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# Algebraic Geometry lecture 1

Vak: \_\_\_\_\_

Naam: \_\_\_\_\_

Datum: \_\_\_\_\_

Studierichting: \_\_\_\_\_

Docent: \_\_\_\_\_

Collegekaartnummer: \_\_\_\_\_

## Affine variety ( $\subset \mathbb{A}^n$ )

corresponds to a finitely generated  $k$ -algebra that is an integral domain.

Example:  $ay - x^2 \quad k[x, y], a \in k$

$a \neq 0 \rightarrow$  non singular affine curve

$a = 0 : x^2 = 0 \quad k[x, y]/(x^2)$

$xy - a \quad a \neq 0 : \text{irreducible}$

$a \neq 0 \quad a = 0 : \text{reducible} \quad k[x, y]/(xy)$

not integral domain.

## Grothendieck

$R$  arbitrary commutative ring with 1.

$\rightsquigarrow \text{Spec}(R)$  spectrum of the ring  $R$

1) topological space

2) sheaf of functions: structure sheaf

affine scheme: building blocks of schemes.

## The spectrum of a ring

Rings will be commutative with 1

let  $R$  be a ring. We define the spectrum of  $R$  denoted  $\text{Spec}(R)$ .

As a set,  $\text{Spec}(R)$  simply consists of the prime ideals of  $R$ .

We write  $[P]$  for the point (element) of  $\text{Spec}(R)$  corresponding to the prime ideal  $P$  of  $R$ .

We make  $\text{Spec}(R)$  into a topological space as follows:

The closed sets will be the sets

$$V(A) = \{[P] \mid P \supseteq A\}$$

Exercise 1.1: This defines a topology on  $\text{Spec}(R)$ . It is called the Zariski topology.

The following open sets play a crucial role. For  $f \in R$ , define  $D(f)$  as  $\{[P] \mid f \notin P\}$ ;

It is easy to see that

$$\text{Spec}(R) - V(A) = \bigcup_{f \in A} D(f)$$

so the distinguished open sets form a basis of the topology.

Exercise 1.3 (i)  $\rightarrow$  closure of  $\{[P]\}$  equals  $V(P)$  so  $[P]$  is a closed point of  $\text{Spec}(R)$  if and only if  $P$  is a maximal ideal.

Let  $Z$  be an irreducible closed subset of  $\text{Spec}(R)$ . Then a point  $z \in Z$  is called a generic point of  $Z$  if  $Z$  equals the closure of  $z$ , i.e. every nonempty open subset of  $Z$  contains  $z$ .

Proposition 1: If  $x \in \text{Spec}(R)$ , then the closure of  $x$  is irreducible.

So  $x$  is a generic point of this set.

Conversely, every irreducible closed subset  $Z \subseteq \text{Spec}(R)$  equals  $V(P)$  for some prime ideal  $P \subset R$  and  $[P]$  is its unique generic point.

Proposition 2 Let  $\{f_\alpha \mid \alpha \in S\}$  be a set of elements of  $R$ . Then  $\text{Spec}(R) = \bigcup_{\alpha \in S} D(f_\alpha)$  if and only if  $I$  is in the ideal generated by the  $f_\alpha$ 's.

Proof: The equality holds  $\Leftrightarrow$  no prime ideal contains the ideal generated by the  $f_\alpha$ 's.  $\Leftrightarrow I$  is in that ideal.  $\square$

Note: If this happens, then finitely many  $f_\alpha$ 's suffice.

Corollary:  $\text{Spec}(R)$  is quasi-compact.

Proof: It suffices to check that every covering by distinguished open sets has a finite subcover. (Check this). But now we use Prop. 2 and the remark above.  $\square$

(Generalization:  $D(f)$  is quasi-compact. Assume the  $f_\alpha$  are such that  $D(f_\alpha) \subseteq D(f)$ . Then  $D(f) = \bigcup_{\alpha \in S} D(f_\alpha) \Leftrightarrow$  each prime ideal not containing  $f$  does not contain some  $f_\alpha \Leftrightarrow$  no prime ideal ~~not~~ containing  $f$  contains all  $f_\alpha$ 's  $\Leftrightarrow$  a prime ideal containing all  $f_\alpha$ 's contains  $f \Leftrightarrow f$  is in the radical of the ideal  $\Leftrightarrow \exists n \geq 1$  such that  $f^n$  is in the ideal generated by  $f_{\alpha_1}, \dots, f_{\alpha_k}$ . Then  $D(f) = \bigcup_{j=1}^k D(f_{\alpha_j})$ )

and we are done as above.)

Let us write  $X$  for  $\text{Spec}(R)$  and  $X_f$  for  $D(f)$ . Then  $X_f \cap X_g = X_{fg}$  (easy).

Moreover,  $X_f \supseteq X_g \iff g \in \sqrt{(f)}$ . (Note  $g \notin \sqrt{(f)} \iff \exists P: f \in P, g \notin P \iff \exists P: [P] \notin X_f, [P] \in X_g \iff X_f \not\supseteq X_g$ .)

So, for every ring  $R$  (commutative with 1), we have made a topological space  $\text{Spec}(R)$ . We have also seen some properties of it, directly related to some properties of ideals and prime ideals. No doubt, we've noticed the similarity between the topology of  $\text{Spec}(R)$  and the (Zariski) topology of an affine variety.

The next step in making a geometric object out of  $\text{Spec}(R)$  (so that we can do algebraic geometry with arbitrary rings  $R$  as above instead of only with finitely generated  $k$ -algebras with  $k$  algebraically closed) is to find/define the right class of functors.

The idea is very simple: we want to associate the localisation  $R_f$  to  $X_f$ . (The abstract concept of a sheaf is natural here).

We need to check several things (exercise 1.4)

are shows, for a prime ideal  $P$  of  $R$ , that  $R_P$  is the direct limit of the rings  $R_f$  over  $f$  such that  $[P] \in X_f$ .

**Lemma 1:** Suppose  $X_f = \bigcup_{\alpha \in S} X_{f\alpha}$ . If  $g \in R_f$  has image 0 in all rings  $R_{f\alpha}$ , then  $g = 0$ .

**Lemma 2:** Suppose  $X_f = \bigcup_{\alpha \in S} X_{f\alpha}$ . Suppose we have  $g_\alpha \in R_{f\alpha}$ , such that  $g_\alpha$  and  $g_\beta$  have the same image in  $R_{f\alpha\beta}$ . Then  $\exists g \in R_f$  with image  $g_\alpha$  in  $R_{f\alpha}$  for all  $\alpha$ .

So, assigning  $R_f$  to  $X_f$  we get what we may call a "sheaf on the basis of open subsets  $X_f$ ".

Lemma 1: Suppose  $X_f = \bigcup_{\alpha \in S} X_{f_\alpha}$

$X = \text{Spec}(R)$ $X_f = D(f)$ . Assign $R_f$ to $X_f$	Suppose $g \in R_f$ has image $0$ in $R_{f_\alpha} \forall \alpha \in S$ . Then $g = 0$ .
---	--

Proof:  $g = \frac{b}{f^n}$ . Look at  $A = \{c \in R \mid cb = 0\}$ , annihilator of  $b$ .

Equivalent are:  $g = 0$  in  $R_f \Leftrightarrow \exists m \geq 1 : f^m b = 0 \Leftrightarrow f^m \in A \Leftrightarrow f \in \sqrt{A}$   
 $\Leftrightarrow$  if  $P$  (prime)  $\supseteq A$  then  $f \in P$ .

Suppose instead that  $g \neq 0$  in  $R_f$ . Then  $\exists P$  prime,  $P \supseteq A$ ,  $f \notin P$ .  
 Then  $[P] \in X_f$  so  $\exists \alpha \in S : [P] \in X_{f_\alpha}$ .

Recall  $\lim_{f: [P] \rightarrow X_f} R_f = R_P$

$R_f \xrightarrow{\quad} R_{f_\alpha} \quad g$  has image  $0$  in  $R_{f_\alpha}$ , so image  $0$  in  $R_P$ .  
 commutes  $\downarrow$  Then  $b = f^n g$  also goes to  $0$  in  $R_P$ .  
 $\downarrow$  Then  $\exists c \in R - P$  with  $c \cdot b = 0$   
 So  $c \in A$ ,  $c \notin P$  but this is a contradiction to  $P \supseteq A$ .  $\square$

Lemma 2: Suppose  $X_f = \bigcup_{\alpha \in S} X_{f_\alpha}$

Given  $g_\alpha \in R_{f_\alpha}$  such that  $g_\alpha$  and  $g_\beta$  'agree' in  $R_{f_\alpha} \cap R_{f_\beta}$ .

Then  $\exists g \in R_f$  with image  $g_\alpha$  in  $R_{f_\alpha} \forall \alpha$ .

Proof: i) It suffices to prove this for a finite covering.

for  $f \in f$  Say  $X = X_{f_1} \cup \dots \cup X_{f_n}$ , a finite subcovering of the one given by the  $X_{f_\alpha}$ 's.

Suppose  $g \in R_f$  goes to  $g_i \in R_{f_i}$ .

We know:  $\forall \alpha : g_\alpha$  and  ~~$g_\alpha$~~   $g_i$  have the same image in  $R_{f_\alpha}$ .

$R \rightarrow R_{f_\alpha}$  is the same as  $R \rightarrow R_{f_i} \rightarrow R_{f_\alpha}$  and as  $R \rightarrow R_{f_\alpha} \rightarrow R_{f_\alpha}$ .

$\text{Im}(g_\alpha) \in R_{f_\alpha} = \text{Im}(g_i) \in R_{f_\alpha} = \text{Im}(g) \in R_{f_\alpha} = \text{image of } (\text{Im}(g) \text{ in } R_{f_\alpha}) \text{ in } R_{f_\alpha}$

So  $g_\alpha$  and  $(\text{Im}(g) \text{ in } R_{f_\alpha})$  are equal. Use lemma 1 for  $X_{f_\alpha} = \bigcup_{i=1}^n X_{f_{i\alpha}}$ .

Finite situation: Write  $g_i = \frac{b_i}{f_i^n}$  in  $R_{f_i}$ . Can take a single  $n$ .

Images of  $g_i$  and  $g_j$  in  $R_{f_\alpha}$  are equal: images are  $\frac{b_i f_i^n}{(f_i f_j)^n}, \frac{b_j f_i^n}{(f_i f_j)^n}$

$$\exists m_{ij} : (f_i f_j)^{m_{ij}} (b_i f_i^n - b_j f_j^n) = 0$$

Take a single  $M \geq m_{ij}$  (all  $i, j$ ). Write  $b'_i = b_i f_i^M$ ,  $g'_i = \frac{b'_i}{f_i^M}$ ,  $N = M + 1$



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$$X = \bigcup_{i=1}^n X_{f_i} = \bigcup_{i=1}^n X_{f_i^n}$$

$$1 \in (f_1^n, \dots, f_n^n)$$

$$1 = \sum h_i f_i^n$$

$$\text{Take } g = \sum h_i b_i$$

Thus  $g$  maps to  $g_i$  in  $R_{f_i}$ .  $\square$

As earlier, let  $X = \text{Spec}(R)$ . Recall from last time: assigning  $R_f$  to  $X_f$ , we get what one may call "a sheaf on the basis  $\{X_f\}$  of open subsets".

We want to extend this assignment to all open sets, to get an actual sheaf  $O_X$ . There is no choice:  $O_X(V)$  will be the set of elements  $\{s_p\}$  of the direct product  $\prod_{[P] \in V} R_p$ , for which there exists a covering of  $V$  by distinguished open subsets  $X_{f_\alpha}$ , together with elements  $s_\alpha \in R_{f_\alpha}$  such that  $s_p$  equals the image of  $s_\alpha$  in  $R_p$  whenever  $[P] \in X_{f_\alpha}$ .

Several verifications are necessary:

- $O_X(V)$  is a ring;
- If  $V \subset U$ , the coordinate projection from  $\prod_{[P] \in V} R_p$  to  $\prod_{[P] \in U} R_p$  takes  $O_X(V)$  to  $O_X(U)$ , so that  $O_X$  is a presheaf;
- $O_X$  is in fact a sheaf;
- $O_X(X_f) = R_f$ , (i.e., the new rule agrees with the old rule);
- The stalk of  $O_X$  at  $[P]$  is  $R_p$ .

$\lim F_f = R_p$   
↑  
stalk of  
 $O_X$  at  $P$ .



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Since points are not necessarily closed, there are also natural maps between the stalks: assume  $P_1 \subset P_2$  and write  $x_i$  for  $[P_i]$ . Then  $x_2$  is in the closure of  $x_1$ , so an open that contains  $x_2$  contains  $x_1$  as well. This gives a map  $\mathcal{O}_{x_2} \rightarrow \mathcal{O}_{x_1}$ ; check that this is the natural map  $R_{P_2} \rightarrow R_{P_1}$ .

Proposition 3: Let  $R$  be a ring and  $f \in R$ . Let  $X = \text{Spec}(R)$  and let  $Y = \text{Spec}(R_f)$ . Then  $X_f$  with the restriction of  $\mathcal{O}_X$  to  $X_f$  is isomorphic to  $Y$  with  $\mathcal{O}_Y$ .

Proof: There is a natural bijection between  $X_f$  and  $Y$ . One checks that this is a homeomorphism (exercise). A distinguished open subset of  $X$  in  $X_f$  is of the form  $X_{fg}$ ; it corresponds to  $Y_g$ . The two sheaves have sections  $R_{fg}$  on these open sets; this sets up an isomorphism  $\square$

Definition 1: A scheme is a topological space  $X$ , together with a sheaf of rings  $\mathcal{O}_X$  on  $X$ , such that there exists an open covering  $\{U_\alpha\}$  of  $X$  such that  $\forall \alpha$ , the pair  $(U_\alpha, \mathcal{O}_X|_{U_\alpha})$  is isomorphic to  $(\text{Spec}(R_\alpha), \mathcal{O}_{\text{Spec}(R_\alpha)})$  for some ring  $R_\alpha$ .

Definition 2: An affine scheme is a scheme  $(X, \mathcal{O}_X)$  isomorphic to  $(\text{Spec}(R), \mathcal{O}_{\text{Spec}(R)})$  for some ring  $R$ .

Remark: An affine scheme  $(Y, \mathcal{O}_Y)$  has a basis of open sets  $U$  such that  $(U, \mathcal{O}_Y|_U)$  is again an affine scheme (consider the  $Y_f$  for  $f \in \mathcal{O}_Y(Y)$  and use Prop. 3 above).

Remark: For  $U$  open in a scheme  $X$ , we have that  $(U, \mathcal{O}_X|_U)$  is a scheme (Note:  $X$  is covered by open affines  $U_\alpha$ , hence  $U \cap U_\alpha$  is covered by open affines since it is open in  $U_\alpha$ ).

Let us return to  $X = \text{Spec}(R)$ . We can view the elements of  $R$  as 'functions': take  $x = [P] \in \text{Spec}(R)$ , an element  $a$  of  $R$  gives an element of  $R_P$ , hence of  $k(x) = R_P/(P, R_P)$ , the residue field of  $R_P = \mathcal{O}_x$ , which equals the quotient field  $R/P$ .

Notation: we write  $a(x)$  for this element of  $k(x)$ ; we call it the value of  $a$  at  $x$ . More generally, whenever  $U$  open and  $a \in \mathcal{O}_x(U)$  we get a natural element  $a(x)$  in  $k(x)$ .

Discussion: It is reasonable to ask that function values lie in fields.

Example:  $R = \mathbb{Z}$ ,  $X = \text{Spec}(\mathbb{Z})$ .

$$X = \{[(2)], [(3)], [(5)], [(0)], \dots\}$$

$\mathcal{O}_{(0)} = \mathbb{Q}$     $\mathcal{O}_{(2)}$  = local ring; res. field  $\mathbb{F}_2 = \mathbb{Z}/2\mathbb{Z}$ .

= quotient field of  $R/(2) = \mathbb{Z}/2\mathbb{Z}$   
since  $(2)$  is maximal.

∴ Residue fields:  $\mathbb{Z}/2\mathbb{Z}, \mathbb{Q}, \mathbb{Z}/3\mathbb{Z}, \mathbb{Z}/5\mathbb{Z}, \dots$   
exactly all the prime fields.

Note that values at different points lie in different fields. The example  $\text{Spec}(\mathbb{Z})$  shows that this is unavoidable (and in fact natural).

Note: for  $a \in R$ : the value of  $a$  at every point of  $\text{Spec}(R)$  is zero  
 $\Leftrightarrow a$  is nilpotent.

These functions (and function values) play a role in the definition of a morphism between schemes.

Definition 3: let  $(X, \mathcal{O}_X)$  and  $(Y, \mathcal{O}_Y)$  be schemes. A morphism from  $X$  to  $Y$  is a continuous map  $f: X \rightarrow Y$  together with  $f^*: \mathcal{O}_Y(V) \rightarrow \mathcal{O}_X(f^{-1}(V))$  for each  $V$  open in  $Y$ , such that

(a) for  $V_1 \subset V_2$  open in  $Y$

$$f_{V_1}^* \circ \text{res}_{V_2, V_1}^Y = \text{res}_{f^{-1}(V_2), f^{-1}(V_1)}^X \circ f_{V_2}^*$$

(b) for  $V \subset Y$  open,  $x \in f^{-1}(V)$ ,  $a \in \mathcal{O}_Y(V)$ :

$f_{V_i}^*$ :

$$a(f(x)) = 0 \Rightarrow (f_{V_i}^*(a))(x) = 0$$

$$X \rightarrow Y$$

$$\forall V \subset Y, V_i \subset V$$

$$f_{V_i}^* : \mathcal{O}_Y(V_i) \rightarrow \mathcal{O}_X(f^{-1}(V_i))$$

$$f_{V_2}^* : \mathcal{O}_Y(V_2) \xrightarrow{\text{res}} \mathcal{O}_X(f^{-1}(V_2)) \xrightarrow{\text{res}}$$

The diagram commutes.

Note: the maps  $f_{V_i}^*$  need to be given explicitly now; this takes some getting used to. Equivalently, there should be a map  $f^* : \mathcal{O}_Y \xrightarrow{\#} \mathcal{O}_X$  between sheaves on  $Y$ , such that (b) holds. Here  $f_* \mathcal{O}_X$  is the direct image sheaf:  $f_* \mathcal{O}_X(V) = \mathcal{O}_X(f^{-1}(V))$

!  $f^*$  is the same as  $f^*$ , hence from now on use the notation  $f^*$

There is another way of looking at (b): for  $x \in X$ , write  $y = f(x)$ ; for each  $V$  open in  $Y$  containing  $y$ , we have  $f_{V_i}^*$ ; take the direct limit:

$$\mathcal{O}_{x,y} \rightarrow \lim \mathcal{O}_X(f^{-1}(V)) \rightarrow \mathcal{O}_{x,x}$$

(where the second arrow is natural); this map is denoted  $f_x^*$ , and the condition is:

$$f_x^*(m_y) \subseteq m_x$$

or equivalently,

$$(f_x^*)^{-1}(m_x) = m_y$$

$f_x^*$  local homomorphism (of local rings)

Note that  $f_x^*$  induces a map  $k_x : k(y) \rightarrow k(x)$  on the residue fields of the stalks and that  $k_x(a(y)) = (f_{V_i}^*(a))(x)$  for  $y \in V$  open and  $a \in \mathcal{O}_Y(V)$ .

The natural composition of morphism gives rise to the category of schemes.

Theorem 1: Let  $X$  be a scheme and let  $R$  be a ring. To a morphism  $f: X \rightarrow \text{Spec}(R)$ , associate the homomorphism  $f^\#: R = \mathcal{O}_{\text{Spec}(R)}(\text{Spec}(R)) \rightarrow \mathcal{O}_X(X)$ . This induces a bijection between  $\text{Mor}(X, \text{Spec}(R))$  and  $\text{Hom}(R, \mathcal{O}_X(X))$ .

Corollary 1: The category of affine schemes is isomorphic to the category of commutative rings with unit, with arrows reversed.

$$\begin{array}{ccc} X = \text{Spec}(S) & & \\ X = \text{Spec}(S) & & \\ f \downarrow & \rightsquigarrow f^*: R \rightarrow \mathcal{O}_X(X) = S. & \\ \text{Spec}(R) & & \\ f: X \rightarrow \text{Spec}(R) & & \text{notation for convenience} \\ \text{write } A_f \text{ for the map } f^\# \text{ in the theorem } A_f: R \rightarrow \mathcal{O}_X(X). & & \end{array}$$

From  $A_f$  we should construct a morphism of schemes.

First, a map between the topological spaces:

$$X \rightarrow \text{Spec } R$$

Observe: a point of  $\text{Spec } R$  is determined by the ideal of elements that vanish at it:

$$P = \{a \in R \mid a([P]) = 0\}.$$

Take  $x \in X$

$$\{a \in R \mid a(f(x)) = 0\} = \{a \in R \mid (f_x^\#(a))(x) = 0\}$$

$= \{a \in R \mid A_f(a)(x) = 0\}$ , so  $f(x)$  is determined by  $A_f$ .

Need also the local maps  $f_v^\#$ , for  $v \in \text{Spec}(R)$ .

Write  $V = \text{Spec}(R)$

Distinguished opens  $V_b$  ( $b \in R$ )

We know:  $f_{V_b}^\# \circ \text{res}_{V, V_b} = \text{res}_{f^{-1}(V), f^{-1}(V_b)} \circ A_f$   
 ↪ equivalent relation

It follows that  $f_{V_b}^\# : R_b \rightarrow \Gamma(f^{-1}(V_b), \mathcal{O}_X)$

determined  
by  $A_f$

$$\mathcal{O}_X(f^{-1}(V_b))$$

all maps are  
ring homomorphisms



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Want to conclude:  $A$  determines  $f$ .

The point:  $f^\#$  is a map of sheaves, so determined by the map on a basis of open sets.

$$U = \bigcup Y_b, \quad s \in \Gamma(U, \mathcal{O}_Y)$$

$f_v(s)$  is determined by its restrictions to  $f^{-1}(Y_b)$ 's.

