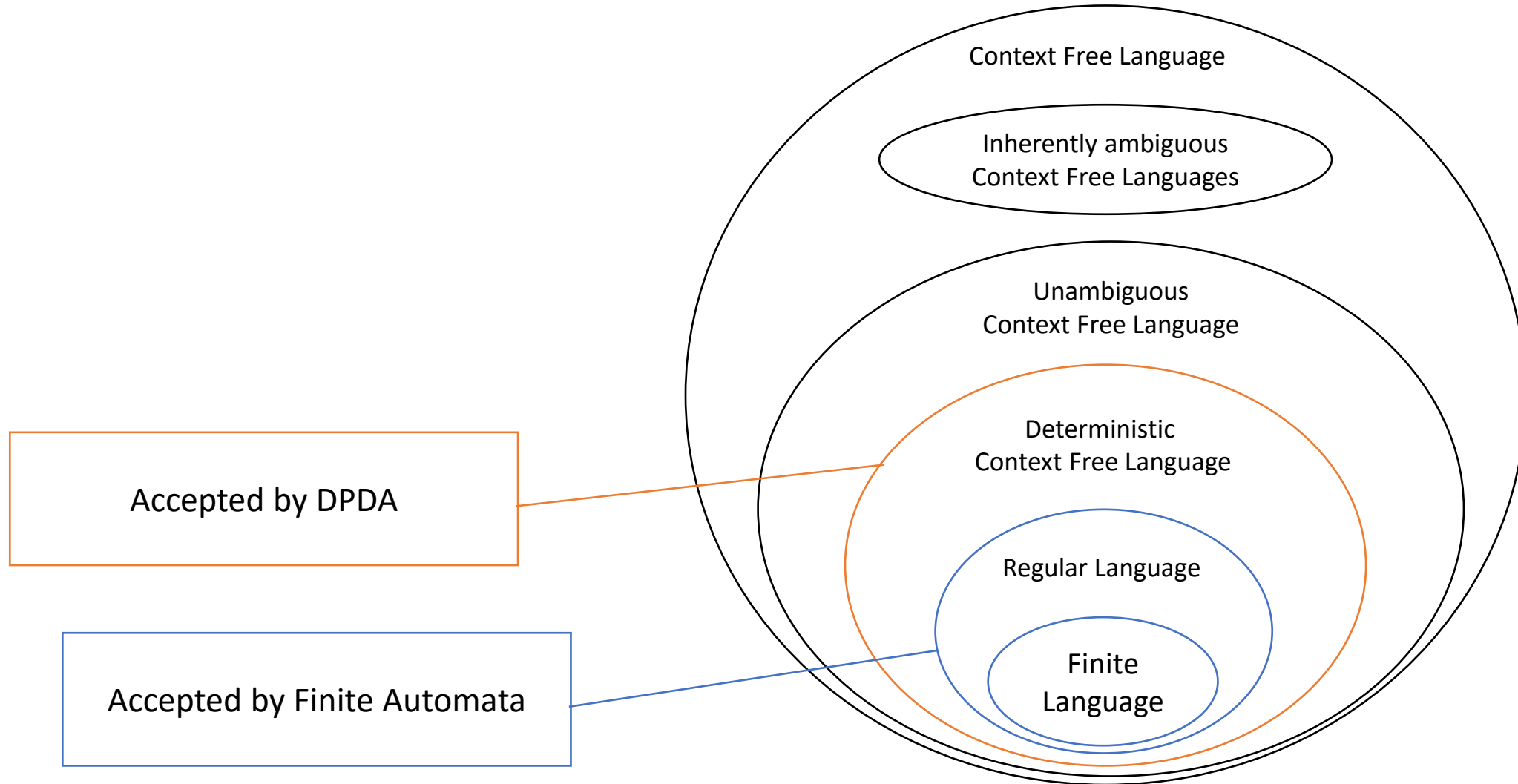
# Formal Language Classes



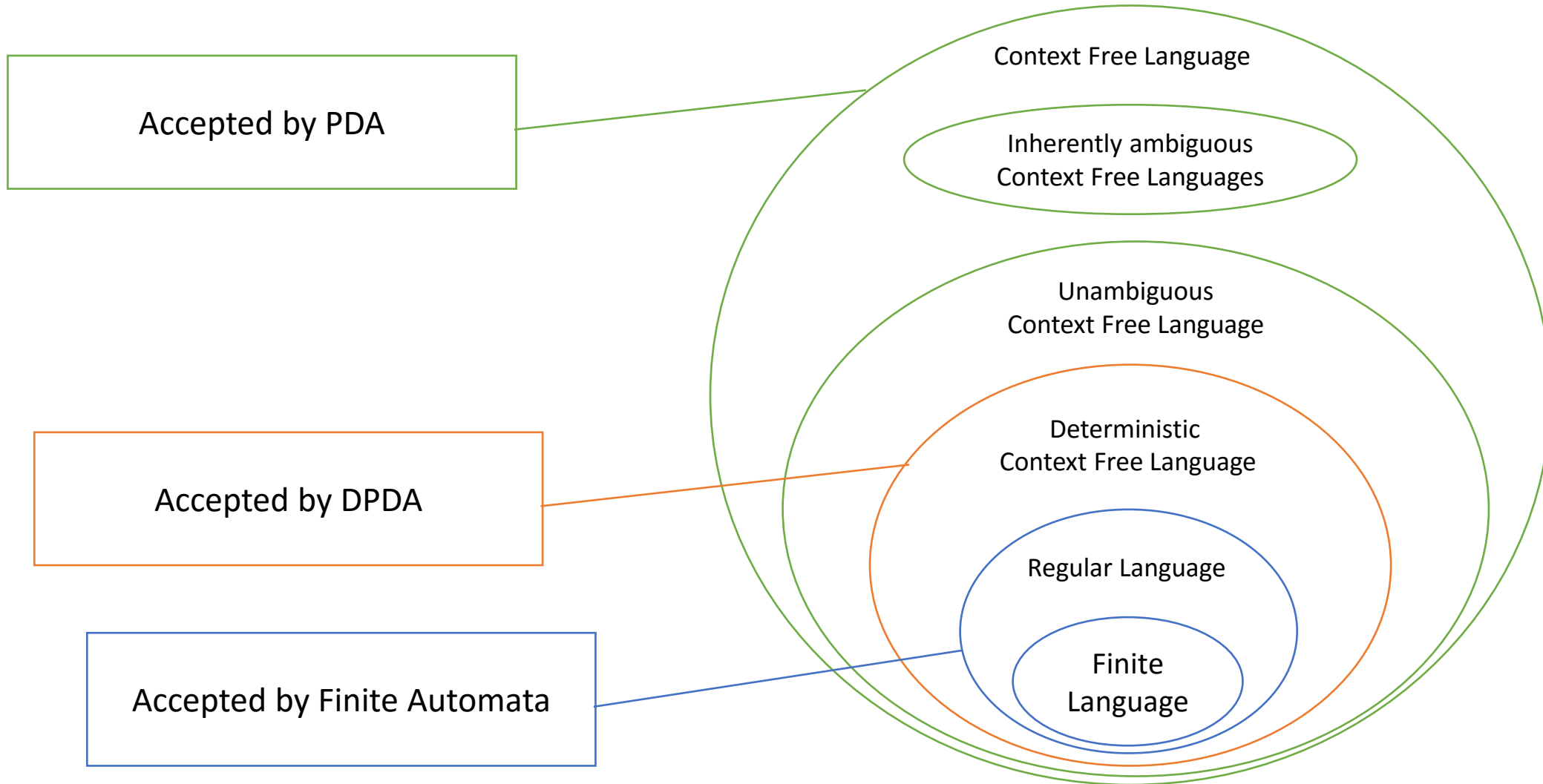
# Formal Language Classes



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# Formal Language Classes



# Pumping Lemma for CFL

For every context-free language L

There is an integer  $n$ , such that

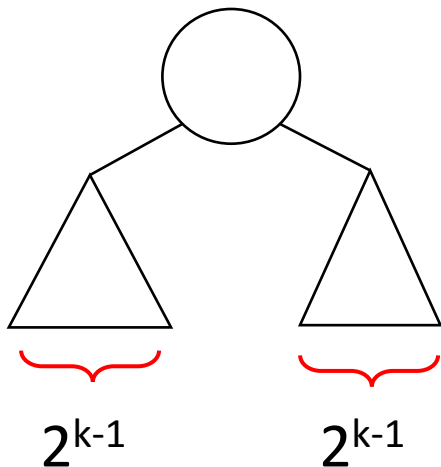
For every string  $z$  in L of length  $\geq n$

