

# Emotion (Valence and Arousal) Prediction from DEAP Dataset

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# Objective

Try to predict the Emotion from DEAP dataset using various features and machine learning methods.



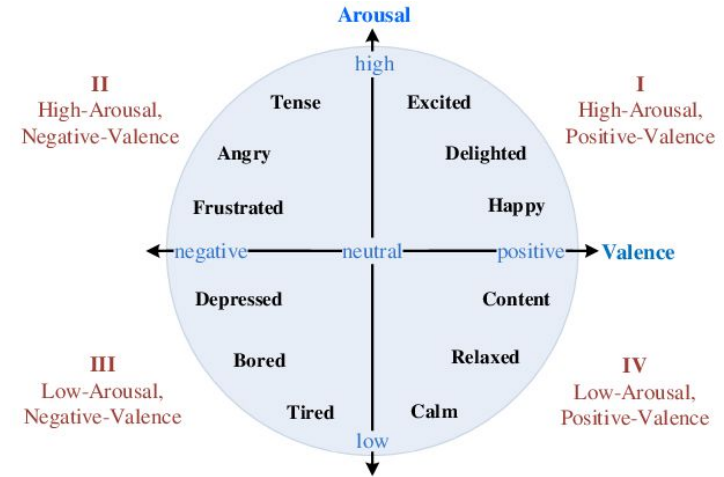


# About the DEAP Dataset

A database for Emotion Analysis using Physiological Signals.

Main Goal of the dataset to create an adaptive music video recommendation system.

Uses **Valence-Arousal** Scale by **Russell** for emotion categorisation





# UI filled by participants

Major  
predictors of  
emotion

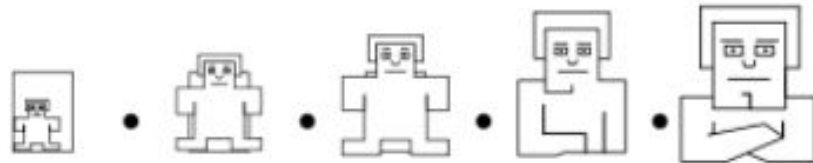


VALENCE

AROUSAL

DOMINANCE

LIKING

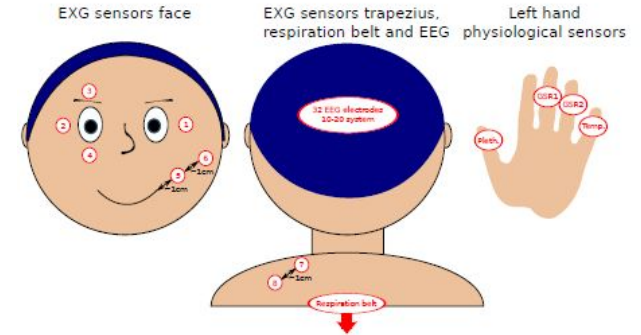


# About the dataset

**32 participants. 32 EEG electrodes (10-20 system)** sampled originally at **512 Hz** and **8 peripheral physiological signals** recorded.

**40 videos**(stimuli) of **1 minute** each shown to each participant with a break after 20 mins.

Videos were music videos selected on basis of **LALV/ LAHV /HALV /HAHV**



Placement of peripheral physiological sensors. For Electrodes were used to record EOG and 4 for EMG (zygomaticus major and trapezius muscles). In addition, GSR, blood volume pressure (BVP), temperature and respiration were measured.



# About the dataset (Preprocessed)

Array name	Array shape	Array contents
data	40 x 40 x 8064	video/trial x channel x data
labels	40 x 4	video/trial x label (valence, arousal, dominance, liking)

1. The data was downsampled to 128Hz from 512 to 256 and then to 128.
2. EOG artefacts were removed. **electrooculogram** (EOG) represents the eyeblinking signals
3. A bandpass frequency filter from 4.0-45.0Hz was applied.
4. The data was averaged to the common reference.
5. The EEG channels were reordered so that they all follow the Geneva order as above.
6. The data was segmented into 60 second trials and a 3 second pre-trial baseline removed.  $63 \times 128 = 8064$
7. The trials were reordered from presentation order to video (Experiment\_id) order.



**Sunny Kumar Tuladhar**



# Experiments

1. Power Spectral Density (PSD) + Machine Learning(SVM, NNB, KNN, XGBoost)
2. Power Spectral Density (PSD) + ANN
3. Short Term Fourier Transform + 3dCNN





## PSD using Welch's Periodogram

**Power Spectral Density** was extracted from the signal with a window of **4 seconds**. Our signal is sampled at **128 Hz** and has a **band pass** at **4.0-45.0Hz** .

**The original array** is 32 subjects x 40 clips x 40 channels x 8064 channels.

**Converted to** first to 32sub x 40 clips x 32 eegchannels x 7680 signals

*(first 3 seconds are baseline so 3 x 128 were removed)*



# The brainwave frequencies

**Theta brainwaves (4-7 Hz)** represent a day dreamy, spacey state of mind mental inefficiency. At very slow levels, theta brain wave activity is a very relaxed state, representing the twilight zone between waking and sleep.

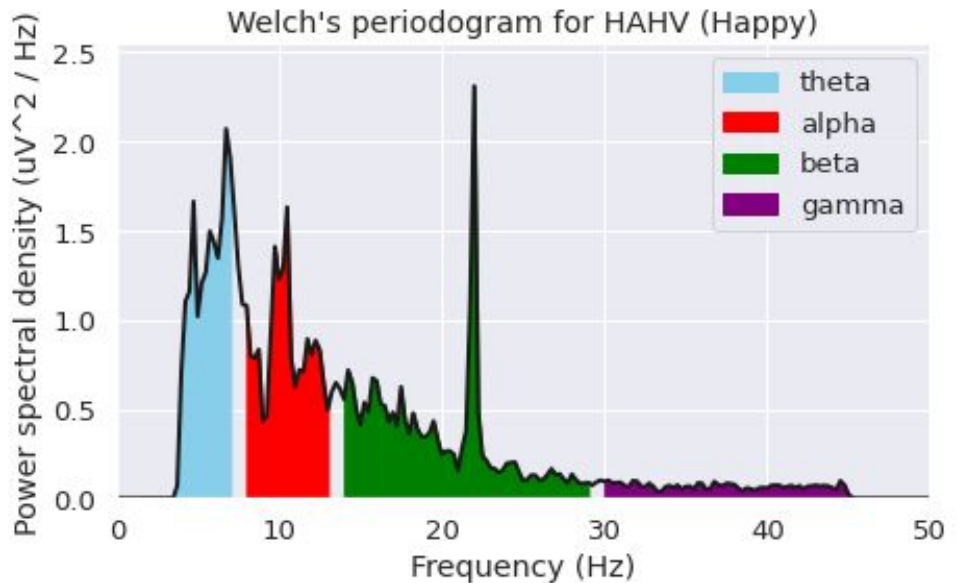
**Alpha brainwaves (8-12 Hz.)** are slower and larger. Associated with a state of relaxation and represent the brain shifting into an idling gear, waiting to respond when needed. If we close our eyes and begin picturing something peaceful, there is an increase in alpha brainwave

**Beta brainwaves (13 – 38 Hz)** are small, faster brainwaves associated with a state of mental, intellectual activity and outwardly focused concentration. This is basically state of alertness.

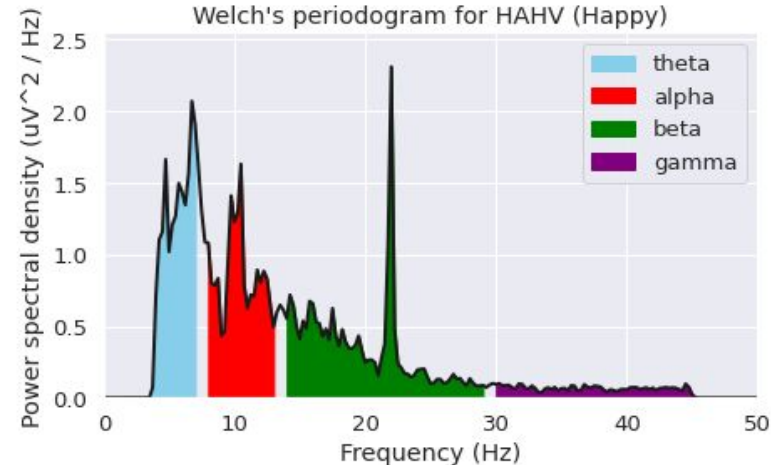
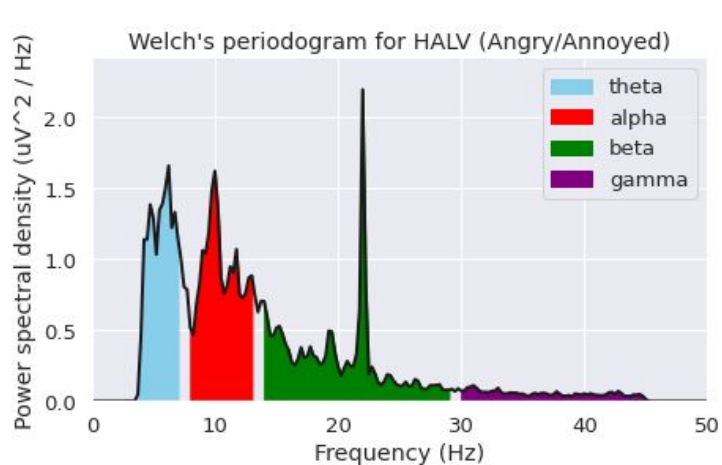
**Gamma brainwaves (39 – 42 Hz)** are the fastest and most subtle brain waves. Gamma rhythms modulate perception and consciousness.

