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Quantum Basics

Hilbert space : $\mathcal{H} = \mathbb{C}^{2^n}$

wave function : $|\phi\rangle \in \mathcal{H}$

- a spin- $\frac{1}{2}$ system, $\mathcal{H} = \mathbb{C}^2$, $\phi = \alpha|\uparrow\rangle + \beta|\downarrow\rangle$ $|\alpha|^2 + |\beta|^2 = 1$
- basic state : $|\uparrow\rangle = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$ $|\downarrow\rangle = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ $|\rightarrow\rangle = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 1 \end{bmatrix}$

pauli matrices : $\sigma_x = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ $\sigma_y = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}$ $\sigma_z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$

- $\sigma_i = \sigma_i^\dagger$: Hermitian
- $\sigma_i = \sigma_i^{-1}$: involutory
- $\sigma_i^2 = I$
- $|\sigma_i| = -1$: determinant
- $\text{Tr}(\sigma_i) = 0$: trace
- $\lambda = \pm 1$: eigen values, eigen vectors are positive negative axes in Bloch sphere
- $[\sigma_i, \sigma_j] = 2i\epsilon_{ijk}\sigma_k$: commutation, ϵ_{ijk} : Levi-Civita symbol
- $\{\sigma_i, \sigma_j\} = 2\delta_{ij}I$: anti-commutation, δ_{ij} : kronecker delta

Operators :

- **spin operator** : $\hat{S}_x = \frac{\hbar}{2}\sigma_x$ $\hat{S}_y = \frac{\hbar}{2}\sigma_y$ $\hat{S}_z = \frac{\hbar}{2}\sigma_z$
 - spin pointing along direction $\vec{e} = [e_x, e_y, e_z]$ $\vec{e} \cdot \hat{S} = \frac{\hbar}{2} \begin{bmatrix} e_z & e_x - ie_y \\ e_x + ie_y & -e_z \end{bmatrix}$
- **position operator** : $\hat{q}|\psi(q)\rangle = q\psi(q)$
- **momentum operator** : $\hat{p} = -i\hbar\frac{d}{dq}$
- **hamiltonian operator** : $\hat{H} = -\frac{\hbar^2}{2m}\nabla^2 + V$
- **kinetic operator** : $\hat{T} = \frac{(\hat{p})^2}{2m} = \frac{-\hbar^2}{2m}\nabla^2$
- **potential operator** : $\hat{V} = V$

Schrödinger equation : $i\hbar\partial_t|\psi(t)\rangle = \hat{H}|\psi(t)\rangle$

- for stationary problem : $\hat{H}|\psi\rangle = E|\psi\rangle$ $\psi(t) = e^{-iEt/\hbar}|\psi(0)\rangle$
- external potential : $i\hbar\partial_t\psi(\vec{r}) = -\frac{\hbar^2}{2m}\nabla^2\psi(\vec{r}) + V(\vec{r})\psi(\vec{r})$

Notation

- \hat{H} Hamilton operator
- E energy of the system
- V potential

Example

- harmonic oscillator : $\frac{1}{2}(\hat{p}^2 + \hat{q}^2)|\psi\rangle = E|\psi\rangle$
 - $V(\hat{q}) = \frac{1}{2}\hat{q}^2$
 - $\psi(q) = \frac{1}{\sqrt{2^n n! \sqrt{\hbar\pi}}} e^{-q^2/2} H_n\left(\frac{1}{\sqrt{\hbar}}q\right)$
 - $E = \hbar(n + \frac{1}{2})$

Density matrix : $\hat{\rho} = \sum_{i,j} p_{i,j} |\psi_i\rangle \langle\psi_j|$

- purity of the system $\text{Tr}(\hat{\rho}^2)$
- for a pure state without noise : $\hat{\rho}_{\text{pure}} = |\psi\rangle \langle\psi|$

Example

$$\hat{\rho}_{\rightarrow} = |\rightarrow\rangle \langle\rightarrow| = \begin{bmatrix} \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & \frac{1}{2} \end{bmatrix}$$

$$\text{Tr}(\hat{\rho}_{\rightarrow}) = 1$$

$$\hat{\rho}_{\uparrow\downarrow} = |\uparrow\rangle \langle\downarrow| = \begin{bmatrix} \frac{1}{2} & 0 \\ 0 & \frac{1}{2} \end{bmatrix}$$

$$\text{Tr}(\hat{\rho}_{\uparrow\downarrow}) = \frac{1}{2}$$

- unitary time evolution : $i\hbar\partial_t\hat{\rho}(t) = [\hat{H}, \hat{\rho}(t)]$
- thermal density matrix : $\hat{\rho}_\beta = \frac{1}{\sum_i e^{-\beta E_i}} \sum_i e^{-\beta E_i} |i\rangle \langle i| = \frac{1}{\text{Tr}(e^{-\beta \hat{H}})} e^{-\beta \hat{H}}$

measurement : $\langle \psi | \hat{A} | \psi \rangle = \text{Tr}(\hat{\rho} \hat{A})$

- measure non commute operator : $[\hat{A}, \hat{B}] = i\hbar \Leftrightarrow \Delta A \cdot \Delta B \geq \frac{\hbar}{2}$

Quantum 1-body problem

given V , we want to know ψ according to Schrödinger equation $-\frac{\hbar^2}{2m}\partial_x^2\psi(x) + V(x)\psi(x) = E\psi(x)$

Time-Independent 1 D Schrödinger equation

stationary assumption : $\psi(t) = e^{-iEt/\hbar} |\psi(0)\rangle$

$$-\frac{\hbar^2}{2m}\nabla^2\psi(x) + V(x)\psi(x) = E\psi(x) \rightarrow H\psi(x) = E\psi(x)$$

for special form

$$\psi''(x) + \frac{2m}{\hbar^2}(E - V(x))\psi(x) = 0$$

given V, m, E we want to know ψ

Numerov algorithm

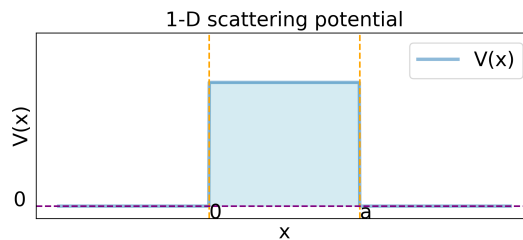
$$\left(1 + \frac{(\Delta x)^2}{12}k_{n+1}\right)\psi_{n+1} = 2\left(1 - \frac{5(\Delta x)^2}{12}k_n\right)\psi_n - \left(1 + \frac{(\Delta x)^2}{12}k_{n-1}\right)\psi_{n-1} + O[(\Delta x)^6]$$

$$k = \frac{2m}{\hbar^2}(E - V(x))$$

- **initial problem for symmetry** $V(x) = V(-x)$
 - $\psi(x) = -\psi(-x)$: half integer mesh with $\psi(-\frac{1}{2}\Delta x) = \psi(\frac{1}{2}\Delta x) = 1$
 - $\psi(-x) = -\psi(x)$: integer mesh with $\psi(0) = 0$ $\psi(\Delta x) = 1$
- **general** $V(x) = 0$ for $|x| \geq a$
 - $\psi(-a - \Delta x) = \exp(-\Delta x\sqrt{-2mE}/\hbar)$ $\psi(-a) = 1$

1D scattering problem

a particle approaching the potential barrier $V(x) \begin{cases} \neq 0 & x \in [0, a] \\ = 0 & \text{others} \end{cases}$ from the left



- wave function assumptions :
 - left ($x < 0$) wave function : $\psi_L(x) = \underbrace{Ae^{iqx}}_{\text{origin wave}} + \underbrace{Be^{-iqx}}_{\text{reflection}}$
 - right ($x > a$) wave function : $\psi_R(x) = \underbrace{Ce^{iqx}}_{\text{transmission}}$
 - where q is the wave number $q^2 = \frac{2m[E - V(x)]}{\hbar^2}$
- solution :

Algorithm

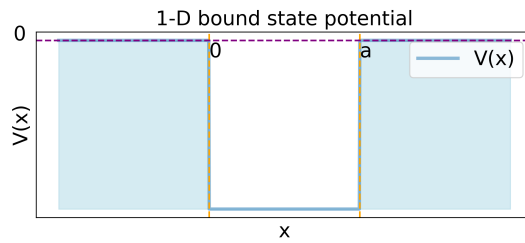
1. set $C = 1$, use Numerov algorithm starting at $a + \Delta x$ from right to left
2. match the numerical solution on the left $x < 0$ to determine A and B

• probability :

- reflection probaility : $R = \frac{|B|^2}{|A|^2}$
- transition probability : $T = \frac{|C|^2}{|A|^2}$

Bound state

particles are confined due to potential $V(x) \begin{cases} < 0 & x \in [0, a] \\ = 0 & \text{others} \end{cases}$



- **shooting method for eigen solver**

Algorithm

1. try a energy E
2. use numerov algorithm from $x = 0$ to $x_f \gg a$
3. satisfy $\psi_E(x_f) \approx 0$ then E is a eigenvalue else try another E