There exists  $z = uvwxy$  such that:

1.  $|vwx| \leq n$ .
2.  $|vx| > 0$ .
3. For all  $i \geq 0$ ,  $uv^iwx^iy$  is in L.

# Yield of a Parse Tree of CNF grammar

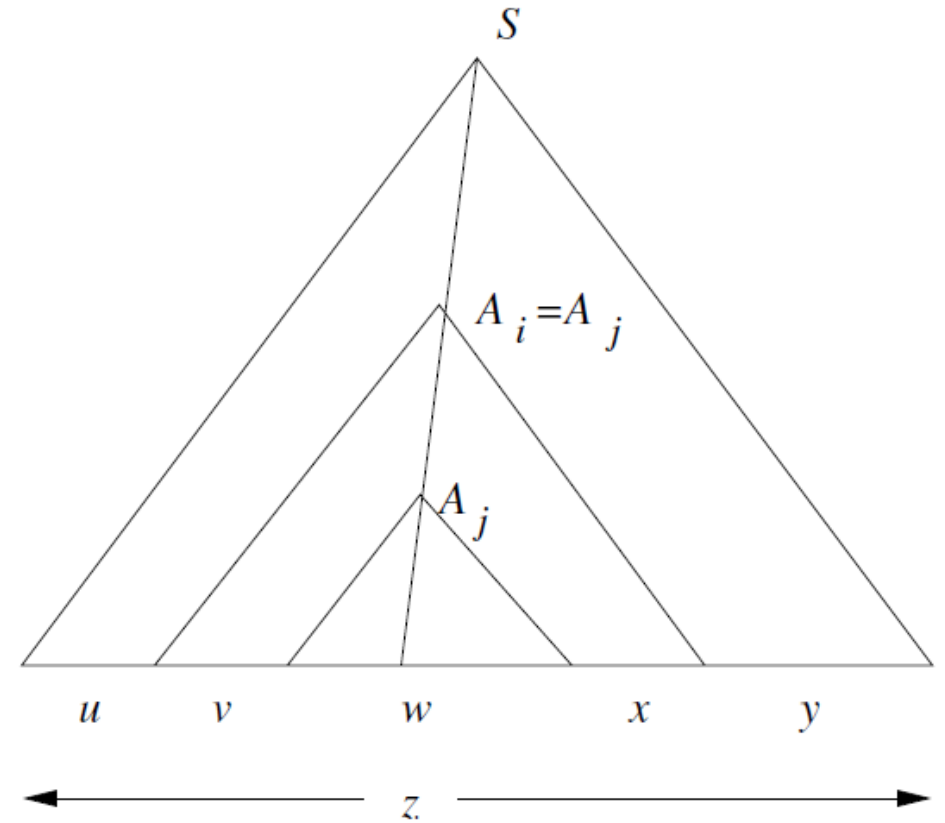
- Length of path in parse tree is number of edges along a path
- Parse tree of a CNF grammar with maximum path length 'n' can yield a string of length  $\leq 2^{n-1}$
- Basis: if  $n = 1$ , then the tree has root node and a terminal node only. The string thus generated is of length  $2^{1-1} = 1$
- Induction: If the hypothesis is true for any 'k' ( $\geq 1$ ), then for maximum path length  $k+1$ , the tree looks like the following:



- Both left and right sub-tree can have maximum path length of  $k$  i.e maximum yield  $2^{k-1}$
- Thus total length of yield from both sub tree is  $2^{k-1} + 2^{k-1} = 2 * 2^{k-1} = 2^{(k+1)-1}$  (Proved)