Next step: "reduce to affines". Shows that any ring map ~~A → R~~

$\tilde{\Phi}: A: R \rightarrow \Gamma(X, \mathcal{O}_X)$  comes from a morphism of schemes  $X \rightarrow \text{Spec}(R)$

by reducing to the affine case

Cover  $X$  with open affines

$A$  gives homomorphisms  $A_\alpha: R \rightarrow \Gamma(X_\alpha, \mathcal{O}_\alpha)$

We assume the affine case. So we get  $X_\alpha \xrightarrow{f_\alpha} \text{Spec } R$ , morphisms, such that  $f_\alpha$  induces  $A_\alpha$ .

Need:  $f_\alpha, f_\beta$  agree on  $X_\alpha \cap X_\beta$ .

Use the first step!

$\text{res}_{X_\alpha, X_\alpha \cap X_\beta} \circ A_\alpha: R \rightarrow \Gamma(X_\alpha \cap X_\beta, \mathcal{O}_\alpha)$   
 or  
 $\text{res}_{X_\beta, X_\alpha \cap X_\beta} \circ A_\beta: R \rightarrow \Gamma(X_\alpha \cap X_\beta, \mathcal{O}_\beta)$   
 agree  
 go via  $\Gamma(X, \mathcal{O}_X)$ .

[Get one morphism  $X \rightarrow \text{Spec}(R)$  (it induces  $A$ )]

Affine case:  $A: R \rightarrow S$  homomorphism

Need:  $f: \text{Spec } S \rightarrow \text{Spec } R$

$[P] \in \text{Spec } S: f([P]) = [A^{-1}(P)]$

This is continuous (easy).

Need a sheaf map: distinguished open  $U = X_\alpha: f^{-1}(U) = Y_{A(\alpha)}$

$f^\#: R_\alpha \rightarrow S_{A(\alpha)}$ ; take the one induced by  $A$ .

These maps are compatible with restriction to  $R_{A^*(P)}$ ; so we get a sheaf map, and the commutative diagrams hold.

Local homeomorphism condition:

$$\begin{array}{ccc} \mathcal{O}_{X, [A^*(P)]} & \longrightarrow & \mathcal{O}_{Y, [P]} \\ \parallel & & \parallel \\ R_{A^*(P)} & \longrightarrow & S_P \\ A^{-1}(P) \cdot R_{A^*(P)} & \xrightarrow{A} & P \cdot S_P \end{array}$$

Corollary 2:  $\text{Spec}(\mathbb{Z})$  is the final object in the category of schemes, i.e., for every scheme  $X$ , there is a unique morphism  $X \rightarrow \text{Spec}(\mathbb{Z})$ .



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## Algebraic geometry 2 Lecture 3.

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Last time:  $\text{Mor}(X, \text{Spec } R)$  is in natural bijection with  $\text{Hom}(R, [\underline{(X, \mathcal{O}_X)}])$

Corollary: Affine schemes: Category of affine schemes "is" category of commutative rings with 1, with arrows reversed.

$X \rightarrow \text{Spec } R$  corresponds to  $R \rightarrow \Gamma(X, \mathcal{O}_X)$

Take  $R = \Gamma(X, \mathcal{O}_X)$ , take the identity homomorphism:

Corollary: Every scheme  $X$  admits a canonical morphism to  $\text{Spec } \Gamma(X, \mathcal{O}_X)$

Even:  $R \rightarrow \Gamma(X, \mathcal{O}_X)$  factors as  $R \rightarrow \Gamma(X, \mathcal{O}_X) \xrightarrow{\text{id}} \Gamma(X, \mathcal{O}_X)$

Corollary: Every morphism from a scheme  $X$  to an affine scheme  $\text{Spec } R$  factors through the canonical morphism  $X \rightarrow \text{Spec } \Gamma(X, \mathcal{O}_X)$

$$\begin{array}{ccc} X & \longrightarrow & \text{Spec } R \\ & \searrow & \nearrow \exists ! \end{array}$$

$\text{Spec } \Gamma(X, \mathcal{O}_X)$

Corollary: Take  $R = \mathbb{Z}$ : Every scheme comes with a canonical morphism to  $\text{Spec } \mathbb{Z}$ .

Products:

i) Recall the notion of products for affine varieties:

a) abstract notion of a product of  $X, Y$  two objects in a category  $C$ .

$$\begin{array}{ccc} P & \longrightarrow & X \\ \downarrow & & \swarrow \\ Y & & \end{array} \quad \text{such that} \quad \begin{array}{ccc} T & \xrightarrow{\exists !} & P \\ \downarrow & \nearrow & \downarrow \\ X & & Y \end{array}$$

b)  $\mathbb{A}^m, \mathbb{A}^n, \mathbb{A}^{mn} = \mathbb{A}^m \times \mathbb{A}^n$  as sets

varieties over  $k$  ← Of course, the topology on  $\mathbb{A}^{mn}$  is not the product topology

c)  $X, Y$  affine,  $X \subset \mathbb{A}^m, Y \subset \mathbb{A}^n, X \times Y \subset \mathbb{A}^{m+n}$

This is the product of  $X$  and  $Y$ ,  $A(X \times Y) = A(X) \otimes_k A(Y)$

Note:  $k$  was almost hidden from the discussion.

The scheme corresponding to the affine variety  $X$  should really be  ~~$\text{Spec } A(X)$~~ . Since  $A(X)$  is a  $k$ -algebra,  $\text{Spec } A(X)$  comes with a morphism to  $\text{Spec } k$ .

Think of the earlier diagram as

$$\begin{array}{ccc} X \times Y & \longrightarrow & X \\ \downarrow & & \downarrow \\ Y & \longrightarrow & \text{Spec } k \end{array}$$

Schemes in general: no such thing as  $k$  any longer, natural replacement of  $\text{Spec } k$  will be  $\text{Spec } \mathbb{Z}$ . (every  $X$  comes with canonical morphism  $X \rightarrow \text{Spec } \mathbb{Z}$ ).

$$\begin{array}{ccc} X \times_{\text{Spec } \mathbb{Z}} Y & \longrightarrow & X \\ \downarrow & & \downarrow \\ Y & \longrightarrow & \text{Spec } \mathbb{Z} \end{array}$$

This asks for something more general

Suppose  $X$  and  $Y$  both come with a morphism to a scheme  $S$ ; then we would want a product of  $X$  and  $Y$  over  $S$ :

$$\begin{array}{ccc} X \times_S Y & \longrightarrow & X \\ \downarrow & & \downarrow \\ Y & \longrightarrow & S \end{array}$$

Want more:

$$\begin{array}{ccccc} T & \xrightarrow{\exists!} & X \times_S Y & \longrightarrow & X \\ & \searrow & \downarrow & & \downarrow \\ & & Y & \longrightarrow & S \end{array}$$

making diagram commute.

$X \times_S Y$  will be called the fibered product of the schemes  $X$  and  $Y$  over the scheme  $S$ ; we will now see the construction:

1) We begin with affine schemes:

What should  $\text{Spec } A \times_{\text{Spec } C} \text{Spec } B$  be?

Guess: It will be an affine scheme

$$\text{Spec } R \longrightarrow \text{Spec } A$$

$$\text{Spec } B \longrightarrow \text{Spec } C$$

corresponding to

$$\begin{array}{ccc} R & \leftarrow A & \\ \uparrow & & \uparrow \\ B & \leftarrow C \end{array}$$

$A, B$  are  $C$ -algebras

$R$  will be a  $C$ -algebra.

$R$  should be "minimal", the good choice is to take  $R = A \otimes_C B$

$$\begin{array}{ccccc} & D & \leftarrow & & \\ & \swarrow \exists! & & \downarrow & \\ R & & \leftarrow & A & \\ \uparrow & & & \uparrow & \\ B & \leftarrow & C & & \end{array}$$

This shows  $\text{Spec}(A \otimes_C B)$  is the fiber product of  $\text{Spec } A$  and  $\text{Spec } B$  over  $\text{Spec } C$  in the category of affine schemes.

$$\begin{array}{ccccc} \text{scheme } T & \xrightarrow{\quad \text{Spec } T(T(C)) \quad} & \text{Spec}(A \otimes_C B) & \xrightarrow{\quad \exists! \quad} & \text{Spec } A \\ \downarrow & & \downarrow & & \downarrow \\ & & \text{Spec } B & \rightarrow & \text{Spec } C \end{array}$$

Conclusion:  $\text{Spec}(A \otimes_C B)$  is the fibered product ( $\# \dots$ ) also in the category of schemes.

We will use two concepts:

- glueing morphisms
- glueing schemes

Glueing morphisms:

to give  $f: X \rightarrow Y$  is the same as giving an open cover  $\{U_i\}$  of  $X$ , morphisms  $U_i \xrightarrow{f_i} Y$ , such that  $f_i$  and  $f_j$  agree on  $U_i \cap U_j$ .

Remark: If  $X \times_S Y$  exists, and  $U \subseteq X$  is open, then  $P_1^{-1}(U)$  is the fibered product  $U \times_S Y$ :

$$\begin{array}{ccc} X \times_S Y & \xrightarrow{P_1} & X \\ P_2 \downarrow & & \downarrow \\ Y & \longrightarrow & S \end{array}$$

i) If  $\{U_i\}$  is an open cover of  $X$ , and  $U_i \times_S Y$  exist  $\forall i$ , then  $\# X \times_S Y$  exists.

Proof: Put  $U_{ij} \subseteq \# U_i \times_S Y$  to be  $P_1^{-1}(U_i \cap U_j)$

Get isomorphisms  $\gamma_{ij}: U_{ij} \rightarrow U_{ji} : \gamma_{ji}^{-1} = \gamma_{ij}$

also  $\gamma_{ik} = \gamma_{jk} \circ \gamma_{ij}$  on  $U_{ij} \cap U_{ik}$

Then glue schemes:

The  $U_i \times_S Y$  along the  $U_{ij}$ , get  $X \times_S Y$ .

Assume that the scheme you get by glueing is indeed  $X \times_S Y$ . Then the rest of the proof is simple.

1) Have made  $X \times_S Y$  when  $Y, S$  affine

2) Then glue on the  $Y$ -side: get  $X \times_S Y$  when  $S$  is affine.

3) Cover  $S$  with open affines  $S_i$

$$r: X \rightarrow S \quad s: Y \rightarrow S$$

$$X_i = r^{-1}(S_i) \quad Y_i = s^{-1}(S_i)$$

$X_i \times_{S_i} Y_i$  exists, check: it is also  $X_i \times_S Y$  (?)

Glue schemes are more fine, get  $X \times_S Y$

Back to the first step where we glued schemes  $V_i \times_S Y$  along  $V_{ij}$ .

Get a scheme  $A$ .

Claim:  $A$  is the fibred product of  $X$  and  $Y$  over  $S$ .

Proof: 1) Need maps  $A \rightarrow X, A \rightarrow Y$ .

Get those by glueing morphisms.

Combine with  $X \rightarrow S, Y \rightarrow S$  commute.

2) Given  $Z$  with  $f: Z \rightarrow X, g: Z \rightarrow Y$  such that need  $Z \rightarrow A$ .

Put  $Z_i = f^{-1}(X_i)$ . We get unique maps  $\theta_i: Z_i \rightarrow X_i \times_S Y$ .

So also maps  $\theta: Z \rightarrow A$

Can glue the  $\theta_i$ 's to obtain a morphism  $\theta: Z \rightarrow A$ .

$\theta$  does what it has to do (makes the diagram commute).

Final step:  $\theta$  is unique. Just restrict to  $Z_i$ : there it must be  $\theta_i$ .

Remark about terminology: What are called schemes (in the lectures, and in general nowadays) are called preschemes in the Red Book.

$$\begin{aligned} \text{Ex! } \text{Spec } C \times_{\text{Spec } \mathbb{R}} \text{Spec } C &= \text{Spec}(C \otimes_{\mathbb{R}} C) = \text{Spec}(C \otimes_{\mathbb{R}} \mathbb{R}[x]/(x^2+1)) \\ &= \text{Spec}(\mathbb{C}[x]/(x^2+1)) = \text{Spec}(\mathbb{C}) \sqcup \text{Spec}(\mathbb{C}). \end{aligned}$$

In particular: the ~~product~~ space of a fibred product of schemes is not the product of the spaces. (not even on the set level).

The fibred product gives a good notion of fibres of a morphism

$$\begin{array}{ccc} y \in Y & X \times_{\text{Spec } k(y)} X & \\ \downarrow & \downarrow & \\ k(y) \text{ the residue field of } y & X_y \xrightarrow{\text{Spec } k(y)} Y & \\ & \downarrow & \\ & \text{Spec } k(y) \rightarrow Y & \end{array}$$

$X_y$  is called the fibre of  $f$  at  $y$   
is space is homeomorphic to  $f^{-1}(y)$ .



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$$\text{Spec } k \longrightarrow Z$$

\* give a point  $z$  in  $Z$

\* and an inclusion of  $k(z)$  in  $k$ .

Paradigm shift: instead of studying varieties, or schemes, study morphisms and their properties, in particular morphisms that are stable under "base change" (or certain base changes).

$$\begin{array}{ccc} X \times S' & \xrightarrow{\quad} & X \\ g \downarrow & & \downarrow f \\ S' & \xrightarrow{\quad} & S \end{array}$$

$g$  is the base change of  $f$  to  $S'$ , care especially about properties of morphisms preserved under base change.

At some point, we have to say where the varieties went:

What kind of schemes correspond to varieties?

Definition:  $R$  ring, A scheme  $X$  over  $R$  is just a scheme  $X$  with a given morphism to  $\text{Spec } R$ .

$$R \rightarrow \underbrace{\Gamma(X, \mathcal{O}_X)}$$

give this an  $R$ -algebra structure.

$X, Y$  schemes over  $R$ ,

an  $R$ -morphism is the natural notion

$$X \rightarrow Y$$

$$\begin{array}{c} \curvearrowright \\ \downarrow \\ \text{Spec } R \end{array}$$

Definition:  $X$  scheme over  $R$ .

$X$  is of finite type over  $R$

if  $X$  is quasi-compact  
and,

for all open  $U_i \subseteq X$ ,  $\Gamma(U_i, \mathcal{O}_X)$  is a finitely generated  $R$ -algebra.

Proposition:  $X$  scheme over  $R$

If there exists a finite open affine covering of  $X$  by  $U_i$ 's such that  $\Gamma(U_i, \mathcal{O}_X)$  is a finitely generated  $R$ -algebra then  $X$  is of finite type over  $R$ .

[some work!]

Definition:  $X$  is reduced if  $\mathcal{O}_X$  contains no nilpotent sections, ie.  $\forall$  open  $V \subseteq X$ ,  $\mathcal{O}_X(V)$  contains no nilpotent elements.

Theorem: Equivalence of categories: ( $k$ -algebraically closed field)

- 1) Category of reduced, irreducible schemes of finite type over  $k$  and their morphisms
- 2) Category of prevarieties over  $k$  and morphisms of those.

$\downarrow$  irreducible  
 $X$  connected topological space with a sheaf  $\mathcal{O}_X$  of  $k$ -valued functions  
 $\exists$  a finite open covering by affine varieties.



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## Algebraic Geometry 2 Lecture 4

### Closed Subschemes

- 1) Certainly, associated to any ideal  $I$  of  $R$ , there will be a "closed subscheme"  $\text{Spec}(R/I)$  of  $\text{Spec } R$ . (No longer just prime ideals of the coordinate ring of an affine variety; that was forced to get a quotient ring that was an integral domain.)
- 2) We want a global notion of closed subschemes; it will give a nice "answer" for the closed subschemes of  $\text{Spec } R$ , there will be a nontrivial notion associated to the global situation.

$Y \subseteq X$     $Y$  closed subset of  $X$     $i: Y \hookrightarrow X$

$F$  sheaf on  $Y$ , can extend it by zero to  $X$ ;

The sheaf on  $X$  is  $i_* F$ , the direct image sheaf.

Small exercise:  $i^{-1}(i_* F) = F$ .

$G$  sheaf on  $X$

$i^{-1}G$ : sheaf associated to  
a presheaf defined by means  
of a direct limit

for a closed subscheme  $Y$  of  $X$ , we certainly want

\* a closed subset  $\not\subseteq Y$  of  $X$

\* a sheaf  $\mathcal{O}_Y$  on  $Y$ , which gives the direct image sheaf  
 $i_* \mathcal{O}_Y$  on  $X$  (sometimes just called  $\mathcal{O}_Y$ ...)

\* We also want a surjective sheaf map  $\mathcal{O}_X \rightarrow i_* \mathcal{O}_Y$   
 ↪ i.e. surjective on stalks (not necessarily  
on arbitrary open subsets.)

$(X, \mathcal{O}_X)$  scheme

Closed subscheme: closed subset  $Y$  (with  $i: Y \hookrightarrow X$ ) with a sheaf  $\mathcal{O}_Y$  and a surjective map of sheaves  $\pi: \mathcal{O}_X \rightarrow i_* \mathcal{O}_Y$ .

Can form  $\mathcal{Q} = \ker \pi$ : sheaf on  $X$ ; subsheaf of  $\mathcal{O}_X$ ; in fact,  
an ideal sheaf: for every open  $V$  in  $X$ ,  $\mathcal{Q}(V)$  is an ideal in  
 $\mathcal{O}_X(V)$ .  $\mathcal{Q}$  also determines both the closed subset  $Y$  and  $i_* \mathcal{O}_Y$  up  
to isomorphism:  $Y = \{x \in X \mid \mathcal{Q}_x \neq \mathcal{O}_{X,x}\}$     $i_* \mathcal{O}_Y$  "is" the  
kernel of  $\mathcal{O}_X \rightarrow \mathcal{Q} \rightarrow \mathcal{O}_X$ .

$\text{Spec}(R/I)$  "should be" a closed subscheme of  $\text{Spec } R$   
 But it is not a closed subset...

→ Notion of a closed immersion: combine actual closed subschemes (+ associated <sup>inclusions</sup>) with isomorphisms.

Definition:  $f: Y \rightarrow X$ , morphism of schemes, is a closed immersion

- 1)  $f$  is injective
- 2)  $f$  is closed
- 3)  $f_y^*: \mathcal{O}_{X, f^{-1}(y)} \rightarrow \mathcal{O}_{Y, y}$  is surjective for all  $y \in Y$ .

$f$  factors via an isomorphism of  $Y$  with a closed subscheme of  $X$ , followed by the canonical injection (of the closed subschemes in  $X$ )

What does this give for an affine scheme  $\text{Spec } R$ ?

i)  $R$  ring,  $A \subseteq R$  an ideal.  $\pi: R \rightarrow R/A$  defines  $f: \text{Spec}(R/A) \rightarrow \text{Spec } R$    
 $f$  is a closed immersion

The kernel  $Q$  of the map on sheaves satisfies:

- a)  $\Gamma(X_g, Q) = A \cdot R_g$
- b)  $Q_x = A \cdot \mathcal{O}_{X, x} \quad \forall x \in X$ .

2) Better:  $X = \text{Spec } R$ ,  $Y \subseteq X$  a closed subscheme,  $f: Y \hookrightarrow X$  the inclusion.

Let  $Q$  be the kernel sheaf (ideal sheaf of  $\mathcal{O}_X$ )

Take  $A = \Gamma(X, Q)$

Then  $Y$  is canonically isomorphic to  $\text{Spec}(R/A)$ .

$$X = \text{Spec } R$$

$$\begin{array}{ccc} Y & \xrightarrow{\quad} & X \\ \searrow \cong & \swarrow \text{closed immersion} & \\ & \text{Spec}(R/A) & \end{array}$$

Corollary 1:  $f: Y \rightarrow X$  morphism of schemes.

The following are equivalent:

- 1)  $f$  is a closed immersion
- 2)  $\forall$  affine opens  $U \subseteq X$ :  $f^{-1}(U)$  is affine and

$$\Gamma(U, \mathcal{O}_X) \xrightarrow{\text{(surjective)}} \Gamma(f^{-1}(U), \mathcal{O}_Y)$$

- 3)  $\exists$  affine open covering  $\{U_i\}$  of  $X$  such that the properties in  
 2) hold for the  $U_i$ .

Question: When does an ideal sheaf  $\mathcal{Q} \subseteq \mathcal{O}_X$  give a closed subscheme?

As seen:  $\{x \in X \mid \mathcal{Q}_x \neq \mathcal{O}_{X,x}\}$  gives a closed subset  $Y$  of  $X$ .

And the cokernel of  $\mathcal{O} \rightarrow \mathcal{Q} \rightarrow \mathcal{O}_X$  is a sheaf on  $X$ ; take its inverse image sheaf, gives a sheaf called  $\mathcal{O}_Y$ ; when is  $(Y, \mathcal{O}_Y)$  a closed subscheme of  $X$ ?

Condition on  $\mathcal{Q}$ :

(\*)  $\forall y \in Y \exists U \ni y$  open and  $\{s_\alpha\}$ ,  $s_\alpha \in \Gamma(U, \mathcal{Q})$  such that  $\mathcal{Q}_x = \sum_\alpha \text{res}(s_\alpha) \cdot \mathcal{O}_{X,x} \quad \forall x \in U$ .

(This is saying that the ideal sheaf  $\mathcal{Q}$  is "quasi-coherent").

①  $R$  ring,  $A \subset R$  ideal.  $\pi: R \rightarrow R/A \rightsquigarrow f: \text{Spec}(R/A) \rightarrow \text{Spec } R$   
 \*  $f$  is a closed immersion

Associated kernel sheaf  $\mathcal{Q}$  satisfies:

$$\Gamma(X_g, \mathcal{Q}) = A \cdot R_g$$

$$\mathcal{Q}_x = A \cdot \mathcal{O}_{X,x}$$

: The closed immersion determines  $A$  again.

$$P \subset R/A \quad f([P]) = [\pi^{-1}(P)]$$

$f$  is an injection, with image  $V(A)$

Also ideals of  $R/A \iff$  ideals of  $R$  that contain  $A$ .

$$B \supset A \longmapsto B/A = \bar{B}$$

$f(V(\bar{B})) = V(B)$ :  $f$  is closed.

$$f_x^*: \mathcal{O}_{\text{Spec } R, f(x)} \rightarrow \mathcal{O}_{\text{Spec } R/A, x}$$

This is:  $R_P \longrightarrow (R/A)_{\bar{P}} = R_P/A \cdot R_P$ , so surjective.

