

## Tutorial 4

### Disjoint sets

**Problem 21.3-3** (572): Give a sequence of  $m$  MAKE-SET, FIND-SET and UNION,  $n$  of which are MAKE-SET, that takes  $\Omega(m \log n)$  time when we use union by rank only.

**Solution:** First do MAKE-SET of  $\{x_1\}, \dots, \{x_n\}$ . Next create a tree of height  $\Omega(\log n)$  by  $n - 1$  UNION: first  $\text{UNION}(x_1, x_2)$ ,  $\text{UNION}(x_3, x_4)$ ,  $\dots$ ,  $\text{UNION}(x_{n-1}, x_n)$  of size 2; then create sets of size 4 by pairwise UNION of these, etc. This gives a *binomial tree* (page 527), which has  $\binom{k}{i}$  of its  $2^k$  nodes at depth  $i$ , for  $i = 0, \dots, k$ . Hence at least half of the  $n$  nodes are at depth  $\geq (\log n)/2$ , so each FIND-SET on these nodes takes  $\Omega(\log n)$  time. Letting  $m \geq 3n$ , we have more than  $m/3$  FIND-SET, so the total cost is  $\Omega(m \log n)$ .

Alternatively, stop the initial UNION sequence above when we have  $\sqrt{n}$  binomial trees, each of size  $\sqrt{n}$  and height  $(\log n)/2$ .

**Problem 21.3-5** (572): Show that any sequence of  $m$  MAKE-SET, FIND-SET, and UNION, where all UNION appear before any FIND-SET, takes only  $O(m)$  time if both path compression and union by rank are used?

What happens in the same situation if only path compression is used?

**Solution:**  $n - 1$  UNION take  $O(n)$  time and create  $n - 1$  tree edges. One FIND-SET compresses each edge along the path to the root, so that later FIND-SET on nodes on this path becomes direct children to the root. Thereby cannot  $m$  FIND-SET take more time than  $O(m + \text{number of edges}) = O(m + n)$ .

The result is the same if only path compression is used, since the argument above does not refer to union by rank.

### Computational geometry

**Problem 33.1-6** (1021): Given a point  $p_0 = (x_0, y_0)$  the *right horizontal ray* from  $p_0$  is the point set  $\{p_i = (x_i, y_i) : x_i \geq x_0, y_i = y_0\}$ . Show how to determine in  $O(1)$  time if a right horizontal ray from  $p_0$  intersects a segment  $\overrightarrow{p_1 p_2}$ .

**Solution:** Let  $p_1 = (x_1, y_1)$ ,  $p_2 = (x_2, y_2)$  and assume  $x_1 < x_2$ . If  $x_2 < x_0$  there is no intersection. Otherwise let  $p'_0 = (x_2, y_0)$  and determine if  $\overrightarrow{p_1 p_2}$  intersects  $\overrightarrow{p_0 p'_0}$  by the method from the lecture.

**Problem 33.1-7** (1021): Describe an algorithm that in  $O(n)$  time decides if a point  $p_0$  is inside a simple  $n$ -vertex polygon  $P$ .

**Solution:** First check that  $p_0$  is not on the boundary of  $P$  by going through the vertices and the edges in between. This takes  $O(n)$  time.

Then count the number of intersections between polygon edges and the right horizontal ray from  $p_0$ . The polygon may be represented as a list of consecutive edges, so check for each edge if it is intersected by the ray. This also takes linear time. An even number of intersections implies that  $p_0$  is inside the polygon.

There are special cases to consider when the ray goes through a polygon vertex: if both edges to the vertex are below or above the ray, don't change the count; if one edge is below and the other above, increase the count by 1.

**Problem 33.2-2** (1028): Given two segments  $a$  and  $b$  that are comparable at  $x$ , determine in  $O(1)$  time if  $a >_x b$  or  $b >_x a$  holds.

**Solution:** If the segments are nonintersecting we can use cross product. Let  $a$ 's left endpoint be  $p_1 = (x_1, y_1)$  and its right endpoint be  $p_2 = (x_2, y_2)$ . Let  $b$ 's left endpoint be  $p_3 = (x_3, y_3)$  and its right endpoint be  $p_4 = (x_4, y_4)$ .

Assume without loss of generality that  $x_1 < x_3$  and consider  $\overrightarrow{p_1 p_2}$  and  $\overrightarrow{p_2 p_3}$ . If there is a left turn at  $p_2$ , then  $b >_x a$ .

If  $a$  and  $b$  intersect before  $x$ , then their right endpoints determine whether  $a >_x b$ . If they intersect after  $x$ , compare their left endpoints instead.

**Problem 33.2-4** (1028): Determine in  $O(n \log n)$  time if an  $n$ -vertex polygon is simple.

**Solution:** Use the sweep line algorithm to decide if polygon segments intersect. Ignore intersections at common endpoint, and continue the search.

**Problem 33.2-7** (1028): Find all  $k$  intersections between  $n$  segments in  $O((n + k) \log n)$  time.

**Solution:** Assume segments are not vertical and update a *sweep-line status*: a red-black tree  $T$  of segments that the sweep line intersects, and an *event list*: a priority queue ordered by  $x$  value of the  $2n$  endpoints + nearest intersection points.

Events:

1. If it's a left point of a segment  $\ell$ , then insert  $\ell$  into  $T$ .  
If  $\ell$  intersects a neighbor, then insert intersection point in the event list
2. If it's a right point of a segment  $\ell$ , then delete  $\ell$  from  $T$ .  
If  $\ell$ 's neighbors intersect ahead, then insert intersection point in the event list
3. If it's an intersection between two segments  $\ell_1$  and  $\ell_2$ , then interchange their order in  $T$ .  
If new neighbors intersect  $\ell_1$  or  $\ell_2$ , then insert intersection point in the event list

Sorting takes  $O(n \log n)$  time. Each update of a red-black tree takes  $O(\log n)$  time, while updating the priority queue for  $k \leq n^2$  intersections takes  $O(\log(n + k)) = O(\log n)$  time.

Total time for  $2n + k$  events is thereby  $O((n + k) \log n)$ .

**Problem 33.3-5** (1039): Construct the on-line convex hull in  $O(n^2)$  time.

**Solution:** In  $O(n)$  time decide if the new point  $p$  is outside the current hull  $H$ . If that is the case, compute the angles from  $p$  to all vertices of  $H$ , in  $O(n)$  time, and connect  $p$  to the two vertices with smallest and largest angle.

Alternatively, compute in  $O(n)$  time  $p$ 's closest vertex  $q$  on  $H$ . From  $q$  step through the vertices of  $H$  in both directions, until we have found vertices  $q_l$  and  $q_r$  where a left and right turn is made relative to a segment from  $p$ . Connect  $p$  to  $q_l$  and  $q_r$  to get the new convex hull. Each new point thereby requires linear time, and hence the total time is  $O(n^2)$ .

## Discussion of assignment 4

1. Remember to motivate the time complexities in (b) and (c).
2. Choose a suitable starting point.
3. Participate in the programming contest on Saturday October 2.