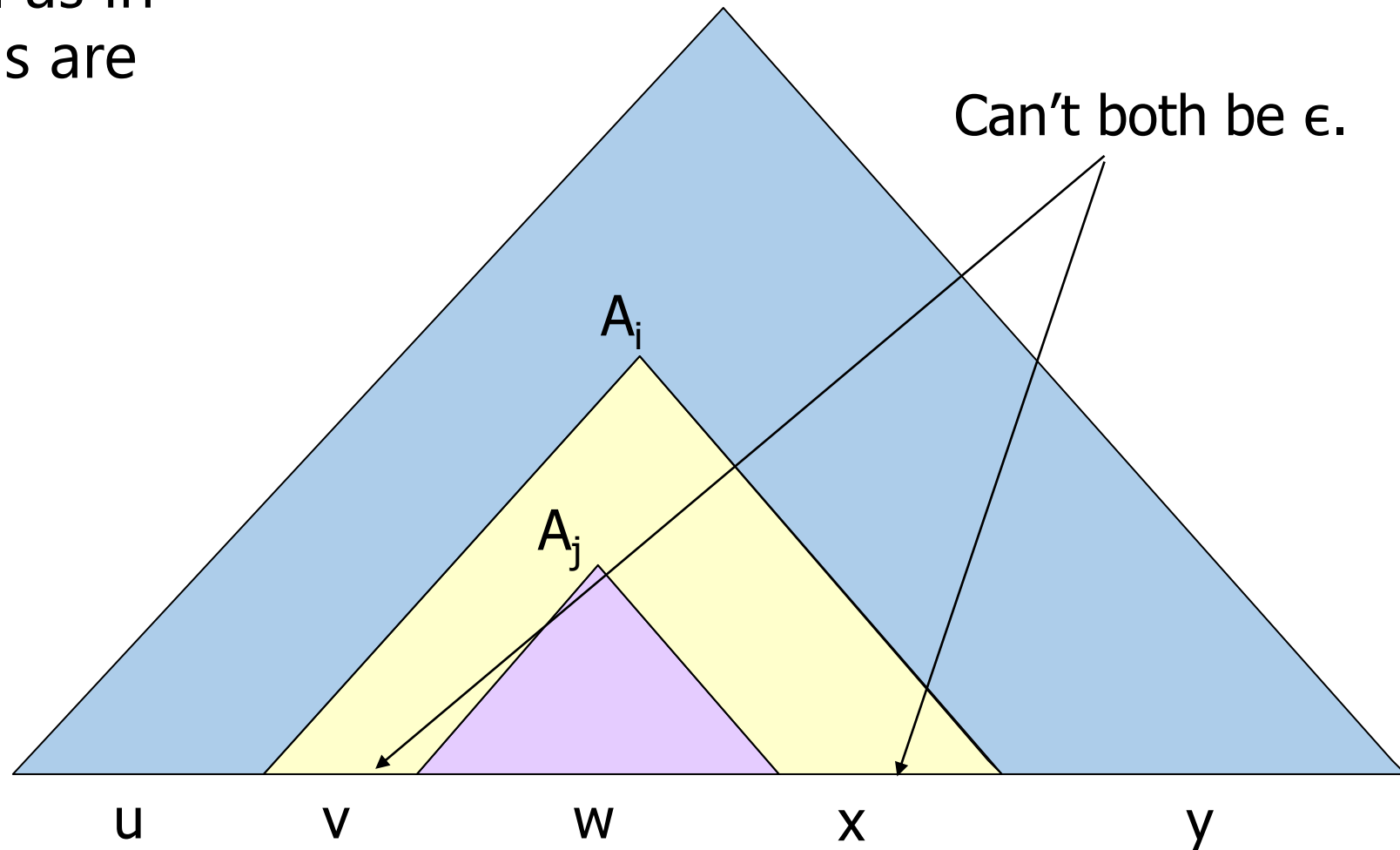
# Proof: Pumping Lemma for CFL

- Start with a CNF grammar for  $L - \{\epsilon\}$ .
- Let the grammar have  $m$  variables. Pick  $n = 2^m$ .  
Let  $|z| \geq n$ .
- To generate a string of length  $2^m$  the path length is  $m+1$ .
- That indicates in that path there are  $m+2$  many nodes
- Out of which first  $(1, 2, \dots, m+1)$  are variables and  $(m+2)$  th node is terminal
- But, we have only ' $m$ ' variables in the grammar. Hence, one variable must be repeated in that path.



