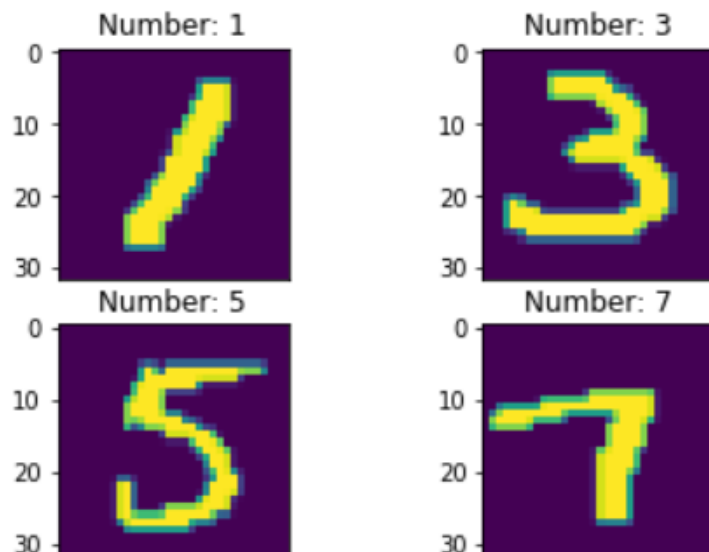
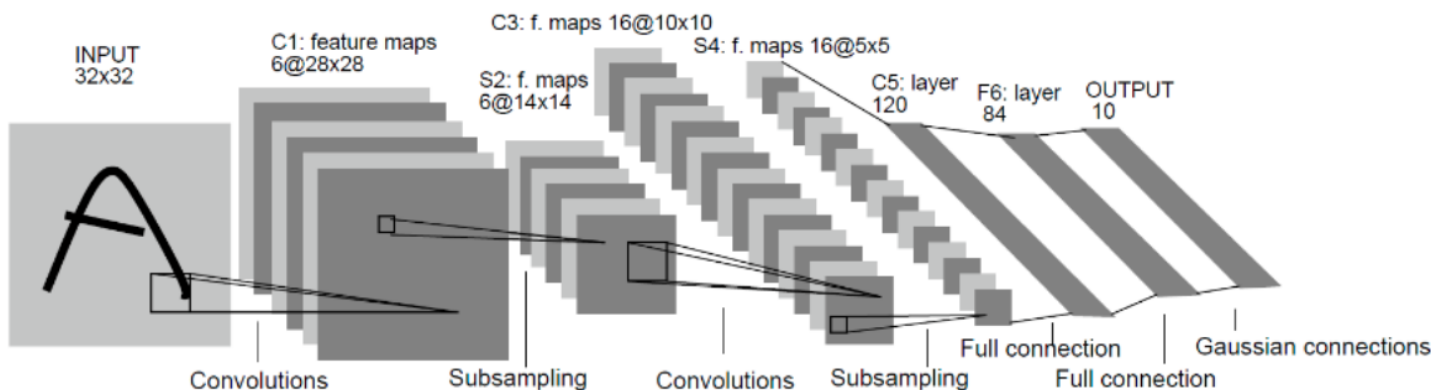


手写数字字符兼垃圾分类

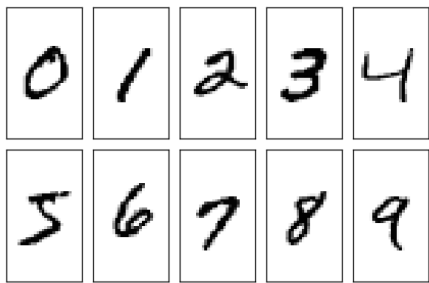
人工智能基础 —— 实践课（五）



- LeNet5 + MNIST被誉为深度学习领域的"Hello world"。本实验主要介绍使用MindSpore在MNIST手写数字数据集上开发和训练一个LeNet5模型，并验证模型精度。
- 根据学习的知识自主设计垃圾分类模型，作业评分测试都将基于垃圾分类进行。
- 附加题: 手机端部署垃圾分类模型并测试



MNIST数据集



MNIST 数据集来自美国国家标准与技术研究所, 训练集 (training set) 由来自 250 个不同人手写的数字构成, 其中 50% 是高中学生, 50% 来自人口普查局 (the Census Bureau) 的工作人员. 测试集(test set) 也是同样比例的手写数字数据.

