

## Lecture 23

Proposition.  $X$  Kan complex such that  $\pi_0(X) \cong *$  and there exists  $x \in X_0$  with  $\pi_n(X, x) \cong 1$  for all  $n > 0$ .  
Then  $X$  is contractible.

Proof.  $\pi_0(X) \cong *$ . Given  $x_0, x_1$  in  $X_0$  there exists a morphism  $\gamma: \Delta^1 \rightarrow X$  from  $x_0$  to  $x_1$ .

$$\begin{array}{ccccc} \Omega(X, x_\varepsilon) & \xrightarrow{\text{weak equiv.}} & E^{(1)} & \xrightarrow{\quad} & \text{Hom}(\Delta^1, X) \\ \downarrow \text{pullback} & & \downarrow \text{pullback} & & \downarrow (ev_0, ev_1) \\ \{ \varepsilon \} & \xrightarrow{\text{anodyne}} & \Delta^1 & \xrightarrow{(\gamma, \gamma)} & X \times X \end{array}$$

$\varepsilon = 0, 1$

$$e_{x_0} \in \Omega(X, x_0) \xrightarrow{\sim} E^{(1)} \xrightarrow{\sim} \Omega(X, x_1) \ni e_{x_1}$$

$$\gamma^{(2)}: \Delta^1 \rightarrow E^{(1)} \text{ morphism from } e_{x_0} \text{ to } e_{x_1}$$

$\leadsto$  iterate:

$$\Omega^2(X, x_0) \xrightarrow{\sim} \Omega^1(E^{(1)}, e_{x_0}) \xrightarrow{\sim} E^{(2)} \xleftarrow{\sim} \Omega^1(E^{(1)}, e_{x_1}) \xrightarrow{\sim} \Omega^1(X, x_1)$$

$$\Omega^2(X, x_0) \xrightarrow{\sim} E^{(2)} \xrightarrow{\sim} \Omega^2(X, x_1)$$

$\vdots$

$$\Omega^n(X, x_0) \xrightarrow{\sim} E^{(n)} \xrightarrow{\sim} \Omega^n(X, x_1)$$

$$\Rightarrow \exists \text{ bijection } \pi_n(X, x_0) \cong \pi_n(X, x_1)$$

Take  $x_0 = x$ .

$$\Rightarrow \forall y \in X_0 \quad \pi_n(X, y) \cong 1. \quad \square$$

Def. An exact sequence of pointed sets is a diagram of the form

$$(E, z) \xrightarrow{\varphi} (F, y) \xrightarrow{\psi} (G, z) \quad \begin{array}{l} \varphi(z) = y \\ \psi(y) = z \end{array}$$

such that

$$\text{Im}(\varphi) = \psi^{-1}(z).$$

By default, any group will be considered as a pointed set with base point the neutral element.

Lemma. Consider a homotopy pullback square of Kan complexes

$$\begin{array}{ccc} F & \xrightarrow{i} & X \\ g \downarrow & & \downarrow f \\ P & \xrightarrow{j} & Y \end{array}$$

such that  $P$  is contractible. For any  $x \in F_0$  we set an exact sequence of pointed sets

$$\pi_0(F) \xrightarrow{\pi_0(i)} \pi_0(X) \xrightarrow{\pi_0(f)} \pi_0(Y).$$

Proof: Any weak homotopy equivalence  $K \rightarrow L$  induces  $\pi_0(K) \xrightarrow{\sim} \pi_0(L)$ .

$$\begin{array}{ccccc} & & F & \longrightarrow & X \\ & & \downarrow i & & \downarrow f \\ \begin{array}{c} x \\ \downarrow \\ P \end{array} & \begin{array}{c} \{x\} \xrightarrow{h} X \\ \downarrow \gamma \\ \{x\} \end{array} & \xrightarrow{\sim} & \begin{array}{c} P \xrightarrow{h} X \\ \downarrow \gamma \\ P \end{array} & \longrightarrow & \begin{array}{c} P(j) \\ \downarrow p_j \\ Y \end{array} \end{array}$$

$\Rightarrow$  may assume:

- the square is a pullback
- $f$  is a Kan fib.
- $P \cong \Delta^0$

$$\begin{array}{ccc}
 x \in f^{-1}(y) = F & \xhookrightarrow{i} & X \\
 \downarrow & & \downarrow f \\
 \Delta^0 & \xrightarrow{y} & Y
 \end{array}$$

$$\pi_0(F) \xrightarrow{\pi_0(i)} \pi_0(X) \xrightarrow{\pi_0(f)} \pi_0(Y)$$

$\text{Im } \pi_0(i) \subseteq \pi_0(f)^{-1}([y])$  is obvious by functoriality.