# Proof: Pumping Lemma for CFL

- $v, x$  can't both be null as in CNF Unit productions are not allowed





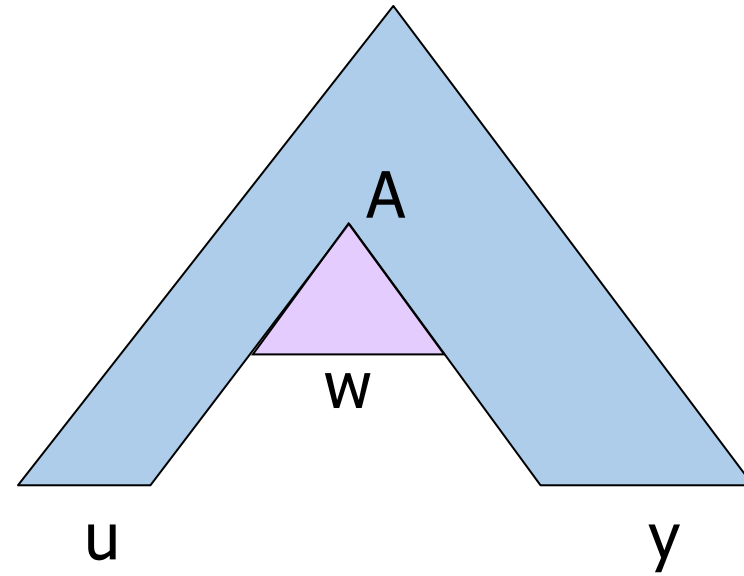
# Pump Zero Times

- Case 1: if  $A_i = A_j$

This will vanish both  $v$  and  $x$

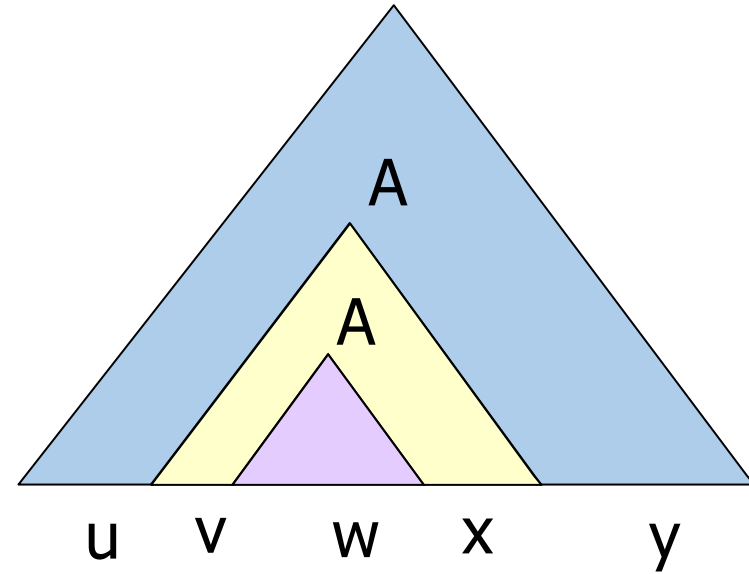
It corresponds to  $uv^0wx^0y$

Hence, string generated is  $uwy$



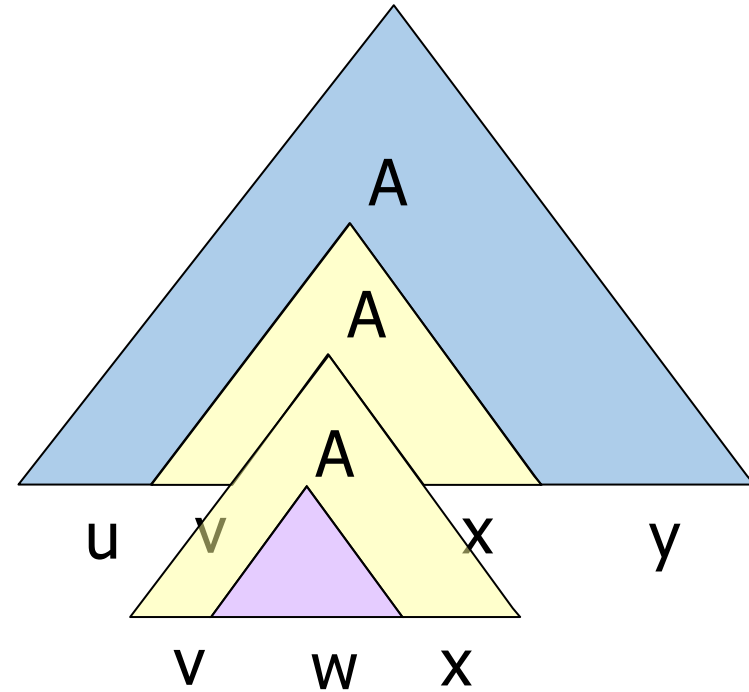
- Case 2:

If  $v, x$  is pumped twice, string generated is  $uv^2wx^2y$



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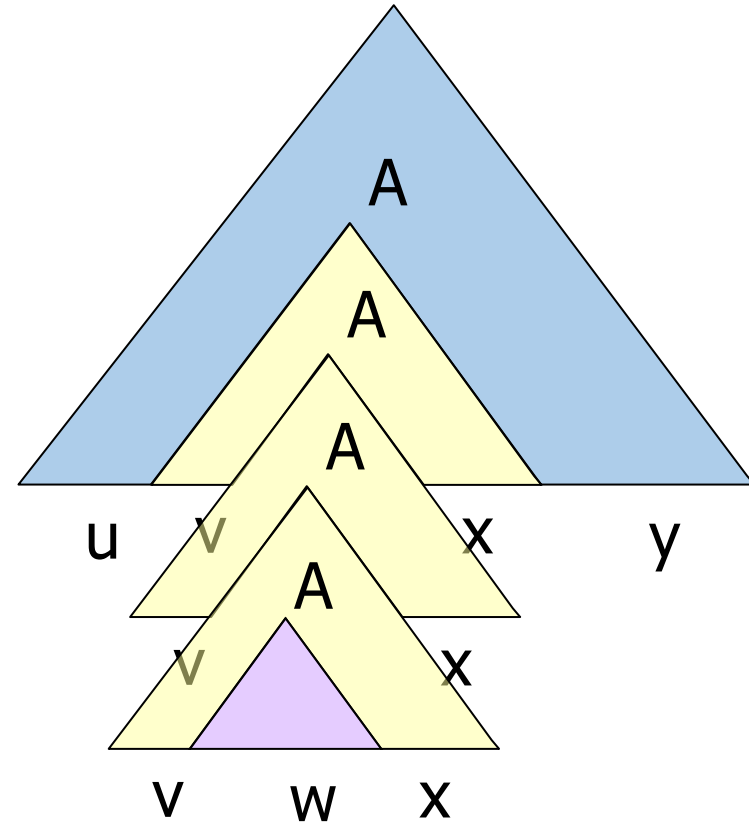
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- Case 2:

If  $v, x$  is pumped twice, string generated is  $uv^2wx^2y$

Similarly,  $v, x$ , can be pumped any number of times to generate strings  $uv^iwx^iy$ ,  $i > 1$



# Example:

- Show that  $L = \{0^n 1^n 2^n \mid n \geq 1\}$  is not context free
- Consider  $z = 0^n 1^n 2^n$
- As per pumping lemma we can break it as  $uvwxy$ ,  $|vwx| \leq n$ ,  $|vx| > 0$
- Observation: 'vwx' cant have both 0's and 2's as they have 'n' 1's in between.
- If 'vwx' has no 2's. Then it should have at least one 0 or 1. Now, as per pumping lemma 'uwy' belongs to L. But, in 'uwy' there are 'n' many 2's, however less than 'n' many 0 or 1.
- Hence, 'uwy' cant be in L which is a contradiction. So, L is not CFL.