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FÜR INFORMATIK
Faculty of Informatics

An Introduction to Alpha Matting

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www.ims.tuwien.ac.at/IVAS

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- **Introduction**
- **Matting algorithms**
 - **Closed form matting**
 - **Robust and improved color sampling**
- **Alpha matting website (www.alphamatting.com)**
- **Conclusion**

Introduction

Matting and compositing



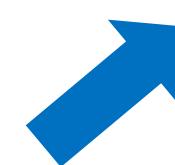
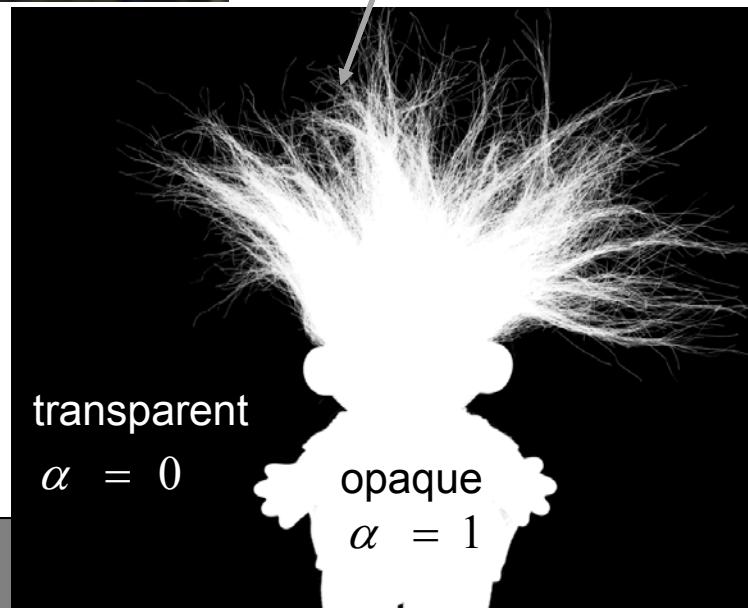
Matting and compositing



Semi-transparent
 $0 < \alpha < 1$



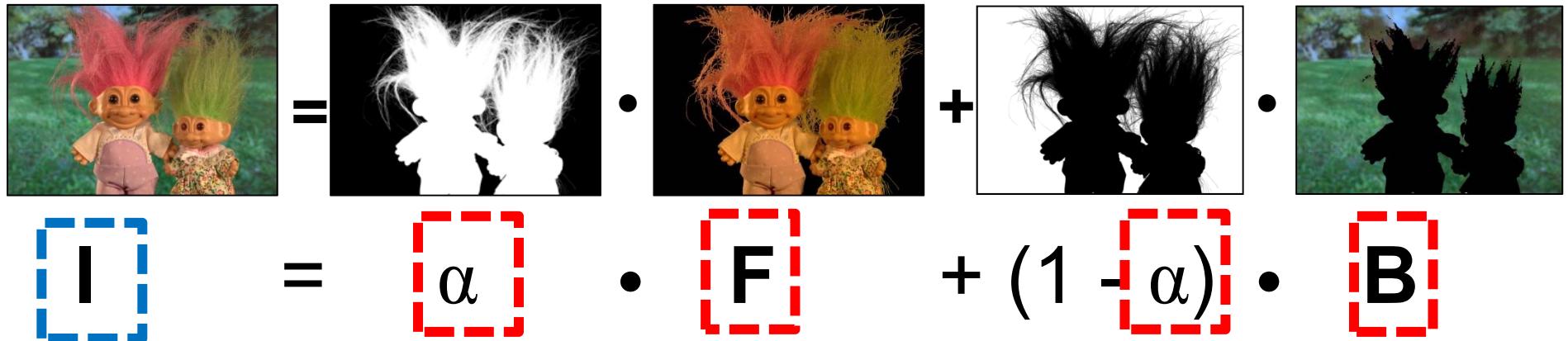
Matting: Precise extraction of foreground object



Compositing: Object is pasted onto new background

Compositing equation

- Splitting up a natural image



Matting = inverse process of compositing:

Determine: **F**(oreground), **B**(ackground), **α** (matte)

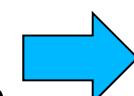
Given: **I**(mage)

Why is matting difficult?



$$\begin{aligned} I^r &= \alpha \times F^r \\ I^g &= \alpha \times F^g \\ I^b &= \alpha \times F^b \\ &\quad + (1 - \alpha) \times B^r \\ &\quad + (1 - \alpha) \times B^g \\ &\quad + (1 - \alpha) \times B^b \end{aligned}$$

Under-constrained problem:
7 *unknowns* in only 3 equations



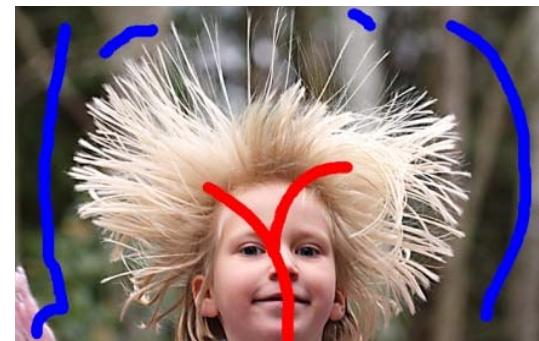
No unique solution!
Constraints are necessary

Constrain the matting problem

- Two main ways to make the matting problem tractable



Known background (Chroma keying)
- Image from Wikipedia



Scribble-based interface



Trimap



Alpha matte
(BMVC08)

User interaction (natural image matting)

- **Sampling-based approaches**
 - Knockout, Bayesian
- **Propagation-based approaches**
 - Random walk, Poisson matting, closed form matting
- **Hybrid approaches**
 - Robust color sampling, improved color sampling, global sampling, shared sampling, comprehensive sampling, etc.

Introduction to Closed Form Matting

A. Levin D. Lischinski and Y. Weiss. A Closed Form Solution to Natural Image Matting. *Conference on Computer Vision and Pattern Recognition (CVPR)*, June 2006.

Recall compositing equation: $I = \alpha F + (1 - \alpha)B$

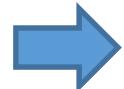
- **Assumption:**

- F&B are locally smooth
- F&B are constant over a small window w

$$I_i = \alpha_i F_i + (1 - \alpha_i) B_i$$

$$I_i = \alpha_i (F_i - B_i) + B_i$$

$$\alpha_i = \frac{I_i - B_i}{F_i - B_i}$$



$$\alpha_i \approx a I_i + b, \forall i \in w$$

$$a = \frac{1}{F - B}$$

$$b = -\frac{B}{F - B}$$



- **Goal:**

- Find α , a , and b minimizing the following equation:

$$J(\alpha, a, b) = \sum_{j \in I} \left(\sum_{i \in w_j} (\alpha_i - a_j I_i - b_j)^2 + \varepsilon a_j^2 \right)$$

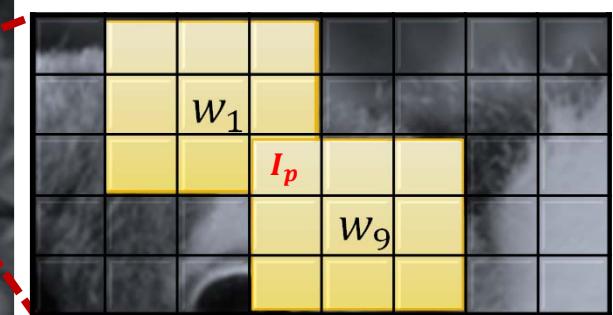
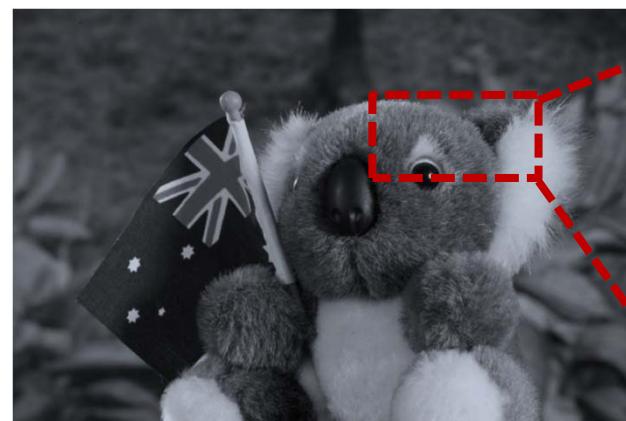
Regularization term for
 - Numerical stability
 - Smoother α matte

Overlapping window → Propagates the information between neighboring pixels

Window of 3x3 pixels



$$\begin{aligned} & (\alpha_p - a_1 I_p - b_1)^2 + \varepsilon a_1^2 \\ & \vdots \\ & (\alpha_p - a_9 I_p - b_9)^2 + \varepsilon a_9^2 \end{aligned}$$



