

Topology?

Give me a topology on $X = \{1, 2\}$?

- Discrete topology for example $\mathcal{T} = \text{all subsets of } X$ form a topology

$$\bullet S = \{\emptyset, \{1\}, \{2\}, \{1, 2\}\}$$

DEFINITION: A TOPOLOGY is a subset of the power set, closed under unions and finite intersections.

? How many topologies are there on a given finite set?

? List some functions

Today:

HOMOLOGY

We will construct a function

$$(m \in \mathbb{N}) \quad H_m : \text{Topological spaces} \longrightarrow \text{Abelian groups}$$

DEFINITION:

$$H_m(X; A) := \frac{\ker(A[S_m(X)] \xrightarrow{\partial_m} A[S_{m-1}(X)])}{\text{im}(A[S_{m+1}(X)] \xrightarrow{\partial_{m+1}} A[S_m(X)])}$$

↑ boundary
m-th Homology GROUP

← is an abelian group

where $X = \text{top. space}$, $A = \text{ab. group}$

DEFINITION: For any set B and abelian group A , define

$$A[B] := \{f: B \rightarrow A \mid f^{-1}(A \setminus \{0\}) \neq \emptyset\}$$

aka formal A -linear combinations of b 's, $a_1 b_1 + a_2 b_2$

$$\begin{matrix} b_1 & \mapsto & a_1 \\ b_2 & \mapsto & a_2 \end{matrix}$$

Notice that $A[B]$ is an abelian group w.r.t pointwise

$$\text{addition } (f+g)(b) = f(b) + g(b) \in A$$

$A[-]$ is a function Sets \longrightarrow Abelian Groups (EXERCISE)

DEFINITION: For any $m \in \mathbb{N}$ define the STANDARD m-SIMPLEX

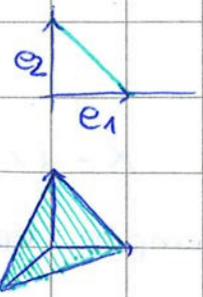
$$\Delta^m = \{(t_0, t_1, \dots, t_m) \in \mathbb{R}^{m+1} \mid t_i \geq 0, \sum_{i=0}^m t_i = 1\} \text{ ← convex hull of}$$

$$m=0: \quad \bullet \quad 0 \quad 1$$

$$\Delta^0 \in \mathbb{R}^1$$

unit base vectors
in \mathbb{R}^{m+1}

$m=1 : \Delta^1 \in \mathbb{R}^2$



$m=2 : \Delta^2 \in \mathbb{R}^3$



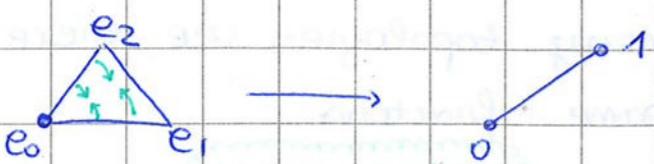
Notice: Δ^m is a topological space wrt subspace topology.

Also, any set map $\{0, \dots, m\} \rightarrow \{0, 1, \dots, m\}$ gives a map

$$\Delta^m \rightarrow \Delta^m$$

EXAMPLE

$$\begin{aligned} \{0, 1, 2\} &\rightarrow \{0, 1\} \\ 0 &\mapsto 0 \\ 1, 2 &\mapsto 1 \end{aligned}$$



$$\partial(\Delta) = / + \backslash + _$$

Often this comes from Stokes:

$$\int_{\partial\Delta} f = \int_{\Delta} df$$

$$\int_{X+Y} f := \int_X f + \int_Y f$$

DEFINITION: For $i = 0, \dots, m$, set $s_i : \{0, 1, \dots, m\} \rightarrow \{0, 1, \dots, m\}$

to be the unique order preserving injection missing i :

$$s_i(j) = \begin{cases} j & \text{if } j < i \\ j+1 & \text{if } j \geq i \end{cases} \quad (s_1 : 0 \mapsto 0, 1 \mapsto 2, 2 \mapsto 3, \dots)$$

LEMMA:

If $j < i$ we have $s_i \circ s_j = s_j \circ s_{j-1}$

(Prove it as an EXERCISE)

EXERCISE: How many distinct topologies are there in $\{1, 2, 3\}$?

DEFINITION: Given a topological space X , a SINGULAR m -SIMPLEX in X is a map $\sigma : \Delta^m \rightarrow X$ (always continuous)

$$\Delta^1 \rightarrow \text{cloud}$$

The net of all singular m -simplices in X is denoted by $S(X)_m$.

NOTATION: $A[S(X)_m]$ is known as the SINGULAR m -CHAINS in X = $C_m(X; A)$

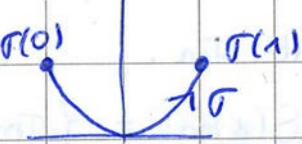
Notice $C_1(X; A)$ is linear combinations of paths in X

DEFINITION: $d_i : C_m(X; A) \rightarrow C_{m-1}(X; A)$ is defined by $d_i(\sigma) = \sigma \circ s_i$ for any $\sigma \in S(X)_m$

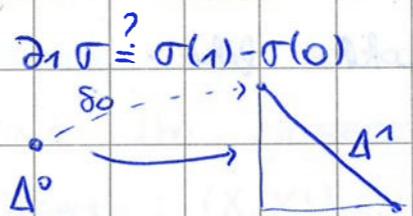
DEFINITION: The (SINGULAR) BOUNDARY OPERATOR

$$\partial = \partial_m := \sum_{i=0}^m (-1)^i d_i : C_m(X; A) \rightarrow C_{m-1}(X; A)$$

$$\text{EXAMPLE: } X = \mathbb{R}^2, \sigma : \Delta^1 \rightarrow \mathbb{R}^2, \text{ if } t \mapsto (t, t^2), I = [0, 1]$$



$$\partial_1 \sigma = \sigma(1) - \sigma(0) = \sigma(\delta_1) - \sigma(\delta_0)$$



EXERCISE: Check that $\partial_2(\Delta^2 \rightarrow \Delta^2)$ gives a reasonable picture. $\partial(\Delta) = / \pm \backslash \pm _$

DEFINITION: A CHAIN COMPLEX is a sequence of abelian groups $(C_m)_{m \geq 0}$

$$\dots \rightarrow C_3 \xrightarrow{\partial} C_2 \xrightarrow{\partial} C_1 \xrightarrow{\partial} C_0$$

together with maps $\partial_m : C_m \rightarrow C_{m-1}$ such that

$$\partial_m \circ \partial_{m+1} = 0$$

LEMMA:

$(C_m(X; A))_{m \geq 0}$ is a chain complex.

