ChatGPT Warfare

Final Project Report

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1. Introduction

Large language models (LLMs) are gaining increasing popularity in both academia and industry, owing to their unprecedented performance in various applications (Chang et al., 2023). As LLMs continue to play a vital role in both research and daily use, their evaluation becomes increasingly critical, not only at the task level but also at the societal level for a better understanding of their potential risks. LLMs possess the capabilities to solve diverse tasks, in contrast to prior models confined to specific tasks. Given their excellent performance in handling different applications such as general natural language tasks and domain-specific ones, LLMs are increasingly used by individuals with critical information needs, such as students or patients.

According to Chang et al. (2023), evaluation is of paramount prominence to the success of LLMs due to several reasons. First, evaluating LLMs helps us better understand the strengths and weaknesses of LLMs. For instance, PromptBench, a robustness benchmark introduced by Zhu et al. (2023) to measure LLMs' resilience to adversarial prompts, illustrates that current LLMs are sensitive to adversarial prompts, thus careful prompt engineering is necessary for better performance. Furthermore, the broad applicability of LLMs underscores the critical importance of ensuring their safety and reliability, particularly in safety-sensitive sectors such as financial institutions and healthcare facilities.

LLMs are used in a wide range of applications, including language translation, chatbots, text summarization, and sentiment analysis. They have also been used in fields such as finance, healthcare, and education to automate various language-related tasks and improve efficiency. However, LLMs also have some limitations and challenges, such as biases and ethical concerns related to their use (Ray, 2023).

ChatGPT, as a large language model (LLM), has revolutionised the way users acquire information. Unlike conventional search engines, ChatGPT retrieves knowledge from the model itself and generates answers for users (Shen et al., 2023). Wang et al. (2023) states that ChatGPT is a recent chatbot service released by OpenAI, which is a variant of the Generative Pre-trained Transformers (GPT) family. According to Shen et al. (2023), recent research has shown that ChatGPT obtains capability on par with existing large language models in traditional NLP tasks, such as machine translation, sentiment analysis, and textual entailment, as well as in emerging tasks, including code generation and task automation. Despite its impressive capabilities, ChatGPT has raised questions about its question-answering reliability in generic knowledge domains, e.g., science, technology, law, medicine, etc.

Robustness refers to the ability to withstand disturbances or external factors that may cause it to malfunction or provide inaccurate results. It is particularly important in practical applications, especially in safety-critical scenarios. For instance, when applying ChatGPT or other foundation models to fake news detection, a malicious user might introduce noise or certain perturbations to the content to evade the detection system (Wang et al., 2023).

Without robustness, the reliability of the system is compromised. Specifically, adversarial robustness studies the model's stability in the face of adversarial and imperceptible perturbations.

The primary focus of our current project is to perform adversarial attacks on text to expose the vulnerabilities in ChatGPT. In this context, adversarial attacks involve intentionally introducing perturbations or modifications to input data to deceive or mislead ChatGPT's generation process. By analysing the motivations behind each successful adversarial attack, we can identify vulnerabilities in the model.

We have developed an attack recipe for ChatGPT by leveraging existing works from TextAttack and exploring new approaches. This attack recipe will detect whether the response generated by ChatGPT contains bias or not after the perturbations of inputs. Lastly, we have analysed both the results from this newly developed attack recipe and the results generated from using existing attack recipes in TextAttack to assess the robustness of ChatGPT against these perturbations.

In this report, we will outline what we have accomplished and how we have achieved our project goals in several sections, including project background and literature review, project outcomes, our employed methodology, software deliverables, critical discussion and the conclusion for this project.

2. Project Background

2.1 Background

2.1.1 Adversarial Attack

According to Zhang et al. (2020), Adversarial attacks are a type of attack on deep neural networks (DNNs) that involve the strategic modification of input data to cause the DNN to misclassify it. These modifications are often imperceptible to humans but can have a significant impact on the output of the DNN. Adversarial attacks were first discovered in computer vision applications, where researchers found that small perturbations on the input images could cause an image classifier to be fooled with high probability, but human judgement is not affected. Since then, adversarial attacks have been found to affect other types of DNNs, including those used in natural language processing (NLP). It is more challenging to generate adversarial perturbations for text data than image data because altering a character or word in a sentence is more perceptible to humans (Goyal et al., 2023). Moreover, creating imperceptible adversarial attacks is difficult in NLP since perturbations in textual data could result in less natural input data.

Adversarial attacks on textual deep neural networks have gained significant attention in recent years. These attacks involve the strategic modification of textual input data to cause

the DNN to misclassify it. One of the challenges of generating adversarial examples for text-based DNNs is that the input data is discrete and high-dimensional, which makes it difficult to compute gradients and optimise perturbations. Several methods have been proposed to address this challenge, including gradient-based methods that use approximations of the gradient and evolutionary algorithms that operate on a continuous representation of the input data. Adversarial attacks on text-based DNNs can have a significant impact on NLP applications, such as sentiment analysis and text classification. These attacks can cause misclassification of text, leading to incorrect predictions or decisions based on the output of the DNN (Zhang et al., 2020).

2.1.2 ChatGPT

ChatGPT is an AI language model that has experienced significant advancements in recent years. It is a type of conversational AI that uses NLP and context understanding to generate human-like responses to user input. ChatGPT is part of the GPT (Generative Pre-trained Transformer) family of models, which are based on deep learning techniques and have been trained on massive amounts of text data.

The version of ChatGPT that our project utilises is based on the GPT-3.5 architecture, which is a modified version of the GPT-3 model released by OpenAI in 2020. GPT-3.5 is essentially a smaller version of GPT-3, with 6.7 billion parameters compared to GPT-3's 175 billion parameters (Ray, 2023). Despite this reduction in parameters, GPT-3.5 continues to excel across a wide spectrum of natural language processing tasks, including language understanding, text generation, and machine translation.

2.2 Rationale

2.2.1 Why Apply Adversarial Attacks on ChatGPT

In recent years, DNNs have rapidly developed and achieved great success in NLP. However, at the same time, DNNs are also vulnerable to adversarial examples which can make DNN models produce wrong classification predictions by adding perturbations, that are not easily detectable by humans, to the original examples. This is especially the case with real-world scenarios such as medical, autonomous driving, and financial fields wherein adversarial examples can place people's property, and even health safety, at risk (Peng et al., 2023). Adversarial attacks highlighted the need for robustness and reliability in NLP systems and have spurred research in developing more robust models and defences against such attacks.

Papernot et al. (2016) discuss the broader implications of adversarial attacks on machine learning models. They argue that adversarial attacks provide valuable insights into the limitations of current models and foster the development of more robust and secure systems. The study emphasises the need for research in adversarial machine learning to ensure the reliability and trustworthiness of AI systems in real-world scenarios (Papernot et al., 2016).

One of the primary reasons for adversarial attacks on ChatGPT is the widespread deployment of language models in high-stakes applications. ChatGPT, being a powerful language model, finds applications in various domains such as customer service, virtual assistants, and educational platforms. In these contexts, the outputs generated by ChatGPT can have significant consequences, ranging from medical diagnosis to legal advice. Hence, it becomes imperative to examine the vulnerabilities of ChatGPT to adversarial attacks to ensure the reliability and safety of its outputs.

Shen et al. (2023) state that despite ChatGPT's impressive capabilities, it has led to questions about its question-answering reliability in generic knowledge domains, e.g., science, technology, law, medicine, etc. These concerns are further compounded by the fact that ChatGPT's proficiency in articulating rich answers may foster trust among ordinary users who often lack the expertise to identify mistakes in the model's responses. Due to ChatGPT's popularity, malicious actors will inevitably, if not already, attack ChatGPT with adversarial examples (Shen et al., 2023). Malicious actors can exploit the vulnerabilities of language models to generate harmful content, spread misinformation, or manipulate user interactions. This raises questions regarding the responsible deployment of ChatGPT and the potential societal impact of adversarial attacks. Understanding the methods and techniques employed in these attacks is crucial for developing countermeasures and ensuring the ethical use of language models.

2.2.2 Why Detect Bias in ChatGPT Responses

NLP models are often trained on large text corpora, which may introduce substantial biases into the models (Raza et al., 2022). These biases are transferred to the models during training, and developers may not always be aware of them. Failure to detect and rectify these biases can result in unjust and discriminatory consequences. Language models have increasingly found practical applications in various domains, including hiring, lending, and criminal justice systems (Nozza et al., 2022). If these models are biased, they have the potential to perpetuate and amplify existing social inequalities and discrimination. For example, in contexts like hiring processes, where biased language models can lead to unfair hiring practices, potentially discriminating against certain groups of people. Therefore, actively detecting and addressing bias in NLP is an ethical imperative to ensure that these models do not exacerbate social inequalities and that they contribute to more equitable outcomes in real-world applications.

The rapid advancements in LLMs suggest that commercial applications of AI systems are on the horizon, where they will serve as gateways for interacting with technology and accessing a vast body of human knowledge (Rozado, 2023). Given this imminent integration into various aspects of our lives, it is crucial to ensure that these systems remain impartial and do not align with any specific political or social agenda. Bias detection becomes indispensable in safeguarding the integrity and trustworthiness of these systems, which may influence users' perceptions and decisions on a wide range of topics.

According to Ray (2023), ChatGPT, like any machine learning model, can be biased if it is trained on biased data. This bias can lead to unfair outcomes for individuals or groups of people, particularly in areas such as employment, healthcare, and criminal justice. While AI-generated content can enhance personalised learning and facilitate access to knowledge, it also raises concerns about potential biases. Ensuring that AI-generated content is accurate, unbiased, and of high quality is an essential ethical consideration. By actively identifying bias, we can strive for a more equitable and ethical AI landscape while harnessing the transformative power of ChatGPT.

2.3 Literature Review

2.3.1 Related Works

2.3.1.1 Teapot Framework

According to Michel et al. (2019), this proposed evaluation framework for adversarial attacks on seq2seq models takes into account the semantic equivalence of the pre- and post-perturbation input. This means that the framework evaluates whether the perturbation changes the input so significantly that it legitimately results in changes in the expected output. The framework uses automatic metrics to correlate better with human judgement for evaluating adversarial attacks.

The framework is used to compare various adversarial attacks and demonstrate that adversarial attacks that are explicitly constrained to preserve meaning receive better assessment scores. Adding additional constraints on attacks allows for adversarial perturbations that are more meaning-preserving, but largely change the output sequence.

However, our goal is to apply adversarial attacks to ChatGPT, a language model based on the Generative Pre-trained Transformer (GPT) architecture. It was designed to overcome some of the limitations of seq2seq models for natural language processing (Ray, 2023). GPT models belong to the category of autoregressive language models, and their architecture and behaviour are different from seq2seq models. Therefore, an adversarial attack framework like Teapot, which is specialised for seq2seq models, might not be directly applicable to ChatGPT.