Currently, there are $3N$ unknowns for N number of pixels

$$J(\alpha, a, b) = \sum_{j \in I} \left(\sum_{i \in w_j} (\alpha_i - a_j I_i - b_j)^2 + \varepsilon a_j^2 \right)$$

$$J(\alpha) = \min_{a, b} J(\alpha, a, b)$$

↓
Eliminating a , b , and obtain quadratic cost in α

$$J(\alpha) = \alpha^T \mathbf{L} \alpha$$

$$L_{ij} = \sum_{k|(i,j) \in w_k} \left(\delta_{ij} - \frac{1}{|w_k|} \left(1 + \frac{1}{\frac{\varepsilon}{|w_k|} + \sigma_k^2} (I_i - \mu_k)(I_j - \mu_k) \right) \right)$$

Kronecker delta

Mean and variance of the intensities in the window

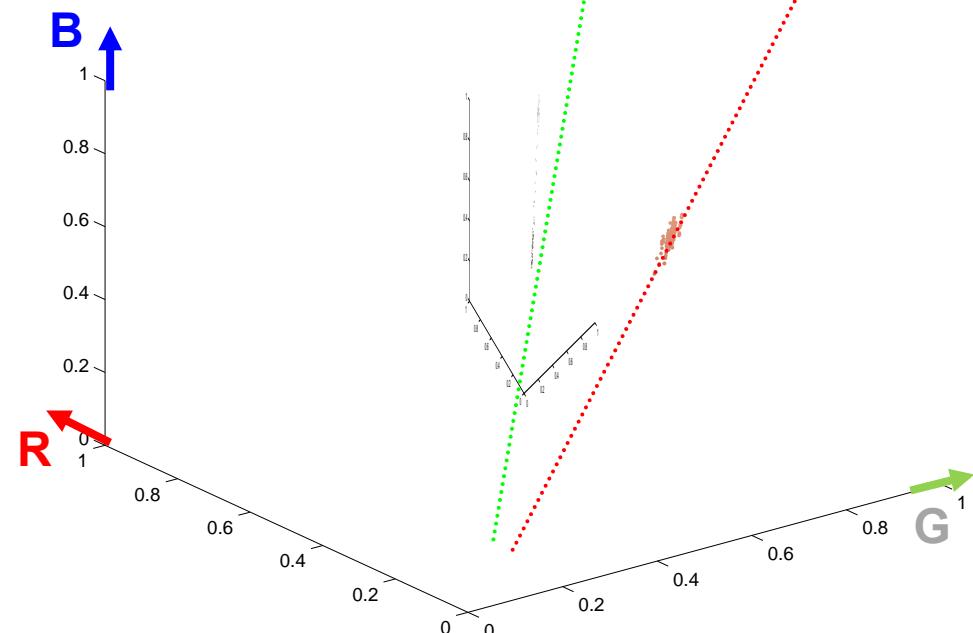
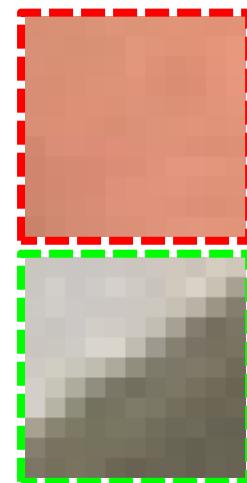
Recall linear model in gray scale: $\alpha_i \approx aI_i + b, \forall i \in w$

- For every window w

$$\alpha_i \approx \sum_c a^c I_i^c + b, \forall i \in w \leftarrow \text{4D linear model}$$

Assumption: F and B in a local window lie on a single line in RGB color space

$$F_i = \beta_i^F F_1 + (1 - \beta_i^F) F_2 \quad B_i = \beta_i^B B_1 + (1 - \beta_i^B) B_2$$



Using 4D linear model, cost function for matting of RGB images can be defined:

$$J(\alpha, a, b) = \sum_{j \in I} \left(\sum_{i \in w_j} \left(\alpha_i - \sum_c a_j^c I_i^c + b_j \right)^2 + \varepsilon \sum_c a_j^{c^2} \right)$$

Similarly to grayscale case, a^c and b can be eliminated from the cost function, then

$$J(\alpha) = \alpha^T L \alpha$$

where L is an $N \times N$ matrix, whose $(i, j)^{\text{th}}$ element is

$$\sum_{k|(i,j) \in w_k} \left(\delta_{ij} - \frac{1}{|w_k|} \left(1 + (C_i - \mu_k)^T (\Sigma_k + \frac{\varepsilon}{|w_k|} I_3)^{-1} (C_j - \mu_k) \right) \right)$$

Matting Laplacian

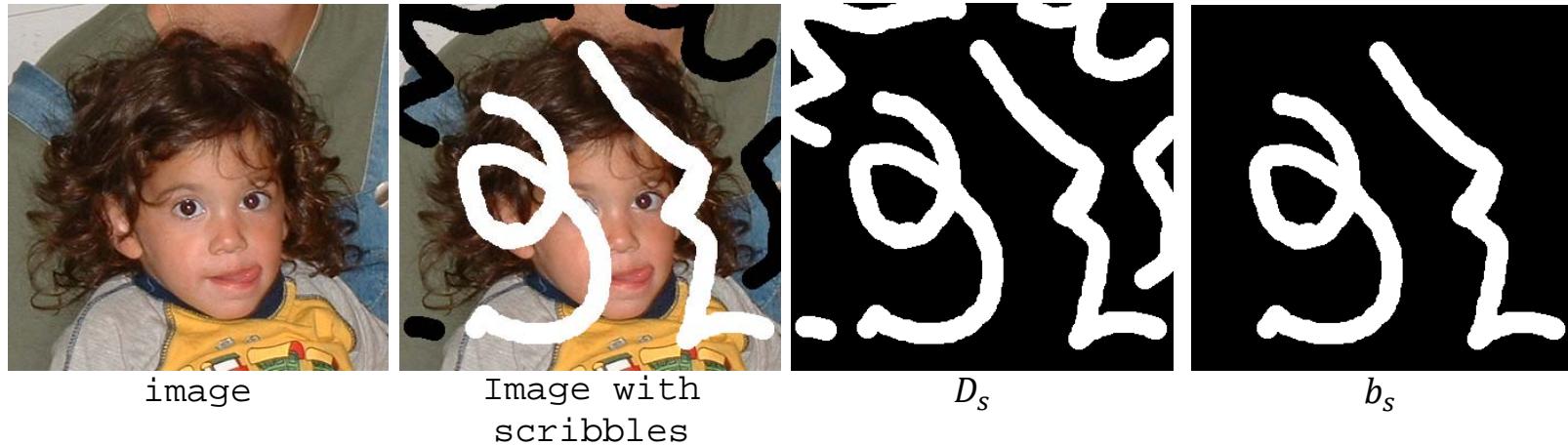
- Solving for α , based on user input

$$\alpha = \arg \min \alpha^T L \alpha + \lambda (\alpha^T - b_S^T) D_S (\alpha - b_S)$$

↓ by differentiating

$$(L + \lambda D_S) \alpha = \lambda b_S$$

Some large number
Specified alpha values
for F and B
Diagonal matrix whose diagonal elements are 1 for F and B



$$(L + \lambda D_s) \alpha = \lambda b_s$$

In Matlab, equation $Ax=b$ can be solved using division operator $x=A\backslash b$;

Matlab code available (<http://people.csail.mit.edu/alevin/matting.tar.gz>)

```
L=getLaplacian1(Image,user_input_map);  
D_s=spdiags(user_input_map(:,0),img_size,img_size);  
lambda=100;  
alpha=(L+lambda*D_s)\(lambda*user_input_value(:));
```



image



Image with
scribbles



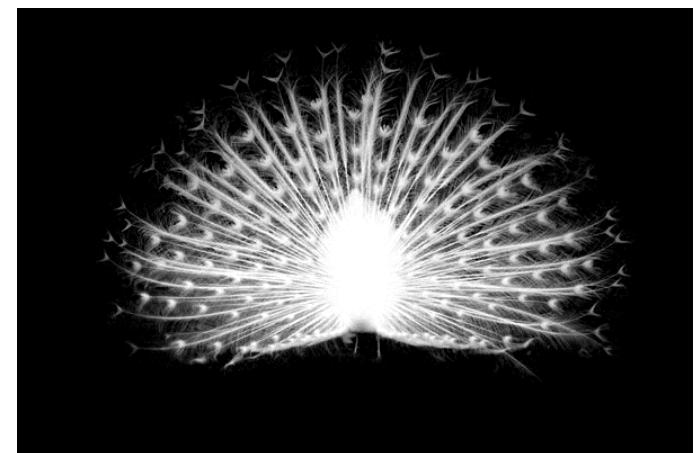
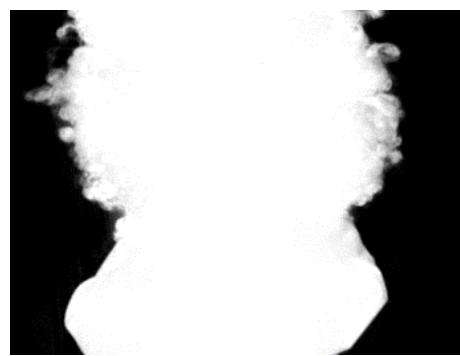
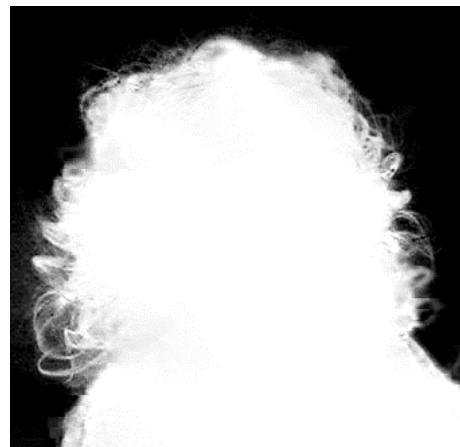
user_input_map



