

# On the $e$ -positivity of some claw-free graphs

**Arthur L.B. Yang**

**Center for Combinatorics, Nankai University**

July 1st, 2020

1 Chromatic symmetric functions

2 Generalized pyramids

3  $2K_2$ -free unit interval graphs

4 Twin vertices

5 Jacobi-Trudi immanants

# Symmetric function

- Let  $x = (x_1, x_2, \dots)$  be a set of indeterminates, and let  $n \in \mathbb{N}$ .
- Let  $R$  be a commutative ring with identity.
- A homogeneous symmetric function of degree  $n$  over  $R$  is a formal power series

$$f(x) = \sum_{\alpha} c_{\alpha} x^{\alpha},$$

where

- $\alpha$  ranges over all weak compositions  $\alpha = (\alpha_1, \alpha_2, \dots)$  of  $n$  of infinite length;
- $c_{\alpha} \in R$ ;
- $x^{\alpha}$  stands for the monomial  $x_1^{\alpha_1} x_2^{\alpha_2} \cdots$ ; and
- $f(x_{w(1)}, x_{w(2)}, \dots) = f(x_1, x_2, \dots)$  for every permutation  $w$  of the positive integers  $\mathbb{P}$ .

# Monomial symmetric functions

- $\lambda$ : a partition of a nonnegative integer  $n$

$$(4), \quad (3, 1), \quad (2, 2), \quad (2, 1, 1), \quad (1, 1, 1, 1)$$

- Given  $\lambda = (\lambda_1, \lambda_2, \dots) \vdash n$ , define a symmetric function  $m_\lambda(x)$  by

$$m_\lambda = \sum_{\alpha} x^\alpha,$$

where the sum ranges over all distinct permutations  $\alpha = (\alpha_1, \alpha_2, \dots)$  of the entries of the vector  $\lambda = (\lambda_1, \lambda_2, \dots)$ .

- The set  $\{m_\lambda : \lambda \vdash n\}$  is a vector space basis for  $\Lambda_R^n$ , the set of all homogeneous symmetric functions of degree  $n$ .

# Elementary symmetric functions

- If  $\lambda = (1^n)$ , then

$$e_n = m_{(1^n)} = \sum_{i_1 < \cdots < i_n} x_{i_1} \cdots x_{i_n}, \quad n \geq 1.$$

- For  $\lambda = (\lambda_1, \lambda_2, \dots)$ , let

$$e_\lambda = e_{\lambda_1} e_{\lambda_2} \cdots.$$

- The set  $\{e_\lambda : \lambda \vdash n\}$  is a vector space basis for  $\Lambda_{\mathbb{Q}}^n$ .

# Chromatic symmetric functions

- Let  $G$  be a graph with vertex set  $V(G)$  and edge set  $E(G)$ .
- Let  $\mathbb{Z}^+$  be the set of positive integers.
- A *coloring* of  $V(G)$  is a function  $\kappa : V(G) \mapsto \mathbb{Z}^+$ .
- A coloring  $\kappa$  is *proper* if  $\kappa(u) \neq \kappa(v)$  whenever  $(u, v) \in E(G)$ .

## Definition (Stanley, 1995)

Given a graph  $G = (V, E)$ , the chromatic symmetric function is defined by

$$X_G = \sum_{\kappa} \prod_{v \in V} x_{\kappa(v)},$$

where the sum is over all proper colorings of  $\kappa$  of  $G$ .

# Stanley's isomorphism conjecture for trees

- The chromatic symmetric function  $X_G$  generalizes the chromatic polynomial  $\chi_G$  of  $G$ , since  $X_G(1^n) = \chi_G(n)$ .

## Open problem (Stanley, 1995)

*If  $T$  and  $T'$  are nonisomorphic trees, does  $X_T \neq X_{T'}$ ?*

- True for trees with at most 29 vertices by Heil and Ji (arXiv:1801.07363v2).
- Caterpillars can be distinguished by  $X_T$  due to Loeb and Sereni (arXiv:1405.4132).

# Monomial expansion

- If  $\lambda = \langle \dots, 2^{r_2}, 1^{r_1} \rangle$ , then let  $\tilde{m}_\lambda = r_1! r_2! \cdots m_\lambda$ .
- A stable partition (or an independent set)  $\pi$  of  $G$  is a set partition of  $V(G)$  such that each block of  $\pi$  is totally disconnected.
- The type of  $\pi$  is a partition of  $|V(G)|$  whose parts are the sizes of the blocks of  $\pi$ .

## Theorem (Stanley, 1995)

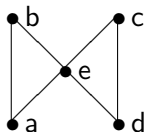
We have

$$X_G = \sum_{\lambda \vdash |V(G)|} a_\lambda \tilde{m}_\lambda,$$

where  $a_\lambda$  is the number of stable partitions of  $G$  of type  $\lambda$ .



# Monomial expansion

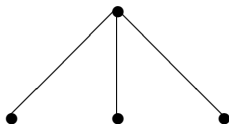


$$X_G = 2\tilde{m}_{(2,2,1)} + 4\tilde{m}_{(2,1,1,1)} + \tilde{m}_{(1,1,1,1,1)}$$

$$\{(a, c), b, d, e\}, \quad \{(a, d), b, c, e\}, \quad \{(b, d), a, c, e\}, \quad \{(b, c), a, d, e\}$$

# Stanley's $(3+1)$ -free conjecture

- Let  $P$  be a finite poset.
- Let  $\text{inc}(P)$  denote the incomparability graph of  $P$ .
- Let  $3+1$  denote the disjoint union of a 3-element chain and 1-element chain. Thus  $\text{inc}(3+1)$  is a claw.



$$X_G = \tilde{m}_{(1,1,1,1)} + 3\tilde{m}_{(2,1,1)} + \tilde{m}_{(3,1)}$$

$$X_G = 4e_{(4)} + 5e_{(3,1)} - 2e_{(2,2)} + e_{(2,1,1)}$$

# Stanley's $(3+1)$ -free conjecture

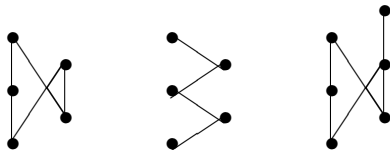


Figure:  $(3+1)$ -free       $inc(P)$       not  $(3+1)$ -free

- We say that  $P$  is  $(\mathbf{3} + \mathbf{1})$ -free if it contains no induced  $\mathbf{3} + \mathbf{1}$ .