So  $f$  is a closed immersion

$$\begin{aligned} \Gamma(X_g, \mathcal{Q}) &\text{ corresponds to } \ker(R_g \rightarrow (R/A)_{\pi(g)}) \\ &= A \cdot R_g. \end{aligned}$$

② Closed subschemes of  $\text{Spec } R$ :

$$X = \text{Spec } R \quad Y \subseteq X \text{ closed subscheme}, \quad f: Y \rightarrow X$$

Get ideal sheaf  $\mathcal{Q}$   $A = \Gamma(X, \mathcal{Q})$

$Y$  is canonically isomorphic to  $\text{Spec}(R/A)$  (commutative diagram)  
Proof of (2):

$$f^*: R \rightarrow \Gamma(Y, \mathcal{O}_Y)$$

factors as  $R \xrightarrow{\text{surjective}} R/A \xrightarrow{\text{injective}} \Gamma(Y, \mathcal{O}_Y)$

$$X = \text{Spec } R \xleftarrow[\text{closed immersion}]{} \text{Spec}(R/A) \xleftarrow{} Y$$

we want to show that this is an isomorphism.

Suffices to treat the case  $A = (0)$ .

Write  $R$  for  $R/A$  i.e.  $f^*$  is now injective.

so  $f^*: R \rightarrow \Gamma(Y, \mathcal{O}_Y)$  (may be assumed to be injective)

①  $f$  is surjective:  $Y$  is quasi-compact, since a closed subset of  $\text{Spec } R$

So covered by finitely many open affines  $\text{Spec } S_i$ :

$f(Y)$  is closed in  $\text{Spec}(R)$ , so  $f(Y) = V(B)$ ,  $B \subseteq R$  ideal.

Want to show:  $B = \sqrt{(0)}$

$s \in B$ . Look at  $s$  as a function:

$$s(x) = 0 \quad \forall x \in V(B)$$

So  $f^*(s)$  is zero at all points of  $Y$ .

$\text{res}_{Y, \text{Spec}(S_i)}(f^*(s))$  is a nilpotent element of  $S_i$ .

Some  $n$ th power of it is 0.

Finitely many  $S_i$ , finitely many  $n_i$ :  $\exists n$  such that the  $n$ th powers of the elements of  $S_i$  are zero.

Conclusion:  $s^n = 0$ , since  $f^*$  is injective.

$\therefore V(B) = V((0)) = \text{everywhere}$ , so  $f$  is surjective

Have  $f^*$  is injective  $R \rightarrow \Gamma(Y, \mathcal{O}_Y)$

\*  $f$  is a bijection }  
\*  $f$  is continuous }  
\*  $f$  is closed }  $f$  is a homeomorphism

May work on a single space, with 2 stalks

$\text{Map } \mathcal{O}_X \xrightarrow{f^*} \mathcal{O}_Y$ ; surjective from statement, need injectivity.



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$$y \in Y, f(y) = x = [P]$$

Need:  $\mathcal{O}_{X, f(y)} = \mathcal{O}_{X, [P]} = R_P \xrightarrow{f^*} \mathcal{O}_{Y, y}$  is injective

Say that we have something in the kernel, in  $R_P$ ; gives an element  $a$  of  $R$  in the kernel.

Need that image of  $a$  in  $R_P$  is zero.

Need to find an element  $b$  of  $R - P$  such that  $ab = 0$  in  $R$ .

$f^* a$  is zero in  $\mathcal{O}_{Y, y}$

So it goes to zero in an open neighborhood  $U$  of  $y$ .

$f(U)$  is an open neighborhood of  $[P]$ .

$f(U)$  contains a distinguished open subset  $\mathcal{O}_{\mathbb{A}^1, t} = (Spec R)_t = X_t$

for some  $t \in R - P$

$f^* a$  is zero in the open set

$$Y_{f^*(t)} = \{y' \in Y \mid (f^*(y))(y') \neq 0\}.$$

Finish as before:  $res_{Y, f^{-1}(t)}(f^* a)$   
 $S_i, \mathcal{O}_i^n$

$$Y_{f^*(t)} \cap Spec S_i = (Spec S_i)_{\sigma_i}$$

Similarly:  $f^* a$  gives an element  $\alpha_i$  of  $S_i$ .

$$\alpha_i \cdot \mathcal{O}_i^n = 0 \text{ for some } n$$

$$\alpha_i \cdot \mathcal{O}_i^n = 0 \text{ one } n.$$

$$f^*(at^n) = 0 \text{ so } at^n = 0 \text{ (because } f^* \text{ was injective)}$$

$t^n = b \in R - P$  found

So  $a$  goes to zero in  $R_P$ .

(valuations are not hard to prove.)

$$\mathbb{A}^2 : (x, y) \mapsto (x+y, x^2y, y^2) \supseteq (x^2, xy, y^2) \supseteq (x^2, y^2) \supseteq (0)$$

Correspondingly, get closed subschemes  
 $\{origin\} \subseteq X_1 \subseteq X_2 \subseteq X_3 \subseteq \mathbb{A}^2$

remember the value of  $f$  at  $(0, 0)$   
but also both first derivatives.

$$\mathbb{A}^2 : (x, y) \supset (x+y, x^2, xy, y^2) \supset (x^2, xy, y^2) \supset (x^2, y^2) \supset (0)$$

$k[x, y]$

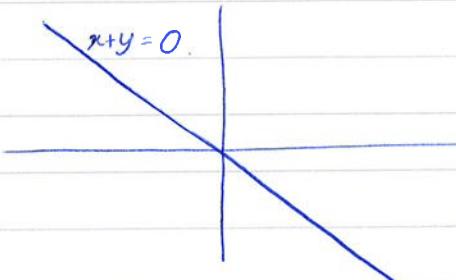
Correspondingly, get closed subschemes

$$\{\text{origin}\} \subseteq X_1 \subseteq X_2 \subseteq X_3 \subseteq \mathbb{A}^2$$

remember the  
value of  $f$  at  $(0, 0)$   
but also both  
first derivatives

also see the  $\frac{\partial f}{\partial x}, \frac{\partial f}{\partial y}$

$$(x^3, x^2y, xy^2, y^3) \quad (?)$$





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## Algebraic Geometry 2 Lecture 5

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Proposition:  $X$  scheme,  $Z \subseteq X$  closed subset.

Among all the closed subschemes of  $X$  with support  $Z$ , there is a unique reduced closed subscheme  $Z_0$ ;  $Z_0$  is contained in all closed subschemes  $Z$ , with support  $Z$ .

Proof: let  $I_0$  be the ideal sheaf defined as follows:

$$\Gamma(U, I_0) = \{s \in \Gamma(U, \mathcal{O}_X) \mid s(x) = 0 \forall x \in U \cap Z\}.$$

Understand  $I_0$  locally:  $U = \text{spec } R$  open in  $X$ .

$$Z \cap U = V(A) \text{ for some ideal } A \subseteq R.$$

We may assume  $A = \sqrt{A}$ . (and we will)

Claim:  $I_{0,x} = A \cdot \mathcal{O}_{X,x}$

" $\supseteq$ " is easy.

Take  $s \in I_{0,x}$ , represented by  $t \in \Gamma(U_f, I_0)$ ;

$$U_f = \text{Spec } R_f; Z \cap U_f = V(A \cdot R_f);$$

Then  $\sqrt{A \cdot R_f} = A \cdot R_f$  still holds;

$t$  being a section of  $I_0$  means that  $t \in \sqrt{A \cdot R_f}$ , with  $A \cdot R_f$ ;

then  $s \in A \cdot \mathcal{O}_{X,x}$ .

$I_0$  is quasi-coherent, defines a closed subscheme,  $Z_0$ .

Then  $Z_0$  is reduced (exercise)

Easy:  $Z_0$  closed ~~subscheme~~ subscheme with support  $Z$ , then  $Z_0 \subseteq Z$ :

Look locally on  $U = \text{Spec } R$ ;  $Z_0$  defined by  $B$ .

$$V(A) = V(B) \rightarrow A \subseteq \sqrt{B}, B \subseteq \sqrt{A} = A \rightarrow \sqrt{B} = A.$$

New, rather different notion of points of a scheme:

Definition:  $X, K$  schemes.

A  $K$ -valued point of  $X$  is a morphism from  $K$  to  $X$ .

Special cases of  $K$ :

1)  $K = \text{Spec } k$ ,  $k$  a field (" $k$ -valued point of  $X$ ")

$$f: \text{Spec } k \rightarrow X$$

\* need one point of  $X$

\* need  $f^\# : \mathcal{O}_{X,x} \rightarrow k$  local homomorphism

factors through  $k(x) : k(x) \rightarrow k$

So  $k$ -valued point of  $X$ : a point  $x$  of  $X$  together with a inclusion  $k(x) \hookrightarrow k$ .

$f, g : K \rightarrow X$        $K, X$  two schemes  
 $x \in K$

Want to have a notion: when are  $f$  and  $g$  "equal"/"equivalent" at  $x$ ?

Certainly we want:  $f(x) = g(x)$

Want more:  $\text{Spec } k(x) \xrightarrow{i_x} K \xrightarrow{\begin{matrix} f \\ g \end{matrix}} X$   
 $\downarrow \psi$   
 $x$   
 residue field  
 $k(x)$

$i_x$  has image  $\{x\}$  and  
 corresponds to the  $\text{id}_{k(x)}$

Condition for "equality at  $x$ " will be:  
 $f(x) = g(x)$  and  $f \circ i_x = g \circ i_x$ .

Conversely,  $f$  and  $g$  equal at  $x$  means  $f(x) = g(x)$  and

$f_x^*, g_x^* : k(f(x)) \rightarrow k(x)$  agree.

Notation:  $f(x) \equiv g(x)$ .

Result:  $f, g : K \rightarrow X$

Then  $\{x \in K \mid f(x) \equiv g(x)\}$  is locally closed.

Proof:  $Z = \{x \in K \mid f(x) \equiv g(x)\}$ .

$y = f(x)$ . Take an affine open  $U_1 = \text{Spec } R_1$  in  $X$  containing  $y$

$f^{-1}(U_1) \cap g^{-1}(U_1) \supseteq U_2 \ni x$   
 $\text{Spec } R_2$

$f, g : U_2 \rightarrow U_1$

$f^*, g^* : R_1 \rightarrow R_2$

$\{f^*(a) - g^*(a) \mid a \in R_1\} \subset R_2$

Let  $A \subset R_2$  be the ideal generated by this subset.

Claim:  $V(A) = Z \cap U_2$ . (Hence  $Z$  is locally closed).

Proof: Take  $[P_2] \in U_2$

$$f([P_2]) \equiv g([P_2])$$

$$\Leftrightarrow f^{\#-1}(P_2) = g^{\#-1}(P_2) = P_1$$

and  $\text{frac}(R_1/P_1) = k([P_1]) \xrightarrow{f^*, g^*} k([P_2]) = \dots$  agree.

$$\Leftrightarrow R_1/P_1 \xrightarrow{f^*, g^*} R_2/P_2 \text{ agree.}$$

$$\Leftrightarrow A \subset P_2.$$

Definition: A scheme  $X$  is separated if for all schemes  $K$  and all  $K$ -valued points  $f, g$  of  $X$ , the set  $\{x \in K \mid f(x) = g(x)\}$  is closed in  $K$ .

Proposition:  $X$  a scheme.  $X$  is separated if  $\{x \in K \mid f(x) = g(x)\}$  is closed for  $K = X \times_{\text{Spec } \mathbb{Z}} X$  and  $f = p_1, g = p_2$  (the two projections to  $X$ )

$$\begin{array}{ccc} X \times_{\text{Spec } \mathbb{Z}} X & \xrightarrow{p_1} & X \\ p_2 \downarrow & & \downarrow \\ X & \xrightarrow{\pi} & \text{Spec } \mathbb{Z} \end{array}$$

Proof:  $K$  arbitrary,  $f, g: K \rightarrow X$  arbitrary

$$Z_1 = \{x \in K \mid f(x) = g(x)\} \quad (\text{locally closed})$$

Call  $X \rightarrow \text{Spec } \mathbb{Z}: \pi$

$$Z_2 = \{x \in K \mid (\pi \circ f)(x) = (\pi \circ g)(x)\}$$

Consider the  $\mathcal{O}_K$ -ideal generated by all the elements

$$((\pi \circ f)^{\#} = f^{\#} \circ \pi^{\#}) \quad f^{\#} \pi^{\#} a = g^{\#} \pi^{\#} a, \text{ with } a \in \mathbb{Z}$$

$Q$  is quasi-coherent.

Direct analog of previous proof:

$$1 \notin Q_x \Leftrightarrow x \in Z_2.$$

$(Z_2, \mathcal{O}_K|_Q)$  is a closed subscheme  $Z$  of  $K$ .

$$Z_1 \subseteq Z \text{ (or } Z_2\text{)}$$

Enough to prove  $Z_1$  closed in  $Z$ .

$(\pi \circ f)^{\#}$  and  $(\pi \circ g)^{\#}$  agree as maps from  $\mathbb{Z}$  to  $\Gamma(Z, \mathcal{O}_Z)$ :

so  $\pi \circ f, \pi \circ g$  are the same morphism after restriction to  $Z$

$\pi \circ f: Z \rightarrow \text{Spec } \mathbb{Z}$  agree

$\pi \circ g: Z \rightarrow \text{Spec } \mathbb{Z}$

Get: a unique morphism  $h$  from  $Z$  to  $X \times_{\text{Spec } \mathbb{Z}} X$  making the diagram commute:

$$p_1 \circ h = f$$

$$p_2 \circ h = g$$

$$\begin{array}{ccccc} Z & \xrightarrow{f} & X \times_{\text{Spec } \mathbb{Z}} X & \xrightarrow{p_1} & X \\ g \downarrow & \swarrow h & p_2 \downarrow & & \pi \downarrow \\ & X \times_{\text{Spec } \mathbb{Z}} X & & \xrightarrow{p_2} & X \\ & & & \pi \downarrow & \text{Spec } \mathbb{Z} \end{array}$$

for  $x \in Z$ ,  $f(x) = g(x) \Leftrightarrow (p_1 \circ h)(x) = (p_2 \circ h)(x)$

$$\Leftrightarrow p_1(h(x)) = p_2(h(x))$$

$\Leftrightarrow h(x) \in \{y \in X \times_{\mathbb{Z}} X : p_1(y) = p_2(y)\}$   
 $\Leftrightarrow x \in h^{-1}\{y \in X \times_{\mathbb{Z}} X : p_1(y) = p_2(y)\}$   
 So  $Z$  is closed in  $X$  and we are done.

Reformulation of the notion of separatedness:

Use the diagonal morphism:

$$\Delta: X \longrightarrow X \times_{\text{Spec } \mathbb{Z}} X$$

Morphism that on rings corresponds to  $1 \otimes f \mapsto f$ ,  $f \otimes 1 \mapsto f$   
 ie, to multiplication  $f \otimes g \mapsto fg$ .

Exercise 2: Prove:  $Z = \{y \in X \times_{\text{Spec } \mathbb{Z}} X : p_1(y) = p_2(y)\}$  equals  $\Delta(X)$ .  
 (image of the diagonal morphism)

Reformulation: 1) If  $X$  is separated, then  $\Delta$  is a closed immersion  
 2) And conversely.

Proof of 1 modulo exercise 2:

We know that  $Z = \Delta(X)$  is closed.

Cover  $X$  with open affines  $\text{Spec } R_i = U_i$

$\Delta(X)$  is then covered by  $U_i \times U_i$

$$U_i = \Delta^{-1}(U_i \times U_i) \quad \Delta^*: R_i \otimes R_i \rightarrow R_i, \text{ multiplication.}$$

Multiplication is surjective

$\Delta(X)$  is closed; complement is open, union of open affines;  
 on those  $\Delta^*$  is identically zero, so surjective.

Corollary:  $X$  separated.  $U, V$  open affines in  $X$ .

Then  $U \cap V$  is affine and  $\mathcal{O}_X(U) \otimes_{\mathbb{Z}} \mathcal{O}_X(V) \rightarrow \mathcal{O}_X(U \cap V)$   
 is surjective.

1) If  $X$  is a scheme over  $\text{Spec } R$ , can adapt to that situation  $X \times_{\text{Spec } R} X$  etc.  
 2) More importantly: There is a relative notion of separatedness:

$X \xrightarrow{f} Y$  morphism of schemes;

$f$  separated  $\Leftrightarrow \Delta_{X/Y}: X \rightarrow X \times_Y X$  is a closed immersion.

If  $X$  is separated then it is separated over any  $Y$  that it maps to.



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Maniford's book: variety = separated prevariety,  
 "abstract variety".

scheme = separated prescheme  
 nowadays: separated scheme. now a days : scheme

Easy to forget: In Maniford's book, scheme implies separated  
 "hidden assumption".

$\text{Spec } C \times_{\text{Spec } R} \text{Spec } C$ : This example shows that  
 $\Delta_{X/Y}(X) \neq \{y \in X_{xy} X \mid p_1(y) = p_2(y)\}$ .

Complete varieties:

Ber Moonen's syllabus: Chapter 7, beginning of it.

$X$  variety over  $\text{Spec } k$

$X$  is complete if the morphism  $X \rightarrow \text{Spec } k$  is universally closed.  
 i.e.,  $X \times Y$  is closed,  $\forall Y$  over  $k$ .



Example:  $A'$

$\downarrow$   
 Speck

$\downarrow$   
 not universally closed.

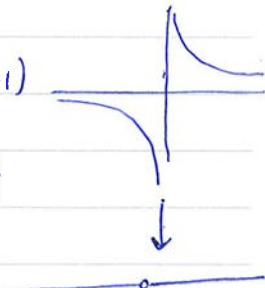
$A' \times A'$

$\downarrow$   
 Speck  $k$

is not closed

$\sqrt{(xy-1)}$

$\downarrow$   
 $A' - \{0\}$



Proper morphisms:

i) Morphisms of finite type:

$f: X \rightarrow Y$  is of finite type if  $\forall$  open affines  $U \subset Y$ ,  
 $f^{-1}(U)$  is of finite type over  $\Gamma(U, \mathcal{O}_Y)$ .

(i.e.,  $f^{-1}(U)$  is quasi-compact, and  $\forall V \subset f^{-1}(U)$  open affine:  
 $\Gamma(V, \mathcal{O}_X)$  is finitely generated over  $\Gamma(U, \mathcal{O}_Y)$   
 (check on an open affine covering)).

Result: only have to check this for the open affines of one covering of  $Y$ .

2)  $f: X \rightarrow Y$  morphism of separated schemes.

$f$  is proper if it is of finite type and universally closed,  
 ie.  $\forall$  schemes  $K$ ,  $\forall g: K \rightarrow Y$

$$X \times_Y K \xrightarrow{p_2} K \quad p_2 \text{ is closed.}$$

$$\begin{array}{ccc} & p_1 \downarrow & \downarrow g \\ X & \xrightarrow{f} & Y \end{array}$$



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## Algebraic Geometry lecture 6

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Last time: discussed the absolute notion of separatedness:

i.e., Scheme  $X$  is separated if the morphism to  $\text{Spec } \mathbb{Z}$  is separated,  
 i.e., if the diagonal morphism  $X \rightarrow X \times_{\text{Spec } \mathbb{Z}} X$  is a closed immersion  
 $\Leftrightarrow \Delta(X) \text{ is closed}$

$$\Delta(X) = Z \quad Z \text{ defined in terms of " = ".}$$

exercises in this case for  $K = X \times_{\text{Spec } \mathbb{Z}} X$

$p_1, p_2$ : the two projections to  $X$

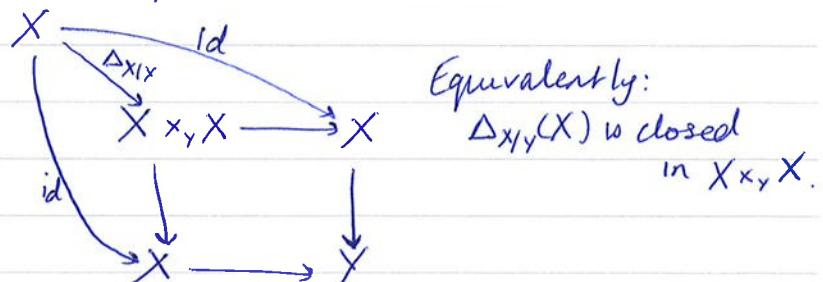
$$Z = \{y \in K \mid p_1(y) = p_2(y)\}.$$

If  $X$  is a scheme over  $\text{Spec } R$ : same story would have worked with  $K = X \times_{\text{Spec } R} X$

Advantage: every affine scheme is separated.

There is a relative notion of separatedness:

$f: X \rightarrow Y$  is separated if  $\Delta_{X/Y}$  is a closed immersion



Any morphism of separated schemes is separated

Also:  $X$  separated, then  $X$  separated over any  $Y$  that  $X$  maps to

Last time:  $f: X \rightarrow Y$  morphism

$f$  of finite type:  $\forall$  open affines  $V \subset Y$ ,  $f^{-1}(V)$   $\cong$  of finite type

over  $\Gamma(V, \mathcal{O}_Y)$  ( $f^{-1}(V)$  is quasi-compact and  $\forall V \subset f^{-1}(U)$

open affine,  $\Gamma(V, \mathcal{O}_X)$   $\cong$  finitely generated over  $\Gamma(U, \mathcal{O}_Y)$ )

$f$  proper: 1) of finite type

2) separated [usually work with separated schemes, then automatic]

3) universally closed.