# Power Spectral Density

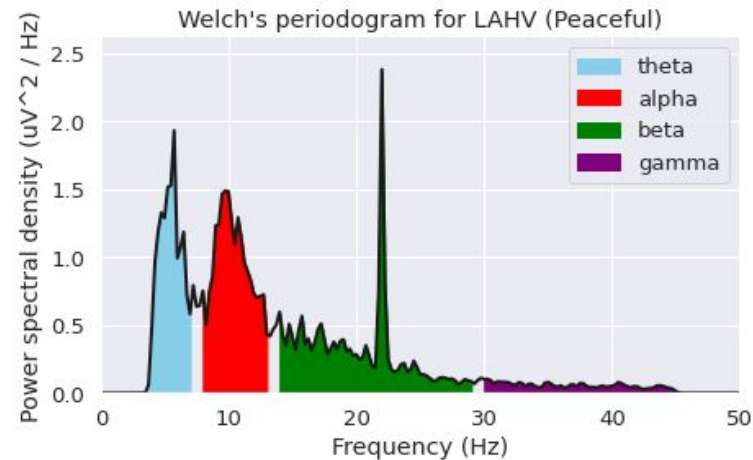
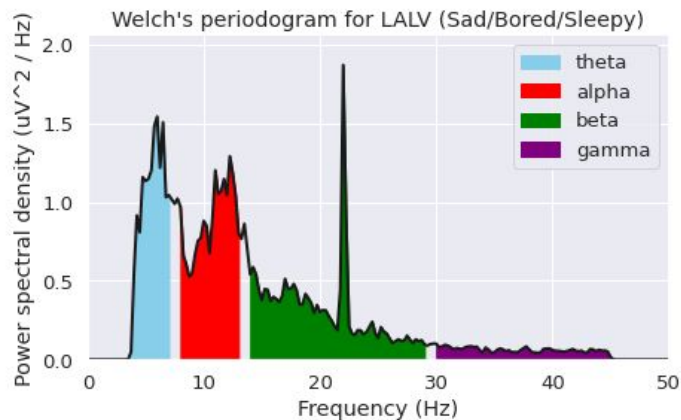
Each channel was converted to the band power of each of these frequencies. A window of 4 seconds was chosen for the PSD extraction.



Welch's periodogram for the 1st channel of the first person's 1st video.



Periodogram for the 1st subject in the 1st channel of four clips that caused four emotions





## PSD + Machine Learning

Data was split into 25 Train subjects and 7 test subjects.

Then 4 freq power bands were extracted from the signal.

First **each isolated power band** from the **32 channels** was taken as the feature separately and fed into ML algorithms(SVM, NNB, KNN, XGBoost)



Experiment/ Accuracy	PSD + NVB			
	Theta	Alpha	Beta	Gamma
Valence	0.38	0.37	0.53	0.6
Arousal	0.53	0.54	0.54	0.54
Experiment/ Accuracy	PSD + SVC (rbf)			
	Theta	Alpha	Beta	Gamma
Valence	0.62	0.62	0.62	0.62
Arousal	0.54	0.54	0.54	0.54
Experiment/ Accuracy	PSD + KNN			
	Theta	Alpha	Beta	Gamma
Valence	0.57	0.55	0.48	0.46
Arousal	0.54	0.56	0.57	0.58
Experiment/ Accuracy	PSD + XgboostClassifier			
	Theta	Alpha	Beta	Gamma
Valence	0.47	0.51	0.43	0.49
Arousal	0.53	0.48	0.48	0.53



## PSD all bands + ML

Later all **4 bands** of each of the **32 channel** (**32 x 4 = 128 features**) was fed into the ML algorithms.

Experiment/ Accuracy	PSD (All Bands) + ML			
	NVB	SVC-rbf	KNN	XGBoost
Valence	0.53	0.62	0.55 (n = 3)	0.6
Arousal	0.54	0.54	0.57 (n = 18 )	0.55



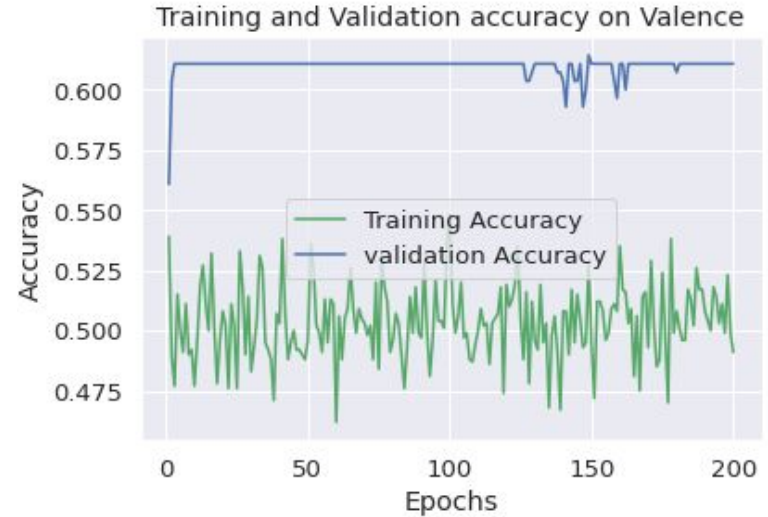
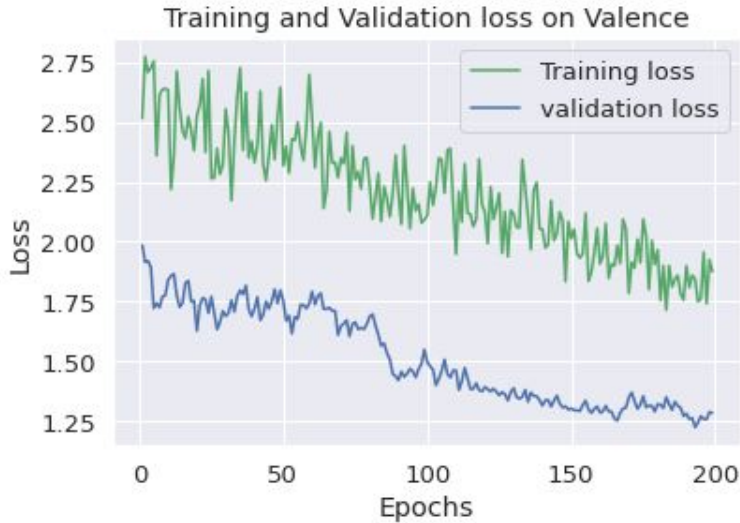
## PSD + ANN

Next the PSD with 128 features was run through this Neural Network

```
model = Sequential()
model.add(Dense(256,kernel_initializer='he_uniform',activation = 'elu'))
model.add(BatchNormalization())
model.add(Dropout(.8))
model.add(Dense(64,kernel_initializer='he_uniform',activation = 'elu'))
model.add(BatchNormalization())
model.add(Dropout(.8))
model.add(Dense(16,kernel_initializer='he_uniform',activation = 'elu'))
model.add(Dropout(.8))
model.add(Dense(2)) #data of 2 types
model.add(Activation('softmax'))
model.compile(loss=tf.keras.losses.SparseCategoricalCrossentropy(),
              optimizer=tf.keras.optimizers.Adam(learning_rate=0.00001),metrics=['accuracy'])
```