# Stanley's $(3+1)$ -free conjecture

## Conjecture (Stanley, 1995)

*If  $P$  is  $(3+1)$ -free, then  $X_{\text{inc}(P)}$  is  $e$ -positive.*

- If  $P$  is  $3$ -free, then  $X_{\text{inc}(P)}$  is  $e$ -positive.
- If  $P$  is  $(3+1)$ -free, then  $X_{\text{inc}(P)}$  is claw-free.

## Theorem (Guay-Paquet, 2013)

*Stanley's  $(3+1)$ -free conjecture is true if and only if it is true for  $(3+1)$ -free and  $(2+2)$ -free posets.*

# Stanley's (3+1)-free conjecture

## Conjecture (Stanley, 1995)

Fix  $k \geq 2$ . Let

$$P_{d,k} = \sum_{i_1, \dots, i_d} x_{i_1} \cdots x_{i_d},$$

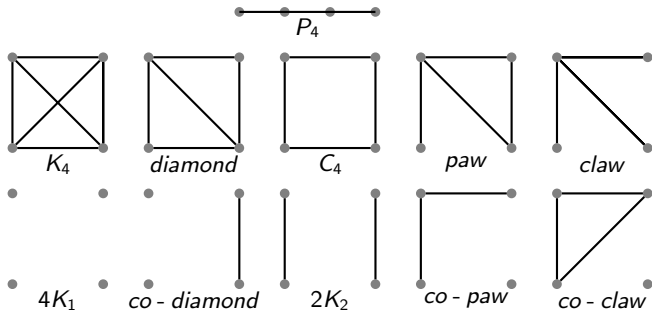
where any  $k$  consecutive terms are distinct. Then  $P_{d,k}$  is  $e$ -positive.

- Stanley (1995) showed that the conjecture is true for  $k = 2$ .
- Stanley (1995) also showed that cycles are  $e$ -positive.
- Dahlberg (2018) showed that  $P_{d,3}$  is  $e$ -positive.

- 1 Chromatic symmetric functions
- 2 Generalized pyramids
- 3  $2K_2$ -free unit interval graphs
- 4 Twin vertices
- 5 Jacobi-Trudi immanants

# $H$ -free graphs

- Hamel, Hoang and Tuero (2017) initialed the study of  $H$ -free graphs, where  $H = \{claw, F\}$  and  $H = \{claw, F, co - F\}$ , where  $F$  is a four-vertex graph.



# Some $e$ -positive graphs

## Theorem (Tsujie, 2017)

*If  $G$  is a  $(\text{claw}, P_4)$ -free graph, then  $X_G$  is  $e$ -positive.*

## Theorem (Hamel, Hoang and Tuero, 2017)

*If  $G$  is  $(\text{claw}, \text{triangle})$ -free, then each component of  $G$  is a path or cycle, and hence  $X_G$  is  $e$ -positive.*

## Theorem (Hamel, Hoang and Tuero, 2017)

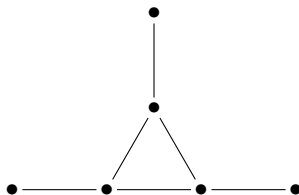
*If  $G$  is  $(\text{claw}, \text{paw})$ -free or  $(\text{claw}, \text{co-paw})$ -free, then  $X_G$  is  $e$ -positive.*



# Not $e$ -positive graphs

Theorem (Hamel, Hoang and Tuero, 2017)

*A graph that is  $H$ -free for  $H$  equal to  $\{\text{claw}, \text{diamond}\}$ ,  $\{\text{claw}, K_4\}$ ,  $\{\text{claw}, 4K_1\}$ ,  $\{\text{claw}, C_4\}$ ,  $\{\text{claw}, 2K_2\}$ , or  $\{\text{claw}, \text{co-claw}\}$ , is not necessarily  $e$ -positive.*



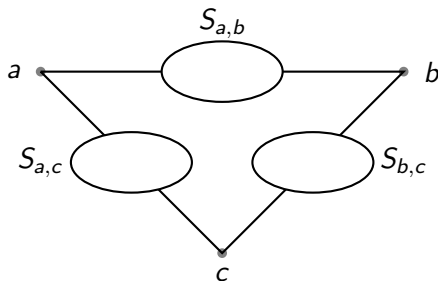
$3 - \text{sun}$

$$X_G = 6e_{3,2,1} - 6e_{3,3} + 6e_{4,1,1} + 12e_{4,2} + 18e_{5,1} + 12e_6$$

# (claw, co-diamond)-free graphs

Open problem (Hamel, Hoang and Tuero, 2017)

*Are (claw, co-diamond)-free graphs  $e$ -positive?*

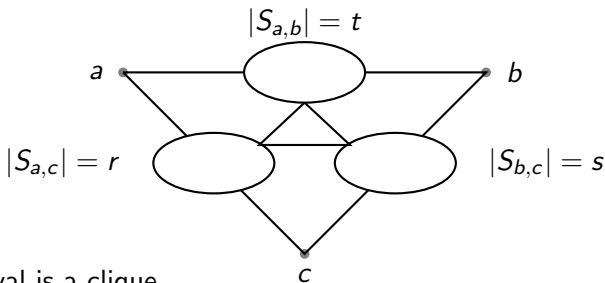


- The three black vertices are the co-triangle.
- Each oval represents a subgraph, with each vertex in subgraph being joined to the two corresponding vertices of the co-triangle.
- At least two ovals are non-empty.