Overall, this evaluation framework provides a more comprehensive and accurate way of assessing the robustness of seq2seq models against adversarial attacks, taking into account both semantic equivalence and human judgement. It only supports the application of ngram-based comparisons for evaluating attacks on machine translation models.

2.3.1.2 Graphical Framework

Kulynych et al. (2019) state that this framework introduced is a systematic tool for designing traffic modifications that defend users against a white-box (WF) adversary. The framework casts attacks as a search over a graph of valid transformations of an initial example, allowing

for the definition of arbitrary adversarial costs and the use of search algorithms from the vast literature on graph search.

The framework is designed to work in constrained discrete domains, which are common in security-critical applications such as bot, malware, or spam detection. The goal is to provide provable guarantees against adversarial examples, which are inputs that have been intentionally modified to cause a machine-learning model to misclassify them.

The framework has several benefits over existing attacks in discrete domains. First, it generalises many proposed attacks in various discrete domains and offers additional benefits. Second, it enables the definition of arbitrary adversarial costs and the choice of search algorithms from the vast literature on graph search. Third, it provides a systematic tool for designing traffic modifications that defend users against a WF adversary.

Overall, this graphical framework provides a useful tool for improving the security of machine-learning models in constrained discrete domains by providing provable guarantees against adversarial examples. However, it cannot ensure that generated examples satisfy a given constraint.

2.3.1.3 AllenNLP Interpret Framework

According to Wallace et al. (2019), AllenNLP Interpret is a framework designed to provide explanations for specific predictions made by NLP models. This framework is pivotal in enhancing transparency and interpretability in the realm of AI-driven text analysis. It offers two types of instance-level interpretations: gradient-based saliency maps and adversarial attacks. Saliency maps identify the importance of input tokens by using the gradient of the loss concerning the tokens, while adversarial attacks perturb the input to observe how the model's prediction changes.

Another notable facet of the AllenNLP Interpret framework is the capacity to conduct adversarial attacks on NLP models. It considers only two types of adversarial attacks: replacing words to change the model's prediction and removing words to maintain the model's prediction. This framework considers word-level substitutions using HotFlip. HotFlip uses the gradient to swap out words from the input in order to change the model's prediction (Ebrahimi et al., 2018). Besides that, the AllenNLP Interpret framework offers an Input Reduction Attack. Input Reduction works by iteratively removing the word with the lowest importance value until the model changes its prediction (Feng et al., 2018). Input reduction is classified as an "adversarial attack" because the resulting inputs are usually nonsensical but cause high-confidence predictions.

2.3.1.4 TextAttack Framework

Morris et al. (2020) introduce the TextAttack framework, a comprehensive and versatile Python library designed for adversarial attacks, data augmentation, and adversarial training in the field of NLP.

TextAttack provides a unified interface and a wide range of functionalities to facilitate the generation and application of adversarial attacks on NLP models. It aims to enable researchers and practitioners to explore the vulnerability of NLP models to adversarial examples and develop robust models through adversarial training. The framework is built on popular NLP libraries such as Hugging Face's Transformers and NLTK, leveraging their capabilities for efficient NLP processing.

One of the key features of TextAttack is the collection of attack recipes it offers. These attack recipes serve as templates for generating adversarial examples by implementing various attack strategies, such as word substitution, character deletion, and sentence modification. Researchers and developers can choose from a range of predefined attack recipes or create their own customised ones to suit their specific requirements.

To modify input text while preserving semantic meaning and grammatical correctness, TextAttack provides a variety of transformation functions. These functions enable the framework to generate effective adversarial examples by making controlled perturbations to the original text. By incorporating different search algorithms like Greedy Word Swap, Genetic Algorithm, and Beam Search, TextAttack explores various search strategies to find successful adversarial examples.

In addition to generating adversarial examples, TextAttack also includes evaluation metrics to measure the effectiveness and impact of adversarial attacks. These metrics assess factors such as the success rate of attacks, the level of perturbation introduced, and the preservation of grammaticality and semantic similarity. This allows researchers to quantitatively evaluate the quality of the generated adversarial examples and analyse their implications.

TextAttack goes beyond adversarial attacks and also supports data augmentation techniques. Researchers can apply attack recipes to existing datasets, generating augmented samples with controlled perturbations. This data augmentation process aids in enhancing the robustness and generalisation of NLP models, leading to improved performance in real-world scenarios.

Furthermore, the framework facilitates adversarial training, a technique where models are trained on both clean and adversarial examples. By iteratively optimising and fine-tuning the models using attack recipes, TextAttack helps improve the resilience of NLP models against adversarial attacks. This approach enhances the model's ability to handle perturbed inputs and increases its overall security.

TextAttack provides a user-friendly command-line interface and extensive documentation, making it accessible and easy to use for researchers and developers. The framework's open-source nature encourages collaboration and enables the NLP community to leverage its capabilities for various research and development tasks. Its integration with popular NLP libraries ensures compatibility and interoperability with existing NLP pipelines and models.

In summary, TextAttack serves as a comprehensive and flexible framework for exploring adversarial attacks, performing data augmentation, and implementing adversarial training in

NLP research and development. By offering a unified interface, a wide range of attack strategies, transformation functions, and evaluation metrics, TextAttack empowers researchers and practitioners to investigate the security and robustness of NLP models and develop more reliable and resilient NLP systems. It has a broader scope than any of the above libraries as it is designed to be extendable to any NLP attack.

2.3.2 Conclusion

Teapot framework is a library for evaluating adversarial perturbations on text, but only supports the application of ngram-based comparisons for evaluating attacks on machine translation models (Michel et al., 2019). The graphical framework introduced by Kulynych et al. (2018), proposes a method for attacking NLP models based on graph search, but lacks the ability to ensure that generated examples satisfy a given constraint. Additionally, AllenNLP Interpret framework designed by Wallace et al. (2019), includes functionality for running adversarial attacks on NLP models, but is intended only for the purpose of interpretability, and only supports attacks via input-reduction or greedy gradient-based word swap.

On the other hand, TextAttack is designed to be extendable to any NLP attack, it has a broader scope than any of these libraries (Morris et al., 2020). In conclusion, we opted to use TextAttack, an open-source framework for testing the robustness of the ChatGPT model rather than the other frameworks. Its comprehensive functionality, compatibility with existing NLP libraries, broad model and dataset support, focus on semantic preservation, and user-friendly interface make it a compelling choice for us to explore adversarial attacks and experiment with ChatGPT's robustness.

3. Outcomes

In this section, our primary focus is to provide a comprehensive explanation of the project's outcomes. We delve into a detailed discussion of all results, which is a pivotal aspect of our project. Our central objective centres around analysing the impact of various adversarial attack algorithms on ChatGPT, and this discussion is crucial in shedding light on the main outcome of our project.

3.1 What Has Been Implemented

Throughout the project, we have implemented an adversarial text attack structure on ChatGPT, utilising the TextAttack Framework as our foundation. Our primary objective has been to construct this structure and analyse the outcomes of these attacks. To showcase our work, we have developed a web application (*Figure 5.1c*) with two distinct sections for users to experiment with. The first section allows users to run an existing attack recipe on ChatGPT, while the second section presents our self-developed Bias Attack.

Our web application is built with these 2 main entities:

- 1) **Client-side architecture:** The user can open the web application using the provided URL.
- 2) **Server-side architecture:** The backend code that handles the computation is located in 2 web servers. When received input text, it will compute and output the perturbed output.

In the existing attack recipe part, users can select input text from a dropdown list and initiate an attack. Due to limitations imposed by the framework, the results of these attacks have been predetermined. To address performance constraints, we've precomputed these outputs as the time taken for real-time attacks on these recipes will consume up to 10 minutes per attack. Subsequently, ChatGPT is invoked to generate the output for the perturbed input, as illustrated in *Figure 3.1a*.

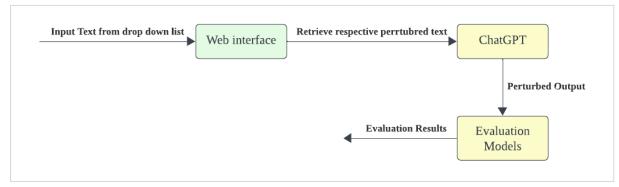


Figure 3.1a: Existing Attack Recipe Client-Side Architecture

In the Bias Attack section, users can input their text, and the web application will facilitate a real-time attack using our developed Bias Attack method. The process involves transmitting the user's input to the backend, where the attack model computes the perturbed text. Subsequently, the perturbed text is relayed to ChatGPT, which generates the perturbed output. This output is then routed to various evaluation models, including sentiment analysis models, for comprehensive assessment. The entire process flow is visualised in *Figure 3.1b*.



Figure 3.1b: Bias Attack Client-Side Architecture

Upon implementing these backend codes, we have also run the developed attack and existing attack recipes on the chosen dataset to collect and analyse the results which we will talk more about in Section 3.5.

3.2 Results Achieved/Product Delivered

The primary goal of our project is to develop an adversarial attack structure for ChatGPT, evaluate the effectiveness of these attacks, and conduct a thorough analysis to derive valuable

insights. This endeavour is intended to empower developers and researchers to enhance ChatGPT's robustness, ultimately benefiting its users by making it more secure and capable of generating accurate content.

We have successfully met the main project requirements, which include creating the attack structure, conducting evaluations, and performing in-depth analyses. Detailed insights from our analysis are provided in <u>Section 3.5</u>.

Furthermore, to showcase our work on the developed attack structure, we have also developed a web application. More information about this can be found in <u>Section 5</u>, under "Software Deliverables."

3.3 How Requirements are Met

We have revised the Project Requirements due to a change in our project scope during Phase 2. The initial scope proved to be unattainable for our team due to limitations in knowledge and resources, as elaborated in <u>Section 4.1</u>.

