

# Dynamics of Large-Scale Atmospheric Flows

## Basics

### Notation

$$\frac{D_h}{Dt} = \frac{\partial}{\partial t} + u \frac{\partial}{\partial x} + v \frac{\partial}{\partial y}$$

$$\frac{D}{Dt} = \frac{D_h}{Dt} + w \frac{\partial}{\partial z}$$

$$i = (1, 0, 0)$$

$$j = (0, 1, 0)$$

$$k = (0, 0, 1)$$

### Coordinate system

#### Spherical coordinate system

$$x = r \sin \phi \cos \lambda$$

$$y = r \sin \phi \sin \lambda$$

$$z = r \cos \phi$$

#### Unit vectors on the sphere

$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} : i = \begin{pmatrix} -\sin \lambda \\ \cos \lambda \\ 0 \end{pmatrix}$$

$$j = \begin{pmatrix} -\sin \theta \cos \lambda \\ -\sin \theta \sin \lambda \\ \cos \theta \end{pmatrix}$$

$$k = \begin{pmatrix} \cos \theta \cos \lambda \\ \cos \theta \sin \lambda \\ \sin \theta \end{pmatrix}$$

#### Wind vectors on the sphere

$$\frac{Di}{Dt} := u = r \cos \phi \frac{D\lambda}{Dt}$$

$$\frac{Dj}{Dt} := v = r \frac{D\phi}{Dt}$$

$$\frac{Dk}{Dt} := w = \frac{Dr}{Dt}$$

### Basic equations

6 equations for 6 unknowns  $u, v, w, \rho, p, T$

#### Equations of motion (Navier-Stokes)

$x, y, z$  are spherical unit coordinates (eastward, northward, vertical). Equations are simplified through  $\beta$ -plane.

#### $\beta$ -plane approximation

$$f = f_0 + \beta y, \beta = \frac{2\Omega \cos \phi_0}{a}$$

$a$  = Earth radius

$$\frac{Du}{Dt} - fv = -\frac{1}{\rho} \frac{\partial p}{\partial x}$$

$$\frac{Dv}{Dt} + fu = -\frac{1}{\rho} \frac{\partial p}{\partial y}$$

$$\frac{Dw}{Dt} = -\frac{1}{\rho} \frac{\partial p}{\partial z} - g$$

#### Continuity equation

$$\frac{D\rho}{Dt} + \rho(\nabla \cdot \mathbf{u}) = 0$$

#### Equation of state

$$pV = nRT$$

$$\Leftrightarrow p = \rho RT$$

#### Thermodynamic equation

$$\frac{D \ln \theta}{Dt} = \frac{1}{\theta} \frac{D\theta}{Dt} = \frac{1}{c_p T} \frac{DH}{\sum \text{diabatic processes}}$$

### Large-scale approximations (Synoptic-Scale Motions)

#### Vertical component decomposition

Due to the strong vertical stratification of the atmosphere, it is useful to decompose a field variable  $\chi$  as follows.

$$\chi = \chi_0(z) + \chi^*(x, y, z, t)$$

At a certain height,

$$\chi_0 \gg |\chi^*|$$

This holds for  $\chi = p, \rho, \theta, T$

#### Density – potential temperature relation

$$\frac{\rho^*}{\rho_0} \approx -\frac{\theta^*}{\theta_0}$$

This holds for the sea breeze and cumulus scale.