- Training set images: train-images-idx3-ubyte.gz (9.9 MB, 解压后 47 MB, 包含 60,000 个样本)
- Training set labels: train-labels-idx1-ubyte.gz (29 KB, 解压后 60 KB, 包含 60,000 个标签)
- Test set images: t10k-images-idx3-ubyte.gz (1.6 MB, 解压后 7.8 MB, 包含 10,000 个样本)
- Test set labels: t10k-labels-idx1-ubyte.gz (5KB, 解压后 10 KB, 包含 10,000 个标签)

数据预处理:

1. mini-batch vs. full-batch: 设定批训练大小 batch-size
2. Resize: 统一缩放图片到统一标准大小
3. Rescale: 数据归一化
4. HWC2CHW: 将图像矩阵的高宽通道三个维度进行调整对换
5. Others: 旋转, 翻转, 裁剪, 高斯噪声, 灰度转换, 亮度, 饱和度...

mindspore.dataset()

mindspore.dataset.transforms.c_transforms()

mindspore.dataset.vision.c_transforms()

MindSpore 官方文档: https://www.mindspore.cn/doc/api_python/zh-CN/master/index.html

数据预处理

```
def create_dataset(data_dir, training=True, batch_size=32, resize=(32, 32),
                  rescale=1/(255*0.3081), shift=-0.1307/0.3081, buffer_size=64):
    data_train = os.path.join(data_dir, 'train') # 训练集信息
    data_test = os.path.join(data_dir, 'test') # 测试集信息
    ds = ms.dataset.MnistDataset(data_train if training else data_test)

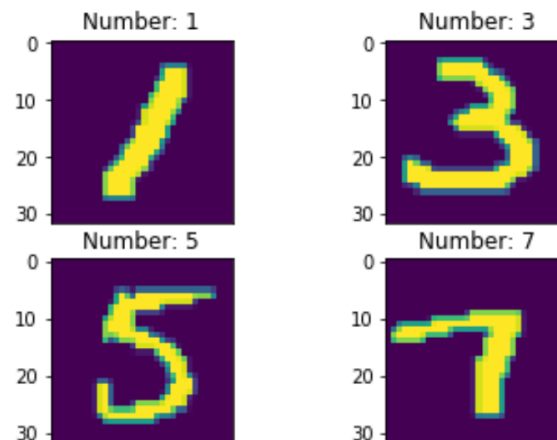
    ds = ds.map(input_columns=["image"], operations=[CV.Resize(resize), CV.Rescale(rescale, shift), CV.HWC2CHW()])
    ds = ds.map(input_columns=["label"], operations=C.TypeCast(ms.int32))
    # When `dataset_sink_mode=True` on Ascend, append `ds = ds.repeat(num_epochs)` to the end
    ds = ds.shuffle(buffer_size=buffer_size).batch(batch_size, drop_remainder=True)

    return ds
```

```
import matplotlib.pyplot as plt
ds = create_dataset('MNIST', training=False)
data = ds.create_dict_iterator().get_next()
images = data['image'].asnumpy()
labels = data['label'].asnumpy()

for i in range(1, 5):
    plt.subplot(2, 2, i)
    plt.imshow(images[i][0])
    plt.title('Number: %s' % labels[i])
    plt.xticks([])
plt.show()
```

(32, 1, 32, 32)
(32,)



数据预处理

mindspore.dataset.MnistDataset(dataset_dir, usage=None, num_samples=None, num_parallel_workers=None, shuffle=None, sampler=None, num_shards=None, shard_id=None, cache=None):

- dataset_dir (str) – 包含数据集的根目录的路径.
- shuffle (bool, optional) – 是否打乱数据集, 默认为不打乱数据集顺序.

mindspore.dataset.MnistDataset.map(input_columns, operations):

- input_columns=['key']: 对["image"]或["label"]执行数据变换
- operations: 数据变换, 如 CV.Resize(resize), CV.Rescale(rescale, shift), CV.HWC2CHW(), C.TypeCast(ms.int32)

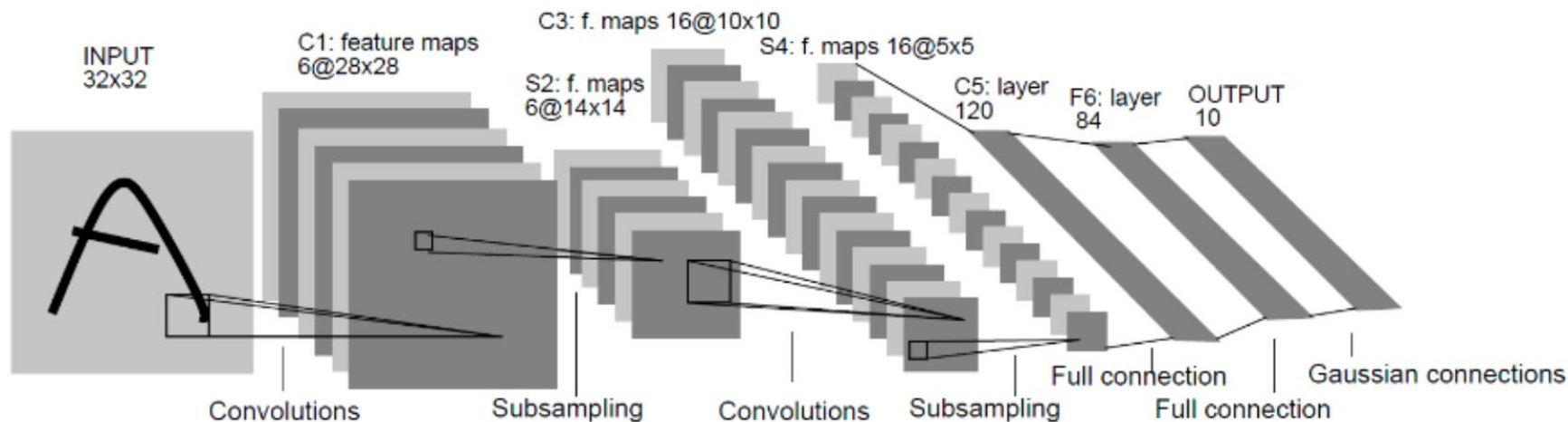
其中:

```
import mindspore.dataset.transforms.c_transforms as C
import mindspore.dataset.vision.c_transforms as CV
```