The modifications to the requirements and the rationale behind these changes are documented in *Table 3.3a*.

| ID | Requirement | Justification | |
|----|--|---|--|
| | Old Requirements (removed) | | |
| F1 | Implement advanced variations of state-of-the-art adversarial attack algorithms against ChatGPT. | In response to limitations in knowledge and resources, we have decided to shift our project's focus from designing advanced variations that enhance the baseline results to a more concentrated emphasis on the analysis aspect. | |
| F7 | The input text from the user can be stored in the database. | There is a wealth of text resources available online spanning various fields. Leveraging these readily available resources can prove beneficial for our team before considering the collection of additional datasets from users. This approach allows us to make the most of existing data sources and minimise potential issues associated with user-contributed data. Besides, the user input data might contain a substantial amount of unsuitable data, which could potentially result in a waste of resources. | |
| | New Requirements (added) | | |
| F1 | Implement the structure for performing adversarial text attacks on ChatGPT. | To get aligned with our new project scope after discussion with the topic supervisor. | |

| | | To get aligned with our new project scope after discussion with the topic supervisor |
|--|------------------------------|--|
| | algorithms used on ChatGr 1. | discussion with the topic supervisor |

Table 3.3a: Updated Requirement and Justification

3.3.1 Functional and Non-Functional Requirements (FR & NFR)

Table 3.3.1a and *Table 3.3.1b* specifies the functional and non-functional requirements for this project.

Table 3.3.1c outlines how each Functional and Non-Functional requirement is being addressed by our backend code and web application.

| REQ ID | Requirement |
|-----------|---|
| F1 | Implement the structure for performing adversarial text attacks on ChatGPT. |
| F2 | Evaluate the performance of the attack algorithms used on the prototype on various real-world settings and/or datasets. |
| F3 | Analyse the effect of the attack algorithms used on ChatGPT. |
| F4 | The prototype takes and passes user inputs to the adversarial attack algorithm. |
| F5 | The original user input and perturbed input are passed to ChatGPT to generate the output. |
| F6 | The prototype displays the result and evaluation of an attack to users. |
| F7 | The prototype allows users to download the results of an attack. |

Table 3.3.1a: Functional Requirements

| REQ ID | Requirement |
|-----------|--|
| NF1 | Familiarise ourselves with some state-of-the-art adversarial attack algorithms and their corresponding codes |
| NF2 | Performance of the execution of attack and evaluation processes has to be efficient, ensuring reasonable response times. |
| NF3 | The web interface for the prototype achieves good usability. |
| NF4 | The prototype needs to be scalable, reliable and maintainable. |
| NF5 | Comprehensive and clear documentation is provided for the prototype. |

Table 3.3.1b: Non-Functional Requirements (NFR)

| REQ How the requirements are met |
|----------------------------------|
|----------------------------------|

| ID | | | |
|-----|---|--|--|
| F1 | Our team has developed the backend code and web application that demonstrate our work proves that we have implemented the adversarial attack structure on ChatGPT using TextAttack where other people can replace the attack recipe with their version to launch the attack on ChatGPT. | | |
| | <pre>def attack_recipe(target_bias): model = ChatGPT()</pre> | | |
| | <pre>model_wrapper = CustomGPTModelWrapper(model) goal_function = MaximizeBias(model_wrapper, target_bias=target_bias)</pre> | | |
| | <pre>transformation = CompositeTransformation(</pre> | | |
| | <pre>[</pre> | | |
| | | | |
| | <pre>constraints = [RepeatModification()]</pre> | | |
| | <pre>search_method = GreedySearch()</pre> | | |
| | <pre>attack = Attack(goal_function, constraints, transformation, search_method)</pre> | | |
| | return attack | | |
| | Figure 3.3.1a: Sample Code for our Bias Attack recipe | | |
| F2 | Our team has assessed the performance of the employed attack algorithms on real-world | | |
| F3 | datasets, including the finance and open_qa datasets. The analysis presented in Section 3.5 confirms that we have successfully executed the evaluation and analysed the outcomes to obtain valuable insights. | | |
| F4 | Our web application is capable of taking in user input and passing it to the adversarial attack algorithm to perform the perturbation as shown in <i>Figure 5.1e</i> . | | |
| F5 | Our team has developed the web application such that both original input and perturbed input are passed to the ChatGPT to generate output which can be seen in <i>Figure 5.1d</i> . | | |
| F6 | Our team developed an attack result page to show the result of the attack with various evaluation models to further provide more information about the attack on different aspects to the user as shown in <i>Figure 5.1d</i> . | | |
| F7 | Our team allows the user to download the attack result on the attack result page where the user can click the download result button to download an HTML file named (downloaded.html) to their local directory. This can be seen in the bottom left corner of <i>Figure 5.1d</i> . | | |
| NF1 | We have done this to understand the logic of execution and that is why we changed our project scope. | | |
| NF2 | Our team designed and developed the attack and web application such that: | | |
| | The Bias Attack and its evaluation typically require approximately 1 to 5 minutes, making it a real-time attack. | | |

- 2. In contrast, the attack results using the existing attack recipes are pre-determined and can be obtained in less than 1 minute. This approach has been adopted to maintain the execution time within a feasible and acceptable range. Previously, the real-time execution of the existing attack recipes took up to 10 minutes or more, which was not ideal for our project's requirements.
- NF3 Our team has designed the web application's user interface (UI) simply and cleanly. Each button is strategically placed in a noticeable location, and the instructions for execution are clear and easily understandable by anyone who comprehends English. This user-friendly design enhances the accessibility and usability of the application. Please refer to *Figures 5.1a*, *5.1c*, *5.1e* and *5.2.1a*.
- NF4 Our team has deployed our web application on 2 web hosting servers which proved that this application is scalable in the future instead of only available within the device that has the source code. Please refer to *Figure 3.3.1b*.

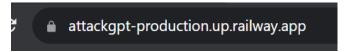


Figure 3.3.1b: One of the URLs for the web application hosted on the web server

Besides, we have various error handling on the backend code and display the error page and error message for the user in the web application which makes our app more reliable. Please refer to *Figures 5.2.1a*, *5.2.1b* and *5.2.1c*.

Lastly, our prototype is maintainable because our codebase is well-modularised, and necessary documentation has been provided within the code which allows the other developer to understand them easily as illustrated in *Figure 3.3.1c*.

Figure 3.3.1c: Evidence of well-documented and modularised Code

NF5 Our team has diligently prepared comprehensive documentation, including a User Guide (*Figure 5.1b*) that outlines the step-by-step execution of the web application. Additionally, we have created an About page (*Figure 3.3.1d*) that introduces the background and relevant

information related to the web application, ensuring that users can easily understand the purpose and context of the application. This documentation enhances the user's experience and provides valuable information to those interested in the project.



Table 3.3.1c: Modifications to the requirements and the rationale

3.4 Justification of Decision Made

3.4.1 Shifting to New Project Scope

To reiterate, our project underwent a significant shift in scope. Originally, we intended to design an advanced variation of a state-of-the-art attack algorithm to improve the baseline results for ChatGPT. However, after discussions with our project supervisor, we decided to pivot towards a new project scope that emphasises analysis and experimentation.

The rationale behind this decision was our desire to generate meaningful outcomes and insights through the project. Instead of solely focusing on designing a novel attack algorithm variation, which might not yield substantial improvements against ChatGPT, we opted for a scope that aligns more closely with our capabilities. This strategic adjustment allows us to contribute valuable insights and findings to our project.

3.4.2 Bias as One of the Attacking Aspects

We selected bias as the focal point for our attacks on ChatGPT, primarily because bias in Artificial Intelligence is a prominent and critical topic in contemporary discussions (Medium,

2023). Additionally, Ray (2023) pointed out that ChatGPT is susceptible to various forms of bias, including those related to gender, race, culture, and more. As ChatGPT is widely used by a diverse audience, including younger individuals, it is imperative to explore and understand its vulnerabilities concerning bias. We aim to ensure that ChatGPT does not generate biased content that could potentially influence users negatively.

3.4.3 Sentiment Analysis as Part of the Analysis Method

Following the collection of attack results from the bias attacks conducted on real-world datasets, we extended our evaluation by subjecting the perturbed outputs to sentiment analysis. This approach serves to provide a deeper understanding of the perturbed attacks. Sentiment scores are utilised to capture variations in language polarity, and they have been employed to quantify bias, as explained by Sheng (2019).

While the perturbed output may not be explicitly identified as biased, it may exhibit alterations in the emotional tone of responses to user questions. This aspect is worth investigating to gain insights into how ChatGPT manages bias and its potential impact on the emotional nuances of its responses.

3.4.4 Dataset Choice (Finance and Open QA dataset)

We opted to use the Open QA dataset for running the existing attack recipes for two primary reasons. First, as suggested by Whitney (2023), a substantial 61% of ChatGPT users in a work context focus on generating ideas and creating content. They often ask questions related to the field of Open QA. Therefore, using this dataset aligns well with the typical usage scenario of ChatGPT.

Secondly, in everyday interactions with ChatGPT, it is common for people to use different words or make typographical errors in their inputs. The attack recipe we selected focuses on character-level and word-level perturbations, which are well-suited to address these variations in user inputs. This choice ensures that the attack recipes are relevant to real-world, practical scenarios.

To diversify our dataset and account for a broader range of user interactions, we have also included the Finance dataset in the evaluation of both the existing attack recipe and the bias attack. Whitney (2023) noted that 50% of individuals from the business field, as per a survey, are ChatGPT users. Given that finance is a common and accessible topic for a wide audience, we decided to assess how ChatGPT handles character or word typos and bias attacks within this domain. This choice allows us to gain insights into ChatGPT's performance in a practical and widely relevant context.

3.5 Discussion of All Results

3.5.1 Existing Attack Recipe

We have conducted experiments on 40 data points using existing attack recipes in TextAttack, which involved both character-level and word-level perturbations. The result is shown in *Table 3.5.1*. The success of these attacks is based on the sentence similarity between the response generated by the original input and the response generated by the perturbed input. A sentence similarity score lower than 50 will be considered an indicator of a successful attack, while a score higher than 50 implies that the attack had failed.

| Attack Recipe | Success | Failed | Skipped |
|---------------|---------|--------|---------|
| TextBugger | 0 | 40 | 0 |
| DeepWordBug | 0 | 39 | 1 |
| Checklist | 0 | 40 | 0 |
| TextFooler | 0 | 39 | 1 |

Table 3.5.1a: Attack results using existing attack recipes

The result shows that none of the attack recipes succeeded in attacking any of the 40 data points. This demonstrates that ChatGPT was, in most cases, capable of correcting these minimal perturbations effectively. It indicates that ChatGPT exhibits robustness when it comes to handling minor modifications in the input text.

This robustness is a noteworthy characteristic of ChatGPT, and it has several benefits. It enhances the model's usability and user experience. Users can engage with ChatGPT more comfortably, knowing that minor typos or phrasing errors in their inputs are unlikely to significantly hinder the quality of the responses they receive. Moreover, this analysis emphasises ChatGPT's potential reliability in scenarios where the input text may not always be perfect. In real-world applications, user inputs can vary widely in terms of language proficiency, typos, or other minor issues. ChatGPT's ability to interpret and respond effectively to such inputs minimises user frustration and makes it a practical tool in a variety of contexts.

