

# Lecture 14

Dec. 18<sup>th</sup> 2020

- if  $A$  is a simplicial set and  $X$  an  $\infty$ -category then  $\text{Fun}(A, X) = \underline{\text{Hom}}(A, X)$  is an  $\infty$ -category
- if  $A$  is a simplicial set and  $X$  an  $\infty$ -category there is a pullback square:

$$\begin{array}{ccc} \text{Fun}(A, X)^{\simeq} & \hookrightarrow & \text{Fun}(A, X) \\ \downarrow \ulcorner & & \downarrow \\ \prod_{\text{Ob}(A)} X^{\simeq} & \hookrightarrow & \prod_{\text{Ob}(A)} X \end{array} \quad \begin{array}{c} f \\ \downarrow \\ (f(a))_{a \in \text{Ob}(A)} \end{array}$$

Remark: if  $f: C \rightarrow D$  is a functor between  $\infty$ -categories then the induced commutative square

$$\begin{array}{ccc} C^{\simeq} & \hookrightarrow & C \\ f^{\simeq} \downarrow & & \downarrow f \\ D^{\simeq} & \hookrightarrow & D \end{array}$$

is a pullback square if and only if  $f$  is conservative.

- Let  $C$  be an  $\infty$ -category and  $\mathcal{U} \subseteq \text{Ob}(C) = C_0$  any subset. We define  $C_{\mathcal{U}} \subseteq C$  as the simplicial subset of simplices  $\alpha \in C_n$  such that  $i^*(\alpha) \in \mathcal{U}$  for any  $i \in \{0, \dots, n\} = \text{Hom}(\Delta^0, \Delta^n)$ . It is clearly an  $\infty$ -category and the inclusion  $C_{\mathcal{U}} \hookrightarrow C$  is a conservative isofibration.  $C_{\mathcal{U}}$  is the full subcategory of  $C$  spanned by  $\mathcal{U} \subseteq C_0$ .

Observation: Give a simplicial set  $B$  and an  $\infty$ -category  $X$ ,  $h(B, X) = \text{Fun}(B, X)_{\mathcal{U}}$  where  $\mathcal{U}$  consists of those functors  $B \rightarrow X$  which send all morphisms of  $B$  to invertible morphisms of  $X$ .

We have  $\text{Hom}(A, h(B, X)) \cong \text{Hom}(B, \text{Fun}(A, X)^{\simeq})$ .

"  $k(A, X)$

## Invertible morphisms, revisited

### Proposition

Let  $p: X \rightarrow Y$  be an isofibration between  $\infty$ -categories.  
For any anodyne extension  $A \xrightarrow{i} B$

$$(i^*, p_*) : h(B, X) \xrightarrow{\sim} \begin{matrix} h(A, X) \times h(B, Y) \\ h(A, Y) \end{matrix}$$

is a trivial fibration.

Proof.  $n \geq 0$

$$\begin{array}{ccc} \partial\Delta^n & \longrightarrow & h(B, X) \\ \downarrow & \dashrightarrow & \downarrow \\ \Delta^n & \longrightarrow & \begin{matrix} h(A, X) \times h(B, Y) \\ h(A, Y) \end{matrix} \end{array} \quad \Leftrightarrow \quad \begin{array}{ccc} \overset{\text{anodyne}}{\text{ext.}} A & \longrightarrow & k(\Delta^n, X) \\ \downarrow & \dashrightarrow & \downarrow \text{Kan fib.} \\ B & \longrightarrow & \begin{matrix} k(\partial\Delta^n, X) \times k(\Delta^n, Y) \\ k(\partial\Delta^n, Y) \end{matrix} \end{array}$$

Construction:

$$\begin{array}{ccc} \Lambda_0^2 & \sqcup & \Lambda_2^2 \xrightarrow{(r, l)} \Delta^1 \\ \text{anodyne} \downarrow & & \downarrow \text{pushout} \\ \Delta^2 & \sqcup & \Delta^2 \xrightarrow{\quad} J \end{array}$$

with  $r: \Lambda_0^2 \rightarrow \Delta^1 \hookrightarrow C$

$l: \Lambda_2^2 \rightarrow \Delta^1 \hookrightarrow C$

Given an  $\infty$ -category  $C$  and a morphism  $x \xrightarrow{f} y$  in  $C$

$$\begin{array}{ccc} \Delta^1 & \xrightarrow{f} & C \\ \downarrow & \dashrightarrow & \downarrow \\ J & \xrightarrow{g} & C \end{array} \quad \Leftrightarrow$$

$$\begin{array}{ccc} y & \xrightarrow{1_y} & y \\ \downarrow & \dashrightarrow & \downarrow \\ x & \xrightarrow{f} & y \\ \downarrow & \dashrightarrow & \downarrow \\ 1_x & \xrightarrow{f} & x \end{array} \quad \begin{matrix} \Lambda_2^1 \\ \Lambda_0^2 \end{matrix}$$