user_input_value

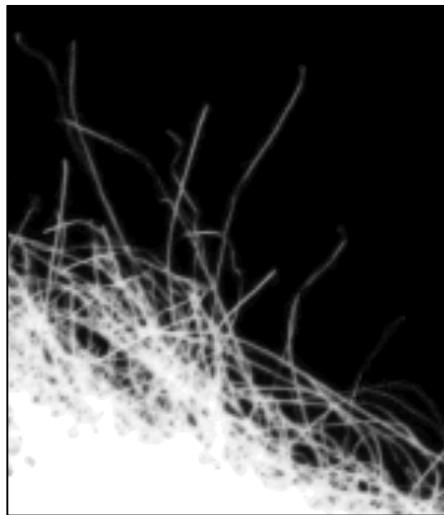
More results

- **Results**



Drawbacks

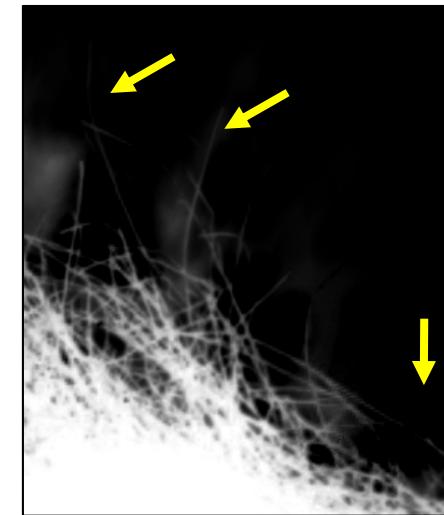
- **Result imperfect: Hairs cut off**



True solution



Input image + trimap



Result of closed form matting

Robust and improved color sampling

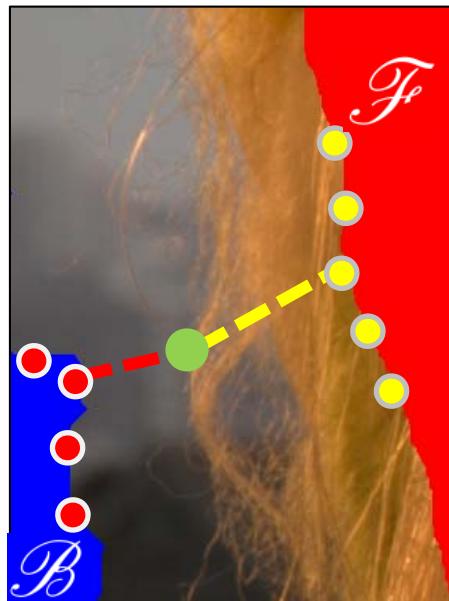
J. Wang, M. Cohen, Optimized Color Sampling for Robust Matting.
Conference on Computer Vision and Pattern Recognition (CVPR), 2007.

C. Rhemann, C. Rother, M. Gelautz, Improving Color Modeling for Alpha Matting. *British Machine Vision Conference (BMVC)*, 2008

- **Three steps:**

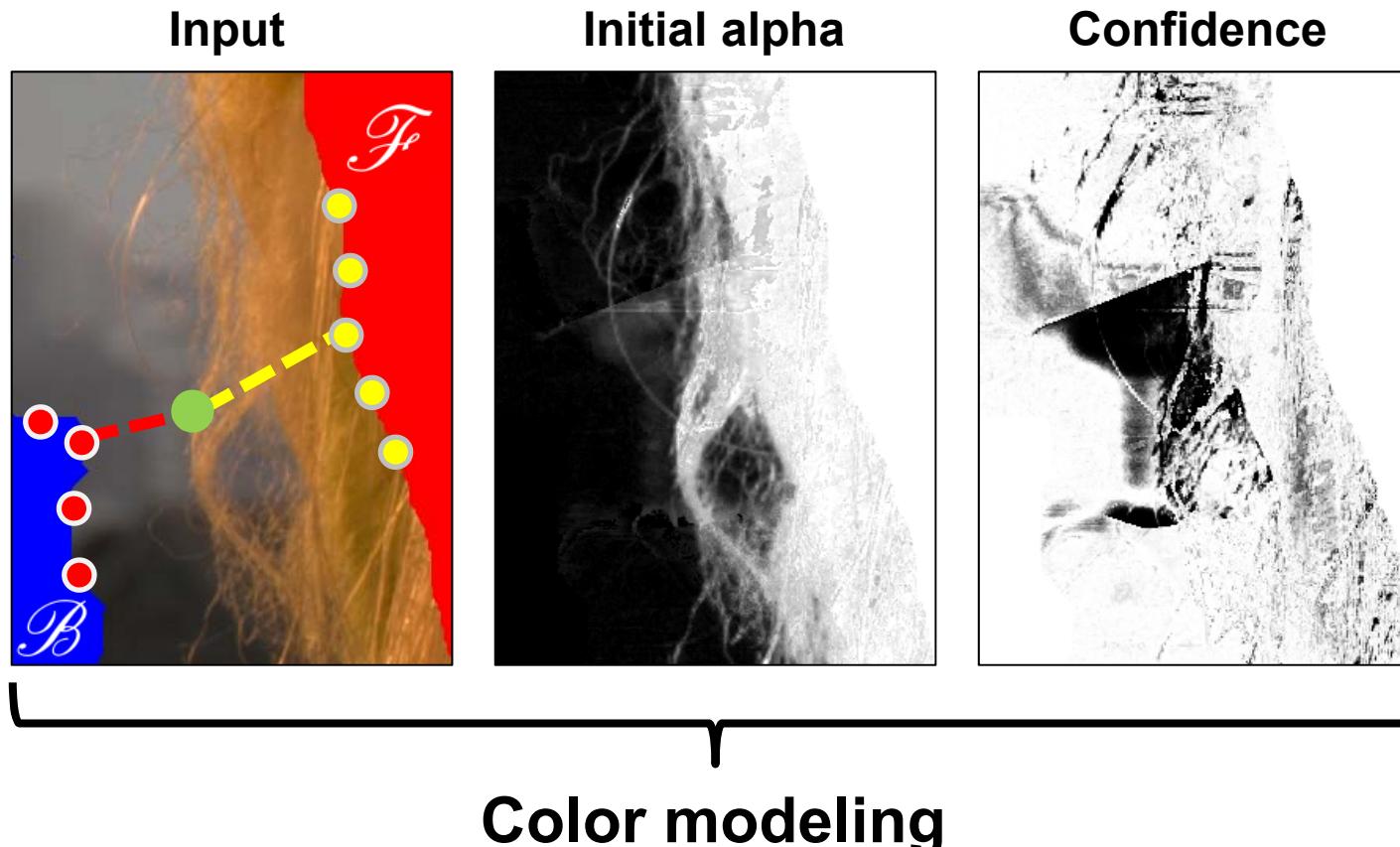
1. Collect potential set of fore- and background colors