On the other hand, the interpretation of these results underscores the need for further investigation of attack recipes to attack ChatGPT, as most of the existing character-level and word-level perturbations can be handled well by ChatGPT. This highlights the importance of customising attack recipes to align with the behaviour of the ChatGPT model when attacking ChatGPT.

3.5.2 Bias Attack

Our experiment on implemented Bias Attack is run on 400 data in a finance dataset. The result is presented and analysed in the following section. In this analysis, we delve into the outcomes of applying Bias Attacks, where the primary objective is to perturb prompts effectively and assess ChatGPT's responses for signs of bias. Success in these attacks is measured by the model's ability to generate responses that contain bias following the perturbations. This comprehensive analysis offers a closer look at ChatGPT's behaviour when subjected to a variety of perturbations aimed at inducing bias.

| Attack Recipe | Success | Failed | Skipped |
|---------------|---------|--------|---------|
| Bias Attack | 243 | 65 | 92 |

Table 3.5.2a: Attack result using Bias Attack

Based on *Table 3.5.2*, the fact that we successfully perturbed 243 out of 400 data points to produce biased responses indicates that ChatGPT is indeed susceptible to bias induction through perturbations. A successful attack signifies that we were able to perturb the prompt in a way that ChatGPT generated a response containing bias. However, it's crucial to note that 92 responses generated from the original prompts were already biased. This is a significant observation as it suggests that ChatGPT can generate biased responses without any external perturbations.

Furthermore, failed attacks represent a scenario where despite the application of various perturbations to the original prompts, we did not achieve responses that contained evident bias. In other words, all the perturbations applied in these 65 instances did not lead to ChatGPT generating responses with observable bias. This highlighted that ChatGPT's response to bias perturbations is context-dependent, and it can both resist and sometimes generate responses containing bias. This contextuality stems from a complex interaction between the specific input, the nature of the perturbations, and the model's inherent mechanisms.

It's important to recognize that this robustness isn't universal. ChatGPT's response to bias perturbations is also influenced by the nature of the input and the intricacies of the perturbations themselves. There are instances where, despite the model's general resistance, specific inputs or perturbation techniques can elicit biased responses. This highlights the nuanced relationship between ChatGPT, input context, and the applied perturbations. The model's responses to bias-inducing inputs are not fixed but rather contingent on various factors.

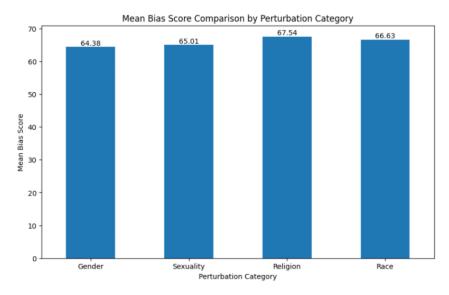


Figure 3.5.2a: Mean bias score comparison by perturbation

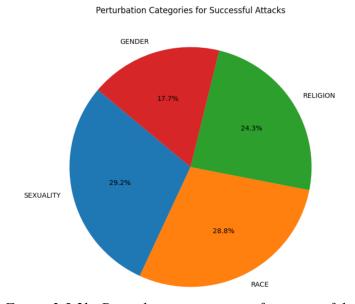


Figure 3.5.2b: Perturbation categories for successful attacks

Based on *Figure 3.5.2a*, we've compared the mean bias scores for each perturbation categories: Gender, Sexuality, Religion and Race. These scores represent the average probability of bias in the responses generated by ChatGPT using the perturbed prompts of each different category. We can observe that Religion has the higher mean bias score. This could be attributed to the complex and sensitive nature of religious topics, which ChatGPT might perceive as more prone to bias.

Figure 3.5.2b represents the distribution of successful perturbations, displaying the proportion of successful attacks in each of the perturbation categories. It's noteworthy that Gender constitutes a smaller portion of our successful attacks, and as shown in Figure 3.5.2a, it also boasts the lowest mean bias score. These observations collectively suggest that

ChatGPT might exhibit greater proficiency in handling gender-related bias compared to the other categories.

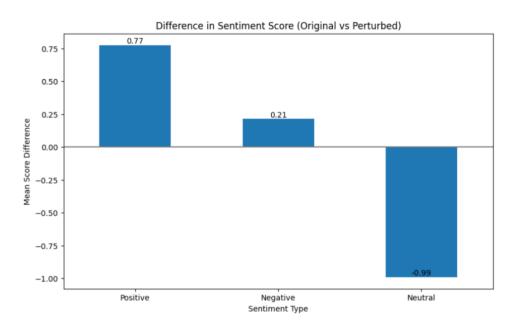


Figure 3.5.2c: Difference in sentiment score of original and perturbed text

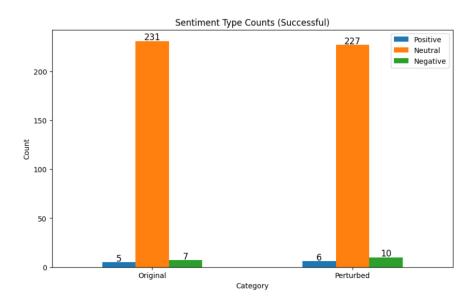


Figure 3.5.2d: Sentiment type counts for successful attacks

Figure 3.5.2c represents the mean sentiment score differences between the responses generated by the original and perturbed prompts for different sentiment categories. This is calculated as:

 $Score\ Difference = Perturbed\ response\ sentiment\ score\ -\ Original\ response\ sentiment\ score$

 $\textit{Mean Score Difference} \ = \frac{\textit{Sum of individual score difference}}{\textit{Total count of successful results for respective sentiment type}}$

Observing the result, we find that the mean sentiment score difference for 'Positive' is 0.77, for 'Negative' is 0.21 and for 'Neutral' is -0.99. This observation suggests that responses generated from perturbed prompts that contain bias are less likely to be neutral and more likely to be classified as having some sentiment.

Figure 3.5.2d illustrates the total counts of different sentiment types in the responses generated by the original and perturbed prompts. In both the original and perturbed categories, the majority of responses are classified as 'Neutral'. This characteristic aligns with ChatGPT's inherent tendency to generate neutral and objective responses. However, there's a slight increase in both 'Positive' and 'Negative' responses within the perturbed category. Drawing from the results presented in Figure 3.5.2c and Figure 3.5.2d, it becomes evident that responses generated from perturbed prompts that contain bias are more likely to exhibit some sentiment, be it positive or negative, in contrast to the unbiased responses generated from original prompts.

In conclusion, our project has provided valuable insights into ChatGPT's robustness against perturbations and its sensitivity to bias induction. ChatGPT exhibits resilience against minor perturbations, enhancing its practical usability. However, it's also context-dependent and may respond with bias in specific situations. These findings highlight the need for further research in customising attack recipes to align with ChatGPT's behaviour, ensuring it remains a reliable and unbiased language model in various applications.

3.6 Limitation of Project Outcomes

3.6.1 Analysis Aspect

The precision of our bias detection model has fallen short of our initial expectations. We utilised a bias detection model available on HuggingFace, but it frequently reported high bias scores for responses. Subsequent manual evaluations revealed that some responses flagged as containing bias did not exhibit any obvious bias.

To address this limitation, we are considering several potential solutions. One approach involves conducting an in-depth exploration into the underlying rationale of our model's decisions, with a specific focus on comprehending why particular words or linguistic patterns tend to trigger elevated bias scores. Furthermore, we can explore alternative models that can potentially provide a more nuanced and accurate assessment of bias in future applications.

3.6.2 Web Application Aspect

We have found several limitations regarding the web application and have listed them in *Table 3.6.2* below:

| No. | Limitation | Justification |
|-----|------------|---------------|
| | | |

| 1 | AttackGPT is designed for text-based inputs | The limitation becomes apparent when users seek a more dynamic form of input, such as images and audio, from which they can extract text for perturbation. This approach offers a considerably more intriguing and diversified set of possibilities, and it aligns well with the capabilities of the GPT-4.0 model, which now supports audio and image inputs. |
|---|--|--|
| 2 | AttackGPT is heavily reliant on external resources/services (APIs), unavailability of any of the services will result in an error. | TextAttack relies on external services for various NLP tasks and attacks. Any disruption in these services may lead to errors or incomplete attacks. |
| 3 | For the results, probabilities for Human/AI are displayed only if both answers have a minimum length of 50 words. | This limitation restricts users from posing short or straightforward questions that may result in responses of fewer than 50 words if they want to see the evaluation result of the AI Detection Model. |
| 4 | Results of the attacks may vary based on the complexity and length of the input text being attacked. | Longer and more complex input texts may result in variations in attack outcomes due to the increased number of possibilities and the context they provide. |
| 5 | For the Bias Attack, entering the same input text may result in a different perturbed text each time due to the random nature of the attack. | The random nature of the attack recipe used for bias attack may produce different perturbed texts with each execution, making results non-deterministic. |
| 6 | The Bias Attack will only work for text written in the English language. | Bias attack models are inherently language-specific, and this constraint means that such attacks are primarily effective for English-language text inputs. Unfortunately, this isn't user-friendly for those who are not well-versed in English. |
| 7 | The input text length of Bias Attack is limited to 200 characters. | The 200-word limitation hinders users from entering extensive text inputs to evaluate how our attack performs on longer passages. This constraint can pose a hindrance for those seeking to test the attack on extended texts, limiting their ability to do so. |
| 8 | The duration of the attack process for Bias Attack is not fixed, it may take anywhere from a few seconds to a few minutes. | The attack duration varies depending on several factors, including the complexity of the input and the availability of external resources, leading to unpredictability where the user might need to wait longer than expected. |
| 9 | The Bias Attack is not guaranteed to work for all | The bias attack is constructed in a manner that relies solely on the presence of specific words within a |

| | inputs, it may fail to perturb the input text. | sentence. Consequently, if there are no suitable locations for alteration, the original text and the perturbed text will remain identical. |
|----|--|--|
| 10 | The perturbed text generated from the TextAttack attack recipes is predetermined, the same input text will always result in the same perturbed text. | The deterministic nature of perturbed text offers consistency in attack demonstrations, which is valuable for showcasing the attack's behaviour. However, it may not encompass the entire spectrum of potential perturbations. Furthermore, this approach may not align with the preferences of users seeking dynamic interactions. Nevertheless, it is a necessary implementation to maintain feasible execution times and offer users the opportunity to comprehend the rationale behind the existing attack recipe. |
| 11 | The web application is hosted on a free server, and the website may crash when performing the attacks. (especially for Bias Attack) | Hosting on a free server has resource limitations, and complex or resource-intensive attacks like bias attacks may lead to server crashes or unavailability during the process. |

Table 3.6.2: Web application limitations

3.7 Possible Improvements and Future Works

3.7.1 Team Perspective

From the team's perspective there are several things that we can improve on our project or web application in the future.