Projective space is complete  
variety context:  $k \subset \bar{k}$   $\mathbb{P}_k^n$   
 $(\mathbb{P}_k^n \rightarrow \text{Spec } k \text{ is proper})$ .

"Universally closed" is the difficult part  
i.e.,  $\mathbb{P}^n \times Y \rightarrow Y$  closed for all varieties  $Y$ .  
Can restrict to affine varieties  $Y$ .

$$R = \Gamma(Y, \mathcal{O}_Y)$$

$\mathbb{P}^n \times Y$  is covered by  $U_i \times Y$

coordinate ring  $R[x_0/x_i, x_1/x_i, \dots, x_n/x_i]$

$Z \subset \mathbb{P}^n \times Y$  closed

$S = R[x_0, \dots, x_n]$  graded ring.

$S_m$ : piece of degree  $m$ .

$S_m \supseteq A_m$ : the  $R$ -module of homogeneous polynomials  $f$  of degree  $m$  such that for all  $i$

$$f(x_0/x_i, \dots, x_n/x_i) \in I(Z \cap U_i)$$

$A = \bigoplus A_m$  homogeneous ideal in  $S$ .

Lemma:  $\forall i, \forall g \in I(Z \cap U_i) \exists m \exists f \in A_m : f(x_0/x_i, \dots, x_n/x_i) = g$

for  $m$  large,  $x_i^m g = \tilde{f} \in S_m$

$$g_j = \frac{\tilde{f}}{x_i^m} \text{ is zero on } Z \cap U_i \cap U_j$$

Multiply with  $x_i/x_j$  if necessary: zero on  $Z \cap U_j$   
 $x_i \tilde{f} \in A_{m+1}$

$P_2(Z)$  should be closed. Suppose  $y \in Y - P_2(Z)$ .

Need to find an open containing  $y$  avoiding  $P_2(Z)$   
 $y$  corresponds to a maximal ideal  $M$  of  $R$ .  
 $Z \cap U_i, (\mathbb{P}^n)_{x_i} \times \{y\}$  are disjoint.

So the sum of the corresponding ideals is the ring

$$I(Z \cap U_i) + M R_i = R_i$$

$$1 = a_i + \sum m_{ij} r_{ij}$$

$$a_i \in I(Z \cap U_i) \quad m_{ij} \in M, r_{ij} \in R.$$

$$\bullet X_i^N \quad X_i^N = \underbrace{a_i X_i^N}_{A_N} + \sum m_{ij} \underbrace{\tilde{g}_{ij}}_{E_{S_N}}$$

$$x_i^N \in A_N + M \cdot S_N$$

Can find one  $N$  that works for all;

For an even larger  $N$ : all monomials of degree  $N$  lie in  $A_N + M \cdot S_N$

$$S_N = A_N + M \cdot S_N$$

$$S_N/A_N = M \cdot S_N/A_N$$

Nakayama:  $\exists f \in I + M : f \cdot (S_N/A_N) = 0$  or  $f \cdot S_N \subseteq A_N$

$\rightarrow f \in I(Z \cap U_i) \quad \forall i. \quad f \text{ is zero on } \beta_i(Z)$

$$\beta_i(Z) \cap \bigcup_{y \in Y} = \emptyset$$

"good news": proof that:  $\mathbb{P}_{\mathbb{Z}}^n \rightarrow \text{Spec } (\mathbb{Z})$  is proper is essentially the same.

Definition:  $f: X \rightarrow Y$  morphism of schemes

$f$  quasi-compact if the inverse image of any affine open is quasi-compact.

Result:  $f: X \rightarrow Y$ . Suppose  $\exists$  a covering  $\{V_i\}$  of  $Y$  by affine opens such that  $f^{-1}(V_i)$  is a finite union of affine opens  $U_{ij}$ , and such that  $O_X(U_{ij})$  is a finitely generated algebra over  $O_Y(V_i)$ ,  $\forall j$ . Then  $f$  is of finite type. (Proof not so simple.)

Properties of properties of morphisms

- 1) composition of quasi-compact morphisms is quasi-compact.
- 2) same for "finite type"
- 3) same for "proper"
- 4) (exercise)  $f: X \rightarrow Y$  surjective,  $g: Y \rightarrow Z$  of finite type  
If  $g \circ f$  proper, then  $g$  proper.

Goal: property of proper morphisms:

$$f: X \rightarrow Y$$

$R$  valuation ring

$$K = Q(R)$$

$$\begin{array}{ccc} \text{Spec } K & \xrightarrow{\psi} & X \\ \downarrow & \exists \alpha \dashrightarrow & \downarrow f \\ \text{Spec } R & \xrightarrow{\varphi} & Y \end{array}$$

such that  $f \circ \varphi = \psi \circ i$

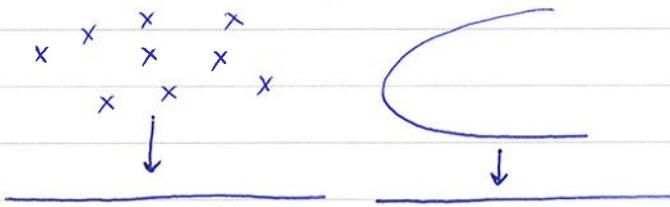
Then  $\exists! \alpha : \text{Spec } R \rightarrow X$  making the whole diagram commute.

Valuative criterion for properness

If a morphism is of finite type and the property just discussed holds for that morphism, the morphism is proper.

One way to think about this:

for a proper morphism, "no points missing in fibres".



( $R$ -valued point of  $X$ )  $f : \text{Spec } R \rightarrow X$  : how can we think about such morphisms?  
 $R$  local ring

$R$  has a unique maximal ideal

$\text{Spec } R$  has a unique closed point  $[M]$

$f : \text{Spec } R \rightarrow X$

Take an open  $U \subseteq X$  containing  $f([M])$

$$f^{-1}(U) \ni [M]$$

$P \in R$   
prime ideal

$[P]$   
 $P \subseteq M$

$$\begin{aligned} f^{-1}(U) &\ni [P] \\ f^{-1}(U) &= \text{Spec } R \\ f(\text{Spec } R) &\subseteq U. \end{aligned}$$

Proposition: Let  $x \in X$ .  $R$  local ring.

The  $R$ -valued points of  $X$  with image  $\overset{\text{of the closed point}}{\check{x}}$  are in 1-1 correspondence with local homomorphisms  $\mathcal{O}_{X,x} \rightarrow R$

Easy beginning of proof:

such an  $f$  gives a local homomorphism  $\mathcal{O}_{X,x} \rightarrow R = \mathcal{O}_x$ .

Valuation rings:  $R \xrightarrow{\text{domain}} K = \mathbb{Q}(R)$ ,  $x \in K$ ,  $x \notin R \Rightarrow x^{-1} \in R$

① The ideals of  $R$  are totally ordered

② local rings:  $A, B$   $B$  dominates  $A$  if  $A \subset B$  and  $m_A \subset m_B$ .

$K$  a field. The maximal elements in the set of local subrings of  $K$  for the relation of domination are



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exactly the valuation rings of  $K$  (Atiyah-McDonald ex. 5.27).

Lemma:  $R$ : valuation ring of  $K$ .

$$f: Z \rightarrow \text{Spec } R$$

1)  $Z$ : reduced, irreducible, separated scheme.

2)  $f$  surjective and birational morphism

↓  
3) opens ( $\neq \emptyset$ ) that are isomorphic via  $f$ .  $\cap$

Then  $f$  is an isomorphism.

Proof:  $\text{Spec } R$  has a unique closed point.

Let  $x$  be a point of  $Z$  over that closed point

let  $y \in Z$  be the generic point.

$$\begin{array}{ccc} \mathcal{O}_{Z,y} & \xleftarrow{\quad} & \mathcal{O}_{Z,x} \\ f_y^* \uparrow \cong & & \uparrow f_x^* \\ K & \xleftarrow{\quad} & R \end{array}$$

$f_x^*$  is a local homomorphism:  $f_x^*(m_x) \subseteq m_{z,x}$

$f_x^*$  is injective.

$\mathcal{O}_{Z,x}$  dominates  $R$ . }  $R \cong \mathcal{O}_{Z,x}$ .  
 $R$  valuation ring

$(f_x^*)^{-1}: \mathcal{O}_{Z,x} \rightarrow R$  local homomorphism.

$(f_x^*)^{-1}$  determines a morphism  $\text{Spec } R \xrightarrow{g} Z$ .

$f: Z \rightarrow \text{Spec } R$ .  $f \circ g: \text{Spec } R \rightarrow \text{Spec } R$  is the identity.

$g \circ f$ , is  $\neq id_Z$ ?

$(g \circ f)(y) = id_Z(y)$  certainly holds.

$Z$  is separated. So the set of points where  $g \circ f$  and  $id_Z$  are "equal" ( $\equiv$ ) is closed

So it equals all of  $Z$ .

$\therefore Z$  reduced  $\xrightarrow{\text{(excuse)}} g \circ f = id_Z$

$\therefore f$  is an isomorphism.

$$\begin{array}{ccc} \text{Spec } K & \xrightarrow{\psi} & X \\ i \downarrow & \exists \alpha \dashv & \downarrow f \\ \text{Spec } R & \xrightarrow{\varphi} & Y \end{array}$$

$f \circ \psi = \varphi \circ i$   
f proper.

X, Y separated schemes

Proof that there is a unique  $\alpha$

1) Uniqueness:  $X$  is separated

two extensions of  $\psi$  agree on a closed subset of  $\text{Spec } R$  containing the generic point,  $\text{Spec } R$  reduced, so they agree.

2) Existence: look at  $X \times_Y \text{Spec } R$ ;

$$(\psi, i) : \text{Spec } K \longrightarrow X \times_Y \text{Spec } R$$

Consider  $(\psi, i)(\text{Spec } K)$ : closed subset  $Z$  of  $X \times_Y \text{Spec } R$ .

Consider  $Z$  as a reduced closed subscheme.

$f$  is proper, so universally closed, so  ~~$Z$  is closed~~

$$p_2 \text{ is closed } X \times_Y \text{Spec } R \xrightarrow{p_2} \text{Spec } R$$

$p_2(Z)$  closed in  $\text{Spec } R$

but it contains the generic point,  
so  $p_2(Z) = \text{Spec } (R)$ .

$X \times_Y \text{Spec } R$  separated

Then  $Z$  is separated as well

Apply Lemma:  $p_2$  is an isomorphism

$$p_1 \circ p_2^{-1} : \text{Spec } R \longrightarrow X \text{ is the } \alpha \text{ we had to find.}$$



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Definition:  $X$  noetherian scheme  $\stackrel{\text{def}}{\iff}$   $\forall$  opens  $U \subseteq X$ , the partially ordered set of closed subschemes of  $U$  satisfies the descending chain condition (d.c.c.).

So certainly have d.c.c for closed subsets of  $U$ , so  $U$  quasi-compact so  $X$  noetherian topological space.

Also, if  $U = \text{Spec } R$ ,  $R$  is noetherian.

Proposition:  $X$  scheme. If  $X$  admits a finite open affine covering by  $U_i = \text{Spec } R_i$  with  $R_i$  Noetherian, then  $X$  is noetherian.

Proposition:  $\varphi: R \rightarrow S$  homomorphism such that  $S$  integral over  $\varphi(R)$ . Then the corresponding morphism  $\Phi: \text{Spec } S \rightarrow \text{Spec } R$  is closed.

Proof: Take  $V(A) \subseteq \text{Spec } S$  closed set.

Claim:  $\Phi(V(A)) = V(\varphi^{-1}(A))$ .

" $\subseteq$ " easy.

" $\supseteq$ " Take  $[P] \in V(\varphi^{-1}(A))$ .

Use a variant of "Going-up" (e.g. Atiyah-MacDonald 5.10):

$R/\varphi^{-1}(A)$  subring of  $S/A$ .  $\exists$  a prime ideal of  $S/A$  restricting to  $P/\varphi^{-1}(A)$ . So  $\exists P' \in S$  with  $P' \supseteq A$  and  $\varphi^{-1}(P') = P$ .

Then  $[P'] \in V(A)$ , and  $\Phi([P']) = [P]$ .  $\square$

Corollary: If  $\varphi: R \rightarrow S$  such that  $S$  integral over  $\varphi(R)$ .

then  $\Phi: \text{Spec } S \rightarrow \text{Spec } R$  is proper.

Proof:  $S$  is certainly a finitely generated  $R$ -algebra, so  $\Phi$  is of finite type.  $\Phi$  is separated since affine schemes are separated.  $S$  is a finitely generated  $R$ -module.

$A \otimes_R S$  is a finite  $A$ -module. }  $\Phi$  universally closed.

Definition:  $f: X \rightarrow Y$  morphism of schemes.  $f$  is affine if for all open affines  $U$  in  $Y$ ,  $f^{-1}(U)$  is affine.  $f$  is finite if  $\forall$  open affines

$V \subseteq Y$ :  $\mathcal{O}_X(f^{-1}(V))$  is a finite  $\mathcal{O}_Y(V)$ -module

Finite morphisms are proper. Also: they have finite fibres.

Defn: A proper morphism with finite fibres to a noetherian scheme is finite.

$X \rightarrow$  scheme

Definition: An  $\mathcal{O}_X$ -module is a sheaf  $F$  (of abelian groups) with  $\forall$  open  $U \subseteq X$  we have a map

$$\mathcal{O}_X(U) \times F(U) \longrightarrow F(U) \quad \text{making } F(U) \text{ an } \mathcal{O}_X(U)\text{-module.}$$

and  $\forall V \subseteq U$  open,

$$\begin{array}{ccc} \mathcal{O}_X(U) \times F(U) & \longrightarrow & F(U) \\ \downarrow & & \downarrow \\ \mathcal{O}_X(V) \times F(V) & \longrightarrow & F(V) \end{array}$$

This diagram is commutative.

Homomorphisms of  $\mathcal{O}_X$ -modules are homomorphisms of sheaves respecting the module structure ( $\forall V$ ).

On  $\text{Spec } R$ , there is a natural construction: take  $M$  an  $R$ -module  
Make an  $\mathcal{O}_X$ -module  $\tilde{M}$ :

$$\text{On } X_f, \tilde{M}(X_f) = M_f$$

get maps when  $X_f \subseteq X_g$ , get the stalks  $M_p$ ;  
go to arbitrary opens like before:

$U$  open: begin with  $\prod_{p \in U} M_p$ ; take the set of elements

locally given by elements of  $M_f$  on  $X_f$ .

Then  $\prod_{p \in U} M_p$  is a module over  $\prod_{p \in U} R_p$ ; and  $\tilde{M}(U)$  is a module over  $\mathcal{O}_X(U)$  via restriction.

Then  $\tilde{M}$  is an  $\mathcal{O}_X$ -module.

Proposition:  $M, N$   $R$ -modules. There is a natural map.

$$\text{Hom}_{\mathcal{O}_X}(\tilde{M}, \tilde{N}) \longrightarrow \text{Hom}_R(M, N)$$

obtained by taking global sections, it is a bijection.

Proposition:  $\tilde{M} \rightarrow \tilde{N} \rightarrow \tilde{P}$  is exact  $\Leftrightarrow M \rightarrow N \rightarrow P$  is exact.

Corollary:  $\tilde{M} \rightarrow \tilde{N}$  homomorphism of  $\mathcal{O}_X$ -modules.

Then the kernel, cokernel, image are all  $\mathcal{O}_X$ -modules of the form  $\tilde{K}$  ( $K$  some  $R$ -module).

Definition/Theorem:  $X$  scheme,  $F$  an  $\mathcal{O}_X$ -module.

The following are equivalent:

- 1)  $\forall U \subseteq X$  open affine:  $F|_U = \tilde{M}$  for some  $\mathcal{O}(U)$ -module  $M$ .
- 2)  $\exists$  open affine cover  $\{U_i\}$  of  $X$  such that  $F|_{U_i} = \tilde{M}_i$  for some  $\mathcal{O}(U_i)$ -module  $M_i$ ,  $\forall i$ .
- 3)  $\forall x \in X \exists$  open neighborhood  $U$  of  $x$  and an exact sequence

$$\begin{array}{ccccccc} (I) & & (J) & & & & \\ \mathcal{O}_x|_U & \longrightarrow & \mathcal{O}_x|_U & \longrightarrow & F|_U & \longrightarrow & 0 \end{array}$$

[ $I, J$  index sets]

- 4)  $\forall V \subseteq U$  open affine:  $F(U) \otimes_{\mathcal{O}(U)} \mathcal{O}(V) \longrightarrow F(V)$  is an isomorphism.

Remark for 3):  $\{F_\alpha\}$  collection of  $\mathcal{O}_x$ -modules

$\oplus F_\alpha$  is the sheaf associated to the presheaf  $U \mapsto \oplus F_\alpha(U)$ .

Exercise: On affine  $U$ :  $\oplus \tilde{M}_\alpha \cong \widetilde{\oplus M_\alpha}$ .

The  $\mathcal{O}_x$ -modules satisfying the equivalent conditions 1) - 4) are called quasi-coherent.

On a Noetherian scheme an  $\mathcal{O}_x$ -module  $F$  is coherent if  $F(U)$  is a finite  $\mathcal{O}(U)$  module,  $\forall U$ .

Corollary:  $\varphi: R \rightarrow S$  homomorphism of rings  
"S integral over R"

Mean:  $S$  is a finite  $R$ -module.

$X$  scheme,  $f \in \Gamma(X, \mathcal{O}_X)$

$X_f = \{x \in X \mid f_x \neq 0\}$ , open in  $X$ .

Properties:

(1)  $X$  quasi-compact,  $a \in \Gamma(X, \mathcal{O}_X)$

$a|_{X_f} = 0$ . Then  $\exists n$  such that  $f^n a = 0$ .

Proof- On affine opens, have  $n$ 's:

quasi-compact, finitely many cover  $X$ , closed.

Take maximum of the  $n$ 's.

(2) Suppose  $b \in \mathcal{O}_X(X_f)$ .

Does  $\exists n$  such that  $f^n b$  is the restriction of an element of  $\Gamma(X, \mathcal{O}_X)$ ?

Condition:  $X$  a finite union of open affines  $V_i$  such that  $V_i \cap V_j$  quasi-compact. Then yes.

Proof:  $b|_{X_f \cap V_i} = b_i/f_i^n$ ;  $V_i = \text{Spec}(B_i)$ ,  $b_i \in B_i$ .

Take  $N = \max(n_i)$ ,  $c_i = f^{N-n_i} b_i$ .

$c_i|_{X_f \cap V_i} = f^N b|_{X_f \cap V_i}$

$c_i - c_j \in \Gamma(V_i \cap V_j, \mathcal{O}_X)$  is zero on  $X_f \cap V_i \cap V_j$ .

Since  $V_i \cap V_j$  quasi-compact, can use (1):

$\exists m_{ij}: f^{m_{ij}}(c_i - c_j) = 0$  on  $V_i \cap V_j$

$M = \max(m_{ij}): f^M c_i$  glue to  $a \in \Gamma(X, \mathcal{O}_X)$ ,  $a|_{X_f} = f^{M+N} b$ .

Under the same hypothesis as in (2),:

$\Gamma(X, \mathcal{O}_X)_f \cong \Gamma(X_f, \mathcal{O}_X) \cong \Gamma(X_f, \mathcal{O}_{X_f})$   
 $a/f^n \mapsto (a|_{X_f})/(f|_{X_f})^n$  to the map.

injective by (1), surjective by (2).

Criterion for affineness:

$X$  scheme.

$X$  is affine  $\iff \exists f_1, \dots, f_r \in \Gamma(X, \mathcal{O}_X)^A$  which generate  $\Gamma(X, \mathcal{O}_X)$  as ideal and such that  $X_{f_i}$  are affine,  $\forall i$ .

Proof: get  $X \rightarrow \text{Spec } A$  from the identity:

find  $A_{f_i} \xrightarrow{\sim} \Gamma(X_{f_i}, \mathcal{O}_{X_{f_i}}) \quad \forall i$

Gives that  $X \rightarrow \text{Spec } A$  is an isomorphism over  $(\text{Spec } A)_{f_i} \quad \forall i$ .



# Universiteit Leiden

Wiskunde en Natuurwetenschappen

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Studierichting: \_\_\_\_\_

Docent: \_\_\_\_\_

Collegekaartnummer: \_\_\_\_\_

Proposition:  $f: X \rightarrow Y$  covering of  $Y$  by open affine subsets  $V_i \in \text{Spec } B$ ; such that  $\forall i: f^{-1}(V_i)$  is affine. Then  $f$  is affine.

Proof: If we want, can pass to distinguished opens of  $\text{Spec } B$ .

Need,  $V = \text{Spec } B \subseteq Y$  open affine, then  $f^{-1}(V)$  is affine.

$V$  is covered by open affines that are distinguished both in  $\text{Spec } B$  and in  $\text{Spec } B_i$  (some  $i$ )

finite such cover.

$\text{Spec } B$  covered by finitely many  $\text{Spec } B_{b_i}$ ;  
 $f^{-1}(\text{Spec } B_{b_i}) = \text{Spec } C_i$

Can then actually assume that  $Y = \text{Spec } B$

$$X \rightarrow Y = \text{Spec } B \quad B \rightarrow \Gamma(X, \mathcal{O}_X) \subset A.$$

The  $b_i$  generate  $B$  as ideal, so  $A$  as ideal.

So the  $\text{Spec } C_i$  form an open cover of  $X$ , finite;  $X_{b_i} = \text{Spec } C_i$ .

By the affineness criterion,  $X$  is affine.

Suppose that in addition  $\forall V_i = \text{Spec } B_i: f^{-1}(V_i) = \text{Spec } A_i$  such that  $A_i$  is a  $B_i$ -algebra which is finite  $B_i$ -module.

Then  $\forall V = \text{Spec } B$

$f^{-1}(V) = \text{Spec } A$ ,  $A$  a finite  $B$ -module

(so: to get finiteness of a morphism checking on an open affine cover is good enough).