Proof: Follows from $s_i \circ s_j = s_j \circ s_{j-1}$ holds



Given a chain complex, ∂ is called the DIFFERENTIAL.
 The elements of $\ker(\partial)$ are called the m -CYCLES and $\text{im}(\partial^m)$ are called the m -BOUNDARIES.

DEFINITION:The m -th HOMOLOGY

$$H_m = \frac{\ker(\partial_m)}{\text{im}(\partial_{m+1})}$$

Notice that $\text{im}(\partial_{m+1}) \subset \ker(\partial_m)$ because $\partial \circ \partial = 0$

By convention $H_0 = \mathbb{Z}/\text{im}(\partial_1)$.

EXERCISE: Compute $H_m(\{*\}; A) = ?$

Any m -simplex $\tau: A^m \rightarrow \{*\}$ is the constant map and call it τ_m .

So $S(*)_m = \{\tau_m\}$, so $C_m(\{*\}; A) = A[S(*)_m] \cong A$
 $f: S(*)_m \rightarrow A$, $f \mapsto f(\tau_m)$

So the singular chain complex looks like:

$$\dots \rightarrow A \xrightarrow{\partial} A \xrightarrow{\partial} A \xrightarrow{\partial} A \xrightarrow{\partial} A$$

$$C_4 \quad C_3 \quad C_2 \quad C_1 \quad C_0$$

$$\partial = \sum (-1)^i d_i, \quad d_i(\tau) = \tau(s_i) \quad \text{all } d_i(\tau_m) = \tau_{m-1}$$

because they are independent of i .

$$\text{So } \partial = \sum (-1)^i d_i = \begin{cases} 0 & \text{if } m \text{ odd} \\ id_A & \text{if } m \text{ even} \end{cases}$$

$$H_0 = \mathbb{Z}/\text{im}(\partial_1) \cong A/\mathbb{Z} = A$$

$$H_m = 0 \quad (\text{why?}) \quad H_1 = \frac{\ker(\partial_1)}{\text{im}(\partial_2)} = \frac{A}{A}$$

$$H_2 = \frac{\ker(\partial_2)}{\text{im}(\partial_3)} = \frac{0}{0}$$

RELATIVE HOMOLOGY

GOAL: compute $H_m(S^m, A)$ for any abelian A

$$\text{Recall that } H_m(X, A) := \frac{\ker(A[S(X)_m] \xrightarrow{\partial_m} A[S(X)_{m-1}])}{\text{im}(A[S(X)_{m+1}] \xrightarrow{\partial_{m+1}} A[S(X)_m])}$$

$$\dots \rightarrow C_m \xrightarrow{\partial} C_{m-1} \xrightarrow{\partial} C_{m-2} \rightarrow \dots$$

$$X \xrightarrow{f} Y \quad A[X] \leftarrow A[Y] \quad (\text{contravariant function})$$

$$g \circ f \leftarrow g$$

τ_m instead

$$f_* = A[f]: A[X] \rightarrow A[Y] \quad \text{where } h(y) = \sum_{x \in f^{-1}(y)} g(x)$$

$$g \rightarrow h$$

We skip π_1 and H_0 from Chapter 2.

Also: this Friday 2-3 experimental virtual office hours
 (see the webpage)

DEFINITION:

Define the CATEGORY OF PAIRS by setting:

Objects: (X, X') where $X' \subseteq X$ topological spaces.

Morphisms: between (X, X') and (Y, Y') are continuous

$$X \xrightarrow{f} Y \quad \text{s.t. } f(X') \subseteq Y'$$

DEFINITION:

The RELATIVE m -CHAINS are defined as

$$C_m(X, X'; A) := \frac{C_m(X, A)}{C_m(X', A)} = \frac{A[S(X)_m]}{A[S(X')_m]}$$

QUESTION: Is $C_m(X', A) \subseteq C_m(X, A)$? YES!

$$S(X')_m \hookrightarrow S(X)_m$$

$$A[S(X')_m] \hookrightarrow A[S(X)_m]$$

(And: we see how H_m is a functor $\text{Top} \rightarrow \text{Ab}$)

QUESTION: How is $C_m(X, X'; A)$ a chain complex? What is ∂ ?

We can use the boundary operators of $C_m(X, A)$

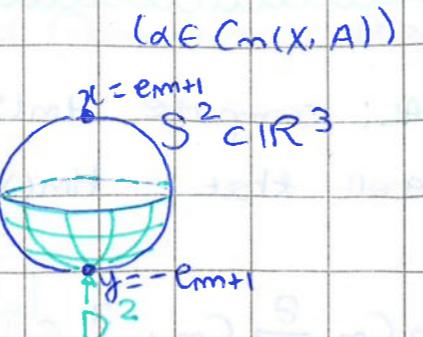
$$\begin{array}{ccc} C_m(X, A) & \xrightarrow{\partial_{m+1}} & C_{m-1}(X, X'; A) \\ [d] \longleftarrow & \xleftarrow{\psi} & [d\alpha] \end{array}$$

EXAMPLE:

$$S^m = \{x \in \mathbb{R}^{m+1} \mid \|x\| = 1\}$$

$$D^m = \{x \in S^m \mid x_{m+1} \leq 0\}$$

$$\text{Note: } S^{m-1} \cong \{x \in S^m \mid x_{m+1} = 0\}$$



MAIN FEATURES OF HOMOLOGY (to do list)

(1) Homotopic maps induce the same map on homology
(chapter 4)

(2) (Excision) If $Y \subseteq X' \subseteq X$ nt. top. spaces closure(Y) \subseteq
 $\subseteq \text{interior}(X')$: $H_m(X \setminus Y, X' \setminus Y; A) \xrightarrow{\cong} H_m(X, X'; A)$

(chapter 5 & 6)

(3) $H_m(\text{point}; A) = \begin{cases} 0 & \text{if } m > 0 \\ A & \text{if } m = 0 \end{cases}$ (last time)

(4) $H_m(\bigcup_{a \in A} X_a) = \bigoplus_{a \in A} H_m(X_a)$ (chapter 2)

(5) Long exact sequences ! (today!)

$$\dots \rightarrow H_{m+1}(X'; A) \rightarrow H_m(X, A) \rightarrow H_m(X, X'; A) \rightarrow H_m(X', A) \rightarrow \dots$$

$$\rightarrow H_m(X, A) \rightarrow H_m(X, X'; A) \rightarrow \dots$$

... $\rightarrow C_m(X') \rightarrow C_m(X) \rightarrow C_m(X, X') \rightarrow \dots$

COMPUTATION OF $H_m(S^m)$

(we drop A from the usual notation $H_m(S^m, A)$)