- **Improved Method - Integration from Both Sides**

Algorithm

1. try a position $b \in (0, a)$, that $E = V(b)$, $\frac{\partial^2 \psi_E}{\partial x^2} = 0$
2. use numerov from a to b as ψ_L and from 0 to b as ψ_R
3. satisfy $\frac{\psi'_L(b)}{\psi_L(b)} = \frac{\psi'_R(b)}{\psi_R(b)}$ (ψ_L, ψ_R are not normalized), then E is a eigenvalue else try another b

Time-independent nD Schrödinger equation

Factorization techniques :

- **along coordinate axes** : $\psi(\vec{r}) = \psi_x(x)\psi_y(y)\psi_z(z)$
- **spherical symmetry** : $\psi(\vec{r}) = \frac{u(r)}{r} Y_{lm}(\theta, \phi)$ $l \in \mathbb{N}_0, m \in \mathbb{Z}, |m| \leq l$

apply to the Schrodinger equation : $\left(-\frac{\hbar^2}{2\mu} \nabla^2 + \frac{\hbar^2 l(l+1)}{2\mu r^2} + V(r) \right) u(r) = E u(r)$

Notation

- l : azimuthal quantum number, magnitude of the orbital angular momentum
- m : magnetic quantum number, projection of the angular momentum vector along a chosen axis
- μ : mass

Solving methods :

- **finite difference**

Example : three dimensional Schrodinger

$$\begin{aligned} \nabla^2 \psi(\vec{r}) + 2m[E - V(\vec{r})] \psi(\vec{r}) &= 0 \\ \Downarrow \\ 0 &= \frac{1}{(\Delta x)^2} [\psi(x_{n+1}, y_n, z_n) + \psi(x_{n-1}, y_n, z_n) \\ &\quad + \psi(x_n, y_{n+1}, z_n) + \psi(x_n, y_{n-1}, z_n) \\ &\quad + \psi(x_n, y_n, z_{n+1}) + \psi(x_n, y_n, z_{n-1})] \\ &\quad + \left\{ 2m[E - V(\vec{r})] - \frac{6}{(\Delta x)^2} \right\} \psi(x_n, y_n, z_n) \end{aligned}$$

- **variational approaches** : $|\phi\rangle = \sum_i^N a_i |u_i\rangle$

$$\langle \phi | \phi \rangle E^* = \langle \phi | \hat{H} | \phi \rangle \rightarrow \underbrace{\langle u_i | u_j \rangle}_{S_{ij}} E^* = \underbrace{\langle u_i | \hat{H} | u_j \rangle}_{H_{ij}} \rightarrow U^\top H U \vec{b} = E^* \vec{b}$$

more basis more accurate

Notation

- $|u_i\rangle$ basis
- a_i : basis coefficient, $\vec{a} = [a_1, \dots, a_n]^\top$
- U : normalization matrix for S that $U^\top S U = I$
- \vec{b} : eigen vector, $\vec{b} = U^{-1} \vec{a}$

- **finite element method**

- irregular geometries
- higher accuracy

Time dependent Schrödinger Equation

$$i\partial_t |\psi\rangle = \hat{H} |\psi\rangle$$

Spectral method : $|\psi_t\rangle = \sum_n c_n e^{-i\varepsilon_n(t-t_0)/\hbar} |\phi_n\rangle$

Algorithm

1. eigen value ε_n and eigen vector $|\phi_n\rangle$ for stationary problem $\hat{H} |\phi\rangle = E |\phi\rangle$
2. represent initial wave function in eigen vectors $|\psi_0\rangle = \sum_n c_n |\phi_n\rangle$
3. the evolution state $|\psi_t\rangle = \sum_n c_n e^{-i\varepsilon_n(t-t_0)/\hbar} |\phi_n\rangle$

limitations :

- the diagonalization of H is complex, so this method is only useful for small problems

Notation

- $|\phi_n\rangle$: eigenvector of $H|\phi\rangle = E|\phi\rangle$, $|\psi_0\rangle = \sum c_n |\phi_n\rangle$
- ε_n : eigenvalue of $H|\phi\rangle = E|\phi\rangle$

Direct numerical integration : $(1 + \frac{i\Delta t}{2\hbar} H) \psi(\vec{r}, t + \Delta t) = (1 - \frac{i\Delta t}{2\hbar} H) \psi(\vec{r}, t)$

- **forward euler** : $|\psi(t_{n+1})\rangle = |\psi(t_n)\rangle - \frac{i\Delta t}{\hbar} \hat{H} |\psi(t_n)\rangle$
 - numerically unstable
 - violet conservation of $\langle\phi|\phi\rangle$
- **implicit method** : $(1 + \frac{i\Delta t}{2\hbar} H) \psi(\vec{r}, t + \Delta t) = (1 - \frac{i\Delta t}{2\hbar} H) \psi(\vec{r}, t)$
 - H is sparse matrix, using iterative solver (e.g. biconjugate gradient)

Split-operator method : $\psi(\vec{q}) \xrightarrow{\mathcal{F}} \psi(\vec{p}) \Rightarrow \hat{H} = \hat{T}(\vec{p}) + \hat{V}(\vec{q})$

$$e^{-it\hat{H}/\hbar} = e^{-i\Delta t\hat{V}/2\hbar} \left[e^{-i\Delta t\hat{T}/\hbar} e^{-i\Delta t\hat{V}/\hbar} \right]^{N-1} e^{-i\Delta t\hat{T}/\hbar} e^{-i\Delta t\hat{V}/2\hbar}$$

Algorithm

1. $\psi(\vec{q}) \leftarrow e^{-i\Delta t V(\vec{q})/2\hbar} \psi_0(\vec{q})$
2. loop N-1 timesteps
 1. $\psi(\vec{p}) \xleftarrow{\mathcal{F}} \psi(\vec{q})$
 2. $\psi(\vec{p}) \leftarrow e^{-i\Delta t \hbar \|\vec{p}\|^2 / 2m} \psi(\vec{p})$
 3. $\psi(\vec{q}) \xleftarrow{\mathcal{F}^{-1}} \psi(\vec{p})$
 4. $\psi(\vec{q}) \leftarrow e^{-i\Delta t V(\vec{q})/\hbar} \psi(\vec{q})$
3. $\psi(\vec{p}) \xleftarrow{\mathcal{F}} \psi(\vec{q})$
4. $\psi(\vec{p}) \leftarrow e^{-i\Delta t \hbar \|\vec{p}\|^2 / 2m} \psi(\vec{p})$
5. $\psi(\vec{q}) \xleftarrow{\mathcal{F}^{-1}} \psi(\vec{p})$
6. $\psi(\vec{q}) \leftarrow e^{-i\Delta t V(\vec{q})/2\hbar}$

Notation

- \vec{p} : momentum in hamilton expression
- \vec{q} : position in hamilton expression
- \hat{T} : kinetic operator , $\hat{T} = \frac{(\hat{p})^2}{2m} = \frac{-i\hbar^2}{2m} \nabla^2$
- \hat{V} : potential operator , $\hat{V} = V$
- \mathcal{F} : fourier operator , $\mathcal{F}\psi(\vec{q}) = \left(\frac{1}{\sqrt{2\pi}}\right)^d \int_{-\infty}^{+\infty} \psi(\vec{q}) e^{-i\vec{p}\cdot\vec{q}} d\vec{q}$
- \mathcal{F}^{-1} : inverse fourier operator , $\mathcal{F}^{-1}\psi(\vec{p}) = \left(\frac{1}{\sqrt{2\pi}}\right)^d \int_{-\infty}^{+\infty} \psi(\vec{p}) e^{-i\vec{p}\cdot\vec{q}} d\vec{p}$

Quantum n-body problem

Hilbert space for n particles: $\mathcal{H}^N = \mathcal{H}^{\otimes N}$

Indistinguishable Particles

Bosons and Fermions :

- **fermions** : $\psi(\vec{r}_1, \vec{r}_2) = -\psi(\vec{r}_2, \vec{r}_1)$

$$\Psi^A = \mathcal{N}_A \sum_p \text{sign}(p) \psi(\vec{r}_{p(1)}, \dots, \vec{r}_{p(N)})$$

Notation

- Ψ^A : n particle fermions wave function

- \mathcal{N}_A : normalization factor
- p : permutation
- **Pauli exclusion principle** : $\Psi^A(\vec{r}_1, \vec{r}_2) = \psi(\vec{r}_1, \vec{r}_2) - \psi(\vec{r}_2, \vec{r}_1) \neq 0$
- spinful, generalized coordinate $r = (\vec{r}, \sigma)$
- **bosons** : $\psi(\vec{r}_1, \vec{r}_2) = \psi(\vec{r}_2, \vec{r}_1)$

$$\Psi^S = \mathcal{N}_S \sum_p \psi(\vec{r}_{p(1)}, \dots, \vec{r}_{p(N)})$$

Notation

- Ψ^S : n particle bosons wave function
- \mathcal{N}_S : normalization factor

Fock space: $\mathcal{F} = \bigoplus_{N=0}^{\infty} \mathcal{S}_{\pm} \mathcal{H}^N$

possible particle configurations for a given type of particle

$$\mathcal{F} = \underbrace{\mathcal{F}_0}_{0 \text{ particles}} \oplus \underbrace{\mathcal{F}_1}_{1 \text{ particles}} \oplus \dots$$