Input



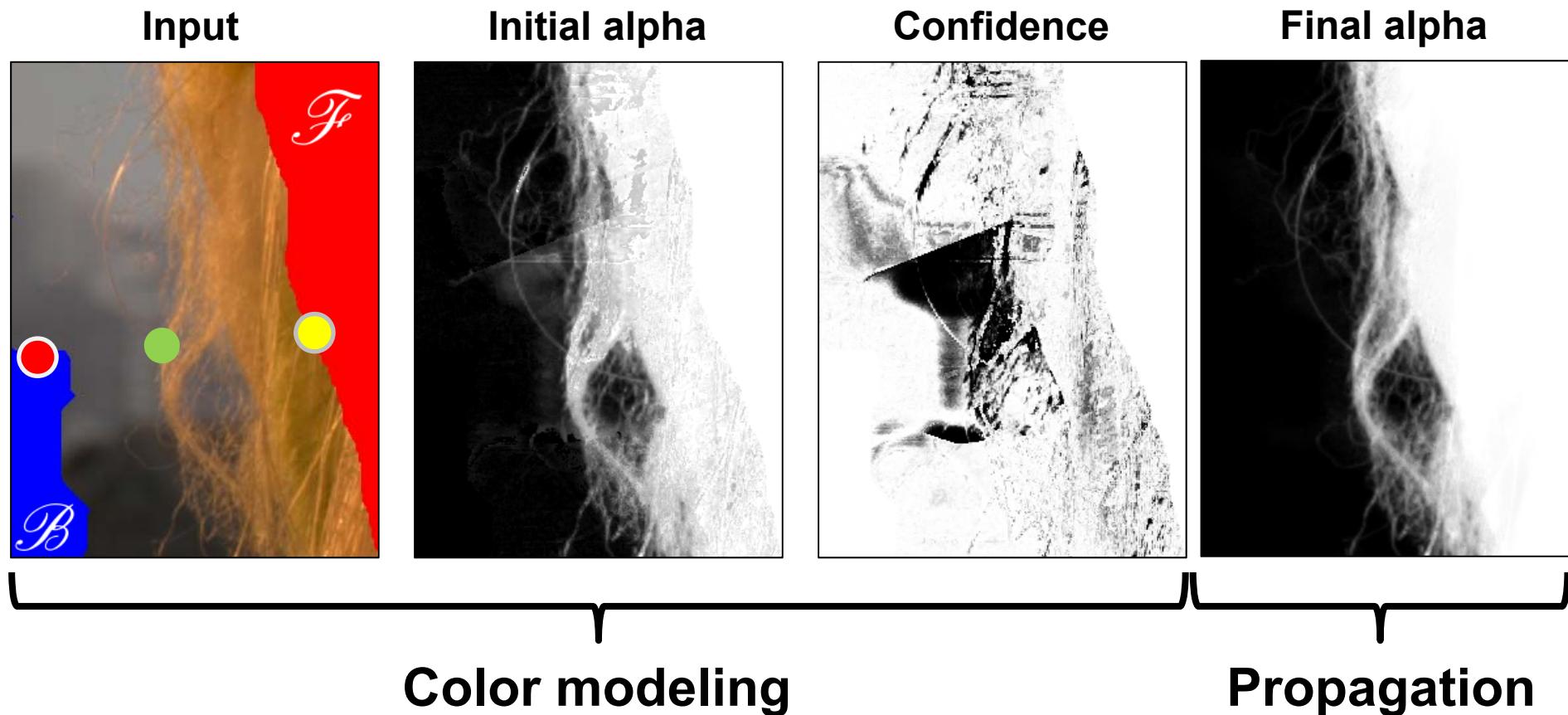
- **Three steps:**

2. Select most confident samples from initial set



- **Three steps:**

3. Encode smoothness assumption

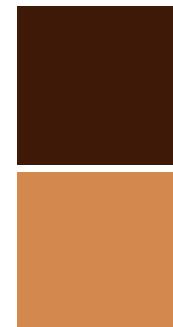


Robust color sampling

- Find nearest neighbor in spatial domain, and then propagate the samples along the boundary



True fgd color

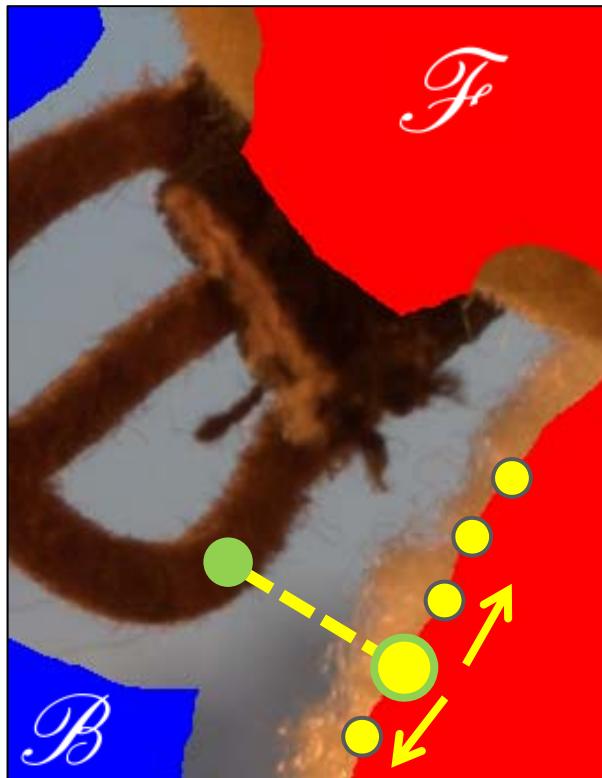


Sampled fgd color



Robust color sampling

- Find nearest neighbor in spatial domain, and then propagate the samples along the boundary