mindspore.dataset.MnistDataset.shuffle(buffer_size=buffer_size).batch(batch_size, drop_remainder=True)

- buffer_size: 将被加入缓冲器的元素的最大数
- batch_size: 一批输入网络训练的样本数量
- drop_remainder: 当epoch剩余样本数不足一个batch的时候, 直接丢弃还是保留直接作为一个batch

LeNet5

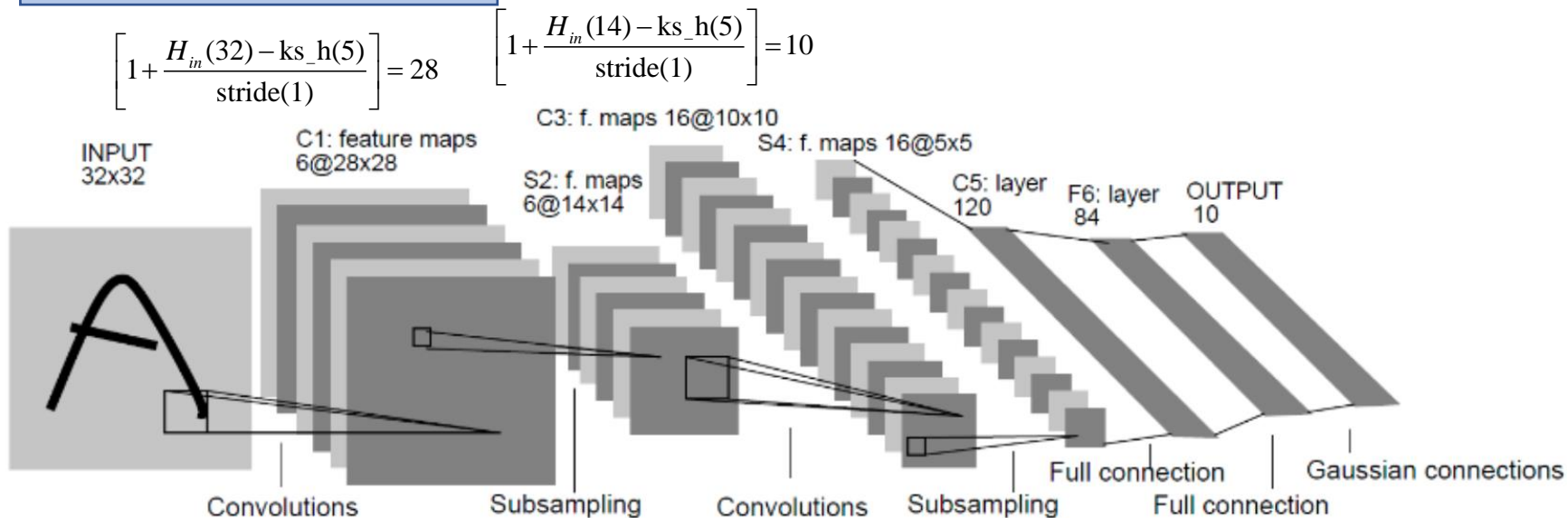


`mindspore.nn.Conv2d(in_channels, out_channels, kernel_size, stride = 1, pad_mode = 'same', padding = 0, dilation = 1, group = 1, has_bias = False, weight_init = 'normal', bias_init = 'zeros', data_format = 'NCHW')`

$$\text{Output Height: } \left\lceil 1 + \frac{H_{in} + 2 \times \text{padding} - \text{ks}_h - (\text{ks}_h - 1) \times (\text{dilation} - 1)}{\text{stride}} \right\rceil$$

$$\text{Output Weight: } \left\lceil 1 + \frac{H_{in} + 2 \times \text{padding} - \text{ks}_w - (\text{ks}_w - 1) \times (\text{dilation} - 1)}{\text{stride}} \right\rceil$$

