April 30th, 2019 COMP 5712 Notes

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## 1.1 Randomized Multiway Cut Problem

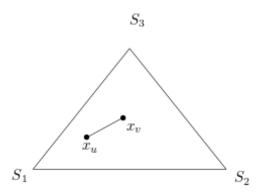


Figure 1: For k=3

- Select  $r \in (0,1)$  uniformly at random
- Consider "corners" of simplex in random order
- $\bullet$  Each corner considered "grabs" all vertices within "ball-distance" r from it that have not yet been grabbed. i.e.

$$u \in B(S, r)$$
.

- The last corner considered grabs all remaining vertices.
- To achieve an approximation factor of 1.5, we need to show that the probability that u and v are assigned to different corners is  $\leq \frac{3}{4||x_u-x_v||}$ .

## Lemma 1.1

 $u \in B(S_i, r)$  if and only if  $1 - x_u^i \le r$ .

*Proof.* Ball distance of  $x_u$  from  $S_i = \frac{1}{2} ||x_u - S_i||$ 

$$= \frac{1}{2} \left[ (1 - x_u^i) + \sum_{i \neq i} x_u^j \right] = \frac{1}{2} \left[ (1 - x_u^i) + (1 - x_u^i) \right] = 1 - x_u^i.$$

This is because the j-th component  $S_i$  is 0 for all  $j \neq i$  and 1 for j = i, and  $\sum x_u^j = 1$ .  $\square$ 

**Definition 1.2.** We say that an index i **cuts** (u, v) if  $\underline{\text{exactly}}$  one of  $x_u$  and  $x_v$  is contained in ball  $B(S_i, r)$ .

For  $x_u$  and  $x_v$  to be assigned in different corner, it if necessary that there is some index that cuts (u, v). This is not sufficient since u and v could both be grabbed by a vertex, but another vertex later on might cut the edge (since they are selected in a random order).

When does index i cut (u, v)? In fact, what is the probability that index i cuts (u, v). From the lemma above, we only need to look at that i-th coordinate of  $x_u^i$ .

WLOG, assume that  $x_u$  is closer to  $S_i$ . Then

$$(u, v)$$
 is cut by index  $i$  iff  $r \in (1 - x_u^i, 1 - x_v^i)$ .

$$\implies \Pr(\text{index } i \text{ cuts } (u,v)) = \frac{(1-x_i^v) - (1-x_i^u)}{1} = |x_u^i - x_v^i|.$$

Now we have:

$$Pr((u, v) \in multicut) = Pr(u \& v \text{ are cut by index } i)$$

$$\leq \sum_{i} \Pr(u \& v \text{ are cut by index } i) = \sum_{i} |x_u^i - x_v^i| = ||x_u - x_v||.$$

Now we would like to tighten the restriction to achieve an upper bound of  $\frac{3}{4}||x_u - x_v||$ . Note that we have not yet used the fact that "corners" are considered in random order.

**Definition 1.3.** We day that an index i settles (u, v) if i is the first index in the random permutation such that at least one of u or v belongs to  $B(S_i, r)$ .

Intuitively, i decides whether (u, v) will be in the multiway cut or not. Let us define the following events:

- $S_i$ : Event that index i settles (u, v).
- $X_i$ : Event that index i settles (u, v).

Then:

$$\Pr\left[(u, v) \in \text{ multicut}\right] \le \sum_{i} \Pr(S_i \wedge X_i).$$

This is because (u, v) is in the multiway cut only if there is some index i that both settles and cuts (u, v), i.e. it is the first index that separates u and v. This is clearly necessary, but it is not sufficient, since it could be the last index.

Let l be the index such that  $S_i$  is closest to one of the two endpoints of (u, v). That is, i = l minimizes the quantity min  $(||S_i - u||, ||S_i - v||)$ 

Claim 1.4. Index  $i \neq l$  cannot settle edge (u, v) if l is ordered before i in the random permutation.

*Proof.* If index i were to settle (u, v), then it would be l.

We need to compute  $\Pr(S_i \wedge X_i)$  for index i. There are 2 cases:  $i \neq l$  and i = l.

1. For  $i \neq l$ , we have:

$$\Pr\left[S_i \wedge X_i\right]$$

=  $\Pr[S_i \wedge X_i \mid l \text{ occurs after } i \text{ in permutation}] \times \Pr[l \text{ occurs after } i \text{ in permutation}]$ +  $\Pr[S_i \wedge X_i \mid l \text{ occurs before } i \text{ in permutation}] \times \Pr[l \text{ occurs before } i \text{ in permutation}]$ 

$$= \frac{1}{2} \Pr[S_i \wedge X_i \mid l \text{ occurs after } i \text{ in permutation}] \leq \frac{1}{2} \Pr[X_i] = \frac{1}{2} |x_u^i - x_v^i|.$$

2. For i = l we have:

$$\Pr[S_l \wedge X_l] \le \Pr[X_l] = |x_u^l - x_v^l|.$$

This means that:

$$\Pr\left[(u,v) \in \text{ multicut}\right] \le \sum_{i} \Pr(S_i \wedge X_i) \le \frac{1}{2} \sum_{i \ne l} |x_u^i - x_v^i| + |x_u^l - x_v^l|.$$

## Lemma 1.5

For any index l and any 2 vertices u and v,

$$|x_u^l - x_v^l| \le \frac{1}{2} ||x_u - x_v||.$$

$$Proof. \ |x_u^l - x_v^l| = \left| \left( 1 - \sum_{j \neq l} x_u^j \right) - \left( 1 - \sum_{j \neq l} x_v^l \right) \right| = \left| \sum_{j \neq l} \left( x_v^j - x_u^j \right) \right| \leq \sum_{i \neq j} |x_v^j - x_u^j| + ||x_v^l - x_u^j||$$

$$\Pr\left[(u,v) \in \text{ multicut}\right] \leq \frac{1}{2} \sum_{i} |x_{u}^{i} - x_{v}^{i}| + \frac{1}{2} |x_{u}^{l} - x_{v}^{l}| \leq \frac{1}{2} ||x_{u} - x_{v}|| + \frac{1}{2} \times \frac{1}{2} ||x_{u} - x_{v}|| = \frac{3}{4} ||x_{u} - x_{v}||.$$