Notation

- \oplus : direct sum, e.g. $\mathbf{A} \oplus \mathbf{B} = \begin{bmatrix} \mathbf{A} & \mathbf{0} \\ \mathbf{0} & \mathbf{B} \end{bmatrix}$
- \mathcal{S}_{\pm} : symmetrization for bosons $\mathcal{S}_+ = \mathcal{N}_S \sum_p$ / antisymmetrization operator for fermions $\mathcal{S}_- = \mathcal{N}_A \sum_p \text{sgn}(p)$

Example

	Bosons	Spinless Fermions	Spinful Fermions	Spin- $\frac{1}{2}$
Fock space dimension	∞ (bosons can take same position)	2^N	4^N	2^N

Slater determinant : $\Psi(r_1, \dots, r_N) = \frac{1}{\sqrt{N!}} \begin{vmatrix} \phi_1(r_1) & \dots & \phi_N(r_1) \\ \vdots & \ddots & \vdots \\ \phi_1(r_N) & \dots & \phi_N(r_N) \end{vmatrix}$

anti-symmetrized and normalized N single particle wave function product

Notation

- $\phi_i(r_j)$: wave function of fermion i at position r_j

Creation and annihilation operators

- \hat{a} **annihilation operator** : remove particle $\hat{a}_i |\phi_j\rangle = \delta_{ij} |0\rangle$
- \hat{a}^\dagger **creation operator** : add particle $|\phi_i\rangle = \hat{a}_i^\dagger |0\rangle$

Notation

- $|0\rangle$: vacuum state with no particles, $|0\rangle = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$
- $[\cdot, \cdot]$: commute, $[A, B] = AB - BA$
- $\{\cdot, \cdot\}$: anti-commute, $\{A, B\} = AB + BA$
- Bosons : commute
 - $\hat{a}_i |n_i\rangle = \sqrt{n_i} |n_i - 1\rangle$ $\hat{a}_i^\dagger |n_i\rangle = \sqrt{n_i + 1} |n_i + 1\rangle$
 - $\hat{a}_i^\dagger \hat{a}_i = n_i$
 - $[\hat{a}_i, \hat{a}_j^\dagger] = \delta_{ij}$ $[\hat{a}_i, \hat{a}_j] = [\hat{a}_i^\dagger, \hat{a}_j^\dagger] = 0$
 - $0 \xleftarrow{\hat{a}} |0\rangle \xleftrightarrow{\hat{a}^\dagger} |1\rangle \xleftrightarrow{\hat{a}^\dagger} |2\rangle \dots$
- Fermions : anti-commute
 - $\hat{c}_{u_i} |u_i, u_j, \dots\rangle = |u_j, \dots\rangle$ $\hat{c}_{u_i} |u_j, \dots\rangle = |u_i, u_j, \dots\rangle$
 - $\hat{c}_i^\dagger \hat{c}_i = \hat{n}_i$
 - $n_i = 0 : \hat{c}_i^\dagger \hat{c}_i |u_j, \dots\rangle = 0$
 - $n_i = 1 : \hat{c}_{u_i}^\dagger \hat{c}_{u_i} |u_i, u_j, \dots\rangle = |u_i, u_j, \dots\rangle$
 - $\{\hat{c}_i, \hat{c}_j^\dagger\} = \delta_{ij}$ $\{\hat{c}_i, \hat{c}_j\} = \{\hat{c}_i^\dagger, \hat{c}_j^\dagger\} = 0$
 - $0 \xleftarrow{\hat{c}_{u_i}^\dagger} |0\rangle \xleftrightarrow{\hat{c}_{u_i}^\dagger} |u_i\rangle \xrightarrow{\hat{c}_{u_i}} 0$

Quantum Spin Model

(TFIM) Transverse field Ising model

$$\hat{H} = \sum_{\langle ij \rangle} J_{ij} \hat{S}_i^z \hat{S}_j^z - \sum_i \frac{h_i}{2} \hat{S}_i^x$$

$$\hat{S}_i^z \hat{S}_j^z = I \otimes \dots \otimes \underbrace{\hat{S}_i^z}_{n=i} \otimes \dots \otimes \underbrace{\hat{S}_j^z}_{n=j} \otimes \dots \otimes \mathbb{1} I$$

- quantum phase transition between a spontaneously symmetry-broken and a disordered phase
- extension of the classical Ising model by adding a magnetic field in the x direction

Notation

- $\langle ij \rangle$: connection between particle i and particle j
- J_{ij} : interacting constant between particle i and particle j
- h_i : external magnetic field on particle i
- \hat{S}^x : spin operator in x direction, $\hat{S}^x = \frac{1}{2} \hbar \sigma_x = \frac{1}{2} \hbar \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$
- \hat{S}^z : spin operator in z direction, $\hat{S}^z = \frac{1}{2} \hbar \sigma_z = \frac{1}{2} \hbar \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$

Heisenberg model

$$\hat{H} = \sum_{\langle ij \rangle} J_{ij} \hat{\vec{S}}_i \cdot \hat{\vec{S}}_j = \sum_{\langle ij \rangle} J_{ij} \left(\hat{S}_i^x \hat{S}_j^x + \hat{S}_i^y \hat{S}_j^y + \hat{S}_i^z \hat{S}_j^z \right)$$

$$= \sum_{\langle ij \rangle} J_{ij} \left[\frac{1}{2} \left(\hat{S}_i^+ \hat{S}_j^- + \hat{S}_i^- \hat{S}_j^+ \right) + \hat{S}_i^z \hat{S}_j^z \right]$$

Notation

- \hat{S}^\pm : raising/lowering operator, $\hat{S}^\pm = \hbar \sigma^\pm = \hbar (\sigma_x \pm i \sigma_y)$
 - $\hat{S}^+ \hat{S}^+ |\downarrow\rangle = \hat{S}^+ |\uparrow\rangle = |\text{null}\rangle$ $|\uparrow\rangle = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$ $|\downarrow\rangle = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ $|\text{null}\rangle = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$
 - $(\sigma^\pm)^2 = 0$: a spin can be flipped only once
- \hat{M}^z : total magnetization, $\hat{M}^z = \sum_i \hat{S}_i^z$
- conserve total magnetization
- Hamiltonian has $SU(2)$ symmetry

Example : two particles ($\{|\uparrow\uparrow\rangle, |\uparrow\downarrow\rangle, |\downarrow\uparrow\rangle, |\downarrow\downarrow\rangle\}$)

$$\hat{H} = \begin{bmatrix} \frac{1}{4} J_{ij} & 0 & 0 & 0 \\ 0 & -\frac{1}{4} J_{ij} & \frac{1}{2} J_{ij} & 0 \\ 0 & \frac{1}{2} J_{ij} & -\frac{1}{4} J_{ij} & 0 \\ 0 & 0 & 0 & \frac{1}{4} J_{ij} \end{bmatrix}$$

XXZ model

$$\hat{H} = \sum_{\langle ij \rangle} J_{ij} \left(\hat{S}_i^x \hat{S}_j^x + \hat{S}_i^y \hat{S}_j^y + \Delta \hat{S}_i^z \hat{S}_j^z \right)$$

- conserve total magnetization \hat{M}^z

Notation

- Δ : hyperparameter

$\Delta = 0$	$\Delta = 1$	$\Delta \rightarrow \infty$
XY model	Heisenberg model	Ising model

Jordan-Wigner Transformation

mapping spin models to spinless fermions, derive from XXZ model

$$\hat{H} = \frac{1}{2} \sum_{\langle ij \rangle} J_{ij} \left(\hat{c}_i^\dagger \hat{c}_j + \hat{c}_j^\dagger \hat{c}_i + 2\Delta \hat{n}_i \hat{n}_j \right)$$

Notation

- $\hat{c}_i / \hat{c}_i^\dagger$: Jordan-Wigner transformation operator, $\hat{c}_i = \prod_{j<i} (\sigma_j^z) \sigma_i^-$ $\hat{c}_i^\dagger = \prod_{j<i} (\sigma_j^z) \sigma_i^+$
 - $\{\hat{c}_i, \hat{c}_j^\dagger\} = \delta_{ij}$
 - $\{\hat{c}_i, \hat{c}_j\} = \{\hat{c}_i^\dagger, \hat{c}_j^\dagger\} = 0$
- \hat{n}_i : number operator, $\hat{n}_i = \hat{c}_i^\dagger \hat{c}_i$

Brute-force method

[ED] Exact Diagonalization

diagonalizing the Hamiltonian matrix

- full spectrum $N \approx 20$
- Lanczos algorithm $N \approx 40$

Lanczos algorithm

- storage complexity $\mathcal{O}(2^N)$ compared to dense matrix eigen solvers of $\mathcal{O}(2^N)^2$
- ghost state : low-lying eigen values result from round of error that \vec{r}_n is not fully orthogonal

Algorithm

1. find the orthogonalized basis \vec{r}_i using *Gram-Schmidt orthogonalization*

$$\vec{r}_0 = \frac{\vec{v}}{\|\vec{v}\|} \quad \beta_m \vec{r}_m = H \vec{r}_{m-1} - \alpha_{m-1} \vec{r}_{m-1} - \beta_{m-1} \vec{r}_{m-2} \quad \alpha_n = \vec{r}_n^\dagger H \vec{r}_n \quad \beta_n = |\vec{r}_n^\dagger H \vec{r}_{n-1}|$$

2. express Hamiltonian H in tridiagonal matrix

$$H^M = \begin{bmatrix} \alpha_0 & \beta_1 & \cdots & 0 & 0 \\ \beta_1 & \alpha_1 & \cdots & 0 & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \cdots & \alpha_{M-1} & \beta_M \\ 0 & 0 & \cdots & \beta_M & \alpha_M \end{bmatrix}$$

3. eigendecomposite the H^M
4. transform the eigenvectors to the original basis
 - for memory constraint, only store the last three \vec{r}_n and recompute \vec{r}_n iteratively to perform basis transformation

Spin- $\frac{1}{2}$ hamitonians

two possible state $|\uparrow\rangle$ and $|\downarrow\rangle$ bitwise operation (xor) rather than vector

- $\hat{S}_i^z \hat{S}_{i+1}^z : \mathbf{s} = \mathbf{s} \wedge (\mathbf{s} \gg 1)$
- $\hat{S}_i^+ \hat{S}_{i+1}^- : \mathbf{s} = \mathbf{s} \wedge (\mathbf{3} \ll \mathbf{i})$

Example

assume state $s = 011_2$

then for heisenberg model $\tilde{s} = 011_2 \oplus 010_2 = 010_2$ where \oplus is bitwise xor here.