$A$  is a  $B$ -algebra

Finitely many  $b_i$  which generate  $B$  as ideal

$A_{b_i}$  is a finite  $B_{b_i}$ -module.

Then  $A$  is a finite  $B$ -module.

Can take generators  $z_{ij}$  that generate  $A_{b_i}$  as  $B_{b_i}$ -module.

Can take them in  $A$ .

$$a \in A: \text{in } A_{b_i}: a = \sum_j \beta_{ij} z_{ij} \quad \beta_{ij} \in B_{b_i}$$

$$\exists N: b_i^N \beta_{ij} = \gamma_{ij} \in B.$$

Can take single  $N$  for all  $i$  and  $j$ .

$$b_i^N a = \sum_j r_{ij} z_{ij} \quad / \quad b_i^N \text{ generate } B \text{ as ideal.}$$

$$\sum x_i b_i^N = 1.$$

$$a = \sum x_i b_i^N a = \sum_{i,j} x_i \underbrace{r_{ij} z_{ij}}_{\in B}.$$



Universiteit

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Wiskunde en Natuurwetenschappen

Algebraic geometry 2  
lecture 8.

Vak:

Naam: VM

Datum:

Studierichting:

Docent:

Collegekaartnummer:

 $(X, \mathcal{O}_X)$ -scheme.An  $\mathcal{O}_X$ -module consists of:

- a sheaf  $F$  of abelian groups on  $X$ .
- $\forall U \subset X$  open, a structure of  $\mathcal{O}_X(U)$ -module on  $F(U)$ .

such that  $\forall V \subset U$  open in  $X$ ,  $\forall q \in \mathcal{O}_X(U)$ ,  $\forall s \in F(U)$ ,

restriction maps

$$\text{res}_{UV}(s \cdot q) = \text{res}_U(s) \cdot \text{res}_V(q)$$

Let  $F$  be an  $\mathcal{O}_X$ -module on  $X$ ,  $V \subset U$  open in  $X$ 

Note that the map

$$\begin{aligned} F(U) \times \mathcal{O}_X(V) &\longrightarrow F(V) \\ (s, r) &\longmapsto \text{res}_{UV}(s) \cdot r \end{aligned}$$

is  $\mathcal{O}_X(V)$ -bilinear:

$$\forall q \in \mathcal{O}_X(U),$$

$$\begin{aligned} (s \cdot q, r) &\longmapsto \text{res}_{UV}(s \cdot q) \cdot r \\ (s, q \cdot r) &\longmapsto \text{res}_U(s) \cdot \text{res}_V(q) \cdot r \\ &\stackrel{?}{=} \text{res}_U(q) \cdot r \end{aligned}$$

are equal!

By the universal property of tensor product, we obtain an  $\mathcal{O}_X(V)$ -linear map:

$$F(U) \otimes_{\mathcal{O}_X(U)} \mathcal{O}_X(V) \longrightarrow F(V)$$

It is in fact  $\mathcal{O}_X(V)$ -linear.

$$\begin{array}{ccc} F(U) \otimes_{\mathcal{O}_X(U)} \mathcal{O}_X(V) & \xrightarrow{\quad} & F(V) \\ s \otimes 1 & \uparrow & \nearrow \text{res}_{UV} \\ s \in F(U) & & \end{array}$$

The diagram commutes

Example:  $R \rightarrow$  discrete valuation ring.

$$K = \text{frac}(R).$$

$$X = \text{Spec } R$$

$\left\{ \begin{matrix} \bullet, \text{ generic point} \\ \text{closed point} \end{matrix} \right.$

Topology on  $X$   $\mathcal{T}_X = \{\emptyset, X, \{x_0\}\}$

$$U = X$$

$$V = \{x_0\}$$

$\{\mathcal{O}_X\text{-modules}\} \cong \{ M \text{ } R\text{-module, } L \text{ } K\text{-vector space, } \varphi: M \otimes_R K \xrightarrow{\text{K-linear}} L \}$

$M = \mathcal{F}(U)$   $R$ -module

$L = \mathcal{F}(V)$   $K$ -vector space

$\varphi: M \otimes_R K \xrightarrow{\text{K-linear}} L$

Example:  $X = \text{Spec } R$  affine scheme

$M$  an  $R$ -module

$\rightsquigarrow \tilde{M}$  an  $X$

Property: Take  $F = \tilde{M}$ ,  $U = X$ ,  $V = X_f$  ( $f \in \mathcal{O}_X(X)$ )

$$M_f := M \otimes_R R_f \xrightarrow{\sim} \tilde{M}(X_f)$$

$\uparrow$        $\uparrow$   $\text{res}$

$$M = \tilde{M}(X) \quad \text{is an } R_f\text{-linear isomorphism.}$$

In  $X = \text{Spec } R$ ,  $R$  a DVR, take  $M = (0)$ ,  $L = K$ . The resulting  $\mathcal{O}_X$ -module  $F$  is not of the form  $\tilde{N}$  since  $V = X_f$ ,  $f$  generator of the maximal ideal of  $R$ .

Proposition: Let  $U = \text{Spec } R$  be an affine scheme,  $\text{Spec } S = V \subset U$  an affine open subscheme. Let  $M$  be an  $R$ -module. Then  $\exists$  natural isomorphism

$$\widetilde{M} \otimes_{RS} \sim \rightarrow \tilde{M}|_V \text{ of } \mathcal{O}_V\text{-modules.}$$

In particular, we have a natural isomorphism

$$\tilde{M}(U) \otimes_{\mathcal{O}_X(U)} \mathcal{O}_X(V) \sim \rightarrow \tilde{M}(V)$$

Proof: Choose a presentation  $R^{(I)} \rightarrow R^{(J)} \rightarrow M \rightarrow 0$  of  $M$

Take  $\sim$ : we get a presentation

$$\widetilde{R^{(I)}} \rightarrow \widetilde{R^{(J)}} \rightarrow \widetilde{M} \rightarrow 0 \text{ of } \widetilde{M}.$$

This is the presentation

$$\mathcal{O}_V^{(I)} \rightarrow \mathcal{O}_V^{(J)} \rightarrow \widetilde{M} \rightarrow 0 \text{ of } \widetilde{M}.$$

Restrict to  $V$ : this gives a presentation

$$\mathcal{O}_V^{(I)} \longrightarrow \mathcal{O}_V^{(J)} \longrightarrow \tilde{M}|_V \longrightarrow 0 \text{ of } \tilde{M}|_V.$$

from  $R^{(I)} \longrightarrow R^{(J)} \longrightarrow M \longrightarrow 0$  we obtain, by applying

$\otimes_{R^{(I)}}$  (which is right exact) a presentation

$$S^{(I)} \longrightarrow S^{(J)} \longrightarrow M \otimes_{R^{(I)}} S^{(J)} \longrightarrow 0$$

Apply  $\sim$ : get

$$\mathcal{O}_V^{(I)} \longrightarrow \mathcal{O}_V^{(J)} \longrightarrow \tilde{M} \otimes_{R^{(I)}} S^{(J)} \longrightarrow 0.$$

The two maps  $\mathcal{O}_V^{(I)} \longrightarrow \mathcal{O}_V^{(J)}$  are equal, get a natural isomorphism  
 $\tilde{M} \otimes_{R^{(I)}} S^{(J)} \xrightarrow{\sim} \tilde{M}|_V$ .

Theorem: let  $(X, \mathcal{O}_X)$  be a scheme,  $F$  an  $\mathcal{O}_X$ -module. The following are equivalent:

(1)  $\forall V \subset X$  open affine, we have  $F|_V \cong \tilde{M}$  for some  $\mathcal{O}_X(V)$ -module  $M$ ;

(2)  $\exists \{V_i\}$  open cover of  $X$  with affine schemes such that  $\forall i$ :  
 $\exists \mathcal{O}_X(V_i)$ -module  $M_i$  such that  $F|_{V_i} \cong \tilde{M}_i$ .

(3)  ~~$\exists \{V_i\}_{i \in A}$~~   $\exists \{V_i\}_{i \in A}^{\text{open}}$  open cover of  $X$  with affine opens such that  $\forall i \in A$   
 $\exists$  sets  $I, J$  & an exact sequence of  $\mathcal{O}_{V_i}$ -modules:

$$\mathcal{O}_{V_i}^{(I)} \longrightarrow \mathcal{O}_{V_i}^{(J)} \longrightarrow F|_{V_i} \longrightarrow 0$$

(4)  $\forall V \subset U$  open, affine in  $X$ , the natural map  $F(U) \otimes_{\mathcal{O}_X(U)} \mathcal{O}_X(V) \longrightarrow F(V)$  is an isomorphism of  $\mathcal{O}_X(V)$ -modules.

Proof: (1)  $\Rightarrow$  (4) follows from the proposition.

(4)  $\Rightarrow$  (1) Hint: for all open affine  $V \subset X$ , consider  $M = F(V)$   
& then show:  $\exists$  natural isomorphism  $F(V) \xrightarrow{\sim} F|_V$ .

(See proof in Mumford)

Definition: An  $\mathcal{O}_X$ -module  $F$  that satisfies the conditions of the theorem is called quasi-coherent.

Corollary: Assume  $X$  is affine. Then  $X$  is quasi-coherent  $\Leftrightarrow F$  is of the form  $\tilde{M}$ .

Corollary: The category  $\mathrm{QCoh}(X)$  of quasi-coherent  $\mathcal{O}_X$ -modules on  $X$  has kernels, cokernels, images

Example: Let  $i: Z \rightarrow X$  be a closed immersion.

Let  $\pi: \mathcal{O}_X \rightarrow i_* \mathcal{O}_Z$  be the associated map (is a surjective homomorphism of sheaves)

Write  $\mathcal{Q} = \ker(\pi)$ , so  $\mathcal{Q}$  is a sheaf of ideals of  $X$ .

If  $V = \text{Spec } R \subset X$  affine, then  $\mathcal{Q}|_V = \widetilde{\mathcal{Q}}(V)$ .

By condition (1) of the theorem,  $\mathcal{Q}$  is quasi-coherent. So

$$0 \rightarrow \mathcal{Q} \rightarrow \mathcal{O}_X \rightarrow i_* \mathcal{O}_Z \rightarrow 0$$

$\uparrow$  is an exact sequence in  $\mathcal{QCoh}(X)$ .  
quasi-coherent.

If  $X = \text{Spec } R$  is affine, this sequence is:

$$0 \rightarrow \widetilde{A} \rightarrow \widetilde{R} \rightarrow \widetilde{R}/\widetilde{A} \rightarrow 0$$

for some ideal  $A \subset R$ .

Example: Let  $I$  be a set,  $F$  an  $\mathcal{O}_X$ -module. Say  $F$  is free of rank  $I$  if  $\exists$  isomorphism  $\bigoplus_{i \in I} \mathcal{O}_X = \mathcal{O}_X^{(I)} \xrightarrow{\sim} F$ . Say  $F$  is locally free of rank  $I$  if open over  $\{U_\alpha\}$  of  $X$  such that  $\forall \alpha: F|_{U_\alpha}$  is free of rank  $I$ .  
locally free sheaves are quasi-coherent.

$$\bigoplus_{\alpha} M_{\alpha} \xleftarrow{\sim} \bigoplus_{\alpha} \widetilde{M}_{\alpha}$$

A locally free sheaf of rank 1 is called invertible

$\mathbb{P}^n$ ?

$$R_i = \mathbb{Z}[x_0, \dots, x_n]_{k=0, k \neq i}$$

$$R_{ji} = \mathbb{Z}[x_0, \dots, x_{j-1}, x_{j+1}, \dots, x_n]_{k=0, k \neq j}$$

$$\varphi_{ij}: R_{ji} \xrightarrow{\sim} R_{ij}, i \neq j$$

$$x_{ji} \mapsto x_{ij}^{-1}$$

$$x_{ki} \mapsto x_{kj} x_{ij}^{-1}$$

Let  $\{U_i = \text{Spec } R_i\}$

$\{U_{ji} = \text{Spec } R_{ji}\}$

$\{\varphi_{ij}: U_{ij} \xrightarrow{\sim} U_{ji}\}$  induced from  $\varphi_{ij}$

These data satisfy the conditions of glueing.

$$\text{Check: } \varphi_{ki} = \varphi_{ji} \circ \varphi_{kj}, \varphi_{ij} = \varphi_{ji}^{-1}$$

Definition:  $\mathbb{P}^n$  is the result of glueing  $U_i$  along the above data

Cohomology  $F \longmapsto H^i(X, F)$

(AGL  $\hookrightarrow H^i(X, \mathcal{O}_X(D))$ ) <sub>$i \in \{0, 1, 2\}$</sub>   $D$  divisor  $\dim H^i(X, \mathcal{O}_X)$   
"genus of  $X$ "



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$(X, \mathcal{O}_X)$  scheme. An  $\mathcal{O}_X$ -module consists of:

- a sheaf  $F$  on  $X$
- $\forall U \subset X$  open, an  $\mathcal{O}_X(U)$ -module structure on  $F(U)$ , such that:  
 $\forall V \subset U$  open in  $X$ ,  $\forall s \in F(U)$ ,  $\forall f \in \mathcal{O}_X(V) : \text{res}_{UV}(sf) = \text{res}_{UV}(s) \cdot \text{res}_{UV}(f)$ .

Category  $\underline{\text{Mod}}(\mathcal{O}_X)$

Let  $\{F_\alpha\}_{\alpha \in A}$  be a collection of  $\mathcal{O}_X$ -modules

Def:  $\bigoplus_{\alpha \in A} F_\alpha$  is the sheaf associated to the presheaf  
 $U \longmapsto \bigoplus_{\alpha \in A} F_\alpha(U)$ . Then  $\bigoplus_{\alpha \in A} F_\alpha$  is naturally an  $\mathcal{O}_X$ -module.

Let  $F, G$  be  $\mathcal{O}_X$ -modules.

Def:  $F \otimes_{\mathcal{O}_X} G = F \otimes G$  is the sheaf associated to the presheaf  
 $U \longmapsto F(U) \otimes_{\mathcal{O}_X(U)} G(U)$ . Then  $F \otimes G$  is naturally an  $\mathcal{O}_X$ -module.

Example:  $X = \text{Spec } R$ ,  $M_\alpha, M, N$   $R$ -modules. Then  $\bigoplus_{\alpha \in A} \widetilde{M}_\alpha \cong \widetilde{\bigoplus_{\alpha \in A} M_\alpha}$ ,  
 $\widetilde{M} \otimes_{\mathcal{O}_X} \widetilde{N} \cong \widetilde{M \otimes_R N}$

Example:  $X$  scheme  $F_\alpha, F, G$  quasi-coherent  $\mathcal{O}_X$ -modules. Then  
 $\bigoplus_{\alpha \in A} F_\alpha$  and  $F \otimes G$  are quasi-coherent.

Today: •  $f: Y \rightarrow X$  morphism of schemes.  $F \in \underline{\text{Mod}}(\mathcal{O}_X)$ , define  
 $f^* F$  "pullback" of  $F$ .

- $\mathcal{O}(1)$  on  $\mathbb{P}^n$ .
- analyze: for  $f: Y \rightarrow \mathbb{P}^n$ , the meaning of  $f^* \mathcal{O}(1)$ .
- prove  $\mathbb{P}^n(k) = (k^{n+1} \setminus \{0\}) / \sim$  ( $k$  field)

$f: Y \rightarrow X$  map of topological spaces,  $F$  sheaf on  $X$

Def:  $f^{-1} F$  = sheaf on  $Y$  associated to the presheaf

$$V \longmapsto \lim_{\substack{\longrightarrow \\ U \ni f(v)}} F(U)$$

↑  
open in  $X$ .

Example:  $i: \{x\} \xrightarrow{Y} X$  inclusion of a point  $(f^{-1}F)(Y) = \lim_{V \ni x} F(V) = F_x$

- Actually,  $(f^{-1}F)_y \cong F_x$  if  $y = f(x)$ .

- If  $U_0 \supseteq f(V)$ , get natural maps

$$F(U_0) \longrightarrow \lim_{U \supseteq f(V)} F(U) \longrightarrow (f^{-1}F)(V).$$

Now,  $f: Y \rightarrow X$  morphism of schemes,  $F \in \underline{\text{Mod}}(\mathcal{O}_X)$

$(f^{-1}\mathcal{O}_X)(V)$  is a ring, actually  $f^{-1}\mathcal{O}_X$  is a sheaf of rings.  
 my open in  $Y$

Let  $U \supseteq f(V) (\iff V \subseteq f^{-1}(U))$

Then  $\mathcal{O}_X(U) \xrightarrow{f_U^*} \mathcal{O}_Y(f^{-1}(U)) \xrightarrow{\text{res}} \mathcal{O}_X(V)$ .

turns  $\mathcal{O}_Y(V)$  into an  $\mathcal{O}_X(U)$ -algebra.

Varying  $U \supseteq f(V)$  ( $V$  fixed), get  $\mathcal{O}_Y(V)$  is an  $(f^{-1}\mathcal{O}_X)(V)$ -algebra.

Also,  $(f^{-1}F)(V)$  is an  $(f^{-1}\mathcal{O}_X)(V)$ -module, as  $\lim_{U \supseteq f(V)} F(U)$  is a module over  $\lim_{U \supseteq f(V)} \mathcal{O}_X(U)$ .

Def:  $f^*F$  = sheaf associated to the presheaf  $V \mapsto (f^{-1}F)(V) \otimes_{(f^{-1}\mathcal{O}_X)(V)} \mathcal{O}_Y(V)$

( $f^*F \stackrel{\text{def}}{=} f^{-1}F \otimes_{f^{-1}\mathcal{O}_X} \mathcal{O}_Y$ )

$\bullet (f^{-1}F)(V) \longrightarrow (f^{-1}F)(V) \otimes_{(f^{-1}\mathcal{O}_X)(V)} \mathcal{O}_Y(V)$

$$\begin{array}{ccc} \uparrow \psi & & \\ s & \longleftarrow & s \otimes 1 \end{array}$$

$\forall U: U \supseteq f(V) \mid F(U)$

$\therefore$  If  $U \supseteq f(V)$ ,  $\exists$  natural map  $F(U) \xrightarrow{\psi} (f^*F)(V)$

$$\begin{array}{ccc} \uparrow \psi & & \\ s & \longleftarrow & f^*s \end{array}$$

- $f^*(F \otimes G) \cong f^*F \otimes f^*G$

- $f^*(\bigoplus_\alpha F_\alpha) \cong \bigoplus_\alpha f^*F_\alpha$

Now  $X = \text{Spec } R$ ,  $Y = \text{Spec } S$ . Any morphism  $f: Y \rightarrow X$  is given by  $f^*: R \rightarrow S$ . Let  $F = \tilde{M}$ ,  $M$  an  $R$ -module. Then  $f^*\tilde{M} \cong \tilde{M} \otimes_R S$

If  $f(q) = p$ , then

$$(\widetilde{M \otimes_R S})_q \cong (M \otimes_R S)_q \cong M_p \otimes_{R_p} S_q$$

Corollary. If  $F$  is quasi-coherent on  $X$ , then  $f^*F$  is quasi-coherent.  
If  $F$  is locally free of rank  $r$ , then  $f^*F$  is locally free of rank  $r$ .

$$\mathbb{P}^n: R_i = \mathbb{Z}[X_{ki}, \dots, X_{ni}]_{k=0, \dots, n; k \neq i}$$

$$U_i = \text{Spec } R_i \cong \mathbb{A}_\mathbb{Z}^n \quad (i=0, \dots, n)$$

$$R_i \longrightarrow R_{ji} = \mathbb{Z}[X_{ki}, \dots, X_{ji}]$$

$$U_i \supset U_{ji} = \text{Spec } R_{ji}$$

let  $\gamma_{ij}: R_{ji} \xrightarrow{\sim} R_{ij}$  ( $i \neq j$ ) be given by

$$\begin{cases} X_{ji} \mapsto X_{ij}^{-1} \\ X_{ki} \mapsto X_{kj} \cdot X_{ij}^{-1} \end{cases}$$

$$\begin{cases} X_{ji} \mapsto X_{ij}^{-1} \\ X_{ki} \mapsto X_{kj} \cdot X_{ij}^{-1} \end{cases}$$

$$(Motivation: X_{ij} = X_i/X_j)$$

$$\gamma_{ij} \text{ induce } \phi_{ij}: U_{ij} \xrightarrow{\sim} U_{ji}$$

Then  $(\{U_i\}, \{U_{ij}\}, \phi_{ij})$  are glueing data.  $\mathbb{P}^n$  is the result of glueing these glueing data.

$$\text{let } S = \mathbb{Z}[X_0, \dots, X_n]$$

$$\text{Write } \mathbb{A}_\mathbb{Z}^{n+1} = \text{Spec } S, \text{ and } Y = \mathbb{A}_\mathbb{Z}^{n+1} - V(X_0, \dots, X_n).$$

$$\text{let } S_i = S_{X_i} = \mathbb{Z}[X_0, \dots, X_n, X_i^{-1}] \text{ and } V_i = \text{Spec } S_i \subset Y.$$

Then  $\{V_i\}_{i=0, \dots, n}$  is an open covering of  $Y$ . Define

$$\psi_i: R_i \longrightarrow S_i \text{ by } X_{ji} \mapsto X_j \cdot X_i^{-1}$$

$$\text{These give } \bar{\psi}_i: V_i \longrightarrow U_i \longrightarrow \mathbb{P}^n$$

$$\text{The } \bar{\psi}_i \text{ glue to give a morphism } Y \xrightarrow{\bar{\Psi}} \mathbb{P}^n.$$

We call  $X_i \in \Gamma(Y, \mathcal{O}_Y)$  homogeneous coordinates on  $\mathbb{P}^n$ .

$\mathcal{O}(1)$  For  $i=0, \dots, n$ , let  $F_i$  be the  $\mathcal{O}_{U_i}$ -module determined via  $\sim$  by the module  $R_i \cdot X_i$  inside  $S_i$ .

Then  $F_i$  is free of rank one.