#### Hydrostatic approximation

Using: Vertical momentum equation

$$\frac{Dw}{Dt} \approx 0 \Rightarrow \frac{\partial p}{\partial z} = -\rho g$$

### Geostrophic wind

Using: Horizontal momentum equation

$$\begin{aligned} -fv &\approx -\frac{1}{\rho} \frac{\partial p}{\partial x} \\ fu &\approx -\frac{1}{\rho} \frac{\partial p}{\partial y} \end{aligned}$$

Equivalently,

$$\mathbf{v}_G = \begin{pmatrix} u \\ v \\ 0 \end{pmatrix} = k \times \frac{1}{f\rho} \nabla_h p$$

### Thermal wind

Using: Hydrostatic & geostrophic approximations & equation of state

$$\underbrace{\frac{\partial v_G}{\partial z}}_{v_{th}} = \frac{g}{fT} k \times \nabla_h T$$

- Left turning of geostrophic wind => Cold air advection
- Right turning of geostrophic wind => Warm air advection

### Vorticity

$\zeta$ : Vertical component of vorticity

$$\zeta = \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y} = \mathbf{k} \times (\nabla \times \mathbf{u})$$

$$\zeta = \begin{cases} \text{cyclonic circulation,} & \zeta > 0 \\ \text{anticyclonic circulation,} & \zeta < 0 \end{cases}$$

### Vorticity equation

$$\begin{aligned} \frac{D\zeta}{Dt} + \beta v &= \underbrace{-(\zeta + f)(\nabla_h v)}_{\text{divergence effect}} - \underbrace{\left( \frac{\partial w}{\partial x} \frac{\partial v}{\partial z} - \frac{\partial w}{\partial y} \frac{\partial u}{\partial z} \right)}_{\text{twisting/tilting}} + \underbrace{\frac{1}{\rho^2} \left( \frac{\partial \rho}{\partial x} \frac{\partial p}{\partial y} - \frac{\partial \rho}{\partial y} \frac{\partial p}{\partial x} \right)}_{\text{solenoidal effect}} \div \mathbf{u}_a \neq 0 \\ &\quad \text{with } |\mathbf{u}_a| \ll |\mathbf{v}_G| \end{aligned}$$

Synoptic scale approximation

$$\frac{D_h}{Dt} \zeta + \beta v = -f_0 (\nabla_h v)$$

## Quasi-geostrophic (QG)

### approximation

#### Simplifications

$$\begin{aligned} f &\approx f_0 \\ \zeta &\approx \zeta_G \end{aligned}$$

$$\begin{aligned} \frac{D_h}{Dt} &\approx \frac{D_G}{Dt} \\ \Psi &= \frac{p^*}{f_0 \rho_0} \end{aligned}$$

Subscript G denotes the usage of the geostrophic wind, i.e.  $f_G(\mathbf{u}) = f(\mathbf{u}_G)$

### QG Equations

$$\begin{aligned} \text{Geostrophic approximation} \quad u_G &= -\frac{\partial \Psi}{\partial y}; v_G = \frac{\partial \Psi}{\partial x} \\ \text{Hydrostatic approximation} \quad g \frac{\theta^*}{\theta_0} &= f_0 \frac{\partial \Psi}{\partial z} \\ \text{Vorticity equation} \quad \frac{D_G}{Dt} \zeta_G + \beta \frac{\partial \Psi}{\partial x} &= -f_0 (\nabla_h v) \\ \text{Mass conservation} \quad \nabla_h \mathbf{v} + \frac{1}{\rho_0} \frac{\partial}{\partial z} (\partial_0 w) &= 0 \\ \text{Thermodynamic equation} \quad \frac{D_G}{Dt} \left( f_0 \frac{\partial \Psi}{\partial z} \right) + N^2 w &= 0 \end{aligned}$$

### Implications on vorticity / westward tilt

- $\zeta_G = \nabla_h^2 \Psi \propto -p$   
Positive / negative values of  $\zeta_G$  are associated with low / high pressure
- $\frac{\partial}{\partial z} \zeta_G = \nabla_h^2 \left( \frac{\partial}{\partial z} \Psi \right) = \frac{g}{f_0 \theta_0} \nabla_h^2 \theta^* \propto -T$   
Positive / negative values of  $\frac{\partial}{\partial z} \zeta_G$  are associated with low / high temperature
- Both effects together lead to the westward slope of cyclones and anticyclones.