Notation

- $\hat{S}^\pm : \hat{S}^\pm = \hbar \sigma^\pm = \hbar(\sigma_x \pm i \sigma_y)$

symmetries

block diagonalize the Hamiltonian and solve within the symmetries' eigenspaces.

Example : Transverse Field Ising Model

1. parity operator : $\hat{P} = \bigotimes_i \sigma_i^x$, the eigen values are ± 1
2. $|\psi\rangle = \hat{P}^M |\psi\rangle$: for random state ψ , apply operator for M times we find the initial state again
3. eigen state becomes : $\sum_{i=0}^M \hat{P}^i |\psi\rangle$
4. construct hamiltonian H from eigen state and eigen vector

Time evolution

Trotter-Suzuki decomposition : $\hat{H} = \sum_{k=1}^K \hat{h}_k \rightarrow e^{-i\hat{H}\Delta t/\hbar} = \prod_{k=1}^K e^{-i\hat{h}_k\Delta t/\hbar} + \mathcal{O}(\Delta t^2)$

- time-indepdent assumption : $|\psi(t + \Delta t)\rangle = e^{-i\hat{H}\Delta t/\hbar} |\psi(t)\rangle$
- non-commuting decomposition : $\hat{H} = \sum_{k=1}^K \hat{h}_k \quad [\hat{h}_i, \hat{h}_j] \neq 0 \quad i \neq j$
- second order version : $e^{-i\hat{H}\Delta t/\hbar} = \left(\prod_{k=1}^K e^{-i\hat{h}_k\Delta t/2\hbar} \right) \left(\prod_{k=K}^1 e^{-i\hat{h}_k\Delta t/2\hbar} \right) + \mathcal{O}(\Delta t^3)$

Example : $K = 2$

$$|\psi(t + \Delta t)\rangle = e^{-i\hat{h}_1\Delta t/2\hbar} e^{-i\hat{h}_2\Delta t/2\hbar} e^{-i\hat{h}_1\Delta t/2\hbar} |\psi\rangle$$

Example : Transverse Field Ising Model

$$\hat{H} = \underbrace{\sum_{\langle ij \rangle} J_{ij} \sigma_i^z \sigma_j^z}_{\hat{h}_1} - \underbrace{\sum_i h_i \sigma_i^x}_{\hat{h}_2}$$

$$e^{-i\hat{h}_1\Delta t/\hbar} = \bigotimes_{\langle ij \rangle} e^{-i\Delta t J_{ij} s_i^z s_j^z / \hbar}$$

$$e^{-i\hat{h}_2\Delta t/\hbar} = \begin{bmatrix} \cos(\Delta t h_i / \hbar) & i \sin(\Delta t h_i / \hbar) \\ i \sin(\Delta t h_i / \hbar) & \cos(\Delta t h_i / \hbar) \end{bmatrix}$$

$$(\text{since } e^A = 1 + A + \frac{A^2}{2!} + \dots)$$

Notation

- $[\cdot, \cdot]$: commute operator, $[A, B] = AB - BA = 0 \rightarrow A, B$ commute
- $\langle i, j \rangle$: means i, j are neighbors
- J_{ij} : connection between site i and j
- h_i : magnetic field at site i
- \hat{h}_k : non-commuting term
- s_i : eigen value for σ_i^z

Imaginary-time evolution: $it \rightarrow \tau$

- time-independent assumption: $|\psi(t)\rangle = e^{-i\hat{H}t/\hbar} |\psi(0)\rangle \rightarrow |\psi(t)\rangle = e^{-\tau\hat{H}} |\psi(0)\rangle$
- converges to the ground state by suppressing the amplitudes of excited states exponentially fast in the product $\Delta E_k \tau$.

Magnus expansion: $\hat{U}(\Delta t) = e^{-i\bar{H}_t\Delta t/\hbar} + \mathcal{O}(\Delta t^2)$ $H_t = \bar{H}_t^1 + \bar{H}_t^2 + \dots$

- time-dependent assumption: $|\psi(t')\rangle = U(t', t) |\psi(t)\rangle$
- $\bar{H}_t^1 = \frac{1}{\Delta t} \int_t^{t+\Delta t} \hat{H}(s) ds$ and $H_t^2 = -\frac{i}{\Delta t} \int_t^{t+\Delta t} ds \int_t^s dl [\hat{H}(s), \hat{H}(l)]$

Notation

- U : evolution operator, $\hat{U}(t', t) = e^{-i \int_t^{t'} \hat{H}(s) ds / \hbar}$ $t' > t$

Matrix Product States

Bipartite entanglement

Reduced density matrix: $\rho_A = \text{Tr}_B(|\psi\rangle\langle\psi|)$ $|\psi\rangle \in \mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_B$

Notation

- \otimes : kronecker product, e.g. $\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \otimes \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 \end{bmatrix}$
- Tr_B : partial trace over subsystem B , e.g.
$$\text{Tr}_B \begin{bmatrix} A_{11}B_{11} & A_{11}B_{12} & A_{12}B_{11} & A_{12}B_{12} \\ A_{11}B_{21} & A_{11}B_{22} & A_{12}B_{21} & A_{12}B_{22} \\ A_{21}B_{11} & A_{21}B_{12} & A_{22}B_{11} & A_{22}B_{12} \\ A_{21}B_{21} & A_{21}B_{22} & A_{22}B_{21} & A_{22}B_{22} \end{bmatrix} = \begin{bmatrix} \sum_{ii} B_{ii}A_{11} & \sum_{ii} B_{ii}A_{12} \\ \sum_{ii} B_{ii}A_{21} & \sum_{ii} B_{ii}A_{22} \end{bmatrix}$$

Entanglement: $S = -\text{Tr}(\rho_A \log \rho_A) = -\text{Tr}(\rho_B \log \rho_B)$

using *Schmidt decomposition* $\rho_A = \sum_{\alpha} \lambda_{\alpha}^2 |\phi_{\alpha}\rangle_A \langle\phi_{\alpha}|_A \rightarrow S = -\sum_{\alpha} \lambda_{\alpha}^2 \log \lambda_{\alpha}^2$

- product state (zero entanglement): $S = 0 \Leftrightarrow \lambda_1 = 1, \lambda_{\alpha>1} = 0$
- maximally entangled state: $S = \frac{N}{2} \log d \Leftrightarrow \lambda_i = 1/\sqrt{d^{N/2}}$
- random state: $S \approx \frac{N}{2} \log d - \frac{1}{2}$

Area law of entanglement: entanglement entropy scales as $S \propto L^{D-1}$

Example: 1-D entanglement

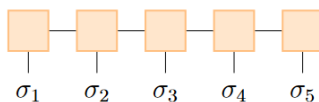
$S \sim \text{const}$

Notation

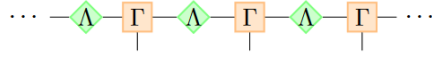
- S : entanglement entropy
- d : Hilbert space dimension
- λ : eigen value
- N : number of sites
- D : dimension of the entanglement system
- L : linear dimension of system

Matrix Product state

[MPS] Matrix Product State: $|\psi\rangle = \sum_s \text{Tr}(A_1^{s_1} \dots A_N^{s_N}) |s_1 \dots s_N\rangle$



- canonical form (normalization): $A = \Lambda \Gamma$



Example : GHZ or 'cat' state

$$|GHZ\rangle = \frac{1}{\sqrt{2}}(|\downarrow\rangle^{\otimes N} + |\uparrow\rangle^{\otimes N}) = \frac{1}{Z} \left(\text{Tr}((A^\dagger)^N) |\uparrow\rangle^{\otimes N} + \text{Tr}((A^\downarrow)^N) |\downarrow\rangle^{\otimes N} \right)$$

where Z is a norm and $A_i^\downarrow = A^\downarrow = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$ $A_i^\uparrow = A^\uparrow = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$

Example : AKLT state

ground state of spin-1 Hamiltonian : $\hat{H} = \sum_j \hat{\mathbf{S}}_j \cdot \hat{\mathbf{S}}_{j+1} + \frac{1}{3} \left(\hat{\mathbf{S}}_j \cdot \hat{\mathbf{S}}_{j+1} \right)^2$

with matrices :