Improved color sampling

- **Geodesic sampling: Assume foreground spatially connected**



True fgd color



Sampled fgd color

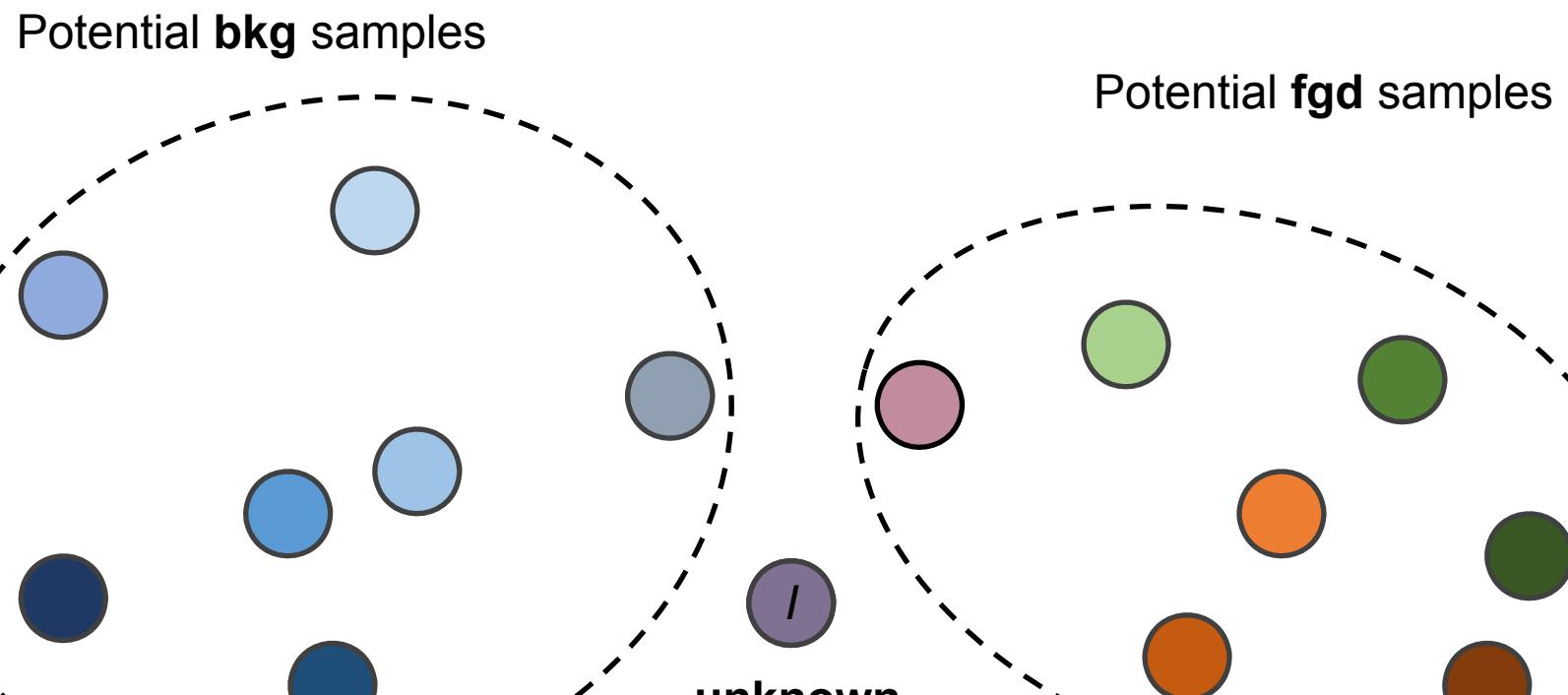


Improved color sampling

- **Geodesic sampling: Assume foreground spatially connected**



Step 2 - Selecting best samples

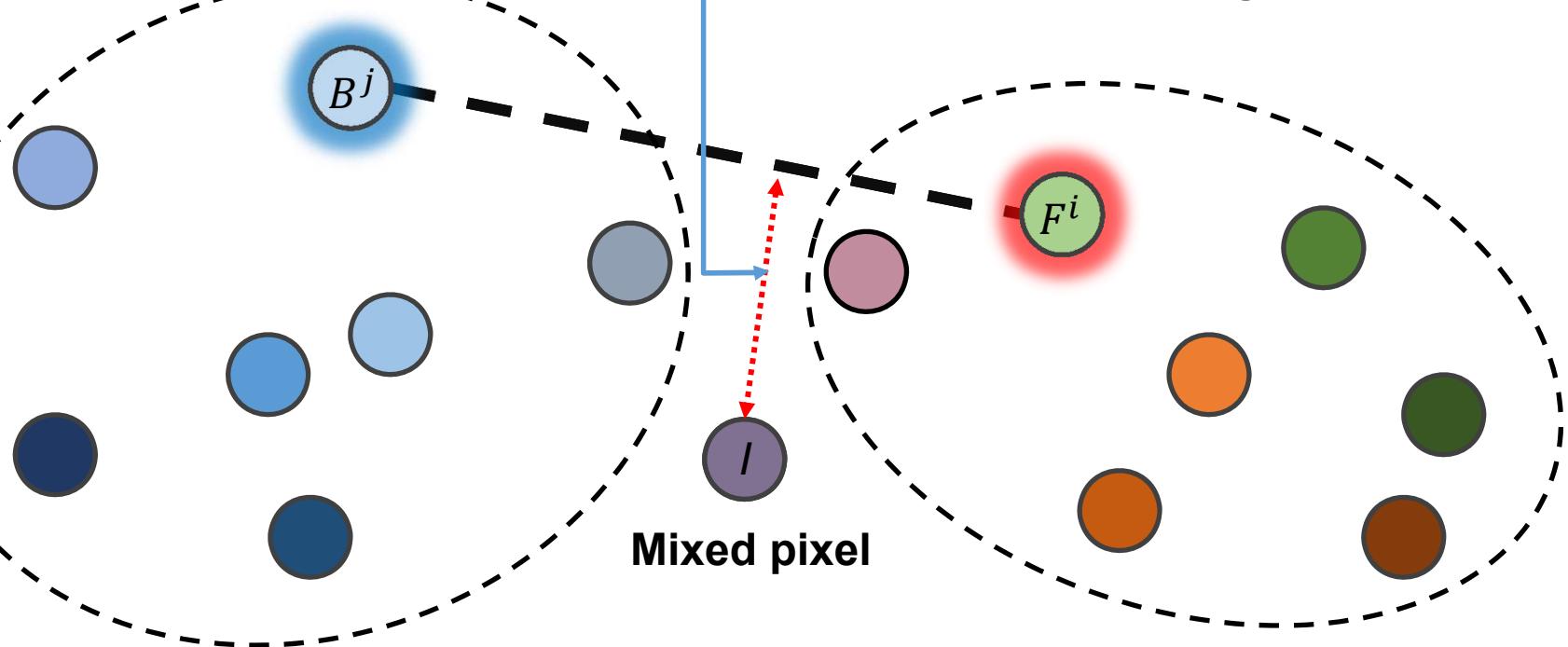


1. Linear model fit

$$E(F^i, B^j) = \|I - (\hat{\alpha}F^i + (1-\hat{\alpha})B^j)\|$$

Potential bkg samples

Potential fgd samples

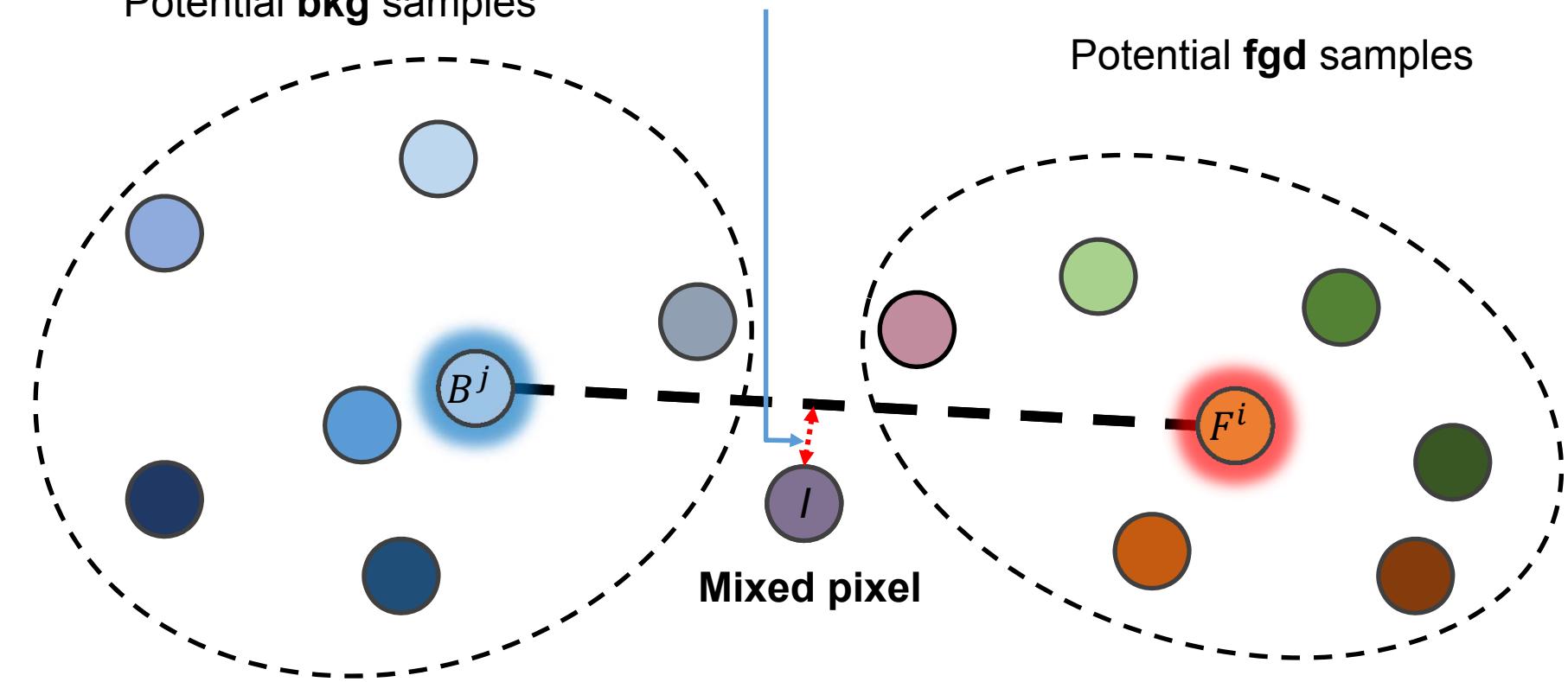


1. Linear model fit

$$E(F^i, B^j) = \|I - (\hat{\alpha}F^i + (1-\hat{\alpha})B^j)\|$$

Potential bkg samples

Potential fgd samples



2. Robustness

Potential **bkg** samples

$$R(F^i, B^j) = \frac{1}{\|F^i - B^j\|}$$

Potential **fgd** samples

Mixed pixel

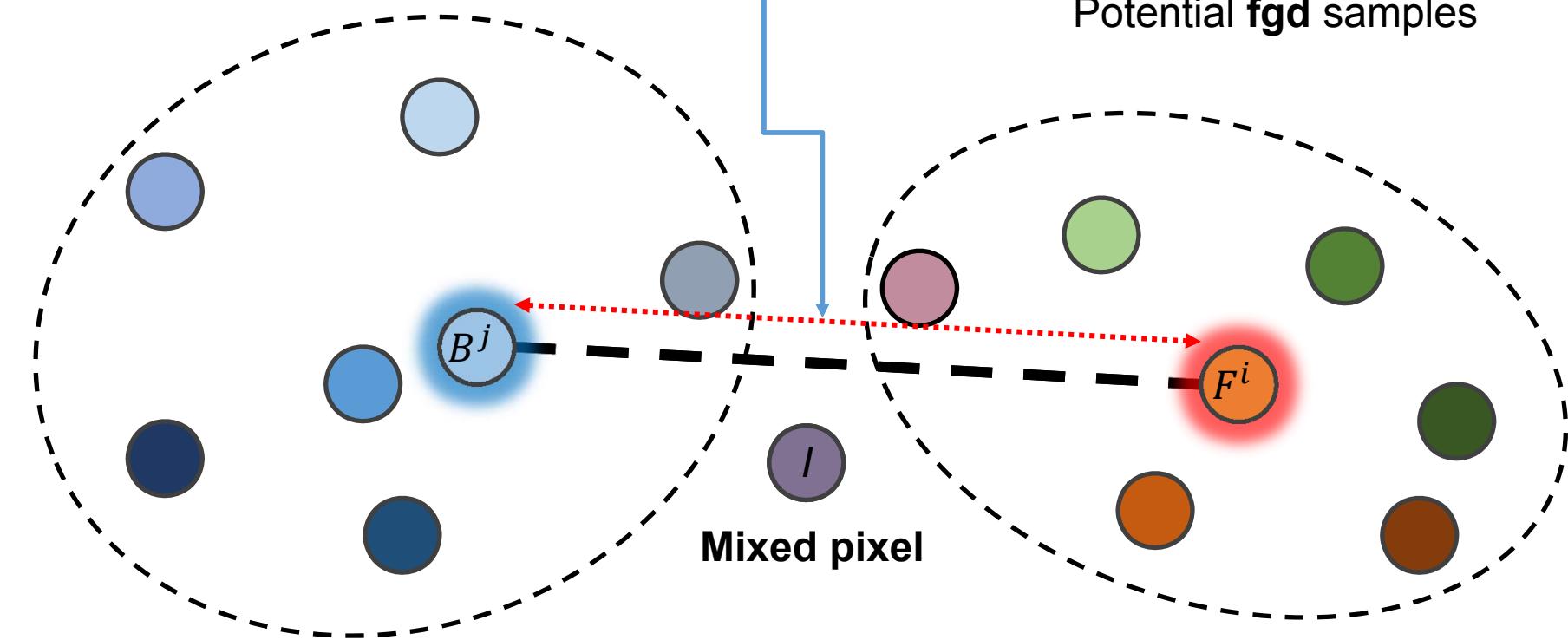
2. Robustness

Potential **bkg** samples

$$R(F^i, B^j) = \frac{1}{\|F^i - B^j\|}$$

Potential **fgd** samples

Mixed pixel



3. Color similarity

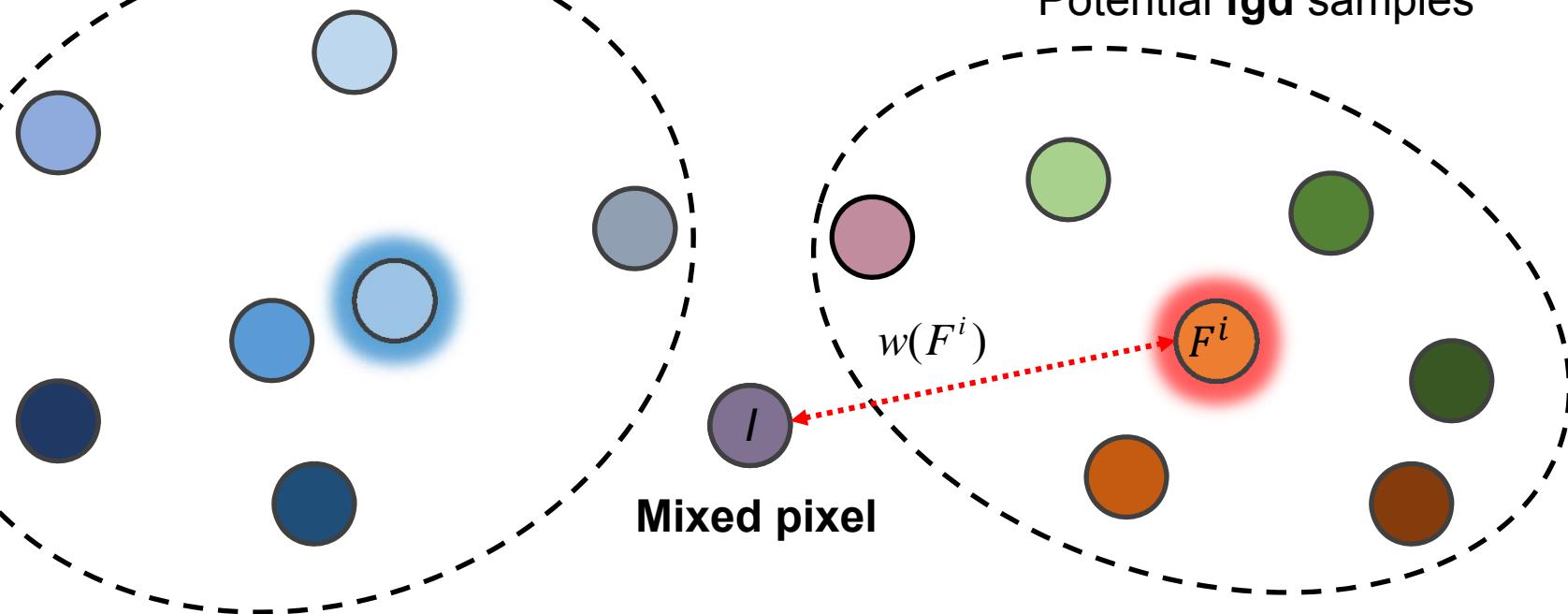