LEMMA 3.23:

$$H_1(D^m, S^{m-1}) \cong \begin{cases} A & \text{if } m=1 \\ 0 & \text{if } m > 1 \end{cases}$$

LEMMA 3.22:

$$H_m(D^m, S^{m-1}) \cong H_{m-1}(S^{m-1})$$

LEMMA 3.21:

$$H_m(D^m, S^{m-1}) \cong H_m(S^m)$$

Proof of 3.23:

Use long exact sequence (5) on the pair (D^m, S^{m-1}) to get:

$$\begin{array}{ccccccc} H_1(D^m) & \rightarrow & H_1(D^m, S^{m-1}) & \xrightarrow{\delta} & H_0(S^{m-1}) & \xrightarrow{i} & H_0(D^m) \\ \uparrow \text{ (1)} & & \uparrow \text{ (1)} & & \uparrow \text{ (1)} & & \uparrow \text{ (1)} \\ 0 & & 0 & & A & & A \end{array}$$

A because the disk is homotopic to a point

$$\text{By property (1)} \quad H_m(D^m) = \begin{cases} A & \text{if } m=0 \\ 0 & \text{if } m \neq 0 \end{cases}$$

This means:

$$H_1(D^m, S^{m-1}) \cong \ker(H_0(S^{m-1}) \xrightarrow{i} A) = \begin{cases} 0 & \text{if } m > 1 \\ A & \text{if } m=1 \end{cases}$$

δ is injective and $\text{im}(\delta) = \ker(i) \cong H_1(D^m, S^{m-1})$

When $m \geq 2$: $H_0(S^{m-1}) \cong A$, so i is the identity (check this)

$$m=1: H_0(S^0) = A \times A \Rightarrow \ker i \cong A$$

Proof of lemma 3.22:

Again write down LES (5) $m \geq 1$

$$\begin{array}{ccccccc} H_m(D^m) & \longrightarrow & H_m(D^m, S^{m-1}) & \xrightarrow{\delta} & H_{m-1}(S^{m-1}) & \rightarrow & \dots \\ \uparrow \text{ (1)} & & \uparrow \text{ (1)} & & \uparrow \text{ (1)} & & \uparrow \text{ (1)} \\ 0 & & 0 & & A & & \dots \\ & & & & \uparrow \text{ (1)} & & \\ & & & & H_{m-1}(D^m) & \longrightarrow & \dots \\ & & & & \uparrow \text{ (1)} & & \\ & & & & 0 & & \end{array}$$

Exactness of the sequence means δ is an isomorphism

Proof of lemma 3.21:

$$\begin{array}{c} H_m(D^m, S^{m-1}) \xrightarrow{(a)} H_m(S^m \setminus \{x\}, S^m \setminus \{x, y\}) \cong \\ \cong H_m(S^m, S^m \setminus \{y\}) \xleftarrow{(c)} H_m(S^m, \{x\}) \xleftarrow{(d)} H_m(S^m) \end{array}$$

(a) is an isomorphism by property (1)

(b) is property (2) with $Y = \{x\}$

(c) is (1)

(d) follows from LES (5) (try at home)

□

□

CHAIN COMPLEXES

$$C_m \xrightarrow{\partial} C_{m-1} \xrightarrow{\partial} \dots$$

DEFINITIONS

A CHAIN MAP $C' \xrightarrow{f} C$ is a family of maps

$$(f_m)_{m \geq 0}, f_m: C_m \rightarrow C_m$$

$$\begin{array}{ccccccc} C'_m & \xrightarrow{\partial_{m'}} & C'_{m-1} & \xrightarrow{\partial_{m-1'}} & C'_{m-2} & \rightarrow & \dots \\ f_m \downarrow & & \downarrow f_{m-1} & & \downarrow f_{m-2} & & \\ C_m & \xrightarrow{\partial_m} & C_{m-1} & \xrightarrow{\partial_{m-1}} & C_{m-2} & \rightarrow & \dots \end{array}$$

$$\text{st. } f \circ \partial = \partial' \circ f -$$

In topology a pair $X' \subseteq X$ gives rise to a short exact sequence of chain complexes:

$$0 \rightarrow C(X') \xrightarrow{\quad} C(X) \xrightarrow{\quad} C(X, X') \rightarrow 0$$

So we can get:

$$\begin{array}{ccccccc} & & & & & & \\ & \downarrow & \text{inclusione} & \downarrow & \text{proiezione} & \downarrow & \\ 0 \rightarrow C'_{m+1} & \xrightarrow{\partial_{m+1}} & C'_{m+1} & \xrightarrow{p_{m+1}} & \overline{C}_{m+1} & \rightarrow & 0 \\ \downarrow \partial_{m+1'} & & \downarrow \partial_{m+1} & & \downarrow \overline{\partial}_{m+1} & & \\ 0 \rightarrow C_m' & \xrightarrow{\partial_m} & C_m & \xrightarrow{p_m} & \overline{C}_m & \rightarrow & 0 \\ \downarrow \partial_{m'} & & \downarrow \partial_m & & \downarrow \overline{\partial}_m & & \\ 0 \rightarrow C_{m-1}' & \xrightarrow{\partial_{m-1}} & C_{m-1} & \xrightarrow{p_{m-1}} & \overline{C}_{m-1} & \rightarrow & 0 \\ \downarrow & & \downarrow & & \downarrow & & \\ 0 \rightarrow C_{m-2}' & \xrightarrow{\partial_{m-2}} & C_{m-2} & \xrightarrow{p_{m-2}} & \overline{C}_{m-2} & \rightarrow & 0 \\ \vdots & & \vdots & & \vdots & & \end{array}$$

PROPOSITION

Given a short exact sequence of chain complexes $0 \rightarrow C' \rightarrow C \rightarrow \overline{C} \rightarrow 0$

there exists a well-defined homomorphism $\delta: H_m(\overline{C}) \rightarrow H_{m-1}(C')$

Proof:

$$\overline{\partial}([\bar{x}]) = 0 \text{ because } [\bar{x}] \in H_m(\overline{C}).$$

$\ker(\overline{\partial})$
 $\text{im}(\overline{\partial})$

Pick $[\bar{x}] \in H_m(\overline{C})$, $\bar{x} \in \overline{C}_m$ is a cycle, so $\overline{\partial}(\bar{x}) = 0$.

p_m is bijective, so $\exists x \in C_m$ st. $p_m(x) = \bar{x}$.

Therefore $p_{m-1} \partial_m(x) = \overline{\partial} p_m(x) = 0$.

so $\partial_m(x) \in \ker(p_{m-1})$, by exactness $\exists x' \in C_{m-1}$ st.

$i_{m-1}(x') = \partial_m(x)$. Define $\delta([\bar{x}]) = [x']$ and check this is well-defined. Well-defined means: a choice of representative of \bar{x} , choice of x and choice of x' .

