

# 12-C5

**12. 排序**

**希尔排序**

**PS序列**

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## d-Sorting an $\mathcal{O}(d)$ -Ordered Sequence in $\mathcal{O}(dn)$ Time

❖ If  $g$  and  $h$  are relatively prime and are both in  $\mathcal{O}(d)$

we can d-sort the sequence in  $\mathcal{O}(dn)$  time ...

- re-arrange the sequence as a 2D matrix with  $d$  columns
- each element is swapped with  $\mathcal{O}((g-1) \cdot (h-1)/d) = \mathcal{O}(d)$  elements

❖ Since this holds for all elements,  $\mathcal{O}(dn)$  steps are enough



## PS Sequence

❖ Papernov & Stasevic, 1965

//also called Hibbard's sequence

$$\mathcal{H}_{PS} = \mathcal{H}_{Shell} - 1 = \{ 2^k - 1 \mid k \in \mathcal{N} \} = \{ 1, 3, 7, 15, 31, 63, 127, 255, \dots \}$$

❖ Different items **may not** be relatively prime, e.g.  $h_{2k} = h_k \cdot (h_k + 2)$

But **adjacent** items **must** be, since  $h_{k+1} - 2 \cdot h_k \equiv 1$

❖ Shellsort with  $\mathcal{H}_{ps}$  needs

- $\mathcal{O}(\log n)$  outer iterations and
- $\mathcal{O}(n^{3/2})$  time to sort a sequence of length  $n$

//Why ...

$$t < k$$

❖ Let  $h_t$  be the  $h$  closest to  $\sqrt{n}$  and hence  $h_t \approx \sqrt{n} = \Theta(n^{1/2})$

1) Consider those iterations for  $\{h_k \mid t < k\} = \overleftarrow{\{h_{t+1}, h_{t+2}, \dots, h_m\}}$

$\therefore$  there would be  $\mathcal{O}(n/h_k)$  elements in each of the  $h_k$  columns

$\therefore$  we can **insertionsort** each column in  $\mathcal{O}((n/h_k)^2)$  time

$\therefore$  each  $h_k$ -sorting costs  $\mathcal{O}(n^2/h_k)$  time

$\therefore$  all these iterations cost time of

$$\mathcal{O}(2 \times n^2/h_t) = \mathcal{O}(n^{3/2})$$

$$k \leq t$$

$$h_k \leq h_t$$

$$t < k$$

$$h_t < h_k$$

$$k = t$$

$$h_k = h_t$$

$$k \leq t$$

2) Consider those iterations for  $\{ h_k \mid k \leq t \} = \{ \overleftarrow{h_1, h_2, \dots, h_t} \}$

$\therefore \boxed{h_{k+1}}$  and  $\boxed{h_{k+2}}$  are relatively prime and are both in  $\mathcal{O}(\boxed{h_k})$

$\therefore$  each  $h_k$ -sorting costs  $\mathcal{O}(n \times h_k)$  time

$\therefore$  all these iterations cost  $\mathcal{O}(n \times 2 \cdot h_t) = \mathcal{O}(n^{3/2})$  time

❖ This upper bound is **TIGHT**

❖ How about the average cases?

-  $\mathcal{O}(n^{5/4})$  based on simulations

- but not proved yet

$$\begin{array}{l} k \leq t \\ h_k \leq h_t \end{array}$$

$$\begin{array}{l} t < k \\ h_t < h_k \end{array}$$

$$\begin{array}{l} k = t \\ h_k = h_t \end{array}$$