robust sampling

$$w(F^i) = 1.0 - \exp\left\{-\|F^i - I\|^2 / \min_{s \in \{1, \dots, N\}} (\|F^s - I\|^2)\right\}$$

improved sampling

$$w(F^i) = \exp\left\{-\max_{s \in \{1, \dots, N\}} (\|F^s - I\|^2) / \|F^i - I\|^2\right\}$$

Potential **bkg** samples



3. Color similarity

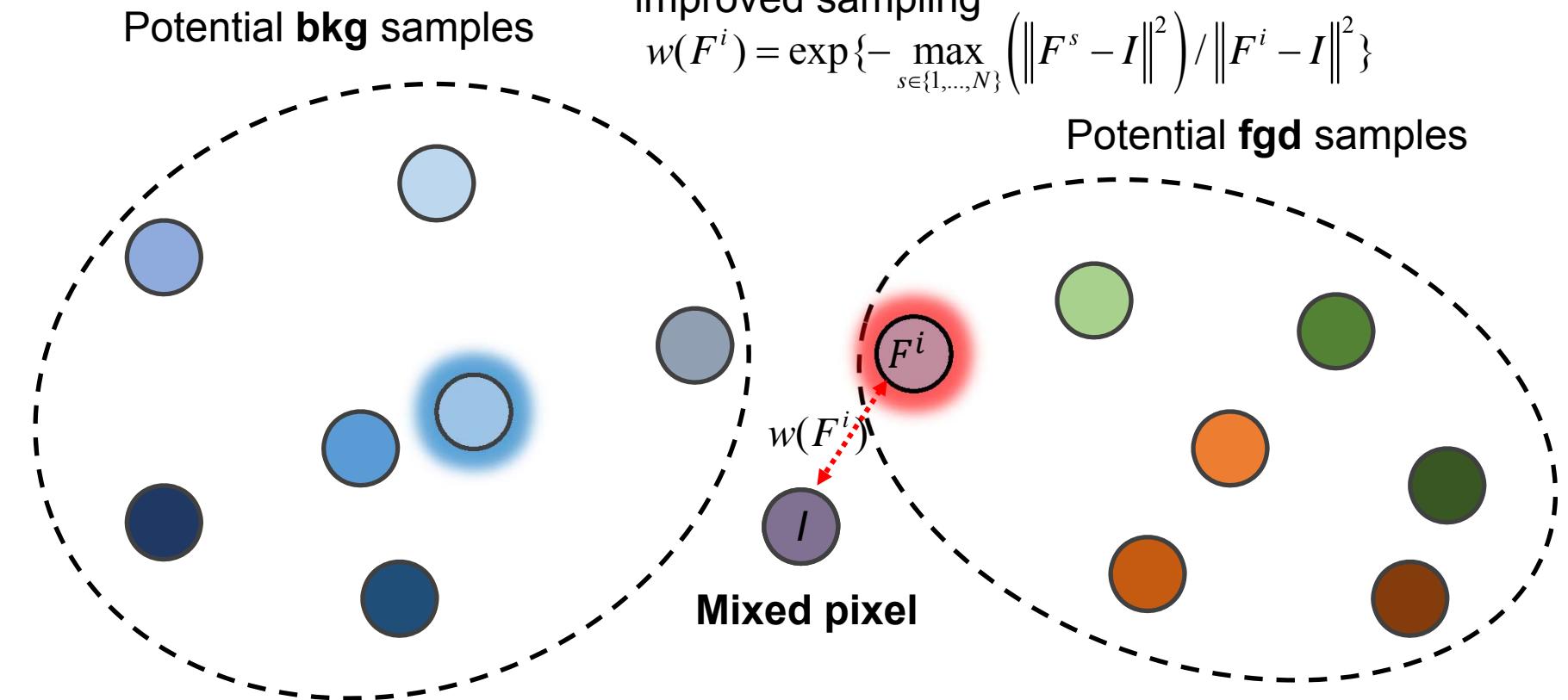
robust sampling

$$w(F^i) = 1.0 - \exp\left\{-\|F^i - I\|^2 / \min_{s \in \{1, \dots, N\}} (\|F^s - I\|^2)\right\}$$

improved sampling

$$w(F^i) = \exp\left\{-\max_{s \in \{1, \dots, N\}} (\|F^s - I\|^2) / \|F^i - I\|^2\right\}$$

Potential fgd samples



- **Three criteria for a confident pair:**

$$\hat{f}(F^i, B^j) = \exp \left\{ \frac{E(F^i, B^j)^2 \cdot R(F^i, B^j)^2 \cdot w(F^i) \cdot w(B^j)}{\sigma^2} \right\}$$

1. Linear model fit $E(F^i, B^j) = \|I - (\hat{\alpha}F^i + (1-\hat{\alpha})B^j)\|$

2. Robustness $R(F^i, B^j) = \frac{1}{\|F^i - B^j\|}$

3. Color similarity

robust sampling

 $w(F^i) = 1.0 - \exp\{-\|F^i - I\|^2 / \min_{s \in \{1, \dots, N\}} (\|F^s - I\|^2)\}$