Now we prove that it is independent of the choice of \bar{x} :

take $\bar{y} = \bar{x} + \overline{\partial}_{m+1}(\bar{z})$ for some $\bar{z} \in \overline{C}_{m+1}$ and follow the

same steps: $\overline{\partial}(\bar{y}) = 0$. $\exists y \in C_m$ st. $p_m(y) = \bar{y}$ and

then take $y = x + \overline{\partial}_{m+1}(z)$.

$\partial_m(y) \in \ker p_{m-1}$.

so $\delta([\bar{y}]) = [x'] + [0]$.

$$\begin{aligned} y &\in C_m, x \in C_m \\ z &\in C_{m+1} = \frac{C_{m+1}(X)}{C_{m+1}(X')} \end{aligned}$$

Today:

- Finish the long exact sequence (ch. 3)
- Start homotopy invariance of H_m (ch. 4)

PROPOSITIONS

Any short exact sequence of chain complexes $0 \rightarrow C' \rightarrow C \rightarrow \bar{C} \rightarrow 0$

gives rise to the following long exact sequence:

$$\dots \rightarrow H_{m+1}(C') \xrightarrow{i} H_m(C) \xrightarrow{P} H_{m+1}(\bar{C}) \xrightarrow{\delta_{m+1}} H_m(C') \xrightarrow{i} H_m(C) \xrightarrow{P} H_m(\bar{C}) \rightarrow \dots$$

$$\xrightarrow{\delta_m} H_{m-1}(C') \xrightarrow{i} \dots \xrightarrow{P} H_0(\bar{C}).$$

PROPOSITION/DEFINITION:

The map $\delta_m: H_m(\bar{C}) \rightarrow H_{m-1}(C')$ is well-defined by

sending $\delta([\bar{x}]) = [x']$ for any cycle $\bar{x} \in \bar{C}_m$.

Hence x' is defined by first choosing $x \in p_m^{-1}([\bar{x}])$,

setting $\partial_m(x) = i_{m-1}(x')$. This is possible because

$$\partial_m(x) \in \text{im}(i_{m-1}) = \ker(p_{m-1}), \quad p_{m-1}(\partial_m(x)) = \bar{\partial}_m x = \bar{\partial}_m \bar{x} = 0$$

Proof:

If we choose another $y \in p_m^{-1}([\bar{x}])$, then we get y' by same

definition, so $i(y') = \partial(y)$

$$p_m(y - x) = 0 \stackrel{\text{exactness}}{\Rightarrow} \exists z \in C_{m-1}, i(z) = y - x$$

$$i_{m-1}(y' - x') = \partial(y) - \partial(x) = \partial(y - x) = \partial i(z) = i_{m-1}\partial(z).$$

i is injective, so $y' - x' = \partial_{m-1}(z)$, so $[y'] = [x']$ is needed.

Also, check that x' is a cycle, so $\partial_{m-1}x' = 0$.

Equivalently, $i\partial'x' = \partial i x' = \partial\partial x = 0$.

If we pick $\bar{y} \in \ker(\bar{\partial}_m)$ s.t. $[\bar{x}] = [\bar{y}]$, then we should get

the same $[x']$ back. So $\exists \bar{z} \in \bar{C}_{m-1}$, $\bar{y} - \bar{x} = \bar{\partial}\bar{z}$.

Set $y = x + \bar{\partial}\bar{z}$, for $x \in p_m^{-1}(\bar{x})$, $z \in p_{m-1}^{-1}(\bar{z})$, then

$$p_m(y) = \bar{y} \quad \text{Define } y' \text{ by } i(y') = \partial(y) = \partial(x) + \bar{\partial}\bar{\partial}z = \partial(x) =$$

$$= i(x') = 0, \quad \text{so } x' = y'.$$

$$\partial p z = p \bar{\partial} z$$

$$\delta([\bar{x}]) + \delta([\bar{y}]) \stackrel{?}{=} \delta([\bar{x}] + [\bar{y}])$$

IDEA: We can pick x, y to make x' and y' -

Now pick $x + \bar{\partial}\bar{z}$, $y \in p_{m-1}^{-1}(\bar{z})$ to make

$$(x+y)' = x' + y'.$$

PROPOSITION:

The diagram:

$$\dots \rightarrow H_{m+1}(C') \xrightarrow{i} H_m(C) \xrightarrow{P} H_{m+1}(\bar{C}) \xrightarrow{\delta_{m+1}} H_m(C') \xrightarrow{i} H_m(C) \xrightarrow{P} H_m(\bar{C}) \rightarrow \dots$$

$$\xrightarrow{\delta_m} H_{m-1}(C') \xrightarrow{i} \dots \xrightarrow{P} H_0(\bar{C})$$

gives a complex and it is exact at every place
(no homology!).

Proof:

(a) We should check that $i_{m-1} \circ \delta_m = 0$

(b) $\ker(i_{m-1}) \subset \text{im}(\delta_m)$

First (a): Start with cycle $\bar{x} \in \bar{C}_m$, so $\bar{\partial}\bar{x} = 0$ by definition

$$[i(\delta([\bar{x}]))] = [i([\bar{x}])] = [\bar{\partial}[\bar{x}]] = 0.$$

(b): $\bar{x} \in \ker(\bar{\partial}_{m-1})$ and $i(x') = \bar{\partial}\bar{x}$

Set $\bar{x} = p(x)$, then

Chapter 4 is much about simplicial sets.

DEFINITION:

We can define the category Δ with objects

$$[m] = \{0, 1, \dots, m\} \subseteq \mathbb{Z} \text{ and morphisms:}$$

order preserving set map $[m] \rightarrow [m]$

(for example $S_i: [m] \rightarrow [m+1]$)

DEFINITION:

A SIMPLICIAL SET K is a contravariant functor

$$\Delta \xrightarrow{K} \text{Set}$$

EXAMPLE:

$K = S(X)$, $S(X)_{[m]} = \{ \Delta^m \rightarrow X \text{ continuous} \}$

$$[m] \xrightarrow{\delta_2} [m+1] \text{ gives } \Delta^m \xrightarrow{\delta_2} \Delta^{m+1},$$

$$S(X)_{[m+1]} \xrightarrow{S(X)(\delta_2)} S(X)_m$$

$$\sigma \longmapsto \sigma \circ \delta_2$$

$$K: \Delta \longrightarrow S(X)$$

$$[m] \longmapsto S(X)_m$$

$$([m] \xrightarrow{\delta_2} [m+1]) \longmapsto (S(X)_{[m+1]} \longrightarrow S(X)_m)$$

$$\sigma \longmapsto \sigma \circ \delta_2$$

HOMOTOPY INVARIANCE

DEFINITION:

X, Y are HOMOTOPIC if $\exists G: X \times I \rightarrow Y$ s.t.

