## **Higher Category Theory**

Assignment 4

## Exercise 1

Proof.

## Exercise 2

Proof. (1) Since **Set** is locally small, we may check that  $(\mathcal{A}_1, \mathcal{B}_1)$  is a weak factorization system and  $\mathcal{A}_1$  is the smallest saturated class containing  $I = \{0 \to 1, 2 \to 1\}$  by applying the small object argument to I itself and showing that  $\mathcal{A}_1 = l(r(I))$ . Indeed,  $\mathbf{Set}(0,-)$  is the constant diagram at 1 by initiality of 0 and therefore, for any filtered diagram  $D: \mathcal{I} \to \mathbf{Set}$  (i.e. a functor whose indexing category is small and filtered), we get  $\mathbf{Set}(0, \operatorname{colim}_{\mathcal{I}} Di) = 1 = \operatorname{colim}_{\mathcal{I}} 1 = \operatorname{colim}_{\mathcal{I}} \mathbf{Set}(0, Di)$ . Also, since  $\mathbf{Set}(1,-) \cong \operatorname{Id}_{\mathbf{Set}}$ ,  $D \cong \mathbf{Set}(1,D-)$  and therefore the colimit is trivially preserved. It follows that the small object argument applies and l(r(I)) is the smallest saturated class containing I.

Let's fix a function  $f: X \to Y$ . For any element  $y \in Y$ , we may construct the following commutative diagram.

$$\begin{array}{ccc}
0 & \longrightarrow X \\
\downarrow & & \downarrow f \\
1 & \xrightarrow{y} Y
\end{array}$$

We see that there exists an element  $x \in X$  such that f(x) = y if and only if there exists a function  $x \colon 1 \to X$  filling the diagram. Since every commutative square with these vertical arrows has this form, we have that f is surjective if and only if it has the right lifting property with respect to  $0 \to 1$ .

Consider now  $y \in Y$  and a commutative square

$$\begin{array}{ccc}
2 & \xrightarrow{g} & X \\
\downarrow & & \downarrow f \\
1 & \xrightarrow{y} & Y
\end{array}$$

For such a square to exists we need a g with  $f(g(*_0)) = f(g(*_1)) = y$ , that is its image must be mapped to y under f and therefore  $y \in \text{im}(f)$ . A filling is a choice of an element  $x \in X$  such that f(x) = y and  $x = g(*_0) = g(*_1)$ .

If f is injective then we have a unique  $x \in X$  mapped to y, thus there is a unique g making the diagram commute and a filling  $x: 1 \to X$ . On the other hand, if it is not injective we can choose a  $y \in Y$  such that  $y = f(x_0) = f(x_1)$ ,  $x_0 \neq x_1$ , and define g as  $g(*_i) = x_i$ , which with  $y: 1 \to Y$  will create a commutative diagram not admitting a filler. It follows that f has the right lifting property with respect to  $2 \to 1$  if and only if it is injective.

By what we have shown,  $f \in r(I)$  if and only if it is bijective and therefore an isomorphism, thus  $r(I) = \mathcal{B}_1$ .

Consider now a function  $g: X \to Y$ ,  $f \in r(I)$  and a commutative diagram

$$\begin{array}{ccc} X & \stackrel{p}{\longrightarrow} S \\ \downarrow g & & \downarrow f \\ Y & \stackrel{q}{\longrightarrow} T \end{array}$$

We can construct a filler by setting  $h := f^{-1} \cdot q$  since  $h \cdot g = f^{-1} \cdot q \cdot g = f^{-1} \cdot f \cdot p = p$  and  $f \cdot h = f \cdot f^{-1} \cdot q = q$ , hence  $g \in l(r(I))$  and  $A_1 = l(r(I))$ .

This in particular shows that  $A_1$  and  $B_1$  are saturated classes. We want to prove that  $(B_1, A_1)$  is a weak factorization system as well.

Given a function  $f: X \to Y$ , we see that  $f = f \cdot \mathrm{id}_X$ , where  $\mathrm{id}_X \in \mathcal{B}_1$ ,  $f \in \mathcal{A}_1$ , while looking at the previous commutative square and supposing that  $g \in \mathcal{B}_1$ ,  $f \in \mathcal{A}_1$ , we get a filler by considering  $h := p \cdot g^{-1}$ , thus  $\mathcal{B}_1 \subset l(\mathcal{A}_1)$  and we have the thesis.

(2) As we have said earlier, **Set** is locally small and  $\mathbf{Set}(0,-)$  preserves filtered colimits, thus setting  $I = \{0 \to 1\}$  and applying the small object argument we get that (l(r(I)), r(I)) is a weak factorization system and l(r(I)) is the smallest saturated class in **Set** containing I.

By what we have shown in (1), r(I) is the class of all surjective functions. Let's consider a commutative square

$$\begin{array}{ccc}
X & \xrightarrow{p} & S \\
\downarrow^f & & \downarrow^g \\
Y & \xrightarrow{q} & T
\end{array}$$

where  $g \in r(I)$ . To construct a filler  $h: Y \to S$  we need to pick for every  $y \in Y$  an element  $h(y) \in g^{-1}(q(y))$  in such a way that, whenever y = f(x), we also have h(y) = p(x).

If f is injective, then we set h(f(x)) := p(x) for all  $x \in X$ , while for all  $y \in Y \setminus \operatorname{im}(f)$  we choose freely h(y) from  $g^{-1}(q(y))$  and this constitutes a filler.

It follows that l(r(I)) is the class of all injective functions.