### Ageostrophic wind

$$\begin{aligned} \mathbf{u} &= \mathbf{v}_G + \mathbf{u}_a \\ \mathbf{v}_G &= (u_G, v_G, 0) \\ \mathbf{u}_a &= (u_a, v_a, w) \end{aligned}$$

### Some properties

### Linkage of ageo- and geostrophic wind

Assumptions:  $N^2 = \text{const.}$ ,  $\beta = 0$

From thermodynamic equation and vorticity equation using hydrostatic approximation.

$$N^2 \frac{\partial w}{\partial x} - f_0^2 \frac{\partial u_a}{\partial z} = 2Q_1$$

$$N^2 \frac{\partial w}{\partial y} - f_0^2 \frac{\partial v_a}{\partial z} = 2Q_2$$

$$Q_1 = f_0 \left( \frac{\partial u_G}{\partial z} \frac{\partial v_G}{\partial x} + \frac{\partial v_G}{\partial z} \frac{\partial v_G}{\partial y} \right) = -\frac{g}{\theta_0} \left( \frac{\partial u_G}{\partial x} \frac{\partial \theta^*}{\partial x} + \frac{\partial v_G}{\partial x} \frac{\partial \theta^*}{\partial y} \right)$$

$$Q_2 = -f_0 \left( \frac{\partial u_G}{\partial z} \frac{\partial u_G}{\partial x} + \frac{\partial v_G}{\partial z} \frac{\partial u_G}{\partial y} \right) = -\frac{g}{\theta_0} \left( \frac{\partial u_G}{\partial y} \frac{\partial \theta^*}{\partial x} + \frac{\partial v_G}{\partial y} \frac{\partial \theta^*}{\partial y} \right)$$

Correct? Inserted minus and replaced  $v\_G$  with  $u\_G$

Diagnostic  $w$  equation (vertical wind)

$$2 \nabla \cdot \mathbf{Q} = N^2 (\nabla_h^2 w) + f_0^2 \left( \frac{\partial^2 w}{\partial z^2} \right)$$

$$\text{With } 2 \nabla \cdot \mathbf{Q} = 2 \left( \frac{\partial}{\partial x} Q_1 + \frac{\partial}{\partial y} Q_2 \right)$$

4-step golden rule

1.  $\nabla \cdot \mathbf{Q} \approx \nabla^2 w \approx -w$
2.  $\frac{D_G}{Dt} \zeta_G \propto \frac{\partial}{\partial z} (\rho_0 w)$
3.  $\frac{D_G}{Dt} \zeta_G \begin{cases} > 0 & \text{Cyclogenesis} \\ < 0 & \text{Anticyclogenesis} \end{cases}$ 
  1. W-equation
  2. Combination of vorticity equation and mass conservation
  3. Approximating  $\zeta$  with  $\frac{D_G}{Dt} \zeta$ ?

Finding  $\nabla \cdot \mathbf{Q}$

1. Find largest Q-vector. Arrowhead is zone of convergence ( $\nabla \cdot \mathbf{Q} < 0$ ), tail is zone of divergence
2.  $\mathbf{Q} = -\frac{g}{\theta_0} |\nabla_h \theta^*| \left( \mathbf{k} \times \frac{\partial}{\partial \xi} \mathbf{v}_G \right)$
3. Find largest temperature gradient and a strong wind change along the isentrope

QG Potential Vorticity

1. Combination of vorticity equation and mass conservation.
2. Combine this with thermodynamic equation
3. Interchange  $\frac{\partial}{\partial z}$  and  $\frac{D_G}{Dt}$

$$\frac{D_G}{Dt} \left[ \underbrace{\zeta_G + \frac{f_0^2}{\rho_0} \frac{\partial}{\partial z} \left( \frac{\rho_0}{N^2} \frac{\partial \Psi}{\partial z} \right)}_{=: q = \text{QGPV}} + \beta y \right] = 0$$

- $q \approx \nabla^2 \Psi$
- $q$  = relative vorticity + static stability

- Static stability: From hydrostatic equation:  $\frac{\partial}{\partial z} \theta^* \propto \frac{\partial^2}{\partial z^2} \Psi$

- Only valid for adiabatic flow.