$G(x, 0) = f, G(x, 1) = g$. (We write $f \simeq g$)

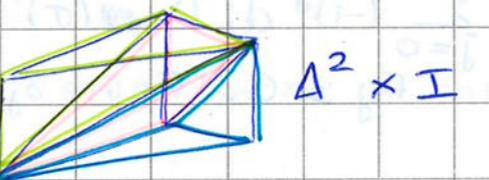
THEOREM:

$$[\sigma] \xrightarrow{\tau} [f \circ \tau] = [g \circ \tau]$$

$$f \simeq g \Rightarrow f_* = g_*: H_m(X) \longrightarrow H_m(Y)$$

Idea of the proof is a triangulation of the prism by simplices. More correctly we will exhibit a CHAIN HOMOTOPY i.e. maps $p_n: C_m(X) \longrightarrow C_{m+1}(Y)$ such that

$$\partial_{m+1} p_n + p_{n-1} \partial_m = g_* - f_*$$



N.B. P stands for prism.

$$\partial P = g_* - f_* + P\partial$$

$$\Delta^m \xrightarrow{\sigma} X \xrightarrow{f} Y$$



DEFINITION:

We may define some auxiliary order preserving maps.

Recall $[m] = \{0, 1, \dots, m\}$.

Set $\underline{\alpha}_i: [m+1] \longrightarrow [1]$ the map sending the first $i+1$ elements to 0.

$\underline{\gamma}_i: [m+1] \longrightarrow [m]$ hits i twice and all others once.

$\underline{\delta}_i: [m+1] \longrightarrow [m]$ skips i

LEMMA:

$$\tau_i \circ s_j = s_j \circ \tau_{i-1} \quad (j < i)$$

$$\tau_i \circ s_j = s_{j-1} \circ \tau_i \quad (j > i)$$

$$\tau_i \circ s_i = \text{id} = \tau_i \circ s_{i+1}$$

$$d_i \circ s_j = \begin{cases} d_{i-1} & j \leq i \\ d_i & j > i \end{cases}$$

Proof:

For the proof define $p_m(\tau) := \sum_{i=0}^m (-1)^i G_*(\tau \circ \tau_i \circ g_i)$, where

$G: X \times I \rightarrow Y$ is the homotopy between f and g .

G_* is the induced map $G_*: S(X \times I)_m \cong S(X)_m \times S(I)_m \rightarrow S(Y)_m$

$$\Delta^{m+1} \xrightarrow{\tau_i} \Delta^m \xrightarrow{\tau} X \times I \xrightarrow{G} Y$$

Claim: $\forall \tau: \partial_{m+1} p_m(\tau) = -p_{m-1} \partial_m(\tau) + g_*(\tau) - f_*(\tau)$

By definition $\partial_{m+1} p_m(\tau) = \sum_{j=0}^{m+1} (-1)^j d_j p_m(\tau) =$
 $= \sum_{j=0}^{m+1} \sum_{i=0}^m F_{ij} \quad (\text{where } F_{ij} = G_*(\tau \circ \tau_i \circ s_j, d_i \circ s_j))$

$$\sum_{i=j}^m F_{ij} + \sum_{i=j+1}^m F_{ij} + \sum_{i < j} F_{ij} + \sum_{i=j+1}^{m+1} F_{ij} \rightarrow$$

$$\rightarrow (1) + (2) = g_*(\tau) - f_*(\tau)$$

$$(3) + (4) = -p_{m-1} \partial_m(\tau), \text{ in fact:}$$

$$(1) = \sum_{i=0}^m (-1)^{2i} G_*(\tau \circ \tau_i \circ s_i, d_i \circ s_i) = \sum_i G_*(\tau, d_{i-1})$$

$$(2) = \sum_{i=0}^m (-1)^{2i+1} G_*(\tau \circ \tau_i \circ s_{i+1}, d_i \circ s_{i+1}) = - \sum_i G_*(\tau, d_i)$$

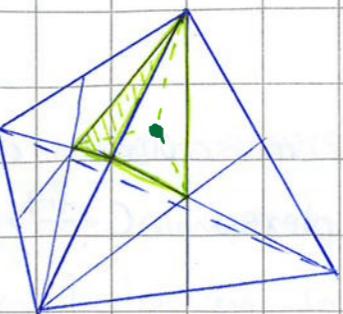
$$(1) + (2) = G_*(\tau, d_{i-1}) - G_*(\tau, d_i) = g_*(\tau) - f_*(\tau)$$

$$(3) + (4) = \sum_{\substack{i'=i-1 \\ j=i-1}} (-1)^{i+j} G_*(\tau \circ s_j \circ \tau_{i-1}, d_{i-1}) + \sum_{\substack{i' > i+1 \\ j > i+1}} (-1)^{i+j} G_*(\tau \circ s_j \circ \tau_i, d_i) =$$

$$\stackrel{j \downarrow}{=} - \sum_{\substack{i' \\ j \leq i}} (-1)^{i+j} G_*(\tau \circ s_j \circ \tau_{i'}, d_{i'}) - \sum_{\substack{i' \\ j > i+1}} (-1)^{i+j} G_*(\tau \circ s_j \circ \tau_i, d_i) =$$

$$= - \sum_{n=0}^{m-1} (-1)^{1+n} G_*(\tau \circ s_n \circ \tau_{n+1}, d_n) = \sum_{n=0}^m (-1)^n d_n P_{n+1}(\tau) = - \partial_m p_m(\tau)$$

SMALL SIMPLICES THEOREM

(next lecture \rightsquigarrow excision thm)

BARICENTRIC SUBDIVISION

Fix an admissible covering $(\mathcal{O}_i)_{i \in I}$, where $\mathcal{O}_i \subset X$ and

$$X = \bigcup_{i \in I} \text{interior}(\mathcal{O}_i)$$

DEFINITION:

A simplex $\sigma: \Delta^m \rightarrow X$ is called SMALL if $\text{im}(\sigma) \subset \mathcal{O}_i$ for some $i \in I$

DEFINITION:

$$S^0(X)_m := \{ \sigma \in S(X)_m \mid \sigma \text{ is small} \}$$

∂ boundary map restricts to a map

$$A[S(X)_m] \xrightarrow{\partial_m} A[S^0(X)_m]$$

$$C_m^0(X) \xrightarrow{\partial_m} C_{m-1}^0(X)$$

We get a small subchain complex

$$C_m^0(X) \xrightarrow{i} C(X)$$

SMALL SIMPLICES THEOREM: (prop 2.21 pag 119 Hatcher or thm 5.18 lecture 5 notes)

The inclusion $C_m^0(X) \hookrightarrow C_m(X)$ is chain homotopic equivalence, so $\exists p: C_m(X) \rightarrow C_m^0(X)$ such that $i \circ p$ and $p \circ i$ are chain homotopic to the identity.