$\{ \text{maps } J \rightarrow C \} = \{ \text{morphisms in } C \text{ equipped with a proof that they are invertible} \}$

$= \{ \text{morphisms in } C \text{ equipped with a right inverse and left inverse} \}.$

1st observation:  $\Delta^1 \hookrightarrow J$  is an anodyne extension:

$$\begin{array}{ccccc}
 \Lambda_2^2 & \hookrightarrow & \Lambda_0^2 \sqcup \Lambda_2^2 & & \\
 \downarrow \text{pushout} & & \downarrow \text{anodyne} & & \\
 \Delta^2 & \hookrightarrow & \Lambda_0^2 \sqcup \Delta^2 & \hookleftarrow & \Lambda_0^2 \\
 & & \downarrow \text{anodyne} & & \downarrow \\
 & & \Delta^2 \sqcup \Delta^2 & \hookleftarrow & \Delta^2
 \end{array}$$

(A green arrow points from  $\Lambda_0^2 \sqcup \Delta^2$  to  $\Delta^2 \sqcup \Delta^2$  in the diagram above)

2nd observation:  $\tau(J)$  fits in the pushout:

$$\begin{array}{ccc}
 [1] \sqcup_{\{0,1\}} [1] & \xrightarrow{\quad} & [1] \\
 \downarrow & & \downarrow \text{bij. on objects} \\
 [2] \sqcup [2] & \xrightarrow{\quad} & \tau(J)
 \end{array}$$

$\Rightarrow \tau(J) = \text{the groupoid with objects } 0, 1 \text{ which is equivalent to a point.}$

Now  $\tau(J)(a, b) = *$  for all  $a, b \in \{0, 1\}$

$\Rightarrow \tau(J)$  is a groupoid.

3rd observation: Let  $B$  be a simplicial set and  $X$  an  $\infty$ -category.

If  $\tau(B)$  is a groupoid, then

$$h(B, X) \quad \text{Fun}(B, X)$$

$B \rightarrow X$  belongs to  $h(B, X) \Leftrightarrow \tau(B) \rightarrow \tau(X)$  sends all maps of  $\tau(B)$  to isomorphisms in  $\tau(X) = ho(X)$ .

$$\tau(B) \text{ groupoid} \Rightarrow h(B, X) = \text{Fun}(B, X).$$

Corollary. Let  $p: X \rightarrow Y$  be an isofibration between  $\infty$ -categories. Then

$$\text{Fun}(J, X) \xrightarrow{\sim} h(\Delta^1, X) \times \text{Fun}(J, Y) \times h(\Delta^1, Y)$$

is a trivial fibration.

In particular,  $\text{Fun}(J, X) \xrightarrow{\sim} h(\Delta^1, X)$  is a trivial fibration.

4<sup>th</sup> observation. for  $\varepsilon = 0, 1$

$$\Lambda_k^1 = \Delta^0 \cong \{\varepsilon\} \hookrightarrow \Delta^1 \xleftarrow{\text{identity on objects}} J$$

anodyne                  anodyne

$\{\varepsilon\} \subseteq J$   $\varepsilon = 0, 1$   
is an anodyne extension

Corollary. for any isofibration between  $\infty$ -categories

$p: X \rightarrow Y$ , for  $\varepsilon = 0, 1$ , the evaluation map

$$\text{ev}_\varepsilon: \text{Fun}(J, X) \xrightarrow{\sim} X \times_{\text{Fun}(J, Y)} \text{Fun}(J, Y) \cong \text{Fun}(\{\varepsilon\}, X) \times_{\text{Fun}(\{\varepsilon\}, Y)} \text{Fun}(J, Y)$$

is a trivial fibration.

In particular,  $\text{ev}_\varepsilon: \text{Fun}(J, X) \xrightarrow{\sim} X$ ,  $\delta \mapsto \delta(\varepsilon)$  is a trivial fibration.