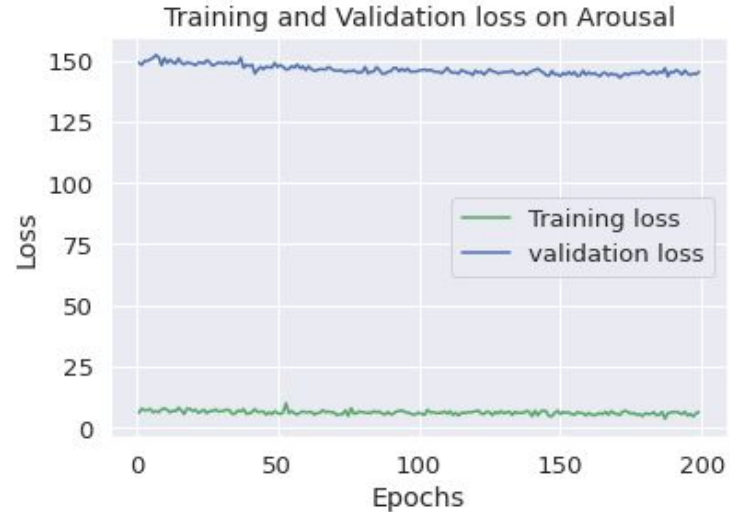
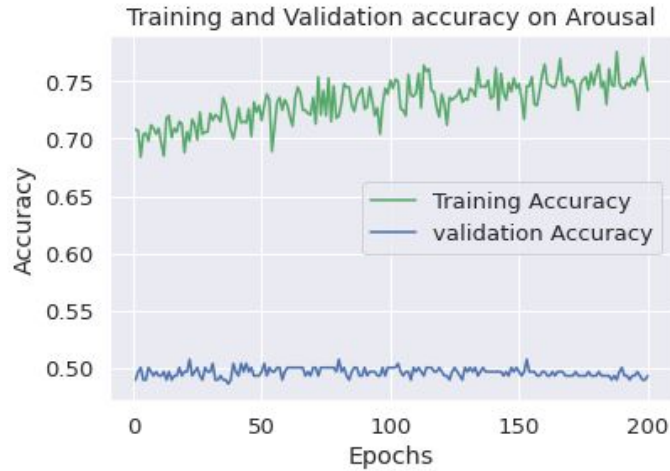
# PSD + ANN on Valence



Final validation accuracy on Valence was 0.61 after 200 epochs



# PSD + ANN on Arousal



Final validation accuracy on Arousal was 0.49 after 500 epochs



## PSD Conclusion

From the above results we have seen that PSD of band features combined with Machine Learning does not perform well in predicting Valence and Arousal with **single** or **all** of the frequency bands.

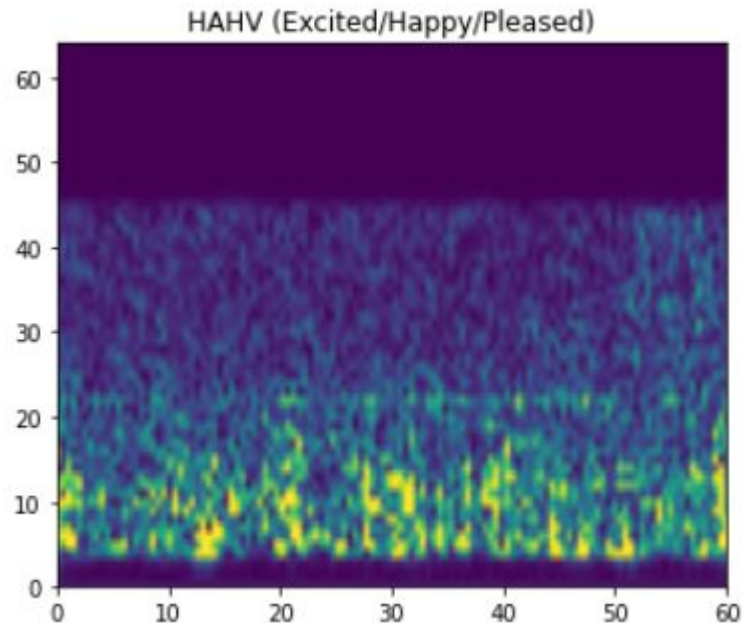
KNN seems to be performing the best among them with 0.56 and 0.63 accuracy.

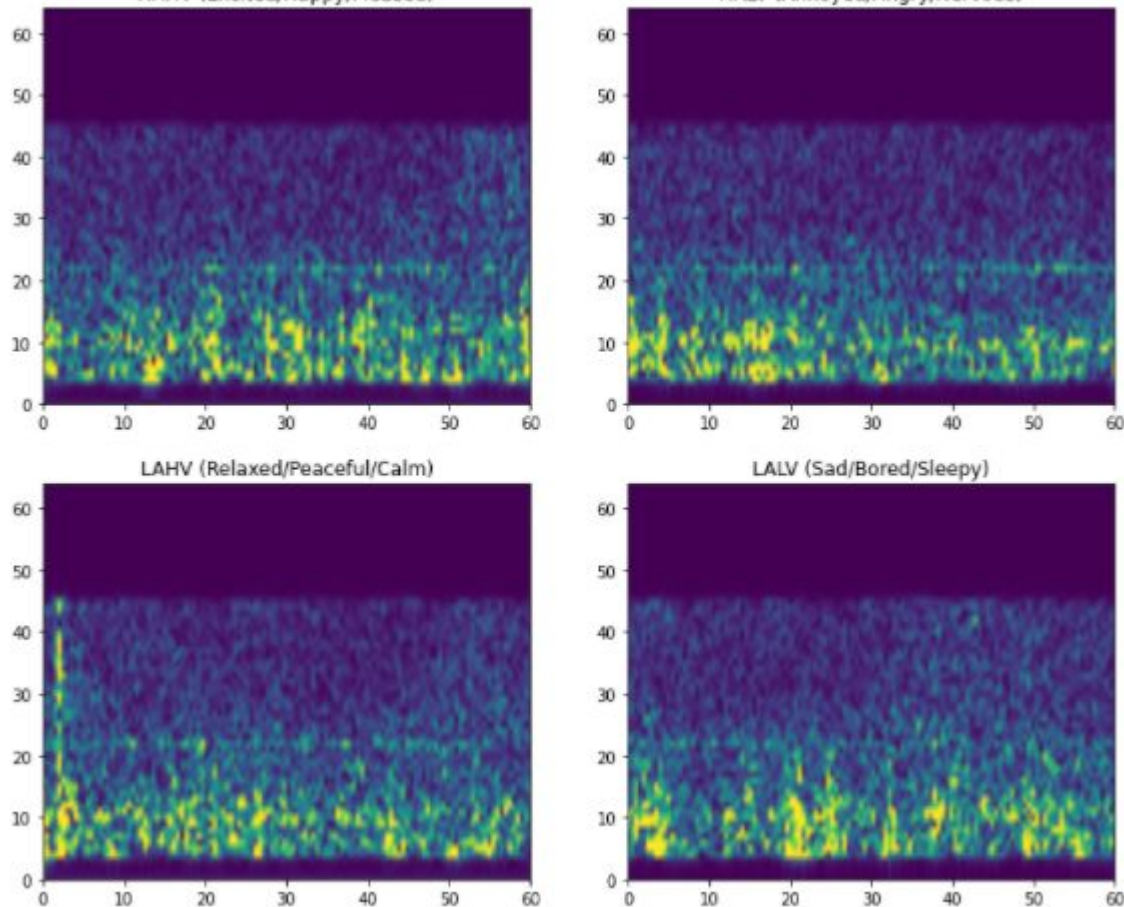
It could be due to lack of temporal data in the extracted features and that emotion is temporal. Possibility.

So next we try STFT with 3dCNN

# Short Term Fourier Transform

Next STFT was performed on all 32 EEG channels with a window of 4 secs, 512 samples as recommended by [this](#).

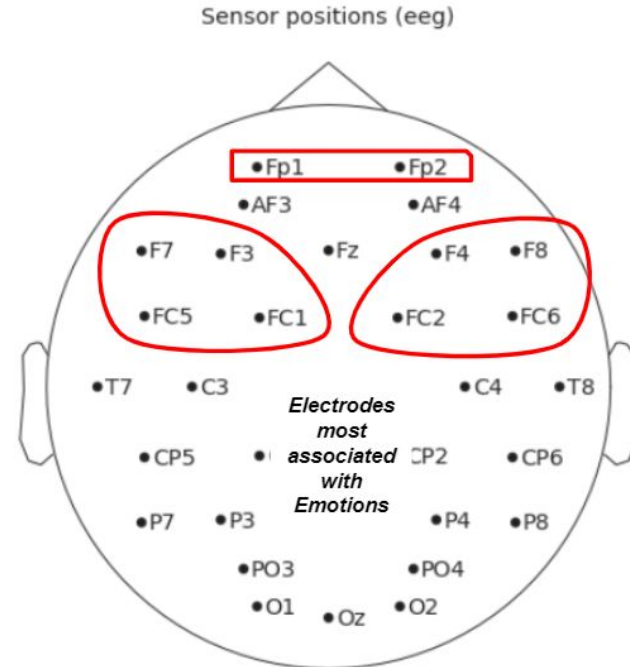




Spectrogram visualisation of 4 emotions of same subjects when watching 4 different clips of channel 5.