On  $U_i \cap U_j$  ( $i \neq j$ ), consider the isomorphism

$$\chi_{ij}: F_i|_{U_i \cap U_j} \xrightarrow{\sim} F_j|_{U_i \cap U_j} \text{ given by}$$

$$X_i \mapsto X_{ij} \cdot X_j$$

The  $\chi_{ij}$  are glueing data. We define  $\mathcal{O}(1)$  to be the sheaf obtained by glueing data.

Thus  $\mathcal{O}(1)|_{U_i} \cong F_i$ , free of rank one. So  $\mathcal{O}(1)$  is an invertible sheaf.

The relations  $X_{ij}(X_i) = X_{ij} \cdot X_i$  show that  $\forall i=0, \dots, n$ , the element  $X_i \in \Gamma(U_i, \mathcal{O}(1))$  extends as an element of  $\Gamma(\mathbb{P}^n, \mathcal{O}(1))$ . In fact  $\Gamma(\mathbb{P}^n, \mathcal{O}(1)) = \mathbb{Z}X_0 \oplus \dots \oplus \mathbb{Z}X_n$ .

~~Given~~ Given  $Y$  a scheme, what is  $\text{Hom}_{\text{sch}}(Y, \mathbb{P}^n)$ ?

Theorem: There is a canonical bijection, functorially in  $Y$ :

$$\text{Hom}_{\text{sch}}(Y, \mathbb{P}^n) \xrightarrow{\sim} \left\{ \begin{array}{l} (n+1)\text{-decorated invertible} \\ \text{sheaves on } Y \end{array} \right\} / \cong$$

Def. Let  $L$  be an invertible sheaf on  $Y$ ,  $\{s_\alpha\}_{\alpha \in A}$  global sections of  $L$ . Say that  $\{s_\alpha\}$  generates  $L$  if:  $\forall x \in Y: \{s_{\alpha,x}\}$  generate  $L_x$  as an  $\mathcal{O}_{Y,x}$ -module  $\Leftrightarrow \forall x \in Y$ , one of the  $s_{\alpha,x}$  generates  $L_x$  as an  $\mathcal{O}_{Y,x}$ -module.

Def. An  $(n+1)$ -decorated invertible sheaf on  $Y$  is a tuple  $(L, (s_0, \dots, s_n))$  where  $L$  is an invertible sheaf on  $Y$ , and  $s_i$  are global sections of  $L$  that generate  $L$ .

Example:  $(\mathcal{O}(1), (X_0, \dots, X_n))$  is an  $(n+1)$ -decorated invertible sheaf on  $\mathbb{P}^n$ .

Lemma: Let  $f: Y \rightarrow \mathbb{P}^n$  be a morphism. Then  $(f^*\mathcal{O}(1), (f^*X_0, \dots, f^*X_n))$  is an  $(n+1)$ -decorated invertible sheaf on  $Y$ .

Vice versa, given  $(L, (s_0, \dots, s_n))$  an  $(n+1)$ -decorated invertible sheaf on  $Y$ , this gives naturally a morphism  $f: Y \rightarrow \mathbb{P}^n$  such that  $(f^*L, (f^*s_0, \dots, f^*s_n)) \cong (L, (s_0, \dots, s_n))$

Example:  $\mathbb{P}^n(k) = \text{Hom}_{\text{sch}}(\text{Spec}(k), \mathbb{P}^n)$

$$= \left\{ \begin{array}{l} (n+1)\text{-decorated invertible} \\ \text{sheaves on } \text{Spec}(k) \end{array} \right\} / \cong = \left\{ \begin{array}{l} (t_0, \dots, t_n) \in k^{n+1} \\ t_i \text{ not all zero} \end{array} \right\} / \sim$$



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Algebraic Geometry 2  
lecture 10.

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 $X$  affine scheme,  $R = \Gamma(X, \mathcal{O}_X)$ 

Then the functors

$$\begin{array}{ccc} \mathbf{QCoh}(X) & \longleftrightarrow & R\text{-Mod} \\ \tilde{M} & \longleftarrow & M \\ F & \longrightarrow & \Gamma(X, F) \end{array}$$

Set up an equivalence of categories.

What if  $X$  is a projective scheme?a scheme  $Z$  such that  $\exists$  closed immersion  $Z \rightarrow \mathbb{P}^n$ .

$$\mathbb{P}_A^r := \mathbb{P}_{\text{spec } A}^r \times_{\text{Spec } A} \text{Spec } A$$

A projective  $A$ -scheme is an  $A$ -scheme  $Z \rightarrow \text{Spec } A$  such that  
 $\exists$  closed immersion  $Z \rightarrow \mathbb{P}_A^r$ 

$$\begin{array}{ccc} Z & \longrightarrow & \mathbb{P}_A^r \\ & \searrow & \downarrow \text{Spec } A \end{array}$$

e.g.  $X = \mathbb{P}_A^r$  where  $A$  is a ring.

$$S = A[X_0, \dots, X_r]$$

↓ graded ring: by putting  $X_i$  in degree one. $d \in \mathbb{Z}$ . Then  $S_d = \{\text{homogeneous degree } d\text{-polynomials}\}$ 

$$\text{Then } S = \bigoplus_{d \in \mathbb{Z}} S_d, \quad S_0 = A$$

$$S_d \cdot S_e \subseteq S_{d+e}$$

A graded  $S$ -module is an  $S$ -module  $M$  together with a direct sum decomposition  $M = \bigoplus_{d \in \mathbb{Z}} M_d$  as  $\mathbb{Z}$ -modules, such that  
 $\forall d, e \in \mathbb{Z}: S_d \cdot M_e \subseteq M_{d+e}$ . So, each  $M_d$  is an  $A$ -module.  
 $\overset{\text{def}}{=} S_0$ The functor  $\{ \text{graded } S\text{-modules} \} \longrightarrow \mathbf{QCoh}(\mathbb{P}_A^r)$ 

$$M \longmapsto \tilde{M}$$

Let  $T \subset S$  be a multiplicative subset, consisting of homogeneous elements,  $M$  a graded  $S$ -module. Then the set  
 $T^{-1}M = \{ m_f : m \in M, f \in T \}$  is a  $T^{-1}S$ -module, endowed

A



with a natural grading:  $f$  has degree  $d-e$  if  $m$  is homogeneous of degree  $d$ , and  $f$  had degree  $e$ .

Example: fix  $i \in \{0, \dots, r\}$   $T = \{X_i^d \mid d \in \mathbb{Z}_{\geq 0}\}$

$$\Rightarrow T^{-1}S = S_{X_i} = A[X_0, \dots, X_r, X_i^{-1}]$$

$$= \{g/X_i^d \mid g \in S, d \in \mathbb{Z}\}$$

$(T^{-1}M)_0$  is a  $\underbrace{(T^{-1}S)_0}_{\text{a ring, also an } A\text{-algebra}}$ -module

Definition  $R_i := A[-, X_{ii}, -]_{j=0, \dots, r; j \neq i}$

$$\begin{array}{ccc} & \xrightarrow{\quad X_{ji} \quad} & \\ S \downarrow & & \downarrow \\ & X_{ji} & \\ & & \downarrow \\ (S_{X_i})_0 & \ni & X_j \cdot X_i^{-1} \end{array}$$

Claim:  $S_{X_i} = R_i[X_i, X_i^{-1}]$

" $\supseteq$ " clear

" $\subseteq$ "  $X_j = X_i \cdot X_{ji}$   
as graded rings

$$R_i = \bigoplus_{n \in \mathbb{Z}} R_i \cdot X_i^n$$

Let  $M$  be a graded  $S$ -module, let  $i \in \{0, \dots, r\}$

Then  $(M_{X_i})_0$  is an  $(S_{X_i})_0$ -module, i.e., an  $R_i$  module.

let  $U_i = \text{Spec } R_i$ , then  $\mathbb{P}_A^r = \bigcup_{i=0}^r U_i$ . On  $U_i$  we put the sheaf  $(M_{X_i})_0$ . Then we have canonical isomorphisms

$$(M_{X_i})_0,_{X_i/X_i} \xrightarrow{\sim} (M_{X_i X_i})_0$$

These are glueing data, and  
we call  $\tilde{M}$  the result of glueing  
the  $(M_{X_i})_0$  along these data.

Example  $\tilde{S} \cong \mathcal{O}_X$ .

Example: Let  $S(d)$  be the shift of  $S$  by degree  $d$ , i.e.  $S(d) = S$  as  $\mathbb{Z}$ -module, with grading  $S(d)_e = S_{d+e}$ .

What is  $\widetilde{S(d)}$ ?

$$\begin{aligned}\widetilde{S(d)}(U_i) &= (S(d)_{X_i})_0 = \left\{ f/X_i^e \mid f \in S(d)_e, e \in \mathbb{Z} \right\} \\ &= \left\{ f/X_i^e \mid f \in S_{d+e}, e \in \mathbb{Z} \right\} \\ &= \left\{ f/X_i^k \cdot X_i^d \mid f \in S_k, k \in \mathbb{Z} \right\} \\ &= (S_{X_i})_0 \cdot X_i^d = R_i \cdot X_i^d\end{aligned}$$

So  $\widetilde{S(d)} \cong \mathcal{O}(1)$ .

$$\widetilde{S} \cong \mathcal{O}_X$$

Notation:  $\mathcal{O}(d) := \widetilde{S(d)}$   
 $\in \text{QCoh}(\mathbb{P}_A^r)$

$$\begin{array}{ccc}\{\text{graded } S\text{-modules}\} & \longrightarrow & \text{QCoh}(\mathbb{P}_A^r) \\ X = \mathbb{P}_A^r & M \longmapsto & \tilde{M}\end{array}$$

Global sections  $d \in \mathbb{Z}$

for  $i = 0, \dots, r$ :

$$\frac{m}{X_i^d} \in \tilde{M}(U_i)$$

$\parallel$

$$(M_{X_i})_0$$

$$\Gamma(X, \tilde{M} \otimes \mathcal{O}_X(d))$$

$$\begin{matrix} \uparrow \alpha_d \\ m \in M_d \end{matrix}$$

$$\rightsquigarrow \bar{m} := \frac{m}{X_i^d} \otimes X_i^d$$

$$X_i^d \in \mathcal{O}_X(d)(U_i) \in \tilde{M}(U_i) \otimes_{\mathcal{O}(U_i)} \mathcal{O}_X(d)(U_i)$$

$$= (\tilde{M} \otimes \mathcal{O}_X(d))(U_i)$$

The  $\bar{m}$  agree on  $U_i \cap U_j$ 's, so glue together uniquely to an element  $\bar{m} \in \Gamma(X, \tilde{M} \otimes \mathcal{O}_X(d))$

Get a  $\mathbb{Z}$ -module homomorphism

$$\alpha = \bigoplus_{d \in \mathbb{Z}} \alpha_d : M \longrightarrow \bigoplus_{d \in \mathbb{Z}} \Gamma(X, \tilde{M} \otimes \mathcal{O}_X(d))$$

morphism of graded  $S$ -modules

why?

Consider  $M = S$ .

$$\text{Get } \alpha_{d+e} : S_d \longrightarrow \Gamma(X, \mathcal{O}_X(d+e))$$

Claim:  $\forall d \in \mathbb{Z} \alpha_{d+e}$  is an isomorphism  $\mathcal{O}_X(d+e) \cong \mathcal{O}_X(d) \otimes \mathcal{O}_X(e)$

$$\therefore S \cong \bigoplus_{d \in \mathbb{Z}} \Gamma(X, \mathcal{O}_X(d))$$

$$A = \Gamma(X, \mathcal{O}_X)$$

$$\begin{array}{c} \Gamma(X, \mathcal{O}_X(d)) \times \Gamma(X, \tilde{M} \otimes \mathcal{O}_X(e)) \longrightarrow \Gamma(X, \tilde{M} \otimes \mathcal{O}_X(d+e)) \\ \left( \begin{smallmatrix} \oplus & \cup \\ s & t \end{smallmatrix} \right) \longmapsto s \otimes t \end{array}$$

$$\begin{matrix} X \\ \downarrow \\ \text{Spec } A \end{matrix}$$

$\Gamma(X, \mathcal{O}_X)$  is an  $A$ -algebra

$\Gamma(X, \text{some } \mathcal{O}_X\text{-module})$  is a  $\Gamma(X, \mathcal{O}_X)$ -module hence an  $A$ -module.

Theorem: The map  $\alpha_n: S_n \longrightarrow \Gamma(X, \mathcal{O}_X(n))$  is an isomorphism of  $A$ -modules.

Proof: By the sheaf axioms we have an exact sequence.

$$0 \longrightarrow \Gamma(X, \mathcal{O}_X(n)) \longrightarrow \prod_i \Gamma(S(n)_{x_i})_0 \longrightarrow \prod_{i,j} \Gamma((S(n))_{x_i x_j})_0$$

$$(f_i)_i \longmapsto (f_i - f_j)_{i,j}$$

$$\text{So } \Gamma(X, \mathcal{O}_X(n)) = \ker (\text{↑})$$

Now  $(S(n)_{x_i})_0$  is free as  $A$ -module with basis

$$x^d = x_0^{d_0} \cdots x_r^{d_r} : \text{① } \sum d_i = n.$$

$$\text{② } \forall k \neq i : d_k \geq 0$$

$$\text{③ } d_i \in \mathbb{Z}.$$

And  $(S(n)_{x_i x_j})$  is a free  $A$ -module with basis  $x^d$  such that  $\forall k \neq i, j : d_k \geq 0$ . Let  $(f_i)_i$  be in the kernel. Consider the condition that  $f_0 - f_r = 0$ . This implies that  $f_0 = \sum f_{0,d} x^d$  with  $f_{0,d} = 0$  unless all  $d_k \geq 0$ .

$$\text{So } f_0 = f_r \in S(n). \quad \square$$

So  $S \xrightarrow{\alpha_S} \bigoplus_{n \in \mathbb{Z}} \Gamma(X, \mathcal{O}_X(n))$  is an isomorphism as well.

It is not in general true that: if  $M$  is a graded  $S$ -module, then  $M \xrightarrow{\alpha_M} \bigoplus \Gamma(X, \tilde{M} \otimes \mathcal{O}_X(n))$  is an isomorphism.

$$\begin{array}{ccc} \mathrm{QCoh}(P_A^r) & \longleftrightarrow & (\text{graded } S\text{-modules}) \\ \tilde{M} & \longleftarrow & M \\ F & \longrightarrow & \bigoplus_{n \in \mathbb{Z}} \Gamma(X, F \otimes \mathcal{O}_X(n)) \\ & & \downarrow \Gamma_*^F(F) \end{array}$$

Claim:  $\exists$  natural isomorphism  $\Gamma_*(F) \xrightarrow{\sim} F$   
(See proof in Hartshorne)

Homogeneous ideal in  $S \equiv$  graded  $S$ -submodule of  $S$ .

$I = \text{homogeneous ideal}$

$$\begin{aligned} 0 &\longrightarrow \tilde{I} \longrightarrow \tilde{S} \longrightarrow \tilde{S}/\tilde{I} \longrightarrow 0 \\ &\equiv 0 \longrightarrow I \longrightarrow \mathcal{O}_X \longrightarrow i_* \mathcal{O}_Z \longrightarrow 0 \end{aligned}$$

for some closed immersion  $i: Z \rightarrow X$  where  $I$  is the sheaf of ideals of  $Z$



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invertible sheaves  $\rightarrow \text{Pic } X$

$$\uparrow ? \quad \downarrow ?$$

Weil divisors  $\rightarrow \mathcal{O}X$

Calculate  $\text{Pic } X, \mathcal{O}X$  for  $X = \mathbb{P}_{\mathbb{Z}}^r$ .

Recall:  $X$  scheme,  $\mathcal{L}$  a sheaf of  $\mathcal{O}_X$ -modules.  $\mathcal{L}$  is called invertible if  $\exists$  open covering  $\{U_i\}_i$  of  $X$  and isomorphisms  $\mathcal{L}|_{U_i} \xrightarrow{\sim} \mathcal{O}_{U_i}, \forall i$   
 $\hookrightarrow$   $\mathcal{O}_{U_i}$ -modules.

Let  $\mathcal{L}$  be an invertible sheaf on  $X$ , consider  ~~$\text{Hom}_{\mathcal{O}_X}(\mathcal{L}, \mathcal{O}_X)$~~  <sup>the sheaf</sup>  $\text{Hom}_{\mathcal{O}_X}(\mathcal{L}, \mathcal{O}_X)$   
 given by  $X \xrightarrow{\text{open } U} \text{Hom}_{\mathcal{O}_U}(\mathcal{L}|_U, \mathcal{O}_U)$

Then  $\text{Hom}(\mathcal{L}, \mathcal{O}_X)$  is invertible

$$\mathcal{L} \otimes_{\mathcal{O}_X} \text{Hom}(\mathcal{L}, \mathcal{O}_X) \xrightarrow{\text{eval}} \mathcal{O}_X$$

$\Psi$        $\Psi$        $\text{eval}$

$s$        $\varphi$        $\varphi(s)$

$\varphi$  is an isomorphism of  $\mathcal{O}_X$ -modules.

Also:  $\mathcal{L}, \mathcal{M}$  invertible sheaves, then  $\mathcal{L} \otimes \mathcal{M}$  invertible.

$\therefore \left\{ \begin{matrix} \text{invertible sheaves} \\ \text{on } X \end{matrix} \right\} / \cong \text{ is an abelian group.}$

$$[\mathcal{L}] \cdot [\mathcal{M}] = [\mathcal{L} \otimes \mathcal{M}]$$

$$[\mathcal{L}]^{-1} = [\text{Hom}(\mathcal{L}, \mathcal{O}_X)]$$

$R$  ny,  $P \subset R$  prime ideal

$\text{ht}(P) \stackrel{\text{def}}{=} \sup \{ \exists \text{ chain of prime ideals } P_0 \subsetneq P_1 \subsetneq \dots \subsetneq P_n = P \text{ in } R \}$

Assume  $R$  is local, with maximal ideal  $m$ .

Def:  $\text{Kdim}(R) = \text{ht}(m)$  (Krull dimension)

Assume  $R$  is local & noetherian. Then  $\text{Kdim}(R) < \infty$ .

Def:  $X$  scheme is called noetherian if  $\exists$  finite open cover  $\{U_i\}_{i \in I}$  of  $X$  with  $U_i = \text{Spec } R_i, R_i$  noetherian,  $\forall i \in I$ .

Prop: Let  $X$  be a noetherian ~~the~~ scheme. Then

- (1) All local rings of  $X$  are noetherian local rings, in particular, have  $\text{Kdim} < \infty$ .
- (2) Underlying topological space of  $X$  is noetherian, in particular  $X$  is quasi-compact.
- (3) Let  $F$  be a coherent sheaf on  $X$ . Let  $r \in \mathbb{Z}_{\geq 0}$ . Assume that  $\forall x \in X$ ,  $F_x$  is a free  $\mathcal{O}_{X,x}$ -module of rank  $r$ . Then  $F$  is locally free of rank  $r$ .

Def:  $X$  scheme is called integral if  $X$  is reduced and irreducible.

Prop: Let  $X$  be an integral scheme. Then

- (1)  $X$  has a unique point  $\eta \in X$  such that  $\overline{\{\eta\}} = X$ . Call  $\eta$  the generic point of  $X$ , and  $\mathcal{O}_{X,\eta}$  is called the function field of  $X$ .
- (2) for  $X = \text{Spec } R$ , then  $R$  is a domain. (Here  $\eta = (0)$ , and  $\mathcal{O}_{X,\eta} = R_{(0)} = \text{Frac}(R)$ ).
- (3) All local rings of  $X$  are domains.
- (4)  $\exists$  1-1 correspondence

$$\{ \text{points of } X \} \longleftrightarrow \{ \begin{array}{l} \text{integral closed} \\ \text{subschemes of } X \end{array} \}$$

given by  $x \longmapsto \overline{\{x\}} + \text{reduced structure}$   
 $\eta_x \longleftarrow Y$

- (5) If  $X = \text{Spec } R$ , get a 1-1 correspondence

$$\{ \begin{array}{l} \text{prime ideals} \\ f \subset R \end{array} \} \longleftrightarrow \{ \begin{array}{l} \text{integral closed} \\ \text{subschemes of } X \end{array} \}$$
$$f \longleftarrow V(f)$$

\*from now on:  $X$  is noetherian and integral

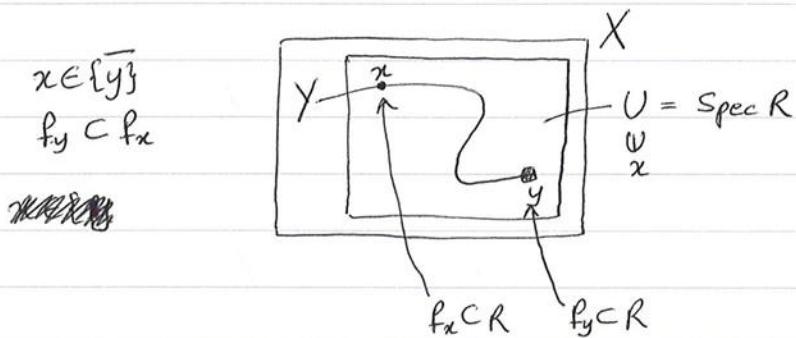
( $\therefore$  all local rings of  $X$  are noetherian local domains)

Examples: •  $X = \text{Spec } R$ ,  $R$  noetherian domain (e.g.  $R = \mathbb{Z}$ , field, polynomial ring over a noetherian domain, ...)