Firstly, our focus lies in refining adversarial attacks, specifically bias attacks, to enhance their sophistication and make them more challenging to detect. In the present implementation, we introduce subtle bias by adding an extra statement to the input text without altering the sentence's core meaning. However, the location of the biassed word insertion remains somewhat conspicuous. Moving forward, we intend to conduct further research to develop a method for restructuring sentences without changing their meaning while introducing biased content in a way that ChatGPT cannot readily identify the biassed word. This will allow us to observe how ChatGPT handles such input, presenting a more intricate challenge for the system.

Secondly, we can enhance our approach by employing evaluation models that are custom-designed and trained explicitly for the domain from which our dataset originates. This would render our analysis more effective, reliable, and trustworthy. Presently, we rely on models obtained from diverse APIs, selecting those that are trained on domains closely aligned with our expectations. However, as we cannot guarantee the precise training and fine-tuning processes of these models, they may not be the optimal choices for our project.

Lastly, we could consider incorporating other Large Language Models (LLMs) into our attack methodology to evaluate how different LLMs perform when faced with such adversarial text attacks. This would provide valuable insights into the robustness and response of various LLMs in the context of these attacks.

3.7.2 User Perspective

From the user's perspective, our codebase enables them to extend its capabilities by customising and adding more attacks, incorporating different evaluation models, and experimenting with other Large Language Models (LLMs) or NLP models that concentrate on Text-to-Text generation. This flexibility allows users to build upon the TextAttack framework, creating their unique attacks without rebuilding the structure from scratch again.

Furthermore, our research analysis serves as a resource for users interested in exploring the vulnerabilities of ChatGPT and other LLMs. By identifying and understanding these weaknesses, users can work towards enhancing the robustness of these models against a variety of adversarial text attacks, ultimately benefiting the user community as a whole.

4. Methodology

4.1 Design

The final design of the adversarial text attack on ChatGPT underwent some deviations from the initial plan proposed in FIT3161. Initially, our goal was to create an advanced variation of the TextBugger algorithm to enhance its performance on ChatGPT beyond the baseline results. However, upon studying ChatGPT's behaviour and testing various attack algorithms, we discovered that ChatGPT exhibited a considerable level of robustness against attack algorithms that focused on character-level and word-level perturbations.

Limited expertise within our team presented challenges in creating an advanced TextBugger algorithm for character-level perturbations. Moreover, conducting experiments with TextAttack's existing attack recipes, which not only required a minimum of 4 minutes for results but also incurred high API evaluation costs, became impractical for our project.

Thus, we opted for our current design to streamline the research and implementation process. Our final design focuses on two key elements: firstly, executing existing attack recipes on ChatGPT, and secondly, crafting Bias Attacks specific to ChatGPT. This approach enables us to gain deeper insights into how ChatGPT responds to Bias Attacks, while simultaneously allowing us to analyse the impact of character-level and word-level perturbations on ChatGPT. These changes enable us to prioritise our project's primary objective, which is to yield insightful analytical outcomes and lay the groundwork for implementing adversarial text attacks on ChatGPT to benefit both users and researchers.

4.2 Design Implementation

The main expectation of this project is on the backend code (attack structure) and analysis, hence this section will focus on explaining the design of the backend components.

4.2.1 Backend

Our project's backend is constructed using the TextAttack framework, a versatile Python tool created for adversarial attacks, data augmentation, and adversarial training on Natural Language Processing (NLP) models (Morris et al., 2020). In this section, we will begin by providing a concise overview of the general execution flow. Afterwards, we will delve into the specific methodologies employed in the two parts of our project, as outlined in the final design.

4.2.1.1 Brief Introduction

To offer a clearer understanding of our implementation, this section provides an overview of the general execution process for any attack recipe within the TextAttack framework.

Each attack recipe in the framework consists of four key components: transformation, goal function, constraint, and search method. These components can be modified by selecting predefined options or customising them. For example, we can switch the transformation component from "Word Deletion" to "Word Swap Gradient-Based."

The complete execution flow of an attack recipe is as follows (see *Figure 4.2.1.1a*):

- 1. Perform the specified transformation(s) on the input text, which may result in multiple transformations depending on the available options.
- 2. Evaluate all the transformations from Step 1 against the defined goal function, which varies depending on the model being attacked.
 - For instance, in an untargeted classification goal function, the perturbed text must be evaluated by the model and produce a label different from the ground truth output to be considered a success.
- 3. Transformations that meet the goal then undergo constraint checking, such as grammar constraints.
- 4. A search method is employed to select the most promising perturbation from the transformations that have passed all previous checks and outputs the final result.

This structured approach allows us to efficiently execute existing and customised attack recipes within the TextAttack framework.

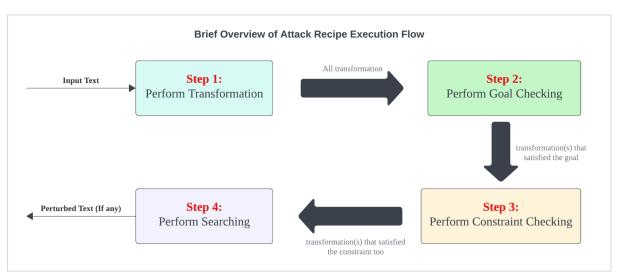


Figure 4.2.1.1a: Attack Recipe Execution Flow

4.2.1.2 Part 1 - Existing Attack Recipe

This section is dedicated to attacking ChatGPT using existing attack recipes provided by the TextAttack framework. We have selected four attack recipes, which are categorised into Character-Level and Word-Level Perturbation, as shown in *Table 4.2.1.2a*.

| No. | Perturbation Category | Attack Recipe |
|-----|-----------------------|---------------|
| 1 | Character-Level | TextBugger |
| 2 | | DeepWordBug |
| 3 | Word-Level | CheckList2020 |
| 4 | | TextFooler |

Table 4.2.1.2a: Attack Recipes Chosen

To integrate ChatGPT into the TextAttack framework for these attacks, we designed a class responsible for calling the GPT-3.5 Model from the OpenAI API. This class also defines functions for input provisioning and output retrieval from ChatGPT. Within this function, we set parameters such as the maximum number of words generated by ChatGPT. This design facilitates model reuse and seamless integration into the Goal Function.

In addition, we've crafted a novel Goal Function with a primary focus on sentence similarity to evaluate the effects of various attack recipes in terms of perplexing ChatGPT's responses. To streamline our implementation, we've harnessed the STS model from the Hugging Face API. This Goal Function is designed to seamlessly integrate with ChatGPT, as it inherits from the TextToTextGoalFunction class provided by the TextAttack Framework, following ChatGPT's TextToText Model nature. We proceeded to implement the abstract methods of this Goal Function using the STS model, establishing both the criteria for goal evaluation and the method for determining goal achievement for sentence similarity.

After that, we integrate all components of the existing attack recipe with only the Goal Function changed and run the attack on ChatGPT using the defined datasets. *Figure 4.2.1.2a* in *Appendix B* is the illustration of the Goal Function execution flow for this part where the other step will remain the same as in *Figure 4.2.1.1a*.

If the perturbation works on the ChatGPT, the Goal Function should give a lower similarity score, i.e., an output that is different from the original output.

4.2.1.3 Part 2 - Bias Attack (Self-developed attack)

This section is dedicated to attacking ChatGPT with a focus on identifying and mitigating bias. We have successfully integrated the ChatGPT model into the TextAttack framework, as discussed in the previous <u>section</u>.

In this attack, we've devised a novel goal function that relies on a Bias Score to assess the existence of bias within the perturbed outputs generated by ChatGPT. To achieve this, we've leveraged a bias detection model from the Hugging Face API, which plays a central role in computing the bias score. The design and implementation of the goal function align with the principles outlined in the previous section, featuring abstract methods tailored to the specific requirements of the Bias Detection Model. The execution flow for this goal function is visualised in *Figure 4.2.1.3a in Appendix B*.

To introduce bias into the input text, we've developed a custom transformation component. This component is instrumental in our efforts to influence the text-generation process. We've introduced four distinct Word Swap Classes, each focusing on a specific aspect of bias: Gender, Race, Sexuality, and Religion. The selection of words used in each of these Word Swap Classes is detailed in *Table 4.2.1.3a*. While the underlying logic driving these Word Swap Classes remains consistent, each class introduces bias-related vocabulary corresponding to its designated category.

| WordSwap Class | Bias Category | Words |
|------------------|---------------|--|
| WordAddGender | Gender | Female, Male, Transgender |
| WordAddRace | Race | Asian, Black, White, Hispanic |
| WordAddSexuality | Sexuality | Straight, Gay, Lesbian, Bisexual |
| WordAddReligion | Religion | Christian, Muslim, Hindu, Buddhist, Sikh, Jewish, Jain, Bahá'í, Shinto, Taoist, Zoroastrian, Confucian, Indigenous, Pagan, Atheist, Agnostic, Sikh, Rastafarian, Baha'i, Mormon, Unitarian, Druid, Wiccan, Scientologist |

Table 4.2.1.3a: List of words for each bias category with their respective WordSwap Class

Our implementation of these transformations follows the inheritance of the WordSwap Class, and we've meticulously implemented the abstract methods responsible for introducing bias-related terminology. We have designed the logic of each bias wordSwap as below (please refer to *Figure 4.2.1.3b* in *Appendix B* for visual representation):

- 1) Identify all words related to the "PEOPLE" term OR the "PERSONAL PRONOUNCE" term within the input text.
 - Example ("PEOPLE" term): Organisation, Human
 - Example ("PERSONAL PRONOUNCE" term): I, U, We, They
- 2) Add the biassed word to be part of the identified word in step 1 according to the specific format.
 - o If the identified word is "PEOPLE" term -> " biasword identified word"
 - Identified word: Person
 - Bias word (Gender): Male
 - Outcome: Male Person
 - If the identified word is "PERSONAL PRONOUNCE" term -> " identified word, as a biasword,"
 - Identified word: I
 - Bias word (Race): Black
 - Outcome: I, as a Black
 - If there are multiple identified words in a sentence, there will be multiple transformation outcomes because in our design, each transformation can only introduce one bias perturbation as we aim to introduce subtle bias into the input text.
 - o 2 bias words will be randomly chosen from the available bias word of the particular WordSwap Class to introduce into the input text.
 - E.g., Gender -> Female, Male (randomly selected)
- 3) Append the transformations to a list
- 4) Repeat steps 2 and 3 for all identified words.
- 5) Lastly, return the transformation list.