# 10 electrodes for Emotion

Next 10 electrodes were selected based on this [paper](#) which were most associated with emotion





## STFT + 3dCNN

```
model = Sequential()
model.add(Conv3D(32, (3,3,3), input_shape=(10, 65, 120,1),)) #input_shape matches our input i
model.add(Conv3D(32, (3,3,3), padding = 'same'))
model.add(Dropout(.4))
model.add(MaxPooling3D(pool_size=(2,2,2)))
model.add(Activation('relu'))
model.add(Dropout(.4))

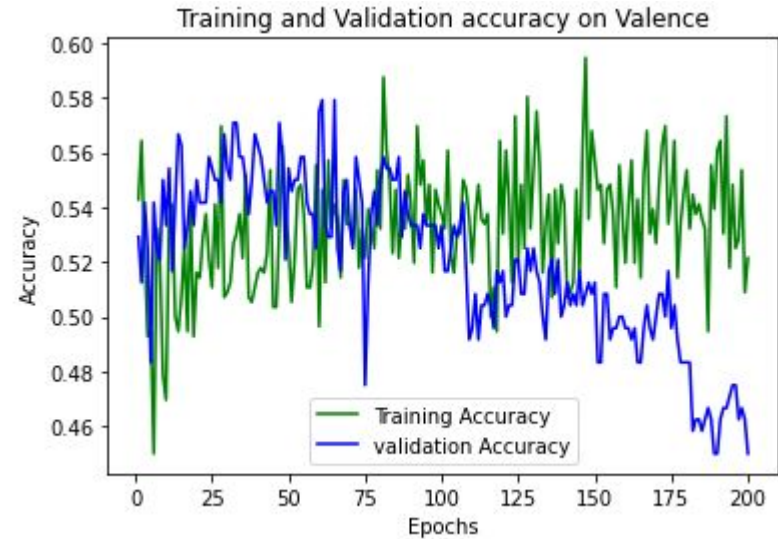
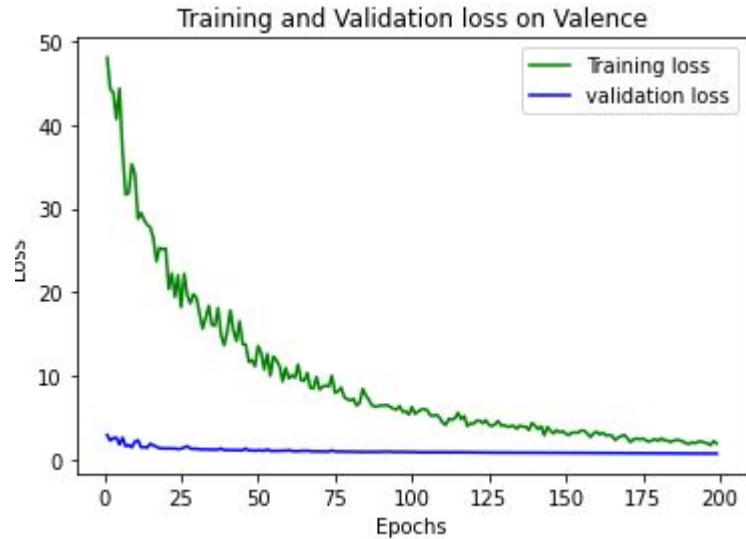
model.add(Conv3D(64, (3,3,3),padding = 'same'))
model.add(Conv3D(64, (3,3,3)))
model.add(Activation('relu'))
model.add(Dropout(.4))
model.add(MaxPooling3D(pool_size=(2,2,2)))

model.add(Flatten())
model.add(Dense(128))
model.add(Dropout(.7))
model.add(Dense(64))
model.add(Dropout(.7))

model.add(Dense(2)) #data of 2 types
model.add(Activation('softmax'))
model.compile(loss=tf.keras.losses.SparseCategoricalCrossentropy(),
              optimizer=tf.keras.optimizers.Adam(learning_rate=0.00001),metrics=['accuracy'])
```

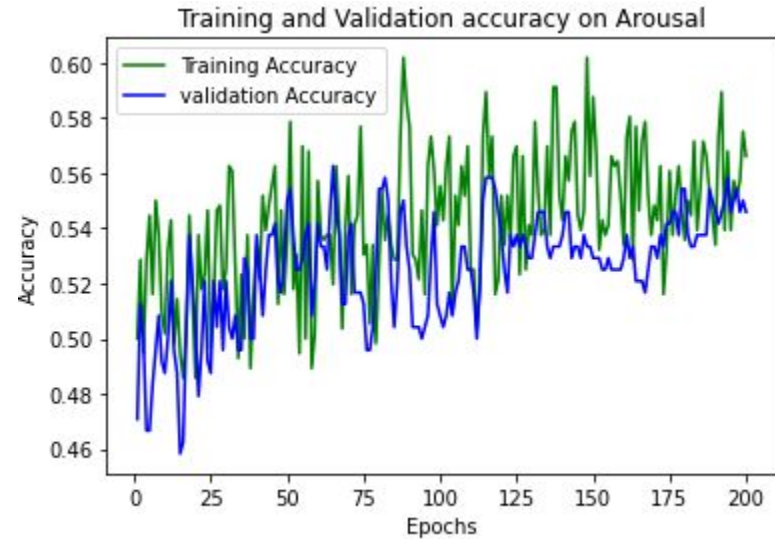
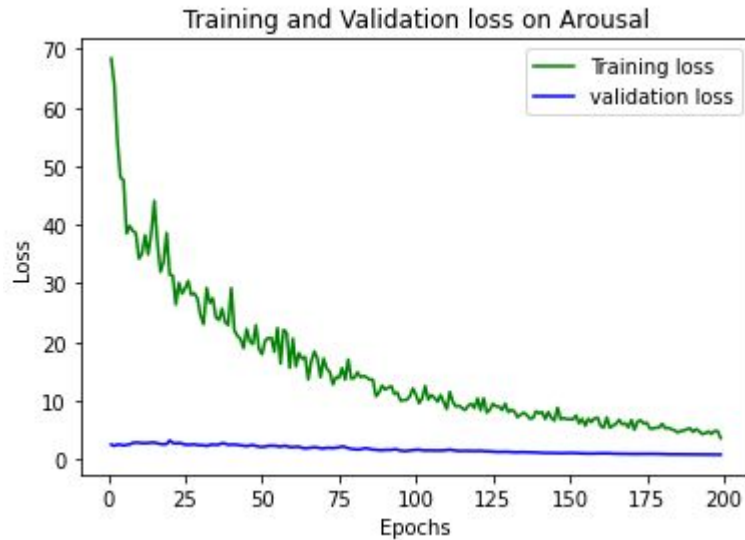
Next we feed the spectrogram image 65 x 120 into a 3DCNN. Our input shape is 32chan x 65 x 120 x 1.

# STFT + 3dCNN (Valence)





# STFT + 3dCNN (Arousal)





## **STFT+3dCNN Conclusion**

It seems that even with STFT and temporal data the model is not performing well. More research, other methods of feature extraction and different models could be tried to get better results

Also combining the Valence and Arousal into one emotion label with HAHV, HALV, LAHV and LALV as the 4 classes in the label could also be tried out.



# References

[Emotion recognition from multichannel EEG signals using K-nearest neighbor classification](#)

[DEAP: A Database for Emotion Analysis using Physiological Signals](#)  
[deap-eeeg-classification](#)



**Amanda Shrestha**

- [\(PDF\) A Comparative Study of Subject-Dependent and Subject-Independent Strategies for EEG-Based Emotion Recognition using LSTM Network \(researchgate.net\)](#)
- Title : A Comparative Study of Subject-Dependent and Subject-Independent Strategies for EEG-Based Emotion Recognition using LSTM Network