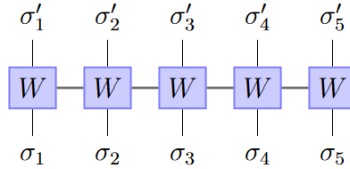
$$A_i^+ = A^+ = \sqrt{\frac{2}{3}} \sigma^+ = \begin{bmatrix} 0 & \sqrt{\frac{2}{3}} \\ 0 & 0 \end{bmatrix} \quad A_i^0 = A^0 = \frac{-1}{\sqrt{3}} \sigma^z = \begin{bmatrix} -\frac{1}{\sqrt{3}} & 0 \\ 0 & \frac{1}{\sqrt{3}} \end{bmatrix} \quad A_i^- = A^- = -\sqrt{\frac{2}{3}} \sigma^- = \begin{bmatrix} 0 & 0 \\ -\sqrt{\frac{2}{3}} & 0 \end{bmatrix}$$

the corresponding $|+\rangle, |-\rangle, |0\rangle$ are three states for spin-1 particle not for spin- $\frac{1}{2}$ particle

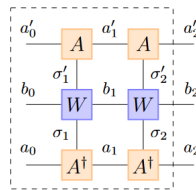
Notation

- A_i : a rank-3 tensor, $A_i \in \mathbb{C}^{D \times 2 \times 2}$, D is the number of basis state for single site.
 $A_i^{s_i}$ means when the site i is in state s_i , there is a 2×2 matrix for product
For translationally symmetric $A_i = A$
- σ^+ : creation / raising operator, $\sigma^+ = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}$
 - $\sigma^+ |\downarrow\rangle = 0$
 - $\sigma^+ |\uparrow\rangle = |\downarrow\rangle$
- σ^- : annihilation / lowering operator, $\sigma^- = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$
 - $\sigma^- |\downarrow\rangle = |\uparrow\rangle$
 - $\sigma^- |\uparrow\rangle = 0$
- $|+\rangle$: for spin-1, $|+\rangle = |\uparrow\uparrow\rangle$
- $|-\rangle$: for spin-1, $|-\rangle = |\downarrow\downarrow\rangle$
- $|0\rangle$: for spin-1, $|0\rangle = \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle)$

[MPO] Matrix Product Operator : $\hat{O} = \sum_{\sigma_i, \sigma'_i} \left[W_1^{\sigma_1 \sigma'_1} \dots W_N^{\sigma_N \sigma'_N} \right] |\sigma_1 \dots \sigma_N\rangle \langle \sigma'_1 \dots \sigma'_N|$



- computing $\langle \psi | \hat{O} | \psi \rangle$:



Example : single site operator

$$\hat{O}_j = I \otimes \dots \otimes \underbrace{\hat{O}}_{\text{site } j} \otimes \dots \otimes I$$

$$W_i^{\sigma_i, \sigma'_i} = \langle \sigma_i | \hat{O} | \sigma'_i \rangle$$

Example : paramagnetic system $\hat{H} = -\sum_i h \hat{S}_i^z$

$$\hat{H} = (-h \hat{S}^z \otimes I \otimes \dots \otimes I) + \dots + (I \otimes \dots \otimes I \otimes -h \hat{S}^z)$$

$$W_1 = \begin{bmatrix} -h S^z & I \end{bmatrix} \quad W_i = \begin{bmatrix} I & 0 \\ -h S^z & I \end{bmatrix} \quad W_N = \begin{bmatrix} I \\ -h S^z \end{bmatrix}$$

Example : Transverse field Ising model $\hat{H} = -\sum_i \hat{S}_i^z \hat{S}_{i+1}^z + h \sum_i \hat{S}_i^x$

$$W_1 = \begin{bmatrix} h S^x & -S^z & I \end{bmatrix} \quad W_i = \begin{bmatrix} I & 0 & 0 \\ S^z & 0 & 0 \\ h S^x & -S^z & I \end{bmatrix} \quad W_N = \begin{bmatrix} I \\ S^z \\ h S^x \end{bmatrix}$$

Notation

- W_i a rank-4 tensor, $W_i \in \mathbb{C}^{D \times D \times 2 \times 2}$
- $W_i^{\sigma_i \sigma_j}$ means for site i when the left state is σ_i and right state σ_j there is a 2×2 matrix for product

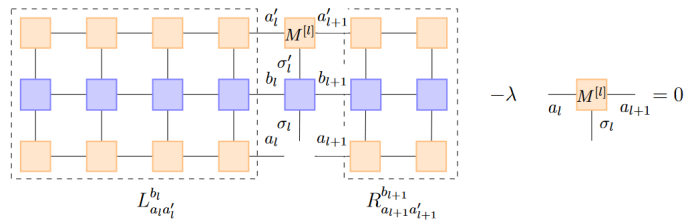
[DMRG] Density matrix renormalization group

find the ground state that $\underset{|\psi\rangle}{\operatorname{argmin}} \frac{\langle \psi | \hat{H} | \psi \rangle}{\langle \psi | \psi \rangle}$

- left normalization : $A^\dagger A = I \quad A' = U \Sigma V^\dagger \rightarrow A = U$
- right normalization : $BB^\dagger = I \quad B' = U \Sigma V^\dagger \rightarrow B = V^\dagger$
- substitution algorithm : imaginary time evolution, but converge slower

Algorithm

1. random initialize $|\psi\rangle$ as right-normalized
2. build R_1
3. repeat until energy converge $\operatorname{Var}(H) < \epsilon$
 1. right sweep for $l = 1, \dots, L - 1$
 1. solve eigen value for M_l



2. left normalize M_l
3. build L_l
2. Left sweep for $l = L, \dots, 2$
 1. solve eigen value for M_l
 2. right normalize M_l
 3. build R_l

[TEBD] Time evolving block decimation

$$U(\Delta t) = \left[\begin{array}{c} \text{horizontal bars} \\ \text{vertical lines} \end{array} \right] \cdot$$

Algorithm

1. two site tensor contraction

$$\theta^{[l]} = \left[\begin{array}{c} \text{orange box} \\ \text{green diamonds} \end{array} \right] \cdot$$

2. apply evolution gate

$$\tilde{\theta}^{[l]} = \left[\begin{array}{c} \text{orange box} \\ \text{blue box} \end{array} \right] \cdot$$

3. split into single site tensor

$$\tilde{\theta}^{[l]} = \left[\begin{array}{c} \text{orange box} \\ \text{green diamonds} \end{array} \right] = \left[\begin{array}{c} \text{green diamond} \\ \text{orange box} \end{array} \right] \cdot$$

4. truncation : keep χ_{\max} eigen value and renormalize $\sum_i \Lambda_i i^2 = 1$

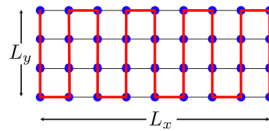
Computation errors :

- truncation error : main error, grows exponentially
- Trotter error : can be avoid reducing Δt and higher expansion
- small eigen value : at step 3 $\Lambda^{-1} A$ and $B \Lambda^{-1}$
- imaginary time evolution : canonical form only retrained when $\Delta \tau \rightarrow 0$

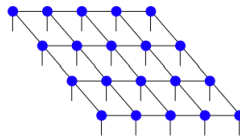
Further topics

Two-dimensional system

- converting two dimension system as chain



- [PEPS] projected entangled pair state



- challenging computationally
- lack a canonical form

Mixed state and open quantum system dynamics

- mixed state unitary time evolution is governed by $\hat{H}: \partial_t \hat{\rho}(t) = -i[\hat{H}, \hat{\rho}(t)]$
- open quantum system: coupled to an environment or bath

which can be described by Lindblad equation: $\partial_t \hat{\rho} = \hat{\mathcal{L}}\hat{\rho} = -i[\hat{H}, \hat{\rho}] + \sum_i \gamma_i \left(\hat{L}_i \hat{\rho} \hat{L}_i^\dagger - \frac{1}{2} \{ \hat{L}_i^\dagger \hat{L}_i, \hat{\rho} \} \right)$

$$|\rho\rangle_\# = \begin{array}{c} \boxed{W} - \boxed{W} - \boxed{W} - \boxed{W} - \boxed{W} \\ \downarrow \quad \downarrow \quad \downarrow \quad \downarrow \quad \downarrow \\ \sigma_1 \sigma'_1 \quad \sigma_2 \sigma'_2 \quad \sigma_3 \sigma'_3 \quad \sigma_4 \sigma'_4 \quad \sigma_5 \sigma'_5 \end{array}$$

Notation

- \hat{L}_i : jump operator, the system operators directly coupled to the bath, e.g. creation, annihilation
- $\hat{\mathcal{L}}$: Lindbladian, could be considered as a linear operator $|\rho(t)\rangle = e^{-i\hat{\mathcal{L}}t} |\rho_0\rangle$

Symmetries

schmidt eigenstates belong to a fixed magnetization sector



[TDVP]Time-dependent variational principle

- action function: $S = \int_{t_1}^{t_2} \langle \psi(t) | i\partial_t - \hat{H} | \psi(t) \rangle dt \rightarrow \partial_t A_i = -iH_i A_i$
- analogue to DMRG algorithm, but better at simulate long-ranged