LeNet5



`mindspore.nn.Conv2d(in_channels, out_channels, kernel_size, stride = 1, pad_mode = 'same', padding = 0, dilation = 1, group = 1, has_bias = False, weight_init = 'normal', bias_init = 'zeros', data_format = 'NCHW')`

Output Height:
$$\left\lceil 1 + \frac{H_{in} + 2 \times \text{padding} - \text{ks}_h - (\text{ks}_h - 1) \times (\text{dilation} - 1)}{\text{stride}} \right\rceil$$

Output Weight:
$$\left\lceil 1 + \frac{H_{in} + 2 \times \text{padding} - \text{ks}_w - (\text{ks}_w - 1) \times (\text{dilation} - 1)}{\text{stride}} \right\rceil$$

LeNet5

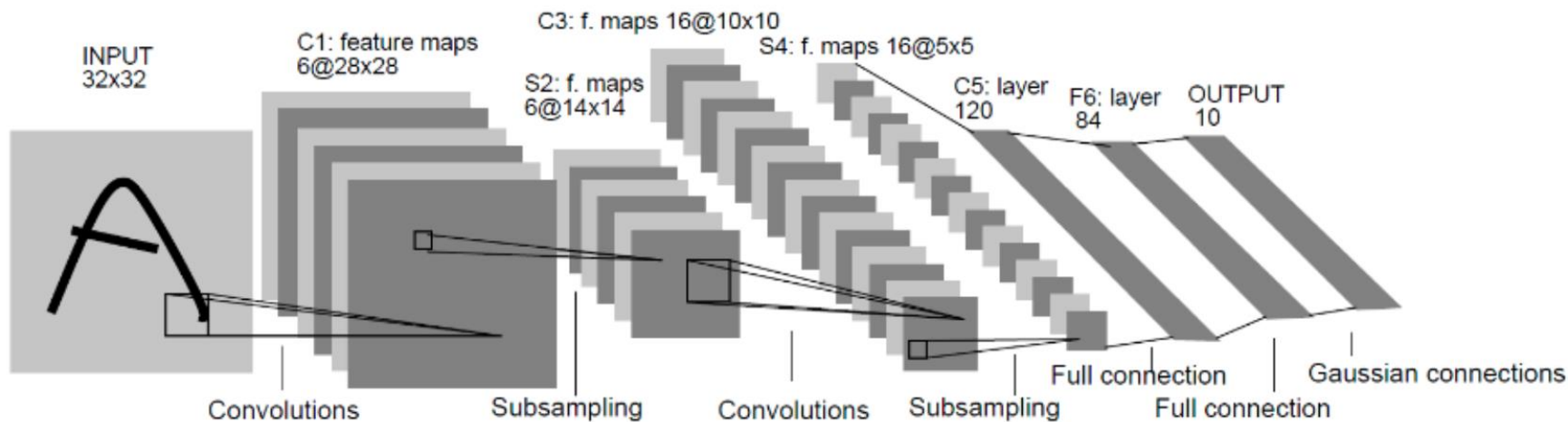
```
class LeNet5(nn.Cell):
    def __init__(self):
        super(LeNet5, self).__init__()
        self.conv1 = nn.Conv2d(1, 6, 5, stride=1, pad_mode='valid')
        self.conv2 = nn.Conv2d(6, 16, 5, stride=1, pad_mode='valid')
        self.relu = nn.ReLU()
        self.pool = nn.MaxPool2d(kernel_size=2, stride=2)
        self.flatten = nn.Flatten()
        self.fc1 = nn.Dense(400, 120)
        self.fc2 = nn.Dense(120, 84)
        self.fc3 = nn.Dense(84, 10)

    def construct(self, x):
        x = self.relu(self.conv1(x))
        x = self.pool(x)
        x = self.relu(self.conv2(x))
        x = self.pool(x)
        x = self.flatten(x)
        x = self.fc1(x)
        x = self.fc2(x)
        x = self.fc3(x)

        return x
```