Compare this with: for any  $\infty$ -groupoid  $X$

$\text{ev}_\varepsilon: \text{Fun}(\Delta^1, X) \xrightarrow{\sim} X$  is a trivial fibration.

## Analogy with topology (for the record, not part of the lecture)

In topology:  $X$  top-space.

$$I = [0, 1]$$

$$C(I, X) = \{ \text{continuous functions } I \rightarrow X \mid \text{with compact-open topology} \}$$

$$C(A, C(K, X)) \cong C(A \times K, X).$$

Trivial fibrations in topology are: for all compact  $K$  (compact + Hausdorff).

Continuous maps  $p: X \rightarrow Y$

$$\text{with RLP w/ } \begin{array}{ccc} S^{n-1} & \hookrightarrow & B^n \\ \parallel & & \parallel \\ |\partial \Delta^n| & & |\Delta^n| \end{array}, \quad n \geq 0.$$

$\Leftrightarrow \text{Sing}(p): \text{Sing}(X) \rightarrow \text{Sing}(Y)$  is a trivial fibration.

Serre fibrations: continuous maps  $p: X \rightarrow Y$

$$\text{with RLP w/ } \begin{array}{ccc} I^{n-1} \times \{0\} & \hookrightarrow & I^n \\ \parallel & & \parallel \\ |\Lambda_k^n| & & |\Delta^n| \end{array}, \quad n \geq 1$$

$\Leftrightarrow \text{Sing}(p): \text{Sing}(X) \rightarrow \text{Sing}(Y)$  is a Kan fibration

One can prove: 1)  $p: X \rightarrow Y$  Serre fib.

$$p \text{ trivial fib} \Rightarrow \pi_0(X) \xrightarrow{\sim} \pi_0(Y) \quad \pi_n(X_x) \xrightarrow{\sim} \pi_n(Y, p(x)) \quad n \geq 0$$

$\Leftrightarrow \text{Sing}(p): \text{Sing}(X) \rightarrow \text{Sing}(Y)$   
 is an equivalence of  $\infty$ -groupoids.

In particular, any Serre fibration which is an homotopy equivalence is a trivial fibration in the topological sense

Ex:  $X \rightarrow \text{pt}$  is a Serre fibration.

$I^{n-1} \times \{\varepsilon\} \xrightarrow{\sim} B^n$   $p: X \rightarrow Y$  Serre  $\{\varepsilon\} \hookrightarrow I \quad \varepsilon=0,1$

$$I \times S^{n-1} \cup \{\varepsilon\} \times B^n \rightarrow X$$

$$S^{n-1} \rightarrow C(I, X)$$

$$\downarrow \quad \nearrow \quad \downarrow p$$

$$B^n \rightarrow Y$$

$\Leftrightarrow$

$$\downarrow \quad \nearrow$$

$$B^n \rightarrow C(\{\varepsilon\}, X) \times C(I, Y)$$

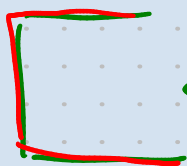
trivial fib.

$$C(\{\varepsilon\}, Y)$$

$I^n$

$n=2$

$$X \times C(I, Y)$$



(1)



(1)



(1)

$$\{ \alpha, \gamma: [0,1] \rightarrow Y \mid \gamma(\varepsilon) = p(x) \}$$



## Construction of (some) homotopy theories.

- In topology. An homotopy between two continuous maps  $f, g: X \rightarrow Y$  is a continuous map

$$h: I \times X \rightarrow Y \quad \text{with } I = [0, 1]$$

such that  $h(0, x) = f(x)$  and  $h(1, x) = g(x)$

for all  $x \in X$ .

$$\begin{array}{ccc} \{0\} \times X \cong X & \xrightarrow{f} & Y \\ \downarrow & \searrow h & \\ I \times X & \xrightarrow{\quad} & Y \\ \uparrow & \nearrow g & \\ \{1\} \times X \cong X & \xrightarrow{\quad} & Y \end{array} \quad \text{commutes}$$

$f \sim g \Rightarrow \exists \text{ homotopy between } f \text{ and } g$

An homotopy equivalence is a continuous map  $f: X \rightarrow Y$  such that there exists a continuous map  $g: Y \rightarrow X$  with  $f \circ g \sim 1_Y$  and  $g \circ f \sim 1_X$

Remark: For  $X, Y$  CW-complexes (e.g. manifolds).