QG Prognostic system

1.  $q(t_0)$  known with B.C.  $\theta^*(t_0, z = 0)$
2. Main diagnostic step
  - a. Solve  $q = \nabla^2 \Psi$
  - b.  $\Psi$  yields  $u_G, v_G$  and  $\theta^*$
  - c. These yield the Q-vectors
  - d. The Q-vectors yield  $w$
3. Do prognostic step using passive advection of  $\frac{D_G}{Dt} (q + \beta y) = 0$  and go to 2.

QGPV key properties

- Conservation:  $\frac{D_G}{Dt} (q + \beta y) = 0$
- Invertibility:  $q = \nabla^2 \Psi$
- Partition and attribution:  $q = \sum_j q_j$

Prototype vortex for QG flow

Since QGPV can be decomposed into “atomic” vortices, we can analyse prototype vortices.

Given:

$$q = \begin{cases} p, & r < a \\ 0, & r \geq a \end{cases}$$

Then:

- $v = \begin{cases} \Omega R, & r < a \\ \frac{k}{r^2}, & r \geq a \end{cases}$
- Here,  $r$  is the spherical symmetrical coordinate, and  $R$  is the “ $x$ ” coordinate

Ertel PV

Idea: Analogue derivation to QGPV, but starting from full NSE.

Applicable only for inviscid and adiabatic flow.

$$\frac{D}{Dt} \left[ \frac{1}{\rho} \underbrace{\boldsymbol{\eta} \cdot \nabla \theta}_{\text{Ertel PV}} \right] = 0$$

- $\boldsymbol{\eta} = \text{rot } \mathbf{u} + 2\boldsymbol{\Omega}$ , absolute vorticity vector

## Isentropic PV

$$\frac{D}{Dt} \left[ \underbrace{\frac{1}{\rho} (\zeta + f) \cdot \frac{\partial \theta}{\partial z}}_{IPV} \right] = 0$$

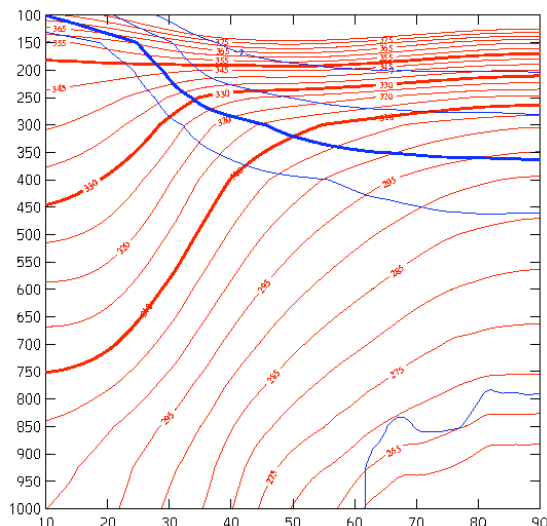
- IPV = z-component of PV
- $\frac{1}{\zeta} \propto \frac{\partial \theta}{\partial z}$