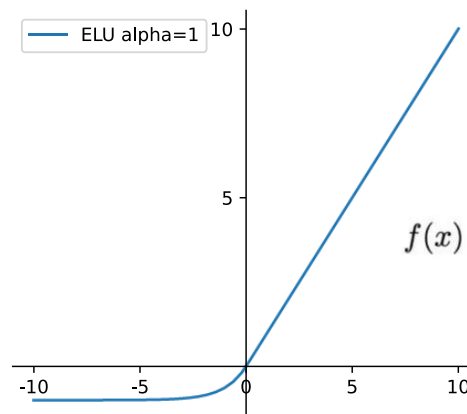
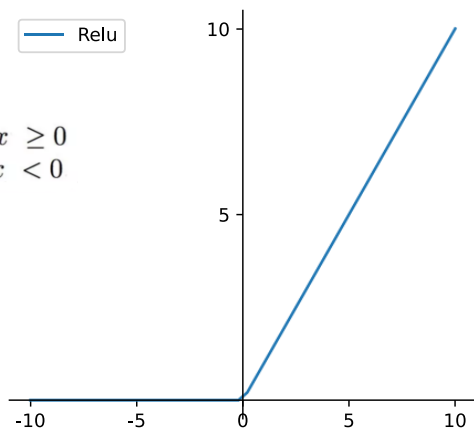
$$\left\lceil 1 + \frac{H_{in}(32) - ks_h(5)}{stride(1)} \right\rceil = 28$$

$$\left\lceil 1 + \frac{H_{in}(14) - ks_h(5)}{stride(1)} \right\rceil = 10$$



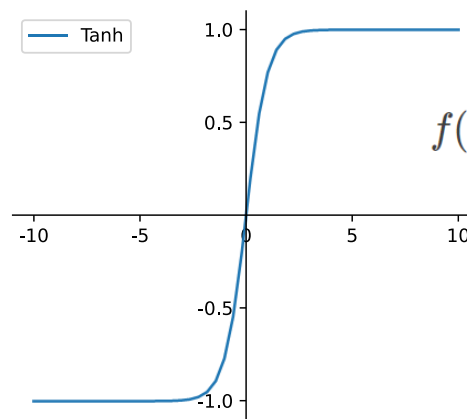
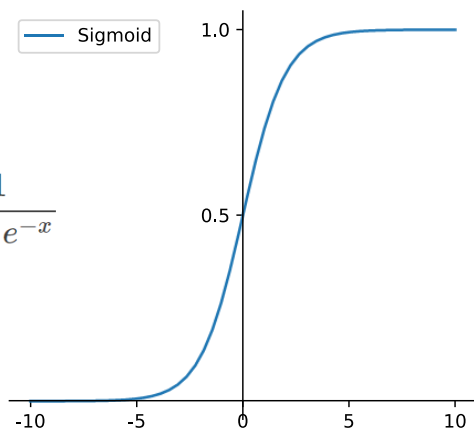
activation

$$y = \begin{cases} x & \text{if } x \geq 0 \\ 0 & \text{if } x < 0 \end{cases}$$



$$f(x) = \begin{cases} x & \text{if } x > 0 \\ \alpha (\exp(x) - 1) & \text{if } x \leq 0 \end{cases}$$

$$f(x) = \frac{1}{1 + e^{-x}}$$



$$f(x) = \frac{1 - e^{-2x}}{1 + e^{-2x}}$$

optimal

```
def train(data_dir, lr=0.01, momentum=0.9, num_epochs=3, ckpt_name="lenet"):
    ds_train = create_dataset(data_dir)
    ds_eval = create_dataset(data_dir, training=False)
    steps_per_epoch = ds_train.get_dataset_size()

    net = LeNet5()
    loss = nn.loss.SoftmaxCrossEntropyWithLogits(sparse=True, reduction='mean')
    opt = nn.Momentum(net.trainable_params(), lr, momentum)

    ckpt_cfg = CheckpointConfig(save_checkpoint_steps=steps_per_epoch, keep_checkpoint_max=5)
    ckpt_cb = ModelCheckpoint(prefix=ckpt_name, directory=CKPT_DIR, config=ckpt_cfg)
    loss_cb = LossMonitor(steps_per_epoch)

    model = Model(net, loss, opt, metrics={'acc', 'loss'})
    model.train(num_epochs, ds_train, callbacks=[ckpt_cb, loss_cb], dataset_sink_mode=False)
    metrics = model.eval(ds_eval, dataset_sink_mode=False)
    print('Metrics:', metrics)

train(DATA_PATH)
```

- mindspore.nn.loss.SoftmaxCrossEntropyWithLogits

For each instance N_i , the loss is given as:

$$\ell(x_i, t_i) = -\log\left(\frac{\exp(x_{t_i})}{\sum_j \exp(x_j)}\right) = -x_{t_i} + \log\left(\sum_j \exp(x_j)\right),$$

where x_i is a 1D score Tensor, t_i is a scalar.