QED

COROLLARY:

i induces an isomorphism $H_m^{\otimes}(X) \rightarrow H_m(X)$.

DEFINITION:

A family of maps $P_m: C_m \rightarrow D_{m+1}$ is called a CHAIN HOMOTOPY between the chain complexes $C \xrightarrow{f,g} D$

if $\partial P + P\partial = g - f$.

(In the homework exercise $\begin{array}{c} g \\ \downarrow P \\ f \end{array}$)

Note if f, g are chain homotopic, then f_* and g_* are equal; $f_*: H_m(C) \rightarrow H_m(D)$, $g_*: H_m(C) \rightarrow H_m(D)$

$$\begin{array}{ccccccc} \rightarrow & C_5 & \rightarrow & C_4 & \rightarrow & C_3 & \rightarrow & C_2 \rightarrow C_1 \rightarrow C_0 \\ & \searrow & & \swarrow & & \swarrow & & \swarrow \\ & D_5 & \leftarrow & D_4 & \leftarrow & D_3 & \leftarrow & D_2 \leftarrow D_1 \leftarrow D_0 \end{array}$$

Proof of small simplex theorem:

Four steps of barycentric subdivision

(1) on simplices

(2) Affine chains

(3) General chains

(4) Iterated subdivision

(1) Barycentric subdivision of simplices:

Denote by $[v_0, \dots, v_m]$ the ^{affine} m-simplex the convex-hull of v_0, v_1, \dots, v_m in \mathbb{R}^m . Note the standard m-simplex $\Delta^m \subset \mathbb{R}^{m+1}$ is $[e_0, e_1, \dots, e_m]$.

We will identify the map $\Delta^m \rightarrow [v_0, \dots, v_m]$ with $[v_0, \dots, v_m]$

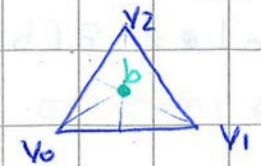
$e_i \mapsto v_i$

remove v_i from the list

Then $\partial[v_0, \dots, v_m] = \sum_{i=0}^m (-1)^i [v_0, v_1, \dots, \hat{v_i}, \dots, v_m]$

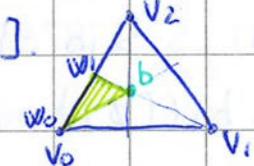
The baryzentron of $[v_0, \dots, v_m]$ is the point $b = \frac{1}{m+1} \sum_{i=0}^m v_i$

$$\{ \sum t_i v_i \mid t_i \geq 0, \sum_{i=0}^m t_i = 1 \}$$



DEFINITION:

The BARICENTRIC SUBDIVISION of $[v_0, \dots, v_m]$ is the net of $(m-1)$ -affine simplices of the form $[b, w_0, \dots, w_{m-1}]$, where $[w_0, \dots, w_{m-1}]$ is an $(m-1)$ -simplex in the barycentric subdivision of some face $[v_0, \dots, \hat{v_i}, \dots, v_m]$. ($[v_0]$ has barycentric subdivision $\{[v_0]\}$).



EXERCISE:

Under subdivision simplices get smaller.

The diameter is multiplied by $\frac{m}{m+1} \leq 1$.

(2) Barycentric subdivision of Affine chains:

On any convex set $Y \subset \mathbb{R}^N$, the affine maps $\lambda: \Delta^m \rightarrow Y$ generate a subgroup $L(C_n(Y)) \subset C_n(Y)$ ($\lambda \in L(C_m(Y))$ line preserving simplices)

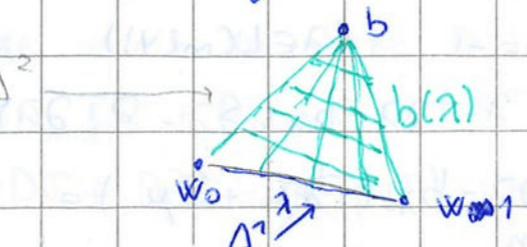
Since this is compatible with boundary, ∂ , we get a sub-chain complex $L((Y)) \subset C(Y)$.

Set $L_{-1}(Y) = A$ (abelian group we are working with) generated by $[\emptyset]$ empty simplex and $\partial[v_0] = [\emptyset]$ for all affine 1-simplices $[v_0]$.

On each point $b \in Y$ determines a morphism

$$b: L(C_m(Y)) \rightarrow L(C_{m+1}(Y))$$

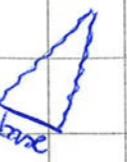
defined by $b([w_0, \dots, w_m]) = [b, w_0, \dots, w_m]$



$$\partial_{m+1} b + b \partial_m = \text{id};$$

$$\partial b[v_0, \dots, v_m] = \partial[b, v_0, \dots, v_m] = [v_0, \dots, v_m] - b \sum_{i=0}^m (-1)^i [v_0, \dots, \hat{v}_i, \dots, v_m]$$

∂ cone = cone on ∂ + base



Define $S: L(C_m(Y)) \rightarrow L(C_m(Y))$ inductively by

$S(\lambda) := b_\lambda(S\partial\lambda)$ for $\lambda \in L(C_m(Y))$, where b_λ is the image of barycenter of Δ^m under $\lambda: \Delta^m \rightarrow Y$.

$$S([\emptyset]) = [\emptyset] \quad (\text{EXERCISE}) \quad S \text{ is the identity on } L(-1) \text{ and } L(C_0(Y))$$

$$S[\underbrace{v_0}_{\lambda}] = b_\lambda(S\partial[v_0]) = b_\lambda([\emptyset]) = [v_0].$$

S is a chain map: $S: L(C(Y)) \rightarrow L(C(Y))$, ie. $\partial S = S\partial$

Since S_m is identity for $m=-1, 0$, it is true that

$$\partial S \circ = S \circ \partial. \quad \partial b + b \partial = \text{id}$$

Proof by induction: $\partial S\lambda = \partial b_\lambda(S\partial\lambda) \stackrel{?}{=} S\partial\lambda - b_\lambda(\partial S\partial\lambda) =$

induction

$$\stackrel{?}{=} S\partial\lambda - b_\lambda(S\partial\partial\lambda) = S\partial\lambda.$$

Next we build a chain homotopy

$T: L(C_m(Y)) \rightarrow L(C_{m+1}(Y))$ between S and id .