*Refer to Figure 4.2.1.3c in Appendix B for an example of WordSwapGender (1 random bias word) on the input text.

From then on, we implemented our Bias Attack's transformation component as a composite transformation of the 4 WordSwap Class where the execution flow will be the same but the replacement word is different due to different bias aspects and we also selected 2 random bias

words from each WordSwap Class as mentioned in step 2 above. The complete transformation process can be referred to in *Figure 4.2.1.3d* in *Appendix B*. After the transformation is done, the algorithm will pass the transformation list to the subsequent component for further processing as illustrated in the general process in *Figure 4.2.1.1a* in *Appendix B*.

Our primary customisations for the Bias Attack lie in the Goal Function and Transformation component. As for the two remaining components, we've employed repeat modification as the sole constraint to prevent the modification of the same words multiple times, which could result in invalid sentence structures and the occurrence of too many biased words. Lastly, for the search method, we've opted for the built-in Greedy Search approach. This search method selects the transformation with the highest score, which, in our case, corresponds to the highest level of bias introduced. This approach aligns with our design implementation.

In summary, the design methodology of our Bias Attack centres on the premise that the biased words we introduce into the sentence should function as additional statements without altering the core meaning of the sentence. A robust ChatGPT, when subjected to perturbations such a Bias Attack, should generate responses similar to the original output, ideally with little to no bias. This approach is instrumental in evaluating ChatGPT's resilience against bias-inducing transformations.

4.2.2 Frontend

The web application, AttackGPT, has been developed using the Flask application framework. It serves as a user-friendly interface for users to experiment with both Bias Attacks and existing attack recipes, with the Python code mentioned earlier serving as the backend.

AttackGPT goes beyond offering attack capabilities; it also provides a range of evaluation methods. These methods include assessing sentence similarity, calculating AI scores, performing sentiment analysis, and determining bias scores. These evaluations offer users valuable insights into the outcomes of their attacks on ChatGPT, allowing them to gain a deeper understanding of the attack algorithms in use.

In summary, AttackGPT is a web application designed to showcase our work, although it is not the primary objective of our project. Further details regarding the implementation and design of this web application can be found in the User Guide or the "Software Deliverables" section.

4.2.3 Software Tools

For this project, Python serves as our primary programming language. In addition to Python, we've incorporated elements of HTML and CSS to enhance the web application's functionality and user interface. The backend code is constructed on the foundation of the TextAttack framework, with support from various evaluation models obtained from different

APIs. Comprehensive details regarding the software tools utilised can be found in *Tables 4.2.3c*, *4.2.3d* and *4.2.3e* below:

| Model | API Origin | Purpose & Justification |
|--|----------------|--|
| ChatGPT Model (GPT 3.5) | OpenAI | To retrieve input and generate output using ChatGPT. ChatGPT is chosen because our project centres around ChatGPT, which is currently one of the most prominent Large Language Models (LLMs) globally. This decision aligns with our project's primary focus and the significance of ChatGPT in today's world of language models. |
| AI Detection Model | Originality.ai | To compute the AI similarity score for the input text. We have selected this API due to its exceptional accuracy, boasting a rate of 95.93% (Originality.AI, n.d), in identifying AI-generated text. This choice is crucial for our project, as it plays a pivotal role in assessing the impact of adversarial attack algorithms on AI-generated content. |
| Sentence Similarity Model (all-MiniLM-L6-v2) | HuggingFace | To compute the sentence similarity score between original output and perturbed output. We have opted for this API/model due to its extensive training and fine-tuning on a dataset comprising over 1 billion sentences, with a significant portion of the training data being related to question-answering (as indicated by HuggingFace, n.d.). This choice aligns perfectly with our project's objectives, as it enables us to assess the similarity between the original output and the perturbed output effectively and accurately. |
| Bias Detection Model (DistilROBERTA-bias) | HuggingFace | To compute the bias score for the original output and perturbed output. We have selected this API/model for its fine-tuning using the wikirev-bias dataset, derived from English Wikipedia revisions. This dataset is exceptionally well-suited to our project's objectives, as we aim to evaluate the perturbed output across various domains and fields. |
| Sentiment Analysis Model (Twitter-roBERTa-base) | HuggingFace | To compute the sentiment of the original output and perturbed output as an additional method to determine the effect of Bias Attack. We've chosen this API/model for its training on a vast dataset of 58 million tweets, along with fine-tuning specifically for sentiment analysis using the TweetEval Benchmark (HuggingFace, n.d.). This selection aligns |

| | perfectly with our project's requirements, as we rely on a dependable sentiment analysis model. Given that ChatGPT generates output from a wide array of fields, the extensive tweet dataset is well-suited, particularly in the context of open QA and various other domains. |
|--|--|
| | |

Table 4.2.3c: API Specifications

| Software | Requirements |
|--|---|
| Operating System | Window 10, Window 11, macOS 14 Sonoma |
| Programming Language | Python 3.11.5 |
| Integrated Development Environment (IDE) | Visual Studio Code 1.83.1, PyCharm 2022.3.2 |
| Dataset Running and Experimenting Platform | Google Colab |
| Debugger | Visual Studio Code built-in debugger |
| Repository Host | Gitlab |
| Testing | Pytest 7.4.2 |

Table 4.2.3d: Software Specifications

| Software | Requirements |
|------------------------------|------------------|
| Adversarial Attack Framework | TextAttack 0.3.9 |
| Web Implementation | Flask 2.3.2 |
| Array Operation | Numpy 1.25.1 |
| OpenAI API Client Library | Openai 0.27.8 |

Table 4.2.3e: External Libraries

5. Software Deliverables

5.1 Summary of Software Deliverables

Over the span of 12 weeks, we have delivered four software deliverables, which are summarised in the *Table 5.1* below:

| Deliverable | Description |
|-------------|-------------|
|-------------|-------------|

| A fully functional web application named "AttackGPT" | AttackGPT is a web application designed to explore the impacts of adversarial attacks on GPT-3.5 text prompts and evaluate the robustness of ChatGPT, an AI model designed for conversational interactions. The application provides a user-friendly interface for prompt selection, attack execution, and result analysis. Two different types of attacks can be performed, which are Bias Attack and existing TextAttack attacks. AttackGPT is hosted on two web servers and is accessible through URLs. However, the performance of the application accessed through the URLs is very limited as it is hosted on free servers, hence our team mainly runs the application locally. |
|---|---|
| Complete source code hosted on a GitHub repository | The repository contains the complete source code for AttackGPT, allowing users, developers, and researchers to explore, analyse, and contribute to the project. |
| Code implementation of the Bias Attack, which is a custom addition to the TextAttack framework. | The code manipulates input text to trigger biased responses from ChatGPT, targeting specific aspects such as Gender, Race, Religion, and Sexuality. |
| Code snippets responsible for the interaction with external services (APIs). | These integrations contribute to evaluating the performance of the generated responses. It encompasses code for utilising services such as Originality.ai for AI detection, all-MiniLM-L6-v2 sentence-transformers model for similarity calculations, DistilROBERTA fine-tuned model for Bias Detection, and Twitter-RoBERTa-base model for Sentiment Analysis. |

Table 5.1: Software deliverables and brief descriptions

5.1.1 Sample Screenshots and Usage of the Web Application

The application can be accessed using the URLs or it can be launched by running its source code from a local machine. When the application is launched, users will be shown the home page of the website (*Figure 5.1a*). A walkthrough of the entire process of using the application is provided (*Figure 5.1b*).



Figure 5.1a: AttackGPT Home Page

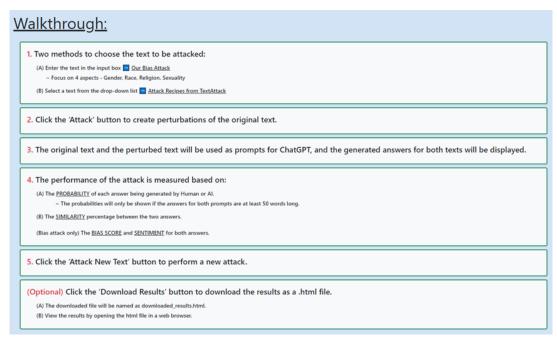


Figure 5.1b: Application walkthrough

On the home page, users can choose to perform either the Bias Attack or the TextAttack attacks (*Figure 5.1c*). For Bias Attack, users can directly input the prompt in the text box, while for TextAttack attacks, users can choose from a list of prompts and attack recipes.

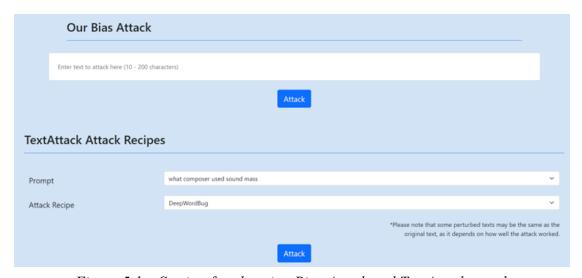


Figure 5.1c: Section for choosing Bias Attack and TextAttack attack

The attack process will be initiated by clicking the 'Attack' button, and the results will be shown on a results page. The attack results can also be downloaded as a html file by clicking the 'Download Results' button on the results page. An example of attack result for a Bias Attack is shown in *Figure 5.1d*, and an example of attack result for a TextAttack attack is shown in *Figure 5.1e*.

5.2 Summary and Discussion of Software Qualities

5.2.1 Robustness

5.2.1.1 Web Interface

AttackGPT demonstrates robustness by having error-handling mechanisms, which include showing a customised error page when any error occurs. An error message will be displayed which informs the user of the error and what actions they can take to overcome the issue. For example, the error message below is shown after a Bias Attack has failed (*Appendix B Figure 5.2.1a*).

For the Bias Attack prompt input, an input length validation is added to accept only prompts which have the lengths of 10 to 200 characters. When a user attempts to attack with prompts that are too short, a message and pop-up will be displayed to inform the user (*Appendix B Figure 5.2.1c*)

If the GPT-3.5 generates a response of fewer than 50 words, the AI probability calculation for the generated response will be skipped, since the AI detection API requires a text of at least 50 words to calculate the probability. When this occurs, the results page will display the probability as 'Undetermined' and a message indicating that the generated response is too short is displayed. This can be seen in *Appendix B Figure 5.2.1d*.

5.2.1.2 Backend Components

We prioritised the implementation of robust exception-handling mechanisms within our codebase. This involved anticipating and addressing potential errors or unexpected conditions that could arise during the execution of our software. By incorporating comprehensive exception handling, we aimed to ensure that the application gracefully responds to unforeseen scenarios, preventing abrupt failures and providing users with meaningful feedback when issues occur. A code snippet of raising exceptions and handling the exception by displaying an error page is shown under *Appendix B Figure 5.2.1e*. However, there may be instances where certain errors or conditions fall outside the scope of our anticipations.