## Preliminaries for PV chart analysis

- Unit for PV: 1 pvu. 2 pvu are defined as the tropopause.
- $\frac{\partial \theta}{\partial z} > 0$  &  $\theta$  increases towards equator.
- Max(PV) at equator due to Coriolis parameter. ?? Coriolis parameter zero at Equator
- $\frac{\partial PV}{\partial z} > 0$ , because  $\frac{\partial \theta}{\partial z} > 0$  &  $\frac{\partial}{\partial z} \left( \frac{1}{\rho} \right) > 0$
- For adiabatic and inviscid flow
 
$$\frac{D\theta}{Dt} = 0 \text{ \& \> } \frac{DPV}{Dt} = 0$$

## PV anomaly / cyclogenesis

- Strong PV gradient (w.r.t what coordinate? T,x?) => Strong wind. Why? Because of  $\zeta$ ?
- Adiabatic movement of air parcel towards south => stays on isentrope.
- As it must retain PV, it "drags" its PV value along the isentrope. A PV anomaly occurs.
- Because of far-field effect => why? of a strong PV gradient (=> strong wind), a cyclone forms at ground.



## Idealised PV situations

Positive upper-level PV anomaly.

CCW circulation (Why?)

Negative upper-level PV anomaly.

CW circulation (Why?)

Negative surface temp. anomaly.

Higher  $\frac{\partial \theta}{\partial z} \Rightarrow$  lower  $\zeta$

Positive surface temp. anomaly

Lower  $\frac{\partial \theta}{\partial z} \Rightarrow$  higher  $\zeta$  Why are windspeeds not only lower but opposite in sign (negative and positive sfc temp. anomaly)?

## Diabatic PV

$$\frac{D}{Dt} PV \approx -g(f + \zeta) \frac{\partial \theta}{\partial p}$$

Insert: Image with diabatic PV through a cloud

## QG Wave theory

### Examples

- Large scale flows in mid and upper-troposphere
- Planetary scale quasi-stationary and quasi-steady wave features in stratosphere

## Waves on a uniform zonal flow

- Set:  $\Psi(y) = -Uy$
- Split quantities in basic state and perturbations
 
$$\psi = \Psi(y) + \psi'(x, y, z, t), |\psi'| \ll |\Psi|$$

From the QG potential vorticity equation, inserting  $\psi$  and linearizing (i.e. discarding terms like  $a'b'$ ) yields the perturbation equation:

$$\left( \frac{\partial}{\partial t} + U \frac{\partial}{\partial x} \right) q' + \frac{\partial \bar{q}}{\partial y} v' = 0$$

- $\Psi(y) = -Uy$ ,  $\nabla_h^2 \Psi = 0$  and  $\frac{\partial}{\partial z} \Psi = 0$ , thus  $\bar{q} = 0 + \beta y = \beta y$
- $\frac{\partial \bar{q}}{\partial y} = \beta$

- $q' = \nabla_h^2 \psi' + \frac{f_0^2}{\rho_0} \frac{\partial}{\partial z} \left( \frac{\rho_0}{N^2} \frac{\partial \psi'}{\partial z} \right)$   
QGPV definition

## 2d wave solution (aka Rossby wave)

Solution on a mid-latitude band (all longitudes, latitudes 30-90°N)

$$\psi' = A \sin(kx - \omega t) \sin(l(y + d))$$

## Dispersion relationship

$$\omega = Uk - \frac{\beta k}{k^2 + l^2}$$

- $k = \frac{2\pi}{L} = \frac{2m}{a}$ 
  - East-West wavelength:  $mL = \pi a$
  - $m=1 \Rightarrow$  One full sine period
- $l = \frac{2\pi}{M} = \frac{3m'}{a}$ 
  - North-South half-wavelength:  $m' \left( \frac{M}{2} \right) =$
  -

## Phase velocities

- $u_p = \frac{\omega}{k} = U - \frac{\beta}{k^2 + l^2}$
- $v_p = \frac{\omega}{l}$

## Effects

$m' = 1; m$	1	2	3	4
$-\frac{\beta}{k^2 + l^2}$	-35.5	-18.5	-6.0	-1.7

- **Short waves (large m) typically move with  $U$**
- **Long waves (small m) move against  $U$**

## Group velocities

- $u_g = \frac{\partial \omega}{\partial k} = U + \frac{\beta(k^2 - l^2)}{(k^2 + l^2)^2}$
- $v_g = \frac{\partial \omega}{\partial l} = 2\beta \frac{kl}{(k^2 + l^2)^2}$