## **Followed Paper**

**Subject dependent analysis for valence and  
arousal using different models  
(KNN, SVM, LSTM)**

# Papers Proposed LSTM model and accuracies

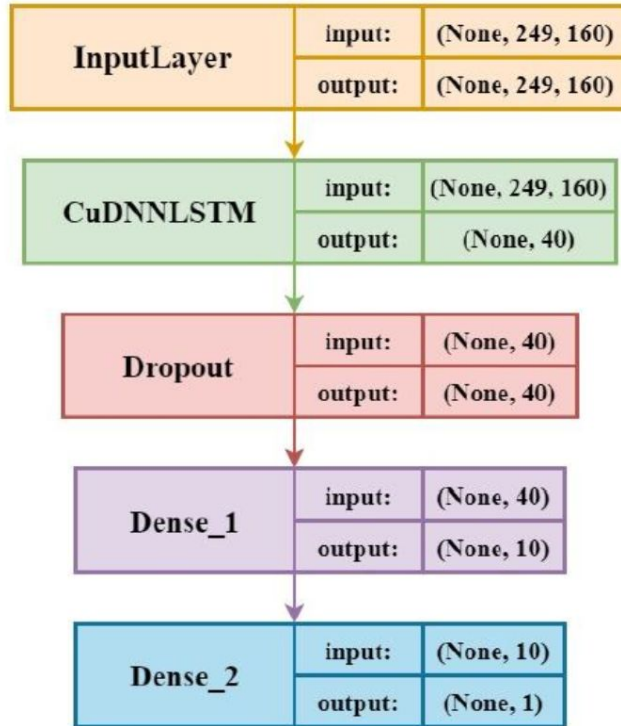


Table 2. Testing accuracies for Subject-Dependent and Subject-Independent models

Models	Subject-Dependent		Subject-Independent	
	Valence	Arousal	Valence	Arousal
KNN	86.03	79.64	70.86	68.36
SVM	76.56	72.66	<b>72.19</b>	<b>71.25</b>
Decision Tree	71.10	67.97	58.13	55.63
Random Forest	81.25	81.19	61.95	61.25
LSTM	<b>94.69</b>	<b>93.13</b>	70.31	69.53

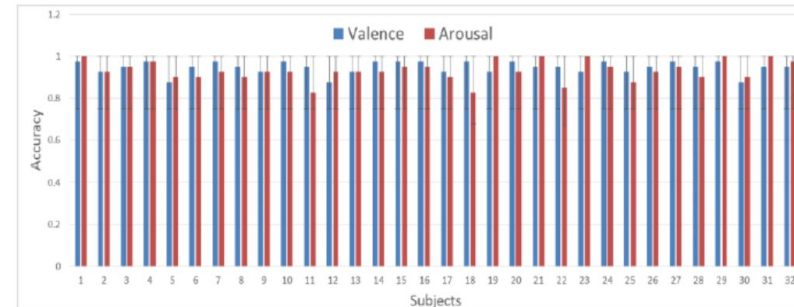
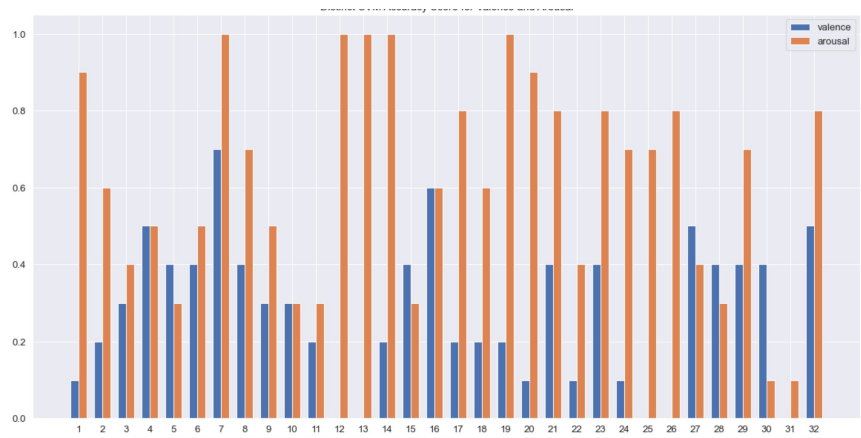


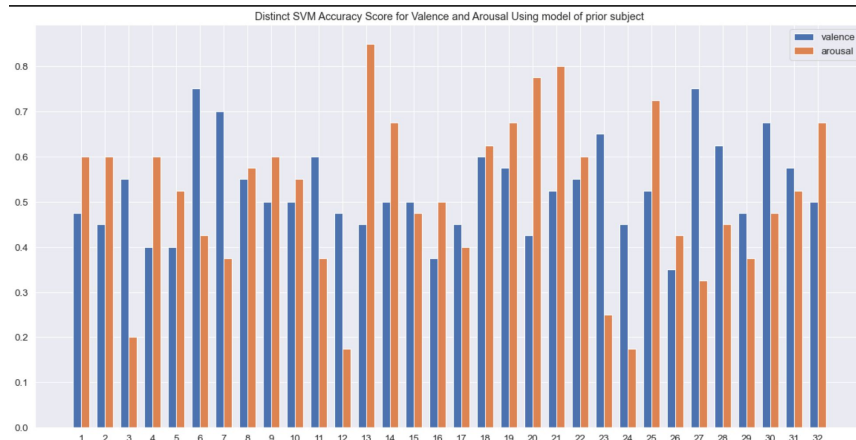
Figure 4. Testing accuracies for 32 subjects using LSTM model for subject-dependent model

# Accuracies I got from SVM



Train and test split from each subject

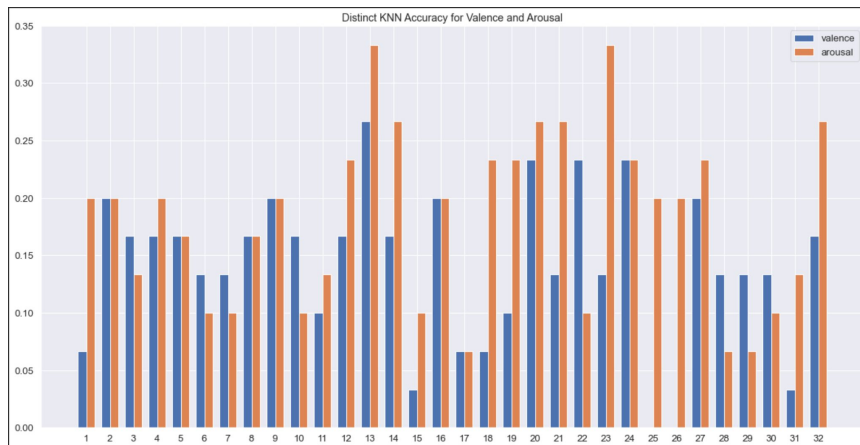
Mean Valence Accuracy on SVM: 28.0%  
Mean Arousal Accuracy on SVM: 62.0%



Train from different subject and test from current subject

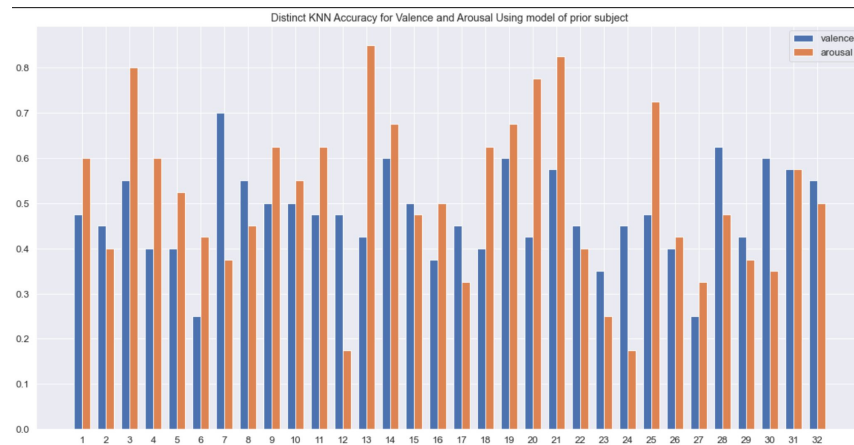
Mean Valence Accuracy on SVM: 53.0%  
Mean Arousal Accuracy on SVM: 51.0%

# Accuracies I got from KNN



Train and test split from each subject

Mean Valence Accuracy on KNN: 14.0%  
Mean Arousal Accuracy on KNN: 18.0%

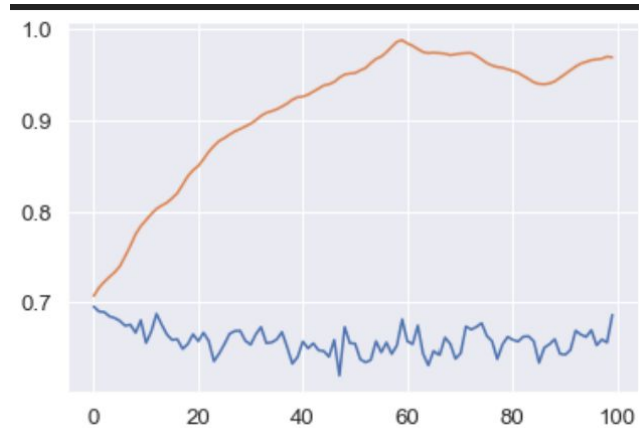


Train from different subject and test from current subject

Mean Valence Accuracy on KNN: 48.0%  
Mean Arousal Accuracy on KNN: 51.0%



# Proposed Model Train and Validation Loss



Overfitting

Paper Proposed model accuracy with separate subjects for train and test: 55.0



# **Arnajak Tungchoksongchai**



# Over all experiment

- Cross subject with 2 labels ( high and low)
  - RNN
  - CNN
- Individual subject with 10 labels (0 - 9)
  - RNN