(3) Once again, the small object argument applies with  $I = \{1 \to 2\}$ . Consider a function  $f \colon X \to Y$ . If X = 0, since there are no functions  $1 \to 0$ , there are no commutative squares with f on the right and  $1 \to 2$  on the left, hence  $f \in r(I)$  trivially. Suppose now  $X \neq 0$ . A commutative square

$$\begin{array}{ccc}
1 & \xrightarrow{x} & X \\
\downarrow & & \downarrow f \\
2 & \xrightarrow{q} & Y
\end{array}$$

is given by a choice of a pair  $(x,y) \in X \times Y$ , where x defines the upper map and  $q(*_0) := f(x)$ ,  $q(*_1) := y$ . A filling  $h : 2 \to X$  then exists if and only if there exists  $x' \in X$  such that  $f(x') = q(*_1)$ , in which case  $h(*_0) = x$ ,  $h(*_1) = x'$ . Asking for all the fillings to exist is equivalent to saying that f is surjective.

It follows that r(I) is the class of functions which are either surjective or have empty domain.

We now have to compute l(r(I)). Let's consider a function  $g: S \to T$ . If T = 0, then  $g = \mathrm{id}_0$  and it has the left lifting property against any function thanks to the initiality of 0. If  $S \neq 0$ , then the only lifting problems we have to consider are the ones where the function f on the right is surjective and has a non-empty domain. By an argument provided in (1) using  $2 \to 1$ , we see that such a g must be injective. Finally, if S = 0,  $T \neq 0$  we have for any pair of functions  $f: X = 0 \to Y$ ,  $g: T \to Y$  a commutative square

$$\begin{array}{ccc}
0 & \longrightarrow & 0 \\
\downarrow g & & \downarrow f \\
T & \stackrel{q}{\longrightarrow} & Y
\end{array}$$

which does not admit a filling, hence  $g \notin l(r(I))$ .

It follows that l(r(I)) is the class of functions which are injective and have either a non-empty domain or an empty codomain.

(4) Consider a weak factorization system (A, B). We will show that it falls in one of the cases we have already studied.

We begin by noticing that any bijection lies in  $\mathcal{A} \cap \mathcal{B}$  since it has the right and left lifting property with respect to every map, as shown in (1).

Since any function f admits a factorization  $p \cdot i$ , where  $i \in \mathcal{A}$ ,  $p \in \mathcal{B}$ , we have  $\mathcal{A}, \mathcal{B} \neq \emptyset$ . In particular, for the injection  $0 \to 1$  we have  $i : 0 \to X$ .

Let's focus on i and suppose  $X \neq 0$ . Then we have a retraction

$$\begin{array}{cccc}
0 & \longrightarrow & 0 & \longrightarrow & 0 \\
\downarrow & & \downarrow & & \downarrow \\
1 & \longrightarrow & X & \longrightarrow & 1
\end{array}$$

which implies that  $0 \to 1$  lies in  $\mathcal{A}$  and therefore  $\mathcal{A}_2 \subset \mathcal{A}$ ,  $\mathcal{B} \subset \mathcal{B}_2$ .

If  $\mathcal{A}$  does not contain a non-injective function, then  $\mathcal{A} = \mathcal{A}_2$  and  $\mathcal{B} = \mathcal{B}_2$ , that is we are in case (2). On the other hand, if it does contain a non-injective function  $g: S \to T$ ,

consider  $s_0, s_1 \in S$  such that  $t = g(s_0) = g(s_1)$ . Constructing  $f: 2 \to S$  with  $f(*_i) = s_i$  and taking a retraction  $r: S \to 2$ , we get the commutative diagram

$$\begin{array}{cccc}
2 & \xrightarrow{f} & S & \xrightarrow{r} & 2 \\
\downarrow & & \downarrow g & \downarrow \\
1 & \xrightarrow{t} & T & \longrightarrow 1
\end{array}$$

exhibiting  $2 \to 1$  as a retract of g, in which case  $A_1 = A$  and therefore  $B = B_1$ , hence we are in case (1.a).

Suppose instead that a factorization of  $0 \to 1$  where  $X \neq 0$  does not exist. Then,  $0 \to 1$  lies in  $\mathcal{B}$ . We want to show that we are in case (1.b) or (3).

By the argument provided in (3), given a function g, having the left lifting property with respect to  $0 \to 1$  implies that either the codomain is empty (i.e.  $g = id_0$ ) or the domain is non-empty.

Consider now a non-injective function  $g \colon S \to T$  in  $\mathcal{A}$ . Then we may proceed as we have already done and get that  $2 \to 1$  itself lies in  $\mathcal{A}$  as its retraction, which implies that functions in  $\mathcal{B}$  are all surjective, leading to an absurd since  $0 \to 1$  is not. It follows that all of the functions in  $\mathcal{A}$  are injective and therefore  $\mathcal{A} \subset \mathcal{A}_3$ .

Suppose  $\mathcal{B}_1 \subsetneq \mathcal{A}$ . We want to prove that  $\mathcal{A}_3 = \mathcal{A}$ , which will conclude the proof. By assumption, there exists a function  $g \colon S \to T$  such that  $S \neq 0$  and g is injective but not surjective, hence we may take  $t_0, t_1 \in T$  such that  $g(s) = t_0$  for some  $s \in S$  and  $t_1 \not\in \operatorname{im}(g)$ . We may now define  $g \colon 2 \to T$  as  $q(*_i) := t_i$  and take a retraction r, which allows us to construct the commutative diagram

$$\begin{array}{cccc}
1 & \xrightarrow{s} & S & \longrightarrow & 1 \\
\downarrow & & \downarrow g & & \downarrow \\
2 & \xrightarrow{q} & T & \xrightarrow{r} & 2
\end{array}$$

exhibiting  $1 \to 2$  as a retract of g, proving that it lies in  $\mathcal{A}$ . This gives us  $\mathcal{A}_3 \subset \mathcal{A}$ .