# Generalized pyramids

Open problem (Hamel, Hoang and Tuero, 2017)

*Are generalized pyramids  $e$ -positive?*



- Each oval is a clique.
- There are all edges between any two ovals.
- If a graph  $G$  is  $(\text{claw}, \text{co-diamond}, 2K_2)$ -free, then  $G$  is the generalized pyramid.

# Generalized pyramids

Theorem (Li and Yang, 2019)

*Generalized pyramids  $GP(r, s, t)$  are  $e$ -positive.*

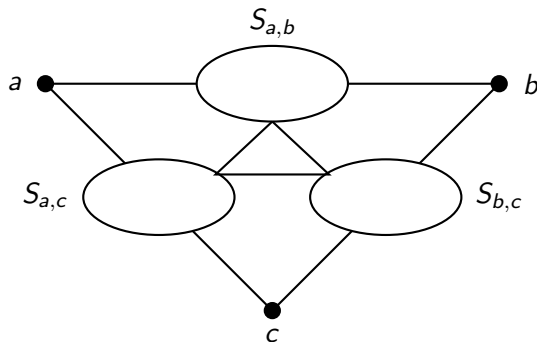


Figure: The generalized pyramid graph  $GP(r, s, t)$

# Generalized pyramids

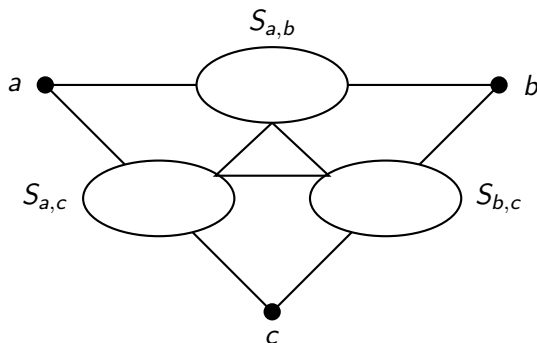
## Theorem (Li and Yang, 2019)

For any nonnegative integers  $r, s, t$ , we have

$$\begin{aligned} X_{GP(r,s,t)} = & \tilde{m}_{(3,1^{r+s+t})} + (rst)\tilde{m}_{(2,2,2,1^{r+s+t-3})} \\ & + (rt + rs + st + r + s + t)\tilde{m}_{(2,2,1^{r+s+t-1})} \\ & + (r + s + t + 3)\tilde{m}_{(2,1^{r+s+t+1})} + \tilde{m}_{(1^{r+s+t+3})}. \end{aligned}$$

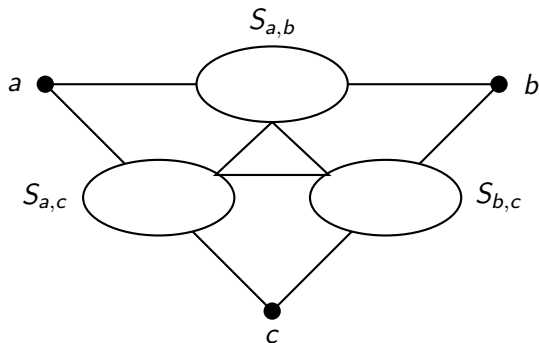
- Any admissible stable partition of  $GP(r, s, t)$  is of type  $(3, 1^{r+s+t})$ ,  $(2, 1^{r+s+t+1})$ ,  $(2, 2, 1^{r+s+t-1})$ ,  $(2, 2, 2, 1^{r+s+t-3})$  or  $(1^{r+s+t+3})$ .

# Generalized pyramids



- Any admissible stable partition of  $GP(r, s, t)$  is of type  $(3, 1^{r+s+t})$ ,  $(2, 1^{r+s+t+1})$ ,  $(2, 2, 1^{r+s+t-1})$ ,  $(2, 2, 2, 1^{r+s+t-3})$  or  $(1^{r+s+t+3})$ .

# Generalized pyramids



$$[\tilde{m}_{(2,2,1^{r+s+t-1})}]X_{GP(r,s,t)} = (rt + rs + st + r + s + t)$$

# Generalized pyramids

## Theorem (Li and Yang, 2019)

For any nonnegative integers  $r, s, t$ , we have

$$\begin{aligned} X_{GP(r,s,t)} = & \tilde{m}_{(3,1^{r+s+t})} + (rst)\tilde{m}_{(2,2,2,1^{r+s+t-3})} \\ & + (rt + rs + st + r + s + t)\tilde{m}_{(2,2,1^{r+s+t-1})} \\ & + (r + s + t + 3)\tilde{m}_{(2,1^{r+s+t+1})} + \tilde{m}_{(1^{r+s+t+3})}. \end{aligned} \quad (1)$$



# Generalized pyramids

## Theorem

Let  $\lambda \vdash n$ . If  $e_\lambda = \sum_{\mu \vdash n} M_{\lambda\mu} m_\mu$ , then  $M_{\lambda\mu}$  is equal to the number of  $(0,1)$ -matrices  $A = (a_{ij})_{i,j \geq 1}$  satisfying  $\text{row}(A) = \lambda$  and  $\text{col}(A) = \mu$ , where  $\text{row}(A)$  (resp.,  $\text{col}(A)$ ) is the vector of row sums (resp., column sums) of  $A$ . Moreover,  $M_{\lambda\mu} = 0$  unless  $\lambda \leq \mu'$ , and  $M_{\lambda\lambda'} = 1$ .