(Whitehead)

Then  $f: X \rightarrow Y$  is an homotopy equivalence iff

$$\pi_0(X) \xrightarrow{\cong} \pi_0(Y) \quad \text{and for all } x \in X$$

$$\pi_n(X, x) \xrightarrow{\cong} \pi_n(Y, f(x)) \quad \text{for } n > 0. \quad \text{Isomorphism of fully faithful functors}$$

$$\Omega(X, x) \longrightarrow C(I, X) \quad I = [0, 1].$$

$$\left\{ \begin{array}{l} I \xrightarrow{\gamma} X \\ \gamma(0) = x \\ \gamma(1) = x \end{array} \right\}$$

$$\begin{array}{ccc} \downarrow \lrcorner & & \downarrow \\ * & \xrightarrow{(x, x)} & X \times X \end{array}$$

$$\begin{array}{l} \pi_1(X, x) \\ = \pi_0(\Omega(X, x)) \end{array}$$

- In category theory. Given two functors  $f, g: X \rightarrow Y$  an isomorphism of functors from  $f$  to  $g$  is

$$I \rightarrow \text{Fun}(X, Y)$$

$$0 \mapsto f$$

$$1 \mapsto g$$

$$\hat{=}$$

$I$  = contractible groupoid  
with objects  $0, 1$

$$I = \{ 0 \xrightarrow{i} 1 \}$$

$$\text{Fun}(I, \text{Fun}(X, Y))$$

"

$$\text{Fun}(I \times X, Y)$$

$$\begin{array}{ccc} \{0\} \times X \cong X & \xrightarrow{f} & Y \\ \downarrow & \searrow h & \\ I \times X & \xrightarrow{\quad} & Y \\ \uparrow & \nearrow g & \\ \{1\} \times X \cong X & \xrightarrow{\quad} & Y \end{array} \quad \text{commutes}$$

An equivalence of categories is a functor  $f: X \rightarrow Y$  such that there exists a functor  $g: Y \rightarrow X$  with  $f \circ g \cong 1_Y$  and  $g \circ f \cong 1_X$ .

Remark:

$f: X \rightarrow Y$  is an equivalence of categories iff

it is fully faithful:  $\text{Hom}_X(x, y) \xrightarrow{\cong} \text{Hom}_Y(f(x), f(y))$   
for all  $x, y \in X_0$

and essentially surjective:  $\forall y \in Y_0$

$$\exists x \in X_0 \quad \exists \text{ iso } f(x) \cong y.$$



We will construct two "homotopy theories" on  $\mathbf{SSet} = \mathbf{Fun}(\Delta^{op}, \mathbf{Set})$ :

- one to deal with equivalences between  $\infty$ -groupoids
- one to deal with equivalences between  $\infty$ -categories.

We will need also "homotopy theories" on  $\mathbf{SSet}/X$  for arbitrary simplicial sets as one of the tools to formalize the notion of "presheaf over an  $\infty$ -category  $X$ ".

Exercise: Let  $A$  be a small category, and  $X: A^{op} \rightarrow \mathbf{Set}$  a presheaf on  $A$ .  $\hat{A} = \mathbf{Fun}(A^{op}, \mathbf{Set})$

$$f: \hat{A}/X \longrightarrow \widehat{A/X}$$

$\Downarrow$   
 $p \downarrow$   
 $X$

$$\longmapsto \left( (h_a, s) \longmapsto \left\{ t: h_a \rightarrow Y \mid pt = s \right\} \right)$$

$\Phi(y, p)$   
" "

$$g: \widehat{A/X} \longrightarrow \hat{A}/X$$

$\Phi$        $\longmapsto$        $\begin{matrix} Y \\ p \downarrow \\ X \end{matrix}$

$$Y_a = \coprod_{s: h_a \rightarrow X} \Phi(h_a, s)$$

$\downarrow$        $s: h_a \rightarrow X$        $X_a$

$\Phi(h_a, s)$   
 $\downarrow$   
 $\{s\}$

Prove that  $f$  and  $g$  are equivalences of categories quasi-inverse to each other.

Fix an Eilenberg-Zilber category  $A$  with the property that, for any  $a \in \text{ob}(A)$ ,  $\coprod_{b \in \text{ob}(A)} \text{Hom}_A(b, a)$  is finite.