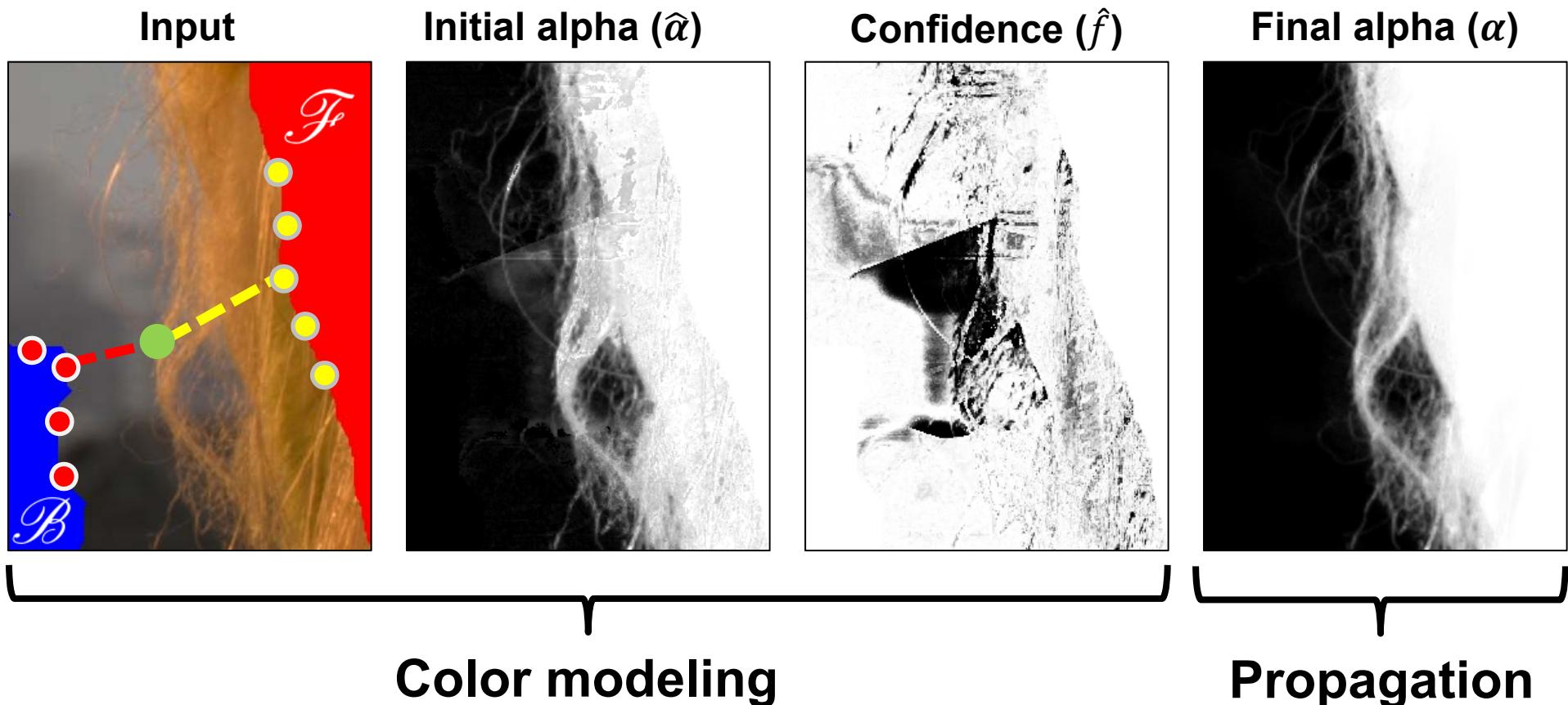
improved sampling

 $w(F^i) = \exp\{-\max_{s \in \{1, \dots, N\}} (\|F^s - I\|^2) / \|F^i - I\|^2\}$

Step 3 – solve final alpha

$$J(\alpha) = \alpha^T L \alpha + (\alpha - \hat{\alpha})^T \hat{\Gamma} (\alpha - \hat{\alpha})$$

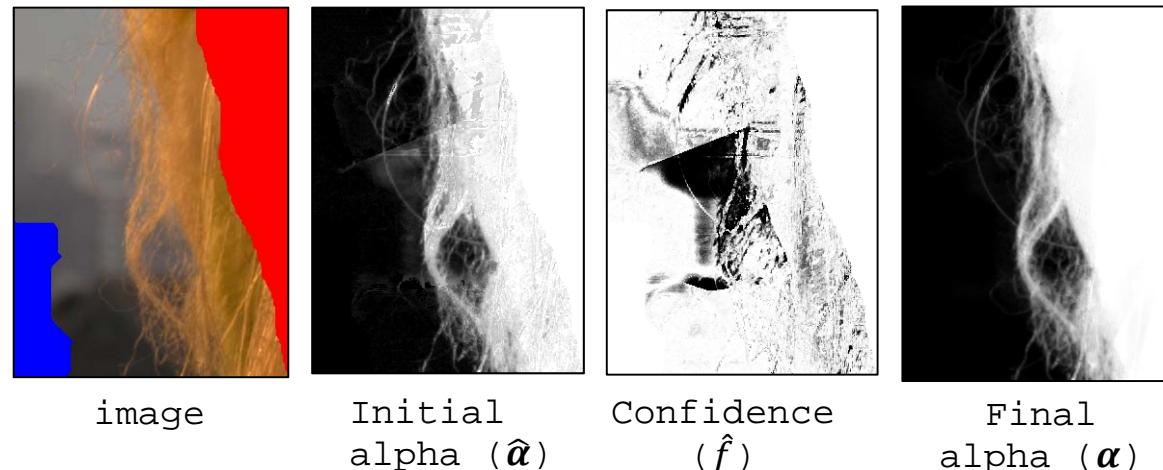
Diagonal matrix whose diagonal elements are $\gamma \cdot \hat{f}$



Implementation (Matlab)

$$J(\alpha) = \alpha^T L \alpha + (\alpha - \hat{\alpha})^T \hat{\Gamma} (\alpha - \hat{\alpha})$$

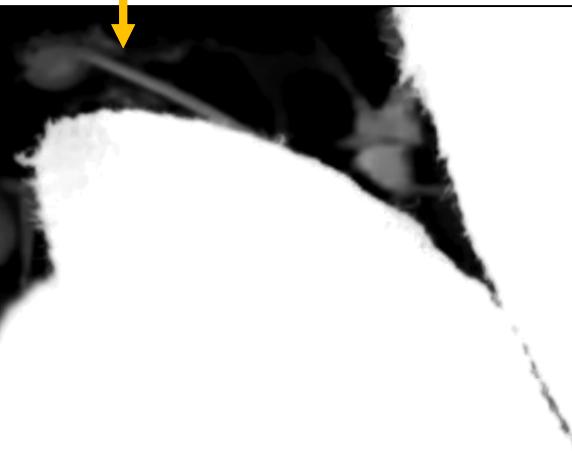
```
alpha_hat=getInitial_alpha(Image,user_input_map);  
L=getLaplacian1(Image,user_input_map);  
D=spdiags(user_input_map(:,0,img_size,img_size));  
Gamma_hat=spdiags(confidence(:).*~user_input_map,0,img_size,img_size);  
lambda=100;  
K=lambda*D+gamma*Gamma_hat;  
alpha=(L+K)\(K*alpha_hat(:, ));
```



Matting results



Crop of input image



Robust matting



Closed form matting



Input image



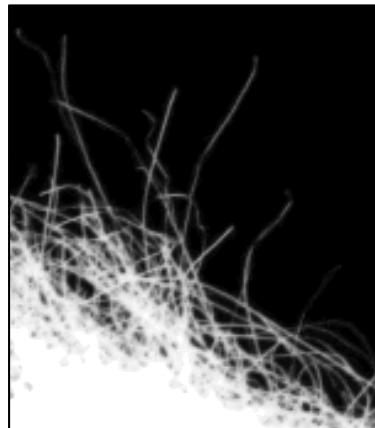
Improved color modeling



Ground truth

Properties of hybrid approach

- Hair is better captured
- Many artifacts in the background



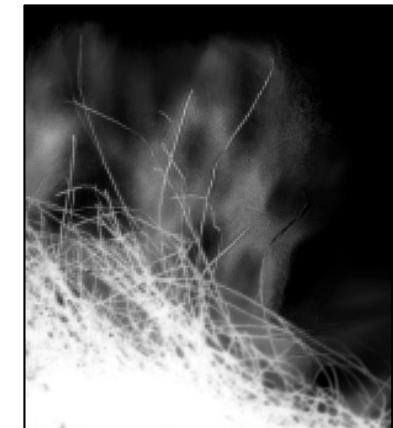
True solution



Input image + trimap



Closed form matting



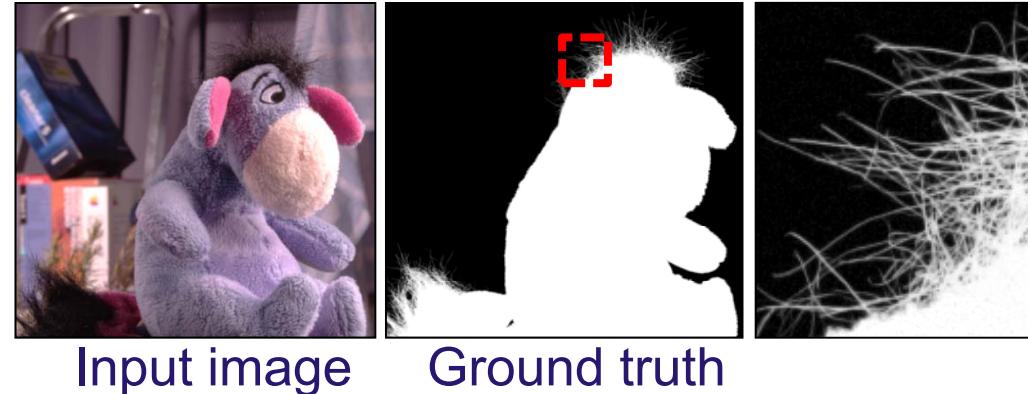
Robust matting

Alpha matting evaluation system

C. Rhemann, C. Rother, J. Wang, M. Gelautz, P. Kohli, and P. Rott, A Perceptually Motivated Online Benchmark for Image Matting. *IEEE CVPR*, June 2009.