## Effects

- $k^2 < l^2 \Rightarrow (u_g - U) < 0$
- $k^2 > l^2 \Rightarrow (u_g - U) > 0$
- Longitudinal waves propagate eastwards
- Latitudinal waves propagate westwards

## More effects

$$\frac{v_g}{u_g} = \tan \alpha = \frac{2kl}{k^2 - l^2}$$

Westward translation of a sinusoidal displacement of a fluid

## 3d wave solution

$$\psi'(x, y, z, t) = \chi'(x, y, z, t) e^{\frac{z}{2H_0}}$$

Yields a slightly different perturbation equation with solutions of the form:

$$\chi' = \psi(z) \sin(kx - \omega t) \sin l(y + d)$$

With the following constraints

- $\psi_{zz} + n^2 \psi = 0$
- $n^2 = \left( \frac{N}{af_0} \right)^2 \left[ \frac{\beta a^2}{U - c} - (4m^2 + 9m'^2 + \alpha^2 a^2) \right]$
- $(\omega - Uk) \left( \psi_z + \frac{1}{2H_0} \psi \right) = 0$

## Effect: Vertical propagability

- $\psi \propto e^{\pm inz}$  for  $n^2 > 0$
- $\psi \propto e^{\mp nz}$  for  $n^2 < 0$

Discard exponential solution. Vertically propagating waves only occur for  $n^2 > 0$

$$n^2 > 0 \Leftarrow$$

- $(U - c) > 0$ : Wave velocity  $c$  must be easterly relative to zonal flow  $U$
- $(U - c) < \frac{\beta}{k^2 + l^2 + \alpha^2}$ : For typical values of  $\beta, k, l, \alpha$
- The above criteria are only satisfied for low zonal wavenumbers  $m$ , since

Zonal wavenumber	$\frac{\beta}{k^2 + l^2 + \alpha^2}$
m=1	55 $ms^{-1}$
m=2	38 $ms^{-1}$
m=3	24 $ms^{-1}$

Real effect: From the troposphere, only m=1 or m=2 waves propagate to the stratosphere.

## Orographic forcing

Setting: Stationary, incompressible wave ( $\frac{D}{Dt} = 0, \rho_0 = \text{const.}$ ), subject to sinusoidal terrain

## Perturbation equation

$$U \frac{\partial}{\partial x} \left[ \psi'_{xx} + \psi'_{yy} + \frac{f_0^2}{N^2} \psi'_{zz} \right] + \beta \frac{\partial \psi'}{\partial x} = 0$$

**Terrain ( $\eta$ ) and B.C.**

$$\eta = \eta_0 \cos(kx) \sin \left[ \frac{\pi}{2d} (y + d) \right]$$

$$\frac{\partial \eta}{\partial x} = \frac{\omega'}{U}$$

### Solution

$$\psi' \propto -\sin(kx + nz) \sin \left[ \frac{\pi}{2d} (y + d) \right], n^2 > 0$$

$$\psi' \propto \cos(kx) e^{-\mu z} \sin \left[ \frac{\pi}{2d} (y + d) \right], n^2 < 0$$

$$n^2 = f(U, \dots) \begin{cases} > 0, & m = 1, 2, 3; m' = 1, 2 \\ < 0, & m > 3 \end{cases}$$

There are large Rossby waves induced by (large) mountains such as the Rocky mountains, Himalaya or Greenland.

How is  $\psi$  related to our streamfunction from QG system?

### Notions

- Cyclonic = Counter clockwise = Left turn
- Anticyclonic = Clockwise = Right turn
- Zonal wavenumber =  $m$
- North-south petal count =  $m'$
- Large waves  $\Leftrightarrow$  small  $m$
- Short waves  $\Leftrightarrow$  large  $m$