- $X$  has a finite open cover  $\{U_i\}_i$  with  $U_i = \text{Spec } R_i$ ,  $R_i$ : noetherian domain.  
↓  
 $X$  irreducible

- $X = \mathbb{P}_A^r$  ( $A$  a noetherian domain)

Def:  $X$  noetherian & integral. Let  $Y \rightarrow X$  be a closed integral subscheme, with generic point  $y \in Y$ . Then  $\text{codim}_X(Y) := \text{Kdim}(\mathcal{O}_{X,y})$



$$U \cap Y = V(f_y)$$

$$\mathcal{I}_{Y,x} = f_y \cdot R_{f_x}$$

$$\therefore \mathcal{O}_{X,y} = R_{f_y} = R_{f_x, f_y \cdot R_{f_x}} = (\mathcal{O}_{X,x})_{x_{Y,x}}$$

$$\therefore \text{ht}(\mathcal{I}_{Y,x}) = \text{Kdim}(\mathcal{O}_{X,y}) = \text{codim}_X(Y)$$

$$\therefore \text{e.g.: } Y = X, y = n_x, \mathcal{I}_Y = (0)$$

$$\therefore \text{frac}(\mathcal{O}_{X,x}) = K(X).$$

$X$  noetherian & integral.

Def: We call  $X$  locally factorial if all local rings of  $X$  are unique factorization domains (UFD's).

Prop 1: Let  $R$  be a UFD. Then every prime ideal of height one is principal (i.e. a free  $R$ -module of rank 1).

Prop 2: Let  $X$  be a locally factorial scheme. Let  $Y \rightarrow X$  be an integral closed subscheme such that  $\text{codim}_X(Y) = 1$ . Then the ideal sheaf  $\mathcal{I}_Y$  is invertible.

Example:  $X$  is covered by  $\text{Spec } R$ 's (open affine in  $X$ ) such that each  $R$  is noetherian UFD.

↪ Example:  $\mathbb{Z}$ , a field, polynomial rings over UFD's.

Example:  $\mathbb{P}_A^r$ , if  $A$  is a UFD.

Proof of Prop 2: We know  $I_Y$  is coherent. It suffices to check that  $\forall x \in X: I_{Y,x}$  is a free  $\mathcal{O}_{X,x}$ -module of rank 1.

If  $x \notin Y$ , then  $I_{Y,x} = (1)$ .

If  $x \in Y$ , then  $\text{ht}(I_{Y,x}) = \text{codim}_Y(X) = 1$ .

Note  $I_{Y,x}$  is a prime ideal. By Prop 1,  $I_{Y,x}$  is principal.  $\square$

Def: A prime divisor on  $X$  is ~~a closed subscheme~~ an integral closed subscheme of  $X$  of codim 1.

Assume  $X$  is locally factorial, and let  $Y$  be a prime divisor of  $X$ . Let  $y \in X$  be the generic point of  $Y$ . Then  $\mathcal{O}_{X,y}$  is (local, noetherian, VFD of Krull dimension one.)  $\Leftrightarrow$  a discrete valuation ring

let  $v_Y: \text{Frac}(\mathcal{O}_{X,y})^\times \longrightarrow \mathbb{Z}$  be the associated discrete valuation.  
 $\parallel$   
 $K(X)^\times$

Def: A divisor on  $X$  is an element of  $\mathbb{Z}^{(P_X)}$  where  $P_X = \{\text{prime divisors on } X\}$

Notation:  $\text{Div}(X) = \{\text{divisors on } X\}$   
 $= \mathbb{Z}^{(P_X)}$

$$D \in \text{Div}(X) \rightarrow D = \sum_Y D(Y) \cdot Y$$

Let  $D = \sum D(Y) \cdot Y \in \text{Div}(X)$ . Say  $D$  is effective (not  $D \geq 0$ ) if  
 $\forall Y \in P_X: D(Y) \in \mathbb{Z}_{\geq 0}$ .

Def: Let  $f \in K(X)^\times$ , put  $\text{div}(f) := \sum_Y v_Y(f) \cdot Y$ .

Need to check that this is a divisor (notes!)

Call  $\text{div}(f)$  a principal divisor.

Def:  $\text{Cl}(X) = \text{Div}(X)/_{\text{im}(\text{div})}$

$$\text{div}: K(X)^\times \longrightarrow \text{Div}(X)$$

Now let  $X$  be locally factorial. Let  $Y \in P_X$ . Then  $Y \mapsto I_Y$ , and so is  $I_Y^\vee = \text{Hom}(I_Y, \mathcal{O}_X)$ .



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Docent: \_\_\_\_\_

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$X$  locally  
factorial!

Theorem: There is a group homomorphism  
 $\text{Div}(X) \longrightarrow \text{Pic}(X)$

given by  $Y \longmapsto [\mathcal{I}_Y^\vee]$  for  $Y \in \text{Pic}(X)$ . It factors over  $\text{im}(\text{div})$   
 to give an isomorphism of groups  
 $\text{Cl}(X) \xrightarrow{\sim} \text{Pic}(X)$ .

Def.  $D \in \text{Div}(X)$

$\mathcal{O}_X(D)$  is the  $\mathcal{O}_X$ -module given by

$$X \xrightarrow[\substack{\text{open} \\ \times \\ \emptyset}]{} \{f \in K(X)^* \mid \text{div}(f|_U) + D|_U \geq 0\} \cup \{0\}$$

- $\mathcal{O}_X(D_1 + D_2) \cong \mathcal{O}_X(D_1) \otimes \mathcal{O}_X(D_2)$
- $Y \in \text{Pic}(X) \longrightarrow \mathcal{O}_X(-Y) = \mathcal{I}_Y$ .
- Every  $\mathcal{O}_X(D)$  is invertible, and  $\text{Cl}(X) \longrightarrow \text{Pic}(X)$  is alternatively given by  $\text{Div}(X) \ni D \longmapsto \mathcal{O}_X(D)$ .

Let  $A$  be a noetherian UFD

$$\text{Pic}(\mathbb{P}_A^r) \cong \text{Cl}(\mathbb{P}_A^r) \ni [Z(X_0)]$$

$$\begin{matrix} \text{II} \\ \mathbb{Z} \end{matrix} \Rightarrow \begin{matrix} \text{I} \\ \downarrow \end{matrix}$$

$$[\mathcal{O}(e+f)] \cong [\mathcal{O}(e) \otimes \mathcal{O}(f)]$$

$$[\mathcal{O}(Z(X))] \xleftarrow[\text{II}]{} [Z(X_0)]$$

$$[\mathcal{O}(l)].$$



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## Algebraic Geometry 2 lecture 12 (9/05)

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Today: Sheaf Cohomology & how to compute it.

abelian categories  
left exact functors  
right derived functors

flasque resolutions  
Čech cohomology.

→ In an abelian category  $\mathcal{A}$ , the hom-sets are abelian groups,  $\mathcal{A}$  has a final ad an initial object. They are the same, notation  $\mathcal{O}$ . The maps  $\text{Hom}(L, M) \times \text{Hom}(M, N) \rightarrow \text{Hom}(L, N)$  are bi-additive.

- $\forall M, N \in \mathcal{A}$ , the (direct) sum  $M \oplus N$  and (direct) product  $M \times N$  exist, and are equal
- kernels, images and cokernels exist.

Examples: Ab,  $\text{Sh}(X)$ ,  $X$  scheme:  $\mathcal{O}\text{-Mod}(X)$ ,  $\text{QCoh}(X)$

$X$  noetherian scheme:  $\text{Coh}(X)$

One has a notion of exact sequence in such  $\mathcal{A}$

Complexes: A complex in  $\mathcal{A}$  is a collection  $(M^i)_{i \in \mathbb{Z}}$  of objects in  $\mathcal{A}$ , together with morphisms  $d^i: M^i \rightarrow M^{i+1} \quad \forall i \in \mathbb{Z}$  such that  $d^{i+1} \circ d^i = 0 \quad \forall i \in \mathbb{Z}$ .

Note:  $M^\bullet \rightsquigarrow \dots \rightarrow M^{i-1} \xrightarrow{d^{i-1}} M^i \xrightarrow{d^i} M^{i+1} \rightarrow \dots$

Morphisms:



$$\begin{array}{ccccccc} M^\bullet & \dashrightarrow & M^{-1} & \longrightarrow & M^0 & \longrightarrow & M^1 \\ \downarrow \phi_0 & & \downarrow \phi_{-1} & \curvearrowright & \downarrow \phi_0 & \curvearrowright & \downarrow \phi_1 \\ N^\bullet & \dashrightarrow & N^{-1} & \longrightarrow & N^0 & \longrightarrow & N^1 \end{array}$$

$\rightsquigarrow$  Category  $\text{Comp}(\mathcal{A})$

Cohomology functors:  $h^i : \text{Comp}(\mathcal{A}) \longrightarrow \mathcal{A}$

$$(i \in \mathbb{Z})$$

$$M^\bullet \longmapsto \frac{\ker(d^i : M^i \rightarrow M^{i+1})}{\text{Im}(d^{i-1} : M^{i-1} \rightarrow M^i)}$$

Def: A morphism of complexes  $\phi : M^\bullet \rightarrow N^\bullet$  is called a quasi-isomorphism if  $\forall i \in \mathbb{Z}$ , the morphism  $h^i(\phi_i)$  is an isomorphism in  $\mathcal{A}$ .

Def:  $i \in \mathbb{Z}$ ,  $M \in \mathcal{A}$ :  $M[i] = \cdots \rightarrow 0 \rightarrow M \rightarrow 0 \rightarrow \cdots$

$\uparrow$   
degree  $i$

Def:  $M \in \mathcal{A}$ . A resolution of  $M$  is a quasi-isomorphism  $M[0] \rightarrow N^0$   
i.e. an exact complex  $0 \rightarrow M \rightarrow N^0 \rightarrow N^1 \rightarrow \cdots$

Let  $0 \rightarrow A^\bullet \rightarrow B^\bullet \rightarrow C^\bullet \rightarrow 0$  be an exact sequence of complexes in  $\mathcal{A}$

$$h^{i-1}(A^0) \rightarrow h^{i-1}(B^0) \rightarrow h^{i-1}(C^0) \xrightarrow{s^{i-1}} h^i(A^0) \rightarrow \cdots (*)$$

Theorem:  $(*)$  is an exact sequence in  $\mathcal{A}$   
 $\hookrightarrow$  "long exact sequence of Cohomology"

Def: An object  $I$  in  $\mathcal{A}$  is called injective if  $\text{Hom}(-, I) : \mathcal{A} \rightarrow \text{Ab}$  is exact.

$$\begin{array}{ccc} A & 0 & \rightarrow M \rightarrow N \\ & \searrow & \downarrow \exists \\ & & I \end{array}$$

Example: In  $\text{Ab}$ :  $A$  is injective  $\Leftrightarrow A$  is divisible

$\uparrow$   
def

$$\forall x \in A \quad \forall n \in \mathbb{Z}_{>0} \quad \exists y \in A : x = ny.$$

$(0), \mathbb{Q}, \mathbb{Q}/\mathbb{Z}, \mathbb{Q}^{(J)}, \mathbb{Q}^S$

Def:  $\mathcal{A}$  has enough injectives if for every  $A$  in  $\mathcal{A}$   $\exists$  short exact sequence  $0 \rightarrow A \rightarrow I$  in  $\mathcal{A}$ ,  $I$  injective in  $\mathcal{A}$ .

Example

$$\begin{array}{ccccccc} 0 & \rightarrow & K & \rightarrow & \mathbb{Q}^{(S)} & \rightarrow & \dots \\ & & \parallel & & \downarrow & & \downarrow \\ 0 & \rightarrow & K & \rightarrow & \mathbb{Z}^{(S)} & \rightarrow & A \end{array} \quad \boxed{\quad \Rightarrow \text{Ab has enough injectives.}}$$

Def: An injective resolution of  $M$  is a resolution  $0 \rightarrow M \rightarrow I^0 \rightarrow I^1 \rightarrow \dots$  with:  $\forall i \in \mathbb{Z}_{\geq 0} \quad I^i$  injective.

Lemma: If  $\mathcal{A}$  has enough injectives then every object in  $\mathcal{A}$  allows an injective resolution

Proof:  $0 \rightarrow M \rightarrow I^0 \rightarrow I^1 \rightarrow \dots$   
 $(I^0/M \hookrightarrow I^1)$

$$\begin{array}{ccccccc} M & \rightarrow & I^0 & \rightarrow & I^1 & \rightarrow & \dots \\ f \searrow & \downarrow & \searrow & \downarrow & \searrow & \downarrow & \dots \\ N & \rightarrow & J^0 & \rightarrow & J^1 & \rightarrow & \dots \end{array}$$

Def: A functor  $F: \mathcal{A} \rightarrow \mathcal{B}$  is called left exact if

- $F$  is additive,  $\forall M, N$  in  $\mathcal{A}$   $\text{Hom}_{\mathcal{A}}(M, N) \rightarrow \text{Hom}_{\mathcal{B}}(FM, FN)$  is a homomorphism.
- $\forall 0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_3$  exact in  $\mathcal{A}$ :  $0 \rightarrow FM_1 \rightarrow FM_2 \rightarrow FM_3$  is exact.

Example:  $X$  topological space,  $\mathbb{E}$

$$F(X, -): \text{sh}(X) \longrightarrow \text{Ab}$$

$$F \longmapsto F(X, F) = F(X)$$

is left exact.

Example:  $f: X \rightarrow Y$  map of topological spaces,  $f_*: \text{Sh}(X) \rightarrow \text{Sh}(Y)$   
is left exact.

$$\left( \begin{array}{c} X \\ \downarrow f \\ \{\text{point}\} \end{array} \text{ gives } \Gamma(X, -) \right)$$

Def: let  $F: A \rightarrow B$  be a left exact functor. Let  $i \in \mathbb{Z}_{\geq 0}$ ,  $M$  in  $A$ . Assume that  $A$  has enough injectives. Let  $0 \rightarrow M \rightarrow I^\bullet$  be an injective resolution of  $M$ . Then  
 $R^i F M := h^i(FI^\bullet)$  "right derived functor"

Theorem:  $R^i F M$  is well defined up to a canonical isomorphism in  $B$ .

(1)  $\forall i \in \mathbb{Z}_{\geq 0}$ ,  $R^i F: A \rightarrow B$  is an additive functor.

(2) One has a canonical isomorphism  $R^i F \cong F$

Proof of (2): let  $M \in A$ . Let  $0 \rightarrow M \rightarrow I^\bullet$  be an injective resolution. Then  $0 \rightarrow FM \rightarrow FI^\bullet \rightarrow FI^1 \rightarrow \dots$  is exact, so  
 $h^i(\dots \rightarrow 0 \rightarrow FI^\bullet \rightarrow FI^1 \rightarrow \dots) \cong FM$ .  $\square$

(3) Let  $i \in \mathbb{Z}_{\geq 0}$ , and  $I$  injective. Then  $R^i FI = (0)$

Proof of (3):  $0 \rightarrow I \xrightarrow{\text{id}} I \rightarrow 0 \rightarrow \dots$  is an injective resolution of  $I$ .  $\square$

(4) Let  $0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_3 \rightarrow 0$  in  $A$ . Then one has an associated long exact sequence

$$\dots \rightarrow R^i F M_1 \rightarrow R^i F M_2 \rightarrow R^i F M_3 \rightarrow \dots$$

$$\curvearrowright R^{i+1} F M_1 \rightarrow R^{i+1} F M_2 \rightarrow \dots \text{ in } B.$$

Sketch of proof of (4):  $\exists$  injective resolution  $M_1 \rightarrow I^\bullet$ ,  $M_2 \rightarrow J^\bullet$ ,  $M_3 \rightarrow K^\bullet$  and a short exact sequence of complexes  $0 \rightarrow I^\bullet \rightarrow J^\bullet \rightarrow K^\bullet \rightarrow 0$  extending  $0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_3 \rightarrow 0$ .

Apply the theorem about the long exact sequence to  $0 \rightarrow FI^\bullet \rightarrow FJ^\bullet \rightarrow FK^\bullet \rightarrow 0$

$\text{Sh}(X)$  has enough injectives. Recall the left exact functor

$$\Gamma(X, -): \text{Sh}(X) \rightarrow \text{Ab}.$$

The right derived functors of  $\Gamma(X, -)$  are denoted by  $H^i(X, -)$



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and are called sheaf cohomology groups.

$$\text{So: (1)} \quad H^0(X, F) \cong \Gamma(X, F)$$

(2) If  $I \hookrightarrow$  injective in  $\text{Sh}(X)$  then  $H^i(I) = 0$  for  $i > 0$

(3)  $H^i(X, -)$  is an additive functor.

$$(F \xrightarrow{\gamma} G \longrightarrow H^i(X, F) \rightarrow H^i(X, G))$$

(4) Long exact sequence of cohomology: let  $0 \rightarrow F \rightarrow G \rightarrow H \rightarrow 0$  be a short exact sequence of sheaves on  $X$ . Then there has an associated long exact sequence in Ab

$$0 \rightarrow H^0(X, F) \rightarrow H^0(X, G) \rightarrow H^0(X, H) \rightarrow \dots$$

$$\curvearrowright H^1(X, F) \longrightarrow \dots$$

Def.  $F: A \rightarrow B$  left exact, it has enough injectives. An object  $C$  in  $A$  is called  $F$ -acyclic if  $\forall i \in \mathbb{Z}_{\geq 0}: R^i F C = 0$ .

Theorem: Let  $M$  in  $A$ , and let  $0 \rightarrow M \rightarrow C^\bullet$  be a resolution of  $M$  such that  $\forall i \in \mathbb{Z}_{\geq 0} C^i$  is  $F$ -acyclic. Then there are natural isomorphisms  $h^i(F C^\bullet) \xrightarrow{\sim} R^i F M$ .

Example: Let  $0 \rightarrow M \rightarrow \mathcal{E}$  be a  $F$ -acyclic resolution in  $\text{Sh}(X)$ . Then  $h^i(\Gamma(X, \mathcal{E}^\bullet)) \xrightarrow{\sim} H^i(X, M)$ .

Def. A sheaf  $F$  on  $X$  is called flasque if  $\forall V \subset U$  open in  $X$ ,  $\text{res}_{UV}: F(U) \rightarrow F(V)$  is surjective.

Example: A constant sheaf on an irreducible space is flasque.

Example: For  $F$  a sheaf on  $X$ , consider  $F': U \mapsto \prod_{x \in U} F_x$ . Then  $F'$  is flasque & one has  $0 \rightarrow F \rightarrow F'$  exact.

∴ Every  $F$  in  $\text{Sh}(X)$  admits a canonical flasque resolution

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{F}' \rightarrow (\mathcal{F}'/\mathcal{F})' \rightarrow 0$$

Theorem: A flasque sheaf is  $\Gamma$ -acyclic.

$\vdash X$  scheme over  $\text{Spec}(A)$ ,  $\mathcal{F} \in \mathcal{O}\text{-Mod}(X)$ , Then  $\forall i \in \mathbb{Z}_{\geq 0}$ ,  
 $H^i(X, \mathcal{F})$  is naturally an  $A$ -module.



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Algebraic Geometry 2  
Lecture 13 (16/05)

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$X$  topological space

$$H^i(X, -) : \text{Sh}(X) \longrightarrow \text{Ab}$$

- $H^0(X, -) \cong \Gamma(X, -)$

- long exact sequence:

If  $0 \rightarrow F \rightarrow G \rightarrow H \rightarrow 0$  is an exact sequence in  $\text{Sh}(X)$ , we have an associated long exact sequence

$$\dots \rightarrow H^i(X, F) \rightarrow H^i(X, G) \rightarrow H^i(X, H) \rightarrow \dots$$

$\delta$   
↓  
 $\rightarrow H^{i+1}(X, F) \rightarrow \dots$

$F \in \text{Sh}(X)$  is flasque  $\Leftrightarrow \text{def } \forall V \subset U \text{ open: } F(V) \rightarrowtail F(U)$ .

- $F$  flasque  $\Rightarrow \forall i \in \mathbb{Z}_{\geq 0}: H^i(X, F) = 0$

- sheaf cohomology can be computed using flasque resolutions

Corollary:  $X$  scheme over  $\text{Spec}(A)$  and  $F \in \mathcal{O}\text{-Mod}(X)$ , then  $\forall i \in \mathbb{Z}_{\geq 0}$ :  $H^i(X, F)$  is an  $A$ -module.

Cech cohomology

Fix an open covering  $\mathcal{U} = \{U_i\}_{i \in I}$  of  $X$ . Pick a well-ordering on  $I$ .

$$i_0, \dots, i_p \in I \rightarrow U_{i_0, \dots, i_p} := U_{i_0} \cap \dots \cap U_{i_p}$$

$F \in \text{Sh}(X)$ . Define:  $\forall p \in \mathbb{Z}_{\geq 0}$

$$C^p(\mathcal{U}, F) = \prod_{i_0 < \dots < i_p} F(U_{i_0, \dots, i_p}) \text{ in Ab.}$$

$p=0$ :  $\prod_{i \in I} F(U_i)$

$p=1$ :  $\prod_{i < j} F(U_i \cap U_j)$

Define:  $d = d^p: C^p(\mathcal{U}, F) \longrightarrow C^{p+1}(\mathcal{U}, F)$

$$\alpha \mapsto d\alpha$$

where  $(d\alpha)_{i_0, \dots, i_{p+1}} = \sum_{k=0}^{p+1} (-1)^k \alpha_{i_0, \dots, \hat{i}_k, \dots, i_{p+1}}$   
 $\hat{\phantom{x}}$  means "omit".

$$\left( \begin{array}{c} F(U) \xrightarrow{\text{res}} F(V) \\ \downarrow s \longleftarrow s|_V \end{array} \right)$$

Example:  $\mathcal{U} = \{U_0, U_1\}$

$$d: C^0(\mathcal{U}, F) = F(U_0) \times F(U_1) \ni (s, t)$$

$$C^1(\mathcal{U}, F) = F(U_{01}) \ni t|_{U_{01}} - s|_{U_{01}}$$

Fact:  $d^{p+1} \circ d^p = 0$ .

∴ Get a complex  $C^\bullet(\mathcal{U}, F)$  in Ab ( $\check{\text{C}}\text{ech complex}$ ).