Note all this experiment use 16 channel with are important to emotion classification



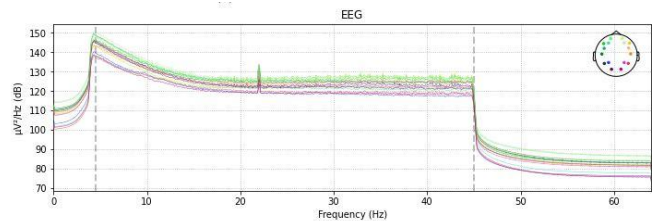
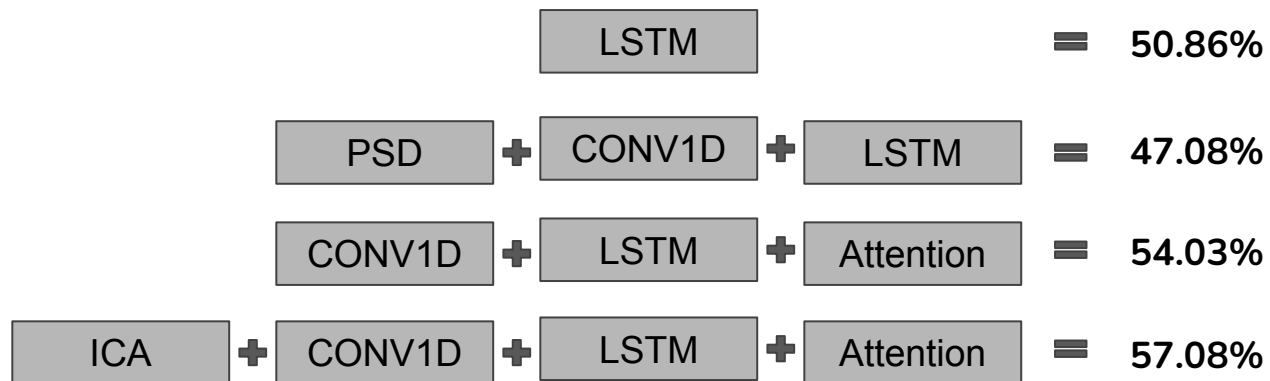
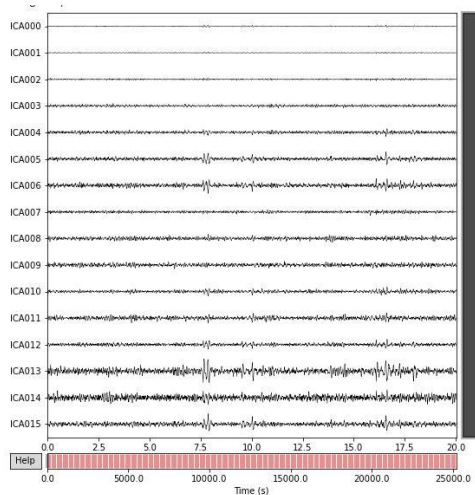
# DEAP DATASET

Modality	Arousal		Valence	
	ACC	F1	ACC	F1
EEG	0.620	0.583**	0.576	0.563**
Peripheral	0.570	0.533*	0.627	0.608**
MCA	0.651	0.618**	0.618	0.605**
Random	0.500	0.483	0.500	0.494
Majority class	0.644	0.389	0.586	0.368
Class ratio	0.562	0.500	0.525	0.500

DEAP experiment use SVM model and got acc around 60%

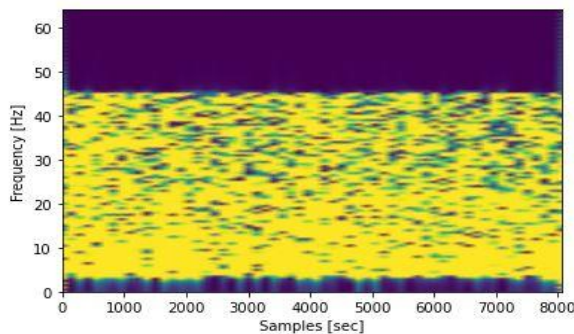


# Recurrent Neural Networks

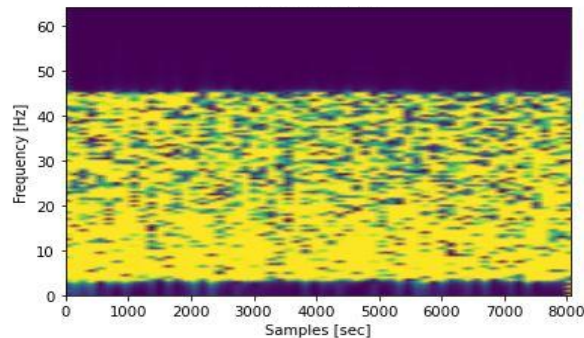


# Convolutional neural network

High valence



Low valence



STFT

+

CONV2D

=

54.78%

STFT

+

CONV2D

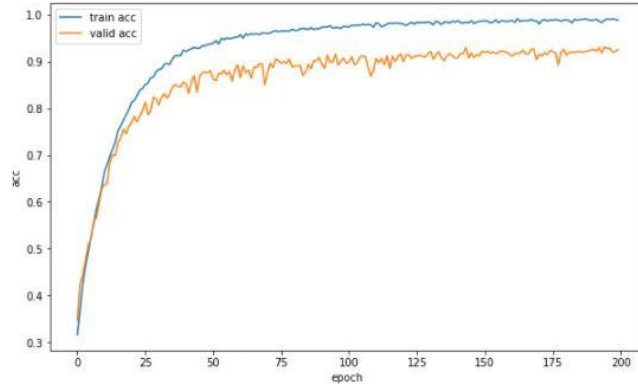
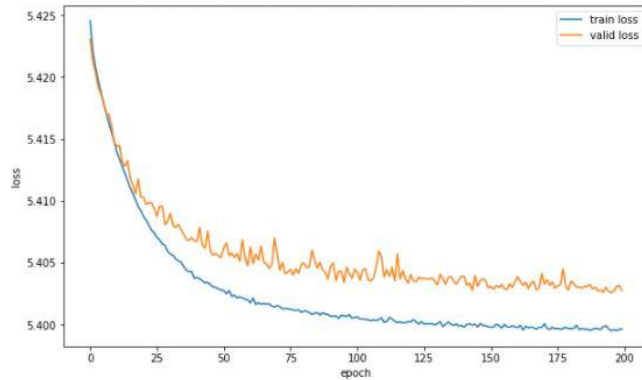
+

L2

=

60.94%

# Individual subject



As the experiment before I separate label to 2 classes (high and low) so in individual subject make a label to 10 class(0-9)

CONV1D

+

LSTM

+

Attention

=

92.57%

## Conclusion :

Because participants performed a self-assessment of thiers levels of arousal and valence. In this way, there will be a lot of variance and bias when combining multiple people. This is why it get high accuracy in individual subject but not good enough in cross-subject.





**Jirasak Buranathawornsom**





# Original Data

- 32 Participants
- 40 Clips
- 32 Channels
- 8064 datapoint


32\*40, 32, 8064 -> 1280, 32, 8064



 [Daisybiubiubiu](#) / [EEG-Emotion-Recognition](#) Public

 Watch


1


 Unstar


12

 Fork


6

 Code


 Issues


 Pull requests


 Actions


 Projects


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 Insights

 master

 1 branch

 0 tags

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Add file

Code


About

This is a final project for my 2019 Winter course "Pattern Recognition".