$$\text{Set } T_{-1} = 0 \text{ and } T_\lambda = b_\lambda(\lambda - T\partial\lambda)$$

$$\begin{array}{c} L_C \rightarrow L_C_0 \xrightarrow{\partial} L_C_{-1} \\ S \downarrow \quad T_0 \downarrow S_0 \quad \swarrow T_{\partial 0} \quad \downarrow S_{-1} \\ L_C \xrightarrow{\partial} L_C_0 \xrightarrow{\partial} L_C_{-1} \end{array}$$

We need to prove

$$\partial T + T\partial = \text{id} - S \quad (*)$$

Proof by induction:

base case: $T=0$ and $S=\text{id}$ in $m=-1$ ($\lambda \in L(C_m(Y))$) $\mu = \partial\lambda$

$$\partial T\lambda = \partial b_\lambda(\lambda - T\partial\lambda) = \lambda - T\partial\lambda - b_\lambda(\partial\lambda - \partial T\partial\lambda) \stackrel{?}{=}$$

$$= \lambda - T\mu - b_\lambda(\mu - \partial T\mu) = \lambda - T\partial\lambda - b_\lambda(T\partial\mu + S\mu) =$$

\uparrow induction

$$= \lambda - T\partial\lambda - b_\lambda(S\partial\lambda) = \lambda - T\partial\lambda - S\lambda.$$

(3) General chains:

Define $S: C_m(X) \rightarrow C_m(X)$ by $S\sigma := \tau_*(S\Delta^m) \in L(C_m(\Delta^m))$

$$\begin{array}{ccc} \Delta^m & \xrightarrow{S\Delta^m} & \Delta^m \xrightarrow{\sigma} X \\ \downarrow & & \downarrow \\ \Delta^1 & \longrightarrow & \Delta^1 \\ \Delta^0 & \longrightarrow & \Delta^0 \\ \Delta^1 & \longrightarrow & \Delta^1 \end{array}$$

$$\Delta^m \xrightarrow{\sigma} X \xrightarrow{f} Y$$

$$C_m(\Delta^m)$$

↑

$$R^{m+1} \supset Y$$

a convex subset

$$\begin{aligned} \text{Note: } S \text{ is a chain map} \quad (\partial S(\sigma) &= \partial \tau_*(S\Delta^m) = 0) \\ &= \tau_* \partial(S\Delta^m) \stackrel{(2)}{=} \tau_* S(\partial \Delta^m) = \sum_{i=0}^m (-1)^i \tau_* S\Delta^m \circ \delta_i = \\ &= \sum_{i=0}^m (-1)^i S(\tau_* \delta_i) = S \circ \partial(\sigma) \end{aligned}$$

Similarly define $T: C_m(X) \rightarrow C_{m+1}(X)$ by

$$T\sigma := \tau_*(T\Delta^m), \quad T\Delta^m \in L(C_{m+1}(\Delta^m))$$

As before we can check we get a chain homotopy between S and id : $\partial T + T\partial = \text{id} - S$ (check it).

(4) Iterated subdivision:

A chain homotopy between S^m and id is given by

$$D_m: C_m(X) \rightarrow C_{m+1}(X)$$

$$D_m = \sum_{i=0}^{m-1} TS^i.$$

Now check that $\partial D_m + D_m \partial \stackrel{?}{=} \text{id} - S^m$

$$\begin{aligned} \text{Indeed } \partial D_m + D_m \partial &= \sum \partial TS^i + TS^i \partial = \sum (\partial T + T\partial) S^i = \\ &= \sum_{i=0}^{m-1} (\text{id} - S) S^i = \text{id} - S^m. \end{aligned}$$

For each m -minplex $\Delta^m \xrightarrow{\sigma} X \ni m(\sigma)$ nt.

$$S^{m(\sigma)} \tau \in C_m^\sigma(X)$$

\because because of the $\frac{m}{m+1}$ factor

Now we define $D: C_m(X) \rightarrow C_{m+1}(Y)$ by $D\sigma := D_m(\sigma) \tau$.

We want to make D into a chain homotopy, we rewrite

$$\partial D_{m(\sigma)} \tau + D_{m(\sigma)} \tau = \tau - S^{m(\sigma)} \tau.$$

$$\partial D\sigma + D\partial\sigma = \tau - p(\tau), \text{ where } p(\tau) = S^{m(\sigma)} \tau + D_{m(\sigma)} \tau - D\partial\sigma.$$

The equation $\partial D\sigma + D\partial\sigma = \sigma - p(\sigma)$ will give the chain homotopy between $i \circ p$ and id .
 $p(\sigma) \in C_m^0(X)$.

REDUCED HOMOLOGY \tilde{H}

DEFINITION:

We define $\tilde{H}_m(X) = \begin{cases} H_m(X) & \text{if } m \neq 0 \\ \ker(H_0(X) \rightarrow H_0(*)) & \text{if } m = 0 \end{cases}$

EXERCISE:

Prove that $X \neq \emptyset$.

With reduced homology our results look more uniform.

EXAMPLE:

If X contractible we have $H_m(X) = \begin{cases} A & \text{if } m = 0 \\ 0 & \text{if } m \neq 0 \end{cases}$
while $\tilde{H}_m(X) = 0 \forall m$.

EXAMPLE:

If on the sphere we have

$$H_m(S^m) = \begin{cases} A & \text{if } m = m \\ \{0\} & \text{if } m \neq m \\ A \oplus A & \text{if } m = m = 0 \end{cases}$$

$H_0(S^0) \cong A \oplus A$ because $S^0 = \text{two points}$

$$\ker \left(H_0(S^0) \xrightarrow{\quad (a, b) \mapsto a+b \quad} H_0(*) \right) = \{ (a, -a) \in A \oplus A \mid a \in A \} \cong H_0(S^0) \cong A$$

DEFINITION:

Set $A = \mathbb{Z}$. The DEGREE of a continuous map

$f: S^m \rightarrow S^m$ is the image of the generator under

the map $f_*: \tilde{H}_m(S^m) \rightarrow \tilde{H}_m(S^m)$

$$\text{Deg}(f) \cdot x = f^* x$$

EXAMPLE:

$$f: \mathbb{C} \rightarrow \mathbb{C}$$

$$z \mapsto \sum_{i=1}^m a_i z^i$$

look at $z \mapsto z^m \Rightarrow$ this is

wrapping m times

PROPOSITION:

$$\deg(-\text{id}|_{S^m}) = (-1)^{m+1}$$

↑ antipodal map

If we consider S^0 , then the antipodal map just swaps the points $\Rightarrow \deg(-\text{id}|_{S^0}) = -1$

We prove it later.