- Given two partitions  $\lambda = (\lambda_1, \lambda_2, \dots)$  and  $\mu = (\mu_1, \mu_2, \dots)$  of  $\text{Par}(n)$ , we say that  $\mu \leq \lambda$  if

$$\mu_1 + \mu_2 + \dots + \mu_i \leq \lambda_1 + \lambda_2 + \dots + \lambda_i \quad \text{for all } i \geq 1.$$

- The conjugate of  $\lambda = (\lambda_1, \lambda_2, \dots)$  is defined as the partition  $\lambda' = (\lambda'_1, \lambda'_2, \dots)$  where  $\lambda'_i = |\{j : \lambda_j \geq i\}|$ .

# Generalized pyramids

- Suppose that  $r + s + t = i$ .
- Let  $P = \{(2^3, 1^{i-3}), (3, 1^i), (2^2, 1^{i-1}), (2, 1^{i+1}), (1^{i+3})\}$ .
- In order to give the elementary expansion of  $X_{GP(r,s,t)}$ , it suffices to consider the monomial expansion of those  $e_\lambda$ 's such that  $\lambda' \leq \mu$  for some  $\mu \in P$ .
- It is straightforward to verify that the set of such partitions  $\lambda$  is composed of  $\{(i, 3), (i+1, 1, 1), (i+1, 2), (i+2, 1), (i+3)\}$ .

# Generalized pyramids

We have

$$e_{(i,3)} = m_{(2,2,2,1^{i-3})} + (i-1)m_{(2,2,1^{i-1})} + \binom{i+1}{2}m_{(2,1^{i+1})} + \binom{i+3}{3}m_{(1^{i+3})}, \quad (2)$$

$$e_{(i+1,1,1)} = m_{(3,1^i)} + (2i+3)m_{(2,1^{i+1})} + 2m_{(2,2,1^{i-1})} + 2\binom{i+3}{2}m_{(1^{i+3})}, \quad (3)$$

$$e_{(i+1,2)} = m_{(2,2,1^{i-1})} + (i+1)m_{(2,1^{i+1})} + \binom{i+3}{2}m_{(1^{i+3})}, \quad (4)$$

$$e_{(i+2,1)} = m_{(2,1^{i+1})} + (i+3)m_{(1^{i+3})}, \quad (5)$$

$$e_{i+3} = m_{(1^{i+3})}. \quad (6)$$

# Generalized pyramids

Substituting the above  $m$ -expansion formulas into (1), we get that

$$\begin{aligned} X_{GP(r,s,t)} = & A \cdot e_{(r+s+t+1,1,1)} + B \cdot e_{(r+s+t,3)} + C \cdot e_{(r+s+t+1,2)} \\ & + D \cdot e_{(r+s+t+2,1)} + E \cdot e_{(r+s+t+3)}, \end{aligned} \quad (7)$$

where

$$A = (r + s + t)!,$$

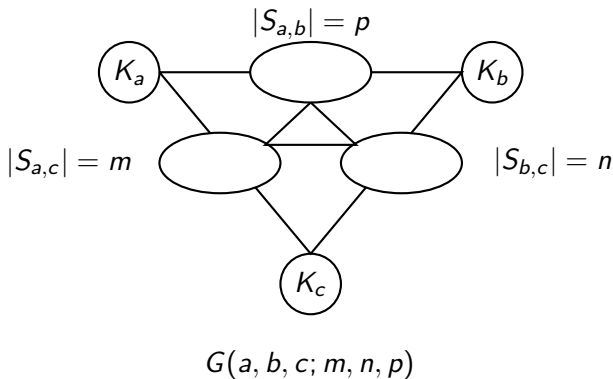
$$B = (r + s + t - 3)! \cdot 6rst,$$

$$\begin{aligned} C = & (r + s + t - 3)! \cdot 2(r + s + t - 1) \\ & \cdot [(r^2s + rs^2 - 2rs) + (rt^2 + r^2t - 2rt) + (s^2t + st^2 - 2st)], \end{aligned}$$

$$\begin{aligned} D = & (r + s + t - 2)! \cdot [(r^4 + r^3 - 2r^2) + (3r^2s - 2rs) + (3rs^2 - 2s^2) \\ & + (3r^2t - 2rt) + (9rst - 2st) + (3rt^2 - 2t^2) + 3s^2t + 5rs^2t \\ & + 2s^3t + 5r^2st + 2r^3t + 2r^2t^2 + 3st^2 + 5rst^2 + 2s^2t^2 \\ & + t^3 + 2rt^3 + 2st^3 + t^4 + 2r^3s + 2r^2s^2 + s^3 + 2rs^3 + s^4], \end{aligned}$$

# Generalization of generalized pyramids

- Replacing the co-triangle by three cliques, e-positivity?