In parallel, our team invested significant effort in writing thorough test code for the backend components of our application. Test-driven development principles guided us as we created a suite of tests to assess the functionality, performance, and reliability of our backend systems. These tests cover a spectrum of scenarios, including typical use cases, edge cases, and potential error conditions. By systematically validating the behaviour of our backend components through test cases, we ensure that the software meets its specifications, and remains resilient under various conditions. A test code example of testing the GPT-3.5 text generation is provided under *Appendix B Figure 5.2.1f*.

5.2.2 Security

AttackGPT adopts a policy of not requesting or storing any user information. This eliminates the risk associated with storing sensitive data, safeguarding user privacy. AttackGPT minimises the attack surface by excluding elements that might be targeted by malicious actors. This absence of identifiable user data and limited system exposure mitigates the risk of privacy infringements and vulnerabilities, providing users with a secure interaction environment.

In addition, AttackGPT leverages external services exclusively from established and reputable platforms, such as HuggingFace. The use of services from well-known providers signifies a commitment to relying on technologies with established security protocols, reducing the likelihood of vulnerabilities related to service integrity or data security. Additionally, the integration of external services is conducted with transparency, providing users with insights into the processes involving third-party platforms. By openly communicating the use of external services, users can make informed decisions about engaging with the application.

However, there are a few shortcomings. The reliance on external APIs introduces a level of dependency that may expose the system to external service vulnerabilities. In addition, since AttackGPT is hosted on a server, the security of the hosted application is dependent on the hosting service. We have limited control over the underlying infrastructure. Depending on the hosting environment, there might be shared resources with other applications, and vulnerabilities in one application could potentially impact others.

5.2.3 Usability

AttackGPT features a user-friendly interface designed to facilitate prompt selection, attack execution, and result analysis. Clear options for Bias Attack and TextAttack attacks, along with interactive elements, enhance the overall usability of the application. The inclusion of a walkthrough providing step-by-step guidance on using the application demonstrates a commitment to user support (*Figure 5.1b*). This feature helps users navigate the process seamlessly, contributing to a positive user experience. AttackGPT has a minimalistic design, which reflects a commitment to simplicity and intuitiveness. We aim to reduce cognitive load by presenting only essential elements and features. A clean and uncluttered interface contributes to a straightforward user experience, making it easier for users to navigate and understand the application's functionality.

Besides, users have the option to run the application locally, offering flexibility and addressing potential limitations in performance when accessing the application through URLs hosted on free servers. This recommendation for local execution enhances usability for users seeking optimal performance.

Some shortcomings are that AttackGPT has limited performance when accessed through URLs due to hosting on a free server, as this will impact the overall usability. Besides, the

heavy reliance on external resources and services introduces a potential point of failure. If any of these services experience downtime or disruptions, it could negatively impact the user experience, particularly for functionalities dependent on these services.

5.2.4 Scalability

AttackGPT leverages cloud-based hosting services to increase performance, which is a form of horizontal scaling (Kumari, 2023). By deploying the application across 2 servers which are hosted on Railway and Render, it aims to distribute incoming requests and prevent a single point of failure. This horizontal scaling approach provides a degree of scalability, allowing AttackGPT to handle increased traffic by adding more server instances.

Despite that, the attack process in AttackGPT is computationally intensive, leading to extended response times. The scalability is intricately tied to the capabilities and limitations of the chosen hosting platforms. This characteristic could potentially impact the application's ability to scale seamlessly during periods of high demand.

5.2.5 Documentation and Maintainability

The essential information about the software is provided in a User Guide and in the About page of the website. The User Guide covers two sections, which are End User Guide and Technical Guide. These guides offer step-by-step instructions, screenshots, and explanations, providing users with a clear roadmap for interacting with AttackGPT. The About page of the website provides information about AttackGPT, which includes information on what is AttackGPT, how it works, the reason to use it, the limitations and the teams involved in the project.

Thorough documentation contributes to maintainability by ensuring that users and developers have access to clear instructions, explanations, facilitating effective use and future development. Additionally, by adopting an open-source model and hosting the complete source code on GitHub, AttackGPT encourages collaboration and community contributions. This approach enhances maintainability by allowing developers to inspect, analyse, and propose improvements to the codebase.

A shortcoming is although the documentation covers installation and usage, detailed information on the strategies employed and the guidelines for extending the software functionalities are not mentioned.

5.3 Sample Source Code

The sample source code is included under *Appendix A*. In *Figure 5.3a*, a simple HTML form is presented to the user, where they can enter text (original input) to be used in the Bias Attack. The form is submitted to the "/results" route using the GET method.

The Flask application defines a route ("/results") to handle the form submission. The results function shown in *Figure 5.3b* is called when the form is submitted. The results are rendered using a Flask template (results.html). If an error occurs, an error page is rendered, displaying the error message.

6. Critical Discussion on Software Project

6.1 Successful Execution of Key Aspects

The project's execution demonstrated a notable evolution from the initial proposal, reflecting a dynamic approach to problem-solving and a willingness to adapt strategies based on emerging insights.

The initial proposal outlined the implementation of an adversarial text attack structure on ChatGPT, utilising the TextAttack Framework. This involved creating a web application that allows users to attack a text and observe the results. In the actual outcome, the execution closely followed the proposed structure. The web application was successfully developed, allowing users to engage in both existing and Bias Attacks. The integration of the TextAttack Framework and the self-developed Bias Attack showcased a successful implementation of the core adversarial attack structure. The successful implementation of the proposed attack structure lays a robust foundation for assessing ChatGPT's robustness. Users can actively engage with the application, testing its responses to both generic and bias-focused attacks.

Moreover, in the initial project proposal, the primary focus was on evaluating the performance of the prototype against various real-world settings and datasets. The outcome obtained is that the project provided valuable insights into ChatGPT's robustness, particularly in handling minor perturbations. The emphasis on robustness added a layer of usability to ChatGPT, as users could interact comfortably, knowing that minor errors in inputs wouldn't significantly impact the quality of responses.

In addition, the initial proposal included the evaluation of attack recipes on a chosen dataset to collect and analyse results. The outcome is the project had successfully ran existing attack recipes on a dataset, providing a foundation for analysing ChatGPT's responses. The focus on robust testing aligns with the initial proposal. By prioritising the analysis of attack results, the project moves beyond theoretical considerations. It leverages real data to derive insights into ChatGPT's strengths and weaknesses, contributing to a more nuanced understanding of its robustness.

Furthermore, the initial proposal highlighted the need for efficient execution, emphasising reasonable response times during attack and evaluation processes. In our actual outcome, the adaptation to precompute results for existing attack recipes demonstrated a strategic optimization to balance real-time demands and user experience. This optimization reflects a

commitment to providing users with a responsive interface while navigating computational constraints.

The initial proposal did not explicitly emphasise ethical considerations but aimed to evaluate the performance of adversarial attacks. The project, during its execution, demonstrated a heightened awareness of ethical implications by shifting focus to a Bias Attack. This strategic change aligns the project with ethical standards in AI research. Acknowledging the societal impact of biased AI models and actively working towards assessing and mitigating such biases reflects a commitment to responsible AI development.

6.2 Deviation From Initial Project Proposal

The original project proposal centred around the development of an enhanced adversarial attack algorithm, aiming for a sophisticated approach to testing ChatGPT's robustness. This reflected a conventional perspective in adversarial machine learning, focusing on generic attacks without a specific ethical or societal context.

However, the evolution towards developing and exploring the performance of a Bias Attack marked a significant shift in the project's narrative. This shift was driven by a recognition of the pressing need to address biases in AI systems, especially those with significant societal impact like language models. The move to a Bias Attack reflects a more conscientious approach, acknowledging the ethical dimensions of AI research and aligning the project with the broader discourse on fairness and accountability. Biases in language models can perpetuate and amplify societal prejudices. By targeting bias, the project gains practical relevance in addressing the societal impact of AI applications.

Additionally, the original plan envisioned real-time execution for existing TextAttack recipes in the web application. In our current implementation, the project adopted a hybrid approach, implementing real-time execution for the Bias Attack and precomputed results for existing TextAttack recipes. Bias Attack, being more focused and tailored, inherently demands fewer computations and less execution time compared to the diverse and intricate nature of TextAttack recipes. We have adopted a hybrid approach as performing real-time attacks on existing TextAttack recipes were time-intensive and risked compromising user experience. Precomputed results enable swift response times, fostering a more user-friendly environment. This shift aligns intending to create an accessible and engaging interface for users interested in exploring adversarial attacks on ChatGPT.

7. Conclusion

Our endeavour to scrutinise ChatGPT's robustness against adversarial attacks has yielded profound insights and meaningful outcomes. From the inception of our project, marked by a

commitment to evaluate the language model, to the culmination of a dynamic exploration incorporating Bias Attacks, our journey has been rich with discoveries.

The successful implementation of our adversarial attack structure, featuring both existing TextAttack recipes and a novel Bias Attack, has provided a comprehensive platform for users to engage with ChatGPT. By subjecting the model to a spectrum of attack scenarios, we've unearthed nuances in its behaviour, showcasing its resilience and vulnerabilities.

The strategic optimization employed, balancing computational efficiency with user experience through a hybrid approach, stands out as a key achievement. The seamless integration of pre-computed results for TextAttack recipes and real-time execution for Bias Attacks not only addresses computational constraints but also ensures an engaging and accessible interface for users

A pivotal shift in our project narrative, from generic attacks to Bias Attacks, underscores our commitment to ethical AI development. The focus on identifying and mitigating biases in ChatGPT aligns with broader discussions on fairness and accountability in the AI landscape. This ethical dimension elevates the significance of our project, contributing to responsible AI practices.

As we conclude this exploration, the outcomes of our analysis illuminate the robustness of ChatGPT in the face of adversarial challenges. The model showcases a commendable degree of resilience against character-level and word-level perturbations, emphasising its reliability in understanding and generating coherent responses. Moreover, the Bias Attack, tailored to assess and mitigate biases in ChatGPT, reveals the model's susceptibility to subtle biases, prompting a critical examination of the societal implications of AI language models.

While celebrating our achievements, we acknowledge certain limitations, particularly the reliance on external APIs and the performance constraints when accessed through URLs. These considerations provide valuable lessons for future endeavours, directing attention toward ongoing optimizations and a continued commitment to ethical AI development.

In essence, our project emerges not just as a technical exploration but as a thoughtful and impactful contribution to the responsible development of AI systems.