optimal

```
def train(data_dir, lr=0.01, momentum=0.9, num_epochs=3, ckpt_name="lenet"):
    ds_train = create_dataset(data_dir)
    ds_eval = create_dataset(data_dir, training=False)
    steps_per_epoch = ds_train.get_dataset_size()

    net = LeNet5()
    loss = nn.loss.SoftmaxCrossEntropyWithLogits(sparse=True, reduction='mean')
    opt = nn.Momentum(net.trainable_params(), lr, momentum)

    ckpt_cfg = CheckpointConfig(save_checkpoint_steps=steps_per_epoch, keep_checkpoint_max=5)
    ckpt_cb = ModelCheckpoint(prefix=ckpt_name, directory=CKPT_DIR, config=ckpt_cfg)
    loss_cb = LossMonitor(steps_per_epoch)

    model = Model(net, loss, opt, metrics={'acc', 'loss'})
    model.train(num_epochs, ds_train, callbacks=[ckpt_cb, loss_cb], dataset_sink_mode=False)
    metrics = model.eval(ds_eval, dataset_sink_mode=False)
    print('Metrics:', metrics)

train(DATA_PATH)
```

- mindspore.nn.Momentum $v_t = v_{t-1} * u + gradients$

If use_nesterov is True:

$$p_t = p_{t-1} - (grad * lr + v_t * u * lr)$$

If use_nesterov is False:

$$p_t = p_{t-1} - lr * v_t$$

模型参数保存

```
def train(data_dir, lr=0.01, momentum=0.9, num_epochs=3, ckpt_name="lenet"):
    ds_train = create_dataset(data_dir)
    ds_eval = create_dataset(data_dir, training=False)
    steps_per_epoch = ds_train.get_dataset_size()

    net = LeNet5()
    loss = nn.loss.SoftmaxCrossEntropyWithLogits(sparse=True, reduction='mean')
    opt = nn.Momentum(net.trainable_params(), lr, momentum)

    ckpt_cfg = CheckpointConfig(save_checkpoint_steps=steps_per_epoch, keep_checkpoint_max=5)
    ckpt_cb = ModelCheckpoint(prefix=ckpt_name, directory=CKPT_DIR, config=ckpt_cfg)
    loss_cb = LossMonitor(steps_per_epoch)

    model = Model(net, loss, opt, metrics={'acc', 'loss'})
    model.train(num_epochs, ds_train, callbacks=[ckpt_cb, loss_cb], dataset_sink_mode=False)
    metrics = model.eval(ds_eval, dataset_sink_mode=False)
    print('Metrics:', metrics)

train(DATA_PATH)
```

ModelCheckpoint可以保存模型参数，以便进行再训练或推理。 LossMonitor可以在日志中输出loss，方便用户查看，同时它还会监控训练过程中的loss值变化情况，当loss值为Nan或Inf时终止训练。

加载Checkpoint进行验证

```
CKPT = os.path.join(CKPT_DIR, 'lenet-3_1875.ckpt')

def eval(data_dir):
    ds_eval = create_dataset(data_dir, training=False)
    net = LeNet5()
    loss = nn.loss.SoftmaxCrossEntropyWithLogits(sparse=True, reduction='mean')
    load_checkpoint(CKPT, net=net)
    model = Model(net, loss, metrics={'acc', 'loss'})
    metric = model.eval(ds_eval, dataset_sink_mode=False)
    print(metric)

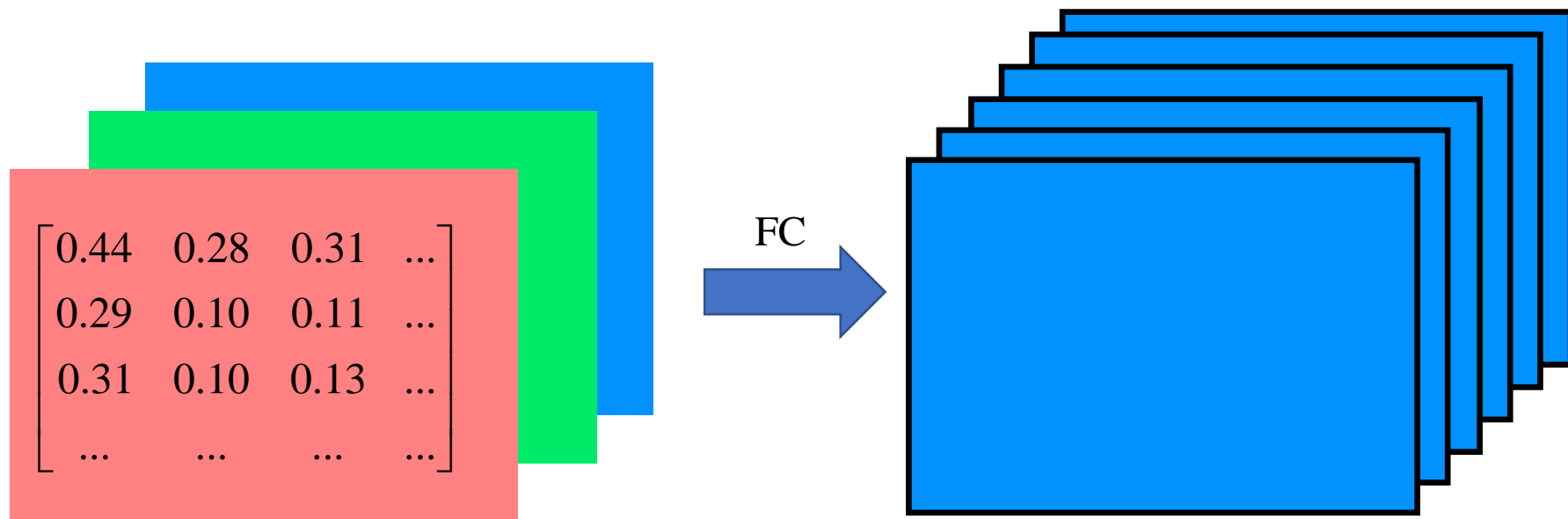
eval(DATA_PATH)
```

```
def infer(data_dir):
    ds = create_dataset(data_dir, training=False).create_dict_iterator(output_numpy=True)
    data = ds.get_next()
    images = data['image']
    labels = data['label']
    net = LeNet5()
    load_checkpoint(CKPT, net=net)
    model = Model(net)
    output = model.predict(Tensor(data['image']))
    preds = np.argmax(output.asnumpy(), axis=1)

    for i in range(1, 5):
        plt.subplot(2, 2, i)
        plt.imshow(np.squeeze(images[i]))
        color = 'blue' if preds[i] == labels[i] else 'red'
        plt.title("prediction: {}, truth: {}".format(preds[i], labels[i]), color=color)
        plt.xticks([])
    plt.show()

infer('MNIST')
```