Def:  $\forall p \in \mathbb{Z}_{\geq 0}$ , set  $\check{H}^p(\mathcal{U}, F) = H^p(C^\bullet(\mathcal{U}, F))$

Prop:  $\check{H}^0(\mathcal{U}, F) \cong \Gamma(X, F)$

$$\text{Proof: } C^0(\mathcal{U}, F) = \prod_i F(U_i)$$

$$d^0 \downarrow$$

$$C^1(\mathcal{U}, F) = \prod_{i < j} F(U_{ij})$$

$$\text{Then } \check{H}^0(\mathcal{U}, F) = \ker(d^0) = F(X)$$

(namely,  $0 \longrightarrow F(X) \longrightarrow \prod_i F(U_i) \xrightarrow{d^0} \prod_{i < j} F(U_{ij})$  is exact). □

Theorem:  $X$  topological space,  $F \in \text{Sh}(X)$ ,  ~~$\mathcal{U} = (U_i)_{i \in I}$~~  open covering,  $I$  well-ordered. Assume:  $\forall$  finite intersections  $V = U_{i_0 \dots i_p}$   $\forall k \in \mathbb{Z}_{\geq 0}$ :  $H^k(V, F|_V) = 0$ .

Then  $\forall p \in \mathbb{Z}_{\geq 0}$  one has a natural isomorphism  $\check{H}^p(\mathcal{U}, F) \xrightarrow{\sim} H^p(X, F)$

Fact:  $F$  flasque  $\Rightarrow (\check{H}^p(\mathcal{U}, F) = 0 \text{ if } p > 0)$

Fact:  $X$  affine scheme,  $F \in \mathbb{Q}\text{Coh}(X)$ . Then  $\forall k \in \mathbb{Z}_{\geq 0}: H^k(X, F) = 0$ .

Corollary: Let  $k$  be a field, let  $X$  be an ~~separated~~  $k$ -scheme. Let  $\mathcal{U}$  be an open covering of  $X$  with spectra of finitely generated  $k$ -algebras. Let  $F \in \mathbb{Q}\text{Coh}(X)$ . Then  $\forall p \in \mathbb{Z}_{\geq 0}$  one has a natural isomorphism  $\check{H}^p(\mathcal{U}, F) \xrightarrow{\sim} H^p(X, F)$

Proof (Claim): every finite intersection  $V = U_{i_0 \dots i_p}$  is the spectrum of a ~~a~~ finitely generated  $k$ -algebra.

$$U = \text{Spec } A$$

$$U' = \text{Spec } B$$

$$U \cap U' = \text{Spec } C$$

$A, B, C$  finitely generated  $k$ -algebras.

$$\therefore H_k(V, \mathcal{F}|_V) = 0 \text{ if } k > 0 \quad \square$$

$X^{\text{variety}}$        $D^{\text{divisor}}$        $\mathcal{O}_X(D)$   
 AG1:  $H^0(X, \mathcal{O}_X(D)) = \ker$   
 $H^1(X, \mathcal{O}_X(D)) = \text{coker}$   
 $\Rightarrow \mathcal{U} = \{U_0, U_1\}$  affine open covering  
 $\delta: \mathcal{O}_X(D)(U_0) \times \mathcal{O}_X(D)(U_1) \longrightarrow \mathcal{O}_X(D)(U_{01})$   
 $\begin{array}{ccc} \parallel & (f, g) & \longmapsto f|_{U_{01}} - g|_{U_{01}} \end{array}$

Theorem:  $\forall$  finite intersections  $V$ ,  $\forall k \in \mathbb{Z}_{\geq 0}$   $H^k(V, \mathcal{F}|_V) = 0$ .

$$\text{Then } \check{H}^p(\mathcal{U}, \mathcal{F}) \xrightarrow{\sim} H^p(X, \mathcal{F})$$

Proof Consider an exact sequence  $0 \rightarrow \mathcal{F} \rightarrow \mathcal{G} \rightarrow \mathcal{H} \rightarrow 0$  where  $\mathcal{G}$  is flasque. Let  $V$  be a finite intersection of opens in  $\mathcal{U}$ . Then  $H^k(V, \mathcal{F}|_V) = 0$  so  $0 \rightarrow \mathcal{F}(V) \rightarrow \mathcal{G}(V) \rightarrow \mathcal{H}(V) \rightarrow 0$  is exact. Varying  $V$  and taking products, we get an exact sequence of Čech complexes  $0 \rightarrow C^*(\mathcal{U}, \mathcal{F}) \rightarrow C^*(\mathcal{U}, \mathcal{G}) \rightarrow C^*(\mathcal{U}, \mathcal{H}) \rightarrow 0$ . We obtain a long exact sequence of Čech cohomology groups.

All  $\check{H}^p(\mathcal{U}, \mathcal{G}) = 0$  ( $p \in \mathbb{Z}_{\geq 0}$ ). So, we end up with: an exact sequence  $0 \rightarrow \check{H}^0(\mathcal{U}, \mathcal{F}) \rightarrow \check{H}^0(\mathcal{U}, \mathcal{G}) \rightarrow \check{H}^0(\mathcal{U}, \mathcal{H}) \rightarrow \check{H}^1(\mathcal{U}, \mathcal{F}) \rightarrow 0$  and  $\forall p \in \mathbb{Z}_{\geq 1}: \check{H}^p(\mathcal{U}, \mathcal{H}) \xrightarrow{\sim} \check{H}^{p+1}(\mathcal{U}, \mathcal{F})$ .

Also  $H^p(X, \mathcal{G}) = 0$  ( $p \in \mathbb{Z}_{\geq 0}$ ). So we end up with  $0 \rightarrow H^0(X, \mathcal{F}) \rightarrow H^0(X, \mathcal{G}) \rightarrow H^0(X, \mathcal{H}) \rightarrow H^1(X, \mathcal{F}) \rightarrow 0$  and  $\forall p \in \mathbb{Z}_{\geq 1}, H^p(X, \mathcal{H}) \xrightarrow{\sim} H^{p+1}(X, \mathcal{F})$ .

Now, induction on  $p$ .

$$p=0 \checkmark \quad p=1 \checkmark$$

$$p \geq 1: \check{H}^{p-1}(\mathcal{U}, \mathcal{H}) \xrightarrow{\sim} \check{H}^p(\mathcal{U}, \mathcal{F})$$

$$H^{p-1}(X, \mathcal{H}) \xrightarrow{\sim} H^p(X, \mathcal{F})$$

$$\mathcal{G}|_V \text{ flasque} \Rightarrow \forall k \in \mathbb{Z}_{\geq 0}: H^k(V, \mathcal{H}|_V) = 0 \quad \square$$

Theorem:  $k$  a field,  $r \in \mathbb{Z}_{\geq 0}$ ,  $n \in \mathbb{Z}$ ,  $X = \mathbb{P}_k^r$

$$H^p(X, \mathcal{O}_X(n)) = \begin{cases} S_n & p = 0 \\ \text{Skew field } \left( \frac{1}{x_0 \cdots x_r} k[x_0, \dots, x_r] \right)_n & p = r \\ 0 & \text{otherwise} \end{cases}$$

$$S = k[x_0, \dots, x_r] \hookrightarrow \text{graded ring.}$$

$$Y \xrightarrow{i} X$$

$$H^p(Y, \mathcal{F}) \xrightarrow{\sim} H^p(X, i_* \mathcal{F})$$

Example:  $X = \mathbb{P}_k^1$ ,  $p = 1$

$\mathcal{U} = \{U_0, U_1\}$  standard open covering.

$$\mathcal{O}(n)(U_0) = (k[X_0, X_1]_n)_{(X_0)} = k[X_0, X_1, \cancel{X_0}]_n = \bigoplus_{\substack{(e_0, e_1) \\ e_0 + e_1 = n \\ e_1 \geq 0}} kX_0^{e_0} X_1^{e_1}$$

$$\mathcal{O}(n)(U_1) = (k[X_0, X_1]_n)_{(X_1)} = k[X_0, X_1, \cancel{X_1}]_n = \bigoplus_{\substack{(e_0, e_1) \\ e_0 + e_1 = n \\ e_0 \geq 0}} kX_0^{e_0} X_1^{e_1}$$

$$\mathcal{O}(n)(U_{01}) = (k[X_0, X_1]_n)_{(X_0 X_1)} = k[X_0, X_1, \cancel{X_0 X_1}]_n = \bigoplus_{\substack{(e_0, e_1) \\ e_0 + e_1 = n}}$$

$$H^1(X, \mathcal{O}(n)) = \check{H}^1(\mathcal{U}, \mathcal{O}(n)) = \text{coker } (\delta: \mathcal{O}(n)(U_0) \times \mathcal{O}(n)(U_1) \rightarrow \mathcal{O}(n)(U_{01}))$$

$$= \bigoplus_{\substack{(e_0, e_1) \\ e_0 + e_1 = n \\ e_0 < 0, e_1 < 0}} k \cdot X_0^{e_0} X_1^{e_1} = \left( \frac{1}{X_0 X_1} k[X_0, X_1] \right)_n$$

$$\dim_k H^1(X, \mathcal{O}(n)) = -n-1 \quad \text{if } n \leq -2, \quad 0 \quad \text{otherwise.}$$

$$p > 1 \Rightarrow \check{H}^p(\mathcal{U}, \mathcal{O}(n)) = 0 \xrightarrow{\text{Theorem}} H^p(X, \mathcal{O}(n)) = 0.$$

$$H^p(\text{ball}, \mathbb{R}) = 0 \quad p > 0$$

Exercise: Let  $Z \hookrightarrow \mathbb{P}_k^2 = X$  be the closed subscheme defined by a homogeneous polynomial  $f \in k[X_0, X_1, X_2]$ , ( $d > 0$ ).

Then  $H^0(Z, \mathcal{O}_Z) = k$ . ( $\Rightarrow Z$  connected)

$$\dim_k H^1(Z, \mathcal{O}_Z) = \frac{(d-1)(d-2)}{2}$$

(If  $Z$  is integral, then  $Z$  is a projective curve over  $k$ . g. "plane" "degree"  $d$ .)

$$0 \rightarrow \mathcal{I}_Z \rightarrow \mathcal{O}_X \rightarrow i_* \mathcal{O}_Z \rightarrow 0$$

$$\begin{matrix} \parallel \\ \mathcal{I} \\ \parallel \\ S(-d) \\ \parallel \end{matrix}$$

$$\left| \begin{array}{l} \mathcal{I} = (f) \hookrightarrow S \\ \downarrow \\ S(-d) \end{array} \right.$$

$$0 \rightarrow \mathcal{O}_X(-d) \rightarrow \mathcal{O}_X \rightarrow i_* \mathcal{O}_Z \rightarrow 0$$

$$0 \rightarrow H^0(\mathcal{O}_X(-d)) \rightarrow H^0(\mathcal{O}_X) \rightarrow H^0(\mathcal{O}_Z) \rightarrow H^1(\mathcal{O}_X(-d)) \rightarrow H^1(\mathcal{O}_X) = 0$$

$$\begin{matrix} \parallel \\ \mathbb{K} \end{matrix}$$

$$\begin{matrix} \parallel \\ \mathbb{K} \end{matrix}$$

$$\begin{matrix} \parallel \\ \mathbb{K} \end{matrix}$$

$$\begin{matrix} \parallel \\ 0 \end{matrix}$$

$$\begin{matrix} \downarrow \\ H^1(\mathcal{O}_Z) \end{matrix}$$

$$\begin{matrix} \downarrow \\ H^2(\mathcal{O}_X) \end{matrix}$$

$$\begin{matrix} \downarrow \\ H^2(\mathcal{O}_X(-d)) \end{matrix}$$

$$\dim = \frac{(d-1)(d-2)}{2}$$



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$X$  noetherian scheme,  $F \in QCoh(X)$ . Then the following are equivalent:

- (a) there exists an open covering  $\{U_i\}_{i \in I}$  of  $X$  with affine schemes such that  $\forall i \in I \quad F|_{U_i} \cong \tilde{M}_i$ , where  $M_i$  is a finite  $\mathcal{O}_X(U_i)$ -module.
- (b)  $\forall U \subseteq X$  open affine,  $F|_U \cong \tilde{M}$ , with  $M$  a finite  $\mathcal{O}_X(U)$ -module.

Def: If  $F$  satisfies (a) or (b), we say that  $F$  is coherent

- Let  $n \in \mathbb{Z}_{\geq 0}$ , say  $F$  is locally free of rank  $n$ . Then  $F$  is coherent.
- Let  $f: X \rightarrow Y$  be a finite morphism of noetherian schemes. Let  $F \in Coh(X)$ . Then  $f_* F \in Coh(Y)$ .
- The ideal sheaf associated to a closed subscheme of  $X$  is coherent. Kernel, image and cokernel of morphisms of coherent  $\mathcal{O}_X$ -modules are coherent. A finite direct sum of coherent is coherent.

$X$  scheme,  $F$  an  $\mathcal{O}_X$ -module  $\{s_\alpha\}_\alpha \subset F(X)$ . Each  $s_\alpha$  gives  $\mathcal{O}_X \rightarrow F$ ,  $1 \mapsto s_\alpha$ . So get  $\bigoplus_{\alpha \in A} \mathcal{O}_X \xrightarrow{\Psi} F$ . The following are equivalent:

- (i)  $\Psi$  is surjective.
- (ii)  $\forall x \in X$ ,  $F_x$  is generated by  $\{s_{\alpha,x}\}$  as an  $\mathcal{O}_{X,x}$ -module. And if  $F \in QCoh(X)$ ,
- (iii) there exists an open covering  $\{U_i\}_i$  of  $X$  by affine schemes such that  $\forall i$ : the  $s_\alpha$  generate  $F(U_i)$  as an  $\mathcal{O}_X(U_i)$ -module.

Def: if (i)-(iii) hold, say  $F$  is generated by the global sections  $s_\alpha$ .

Example:  $X = \mathbb{P}_k^n$ ,  $\forall n \in \mathbb{Z}_{\geq 0}$   $\mathcal{O}_X(n)$  is generated by finitely many global sections. Over standard affine opens  $U_i \subset X$ ,  $\mathcal{O}_X(n)(U_i)$  is generated as  $\mathcal{O}_X(U_i)$ -module by  $x_i^n$ . And:  $x_i^n$  is a global section of  $\mathcal{O}_X(n)$  :  $\{x_0^n, \dots, x_r^n\}$  is a finite set of generators of  $\mathcal{O}_X(n)$ .

Notation:  $X = \mathbb{P}_k^n$ ,  $F \in QCoh(X)$ .  $F(n) = F \otimes_{\mathcal{O}_X} \mathcal{O}_X(n)$

Proof:  $X = \mathbb{P}_k^r$ ,  $F \in \text{Coh}(X)$ . Then  $\exists n_0 \in \mathbb{Z}$  such that  $\forall n \geq n_0$ :

$F(n)$  is generated by finitely many global sections.

Proof: Without loss of generality  $F = \tilde{M}$ ,  $M$  is a graded  $S$ -module,  $S = k[X_0, \dots, X_r]$ .  $F(U_i) = M_{(X_i)}$   $\subseteq F(U_i)$ :  $s = \frac{m}{X_i^a} \exists m \in M_a, a \in \mathbb{Z}$ .

$$\text{Let } n \geq a. s \otimes X_i^n = \frac{m}{X_i^a} \otimes X_i^n = \underbrace{\frac{m}{X_i^a} \otimes X_j^n}_{\text{on } U_i \cap U_j} \cdot \underbrace{\left(\frac{X_i}{X_j}\right)^{n-a}}_{F(n)(U_j)} \underbrace{\mathcal{O}_X(U_j)}_{F(n)(U_j)}$$

$\therefore s \otimes X_i^n$  extends as a global section of  $F(n)$ .

Let  $s_{ij}$  be a finite set of generators of  $F(U_i)$  over  $\mathcal{O}_X(U_i)$ .

for  $n \geq 0$ :  $\forall j: s_{ij} \otimes X_i^n$  extends as a global section of  $F(n)$ .

Can now also vary over  $i \in \{0, \dots, r\}$ . for  $n \geq 0$ :  $\forall i, j: s_{ij} \otimes X_i^n$  extends as a global section of  $F(n)$ . By construction, the  $s_{ij} \otimes X_i^n$  generate  $F(n)$ .  $\square$

Corollary: let  $F \in \text{Coh}(\mathbb{P}_k^r)$ . Then  $F$  is a quotient of a sheaf  $E$  that is a finite direct sum of  $\mathcal{O}(n_i)$ 's.

Proof:  $\bigoplus_{i=0}^r \mathcal{O}_X \longrightarrow F(n)$ . Then tensor with  $\mathcal{O}(-n)$ .

$$\text{Get: } \underbrace{\bigoplus_{i=0}^r \mathcal{O}_X(-n)}_E \longrightarrow F$$

$\square$

Theorem (Serre): Let  $k$  be a field. Let  $X$  be a projective scheme over  $k$ . Let  $F \in \text{Coh}(X)$ . Then  $\forall i \in \mathbb{Z}_{\geq 0}$ :  $H^i(X, F)$  is a finite-dimensional  $k$ -vector space.

$$\begin{array}{ccc} \text{Proof: } & X & \xrightarrow{i} \mathbb{P}_k^r \\ & F & \text{is } i_* F \text{-coherent} \end{array}$$

$$H^p(X, F) \cong H^p(\mathbb{P}_k^r, i_* F) \quad (\text{exercise}).$$

Can assume  $X = \mathbb{P}_k^r$ .

Note: for  $i \geq 0$ :  $H^i(X, F) = (0)$  (use a Čech covering).

Note:  $\exists$  short exact sequence  $0 \rightarrow K \rightarrow E \xrightarrow{\pi} F \rightarrow 0$   
 finite direct sum of  $\mathcal{O}(q)$ 's.

Each  $H^j(X, E)$  is finite-dimensional by computations of last week & "cohomology commutes with finite direct sums".

Note:  $K$  is coherent. The long exact sequence gives:

$$\cdots \rightarrow \underbrace{H^i(X, E)}_{\text{fin. dim.}} \rightarrow \underbrace{H^i(X, F)}_{\downarrow} \rightarrow \underbrace{H^{i+1}(X, K)}_{\text{fin. dim.}} \rightarrow \cdots$$

⇒ finite dimensional. □

Theorem (Riemann-Roch):  $X$ : a projective, locally factorial curve over a field  $k$ .  $D$ : a Weil divisor on  $X$ . Assume:  
 $\# H^0(X, \mathcal{O}_X) = k$ . Set  $g = \dim_k H^1(X, \mathcal{O}_X) \in \mathbb{Z}_{\geq 0}$ . Let  $\mathcal{O}_X(D)$  be the invertible sheaf associated to  $D$ .  $\mathcal{O}_X(D)(U) = \{f \in K(X)^\times \mid \text{div}(f|_U) + D|_U \geq 0\} \cup \{0\}$ . Then  $\dim_k H^0(X, \mathcal{O}_X(D)) - \dim_k H^1(X, \mathcal{O}_X(D)) = \deg D + 1 - g$ , where  $\deg D$  is defined as follows:  $D = \sum_{P \text{ prime closed point}}^{\infty} n_p \cdot P \rightarrow \deg D = \sum n_p \cdot [K(P) : k]$

$$\begin{array}{ccc} P \xrightarrow{i} X & & k \rightarrow K(P) \text{ via a finite field extension.} \\ \searrow & \uparrow & \uparrow \\ U = \text{Spec } R & & K(P) : \text{a field, and a finite type } k\text{-algebra} \\ R: \text{finite type, } k\text{-algebra} & \nearrow & \\ \Rightarrow P \text{ is affine } \cong \text{Spec } (R/\mathfrak{m}) & \xleftarrow{\quad} & \mathfrak{m} \text{ is maximal ideal} \end{array}$$

Def. Euler-Poincaré characteristic:  $X$  projective scheme over  $k$ .  
 $F \in \text{Coh}(X)$ .

$$X(F) = \sum_{i=0}^{\infty} (-1)^i \dim_k H^i(X, F).$$

Note: If  $0 \rightarrow E \rightarrow F \rightarrow G \rightarrow 0$  is a short exact sequence of coherent sheaves, then:  $X(F) = X(E) + X(G)$

Note: LHS in Riemann-Roch =  $X(\mathcal{O}_X(D))$ .

Note:  $1-g = X(\mathcal{O}_X)$ .

Then  $\dim_k H^0(X, \mathcal{O}_X(D)) - \dim_k H^1(X, \mathcal{O}_X(D)) = \deg D + 1 - g$

$$\text{i.e. } X(\mathcal{O}_X(D)) = \deg D + X(\mathcal{O}_X)$$

Proof: True if  $D=0$ . Every Weil divisor  $D$  can be obtained from  $0$  in finitely many steps by adding and subtracting closed points. So suffices to prove:

$$X(\mathcal{O}_X(D)) = \deg D + X(\mathcal{O}_X) \iff X(\mathcal{O}_X(D+P)) = \deg(D+P) + X(\mathcal{O}_X)$$

$$\boxed{X(\mathcal{O}_X(D+P)) = X(\mathcal{O}_X(D)) + \underbrace{\deg P}_{\dim_k \mathcal{O}_P(P)}} \rightarrow \text{want to show.}$$

Have a short exact sequence:  $0 \rightarrow \mathcal{O}_X(-P) \rightarrow \mathcal{O}_X \rightarrow i_* \mathcal{O}_P \rightarrow 0$

Tensor with  $\mathcal{O}_X(D+P)$ . Get  $0 \rightarrow \mathcal{O}_X(D) \rightarrow \mathcal{O}_X(D+P) \rightarrow i_* \mathcal{O}_P \rightarrow 0$

Then take  $X$ :  $X(\mathcal{O}_X(D+P)) = X(\mathcal{O}_X(D)) + \underline{X(i_* \mathcal{O}_P)} = X(\mathcal{O}_X(D)) + \deg P$

$$= \dim_k H^0(\mathcal{O}_P)$$

□

$X$  smooth/k  $\Rightarrow X$  locally factorial

$\Downarrow$   
all  $\mathcal{O}_{X,x}$ ,  $x \in |X|$  are DVR's.