Conjecture (Li and Yang, 2019)

*The graph  $G(a, b, c; m, n, p)$  is e-positive.*

- 1 Chromatic symmetric functions
- 2 Generalized pyramids
- 3  $2K_2$ -free unit interval graphs
- 4 Twin vertices
- 5 Jacobi-Trudi immanants

# Generalized bull graphs

Theorem (Cho and Huh, 2019)

*For any positive integers  $r, s, t$ , the generalized bull graph  $X_{GB(r,s,t)}$  is  $e$ -positive.*

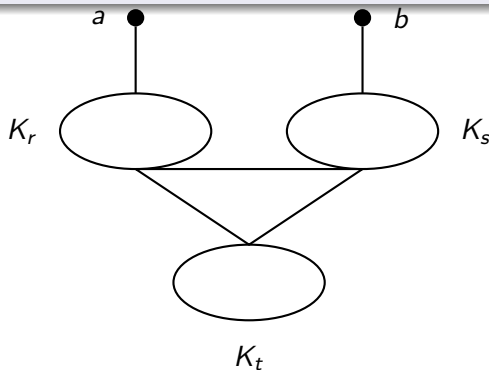


Figure: The generalized bull graph  $GB(r, s, t)$

# Generalized bull graphs

- Using the same method as before, we get that

$$\begin{aligned} X_{GB(r,s,t)} = & t \cdot \tilde{m}_{(3,1^{r+s+t-1})} + (t(t-1) + tr + sr + st) \cdot \tilde{m}_{(2,2,1^{r+s+t-2})} \\ & + (1 + 2t + s + r) \cdot \tilde{m}_{(2,1^{r+s+t})} + \tilde{m}_{(1^{r+s+t+2})}. \end{aligned} \quad (8)$$

- Setting  $k = r + s + t$  and  $i = k - 1$  in (3), (4), (5) and (6), and then substituting these four equations into (8), we obtain

$$\begin{aligned} X_{GB(r,s,t)} = & (r + s + t - 2)! \cdot [(r + s + t - 1)t \cdot e_{(r+s+t,1,1)} + 2rs \cdot e_{(r+s+t,2)} \\ & + (r^3 + r^2s + rs^2 + s^3 + 2r^2t + 2rst + 2s^2t + rt^2 + st^2 - r - s) \\ & \cdot e_{(r+s+t+1,1)} + (r + s + t + 2)(r + s + t - 1)rs \cdot e_{(r+s+t+2)}]. \end{aligned}$$



# $2K_2$ -free unit interval graph

- A  $\{\text{claw}, 2K_2\}$ -free graph is not necessarily  $e$ -positive.
- The incomparability graph of a  $(\mathbf{3} + \mathbf{1})$ -free is claw-free.
- The incomparability graph of a  $(\mathbf{3} + \mathbf{1})$ -free and  $(\mathbf{2} + \mathbf{2})$ -free poset is called a unit interval graph.

## Theorem (Li and Yang, 2019)

*If  $G$  is a  $2K_2$ -free unit interval graph, then  $G$  is  $e$ -positive.*

# $2K_2$ -free unit interval graph

- A  $\{\text{claw}, 2K_2\}$ -free graph is not necessarily  $e$ -positive.
- The incomparability graph of a  $(\mathbf{3} + \mathbf{1})$ -free is claw-free.
- The incomparability graph of a  $(\mathbf{3} + \mathbf{1})$ -free and  $(\mathbf{2} + \mathbf{2})$ -free poset is called a unit interval graph.

## Theorem (Li and Yang, 2019)

*If  $G$  is a  $2K_2$ -free unit interval graph, then  $G$  is  $e$ -positive.*

## Theorem (Stanley and Stembridge, 1993)

*If  $G$  is a co-triangle free graph, then  $X_G$  is  $e$ -positive.*

## Theorem (Cho and Huh, 2018)

*If  $G$  is a generalized bull graph, then  $X_G$  is  $e$ -positive.*

# $2K_2$ -free unit interval graph

- Given a graph  $G$  with vertex set  $V$  and edge set  $E$ , let  $\alpha(G)$  denote the maximum size of stable sets.
- Given a pair of vertices  $u$  and  $v$ , let  $d(u, v)$  denote the number of edges of the shortest path between  $u$  and  $v$ .
- For any vertex  $w \in V$ , let  $N_i(w) = \{x \in V \mid d(x, w) = i\}$  and  $[N_i(w)]$  denote the induced subgraph on  $N_i(w)$ .
- In particular,  $N_1(w)$  is the neighborhood of  $w$ , denoted by  $N(w)$ .

# $2K_2$ -free unit interval graph

## Theorem (Hempel and Kratsch, 2002)

*If  $G$  is a  $2K_2$ -free unit interval graph, then there exists a vertex  $w$  such that  $\alpha([N(w)]) \leq 2$ ,  $N_i(w) = \emptyset$  for  $i \geq 4$  and  $|N_3(w)| \leq 1$ . Moreover,  $G$  satisfies one of the following:*

- (1)  $[N(w)]$  is not connected;
- (2)  $[N(w)]$  is connected and  $|N_3(w)| = 1$ ;
- (3)  $[N(w)]$  is connected,  $|N_3(w)| = 0$  and  $\alpha([N(w)]) = 1$ ;
- (4)  $[N(w)]$  is connected,  $|N_3(w)| = 0$  and  $\alpha([N(w)]) = 2$ .

- Cases (1), (2) and (3) are solved by Foley, Hoàng and Merkel.
- We prove that for the case of (4), the graph  $G$  is a generalized bull or co-triangle free.