[course-project](#)

[3dcnn](#)

[cwt](#)

 Readme

Releases



Daisybiubiubiu Update readMe.md

27574fe on Dec 11, 2020

 28 commits



CWT

update: add scales extraction part

13 months ago



.gitattributes

Initial commit

2 years ago



readMe.md

Update readMe.md

12 months ago



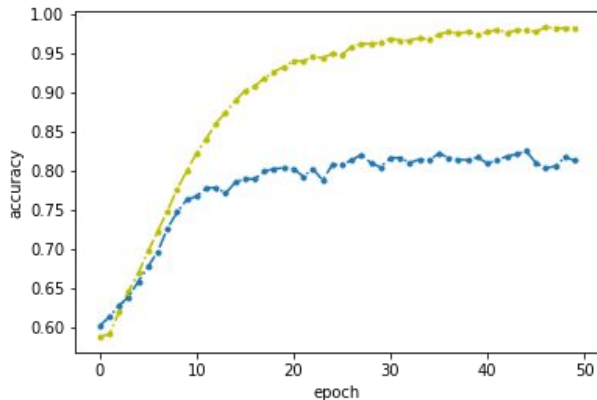
# Preprocessing

1. Remove 3s baseline
  - a. (1280, 32, **8064**) -> (1280, 32, **7680**)
2. Transform data in each channel using continuous wavelet transform, scale ranging from 1-64 Hz (64, 7680)
  - a. (1280, **32**, 7680) -> (1280, 32, **64**, 7680)
3. Select only 32 scales from (8-40 Hz)
  - a. (1280, 32, **64**, 7680) -> (1280, 32, **32**, 7680)
4. Sample only 60 datapoint out of 7680
  - a. (1280, 32, 32, **7680**) -> (1280, 32, 32, **60**)



# Train

1. Segment from 60 frame every 3 frame to get 20 more frame per original sample
  - a. **(1280, 32, 32, 60) -> (1280 \* 20 = 25600, 32, 32, 3)**
2. Randomly split the data into train and test
3. Feed the data with shape (32, 32, 3) into 3D CNN network



Result from their github



# Emotion Recognition from Multi-Channel EEG through Parallel Convolutional Recurrent Neural Network

## Emotion Recognition from Multi-Channel EEG through Parallel Convolutional Recurrent Neural Network

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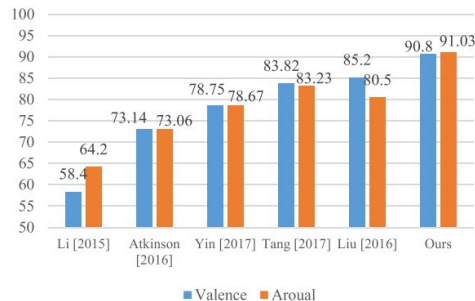
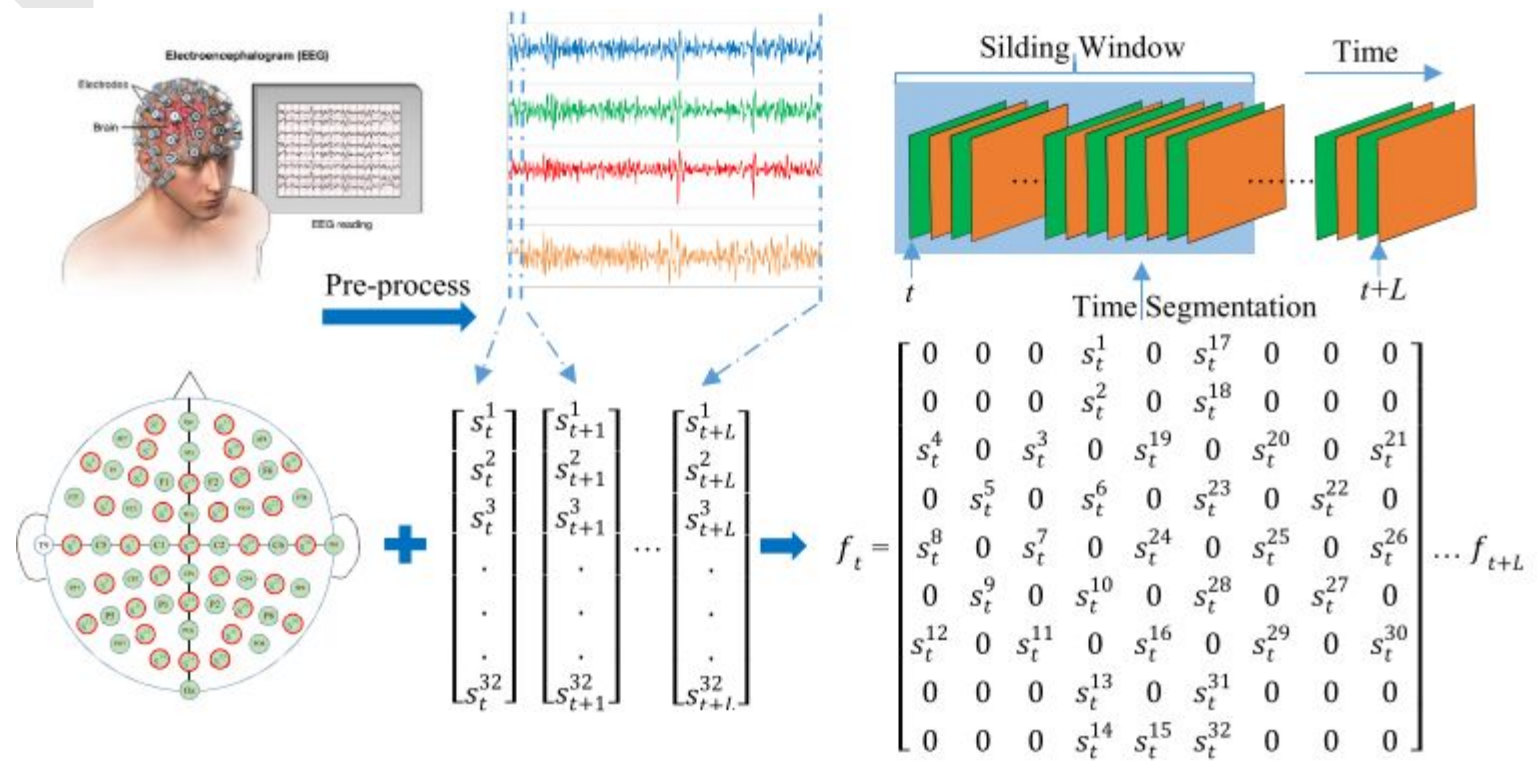


Fig. 4. Performance comparison between relevant approaches.