Quantum Monte Carlo

Monte Carlo Basics

Monte Carlo

- error $\frac{1}{\sqrt{N}}$

Markov Chain: $P_{XY} = T(X \rightarrow Y)A(X \rightarrow Y)$ $A(X \rightarrow Y) = \min \left\{ 1, \frac{W(Y)}{W(X)} \right\}$

- Ergodicity: $T(X \rightarrow Y) > 0 \quad \forall X, Y$
- Normalization: $\sum_Y T(X \rightarrow Y) = 1$
- Reversibility: $T(X \rightarrow Y) = T(Y \rightarrow X)$, if T not satisfy this, then $A(X \rightarrow Y) = \min \left\{ 1, \frac{W(Y)T(Y \rightarrow X)}{W(X)T(X \rightarrow Y)} \right\}$

Notation

- T : transition probability
- W : static distribution
- A : accept probability

Classical Ising model

symmetry-breaking phase transition at a finite temperature

$$H = - \sum_{\langle i,j \rangle} J_{ij} \sigma_i \sigma_j - \sum_i h \sigma_i \quad \sigma_i = \pm 1$$

Notation

- J_{ij} : coupling constant
 - $J_{ij} \geq 0$: symmetry-broken state
- h_i : external field
- $\langle i, j \rangle$: means i, j are connected
- c : cluster, $|c|$ means the number of spins inside a cluster
- β : inverse temperature, $\beta = \frac{1}{k_B T}$
- m : magnetization

Algorithm : Swendsen-Wang

1. two neighboring parallel spins connected with probability $p = 1 - e^{-2\beta J}$
2. cluster labeling. e.g., Hoshen-Kopelman algorithm
3. measurement : $\langle m^2 \rangle_{C'} = \frac{1}{N^2} \sum_c |c|^2$
4. cluster flipped with probability $\frac{1}{2}$

Algorithm : Wolff

1. random site
2. recursive find parallel neighbor add it to the cluster with $p = 1 - e^{-2\beta J}$
3. measurement : $\langle m^2 \rangle_{C'} = \frac{1}{N} |c_0|$, since only one cluster
4. flip all spins in the cluster

- *Swendsen-Wang* will result in many small clusters in high dimension, but *Wolff* will result in one large cluster

Quantum spin system thermodynamics

$$\langle \hat{m} \rangle = \frac{1}{Z} \text{Tr} \left(\hat{m} e^{-\beta \hat{H}} \right) = \frac{1}{Z} \sum_C m(C) W(C) \quad Z = \text{Tr} \left(e^{-\beta \hat{H}} \right) = \sum_C W(C)$$

Notation

- \hat{m} : magnetization
- β : inverse of temperature $\beta = \frac{1}{k_B T}$
- Z : partition sum
- $m(C)$: magnetization of a configuration C
- $W(C)$: weight of a configuration C

spin- $\frac{1}{2}$ in a magnetic field : $\hat{H} = -h \hat{S}^z - \Gamma \hat{S}^x = \begin{bmatrix} -\frac{h}{2} & -\frac{\Gamma}{2} \\ -\frac{\Gamma}{2} & \frac{h}{2} \end{bmatrix}$

Notation

- h : longitudinal field
- Γ : transverse field

Discrete-time path integral : $\beta = \Delta\tau M$

expand to first order $e^{-\Delta\tau \hat{H}} = \hat{U} + \mathcal{O}(\Delta\tau^2) \rightarrow Z \approx \text{Tr} \left(\hat{U}^M \right)$

Notation

- \hat{U} : transfer matrix : $\hat{U} = I - \Delta\tau \hat{H} = \begin{bmatrix} 1 + \frac{\Delta\tau h}{2} & \frac{\Delta\tau \Gamma}{2} \\ \frac{\Delta\tau \Gamma}{2} & 1 - \frac{\Delta\tau h}{2} \end{bmatrix}$
- $\Delta\tau$: discrete time step
- M : resolution
- E_0 : ground energy

Example : 1D classical Ising model (0D transverse field Ising model)

$$H = -J \sum_i \sigma_i \sigma_{i+1} - h \sum_i \sigma_i \text{ with periodic boundary condition } \sigma_{M+1} = \sigma_1$$

- $\beta J = -\frac{1}{2} \log(\Delta\tau \Gamma / 2)$: off diagonal
- $\beta h = \log(1 + \Delta\tau h / 2)$: diagonal
- $\beta E_0 = M \beta J$

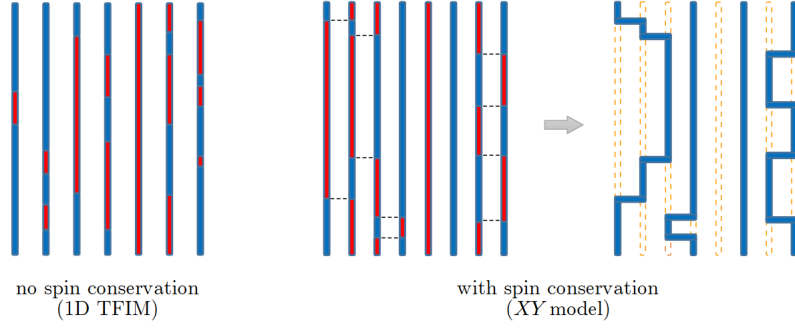
Continuous-time path integral : $\Delta\tau \rightarrow 0$???

d -dimensional quantum spin model $\Leftrightarrow d+1$ -dimensional classical Ising model

Example : 1D classical Ising model (0D transverse field Ising model)

quantum XY model : $\hat{H} = - \sum_{\langle i,j \rangle} \frac{J_{xy}}{2} (\hat{S}_i^+ \hat{S}_j^- + \hat{S}_i^- \hat{S}_j^+)$

spin flip-flops (blue line) proportional to β which is a constant not grow bigger as $\Delta\tau \rightarrow 0$



negative sign problem : positive off diagonal lead to negative probabilities

- solution : $\langle \hat{A} \rangle_W = \frac{\sum_C A(C)W(C)}{\sum_C W(C)} = \frac{\sum_C A(C)\text{sign}(W)|W(C)|/\sum_C |W(C)|}{\sum_C \text{sign}(W)|W(C)|/\sum_C |W(C)|}$
- error : $\beta \uparrow, L \uparrow \rightarrow \epsilon \uparrow$ error ϵ increase with inverse temperature β and system size L

Variational Monte Carlo

variational principle : $|\psi(\theta)\rangle = \sum_n \psi_n(\theta) |n\rangle$

energy expectation(MCMC) : $E_\theta = \frac{\sum_n |\psi_n(\theta)|^2 E_1(n)}{\sum_n |\psi_n(\theta)|^2}$

Notation

- $E_1(n)$: local energy $E_1(n) = \sum_m \langle n | \hat{H} | m \rangle \psi_m(\theta) \psi_n(\theta)$
- G_{kl} : metric tensor $G_{kl} = \langle \hat{O}_k^* \hat{O}_l \rangle_\theta - \langle \hat{O}_k^* \rangle_\theta \langle \hat{O}_l \rangle_\theta$
- O : logarithm wave-function derivative $O = \nabla_\theta \psi_n(\theta) / \psi_n(\theta)$
 - $\hat{O} = \sum_n O(n) |n\rangle \langle n|$

[SGD]Stochastic Graident Descent : $\theta \leftarrow \theta - \lambda \nabla_\theta E_\theta$

- $\nabla_\theta \langle E \rangle_\theta = 2\text{Re} \{ \sum_n W(n) [E_1(n) - E_\theta] O(n) \}$

Stochastic Reconfiguration : $\theta \leftarrow \theta - \Delta\tau G^{-1} \nabla_\theta E_\theta$

- to avoid small value inverse : $G' = \sqrt{\beta^2 I + G^\dagger G}$ $\beta \in \mathbb{R}$

Jastrow States : $\psi_n(\theta) = \exp \left(\sum_i a_i \sigma_i + \sum_{\langle ij \rangle} J_{ij} \sigma_i \sigma_j \right)$ $\theta = \{a, J\}$

wave function form for spin system

[NQS]Neural Quantum States : $\psi_n(\theta) = \text{MLP}(\{\sigma_1, \dots, \sigma_N\})$

[MFPWF]Mean-field projected wave function : $|\psi(\theta)\rangle = \mathcal{P}_G \left[\sum_{i,j} \sum_{s,s'} F_{ij}^{ss'} \hat{c}_{i,s}^\dagger \hat{c}_{j,s'}^\dagger \right]^{N/2} |0\rangle$ $\theta = F_{ij}^{ss'} \in \mathbb{R}^{2N \times 2N}$

- $\psi_n(\theta) = (N/2)! \text{Pf}(X)$

represent spin as pseudo-fermions : $\hat{S}_i^{\{x,y,z\}} = \frac{1}{2} \sum_{ss'} \hat{c}_{i,s} \sigma_{ss'}^\alpha \hat{c}_{i,s'}$

Notation

- \mathcal{P}_G : Gutzwiller projection operator
- $\hat{c}_{i,s}, \hat{c}_{i,s}^\dagger$: fermionic annihilation/creation operator
- s, s' : spin of the site, \uparrow or \downarrow
- i, j : index of the site