全连接神经网络的局限性



如果只有两个隐藏层，每层256个节点，则MNIST数据集所需要的参数是 $(28*28*256+256*256+256*10)$ 个w，再加上 $(256+256+10)$ 个b。

- 如果输入图像大小宽高为1000像素，仅一层全连接就需要 $1000*1000*256$ 个参数，约等于2亿个w。
- 而卷积神经网络使用了参数共享的方式，换一个角度来解决问题，不仅在准确率上大大提升，也把参数降下来。

卷积核



这些噪点，属于高频信号

高频信号，就好像平地耸立的山峰



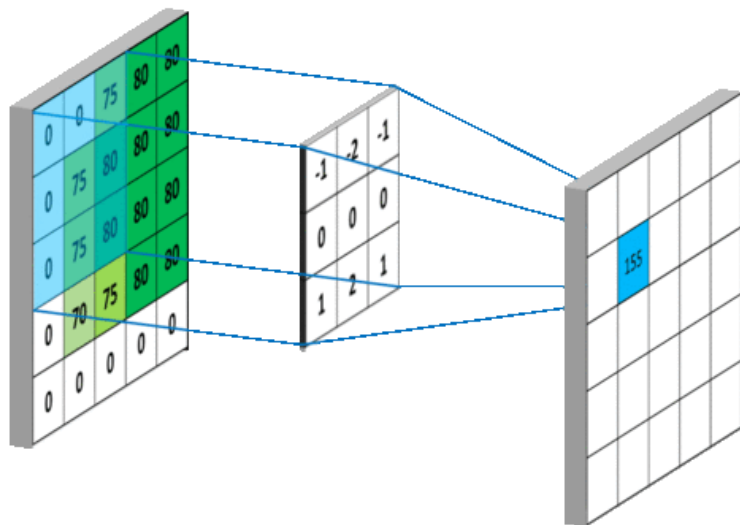
平滑这座山峰的办法之一就是，把山峰刨掉一些土，填到山峰周围去。用数学的话来说，就是把山峰周围的高度平均一下。即把高频信号与周围的数值平均一下就可以平滑图像。



$$\Rightarrow \begin{bmatrix} a_{0,0} & a_{0,1} & a_{0,2} & \cdots & a_{0,n} \\ a_{1,0} & a_{1,1} & a_{1,2} & \cdots & a_{1,n} \\ a_{2,0} & a_{2,1} & a_{2,2} & \cdots & a_{2,n} \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ a_{m,0} & a_{m,1} & a_{m,2} & \cdots & a_{m,n} \end{bmatrix}$$

$$g = \begin{bmatrix} 1/9 & 1/9 & 1/9 \\ 1/9 & 1/9 & 1/9 \\ 1/9 & 1/9 & 1/9 \end{bmatrix}$$