Let us pick a point  $a$  in  $X_0$  such that

$$[f(a)] = [y].$$

$\Rightarrow \exists \gamma: \Delta^1 \rightarrow Y$  from  $y$  to  $f(a)$ .

$$\begin{array}{ccc}
 \{1\} & \xrightarrow{a} & X \\
 \downarrow & \nearrow h & \downarrow f \\
 \Delta^1 & \xrightarrow{\gamma} & Y
 \end{array}
 \quad
 \begin{aligned}
 [h(0)] &= [a] \\
 h(0) &\in f^{-1}(y) \\
 f(h(1)) &= \gamma(0) = y
 \end{aligned}$$

□

**Theorem (Serre's long exact sequence for Kan fibrations)**

Let  $f: X \rightarrow Y$  be a Kan fibration between Kan complexes. For any  $x \in X_0$ ,  $y = f(x)$ ,  $F = f^{-1}(y)$ , there is a canonical long exact sequence:

$$\begin{aligned}
 \cdots \rightarrow \pi_n(F, x) &\rightarrow \pi_n(X, x) \rightarrow \pi_n(Y, y) \\
 &\searrow \quad \quad \quad \nearrow \\
 \pi_{n-1}(F, x) &\rightarrow \cdots \rightarrow \pi_1(Y, y) \\
 &\searrow \quad \quad \quad \nearrow \\
 \pi_0(F) &\rightarrow \pi_0(X) \rightarrow \pi_0(Y)
 \end{aligned}$$

Proof:

$$\begin{array}{ccccc}
 \Omega(X, x) & \xrightarrow{\sim} & P(X, x) & \xrightarrow{\sim} & \text{Hom}(\Delta^1, X) \\
 \downarrow \text{pullback} & & \downarrow \text{pullback} & & \downarrow \text{ev}_*, \text{ev}_* \\
 (x, x): \Delta^0 & \longrightarrow & X & \xrightarrow{(1, x)} & X \times X \\
 & & \downarrow \text{pullback} & & \downarrow \text{pr}_2 \\
 & & \Delta^0 & \xrightarrow{x} & X
 \end{array}$$

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$$\begin{array}{ccccc}
 \Omega(X, x) & & & & \\
 \downarrow & \searrow & & & \\
 \Omega(Y, y) & \longrightarrow & \Delta^0 & & \\
 \downarrow & & \downarrow u & & \\
 P(X, x) & \longrightarrow & X & & \\
 \downarrow & \searrow & & & \\
 F & \xrightarrow{\sim} & P(Y, y) \times_Y X & \longrightarrow & X \\
 \downarrow & & \downarrow & & \downarrow f \\
 \Delta^0 & \xrightarrow[\sim]{1_y} & P(Y, y) & \longrightarrow & Y
 \end{array}$$

$\Rightarrow$  homotopy pullback square:

$$\begin{array}{ccc}
 \Omega(X, x) & \longrightarrow & \Omega(Y, y) \\
 \downarrow & & \downarrow \\
 * \simeq P(X, x) & \longrightarrow & P(Y, y) \times_Y X \xleftarrow{\sim} F
 \end{array}$$

$\Rightarrow$  exact sequence

$$\begin{array}{ccccccc}
 \pi_0(\Omega(X, x)) & \longrightarrow & \pi_0(\Omega(Y, y)) & \longrightarrow & \pi_0(P(Y, y) \times_Y X) \\
 \parallel & & \parallel & & \uparrow s \\
 \pi_1(F, x) & \longrightarrow & \pi_1(X, x) & \longrightarrow & \pi_1(Y, y) \xrightarrow{\partial} \pi_0(F) \longrightarrow \pi_0(X) \longrightarrow \pi_0(Y)
 \end{array}$$

Apply the above to

$$\Omega^n(F, u) \rightarrow \Omega^n(X, u)$$

$$\begin{array}{ccc} \downarrow \text{pullback} & & \downarrow \Omega^n(f) \\ * & \longrightarrow & \Omega^n(Y, u) \end{array} \quad \square$$

Simplicial Whitehead's theorem:

A morphism of Kan complexes  $f: X \rightarrow Y$  is a (weak) homotopy equivalence if and only if,

$f$  induces a bijection  $\pi_0(X) \cong \pi_0(Y)$

and, for all  $u \in X_0$ , isomorphism of groups

$$\pi_n(X, u) \xrightarrow{\cong} \pi_n(Y, y) \quad , \quad y = f(u), \quad n > 0.$$

Proof: Assume that  $\pi_0(f) = \pi_0(X) \cong \pi_0(Y)$  and  
 $\forall n \quad \pi_n(X, u) \xrightarrow{\cong} \pi_n(Y, y), \quad y = f(u), \quad n > 0.$

We will prove that all the homotopy fibers of  $f$  are contractible.

May replace  $f$  by a Kan fibration:

$$X \xrightarrow[\sim]{if} P(f) \xrightarrow{Pf} Y$$

$\Rightarrow$  may assume that  $f$  is Kan fibration.

Let  $y \in Y_0$ . We want to prove that

$F := f^{-1}(y)$  is contractible.

For each  $x \in F$  we have Serre's long exact sequence.

$$\neq \pi_n(F, x) \quad \text{for } n > 0.$$

It is sufficient to prove that  $\pi_0(X) \cong \pi_0(Y)$ .

We have a functors

$$h_0(X) \cong h_0(Y) \rightarrow \text{Set}$$

$$y \mapsto \pi_0(f^{-1}(y))$$

$$\Delta' \xrightarrow{h} Y \quad h(0) = y_0$$

$$h(1) = y_1$$

map from  $y_0$  to  $y_1$  in  $h_0(Y)$

$$x_0 \in f^{-1}(y_0)$$

$$\begin{aligned} \pi_1(X, x) &= \text{Hom}_{h_1(X)}(x, x) \\ &\cong \text{Hom}_{h_0(X)}(x, x) \end{aligned}$$

$$\begin{aligned} \pi_0(f^{-1}(y_0)) &\xrightarrow{h_*} \pi_0(f^{-1}(y_1)) \\ [x_0] &\mapsto [h(1)] \end{aligned}$$

$$\begin{array}{ccc} \{0\} & \xrightarrow{x_0} & X \\ \downarrow & \searrow k & \downarrow f \\ \Delta' & \xrightarrow{h} & Y \\ & & h = f \circ k \end{array}$$

Let  $x_0, x_1$  be in  $f^{-1}(y)$ ,  $f(x_0) = f(x_1)$

$$h_0(X) \cong h_0(Y)$$

$$\text{Hom}_{h_0(X)}(x_0, x_1) \cong \text{Hom}_{h_0(Y)}(f(x_0), f(x_1))$$

$$y \mapsto 1$$

$$\gamma: \Delta' \rightarrow X \quad \gamma(0) = x_0, \gamma(1) = x_1$$

unique up to homotopy

$$\begin{array}{ccc} \{0\} & \xrightarrow{x_0} & X \\ \downarrow & \searrow k_0 & \downarrow \\ \Delta' & \xrightarrow{\gamma} & X \\ & & \gamma(x_0) \end{array}$$

$$k_0 \sim \gamma \sim k_1$$

$$x_0 \sim k_0(1)$$

$$x_1 \sim k_1(1)$$

$$\begin{array}{ccc} \Omega(X, x_0, x_1) & \rightarrow & \text{Hom}(\Delta', X) \\ \downarrow \text{pull back} & & \downarrow (ev_0, ev_1) \\ \Delta^0 & \xrightarrow{(x_0, x_1)} & X \times X \end{array}$$

$$\begin{array}{ccc} \{0\} & \longrightarrow & \Omega(X, x_0, x_1) \\ \downarrow & \dashrightarrow & \downarrow \text{Kan fib.} \\ \Delta' & \hookrightarrow & \Omega(Y, y) = \Omega(Y, f(x_0), f(x_1)) \\ & \text{homotopy} & \\ & \text{relating } f(x_0) \text{ and } f(x_1) & \end{array} \quad \text{(exercise).}$$

$\Rightarrow$  may choose  $f$  such that

$$f(\gamma) = \gamma_{f(x_0)}$$

$$\begin{array}{ccc} \{0\} & \rightarrow & X \\ \downarrow & \nearrow \gamma & \downarrow ! \\ \Delta' & \xrightarrow{\gamma} & \gamma \\ & \searrow \gamma^0 & \nearrow f(x_1) \end{array} \quad [2]$$

Corollary. A morphism of Kan complexes

$$f: X \rightarrow Y$$

is an homotopy equivalence iff it induces

$\pi_0(X) \cong \pi_0(Y)$  as well as homotopy equivalences

$\Omega(X, x) \rightarrow \Omega(Y, y)$  for all  $x \in X_0$ ,  $y = f(x)$ .