LEMMA:

(i) If f and g are homotopic maps, then $\deg(f) = \deg(g)$

(ii) $\text{Deg}(-)$ is a functor. $\text{Deg}(-): S \rightarrow \mathbb{Z}$

where category S is given by $\begin{cases} \text{Ob}(S) = S^m \\ \text{Hom}(S^m, S^m) = \text{continuous maps } S^m \rightarrow S^m \end{cases}$

and the category \mathbb{Z} is given by: $\begin{cases} \text{Ob}(\mathbb{Z}) = \{\ast\} \\ \text{Hom}(\ast, \ast) = \mathbb{Z} \end{cases}$

It follows that $\deg(g \circ f) = \deg(g) \deg(f)$

(iii) If f is an homotopic equivalence then $\deg(f) = \pm 1 \in \mathbb{Z}^*$

(since $f \circ h \cong \text{id}$)

DEFINITION:

Define $f_m: S^m \rightarrow S^m \subset \mathbb{R}^{m+1}$

$$(x_0, \dots, x_m) \mapsto (-x_0, \dots, x_m)$$

PROPOSITION:

$$\deg(f_m) = -1$$

Proof:

By induction on m :

Induction base: $m=0$:

$$\text{Ho}(S^0) \cong \mathbb{Z} \oplus \mathbb{Z}, (f_0)_*: \text{Ho}(S^0) \xrightarrow{\sim} \text{Ho}(S^0) \quad \text{Ho}(S^0) = \{(a, -a) | a \in \mathbb{Z}\}$$

$$(a, b) \mapsto (b, a)$$

$$\text{So } (f_0)_*(x) = -x \text{ on } \text{Ho}(S^0).$$

Induction step:

Assuming $m > 1$:

$$\begin{array}{c} \widetilde{\text{H}}_m(S^m) \xrightarrow{\sim} \text{H}_m(D^m, S^{m-1}) \xrightarrow{\sim} \text{H}_{m-1}(S^{m-1}) \\ \downarrow (f_m)_* \qquad \qquad \qquad \downarrow (f_{m-1})_* \\ \widetilde{\text{H}}_m(S^m) \xrightarrow{\sim} \text{H}_m(D^m, S^{m-1}) \xrightarrow{\sim} \text{H}_m(S^m) \end{array}$$

Following the morphism from lecture 3, we find that

$$\deg(f_m) = \deg(f_{m-1})$$

□

PROPOSITION:

$$\deg(-\text{Id}|_{S^m}) = (-1)^{m+1}$$

Proof:

Follows from $-\text{Id} = f_{m,n} \circ f_{m,n-1} \circ \dots \circ f_{m,0}$ where $f_{m,i}(e_i) = -e_i$ and $f_{m,j}(e_j) = e_j$ for $j \neq i$.

Same argument says that $\deg(f_{m,i}) = -1$

$f_{m,i} = h \circ f_{m,0} \circ \dots \circ h$ with $h(e_i) = e_0$, $h(e_0) = e_i$,

$h(e_j) = e_j$ if $j \notin \{0, i\}$. Since h is a homeomorphism, then $\deg(h) = \pm 1$.

□

Small simplices step (4):

Last time we had:

$$(*) \partial D\Gamma + D\partial\Gamma = \sigma \circ p(\sigma) \text{ where } D \text{ becomes a chain homotopy: } D_m: C_m(X) \rightarrow C_{m+1}(X)$$

$$\text{This constructed } \varphi: C_m(X) \rightarrow C_m^{\partial}(X)$$

D is a chain homotopy from φ to Id .

To check that φ is a chain map:

$$\partial\varphi = \varphi\partial \text{ follows from } (*)$$

$$[\partial D\partial\Gamma = \partial\Gamma - \partial p(\sigma) \Rightarrow \partial p(\sigma) = \partial\Gamma - \partial D\partial\Gamma = \varphi(\partial\Gamma)] \quad \square$$

Therefore we have $\varphi \circ i = \text{id}$ and $i \circ \varphi \cong \text{id}$,

$i: C^{\partial}(X) \rightarrow C(X)$. This shows that

$$\text{H}_m^{\partial}(X) \xrightarrow{\sim} \text{H}_m(X)$$

EXCISION THEOREM:

$Y \subset X' \subset X$ s.t. $\text{closure}(Y) \subset \text{interior}(X')$

Then $H_m(X, X') \cong H_m(X \setminus Y, X' \setminus Y)$ is an isomorphism induced by the inclusions of pairs.

Proof:

$$C^0(X) \rightarrow C(X), \quad \theta = \{x', x, \dim(Y)\}$$

$$\frac{C^0(X)}{C(X')} \rightarrow \frac{C(X)}{C(X')} \text{ induces } \frac{x''}{C(X' \cap (X \setminus Y))} \rightarrow \frac{C^0(X)}{C(X')} \quad \square$$

REMARK:

Why diameter of m -simplices shrinks by factor

$\frac{m}{m+1}$ under barycentric subdivision -

If we define b_i to be the barycenter of $[v_0, \dots, \hat{v_i}, \dots, v_m]$

$$\text{then } b = \frac{1}{m+1} v_i + b_i \frac{m}{m+1}$$

$$\Rightarrow \|b - v_i\| = \frac{m}{m+1} \|b_i - v_i\|$$

DEFINITION:

$X \rightarrow Y$ continuous map, we say Y ARISES from X by

attaching a m -cell along if we have a pushout diagram

$$\begin{array}{ccc} \partial D^m & \xrightarrow{f} & X \\ \downarrow & \downarrow & \\ D^m & \longrightarrow & Y \end{array} \quad \boxed{\text{pushout diagram!}}$$

$$\begin{array}{ccc} \partial D^m & \longrightarrow & X \\ \downarrow & \downarrow & \\ B & \xrightarrow{\exists!} & Y \\ & \dashrightarrow & \end{array}$$

DEFINITION:

Given $B \xleftarrow{i} A \xrightarrow{f} X$ continuous maps, we say

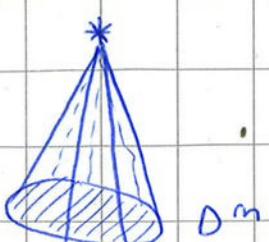
$Y = X \sqcup_A B = X \sqcup B / N$ ($i(a) \sim f(a)$), if we have the

pushout: $A \rightarrow X$

$$\begin{array}{ccc} \downarrow & \downarrow & \\ B & \rightarrow & X \sqcup_A B \end{array}$$

EXAMPLE:

S^m arises by attaching m -cells to the $D^m = *$ leaving the attaching map



$$\partial D^m \xrightarrow{f} *$$
 constant map

(EXERCISE: prove the implied homeomorphism)

To prove homeomorphisms like this it is useful to recall $X \xrightarrow{f} Y$ open compact Hausdorff space -

Then if f is a continuous bijection, f is homeo -