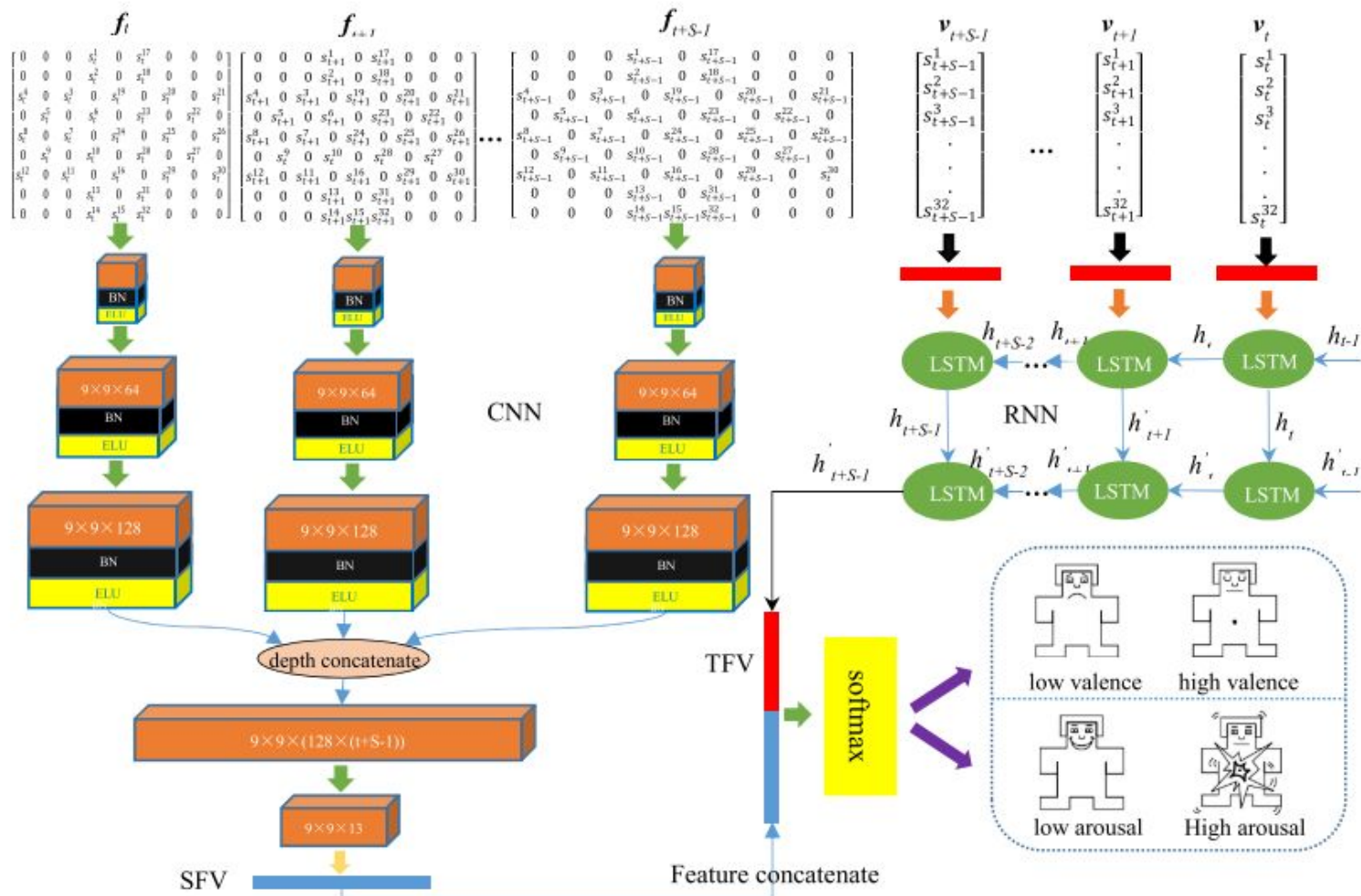


# Preprocessing

1. Remove 3s baseline and normalize the data with baseline
  - a. 1280, 32, **8064** -> 1280, 32, **7680**
2. Standardize data across 32 channels
3. Create CNN and RNN dataset
  - a. CNN dataset
    - i. Converting 1D EEG signals into 2D EEG frames
      1. 1280, **32**, 7680 -> 1280, **(9, 9)**, 7680
    - ii. Segment the data with time window of 1s (128 points) so we get  $7680/128=60$  more samples per original sample
      1. **1280**, (9, 9), **7680** -> **(1280 \* 60=76800)**, (9, 9), **128**
  - b. RNN dataset
    - i. Segment the data with time window of 1s (128 points)
      1. **1280**, 32, **7680** -> **(1280 \* 60=76800)**, 32, **128**
4. Save CNN and RNN data (using numpy memmap)







```

1 class RNNCNN(nn.Module):
2     def __init__(self, ):
3         super().__init__()
4         self.cnn_part = nn.Sequential(
5             nn.Conv2d(in_channels=1, out_channels=32, kernel_size=(4,4), padding='same'),
6             nn.BatchNorm2d(32),
7             nn.ELU(),
8             nn.Conv2d(in_channels=32, out_channels=64, kernel_size=(4,4), padding='same'),
9             nn.BatchNorm2d(64),
10            nn.ELU(),
11            nn.Conv2d(in_channels=64, out_channels=128, kernel_size=(4,4), padding='same'),
12            nn.BatchNorm2d(128),
13            nn.ELU(),
14        )
15        self.one_one_conv = nn.Conv2d(in_channels=16384, out_channels=13, kernel_size=(1,1))
16
17        self.lstm_part = nn.LSTM(input_size=128, hidden_size=32, num_layers=2, dropout=0.6, batch_first=True)
18
19        self.final_part = nn.Sequential(nn.Linear(1085, 512),
20                                       nn.Dropout(0.6),
21                                       nn.Linear(512, 256),
22                                       nn.Dropout(0.6),
23                                       nn.Linear(256, 128),
24                                       nn.Dropout(0.6),
25                                       nn.Linear(128, 2)
26                                       )
27    def forward(self, cnn_data, rnn_data):
28
29        # CNN
30        cnn_data = cnn_data.view(-1, 1, 9, 9, 128)
31        to_be_concatenated = []
32        for channel in range(cnn_data.shape[-1]):
33            result = self.cnn_part(cnn_data[...,channel])
34            to_be_concatenated.append(result)
35
36        result_concatenated = torch.concat(to_be_concatenated, axis=1)
37        result_concatenated_one_one_conv = self.one_one_conv(result_concatenated)
38        spatial_feature_vector = result_concatenated_one_one_conv.view(-1, 9*9*13)
39
40        # RNN
41        out, (h_n,c_n) = self.lstm_part(rnn_data)
42        temporal_feature_vector = h_n[-1]
43
44        # Combine CNN and RNN
45        spatial_temporal_concat = torch.concat([spatial_feature_vector, temporal_feature_vector],1)
46
47        output = self.final_part(spatial_temporal_concat)
48        return output

```

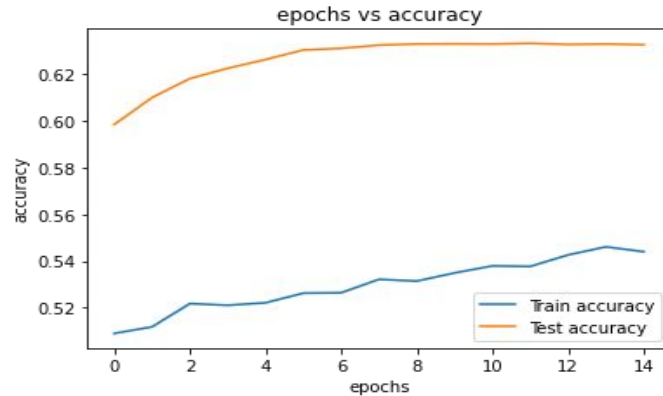


# Experiment

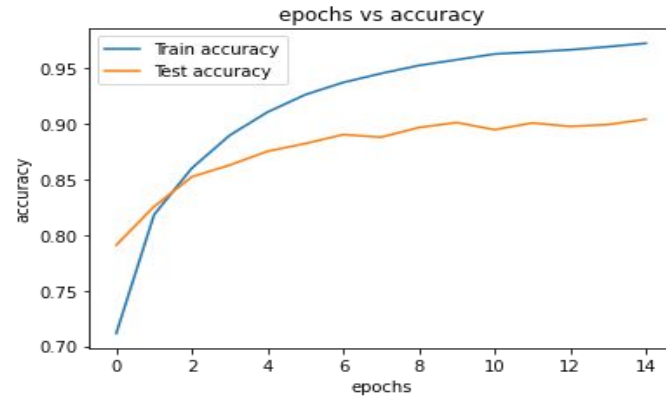
- Train on all participants
  - Case 1: From 32 participants,
    - First 25 participants: Train
    - Last 7 participants: Test
  - Case 2: Random Split with `test_size = 0.2`
- Train on one participants (1st participant)
  - Case 1: From 40 clips,
    - First 32 clips: Train
    - Last 8 clips: Test
  - Case 2: Random Split with `test_size = 0.2`

## Train on all participants

- Case 1: From 32 participants,
  - First 25 participants: Train
  - Last 7 participants: Test
- Case 2: Random Split with test\_size = 0.2



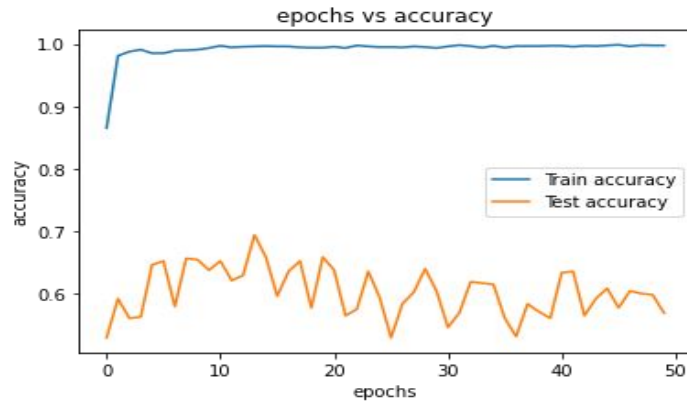
Case 1



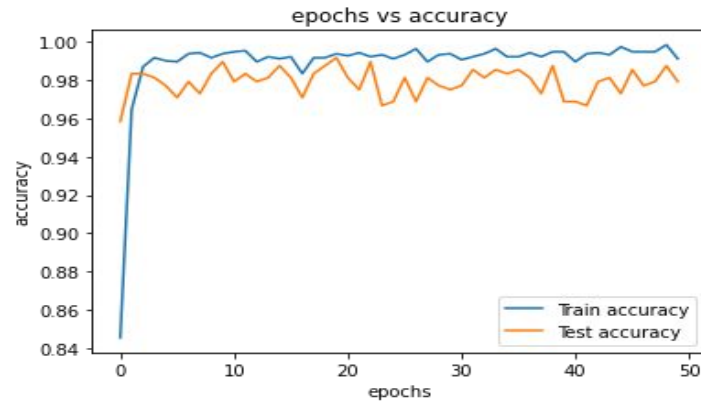
Case 2

## Train on one participants (1st participant)

- Case 1: From 40 clips,
  - First 32 clips: Train
  - Last 8 clips: Test
- Case 2: Random Split with test\_size = 0.2



Case 1



Case 2



## Conclusion

- DEAP dataset is about Emotion and classification of emotions was harder than expected.
- Including temporal data could increase accuracy
- Better techniques ,feature extractions could be applied for better results.
- The data split could be more standardised
- While subject dependent could get high accuracy, subject independent could not achieve this in this dataset using our methods.



## Things we have learned



- Learned how to handle signal data
- Learned about different signal processing techniques through different libraries
- Learned that even deep learning cannot learn everything without proper understanding of the domain.
- Got general idea of the BCI domain
- Learned to perform research and think scientifically.



**THANK YOU**