Remark.

Let  $f: X \rightarrow Y$  be a functor between  $\infty$ -categories.

for two objects  $x_0, x_1$

$$\begin{array}{ccccc} X(x_0, x_1) & \rightarrow & \text{Fun}(\Delta^1, X)^{\sim} & \hookrightarrow & \text{Fun}(\Delta^1, X) = \text{Hom}(\Delta^1, X) \\ \downarrow \text{pullback} & & \text{Kan fib} \downarrow & & \downarrow (ev_0, ev_1) \\ \Delta^0 & \xrightarrow{\quad} & X^{\sim} \times X^{\sim} & \hookrightarrow & X \times X \\ & & (x_0, x_1) & & \end{array}$$

$X(x_0, x_1)$  is the ~~Kan~~ complex of morphisms from  $x_0$  to  $x_1$  in  $X$ .  
 $\infty$ -groupoid

The map  $f: X \rightarrow Y$  induces a morphism / functor

$$(*) \quad X(x_0, x_1) \rightarrow Y(f(x_0), f(x_1))$$

Def. We say that  $f$  is **fully faithful** if  $(*)$  is an homotopy equivalence (=) an equivalence of  $\infty$ -categories for any  $x_0, x_1 \in \text{Ob}(X)$ .

We say that  $f$  is **essentially surjective** if, for any  $y$  in  $Y$  there exists  $x \in \text{Ob}(X)$  as well as an invertible morphism  $f(x) \rightarrow y$  in  $Y$ .

Next goal: proving that a functor  $f: X \rightarrow Y$  between  $\infty$ -categories is an equivalence of  $\infty$ -categories iff it is fully faithful and essentially surjective.

Observation: for  $f: X \rightarrow Y$  a functor between  $\infty$ -groupoids, this is essentially the corollary above:

$$\begin{array}{ccc} \Omega(X, x) & \rightarrow & \Omega(Y, y) \\ \parallel & & \parallel \\ X(x, x) & \rightarrow & Y(y, y) \end{array}$$



If  $x_0, x_1$  are related through a path in  $X$   
 $\gamma: \Delta^1 \rightarrow X \quad \gamma(0) = x_0 \quad \gamma(1) = x_1$   
 $\Omega(X, x_0) \sim X(x_0, x_1) \sim \Omega(X, x_1)$

$$\begin{array}{ccccc} \Omega(X, x_0) & \xrightarrow{\sim} & \bar{E} & \rightarrow & \text{Fun}(\Delta^1, X)^{\sim} \\ \downarrow \text{pullback} & & \downarrow \text{pullback} & & \downarrow \\ \{0\} \times \{0\} & \hookrightarrow & \Delta^1 \times \Delta^1 & \xrightarrow{\gamma \times \gamma} & X^{\sim} \times X^{\sim} \end{array}$$

$$\begin{array}{ccccc} X(x_0, x_1) & \xrightarrow{\sim} & \bar{E} & \rightarrow & \text{Fun}(\Delta^1, X)^{\sim} \\ \downarrow \text{pullback} & & \downarrow \text{pullback} & & \downarrow \\ \{0\} \times \{1\} & \hookrightarrow & \Delta^1 \times \Delta^1 & \xrightarrow{\gamma \times \gamma} & X^{\sim} \times X^{\sim} \\ & \searrow \downarrow & \nearrow \downarrow & & \\ & \{0\} \times \Delta^1 & & & \end{array}$$

$$\Omega(X, x) \xrightarrow{\sim} \Omega(Y, f(y)) \quad \forall y$$

$$\Rightarrow X(x_0, x_1) \xrightarrow{\sim} Y(y_0, y_1) \quad \forall x_0, x_1$$

st.  $\exists y_0 \rightarrow y_1$ .

$$\bullet \pi_0(x) \hat{=} \pi_0(y) \Leftrightarrow \left[ X(x_0, x_1) = \emptyset \Leftrightarrow Y(f(y_0), f(y_1)) \right]$$