- **For quantitative evalution**
 - **Ground truth dataset**
 - **Appropriate error metric**
 - **Online evaluation**

- 35 natural images



Triangulation Matting [Smith and Blinn, 96]

- Photograph object against 2 different backgrounds
→ True solution to matting problem

Ground truth dataset



Test Dataset (Hidden ground-truth alpha)

Highly Transparent	Strongly Transparent	Medium Transparent	Little Transparent
Net*	Plastic bag*	Doll*	Troll**

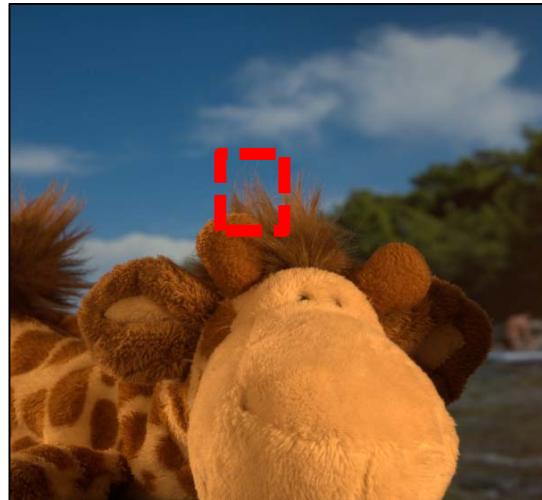
Training Dataset (With public available ground-truth alpha)

GT01**	GT02**	GT03**	GT04**	GT05**	GT06**	GT07**	GT08**	GT09**
GT10**	GT11**	GT12**	GT13**	GT14**	GT15**	GT16**	GT17**	GT18**
GT19**	GT20**	GT21**	GT22**	GT23**	GT24*	GT25*	GT26*	GT27*

*Objects photographed in front of natural scenes.

**Objects photographed in front of a monitor showing natural images.

- Motivation
 - Simple metrics not always correlated with visual quality



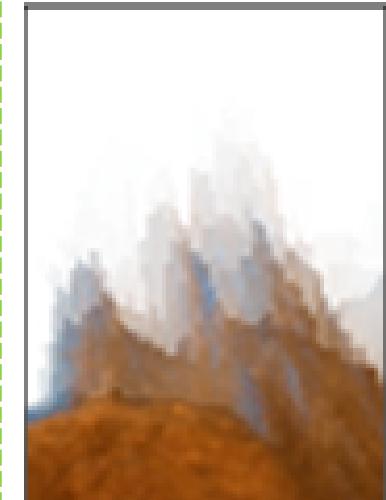
Input image



Zoom in



Result 1
SAD: 1215



Result 2
SAD: 806

SAD = Sum of Absolute Differences

Develop error measures for two properties:

- **Connectivity** of foreground object
- **Gradient** of the alpha matte



Input image



Zoom in



Result 1
SAD: 312



Result 2
SAD: 83

Data and evaluation scripts online

Advantages:

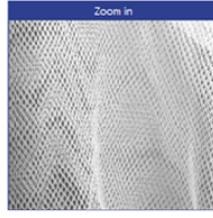
- Investigate results
- Upload novel results

www.alphamatting.com

Image matting evaluation results		Competition: Low resolution High resolution		Error type: SAD MSE Gradient Connectivity																								
Sum of Absolute Differences		overall rank	avg. small rank	avg. large rank	avg. user rank	Troll (Strongly Transparent) Input	Doll (Strongly Transparent) Input	Donkey (Medium Transparent) Input	Elephant (Medium Transparent) Input	Plant (Little Transparent) Input	Pineapple (Little Transparent) Input	Plastic bag (Highly Transparent) Input	Net (Highly Transparent) Input	overall rank	avg. small rank	avg. large rank	avg. user rank	Troll (Strongly Transparent) Input	Doll (Strongly Transparent) Input	Donkey (Medium Transparent) Input	Elephant (Medium Transparent) Input	Plant (Little Transparent) Input	Pineapple (Little Transparent) Input	Plastic bag (Highly Transparent) Input	Net (Highly Transparent) Input			
Closed-Form Matting	1.3	1.4	1.4	1.3	12.7	21.9	17.2	6.9	9.6	9.6	4.7	6	4.2	2.2	4.6	2.2	9.3	12.1	19.3	8.3	14.9	13.4	34.2	32.4	27.4	26.5	26.7	27.1
Robust Matting	1.9	1.6	2.1	1.9	17.2	28.4	21.1	10.1	16.9	11.4	4.8	6.6	6	2.8	7.2	4.4	7.3	14	18.1	9.8	14.6	10.6	22.7	26.1	32.1	24.4	27	28
Random Walk Matting	3.3	3.6	3	3.6	17.9	20.3	19.4	11.3	15.6	11.9	6.8	2	6.4	3.4	6.7	4.6	13.1	22.1	27.4	12.5	18	16.7	44.1	43.6	41	78.1	81.8	72.2
Easy Matting	4	4	4.1	4	23.9	32.6	30	17.1	21.8	19.4	6.3	7.6	6.6	4.7	10.6	6.6	12.1	18.7	22.9	11.2	17	14.8	49.5	49.6	48.2	77.8	108.8	109.2
Bayesian Matting	4.5	4.5	4.6	4.5	20.3	42.4	39.4	19.2	25.8	18.4	10.8	12.4	10.8	9.9	19.5	9.2	14.2	29.9	33.2	15.4	30.9	19.7	25.8	40.9	39.9	45.2	70.8	43.9
Poisson Matting	5.9	6	5.6	5.9	31.8	56.2	52	28.3	43.6	30.7	12.1	13.7	9.2	11.7	18.4	11.2	22.4	36.8	50.9	21.4	32.2	22.7	53.6	72.9	58.4	126.6	84.8	139.7



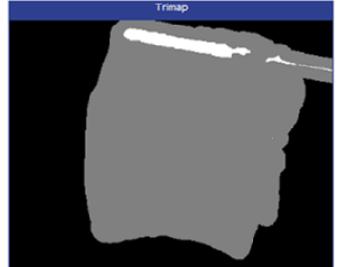
Net - large - Closed-Form Matting alpha



Zoom in



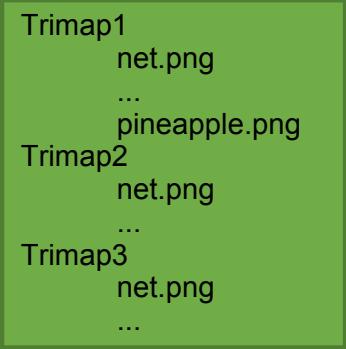
Input image



Trimap

How to submit your algorithm



1. Download the evaluation datasets:
 - Make sure you pick the **low-resolution** dataset, since we currently only evaluate on this dataset.
 - The evaluation set consists of 8 input images with 3 different trimaps each.
2. Run your matting algorithm:
 - Use constant parameters across all images and trimaps.
 - Save the resulting alpha mattes with the following convention.
3. Finally, submit this file in the upload form on the website.


The diagram shows a zip file structure. Inside the zip file is a folder named 'net.png'. This folder contains three sub-folders: 'Trimap1', 'Trimap2', and 'Trimap3'. Each of these sub-folders contains a file named 'net.png'. Additionally, there are two other files in the main folder: 'pineapple.png' and an ellipsis (...).
4. We will evaluate your results and compile them in an online table. You will see your results on a "private" page first, and we will only add your results to the public table after you give your consent.

Note that before making your results publically available, we will manually check your submission. This might take up to a few days but usually we will process your request within one working day.

Online submission form



Please enter the conference or journal where you are submitting.

Important notes:

- Processing your results will take at least **10 minutes!** Please be patient and avoid to repeatedly upload results or clicking the "Upload" button several times. Try to upload early before your paper deadline and spread your uploads over time.

Upload form:

File upload

File upload: No file chosen

Publication info

Short name: Suggest a short name for your method

Authors: Fill in the name of the authors. Put "anonymous" for Anonymous submissions

Reference details: Fill in the publication details (i.e. paper title, conference name, year)

Email: Fill in a valid email address (please double check since we will send you a verification number, which is needed to complete the process).

Algorithmic details

Programming language: Fill in the programming language used (e.g. C++)

Processor type: Fill in the processor type used (e.g. Intel Core2 Quad)

Clock speed: Fill in the clock speed (in GHZ) of your test machine (e.g. 2.2)

Constant parameters used? Yes No You must use constant parameter settings

After clicking the upload button, you will be prompted to type in a verification code that you will receive via email.

- **Closed form matting**
 - **Closed form solution under local smoothness assumption**
 - **Analytically eliminate F, B and obtain quadratic cost $\alpha^T L \alpha$**
 - **Over-smooth result**
- **Robust and improved matting**
 - **Combine both sampling and propagation approaches**
 - **Very similar concept**
 - **Produced better results than closed form matting, but takes more time**
- **Alphamatting.com**
 - **Large set of ground truth data**
 - **Online benchmark**
 - **Perceptually motivated error measures**

Thank you

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