# $2K_2$ -free unit interval graph

- Foley, Hoàng and Merkel pointed out that “The family of  $2K_2$ -free unit interval graphs that are not known to be  $e$ -positive have  $[N_1]$  connected,  $[N_1]$  contains an induced  $P_3$ ,  $\alpha([N_1]) = 2$ ,  $N_2 \neq \emptyset$ , and all  $N_i = \emptyset$  for  $i \geq 3$ .”
- Claim 1:**  $|N_2| \leq 2$ .
  - Suppose that  $|N_2| = s$ . Then for any  $a \in N_1$ , there are at least  $s - 1$  vertices in  $N_2$  which are adjacent to  $a$ . In the contrary case, if there exist  $x, y \in N_2$  which are not adjacent to  $a$ , then  $\{x, y, a, w\}$  induces a  $2K_2$  in  $G$  since  $[N_2]$  is a clique.
  - Since  $\alpha([N_1]) = 2$ . There exist  $a, b \in N_1$  such that  $a$  and  $b$  are not adjacent. Moreover,  $a, b$  can not be adjacent to the same vertex  $x$  in  $N_2$  (otherwise,  $\{x, a, b, w\}$  induce a  $C_4$ ). (Unit interval graph must be  $C_4$ -free due to the poset being  $2 + 2$ -free.) Hence

$$s - 1 + s - 1 \leq s,$$

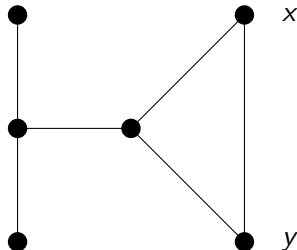
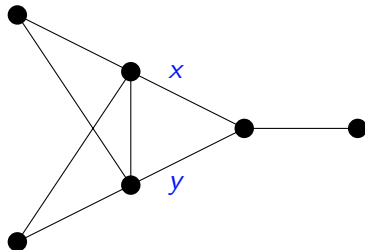
# $2K_2$ -free unit interval graph

- Without loss of generality, we may assume that  $G$  is connected.  
There are three cases to check:
  - (1)  $[N(w)]$  is connected,  $|N_3(w)| = 0$ ,  $\alpha([N(w)]) = 2$  and  $|N_2(w)| = 2$ ;
  - (2)  $[N(w)]$  is connected,  $|N_3(w)| = 0$ ,  $\alpha([N(w)]) = 2$  and  $|N_2(w)| = 1$ ;
  - (3)  $[N(w)]$  is connected,  $|N_3(w)| = 0$ ,  $\alpha([N(w)]) = 2$  and  $|N_2(w)| = 0$ ;
- For (1) and (3), the graph  $G$  is co-triangle free; For (2),  $G$  is either a co-triangle free graph or a generalized bull graph.

- 1 Chromatic symmetric functions
- 2 Generalized pyramids
- 3  $2K_2$ -free unit interval graphs
- 4 Twin vertices
- 5 Jacobi-Trudi immanants

# Twin vertices

- Two vertices  $x$  and  $y$  are **twins** if they are adjacent and any vertex  $z$  is adjacent to both  $x$  and  $y$  or non adjacent to both  $x$  and  $y$ .





# Reduction conjecture

- Given a finite simple graph  $G$  and a vertex  $v$  of  $G$ , define  $G'_v$  to be the graph obtained from  $G$  by replacing  $v$  by an edge  $v_1 v_2$  and joining the two endpoints  $v_1, v_2$  to all vertices adjacent to  $v$  in  $G$ .

Conjecture (Foley, Hoang and Merkel, 2019)

*If  $G$  is  $e$ -positive, then  $G'_v$  is  $e$ -positive.*

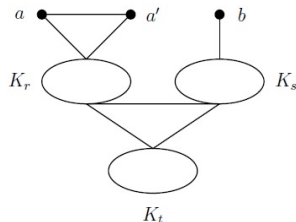
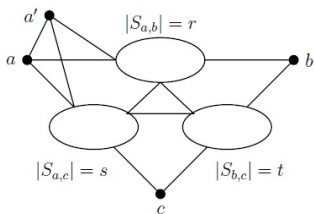
- If the above conjecture is not valid, Ethan Li further considered the following.

Conjecture

*If  $G$  is an  $e$ -positive **unit interval graph**, then  $G'_v$  is  $e$ -positive.*

# Positive results

- Both generalized pyramids and bull graphs are  $e$ -positive.
- Grace Li studied the twining operation on generalized pyramids and bull graphs, and showed that **the following graphs are  $e$ -positive**.



# Counterexample

- Ethan Li found a [simple counterexample](#) to Foley, Hoang and Merkel's conjecture.
- Define  $CL_n$  ( $n \geq 2$ ) to be the graph obtained from a claw  $K_{1,3}$  and a complete graph  $K_n$  by identifying one vertex of degree one of the claw and one vertex of the complete graph.

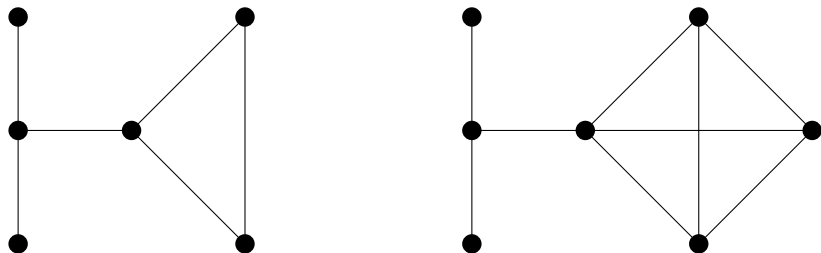


Figure:  $CL_3$  and  $CL_4$

# Counterexample

- It is easy to see that  $CL_2$  is  $e$ -positive.

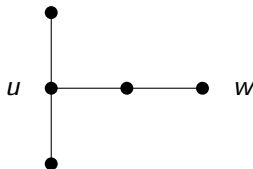
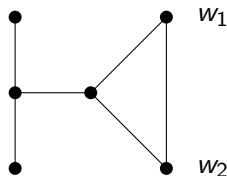
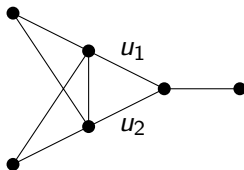


Figure:  $CL_2$

- While neither of the following graphs is  $e$ -positive.