Path integrals in quantum statistical mechanics

$\rho_{\text{free}}(\vec{R}, \vec{R}', \Delta\tau) = \langle \vec{R} | e^{-\Delta\tau \hat{T}} | \vec{R}' \rangle = \left(\frac{2\pi\hbar^2\Delta\tau}{m} \right)^{-Nd/2} \exp \left(-\frac{|\vec{R} - \vec{R}'|^2}{2\hbar^2\Delta\tau/m} \right)$

$Z = \int d\vec{R} \rho(\vec{R}, \vec{R}) = \int \left(\prod_{j=1}^M d\vec{R}_j \right) \prod_{j=1}^M \left[\left(\frac{2\pi\hbar^2\Delta\tau}{m} \right)^{-Nd/2} \exp \left(-\frac{\vec{R}_j - \vec{R}_{j+1}}{2\hbar^2\Delta\tau/m} - \Delta\tau V(\vec{R}_j) \right) \right]$

Notation

- ρ_{free} : density matrix of free particles
- Z : partition function
- $\vec{R}_j : (\vec{r}_1, \vec{r}_2, \dots, \vec{r}_N)$, N particles position at time j
- \hat{T}, \hat{V} : kinetic, potential terms of Hamiltonian $\hat{H}, \hat{T} = -\frac{\hbar^2}{2m} \partial_x^2$

path sampling method : $A(X \rightarrow X') = \min \left\{ 1, \frac{\exp(-m[(\vec{r}_{j-1}^i - \vec{r}_j^i)^2 + (\vec{r}_j^i - \vec{r}_{j+1}^i)^2]/2\hbar^2\Delta\tau)}{\exp(-m[(\vec{r}_{j-1}^i - \vec{r}_j^i)^2 + (\vec{r}_j^i - \vec{r}_{j+1}^i)^2]/2\hbar^2\Delta\tau)} \cdot \exp(-\Delta\tau[V(\vec{R}_j') - V(\vec{R}_j)]) \right\}$

The accept probability of *Metropolis algorithm* is defined above

$$H = \sum_j \sum_i \frac{m}{2(\hbar\Delta\tau)^2} (\vec{r}_j^i - \vec{r}_{j+1}^i)^2 + \sum_j V(\vec{R}_j)$$

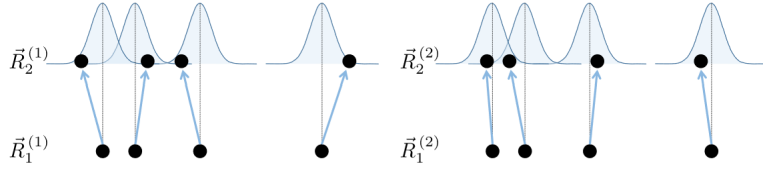
Notation

- \vec{r}_j^i : position of particle i at time j
- $\vec{r}_j^{i'}$: displaced position of particle i at time j
- \vec{R}_j : $(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_N)$, N particles position at time j
- V : potential energy, in most cases it's sum of single-particle and two-particle terms :
 $\hat{V} = \sum_i^N v_{\text{ext}}(\vec{r}_i) + \sum_{i<j} v(\vec{r}_i - \vec{r}_j)$

Boson symmetry :

$$\rho_{\text{Bose}} = \frac{1}{N!} \sum_P \rho(\vec{R}_1, P\vec{R}_2, \beta)$$

[DMC] Diffusion Monte Carlo



Algorithm

1. $w_0^\alpha \leftarrow 1, \vec{R}_0^\alpha \leftarrow \vec{R}_0$
2. update loop
 1. $\vec{R}_k^\alpha \sim \mathcal{N}(\vec{R}_{k-1}^\alpha, \frac{\Delta\tau}{m})$: diffusion update
 2. $w_k^\alpha \leftarrow w_{k-1}^\alpha e^{-\frac{\Delta\tau}{2}[V(\vec{R}_k^\alpha) + V(\vec{R}_{k-1}^\alpha)]}$
 3. clone $\lfloor \frac{w_k^\alpha}{\mathbb{E}_\alpha[w_k^\alpha]} + r \rfloor$ times for walker α

- maximum clones $\Leftrightarrow \Delta\tau$ too large
- scale $w^\alpha \rightarrow \exp(E_t\Delta\tau)w^\alpha$ where E_t is trial energy $V(\vec{R}) \leftarrow V(\vec{R}) - E_t$, when $E_t = E_0$ stability will achieve.

Importance sampling : $\vec{R}_{k-1} \leftarrow \vec{R}_{k-1} + \frac{\hbar^2\Delta\tau}{2m} \frac{2\nabla\phi_t(\vec{R}_{k-1})}{\phi(\vec{R}_{k-1})}$

before update, add a drift : $\vec{R}_{k-1} \leftarrow \vec{R}_{k-1} + \frac{\hbar^2\Delta\tau}{2m} \frac{2\nabla\phi_t(\vec{R}_{k-1})}{\phi(\vec{R}_{k-1})}$

Notation

- ϕ_t : trial wavefunction $\phi_t(\vec{R}) = \prod_{i<j} f_z(|\vec{r}_i - \vec{r}_j|)$
- f_z : Jastrow factor, two particle correlations

Fermionic systems : $\phi_\nu(\vec{R}) = \phi_t(\vec{R}) \det_{l,n} [e^{i\vec{k}_l \cdot \vec{r}_n}]$

ϕ_0 could be negative, when $\phi \rightarrow -\phi$ should be applied

Notation

- n : particle index
- \vec{k}_l : wave vectors compatible with periodic boundary conditions

Electronic-structure problem

Full Hamiltonian of matter

$$\hat{H} = \underbrace{-\sum_j \frac{N_e}{2m} \nabla_{\vec{r}_j}^2}_{\hat{T}_e} - \underbrace{\sum_l \frac{N_n}{2M_l} \nabla_{\vec{R}_l}^2}_{\hat{T}_n} + \underbrace{\frac{1}{2} \sum_{i \neq j} \frac{e^2}{|\vec{r}_i - \vec{r}_j|}}_{V_{ee}} + \underbrace{\frac{1}{2} \sum_{l \neq m} \frac{Z_l Z_m e^2}{|\vec{R}_l - \vec{R}_m|}}_{V_{nn}} - \underbrace{\sum_{j=1}^{N_e} \sum_{l=1}^{N_n} \frac{Z_l e^2}{|\vec{r}_j - \vec{R}_l|}}_{V_{en}} + V_{SO}$$

Adiabatic (Born-Oppenheimer) approximation : $M_l \gg m \quad |\vec{R}_l - \vec{R}_m| \ll |\vec{r}_i - \vec{r}_j|$

$$\hat{H} = \underbrace{\sum_{j=1}^{N_e} \frac{\hbar^2}{2m} \nabla_{\vec{r}_j}^2}_{\hat{T}_e} - \underbrace{\sum_{j=1}^{N_e} \sum_{l=1}^{N_n} \frac{Z_l e^2}{|\vec{r}_j - \vec{R}_l|}}_{V_{en}} + \underbrace{\frac{1}{2} \sum_{i \neq j} \frac{e^2}{|\vec{r}_i - \vec{r}_j|}}_{V_{ee}}$$

Non-interacting (mean-field) approximation : $\hat{H}_{\text{sp}} = -\frac{\hbar^2}{2m} \nabla_{\vec{r}}^2 + V_{\text{eff}}(\vec{r})$

non-interacting electrons assumption, $V_{en} + V_M + V_{ee} \rightarrow V_{\text{eff}}$

Hartree-Fock approximation :

use a Slater determinant for non-interacting system

$$\langle \Phi | \hat{H} | \Phi \rangle = \underbrace{\sum_{i,\sigma} \int d^3\vec{r} \phi_i^{\sigma*}(\vec{r}) \left[-\frac{\hbar^2}{2m} \nabla^2 + V_{en}(\vec{r}) \right] \phi_i^\sigma(\vec{r}) + V_M}_{\hat{T}_e + V_{en}} + \left. \begin{aligned} &+ \sum_{i,j,\sigma,\sigma'} e^2 \int d^3\vec{r} d^3\vec{r}' \phi_i^{\sigma*}(\vec{r}) \phi_j^{\sigma'*}(\vec{r}') \frac{1}{|\vec{r} - \vec{r}'|} \phi_i^\sigma(\vec{r}) \phi_j^{\sigma'}(\vec{r}') \quad \text{Hartree interaction} \\ &- \sum_{i,j,\sigma} e^2 \int d^3\vec{r} d^3\vec{r}' \phi_i^{\sigma*}(\vec{r}) \phi_j^{\sigma*}(\vec{r}') \frac{1}{|\vec{r} - \vec{r}'|} \phi_j^\sigma(\vec{r}) \phi_i^\sigma(\vec{r}') \quad \text{exchange interaction} \end{aligned} \right\} V_{ee}$$

The integration shows that the wave function is antisymmetric.