$\leadsto$  for any  $K \in h_a$ ,  $\text{Hom}_A(K, -) : \hat{A} \rightarrow \text{Set}$  commutes with filtered colimits

$\Rightarrow$  we get a weak factorization system  
 $\{\text{mons.}, \text{triv. fib}\}$

Fix an interval  $I$  in  $\hat{A}$ : a presheaf  $I : A^{op} \rightarrow \text{Set}$  equipped with two disjoint global sections

$$d^0, d^1 : * \rightarrow I$$

with  $*$  the terminal presheaf  
 $(*(a) \text{ has exactly one element})$

This means that

$$\begin{array}{ccc} \emptyset & \hookrightarrow & * \\ \downarrow \text{pullback} & & \downarrow d^0 \\ * & \xrightarrow{d^1} & I \end{array} \iff * \sqcup * \xrightarrow{(d^0, d^1)} I \text{ is a monomorphism.}$$

Example: 1)  $A = \Delta$ ,  $I = \Delta'$

2)  $A = \Delta$ ,  $I = J$

$$\begin{array}{ccc} \Delta_0^2 \sqcup \Delta_2^2 & \longrightarrow & \Delta' \\ \downarrow & & \downarrow \\ \Delta^2 \sqcup \Delta^2 & \longrightarrow & J \end{array}$$

We fix a set of morphisms  $S$  in  $\hat{A}$ .

Assumption: 1) for any  $a \in \text{ob}(A)$ ,  $I \times h_a$  is finite

2) for any  $K \hookrightarrow L \in S$ ,  $L$  is finite

( $X$  finite =  $X$  has only finitely many non-degenerate sections

$$\coprod_{a \in \text{ob}(A)} \{s \in X_a \mid \text{non-deg.}\} \text{ is finite})$$

Example: 1)  $A = \Delta$ ,  $I = \Delta'$ ,  $S = \emptyset \leadsto$  homotopy theory of  $\infty$ -groupoids

2)  $A = \Delta$ ,  $I = J$ ,  $S = \{\Lambda_k^n \hookrightarrow \Delta^n \mid n \geq 2, 0 < k < n\}$   
 $\leadsto$  homotopy theory of  $\infty$ -categories

Construction: define  $\Lambda_I(S)$  as follows:

$$\Lambda_I(S) = \Lambda_I' \cup \Lambda_I''(S)$$

with:

$$\Lambda_I' = \{I \times \partial h_a \cup \{\varepsilon\} \times h_a \hookrightarrow I \times h_a \mid \varepsilon = 0, 1, a \in \text{ob}(A)\}$$

with  $\ast \cong \{\varepsilon\} \subseteq I$  the image of  $d^\varepsilon: \ast \rightarrow I$

$$\Lambda_I'' = \{I^n \times K \cup \partial I^n \times L \hookrightarrow I^n \times L \mid K \hookrightarrow L \in S, n \geq 0\}$$

with  $I^n = \underbrace{I \times \dots \times I}_{n \text{ times}}$

$$\partial I = \{0\} \cup \{1\} \subset I$$

$$\partial I^n = \bigcup_{0 \leq i \leq n-1} I^i \times \partial I \times I^{n-i-1} \subseteq I^n$$

Definition: or map

An  $(I, S)$ -anodyne extension is an element in the smallest saturated class of maps in  $\hat{A}$  containing  $\Lambda_I(S)$

An  $(I, S)$ -fibration is a map with RLP w/  $(I, S)$ -anodyne maps.

A presheaf  $X$  on  $A$  is  $(I, S)$ -fibrant or, if there is no risk of confusion, fibrant if  $X \rightarrow *$  is an  $(I, S)$ -fibration.  
Remark:  $((I, S)$ -anodyne maps,  $(I, S)$ -fibrations)  
form a weak factorization system.

Example:

- in case  $A = \Delta$ ,  $I = \Delta^1$ ,  $S = \emptyset$   
 $(I, S)$ -fibrant  $\Leftrightarrow$  being a Kan complex  
(or an  $\omega$ -groupoid)

$(I, S)$ -fibration  $\Leftrightarrow$  Kan fibration

$(I, S)$ -anodyne  $\Leftrightarrow$  anodyne maps  
maps

- in case  $A = \Delta$ ,  $I = J$ ,  $S = \{ \Lambda_k^n \hookrightarrow \Delta^n \mid n \geq 2, 0 < k < n \}$

we will see that  $(I, S)$ -fibrant presheaves on  $\Delta$   
precisely are the  $\omega$ -categories.