# Counterexample

## Theorem

*For any  $n \geq 3$ , the graph  $CL_n$  is not  $e$ -positive.*

$$\begin{aligned} X_{CL_n} &= \tilde{m}_{1^{n+3}} + 3n \cdot \tilde{m}_{2,1^{n+1}} + (n-1)(3n-1) \tilde{m}_{2^2,1^{n-1}} \\ &\quad + (n-1)^2(n-2) \tilde{m}_{2^3,1^{n-3}} + n \cdot \tilde{m}_{3,1^n} + (n-1)^2 \tilde{m}_{3,2,1^{n-2}} \\ &= (n+3)! m_{1^{n+3}} + 3n(n+1)! m_{2,1^{n+1}} \\ &\quad + 2(n-1)(3n-1)(n-1)! m_{2^2,1^{n-1}} + 6(n-1)(n-1)! m_{2^3,1^{n-3}} \\ &\quad + n \cdot n! m_{3,1^n} + (n-1)(n-1)! m_{3,2,1^{n-2}} \end{aligned}$$

It turns out that

$$[e_{n+1,2}]X_{CL_n} = -2(n-1)! < 0.$$

# A conjecture on trees

## Conjecture (Dahlberg, She and van Willigenburg)

*For every  $n \geq 2$  there is a tree  $T$  on  $n$  vertices, one of which has degree  $\lfloor \frac{n}{2} \rfloor$ , such that  $X_T$  is Schur positive.*

- They confirmed the conjecture for  $n \leq 19$ .
- Rambeloson and Shareshian (arXiv 2006.14415) **disproved the conjecture for  $n = 20$** .
- Can we do more?

- 1 Chromatic symmetric functions
- 2 Generalized pyramids
- 3  $2K_2$ -free unit interval graphs
- 4 Twin vertices
- 5 Jacobi-Trudi immanants

# Immanants

- Let  $\mu = (\mu_1, \dots, \mu_n), \nu = (\nu_1, \dots, \nu_n)$  be two partitions such that  $\nu \subseteq \mu$ , namely  $0 \leq \nu_i \leq \mu_i$  for  $1 \leq i \leq n$ . Define the *Jacobi-Trudi matrix* as

$$H_{\mu/\nu} = H_{\mu/\nu}(x) = [h_{\mu_i - \nu_j + j - i}]_{1 \leq i, j \leq n},$$

where  $h_k$  denotes the  $k$ th complete homogeneous symmetric function.

- Moreover, for any partition  $\lambda$  of  $n$ , let  $\chi^\lambda$  denote the associated irreducible character of the symmetric group  $S_n$ . Then the *immanant* of an  $n \times n$  matrix  $A = [a_{ij}]$  with respect to  $\lambda$  is defined as

$$\text{Imm}_\lambda(A) = \sum_{w \in S_n} \chi^\lambda(w) \prod_{i=1}^n a_{i, w(i)}.$$



# The Stanley-Stembridge conjecture

- The following expression

$$F_{\mu/\nu}(x, y) = \sum_{\lambda \vdash n} s_{\lambda}(y) \text{Imm}_{\lambda} H_{\mu/\nu}(x)$$

can be transformed into

$$F_{\mu/\nu}(x, y) = \sum_{\theta \vdash n} E_{\mu/\nu}^{\theta}(y) s_{\theta}(x).$$

Conjecture (Stanley and Stembridge, 1993)

$E_{\mu/\nu}^{\theta}$  is a nonnegative linear expansion of  $h_{\alpha}$  's.

# Non-attacking rooks

- Given  $B \subseteq [n]^2$ , every placement of  $n$  non-attacking rooks on  $B$  corresponds to a permutation  $w \in S_n$ , i.e.,  $w(i) = j$  is a rook occupies  $(i, j)$ . We then call  $w$  a  $B$ -compatible permutation.
- Define the *cycle indicator*  $Z[B]$  of  $B$  to be

$$Z[B] = \sum_w p_{\rho(w)} = \sum_w p_1^{m_1(\rho(w))} p_2^{m_2(\rho(w))} \dots,$$

where  $w$  ranges over all  $B$ -compatible permutations.

- Let  $S$  be a placement of (at most  $n$ ) non-attacking rooks, we can consider it as a directed graph. **Then  $S$  must be a union of disjoint directed paths and cycles.**

# Cycle indicator

- Define the *type* of  $S$  to be the pair of partitions  $(\alpha; \beta)$  such that the parts of  $\alpha$  (resp.  $\beta$ ) represents the sizes of the directed paths (resp. cycles). Note that  $|\alpha| + |\beta| = n$  and the number of isolated vertices in  $S$  is  $m_1(\alpha)$ .
- Let  $\omega$  be the involution sending  $e_\lambda$  to  $h_\lambda$ . Then the “forgotten” symmetric functions  $f_\lambda$  are defined by  $f_\lambda = \omega(m_\lambda)$ .

## Theorem (Stanley and Stembridge, 1993)

For any  $B \subseteq [n]^2$ , we have

$$Z[B] = \sum_{\alpha, \beta} (-1)^{|\beta|} m_1(\alpha)! m_2(\alpha)! \cdots r_{\alpha, \beta}(\bar{B}) f_\alpha p_\beta,$$

where  $r_{\alpha, \beta}(\bar{B})$  denotes the number of subgraphs of type  $(\alpha; \beta)$  in the complement  $\bar{B}$ .