Notation

- i, j : index of the single particle state
- σ, σ' : spin of the electron, \uparrow or \downarrow
- $\phi_i^\sigma(\vec{r})$: denotes for particle i of state σ , the wave function value at position \vec{r}

Configuration-Interaction : $|\Phi_0\rangle = \left(1 + \sum_{i,\mu} \alpha_\mu^i \hat{c}_\mu^\dagger \hat{c}_\mu + \sum_{i<j, \mu<\nu} \alpha_{\mu,\nu}^{ij} \hat{c}_i^\dagger \hat{c}_j^\dagger \hat{c}_\mu \hat{c}_\nu \right) |\Phi_{\text{HF}}\rangle$

add interactions between electrons correctly and allow calculation of excited state

Notation

- $|\Phi_{\text{HF}}\rangle$: Hartree-Fock ground state, which is from the Hartree-Fock approximation, $|\Phi_{\text{HF}}\rangle = \prod_{\mu=1}^N \hat{c}_\mu^\dagger |\text{null}\rangle$
- \hat{c}^\dagger, \hat{c} : creation / annihilation operator

[DFT] Density functional theory

Hohenberg-Kohn Theorem : for electron system $\hat{H} = \underbrace{-\frac{\hbar^2}{2m} \sum_j \nabla_j^2}_{\hat{T}_e} + \underbrace{\frac{1}{2} \sum_{i \neq j} \frac{e^2}{|\vec{r}_i - \vec{r}_j|}}_{\hat{V}_{ee}} + \underbrace{\int v_{\text{ext}}(\vec{r}) n(\vec{r}) d\vec{r}}_{\hat{V}_{\text{ext}}}$

- **Uniqueness** : $n_0(\vec{r}) \Leftrightarrow v_{\text{ext}}(\vec{r})$
- **Variational** : $n_0 = \underset{n}{\text{argmin}} E = \underset{n}{\text{argmin}} \langle \Psi | \hat{H} | \Psi \rangle$

Notation

- $v_{\text{ext}}(\vec{r})$: external potential density
- $n_0(\vec{r})$: ground state electron density
- $n(\vec{r})$: electron density $\sum_j |\phi_j(\vec{r})|^2$

Kohn-Sham solution :

find a non-interacting system that has the same particle density as the interacting one

Algorithm

1. initial guess V_{eff}^0
2. solve ϕ_j (eigen vector) from KS1 : $\left[-\frac{\hbar^2}{2m_e} \nabla^2 + V_{\text{eff}}(\vec{r}) \right] \phi_j(\vec{r}) = \varepsilon_j \phi_j(\vec{r})$
3. $n(\vec{r}) \leftarrow \sum_i |\phi_j(\vec{r})|^2$
4. revise V_{eff} from KS 2 : $V_{\text{eff}}(\vec{r}) = \int d^3\vec{r}' \frac{n(\vec{r}')}{|\vec{r} - \vec{r}'|} + \mu^{\text{XC}}(\vec{r}) + v_{\text{ext}}(\vec{r})$
5. goto 2 if $|V_{\text{eff}}^{\text{new}} - V_{\text{eff}}^{\text{odd}}| \geq \text{threshold}$

Notation

- μ^{XC} : functional derivative of the exchange-correlation energy, $\mu^{\text{XC}} = \frac{dE^{\text{XC}}}{dn}$
- E^{XC} : exchange-correlation energy, $E^{\text{XC}} = \langle \Phi | \hat{T} | \Phi \rangle - E_k + \langle \Phi | \hat{V}_{ee} | \Phi \rangle - E_c$ with approximation (local density approximation) $E^{\text{XC}} \approx \int n(\vec{r}) \varepsilon^{\text{XC}}(n(\vec{r})) d\vec{r} = \int n(\vec{r}) [\varepsilon^X(n(\vec{r})) + \varepsilon^C(n(\vec{r}))] d\vec{r}$
 - uniform electron gas : $\varepsilon(n(\vec{r})) = -\frac{3}{4} \left(\frac{3}{\pi} \right)^{1/3} n(\vec{r})^{1/3}$
 - Monte carlo -> interpolation
- E_C : Hartree energy $E_C = \frac{1}{2} \int e^2 \frac{n(\vec{r})n(\vec{r}')}{|\vec{r} - \vec{r}'|} d\vec{r} d\vec{r}'$
- E_K : kinetic energy $E_K = -\frac{\hbar^2}{2m} \sum_j \langle \phi_j | \nabla^2 | \phi_j \rangle$

Basis functions

Atoms and molecules

- [STO] Slater Type Orbitals : $\psi_{nlm}^i(r, \theta, \phi) \propto r^{n-1} e^{-\xi_i r} Y_{lm}(\theta, \phi)$
 - two nuclei no closed form
- [GTO] Gauss Type Orbitals : $\psi_{nlm}^i(\vec{r}) \propto x^l y^m z^n e^{-\xi_i r^2}$
 - gaussian product still gaussian easy to integral

Free electron gas

$$\hat{H} = - \underbrace{\sum_{i=1}^{N_e} \frac{\hbar^2}{2m} \nabla_{\vec{r}_i}^2}_{\hat{T}} + e^2 \underbrace{\sum_{i < j} \frac{1}{|\vec{r}_i - \vec{r}_j|}}_{V_{ee}}$$

- plane waves basis : $\psi_{\vec{k}}(\vec{r}) = \exp(-i\vec{k} \cdot \vec{r})$
- low temperature : Wigner crystal
 - better basis will be eigenfunctions of harmonic oscillations centered around

Pseudo-potentials

- only model outer shell with basis, use pseudo potential to model inner shell since they are not involved in chemical bond

Quantum Computing

Quantum Computer

quantum gates

- one-qubit gate

X	$\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$	Y	$\begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}$	Z	$\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$
name	matrix	name	matrix	name	matrix
$H = \frac{X+Z}{\sqrt{2}}$	$\frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$	T	$\begin{bmatrix} 1 & 0 \\ 0 & e^{i\pi/4} \end{bmatrix}$	$S = T^2$	$\begin{bmatrix} 1 & 0 \\ 0 & i \end{bmatrix}$

- two-qubit gate $C(U) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & & U \\ 0 & 0 & & \end{bmatrix}$

measurement : $|\langle z_1 z_2 \dots | \psi \rangle|^2$

- repeated $\mathcal{O}(1000)$ times

errors

- coupling to environment \Rightarrow mixed density matrix
- gate error
- read out measurement error

Representing the Hilbert space

Spin- $\frac{1}{2}$ system : directly mapped to a qubit

Fermionic system

- Jordan-Wigner Transformation** : $|\Psi\rangle = |n_{N-1}, \dots, n_0\rangle \leftrightarrow |z_{N-1}, \dots, z_0\rangle \quad n_i = z_i$
 $\hat{c}_i \leftrightarrow A_i Z_{i-1} \dots Z_0 \quad \hat{c}_i^\dagger \leftrightarrow A_i^\dagger Z_{i-1} \dots Z_0 \quad A_i = \frac{(X_i + iY_i)}{2}$
 - measuring parity requires $\mathcal{O}(N)$ operators
 - updating an occupation number requires $\mathcal{O}(1)$ operators
- Parity Encoding** : $|\Psi\rangle = |n_{N-1}, \dots, n_0\rangle \leftrightarrow |z_{N-1}, \dots, z_0\rangle \quad z_i = \left[\sum_{j=0}^i n_j \right] \bmod 2$
 $\hat{c}_i \leftrightarrow X_{N-1} \dots X_{i+1} (X_i Z_{i-1} + iY_i) \quad \hat{c}_i^\dagger \leftrightarrow X_{N-1} \dots X_{i+1} (X_i Z_{i-1} - iY_i)$
 - measuring parity requires $\mathcal{O}(1)$ operators
 - updating an occupation requires $\mathcal{O}(N)$ operators
- Bravyi-Kitaev** : a hybrid of **Parity** and **Jordan-Wigner**

Notation

- n_i : fermionic orbitals/site occupation number $n_i \in \{0, 1\}$
- $\hat{c}_i, \hat{c}_i^\dagger$: creation operator, annihilation operator

Variational quantum solver

extract the spectrum of an operator

[QFT] Quantum fourier transform

- exact solution
- vast number of gate operations

[VQE] Variational Quantum Eigensolver : $\min_{\theta} \frac{\langle \Psi(\theta) | \hat{H} | \Psi(\theta) \rangle}{\langle \Psi(\theta) | \Psi(\theta) \rangle}$

- quantum computer : expectation evaluation

- classical computer : optimization COBYLA (no SGD since no gradient)

[UCC] Unitary Coupled Cluster : $|\Psi(\theta)\rangle = e^{\hat{T}(\theta)-\hat{T}^\dagger(\theta)} |\Psi_0\rangle$

- a good choice for variational state
- huge circuit depth
- much depend on the choice of state $|\Phi_0\rangle$

[UCCSD] Unitary Coupled Cluster with Single and Double excitation

- $\hat{T}(\theta) \approx \hat{T}_1(\theta_1) + \hat{T}_2(\theta_2)$
 - $\hat{T}_1(\theta_1) = \sum_{i,j} \theta_{1,i,j} \hat{c}_i^\dagger \hat{c}_j$
 - $\hat{T}_2(\theta_2) = \sum_{i,j} \theta_{2,i,j,k,l} \hat{c}_i^\dagger \hat{c}_k^\dagger \hat{c}_j \hat{c}_l$

Notation

- $\hat{T}(\theta)$: excitation operator
- $|\Psi_0\rangle$: Hartree-Fock/single Slater determinant state