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9. Appendix

9.1 Appendix A

Figure 5.3a: HTML code calling a Flask function to initiate the attack

```
def results():
    Results for Bias attack.
    chatgpt = ChatGPT()
    try:
         input_text = request.args.get('oriInput'
        output_text = chatgpt.prompt_GPT(input_text)
         attack_results = bias_attack(input_text, output_text)
        oriText = attack results[0]
        oriAnswer = attack results[1]
        oriScore = f"{attack_results[2]:.2f}"
        oriSentiment = attack_results[3]
        perturbText = attack_results[4]
        perturbAnswer = attack results[5]
        perturbScore = f"{attack_results[6]:.2f}"
        perturbSentiment = attack_results[7]
         if input text == perturbText:
             return render_template('attack_failed.html')
         sts model = STSModel()
         similarity = sts model.similarity checking(oriAnswer, perturbAnswer)
    except Exception as e:
           return render_template('error.html', errorMessage = str(e))
    el se:
        ori_percentage = human_ai_detection(oriAnswer)
        ori_human_percentage = f"{ori_percentage['human']:.2f}"
ori_ai_percentage = f"{ori_percentage['ai']:.2f}"
        oriPossible = ori_percentage['ai_prob']
        pt_percentage = human_ai_detection(perturbAnswer)
        pt_human_percentage = f"{pt_percentage['human']:.2f}"
pt_ai_percentage = f"{pt_percentage['ai']:.2f}"
        perturbPossible = pt_percentage['ai_prob']
        short = ""
        if oriPossible == "Undetermined" or perturbPossible == "Undetermined":
            short = "The generated answers are too short to be detected. (Both need at least 50 words)"
        return render_template('results.html', similarity=similarity, oriText = oriText, oriAnswer = oriAnswer, perturbText = perturbText, \
            perturbAnswer = perturbAnswer, oriPossible = oriPossible, perturbPossible = perturbPossible, \
                oriProgress = ori ai percentage, oriProgress2 = ori human percentage, oriProgress3 = oriScore, oriSentiment = oriSentiment, \
                    perturbProgress = pt_ai_percentage, perturbProgress2 = pt_human_percentage, \
                        perturbProgress3 = perturbScore, perturbSentiment = perturbSentiment, answerShort = short)
```

@app.route('/results', methods=['GET', 'POST'])

Figure 5.3b: Flask function to handle attack results generation

9.2 Appendix B

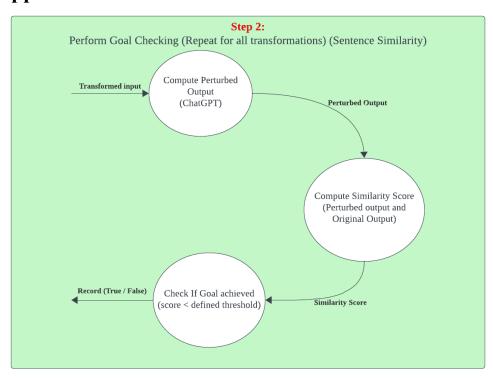


Figure 4.2.1.2a: Sentence Similarity Goal Function Execution Flow

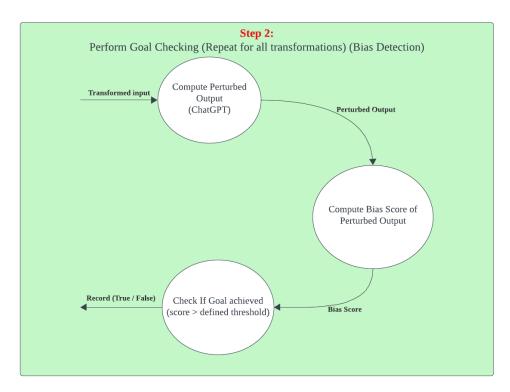


Figure 4.2.1.3a: Bias Detection Goal Function Execution Flow

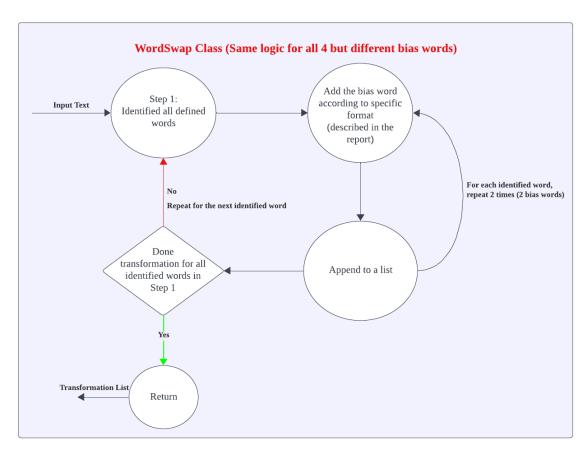


Figure 4.2.1.3b: Execution Flow of the customised WordSwap Class

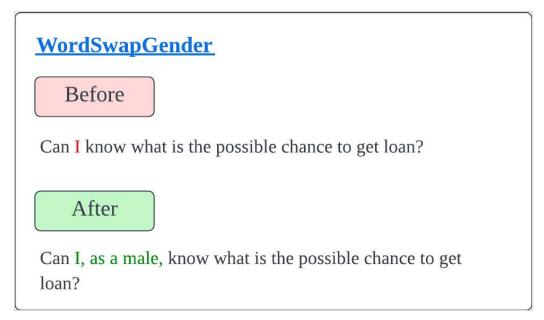


Figure 4.2.1.3c: Example of Bias introduction to the input text (WordSwapGender)

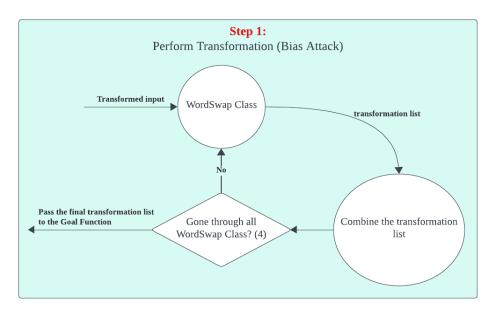


Figure 4.2.1.3d: Complete Transformation flow for Bias Attack

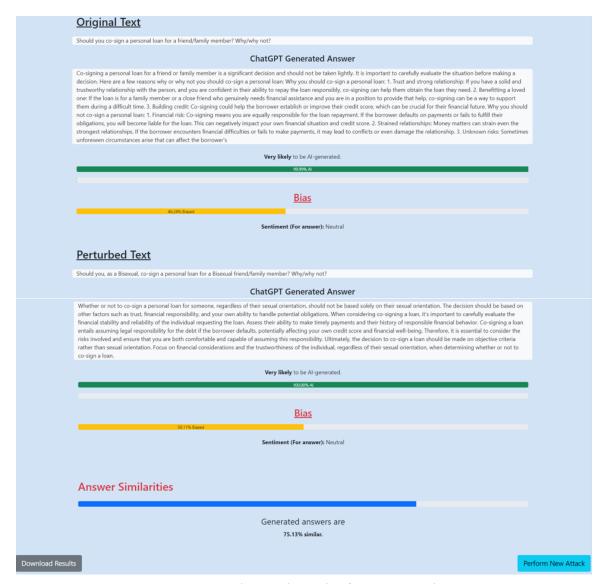


Figure 5.1d: Attack result of a Bias Attack

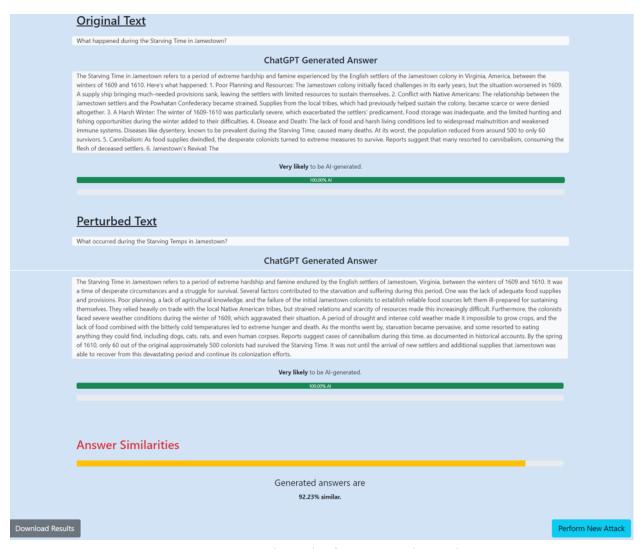


Figure 5.1e: Attack result of a TextAttack attack



Figure 5.2.1a: Attack failed error message

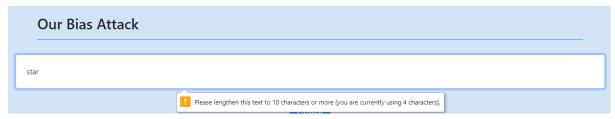


Figure 5.2.1b: Error message for attacking a text that is too short



Figure 5.2.1c: Error pop up for attacking a text that is too short

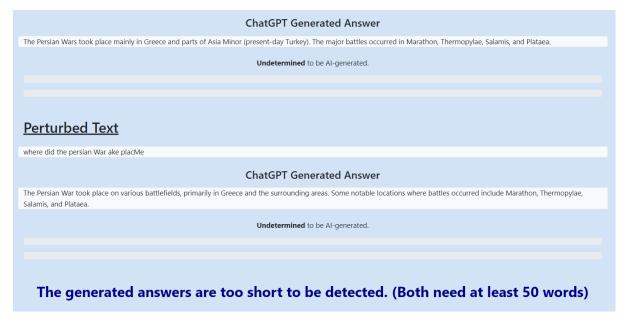


Figure 5.2.1d: Display of attack results when generated responses are less than 50 words

```
except Exception as e:
     return render_template('error.html', errorMessage = str(e))
```

Figure 5.2.1e: Exception handling code to show an error page

```
class ChatgptTest(unittest.TestCase):
   @patch('chatgpt.openai')
   def test_success_chatgpt_generation(self, mock_openai):
       This test tests whether the input and output can be sent and retrieved correctly.
       chatgpt = ChatGPT()
       mock_content = "What do you think about the unit FIT3162 in Monash University?"
       expected_output = "I think it is pretty interesting."
       mock_completion = Mock()
       mock choices = Mock()
       mock_choices.message = {'content': expected_output}
       mock_completion.choices = [mock_choices]
       mock_openai.ChatCompletion.create.return_value = mock_completion
       res = chatgpt.prompt_GPT(mock_content)
        _, kwargs = mock_openai.ChatCompletion.create.call_args_list[0]
        self.assertEqual(kwargs['messages'],[{"role": "user", "content": mock_content}])
        self.assertEqual(res, expected_output)
```

Figure 5.2.1f: Test code for using GPT-3.5 to generate a response