# Combinatorial interpretation

- Note that in a Jacobi-Trudi matrix  $H_{\mu/\nu}$ , the zero entries form a partition  $\sigma \subseteq \delta = (n-1, n-2, \dots, 1)$  (reading from bottom to top) in the southwest corner. Let  $B_\sigma$  be the complement of the diagram of  $\sigma$ , i.e.,

$$B_\sigma = \{(i, j) \in [n]^2 : j > \sigma_{n-i+1}\}.$$

The diagram of  $\sigma$  is therefore denoted by  $\bar{B}_\sigma$ .

## Theorem (Stanley and Stembridge, 1993)

If  $\theta = (N)$  and  $\sigma = \sigma(\mu/\nu)$ , then  $E_{\mu/\nu}^\theta = Z[B_\sigma]$ .

# Combinatorial interpretation

- Let  $\sigma \subseteq \delta$ . Then the positions of  $[n]^2$  indexed by  $\bar{B}_\sigma$  can be used to define a partial order  $P_\sigma$  of  $[n]$  in which  $i > j$  if and only if  $(i, j) \in \bar{B}_\sigma$  ( $i \geq j + 1$ ).
- For any partition  $\alpha$  of  $n$ , define  $c_\alpha(P)$  to be the number of ways to partition an  $n$ -element poset  $P$  into (unordered) chains of cardinality  $\alpha_1, \alpha_2, \dots$ , and let  $\bar{c}_\alpha(P) := m_1(\alpha)! m_2(\alpha)! \cdots c_\alpha(P)$  denote the number of partitions of  $P$  into *ordered* chains of cardinality  $\alpha_1, \alpha_2, \dots$ .
- When  $B = B_\sigma$ , the quantity  $m_1(\alpha)! m_2(\alpha)! \cdots r_{\alpha, \emptyset}(\bar{B})$  can be identified as  $\bar{c}_\alpha(P_\sigma)$ .

# Combinatorial interpretation

- Using the two theorems above,

$$E_{\mu/\nu}^{(N)} = Z[B_\sigma] = \sum_{\alpha} m_1(\alpha)! m_2(\alpha)! \cdots r_{\alpha, \emptyset}(\bar{B}_\sigma) f_\alpha = \sum_{\alpha \vdash n} \bar{c}_\alpha(P_\sigma) f_\alpha.$$

Thus, the case  $\theta = (N)$  of the initial conjecture is equivalent to the assertion that  $\sum_{\alpha \vdash n} \bar{c}_\alpha(P_\sigma) m_\alpha$  is  $e$ -positive.

# Poset-Chain property

- Naturally, we can generalize the above problem to finding all posets  $P$  of  $[n]$  such that  $\sum_{\alpha \vdash n} \bar{c}_\alpha(P_\sigma) m_\alpha$  is a nonnegative linear combination of the  $e_\lambda$ 's. This property is called [the Poset-Chain property](#).
- By a theorem of Dean and Keller [DK1968], the posets  $P_\sigma$  are characterized by the fact that they are both  $(3+1)$ -free and  $(2+2)$ -free (unit interval order  $\Leftrightarrow$  Dyck path  $\Leftrightarrow$  complement of area). However, there exist some posets satisfying the Poset-Chain property which are not  $(2+2)$ -free.

Conjecture (Stanley and Stembridge, 1993)

*Any  $(3+1)$ -free poset satisfies the Poset-Chain property.*

# The corresponding conjecture for CSF

- Recall that the *chromatic symmetric function* of a graph  $G$  is defined as  $X_G = \sum_{\kappa} \prod_{v \in V(G)} x_{\kappa(v)}$ , where  $\kappa$  ranges over all proper colorings of  $G$ .
- A poset  $P$  is said to be  $(a+b)$ -free if it does not contain an induced subposet which is a disjoint union of an  $a$ -element chain and a  $b$ -element chain. The *incomparability graph* of  $P$ , denoted by  $\text{inc}(P)$ , is defined as follows: the vertices of  $\text{inc}(P)$  are elements of  $P$ , and two vertices  $u$  and  $v$  are adjacent if and only if they are incomparable in  $P$ .

## Conjecture (Stanley, 1995)

If  $P$  is  $(3+1)$ -free, then  $\text{inc}(P)$  is  $e$ -positive.



# The equivalence of these two conjectures

- Recall that a *stable partition* of a graph  $G$  is a set partition of  $V(G)$  such that every block is a stable set, i.e., the vertices in the same block are non-adjacent to each other.





## Theorem (Stanley, 1995)

Let  $d_\alpha$  be the number of stable partitions of  $G$  of type  $\alpha$ . Then

$$X_G = \sum_{\alpha \vdash n} d_\alpha m_1(\alpha)! m_2(\alpha)! \cdots m_\alpha.$$

- Note that finding partitions of a poset  $P$  into (unordered) chains of cardinality  $\alpha_1, \alpha_2, \dots$  is equivalent to finding stable partitions of type  $\alpha$  in  $\text{inc}(P)$ . Hence we have  $c_\alpha(P) = d_\alpha$  and  $\bar{c}_\alpha(P) = d_\alpha m_1(\alpha)! m_2(\alpha)! \cdots$ .

# Related references

-  A.M. Foley, C.T. Hoàng and O.D. Merkel, Chromatic symmetric functions and  $H$ -free graphs. *Graphs Combin.* 35 (2019), no. 4, 815–825.
-  M. Guay-Paquet, A modular law for the chromatic symmetric functions of  $(3 + 1)$ -free posets, arXiv:1306.2400.
-  H. Hempel, D. Kratsch, On claw-free asteroidal triple-free graphs, *Discrete Appl. Math.* 121 (2002), no. 1-3, 155–180.
-  R.P. Stanley, A Symmetric Function Generalization of the Chromatic Polynomial of a Graph, *Adv. Math.* 111 (1995), 166–194.

**Thanks for your attention!**