卷积核



a, b 的下标相加都为1, 1

$$f = \begin{bmatrix} a_{0,0} & a_{0,1} & a_{0,2} \\ a_{1,0} & a_{1,1} & a_{1,2} \\ a_{2,0} & a_{2,1} & a_{2,2} \end{bmatrix} \quad g = \begin{bmatrix} b_{-1,-1} & b_{-1,0} & b_{-1,1} \\ b_{0,-1} & b_{0,0} & b_{0,1} \\ b_{1,-1} & b_{1,0} & b_{1,1} \end{bmatrix}$$

$$\begin{aligned} c_{1,1} = & a_{0,0}b_{1,1} + a_{0,1}b_{1,0} + a_{0,2}b_{1,-1} + a_{1,0}b_{0,1} \\ & + a_{1,1}b_{0,0} + a_{1,2}b_{0,-1} + a_{2,0}b_{-1,1} \\ & + a_{2,1}b_{-1,0} + a_{2,2}b_{-1,-1} \end{aligned}$$

卷积公式形式:

$$(f * g)(1, 1) = \sum_{k=0}^2 \sum_{h=0}^2 f(h, k) g(1-h, 1-k)$$

加权求和-卷积滤波

离散卷积本质就是一种加权求和。

卷积神经网络中的卷积操作本质上就是利用一个共享参数的卷积核(*filter*), 通过逐点计算中心像素点以及相邻像素点的加权和来构成**feature map**实现空间特征的提取。



图像数值矩阵

$$\Rightarrow \begin{bmatrix} a_{0,0} & a_{0,1} & a_{0,2} & \cdots & a_{0,n} \\ a_{1,0} & a_{1,1} & a_{1,2} & \cdots & a_{1,n} \\ a_{2,0} & a_{2,1} & a_{2,2} & \cdots & a_{2,n} \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ a_{m,0} & a_{m,1} & a_{m,2} & \cdots & a_{m,n} \end{bmatrix}$$

卷积核

$$g = \begin{bmatrix} 1/9 & 1/9 & 1/9 \\ 1/9 & 1/9 & 1/9 \\ 1/9 & 1/9 & 1/9 \end{bmatrix}$$

MobileNetV2 垃圾分类

本实验以 MobileNetV2+垃圾分类数据集为例，主要介绍如在使用 MindSpore 在 CPU/GPU 平台上进行 Fine-Tune。

垃圾分类信息：

```
{ '干垃圾': ['贝壳', '打火机', '旧镜子', '扫把', '陶瓷碗', '牙刷', '一次性筷子', '脏污衣服'],  
  '可回收物': ['报纸', '玻璃制品', '篮球', '塑料瓶', '硬纸板', '玻璃瓶', '金属制品', '帽子', '易拉罐', '纸张'],  
  '湿垃圾': ['菜叶', '橙皮', '蛋壳', '香蕉皮'],  
  '有害垃圾': ['电池', '药片胶囊', '荧光灯', '油漆桶'] }
```

```
['贝壳', '打火机', '旧镜子', '扫把', '陶瓷碗', '牙刷', '一次性筷子', '脏污衣服',  
'报纸', '玻璃制品', '篮球', '塑料瓶', '硬纸板', '玻璃瓶', '金属制品', '帽子', '易拉罐', '纸张',  
'菜叶', '橙皮', '蛋壳', '香蕉皮',  
'电池', '药片胶囊', '荧光灯', '油漆桶']
```

```
['Seashell', 'Lighter', 'Old Mirror', 'Broom', 'Ceramic Bowl', 'Toothbrush', 'Disposable  
Chopsticks', 'Dirty Cloth', 'Newspaper', 'Glassware', 'Basketball', 'Plastic Bottle',  
'Cardboard', 'Glass Bottle', 'Metalware', 'Hats', 'Cans', 'Paper', 'Vegetable Leaf', 'Orange Peel',  
'Eggshell', 'Banana Peel', 'Battery', 'Tablet capsules', 'Fluorescent lamp', 'Paint bucket']
```

附加题 - 手机端部署模型

本实验不要求完成附加题.

附加题可以同学们课后自己实验学习. 不计入成绩.

最终测试准确率达到85%以上即可获得满分.

准确率达到80%以上 + 完整的实验报告及中间结果记录也可获得满分.

准确率以5%为一档向下逐档减分.

Note:

- (1) 垃圾分类作业通过调参模型即可获得85%以上的准确率(需要同学们对各项参数的理解有较高的理解, 调参最高准确率目前记录为93%).
- (2) 可以采用pytorch或tensorflow框架编程. 但需要保证接口与mindspore模型中的函数接口一致.
- (3) 本题是开放题, 如果调参较难取得满意结果, 也可以修改模型架构, 加载预训练模型之后重新训练整个模型, 或者用不同的训练策略, 或者数据增强方式都可以用来提升模型的鲁棒性能. 对于模型的Loss的设定也可以增加一些弱监督信息, 或者人类知识先验信息.
- (4) 高阶: 鲁棒性模型 —— GAN, 对比学习, 数据增强模型, VAE, Attention机制等等.